

## METHODS OF ENHANCING PLURIPOTENCY

### FIELD

The present invention relates to the fields of development, cell biology, molecular biology and genetics. More particularly, the invention relates to methods and compositions for reprogramming somatic cells, deriving stem cells from somatic cells and inducing pluripotency in somatic cells.

### BACKGROUND

ESCs are pluripotent and can self-renew indefinitely. These properties, conferred by a set of core factors, determine the ESC identity.

It has been shown that adult somatic cells can be reprogrammed to resemble ESCs when key transcription factors are introduced<sup>1</sup>. Induced pluripotent stem (iPS) cells may be obtained through the introduction of defined factors into somatic cells. This suggests that the shuffling between alternate cellular identities is largely defined by unique sets of regulatory molecules present in different cell types.

The induction of pluripotent stem cells can be achieved with the viral transduction of a few genes in both mouse and human cells, albeit at low efficiency. Supplementations with chemical compounds such as inhibitors to DNA methyltransferase, histone deacetylase, histone methyltransferase, mitogen-activated protein kinase and glycogen synthase kinase-3, has been reported to improve the reprogramming efficiency<sup>2-5</sup>.

Recently, the generation of iPS cells has been achieved without viral vectors. Repeated transfection of plasmids over-expressing OSK + c-Myc (OSKC) resulted in iPS cells without exogenous DNA integration<sup>6</sup>. The combination of Oct4, Sox2 and Klf4 (OSK) constitutes the minimal requirement for generating iPS cells from mouse embryonic fibroblasts (MEFs).

Induced pluripotent stem (iPS) cells are thought to resemble embryonic stem cells (ESCs) based on global gene expression analyses. While ESC-like iPS cells can be routinely obtained with these methods, very few studies have carefully examined their germ-line contribution and transmission frequency.

Thus, although iPS cells have a distinct morphology and express molecular markers very similar to ESCs, their ability and degree of contribution to the chimera appears highly

varied<sup>4,7-9</sup>. This suggests that iPS cells do not completely resemble ESCs<sup>10</sup>, and there is marked disparity in the quality of different iPS cell lines.

Specifically, the ability and efficiency of these iPS cell clones in contributing to chimerism, colonization of germ tissues, and most importantly, germ-line transmission and life-birth from iPS cells generated with tetraploid complementation has not been established.

There is therefore a need in the art for other factors, in addition to the basal requirements of OSK, to improve the quality of reprogrammed iPS cells as defined by their capacity for high germ-line competency.

### **SUMMARY**

According to a 1<sup>st</sup> aspect of the present invention, we provide for use of Tbx3 (GenBank Accession Number: NM\_005996.3, NP\_005987.3, NM\_016569.3, NP\_057653.3) in a method of inducing or enhancing pluripotency in a cell such as a somatic cell.

There is provided, according to a 2<sup>nd</sup> aspect of the present invention, a method of reprogramming a cell, the method comprising modulating the expression and/or activity of Tbx3 (GenBank Accession Number: NM\_005996.3, NP\_005987.3, NM\_016569.3, NP\_057653.3) in the cell.

The method or use may be such that the cell becomes a pluripotent cell such as a stem cell.

We provide, according to a 3<sup>rd</sup> aspect of the present invention, a method of causing a cell such as a somatic cell to display one or more characteristics of a pluripotent cell, the method comprising modulating the expression and/or activity of Tbx3 (GenBank Accession Number: NM\_005996.3, NP\_005987.3, NM\_016569.3, NP\_057653.3) in the cell.

The use or method may further comprise modulating the expression and/or activity of one or more, a combination of or all of Oct4, Sox2 and Klf4 in the cell, optionally without the introduction of c-Myc into the cell.

The method or use may be such that the cell displays one or more characteristics of a pluripotent cell, such as expression of one or more pluripotent markers, such as *Oct4*, *Nanog*, *Gdf3*, *Dppa4* and *Tbx3* (GenBank Accession Number: NM\_005996.3, NP\_005987.3, NM\_016569.3, NP\_057653.3).

The use or method may be such that the cell is capable of contributing to chimerism such as when introduced into an embryo of different genetic background.

The use or method may be such that the cell is able to colonise germ tissue, such as by germ cell contribution to gonads.

5           The use or method may be such that the cell is capable of colonising germ tissue with a high germ-line competency or at a high germ-line transmission frequency.

The use or method may be such that the cell is capable of generating full-term live-born progeny via tetraploid blastocyst complementation.

10           As a 4<sup>th</sup> aspect of the present invention, there is provided Tbx3 (GenBank Accession Number: NM\_005996.3, NP\_005987.3, NM\_016569.3, NP\_057653.3) for use in a method of inducing pluripotency in a cell, reprogramming a cell, or causing a cell to express one or more one or more characteristics of a pluripotent cell, in which the cell for example comprises a somatic cell.

15           The use or method may be such that the cell comprises a mammalian cell such as a mouse cell or a human cell.

We provide, according to a 5<sup>th</sup> aspect of the present invention, a method of obtaining a stem cell line, the method comprising performing a method as set out above and deriving a cell line therefrom.

20           The present invention, in a 6<sup>th</sup> aspect, provides an induced, reprogrammed, pluripotent or a stem cell or cell line obtainable by a method as described.

25           In a 7<sup>th</sup> aspect of the present invention, there is provided a method of identifying a molecule capable of enhancing differentiation of a cell, the method comprising performing a method as set out above in the presence of a candidate molecule and detecting a reduced induction of pluripotency by the cell, reduced reprogramming of the cell or reduced expression of one or more characteristics of a pluripotent cell by the cell, compared to in the absence of the candidate molecule.

According to an 8<sup>th</sup> aspect of the present invention, we provide a method of identifying a molecule capable of enhancing differentiation of a cell, the method comprising obtaining a

induced, reprogrammed, pluripotent or a stem cell by a method as set out above, exposing the cell to a candidate molecule and detecting differentiation of the cell.

We provide, according to a 9<sup>th</sup> aspect of the invention, a method of identifying a molecule capable of enhancing or inducing the pluripotency of a cell, the method comprising performing a method as set out above in the presence of a candidate molecule and detecting an increased induction of pluripotency by the cell, increased reprogramming of the cell or increased expression of one or more characteristics of a pluripotent cell by the cell, compared to in the absence of the candidate molecule.

The practice of the present invention will employ, unless otherwise indicated, conventional techniques of chemistry, molecular biology, microbiology, recombinant DNA and immunology, which are within the capabilities of a person of ordinary skill in the art. Such techniques are explained in the literature. See, for example, J. Sambrook, E. F. Fritsch, and T. Maniatis, 1989, *Molecular Cloning: A Laboratory Manual*, Second Edition, Books 1-3, Cold Spring Harbor Laboratory Press; Ausubel, F. M. et al. (1995 and periodic supplements; *Current Protocols in Molecular Biology*, ch. 9, 13, and 16, John Wiley & Sons, New York, N.Y.); B. Roe, J. Crabtree, and A. Kahn, 1996, *DNA Isolation and Sequencing: Essential Techniques*, John Wiley & Sons; J. M. Polak and James O'D. McGee, 1990, *In Situ Hybridization: Principles and Practice*; Oxford University Press; M. J. Gait (Editor), 1984, *Oligonucleotide Synthesis: A Practical Approach*, Irl Press; D. M. J. Lilley and J. E. Dahlberg, 1992, *Methods of Enzymology: DNA Structure Part A: Synthesis and Physical Analysis of DNA* Methods in Enzymology, Academic Press; Using Antibodies : A Laboratory Manual : Portable Protocol NO. I by Edward Harlow, David Lane, Ed Harlow (1999, Cold Spring Harbor Laboratory Press, ISBN 0-87969-544-7); Antibodies : A Laboratory Manual by Ed Harlow (Editor), David Lane (Editor) (1988, Cold Spring Harbor Laboratory Press, ISBN 0-87969-314-2), 1855. Handbook of Drug Screening, edited by Ramakrishna Seethala, Prabhavathi B. Fernandes (2001, New York, NY, Marcel Dekker, ISBN 0-8247-0562-9); and Lab Ref: A Handbook of Recipes, Reagents, and Other Reference Tools for Use at the Bench, Edited Jane Roskams and Linda Rodgers, 2002, Cold Spring Harbor Laboratory, ISBN 0-87969-630-3. Each of these general texts is herein incorporated by reference.

### **BRIEF DESCRIPTION OF THE FIGURES**

Figure 1A to Figure 1F are figure showing that global gene expression profiling reveals *Tbx3* aids cell fusion-mediated reprogramming.

Figure 1A. Modified ESCs with *Nanog* over-expression (OE) or *Tcf3* RNAi were fused with MEFs to generate tetraploid ESC/MEF hybrids resistant to neomycin and puromycin.

Figure 1B. *Nanog* OE, *Tbx3* OE and *Tcf3* RNAi enhanced cell fusion-mediated reprogramming of MEFs. Representative examples illustrate the emergence of ESC/MEF hybrid colonies. Control ESC fusion with MEFs resulted in an average of one per experiment whereas *Tcf3* RNAi, *Nanog* OE or *Tbx3* OE ESCs produced numerous hybrid clones after fusion.

Figure 1C. *Nanog* OE ESCs were efficient in reprogramming MEFs, generating 13 colonies, followed by *Tcf3* RNAi (10) and *Tbx3* OE (4.5). The numbers represent the average of four independent fusion experiments. \* denotes significantly different from vector, <sup>+</sup> denotes significantly different from control shRNA.

Figure 1D. The heat-map shows all genes which were increased in treated ESCs compared to controls. *Tbx3* was among the most highly up-regulated genes in *Nanog* OE and *Tcf3* RNAi ESCs. The left-most column in red indicates direct gene targets of Nanog or Tcf3 based on the ChIP-PET<sup>29</sup> and ChIP-chip<sup>14</sup> databases respectively.

Figure 1E. RNAi knockdown of *Tbx3* in ESCs led to a loss of self-renewal and induced differentiation.

Figure 1F. Enrichment of Tcf3 and Nanog occupancy on the *Tbx3* gene, as measured by ChIP-qPCR.

Figure 2A to Figure 2E are figures showing that generation of iPS cells with *Oct4*, *Sox2*, *Klf4* and *Tbx3* retroviral transduction.

Figure 2A. OSKC and OSKCT induced ~300 ESC-like colonies (per  $5 \times 10^4$  MEFs) with ~10% expressing GFP. Under the same experimental conditions, OSKT induced an average of 38 compared to 26 ESC-like colonies from OSK, with 89% and 74% GFP activation respectively. Colonies were assessed and counted on day 16. Data represents the average of three independent transduction experiments. \* denotes significantly different from OSK;  $p < 0.05$ .

Figure 2B. OSK and OSKT iPS cell clones showed tight, domed-shaped ESC-like morphology and uniform GFP expression throughout the colony, whereas OSKC clones appeared as flattened, transformed cells with sparse GFP expression. Scale bar = 100  $\mu$ m.

Figure 2C. OSKT induced the activation of *Oct4*-GFP transgene in iPS derived from primary MEFs at 9-10 days post-infection, whereas OSK and OSKC combinations required 14 days observed in four independent transduction experiment.

Figure 2D. PCR analysis confirmed the genomic integration of over-expression plasmids for specific factors in iPS cells generated with the different combinations.

Figure 2E. OSKT iPS cells expressed typical ESC proteins detected by antibodies specific to Nanog, Sox2, SSEA1, and by alkaline phosphatase activity. Scale bar = 100  $\mu$ m.

Figure 3A and Figure 3B are figures showing that the transcriptome of iPS cell clones generated with different combinations of reprogramming factors.

Figure 3A. Hierarchical clustering of global expression profiling showed that OSKT and OSK clones are more similar to wild-type ESCs than OSE, but indistinguishable from each other based on correlation coefficient ( $R^2 = 0.94$ ).  $R^2$  value was obtained from the average individual gene signal intensity of all iPS clones in each combination and compared against R1 ESCs. The independent transduction experiments where the clones were isolated are denoted as A, B, C, and D.

Figure 3B. Analysis of individual ESC-associated gene profiles revealed a subset that could distinguish OSKT from OSK-derived iPS cells. ‘Distinguishing’ ESC genes were expressed at levels similar between OSKT and ESCs but significantly lower in OSK. The majority of other ESC-associated genes were ‘non-distinguishing’ and present at levels similar between both OSKT and OSK. \* denotes significantly different from OSKT; + denotes significantly different from ESC;  $p < 0.05$ . Changes in gene expression based on microarray were confirmed with qPCR (Figure S9).

Figure 4A to Figure 4G are figures showing that OSKT iPS cells show enhanced germ-line contribution and transmission.

Figure 4A. Injection of GFP<sup>+</sup> iPS cells into 4-8 cell morula (top panel), followed by *in vitro* maturation into blastocysts and re-implantation into surrogates. GFP<sup>+</sup> cells were localized specifically to the ICM (bottom panel).

Figure 4B. Representative photos showing the quantitative contribution and spatial distribution of GFP<sup>+</sup> cells generated with different reprogramming factor combinations in the gonads of chimeric E13.5 embryos.

Figure 4C. Tabulation comparing the contribution of GFP<sup>+</sup> iPS cell-derived germ cells to the gonads of chimeric fetuses and their spatial distribution with different combinations of factors. OSKT iPS cells were most effective at colonizing the gonads, compared to OSK and OSE iPS cells. \* denotes significantly different from OSK,  $p < 0.01$ . Eleven independent sets of microinjections were performed for OSK versus OSKT comparison.

Figure 4D. Representative photos showing the contribution of iPS cells to chimeric coat and the production of F<sub>2</sub> offspring after crossing with albino mice. Black offspring is indicates the germ-line transmission of iPS cells.

Figure 4E. Table summarizing the germ-line transmission frequency for iPS cells generated with the different combination of factors. For each combination, between two to six iPS cell lines were used to obtain live chimeras, of which, at least one male from each combination were crossed with an albino female to determine the frequency of black F<sub>2</sub>. \* denotes significantly different from OSK and OSE,  $p < 0.005$  and  $p < 0.001$  respectively.

Figure 4F. Two OSKT iPS cell lines were tested for their ability to generate live animals from tetraploid complementation. Two fetuses from an initial 33 tetraploid complementation generated embryos were obtained through C-section at E19 for OSKT #14 due to suspected uterine regression of the surrogate female. With OSKT #16, ten live births were obtained from 50 embryos. Eight survived on day 1, five on day 2, and three by week 1. One overweight pup died before week 2, with two remaining mice surviving past two months.

Figure 4G. Co-occurrence of transcription factor (TF) groups within the multiple transcription factor-bound loci. Colors in the heat-map reflect the colocalization frequency of each pair of TFs (yellow means more frequently colocalized, red means less).

Figure 5 is a diagram showing karyotype analyses of ESC/MEF hybrid cells. Primary MEFs showed a normal diploid karyotype,  $n=40$  at metaphase. Nanog OE ESC/MEF and Tcf3

RNAi ESC/MEF hybrids were tetraploid with the median chromosome count at 80. A total of 20 metaphase spreads were analyzed for each condition.

Figure 6 is a diagram showing that Nanog OE and Tcf3 RNAi ESC/MEF hybrids resemble parental ESC lines. Nanog OE and Tcf3 RNAi ESCs were able to propagate in the absence of LIF where wild-type ESCs would differentiate (Tam, W.L. et al. T-cell factor 3 regulates embryonic stem cell pluripotency and self-renewal by the transcriptional control of multiple lineage pathways. *Stem Cells* 26, 2019-31 (2008); Silva, J., Chambers, I., Pollard, S. & Smith, A. Nanog promotes transfer of pluripotency after cell fusion. *Nature* 441, 997-1001 (2006)). The derived ESC/MEF hybrids from these cells were also able to grow without LIF, whereas control hybrid cells could not. Undifferentiated ESCs were alkaline phosphatase positive (Red). Scale bar = 100  $\mu$ m.

Figure 7 is a diagram showing epigenetic analysis of Nanog promoter. The Nanog proximal promoter of MEF was highly methylated at CpG sites, and unmethylated in ESCs. Upon cell fusion, ESC/MEF hybrids derived from both Nanog OE and Tcf3 RNAi ESCs showed complete demethylation of the MEF Nanog promoter, resulting in unmethylated CpG sites in the tetraploid cells. Black circle represents a methylated CpG site, while uncolored circle represents unmethylated.

Figure 8. FACS analyses of the frequency of cell fusion events. To eliminate the possibility that enhanced reprogramming could be due to increased cell fusion events as a result of genetic manipulation, we tracked cell fusion efficiency in modified versus control ESC cells. Modified ESCs (Nanog OE, Tbx3 OE or Tcf3 RNAi) and MEFs were labeled with the fluorescent dyes SNARF-1 and CDFA-SE respectively, followed by cell fusion and plating. After 24 hours, the proportion of labeled cells was analyzed by FACS. In all three modified ESC lines which were fused with MEFs, there was no difference in the percentage of dual dye labeling compared with control ESCs.

Figure 9A is a diagram showing expression changes of Tbx3 as measured by qPCR. Both Nanog OE and Tcf3 RNAi knockdown in ESCs upregulated the levels of Tbx3, confirming microarray data shown in Figure 1D; n =3; error bars represent s.e.m.

Figure 9B is a diagram showing gene expression profiling of ESC/MEF hybrid clones. Hybrids obtained from MEF fusion with Nanog OE or Tcf3 RNAi ESCs were compared to control ESC/MEF hybrids. Of all genes up-regulated in Nanog OE or Tcf3 RNAi ESC/MEF

hybrids, *Tbx3* was among the most elevated genes. The red bars in the left-most column of each heat-map indicate genes which are direct targets of Nanog or Tcf3.

Figure 10 is a diagram showing Western blot analysis showing the over-expression of *Tbx3* from pLenti6- UBC and pMXs plasmids in HEK293T cells 48 h after infection.

5        Figure 11 is a diagram showing a summary of iPS cell line isolation. A total of 36 iPS cell lines, from transduction experiment sets A and B, showing reactivation of the Oct4-GFP transgene were isolated for each combination of reprogramming factors. These were expanded and propagated for an average of six passages before microarray analyses and validation of *in vivo* developmental potential. The combinations OSK and OSKT produced stable iPS cell  
10    lines at ~90% efficiency whereas the efficiencies for deriving iPS lines were 33.3% for OSKCT and 50% for OSKC.

Figure 12 is a diagram showing differentiation of iPS cells into teratomas. Induced-PS cells were injected subcutaneously into SCID mice. Tumor growths were observed in all instances, extracted, fixed, paraffin embedded, sectioned, and stained with hematoxylin and  
15    eosin (H&E). Teratomas obtained from iPS cells induced with OSKC, OSK and OSKT all showed extensive *in vivo* differentiation into different cell types.

Figure 13 is a diagram showing validation of gene expression levels obtained from microarray in Figure 3B by qPCR. Transcript levels for the genes of interest were normalized to  $\beta$ -actin as an internal control, followed by normalization to R1 ESC-1. n = 3 technical  
20    replicates for each iPS cell clone; error bars represent s.e.m.

Figure 14 is a diagram showing a scheme for the analysis of germ-line transmission competency of iPS cells. MEFs were derived from B6 mice bearing the Oct4-GFP transgene [CBA-Tg(Pou5f1- EGFP)2Mnn/J]. Induced-PS cells were injected into the 4-8 cell embryos of albino mice, matured into blastocysts which contained GFP<sup>+</sup> cells in the inner cell mass,  
25    and transplanted into pseudopregnant surrogate mice. At E13.5, half the mice were sacrificed to assess the contribution of iPS cells to the gonads of chimeras. At this stage, *Oct4* would be reactivated solely in germ cells. Live chimeras (F<sub>1</sub>) were obtained from the remaining surrogate mice, and subsequently bred with albino mice. F<sub>2</sub> offspring include albinos and heterozygous mice which are black. Black F<sub>2</sub> generation indicates the transmission of at least  
30    one allele (specifying coat color) and chromosomes from iPS cells.

Figure 15 is a diagram showing the contribution of iPS cells to the gonads of chimeras. We assessed the contribution of iPS cells to the testes and ovaries of E13.5 chimeras derived from the injection of iPS cells to wild-type embryos. An arbitrary reference for the percentage distribution of GFP<sup>+</sup> cells within each gonad was set at >90%, 50-90% and <50%, based on visual assessment. Only gonads with GFP<sup>+</sup> iPS-derived germ cell contribution for each combination are shown.

Figure 16 is a diagram showing silencing of integrated transgenes in iPS cells. Four days after retroviral infection of MEFs with reprogramming factors, expression of the exogenous factors can be detected with qPCR primers specific to the transgenes. In fully reprogrammed iPS cell clones, the expression of transgenes could not be detected. n = 3 technical replicates for each iPS cell clone.

Figure 17 is a diagram showing Southern hybridization analysis reveals multiple integrations of the *Oct4*, *Sox2* and *Klf4* transgenes in both OSK and OSKT clones. The frequencies of integrations appeared similar between various clones derived with both combinations. *Tbx3* integration was only detected in the OSKT clones at varying frequencies.

Figure 18 is a diagram.

Figure 19 is a diagram showing a model of “pluripotency landmarks” that can be employed to assess the reprogramming progress of the somatic genome to full developmental competency. The use of different factor combinations results in the generation of iPS populations and clones with markedly different developmental potentials centered upon progressive “landmarks” of pluripotency. The addition of *Tbx3* to the existing reprogramming factors increases the probabilistic frequency of iPS cells that attains a pluripotent state equivalent or closest to ESCs within the entire population of reprogrammed cells.

## **DETAILED DESCRIPTION**

### **ENHANCING PLURIPOTENCY WITH TBX3**

We have now identified *Tbx3* as a transcription factor that significantly improves the quality of iPS cells. Specifically, we establish that *Tbx3* is capable of enhancing the pluripotency of cells such as stem cells.

As demonstrated in the Examples, induced-PS cells generated with *Oct4*, *Sox2* and *Klf4* (OSK) + *Tbx3* (i.e., OSKT) are superior in both germ cell contribution to the gonads and

germ-line transmission frequency. In particular, we find that global gene expression profiling could not distinguish between OSK and OSKT iPS cells.

We further show in the Examples that genome-wide ChIP-sequencing analysis of Tbx3 binding sites in ESCs suggests that Tbx3 regulates pluripotency-associated and reprogramming factors, in addition to sharing many common downstream regulatory targets with Oct4, Sox2, Nanog and Smad1.

We therefore describe generally methods of enhancing or inducing pluripotency of cells such as somatic cells or stem cells (including induced pluripotent stem cells). We describe methods of inducing pluripotency in such cells. We describe methods for preparing stem cells such as induced pluripotent stem cells.

Our methods rely on the up-regulation of activity or expression, or both, of a reprogramming factor including Tbx3. In particular, we describe a method of preparation of enhancing or inducing pluripotency of cells such as somatic cells or stem cells (including induced pluripotent stem cells) in the presence of one or more reprogramming factors and Tbx3. Our methods may be used with or without using c-Myc, which is a suspected tumorigenic factor.

We have determined that pluripotency of cells may be increased and induced pluripotent stem cells may be efficiently prepared by introduction of nuclear reprogramming-inducing genes, such as an Oct family gene, a Klf family gene, a Sox family gene (or any combination of the above) into somatic cells in the presence of Tbx3.

We therefore describe a method of preparing induced pluripotent stem cells and a method of enhancing the pluripotency of stem cells, comprising nuclear reprogramming with a nuclear reprogramming factor in the presence of Tbx3. The Tbx3 may have a property of providing a higher nuclear reprogramming efficiency in the presence of the Tbx3 than in the absence thereof.

For example, our method may comprise expressing Tbx3 in embryonic stem cells at a higher level than in somatic cells. Our method may comprise the feature that Tbx3 has a property of providing a higher nuclear reprogramming efficiency in the presence of the Tbx3 than in the absence of Tbx3.

Our method may comprise the feature that the nuclear reprogramming is performed in the presence of increased levels of Tbx3 as compared to the levels present in the somatic cell prior to nuclear reprogramming.

5 The cells suitable for use in the methods and compositions described here may be derived from any suitable source. Examples include human, mouse, rat, cattle, sheep, horse, monkey, and hamster cells, such as somatic or stem cells from human or mouse, for example somatic or stem cells from human.

10 The somatic cells may comprise human embryonic cells, or adult human-derived somatic cells. The somatic cells may be specific to one or more individuals, such as a collection of somatic cells from a group of individuals or a population.

The somatic cells may comprise somatic cells collected from a patient.

15 We further provide for stem cells or cells in which pluripotency has been enhanced, including induced pluripotent stem cells, which may be made by the methods and compositions described here. We further provide for somatic cells obtained by inducing differentiation from such cells. We further provide for a therapy (which may be termed a stem cell therapy) comprising introducing or transplanting such cells into a patient.

20 We also describe a therapy in which somatic cells are introduced or transplanted into a patient. Such somatic cells may be obtained by inducing differentiation from cells such as induced pluripotent stem cells that are obtained according to the methods described here. The source somatic cells may be isolated and collected from a patient.

We also describe a method for evaluation of physiological effect or toxicity of a compound, a drug, or a toxic agent, with use of various cells obtained by inducing differentiation from cells in which pluripotency is enhanced, such as induced pluripotent stem cells, that are obtained by a method described in this document.

25 We describe a method for enhancing or inducing pluripotency of cells such as somatic cells or stem cells (including induced pluripotent stem cells), such as a method of preparing induced pluripotent stem cells, which uses Tbx3 expressed in embryonic stem cells at a higher level than in somatic cells, and having a property of providing a higher nuclear reprogramming efficiency in the presence of the Tbx3 than in the absence thereof.

We describe a nuclear reprogramming method of somatic cells which uses Tbx3 expressed in embryonic stem cells at a higher level than in somatic cells, and having a property of providing a higher nuclear reprogramming efficiency in the presence of the Tbx3 than in the absence of Tbx3.

5           The methods described here comprise the use of Tbx3 expressed in embryonic stem cells at a higher level than in somatic cells (e.g., the Tbx3 may be expressed at levels which are higher in the ES cell as compared to the ES cell which has differentiated or which has begun differentiating such as determined by RT-PCR or Northern blot analysis), and having a property of providing a higher nuclear reprogramming efficiency in the presence of the Tbx3  
10       than in the absence thereof, for enhancing or inducing pluripotency of cells such as somatic cells or stem cells (including induced pluripotent stem cells) or for the preparation of induced pluripotent stem cells.

          We describe methods relating to the use of Tbx3 expressed in embryonic stem cells at a higher level than in somatic cells, and having a property of providing a higher nuclear  
15       reprogramming efficiency in the presence of the Tbx3 than in the absence thereof, for nuclear reprogramming of somatic cells. In other words, nuclear reprogramming, and thus, induced pluripotent stem cell production or enhancement of pluripotency, may be performed in the presence of Tbx3 and in the absence of Tbx3.

          The nuclear reprogramming may also be performed in the presence of various amounts  
20       and/or forms such as isoforms of Tbx3 (as described elsewhere in this document), such that, for example, the efficiency of the nuclear reprogramming is increased when the level of the Tbx3 is increased in the somatic cell prior to nuclear reprogramming.

          We also describe methods comprising the use of Tbx3 having a property of providing a higher nuclear reprogramming efficiency in the presence of the Tbx3 than in the absence  
25       thereof, for enhancing or inducing pluripotency of cells such as somatic cells or stem cells (including induced pluripotent stem cells) such as for the preparation of induced pluripotent stem cells.

          For example, the presence of added Tbx3 can provide the formation of an induced pluripotent stem cell as compared to the lack of formation in the absence of the Tbx3. Also,  
30       for example when nuclear reprogramming is performed on the same number of somatic cells in the presence of a nuclear reprogramming factor containing the same components in the

same concentrations with and without addition of Tbx3, increased efficiency can be observed when a greater number of induced pluripotent stem cells are generated in the sample (or when a greater number of cells display pluripotency characteristics) which comprises the addition of Tbx3 than in the sample without the addition of Tbx3.

- 5            In another example, increased efficiency of induced pluripotent stems cell production may also be achieved with increased amounts of Tbx3 as compared to Tbx3 amounts present in the somatic cell prior to nuclear reprogramming.

#### **NUCLEAR REPROGRAMMING FACTORS**

- 10           Our methods rely on exposing a cell such as a somatic cell, stem cell or induced pluripotent stem cell, to one or more nuclear reprogramming factors (such as a combination of these) in the presence of Tbx3.

The nuclear reprogramming factor may comprise either a single substance or a combination of a plurality of substances, which has nuclear reprogramming activity.

- 15           Nuclear reprogramming activity may be assayed or detected by a number of methods known in the art, such as those disclosed in International Publication No. WO2005/80598 A1. This document further describes methods of screening nuclear reprogramming factors, and any molecules detected in such a screening method or which are determined to be positive for nuclear reprogramming activity may be used in the methods and compositions described in this document for preparing stem cells or increasing their pluripotency.

- 20           Those skilled in the art are able to screen a nuclear reprogramming factor for use in the methods and compositions described here by referring to the above publication. In addition, the nuclear reprogramming factor can also be confirmed by using a method in which appropriate modification or alteration has been made in the above screening method.

- 25           The nuclear reprogramming factor may comprise a single gene product, i.e., the expression product of a single gene. It may alternatively or in addition comprise a combination of gene products of a number of genes such as a plurality of genes, each of which may be deemed positive for nuclear reprogramming factor activity by a suitable assay, such as that disclosed in International Publication No. WO2005/80598 A1.

- 30           The nuclear reprogramming as described in the methods and compositions described here may comprise nuclear reprogramming with the nuclear reprogramming factor by

introducing the relevant substance as assayed as having nuclear reprogramming activity (including a plurality of substances such as a gene or genes), as determined above, into a cell such as a somatic cell.

5 The gene or genes or substance or substances may also be introduced into a cell such as a stem cell, such as an induced pluripotent stem cell. Doing so may cause the cell to become a stem cell, where it is somatic, or display one or more characteristics or activities of a stem cell. Our methods may, alternatively or in addition, increase or enhance the pluripotency of a stem cell such as an induced pluripotent stem cell.

10 The substance having nuclear reprogramming activity may be introduced into a cell such as a somatic cell or stem cell or induced pluripotent stem cell by any means suitable for the situation, and in any suitable form. For example, the substance having nuclear reprogramming activity may be introduced into the cell in the form of a recombinant vector.

15 For example, the substance with nuclear reprogramming activity may comprise a gene, and the gene may be contained in a recombinant vector which is introduced into a cell such as a somatic cell or stem cell or induced pluripotent stem cell.

#### *Combinations of Nuclear Reprogramming Factors*

20 Examples of the combination of genes encoding reprogramming factors are disclosed in International Publication No. WO2007/069666 A1 and its family member U.S. patent application Ser. No. 12/213,035 and U.S. patent application Ser. No. 12/289,873, filed Nov. 6, 2008, entitled "Nuclear Reprogramming Factor and Induced Pluripotent Stem Cells" which are incorporated by reference herein in their entireties.

25 Those skilled in the art are able to appropriately select a gene that may be used for the methods described here by referring to the above publication. In addition, other examples of the combinations of genes encoding reprogramming factors are disclosed, for example, in Yu et al., Science 318:1917-20, 2007, incorporated by reference herein in its entirety.

Accordingly, those skilled in the art are able to understand the variety of the combination of genes encoding reprogramming factors, and are able to employ an appropriate combination of genes in the methods described here, which combination is not disclosed in International Publication No. WO2007/069666 A1 or Yu et al., Science 318:1917-20, 2007,

by using the screening method of nuclear reprogramming factor described in International Publication No. WO2005/80598 A1.

Examples of the gene encoding a reprogramming factor that can be used for the methods described here can include: one or more gene(s) selected from an Oct family gene, a Klf family gene, a Sox family gene, a Myc family gene, a Lin family gene, and a Nanog gene; such as one or more gene(s) selected from an Oct family gene, a Klf family gene, a Sox family gene, a Lin family gene, and a Nanog gene, and excluding a Myc family gene; for example a combination of two genes; such as a combination of three genes; for example a combination of four genes.

Regarding the Oct family gene, Klf family gene, Sox family gene, and Myc family gene, specific examples of these family genes are described in International Publication No. WO2007/069666 A1. Regarding the Lin family gene, those skilled in the art are able to extract the family gene in a similar way. Examples of the Lin family genes include, for example, Lin28 and Lin28b. The NCBI accession numbers of Lin28 are NM 145833 (mouse) and NM 024674 (human). The NCBI accession numbers of Lin28b are NM 001031772 (mouse) and NM 001004317 (human).

#### *Low Molecular Weight Compounds*

In addition, reprogramming factor(s) encoded by one or more gene(s) selected from an Oct family gene, a Klf family gene, a Sox family gene, a Myc family gene, a Lin family gene, and a Nanog gene, may be substituted by, for example a cytokine, or one or more other low molecular weight compound(s) in some cases.

Examples of such low molecular weight compound(s) can include low molecular weight compounds having an enhancing action on the expression of one or more gene(s) selected from an Oct family gene, a Klf family gene, a Sox family gene, a Myc family gene, a Lin family gene, and a Nanog gene. Those skilled in the art are able to readily screen such low molecular weight compound(s).

Specific combinations of genes are as follows: (a) a combination of two genes comprising an Oct family gene and a Sox family gene; (b) a combination of three genes comprising an Oct family gene, a Klf family gene, and a Sox family gene; (c) a combination of four genes comprising an Oct family gene, a Sox family gene, a Lin family gene, and a Nanog gene; (d) a combination of two genes comprising an Oct family gene and a Klf family gene;

and(e) a combination of three genes comprising an Oct family gene, a Klf family gene, and a Myc family gene. However, these combinations are not to be considered as limiting.

All of these genes are commonly present in mammals, including human. In order to use the above genes according to the methods and compositions described here, genes derived from any mammal (for example, derived from a mammal such as human, mouse, rat, cattle, sheep, horse, and monkey) can be employed.

In addition, it is also possible to use a wild type gene product, as well as mutant gene products in which several amino acids (for example 1 to 10 amino acids such as 1 to 6 amino acids, such as 1 to 4 amino acids, for example 1 to 3 amino acids, for example 1 or 2 amino acids) have been substituted, inserted, and/or deleted, and which have comparable equivalent functions to those of the wild type gene product.

For example, as to the c-Myc gene product, a stable type variant, e.g., (T58A) and the like may also be used as well as the wild type. The same principle can be applied to other gene products.

#### 15 *Immortalising Genes*

In addition to the above genes, a gene encoding a factor which induces immortalization of cells may also be combined. As disclosed in International Publication No. WO2007/069666 A1, for example, one or more gene(s) selected from a TERT gene, and following genes: SV40 Large T antigen, HPV16 E6, HPV16 E7, and Bmi1, can be either solely used or jointly used in an appropriate combination.

Specific other combinations are as follows, for example: (e) a combination of four genes comprising an Oct family gene, a Klf family gene, a Sox family gene, and a TERT gene; (f) a combination of four genes comprising an Oct family gene, a Klf family gene, a Sox family gene, and a SV40 Large T antigen gene; and (g) a combination of five genes comprising an Oct family gene, a Klf family gene, a Sox family gene, a TERT gene, and a SV40 Large T antigen gene. The Klf family gene may be omitted from the above combinations.

#### *Other Genes*

Further, in addition to the above genes, one or more gene(s) selected from Fbx15, ERas, ECAT15-2, Tell, and  $\beta$ -catenin may be combined, and/or one or more gene(s) selected

from ECAT1, Esg1, Dnmt3L, ECAT8, Gdf3, Sox15, ECAT15-1, Fthl17, Sal14, Rex1, UTF1, Stella, Stat3, and Grb2 may also be combined. These combinations are specifically described in International Publication No. WO2007/069666 A1.

Other combinations of genes are as follows: (1) a combination of two genes comprising Oct3/4 and Sox2;(2) a combination of three genes comprising Oct3/4, Klf4, and Sox2;(3) a combination of four genes comprising Oct3/4, Sox2, Lin28, and Nanog;(4) a combination of four genes comprising Oct3/4, Sox2, TERT, and SV40 Large T antigen gene;(5) a combination of five genes comprising Oct3/4, Klf4, Sox2, TERT, and SV40 Large T antigen gene;(6) a combination of two genes comprising Oct3/4 and Klf4;(7) a combination of three genes comprising Oct3/4, Klf4, and c-Myc; and(8) a combination of four genes comprising Oct3/4, Sox2, Klf4, and c-Myc. However, these combinations are not to be considered as limiting.

The factors including the gene products as mentioned above may also be combined with one or more gene product(s) of gene(s) selected from: Fbx15, Nanog, ERas, ECAT15-2, Tell, and  $\beta$ -catenin. Further, these factors may also be combined with one or more gene product(s) of gene(s) selected from: ECAT1, Esg1, Dnmt3L, ECAT8, Gdf3, Sox15, ECAT15-1, Fthl17, Sal14, Rex1, UTF1, Stella, Stat3, and Grb2, for example.

These gene products are disclosed in International Publication No. WO2007/069666 A1. However, gene products that can be included in the nuclear reprogramming factors described here are not limited to the gene products of genes specifically described above.

The nuclear reprogramming factors described here can include other gene products which can function as a nuclear reprogramming factor, as well as one or more factors involving differentiation, development, or proliferation, and factors having other physiological activities.

Among these genes, if one or more gene product(s) is/are already expressed in somatic cells to be reprogrammed, such gene products can be excluded from the factors to be introduced. For example, one or more gene(s) besides the already-expressed gene(s) can be introduced into somatic cells by an appropriate gene introduction method, for example, a method using a recombinant vector.

Alternatively, among these genes, if one or more gene product(s) is/are introduced into nuclei by a technique such as addition of an HIV virus-derived TAT peptide and/or nuclear localization signal to form a fusion protein or by a technique such as nuclear microinjection, or simply by addition of a small molecule capable of diffusing across the plasma membrane, the other one or more gene(s) can be introduced by an appropriate gene introduction method, for example, a method using a recombinant vector.

#### *Fusion Products and Markers*

In addition, a gene product serving as a nuclear reprogramming factor may be either a protein itself produced from the abovementioned gene, or in the form of a fusion gene product between such a protein and another protein, a peptide, or the like.

For example, a fusion protein having Green Fluorescent Protein (GFP) and a fusion gene product having a peptide such as a histidine tag may also be used. Further, use of a prepared fusion protein having a HIV virus-derived TAT peptide enables the promotion of endocytosis of a nuclear reprogramming factor through cell membrane, and also enables the induction of reprogramming by simply adding such a fusion protein into the medium while avoiding complicated manipulations such as gene introduction.

The preparation method of the aforementioned fusion gene product is well known to those skilled in the art, and therefore those skilled in the art are able to readily design and prepare an appropriate fusion gene product according to the purpose.

As described above, pluripotency may be enhanced by the addition of Tbx3.

#### **TBX3**

TBX3 is also known as T-box 3, and as UMS; XHL; TBX3-ISO; TBX3. Sequences of TBX3 include: NG\_008315.1 RefSeqGene.

T-box transcription factor TBX3 is a protein that in humans is encoded by the TBX3 gene (Li QY, Newbury-Ecob RA, Terrett JA, Wilson DI, Curtis AR, Yi CH, Gebuhr T, Bullen PJ, Robson SC, Strachan T, Bonnet D, Lyonnet S, Young ID, Raeburn JA, Buckler AJ, Law DJ, Brook JD (Jan 1997). "Holt-Oram syndrome is caused by mutations in TBX5, a member of the Brachyury (T) gene family". Nat Genet 15 (1): 21–9).

This gene is a member of a phylogenetically conserved family of genes that share a common DNA-binding domain, the T-box. T-box genes encode transcription factors involved

in the regulation of developmental processes. This protein is a transcriptional repressor and is thought to play a role in the anterior/posterior axis of the tetrapod forelimb. Mutations in this gene cause ulnar-mammary syndrome, affecting limb, apocrine gland, tooth, hair, and genital development.

5           Alternative splicing of this gene results in three transcript variants encoding different isoforms (as described below).

Tbx3 may be derived from the same animal species as the target animal whose somatic cells are to be reprogrammed. Tbx3 suitable for use in the methods and compositions described here includes wild type Tbx3 as well as Tbx3 in which one to several nucleotides  
10 (for example 1 to 6 nucleotides, such as 1 to 4 nucleotides, such as 1 to 3 nucleotides, for example 1 or 2 nucleotides, for example 1 nucleotide) are substituted, inserted, and/or deleted, and which are capable of exerting equivalent functions to those of the wild type Tbx3 *in vivo*.

For example, the Tbx3 which may be used includes Tbx3 in which one to several nucleotides are substituted, inserted, and/or deleted, and which increase the efficiency of iPS  
15 cell production. The Tbx3 described here also includes Tbx3 in which one to several nucleotides are substituted, inserted, and/or deleted, and which improve the efficiency of nuclear reprogramming.

Examples of the Tbx3 which may be used in the methods described here can include, but are not limited to, Tbx3 isoforms such as those set out in detail below.

#### 20           *TBX3 Isoform 1*

This variant of Tbx3 (1) is a transcript variant and encodes the shorter isoform (1) of this protein. The mRNA sequence has GenBank Accession Number NM\_005996.3 T-box 3 protein isoform 1. The protein sequence has GenBank Accession Number NP\_005987.3 T-box 3 protein isoform 1.

25           The source sequence(s) include AF140240, AK054604 and BC025258. A consensus CDS has the accession number CCDS9175.1. The UniProtKB/Swiss-Prot accession number is O15119. Related Ensembl sequences have accession numbers ENSP00000257567, ENST00000349155.

MSLSMRDPV I PGTSMAYHPFLPHRAPDFAMSAVLGHQPPFFPALTLPNGAAALSLPGAL  
30   AKPIMDQLVGAAETGIPFSSLGPPQAHLRPLKTMEPEEEVEDDPKVHLEAKELWDQFHKRG

TEMVITKSGRRMFPPFKVRCGLDKKAKYILLMDIIAADDCRYKFHNSRWMVAGKADPEM  
 PKRMYIHPDSPATGEQWMSKVVTFHKLKLNNISDKHGFTILNSMHKYQPRFHIVRANDI  
 LKLPYSTFRTYLFPETEFIAVTAYQNDKITQLKIDNNPFAKGFRDTGNGRREKRKQLTLQ  
 SMRVFDERHKKENGTSDESSSEQAAFNCFAQASSPAASTVGTSNLKDLCPEGESDAEAE  
 5 SKEEHGPEACDAAKISTTTSEEPKCRDKGSPAVKAHLFAAERPRDSGRLDKASPD SRHSPA  
 TISSSTRGLGAEERRSPVREGTAPAKVEEARALPGKEAFAPLTVQTDAAAHLAQGPLPG  
 LGFAPGLAGQQFFNGHPLFLHPSQFAMGGAFSSMAAAGMGPLLATVSGASTGVSGLDSTA  
 MASAAAAQGLSGASAATLPFHLQQHVLASQGLAMSPFGSLFPYPYTYMAAAAAASSAAAS  
 SSVHRHPFLNLNTMRPRLRYSPYSIPVPVPDGSSLLTTALPSMAAAAGPLDGKVAALAAS  
 10 PASVAVDGSELNSRSSTLSSSSMSLSPKLCAEKEAATSELQSIQRLVSGLEAKPDRSRS  
 ASP

### *TBX3 Isoform 2*

This variant of Tbx3 (2) contains an alternate in-frame exon compared to variant 1.  
 The resulting isoform (2) has the same N- and C-termini and is longer compared to isoform 1.

15 The mRNA sequence has GenBank Accession Number NM\_016569.3 T-box 3 protein  
 isoform 2. The protein sequence has GenBank Accession Number NP\_057653.3 T-box 3  
 protein isoform 2. The source sequence(s) include AF140240, AK054604 and BC025258. A  
 consensus CDS has the accession number CCDS9176.1. The UniProtKB/Swiss-Prot accession  
 number is O15119. Related Ensembl sequences have accession numbers ENSP00000257566,  
 20 ENST00000257566.

MSLSMRDPVIPGTSMAYHPFLPHRAPDFAMSAVLGHQPPFFPALTLPPNGAAALSLPGAL  
 AKPIMDQLVGAAETGIPFSSLGPQAHRLPLKTMEPEEEVEDDPKVHLEAKELWDQFHKRG  
 TEMVITKSGRRMFPPFKVRCGLDKKAKYILLMDIIAADDCRYKFHNSRWMVAGKADPEM  
 PKRMYIHPDSPATGEQWMSKVVTFHKLKLNNISDKHGFTLAFPSDHATWQGNYSFGTQT  
 25 ILNSMHKYQPRFHIVRANDILKLPYSTFRTYLFPETEFIAVTAYQNDKITQLKIDNNPFA  
 KGFRDTGNGRREKRKQLTLQSMRVFDERHKKENGTSDESSSEQAAFNCFAQASSPAASTV  
 GTSNLKDLCPEGESDAEAE SKEEHGPEACDAAKISTTTSEEPKCRDKGSPAVKAHLFAAE  
 RPRDSGRLDKASPD SRHSPATISSSTRGLGAEERRSPVREGTAPAKVEEARALPGKEAFA  
 PLTVQTDAAAHLAQGPLPGLGFAPGLAGQQFFNGHPLFLHPSQFAMGGAFSSMAAAGMG  
 30 PLLATVSGASTGVSGLDSTAMASAAAAQGLSGASAATLPFHLQQHVLASQGLAMSPFGSL  
 FPYPYTYMAAAAAASSAAASSSVHRHPFLNLNTMRPRLRYSPYSIPVPVPDGSSLLTTAL  
 PSMAAAAGPLDGKVAALAASPASVAVDGSELNSRSSTLSSSSMSLSPKLCAEKEAATSE  
 LQSIQRLVSGLEAKPDRSRSASP

*Tbx3 Isoform 3*

MSLSMRDPV I PGTSMAYHPFLPHRAPDFAMSAVLGHQPPFFPALTLPPNGAAALSLPGAL  
 AKPIMDQLVGAAETGIPFSSSLGPQAHRLPLKTMEPEEEVEDDPKVHLEAKELWDQFHKRG  
 TEMVITKSGRRMFPPFKVRCGLDKKAKYILLMDIIAADDCRYKFHNSRWMVAGKADPEM  
 5 PKRMYIHPDSPATGEQWMSKVVT FHKLKL TNNISDKHGFTLAFPSDHATWQGNYSFGTQT  
 ILNSMHKYQPRFHIVRANDILKLPYSTFRTYLFPETEFIAVTAYQNDKITQLKIDNNPFA  
 KGFRDTGNRREKRKQLTLQSMRVFDERHKKENGTSDESSSEQAAFNCFQAASSPAASTV  
 GTSNLKDLCPSEGESDAEAEESKEEHGPEACDAAKISTTTSEEP CRDKGSPAVKAHLFAAE  
 RPRDSGRLDKASPDSRHSPATISSSTRGLGAEERRSPVREGTAPAKVEEARALPGKEAFA  
 10 PLTVQTDAAASAAASSSVHRHPFLNLNTMRPRLRYSPYSIPVPVPDGSSLLTTALAASPAS  
 VAVDSGSELNSRSSTLSSSSMSLSPKLCAEKEAATSELQSIQRLVSGLEAKPDRSRASAP

*TBOX*

TBOX refers to the T-box DNA binding domain of the T-box family of transcriptional  
 regulators. The T-box family is an ancient group that appears to play a critical role in  
 15 development in all animal species. These genes were uncovered on the basis of similarity to  
 the DNA binding domain of murine Brachyury (T) gene product, the defining feature of the  
 family.

Common features shared by T-box family members are DNA-binding and  
 transcriptional regulatory activity, a role in development and conserved expression patterns,  
 20 most of the known genes in all species being expressed in mesoderm or mesoderm precursors.

**TBX3 POLYPEPTIDES**

The methods and compositions described here make use of Tbx3 polypeptides, which  
 are described in detail below.

Tbx3 is also known as T-box 3, and as UMS; XHL; Tbx3-ISO; Tbx3.

25 As used here, the term “Tbx3 polypeptide” is intended to refer to a sequence having  
 GenBank Accession Number NP\_005987.3 T-box 3 protein isoform 1 or NP\_057653.3 T-box  
 3 protein isoform 2.

A “Tbx3 polypeptide” may comprise or consist of a human Tbx3 polypeptide.

Homologues variants and derivatives thereof of any, some or all of these polypeptides  
 30 are also included.

A “polypeptide” refers to any peptide or protein comprising two or more amino acids joined to each other by peptide bonds or modified peptide bonds, i.e., peptide isosteres.

“Polypeptide” refers to both short chains, commonly referred to as peptides, oligopeptides or oligomers, and to longer chains, generally referred to as proteins. Polypeptides may contain amino acids other than the 20 gene-encoded amino acids.

“Polypeptides” include amino acid sequences modified either by natural processes, such as post-translational processing, or by chemical modification techniques which are well known in the art. Such modifications are well described in basic texts and in more detailed monographs, as well as in a voluminous research literature. Modifications can occur anywhere in a polypeptide, including the peptide backbone, the amino acid side-chains and the amino or carboxyl termini. It will be appreciated that the same type of modification may be present in the same or varying degrees at several sites in a given polypeptide. Also, a given polypeptide may contain many types of modifications.

Polypeptides may be branched as a result of ubiquitination, and they may be cyclic, with or without branching. Cyclic, branched and branched cyclic polypeptides may result from posttranslation natural processes or may be made by synthetic methods. Modifications include acetylation, acylation, ADP-ribosylation, amidation, covalent attachment of flavin, covalent attachment of a heme moiety, covalent attachment of a nucleotide or nucleotide derivative, covalent attachment of a lipid or lipid derivative, covalent attachment of phosphatidylinositol, cross-linking, cyclization, disulfide bond formation, demethylation, formation of covalent cross-links, formation of cystine, formation of pyroglutamate, formylation, gamma-carboxylation, glycosylation, GPI anchor formation, hydroxylation, iodination, methylation, myristoylation, oxidation, proteolytic processing, phosphorylation, prenylation, racemization, selenoylation, sulfation, transfer-RNA mediated addition of amino acids to proteins such as arginylation, and ubiquitination. See, for instance, *Proteins - Structure and Molecular Properties*, 2nd Ed., T. E. Creighton, W. H. Freeman and Company, New York, 1993 and Wold, F., *Posttranslational Protein Modifications: Perspectives and Prospects*, pgs. 1-12 in *Posttranslational Covalent Modification of Proteins*, B. C. Johnson, Ed., Academic Press, New York, 1983; Seifter et al., “Analysis for protein modifications and nonprotein cofactors”, *Meth Enzymol* (1990) 182:626-646 and Rattan et al., “Protein Synthesis: Posttranslational Modifications and Aging”, *Ann NY Acad Sci* (1992) 663:48-62.

The term “polypeptide” includes the various synthetic peptide variations known in the art, such as a retroinverso D peptides. The peptide may be an antigenic determinant and/or a T-cell epitope. The peptide may be immunogenic *in vivo*. The peptide may be capable of inducing neutralising antibodies *in vivo*.

5 As applied to Tbx3, the resultant amino acid sequence may have one or more activities, such as biological activities in common with a Tbx3 polypeptide, for example a human Tbx3 polypeptide. For example, a Tbx3 homologue may have the ability to enhance pluripotency in a cell that has been exposed to Tbx3 compared to a cell that has not. In particular, the term “homologue” covers identity with respect to structure and/or function  
10 providing the resultant amino acid sequence has Tbx3 activity. With respect to sequence identity (i.e. similarity), there may be at least 70%, such as at least 75%, such as at least 85%, such as at least 90% sequence identity. There may be at least 95%, such as at least 98%, sequence identity. These terms also encompass polypeptides derived from amino acids which are allelic variations of the Tbx3 nucleic acid sequence.

15 Where reference is made to the “activity” or “biological activity” of a polypeptide such as Tbx3, these terms are intended to refer to the metabolic or physiological function of Tbx3, including similar activities or improved activities or these activities with decreased undesirable side effects. Also included are antigenic and immunogenic activities of Tbx3. Examples of such activities, and methods of assaying and quantifying these activities, are  
20 known in the art, and are described in detail elsewhere in this document.

#### *Other Tbx3 Polypeptides*

Tbx3 variants, homologues, derivatives and fragments are also of use in the methods and compositions described here.

25 The terms “variant”, “homologue”, “derivative” or “fragment” in relation to Tbx3 include any substitution of, variation of, modification of, replacement of, deletion of or addition of one (or more) amino acid from or to a sequence. Unless the context admits otherwise, references to “Tbx3” includes references to such variants, homologues, derivatives and fragments of Tbx3.

30 As used herein a “deletion” is defined as a change in either nucleotide or amino acid sequence in which one or more nucleotides or amino acid residues, respectively, are absent. As used herein an “insertion” or “addition” is that change in a nucleotide or amino acid

sequence which has resulted in the addition of one or more nucleotides or amino acid residues, respectively, as compared to the naturally occurring substance. As used herein “substitution” results from the replacement of one or more nucleotides or amino acids by different nucleotides or amino acids, respectively.

- 5 Tbx3 polypeptides as described here may also have deletions, insertions or substitutions of amino acid residues which produce a silent change and result in a functionally equivalent amino acid sequence. Deliberate amino acid substitutions may be made on the basis of similarity in polarity, charge, solubility, hydrophobicity, hydrophilicity, and/or the amphipathic nature of the residues. For example, negatively charged amino acids include
- 10 aspartic acid and glutamic acid; positively charged amino acids include lysine and arginine; and amino acids with uncharged polar head groups having similar hydrophilicity values include leucine, isoleucine, valine, glycine, alanine, asparagine, glutamine, serine, threonine, phenylalanine, and tyrosine.

Conservative substitutions may be made, for example according to the table below.

- 15 Amino acids in the same block in the second column and in the same line in the third column may be substituted for each other:

|     |         |                   |         |
|-----|---------|-------------------|---------|
| IC  | ALIPHAT | Non-polar         | G A P   |
|     |         |                   | I L V   |
|     |         | Polar - uncharged | C S T M |
|     |         |                   | N Q     |
|     |         | Polar - charged   | D E     |
|     |         |                   | K R     |
| TIC | AROMA   |                   | H F W Y |

Tbx3 polypeptides may further comprise heterologous amino acid sequences, typically at the N-terminus or C-terminus, such as the N-terminus. Heterologous sequences may include sequences that affect intra or extracellular protein targeting (such as leader sequences).

Heterologous sequences may also include sequences that increase the immunogenicity of the Tbx3 polypeptide and/or which facilitate identification, extraction and/or purification of the polypeptides. Another heterologous sequence that may be used is a polyamino acid sequence such as polyhistidine which may be N-terminal. A polyhistidine sequence of at least 10 amino acids, such as at least 17 amino acids but fewer than 50 amino acids may be employed.

The Tbx3 polypeptides may be in the form of the “mature” protein or may be a part of a larger protein such as a fusion protein. It is often advantageous to include an additional amino acid sequence which contains secretory or leader sequences, pro-sequences, sequences which aid in purification such as multiple histidine residues, or an additional sequence for stability during recombinant production.

Tbx3 polypeptides as described here are advantageously made by recombinant means, using known techniques. However they may also be made by synthetic means using techniques well known to skilled persons such as solid phase synthesis. Such polypeptides may also be produced as fusion proteins, for example to aid in extraction and purification. Examples of fusion protein partners include glutathione-S-transferase (GST), 6xHis, GAL4 (DNA binding and/or transcriptional activation domains) and  $\beta$ -galactosidase. It may also be convenient to include a proteolytic cleavage site between the fusion protein partner and the protein sequence of interest to allow removal of fusion protein sequences, such as a thrombin cleavage site. The fusion protein may be one which does not hinder the function of the protein of interest sequence.

The Tbx3 polypeptides may be in a substantially isolated form. This term is intended to refer to alteration by the hand of man from the natural state. If an “isolated” composition or substance occurs in nature, it has been changed or removed from its original environment, or both. For example, a polynucleotide, nucleic acid or a polypeptide naturally present in a living animal is not “isolated,” but the same polynucleotide, nucleic acid or polypeptide separated from the coexisting materials of its natural state is “isolated”, as the term is employed herein.

It will however be understood that the Tbx3 protein may be mixed with carriers or diluents which will not interfere with the intended purpose of the protein and still be regarded as substantially isolated. A Tbx3 polypeptide may also be in a substantially purified form, in which case it will generally comprise the protein in a preparation in which more than 90%, for example, 95%, 98% or 99% of the protein in the preparation is a Tbx3 polypeptide.

By aligning Tbx3 sequences from different species, it is possible to determine which regions of the amino acid sequence are conserved between different species (“homologous regions”), and which regions vary between the different species (“heterologous regions”).

The Tbx3 polypeptides may therefore comprise a sequence which corresponds to at least part of a homologous region. A homologous region shows a high degree of homology between at least two species. For example, the homologous region may show at least 70%, at least 80%, at least 90% or at least 95% identity at the amino acid level using the tests described above. Peptides which comprise a sequence which corresponds to a homologous region may be used in therapeutic strategies as explained in further detail below.

Alternatively, the Tbx3 peptide may comprise a sequence which corresponds to at least part of a heterologous region. A heterologous region shows a low degree of homology between at least two species.

#### *Tbx3 Homologues*

The Tbx3 polypeptides disclosed for use include homologous sequences obtained from any source, for example related viral/bacterial proteins, cellular homologues and synthetic peptides, as well as variants or derivatives thereof. Thus polypeptides also include those encoding homologues of Tbx3 from other species including animals such as mammals (e.g. mice, rats or rabbits), especially primates, more especially humans. More specifically, homologues include human homologues.

In the context of this document, a homologous sequence is taken to include an amino acid sequence which is at least 15, 20, 25, 30, 40, 50, 60, 70, 80 or 90% identical, such as at least 95 or 98% identical at the amino acid level, for example over at least 50, 100, 150, 200, 250, 300, 350, 400, 450, 500, 550, 600, 650, 700 or more amino acids with the sequence of a relevant Tbx3 sequence.

In particular, homology should typically be considered with respect to those regions of the sequence known to be essential for protein function rather than non-essential neighbouring sequences. This is especially important when considering homologous sequences from distantly related organisms. Example include residue numbers 107 – 220 for DNA binding comprising the T-box; first part, residue numbers 241 – 305 for DNA binding comprising the T-box; second part and residue numbers 544 – 695 for transcription repression.

Although homology can also be considered in terms of similarity (i.e. amino acid residues having similar chemical properties/functions), in the context of the present document homology may be expressed in terms of sequence identity.

5 Homology comparisons can be conducted by eye, or more usually, with the aid of readily available sequence comparison programs. These publicly and commercially available computer programs can calculate % identity between two or more sequences.

% identity may be calculated over contiguous sequences, i.e. one sequence is aligned with the other sequence and each amino acid in one sequence directly compared with the corresponding amino acid in the other sequence, one residue at a time. This is called an  
10 “ungapped” alignment. Typically, such ungapped alignments are performed only over a relatively short number of residues (for example less than 50 contiguous amino acids).

Although this is a very simple and consistent method, it fails to take into consideration that, for example, in an otherwise identical pair of sequences, one insertion or deletion will cause the following amino acid residues to be put out of alignment, thus potentially resulting  
15 in a large reduction in % homology when a global alignment is performed. Consequently, most sequence comparison methods are designed to produce optimal alignments that take into consideration possible insertions and deletions without penalising unduly the overall homology score. This is achieved by inserting “gaps” in the sequence alignment to try to maximise local identity or similarity.

20 However, these more complex methods assign “gap penalties” to each gap that occurs in the alignment so that, for the same number of identical amino acids, a sequence alignment with as few gaps as possible - reflecting higher relatedness between the two compared sequences - will achieve a higher score than one with many gaps. “Affine gap costs” are typically used that charge a relatively high cost for the existence of a gap and a smaller  
25 penalty for each subsequent residue in the gap. This is the most commonly used gap scoring system. High gap penalties will of course produce optimised alignments with fewer gaps. Most alignment programs allow the gap penalties to be modified. However, the default values may be used when using such software for sequence comparisons. For example when using the GCG Wisconsin Bestfit package (see below) the default gap penalty for amino acid  
30 sequences is -12 for a gap and -4 for each extension.

Calculation of maximum % homology therefore firstly requires the production of an optimal alignment, taking into consideration gap penalties. A suitable computer program for carrying out such an alignment is the GCG Wisconsin Bestfit package (University of Wisconsin, U.S.A; Devereux *et al.*, 1984, Nucleic Acids Research 12:387). Examples of other software than can perform sequence comparisons include, but are not limited to, the BLAST package (see Ausubel *et al.*, 1999 *ibid* – Chapter 18), FASTA (Altschul *et al.*, 1990, J. Mol. Biol., 403-410) and the GENWORKS suite of comparison tools. Both BLAST and FASTA are available for offline and online searching (see Ausubel *et al.*, 1999 *ibid*, pages 7-58 to 7-60). The GCG Bestfit program may be used.

Although the final % homology can be measured in terms of identity, the alignment process itself is typically not based on an all-or-nothing pair comparison. Instead, a scaled similarity score matrix is generally used that assigns scores to each pairwise comparison based on chemical similarity or evolutionary distance. An example of such a matrix commonly used is the BLOSUM62 matrix - the default matrix for the BLAST suite of programs. GCG Wisconsin programs generally use either the public default values or a custom symbol comparison table if supplied (see user manual for further details). The public default values for the GCG package may be used, or in the case of other software, the default matrix, such as BLOSUM62.

Once the software has produced an optimal alignment, it is possible to calculate % homology, such as % sequence identity. The software typically does this as part of the sequence comparison and generates a numerical result.

The terms “variant” or “derivative” in relation to amino acid sequences includes any substitution of, variation of, modification of, replacement of, deletion of or addition of one (or more) amino acids from or to the sequence providing the resultant amino acid sequence retains substantially the same activity as the unmodified sequence, such as having at least the same activity as the Tbx3 polypeptides.

Polypeptides having the Tbx3 amino acid sequence disclosed here, or fragments or homologues thereof may be modified for use in the methods and compositions described here. Typically, modifications are made that maintain the biological activity of the sequence. Amino acid substitutions may be made, for example from 1, 2 or 3 to 10, 20 or 30 substitutions provided that the modified sequence retains the biological activity of the

unmodified sequence. Alternatively, modifications may be made to deliberately inactivate one or more functional domains of the polypeptides described here. Amino acid substitutions may include the use of non-naturally occurring analogues, for example to increase blood plasma half-life of a therapeutically administered polypeptide.

## 5 *Tbx3 Fragments*

Polypeptides for use in the methods and compositions described here also include fragments of the full length sequence of any of the Tbx3 polypeptides identified above. Fragments may comprise at least one epitope. Methods of identifying epitopes are well known in the art. Fragments will typically comprise at least 6 amino acids, such as at least 10, 20, 30,  
10 50 or 100 amino acids.

Included are fragments comprising or consisting of, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90,  
15 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, 105, 110, 115, 120, 125, 130, 135, 140, 145, 150, 200, 250, 300, 350, 400, 450, 500, 550, 600, 650, 700 or more residues from a relevant Tbx3 amino acid sequence.

We further describe peptides comprising a portion of a Tbx3 polypeptide as described here. Thus, fragments of Tbx3 and its homologues, variants or derivatives are included. The  
20 peptides may be between 2 and 200 amino acids, such as between 4 and 40 amino acids in length. The peptide may be derived from a Tbx3 polypeptide as disclosed here, for example by digestion with a suitable enzyme, such as trypsin. Alternatively the peptide, fragment, etc may be made by recombinant means, or synthesised synthetically.

Such Tbx3 fragments may be used to generate probes to preferentially detect Tbx3  
25 expression, for example, through antibodies generated against such fragments. These antibodies would be expected to bind specifically to Tbx3, and are useful in the methods of diagnosis and treatment disclosed here.

Tbx3 and its fragments, homologues, variants and derivatives, may be made by recombinant means. However they may also be made by synthetic means using techniques  
30 well known to skilled persons such as solid phase synthesis. The proteins may also be produced as fusion proteins, for example to aid in extraction and purification. Examples of

fusion protein partners include glutathione-S-transferase (GST), 6xHis, GAL4 (DNA binding and/or transcriptional activation domains) and  $\beta$ -galactosidase. It may also be convenient to include a proteolytic cleavage site between the fusion protein partner and the protein sequence of interest to allow removal of fusion protein sequences. The fusion protein may be one which will not hinder the function of the protein of interest sequence. Proteins may also be obtained by purification of cell extracts from animal cells.

The Tbx3 polypeptides, variants, homologues, fragments and derivatives disclosed here may be in a substantially isolated form. It will be understood that such polypeptides may be mixed with carriers or diluents which will not interfere with the intended purpose of the protein and still be regarded as substantially isolated. A Tbx3 variant, homologue, fragment or derivative may also be in a substantially purified form, in which case it will generally comprise the protein in a preparation in which more than 90%, e.g. 95%, 98% or 99% of the protein in the preparation is a protein.

The Tbx3 polypeptides, variants, homologues, fragments and derivatives disclosed here may be labelled with a revealing label. The revealing label may be any suitable label which allows the polypeptide, etc to be detected. Suitable labels include radioisotopes, e.g.  $^{125}\text{I}$ , enzymes, antibodies, polynucleotides and linkers such as biotin. Labelled polypeptides may be used in diagnostic procedures such as immunoassays to determine the amount of a polypeptide in a sample. Polypeptides or labelled polypeptides may also be used in serological or cell-mediated immune assays for the detection of immune reactivity to said polypeptides in animals and humans using standard protocols.

A Tbx3 polypeptides, variants, homologues, fragments and derivatives disclosed here, optionally labelled, may also be fixed to a solid phase, for example the surface of an immunoassay well or dipstick. Such labelled and/or immobilised polypeptides may be packaged into kits in a suitable container along with suitable reagents, controls, instructions and the like. Such polypeptides and kits may be used in methods of detection of antibodies to the polypeptides or their allelic or species variants by immunoassay.

Immunoassay methods are well known in the art and will generally comprise: (a) providing a polypeptide comprising an epitope bindable by an antibody against said protein; (b) incubating a biological sample with said polypeptide under conditions which allow for the

formation of an antibody-antigen complex; and (c) determining whether antibody-antigen complex comprising said polypeptide is formed.

The Tbx3 polypeptides, variants, homologues, fragments and derivatives disclosed here may be used in *in vitro* or *in vivo* cell culture systems to study the role of their corresponding genes and homologues thereof in cell function, including their function in disease. For example, truncated or modified polypeptides may be introduced into a cell to disrupt the normal functions which occur in the cell. The polypeptides may be introduced into the cell by *in situ* expression of the polypeptide from a recombinant expression vector (see below). The expression vector optionally carries an inducible promoter to control the expression of the polypeptide.

The use of appropriate host cells, such as insect cells or mammalian cells, is expected to provide for such post-translational modifications (e.g. myristolation, glycosylation, truncation, lipidation and tyrosine, serine or threonine phosphorylation) as may be needed to confer optimal biological activity on recombinant expression products. Such cell culture systems in which the Tbx3 polypeptides, variants, homologues, fragments and derivatives disclosed here are expressed may be used in assay systems to identify candidate substances which interfere with or enhance the functions of the polypeptides in the cell.

### **TBX3 NUCLEIC ACIDS**

The methods and compositions described here may employ, as a means for detecting expression levels of Tbx3, Tbx3 polynucleotides, Tbx3 nucleotides and Tbx3 nucleic acids, as well as variants, homologues, derivatives and fragments of any of these. In addition, we disclose particular Tbx3 fragments useful for the methods of diagnosis described here. The Tbx3 nucleic acids may also be used for the methods of treatment or prophylaxis described.

The terms “Tbx3 polynucleotide”, “Tbx3 nucleotide” and “Tbx3 nucleic acid” may be used interchangeably, and should be understood to specifically include both cDNA and genomic Tbx3 sequences. These terms are also intended to include a nucleic acid sequence capable of encoding a Tbx3 polypeptide and/or a fragment, derivative, homologue or variant of this.

Where reference is made to a Tbx3 nucleic acid, this should be taken as a reference to any member of the Tbx3 family of nucleic acids. Of particular interest are Tbx3 nucleic acids

selected from the group consisting of: GenBank Accession Number NM\_005996.3 T-box 3 protein isoform 1 and GenBank Accession Number NM\_016569.3 T-box 3 protein isoform 2.

Also included are any one or more of the nucleic acid sequences set out as “Other Tbx3 nucleic acid sequences” below.

5 For example, the Tbx3 nucleic acid may comprise a human Tbx3 sequence having GenBank Accession Number NM\_005996.3 T-box 3 protein isoform 1 or GenBank Accession Number NM\_016569.3 T-box 3 protein isoform 2.

10 Tbx3 nucleic acids may be used for a variety of means, for example, for enhancing or inducing pluripotency in cells such as somatic cells, stem cells and induced pluripotent stem cells. Tbx3 nucleic acids may also be used for the expression or production of Tbx3 polypeptides.

“Polynucleotide” generally refers to any polyribonucleotide or polydeoxribonucleotide, which may be unmodified RNA or DNA or modified RNA or DNA. “Polynucleotides” include, without limitation single- and double-stranded DNA, DNA that is  
 15 a mixture of single- and double-stranded regions, single- and double-stranded RNA, and RNA that is mixture of single- and double-stranded regions, hybrid molecules comprising DNA and RNA that may be single-stranded or, more typically, double-stranded or a mixture of single- and double-stranded regions. In addition, “polynucleotide” refers to triple-stranded regions comprising RNA or DNA or both RNA and DNA. The term polynucleotide also includes  
 20 DNAs or RNAs containing one or more modified bases and DNAs or RNAs with backbones modified for stability or for other reasons. “Modified” bases include, for example, tritylated bases and unusual bases such as inosine. A variety of modifications has been made to DNA and RNA; thus, “polynucleotide” embraces chemically, enzymatically or metabolically modified forms of polynucleotides as typically found in nature, as well as the chemical forms  
 25 of DNA and RNA characteristic of viruses and cells. “Polynucleotide” also embraces relatively short polynucleotides, often referred to as oligonucleotides.

It will be understood by the skilled person that numerous nucleotide sequences can encode the same polypeptide as a result of the degeneracy of the genetic code.

30 As used herein, the term “nucleotide sequence” refers to nucleotide sequences, oligonucleotide sequences, polynucleotide sequences and variants, homologues, fragments and

derivatives thereof (such as portions thereof). The nucleotide sequence may be DNA or RNA of genomic or synthetic or recombinant origin which may be double-stranded or single-stranded whether representing the sense or antisense strand or combinations thereof. The term nucleotide sequence may be prepared by use of recombinant DNA techniques (for example, recombinant DNA).

The term “nucleotide sequence” may means DNA.

#### *Other Nucleic Acids*

We also provide nucleic acids which are fragments, homologues, variants or derivatives of Tbx3 nucleic acids. The terms “variant”, “homologue”, “derivative” or “fragment” in relation to Tbx3 nucleic acid include a substitution of, variation of, modification of, replacement of, deletion of or addition of one (or more) nucleic acids from or to the sequence of a Tbx3 nucleotide sequence. Unless the context admits otherwise, references to “Tbx3” and “Tbx3” include references to such variants, homologues, derivatives and fragments of Tbx3.

The resultant nucleotide sequence may encode a polypeptide having any one or more Tbx3 activity. The term “homologue” may be intended to cover identity with respect to structure and/or function such that the resultant nucleotide sequence encodes a polypeptide which has Tbx3 activity. For example, a homologue etc of Tbx3 may have be capable of inducing or enhancing pluripotency in a cell such as a stem cell, somatic cell or induced pluripotent stem cell, when exposed to such a cell, compared to a cell which has not been so exposed.

With respect to sequence identity (i.e. similarity), there may be at least 70%, at least 75%, at least 85% or at least 90% sequence identity. There may be at least 95%, such as at least 98%, sequence identity to a relevant sequence (e.g., a Tbx3 sequence having GenBank Accession Number NM\_005996.3 T-box 3 protein isoform 1 or GenBank Accession Number NM\_016569.3 T-box 3 protein isoform 2). These terms also encompass allelic variations of the sequences.

#### *Variants, Derivatives and Homologues*

Tbx3 nucleic acid variants, fragments, derivatives and homologues may comprise DNA or RNA. They may be single-stranded or double-stranded. They may also be polynucleotides which include within them synthetic or modified nucleotides. A number of

different types of modification to oligonucleotides are known in the art. These include methylphosphonate and phosphorothioate backbones, addition of acridine or polylysine chains at the 3' and/or 5' ends of the molecule. For the purposes of this document, it is to be understood that the polynucleotides may be modified by any method available in the art. Such modifications may be carried out in order to enhance the *in vivo* activity or life span of polynucleotides of interest.

Where the polynucleotide is double-stranded, both strands of the duplex, either individually or in combination, are encompassed by the methods and compositions described here. Where the polynucleotide is single-stranded, it is to be understood that the complementary sequence of that polynucleotide is also included.

The terms “variant”, “homologue” or “derivative” in relation to a nucleotide sequence include any substitution of, variation of, modification of, replacement of, deletion of or addition of one (or more) nucleic acid from or to the sequence. Said variant, homologues or derivatives may code for a polypeptide having biological activity. Such fragments, homologues, variants and derivatives of Tbx3 may comprise modulated activity, as set out above.

As indicated above, with respect to sequence identity, a “homologue” may have at least 5% identity, at least 10% identity, at least 15% identity, at least 20% identity, at least 25% identity, at least 30% identity, at least 35% identity, at least 40% identity, at least 45% identity, at least 50% identity, at least 55% identity, at least 60% identity, at least 65% identity, at least 70% identity, at least 75% identity, at least 80% identity, at least 85% identity, at least 90% identity, or at least 95% identity to the relevant sequence (e.g., a Tbx3 sequence having GenBank Accession Number NM\_005996.3 T-box 3 protein isoform 1 or GenBank Accession Number NM\_016569.3 T-box 3 protein isoform 2).

There may be at least 95% identity, at least 96% identity, at least 97% identity, at least 98% identity or at least 99% identity. Nucleotide identity comparisons may be conducted as described above. A sequence comparison program which may be used is the GCG Wisconsin Bestfit program described above. The default scoring matrix has a match value of 10 for each identical nucleotide and -9 for each mismatch. The default gap creation penalty is -50 and the default gap extension penalty is -3 for each nucleotide.

*Hybridisation*

We further describe nucleotide sequences that are capable of hybridising selectively to any of the sequences presented herein, or any variant, fragment or derivative thereof, or to the complement of any of the above. Nucleotide sequences may be at least 15 nucleotides in length, such as at least 20, 30, 40 or 50 nucleotides in length.

The term “hybridization” as used herein shall include “the process by which a strand of nucleic acid joins with a complementary strand through base pairing” as well as the process of amplification as carried out in polymerase chain reaction technologies.

Polynucleotides capable of selectively hybridising to the nucleotide sequences presented herein, or to their complement, may be at least 40% homologous, at least 45% homologous, at least 50% homologous, at least 55% homologous, at least 60% homologous, at least 65% homologous, at least 70% homologous, at least 75% homologous, at least 80% homologous, at least 85% homologous, at least 90% homologous, or at least 95% homologous to the corresponding nucleotide sequences presented herein (e.g., a Tbx3 sequence having GenBank Accession Number NM\_005996.3 T-box 3 protein isoform 1 or GenBank Accession Number NM\_016569.3 T-box 3 protein isoform 2.). Such polynucleotides may be generally at least 70%, at least 80 or 90% or at least 95% or 98% homologous to the corresponding nucleotide sequences over a region of at least 20, such as at least 25 or 30, for instance at least 40, 60 or 100 or more contiguous nucleotides.

The term “selectively hybridizable” means that the polynucleotide used as a probe is used under conditions where a target polynucleotide is found to hybridize to the probe at a level significantly above background. The background hybridization may occur because of other polynucleotides present, for example, in the cDNA or genomic DNA library being screening. In this event, background implies a level of signal generated by interaction between the probe and a non-specific DNA member of the library which is less than 10 fold, such as less than 100 fold as intense as the specific interaction observed with the target DNA. The intensity of interaction may be measured, for example, by radiolabelling the probe, e.g. with  $^{32}\text{P}$  or  $^{33}\text{P}$  or with non-radioactive probes (e.g., fluorescent dyes, biotin or digoxigenin).

Hybridization conditions are based on the melting temperature ( $T_m$ ) of the nucleic acid binding complex, as taught in Berger and Kimmel (1987, Guide to Molecular Cloning

Techniques, Methods in Enzymology, Vol 152, Academic Press, San Diego CA), and confer a defined “stringency” as explained below.

Maximum stringency typically occurs at about  $T_m - 5^\circ\text{C}$  ( $5^\circ\text{C}$  below the  $T_m$  of the probe); high stringency at about  $5^\circ\text{C}$  to  $10^\circ\text{C}$  below  $T_m$ ; intermediate stringency at about  $10^\circ\text{C}$  to  $20^\circ\text{C}$  below  $T_m$ ; and low stringency at about  $20^\circ\text{C}$  to  $25^\circ\text{C}$  below  $T_m$ . As will be understood by those of skill in the art, a maximum stringency hybridization can be used to identify or detect identical polynucleotide sequences while an intermediate (or low) stringency hybridization can be used to identify or detect similar or related polynucleotide sequences.

We provide nucleotide sequences that may be able to hybridise to the Tbx3 nucleic acids, fragments, variants, homologues or derivatives under stringent conditions (e.g.  $65^\circ\text{C}$  and  $0.1\times\text{SSC}$  ( $1\times\text{SSC} = 0.15\text{ M NaCl}$ ,  $0.015\text{ M Na}_3\text{ Citrate pH } 7.0$ )).

#### *Generation of Homologues, Variants and Derivatives*

Polynucleotides which are not 100% identical to the relevant sequences (e.g., a Tbx3 sequence having GenBank Accession Number NM\_005996.3 T-box 3 protein isoform 1 or GenBank Accession Number NM\_016569.3 T-box 3 protein isoform 2) but which are also included, as well as homologues, variants and derivatives of Tbx3 can be obtained in a number of ways. Other variants of the sequences may be obtained for example by probing DNA libraries made from a range of individuals, for example individuals from different populations. For example, Tbx3 homologues may be identified from other individuals, or other species. Further recombinant Tbx3 nucleic acids and polypeptides may be produced by identifying corresponding positions in the homologues, and synthesising or producing the molecule as described elsewhere in this document.

In addition, other viral/bacterial, or cellular homologues of Tbx3, particularly cellular homologues found in mammalian cells (e.g. rat, mouse, bovine and primate cells), may be obtained and such homologues and fragments thereof in general will be capable of selectively hybridising to human Tbx3. Such homologues may be used to design non-human Tbx3 nucleic acids, fragments, variants and homologues. Mutagenesis may be carried out by means known in the art to produce further variety.

Sequences of Tbx3 homologues may be obtained by probing cDNA libraries made from or genomic DNA libraries from other animal species, and probing such libraries with

probes comprising all or part of any of the Tbx3 nucleic acids, fragments, variants and homologues, or other fragments of Tbx3 under conditions of medium to high stringency.

Similar considerations apply to obtaining species homologues and allelic variants of the polypeptide or nucleotide sequences disclosed here.

5 Variants and strain/species homologues may also be obtained using degenerate PCR which will use primers designed to target sequences within the variants and homologues encoding conserved amino acid sequences within the sequences of the Tbx3 nucleic acids. Conserved sequences can be predicted, for example, by aligning the amino acid sequences from several variants/homologues. Sequence alignments can be performed using computer  
10 software known in the art. For example the GCG Wisconsin PileUp program is widely used.

The primers used in degenerate PCR will contain one or more degenerate positions and will be used at stringency conditions lower than those used for cloning sequences with single sequence primers against known sequences. It will be appreciated by the skilled person that overall nucleotide homology between sequences from distantly related organisms is likely to  
15 be very low and thus in these situations degenerate PCR may be the method of choice rather than screening libraries with labelled fragments the Tbx3 sequences.

In addition, homologous sequences may be identified by searching nucleotide and/or protein databases using search algorithms such as the BLAST suite of programs.

Alternatively, such polynucleotides may be obtained by site directed mutagenesis of  
20 characterised sequences, for example, Tbx3 nucleic acids, or variants, homologues, derivatives or fragments thereof. This may be useful where for example silent codon changes are required to sequences to optimise codon preferences for a particular host cell in which the polynucleotide sequences are being expressed. Other sequence changes may be desired in order to introduce restriction enzyme recognition sites, or to alter the property or function of  
25 the polypeptides encoded by the polynucleotides.

The polynucleotides described here may be used to produce a primer, e.g. a PCR primer, a primer for an alternative amplification reaction, a probe e.g. labelled with a revealing label by conventional means using radioactive or non-radioactive labels, or the polynucleotides may be cloned into vectors. Such primers, probes and other fragments will be

at least 8, 9, 10, or 15, such as at least 20, for example at least 25, 30 or 40 nucleotides in length, and are also encompassed by the term “polynucleotides” as used herein.

Polynucleotides such as a DNA polynucleotides and probes may be produced recombinantly, synthetically, or by any means available to those of skill in the art. They may  
5 also be cloned by standard techniques.

In general, primers will be produced by synthetic means, involving a step wise manufacture of the desired nucleic acid sequence one nucleotide at a time. Techniques for accomplishing this using automated techniques are readily available in the art.

Primers comprising fragments of Tbx3 are particularly useful in the methods of  
10 detection of Tbx3 expression, such as up-regulation of Tbx3 expression,. Suitable primers for amplification of Tbx3 may be generated from any suitable stretch of Tbx3. Primers which may be used include those capable of amplifying a sequence of Tbx3 which is specific.

Although Tbx3 primers may be provided on their own, they are most usefully provided as primer pairs, comprising a forward primer and a reverse primer.

15 Longer polynucleotides will generally be produced using recombinant means, for example using a PCR (polymerase chain reaction) cloning techniques. This will involve making a pair of primers (e.g. of about 15 to 30 nucleotides), bringing the primers into contact with mRNA or cDNA obtained from an animal or human cell, performing a polymerase chain reaction under conditions which bring about amplification of the desired region, isolating the  
20 amplified fragment (e.g. by purifying the reaction mixture on an agarose gel) and recovering the amplified DNA. The primers may be designed to contain suitable restriction enzyme recognition sites so that the amplified DNA can be cloned into a suitable cloning vector

Polynucleotides or primers may carry a revealing label. Suitable labels include radioisotopes such as  $^{32}\text{P}$  or  $^{35}\text{S}$ , digoxigenin, fluorescent dyes, enzyme labels, or other protein  
25 labels such as biotin. Such labels may be added to polynucleotides or primers and may be detected using by techniques known *per se*. Polynucleotides or primers or fragments thereof labelled or unlabeled may be used by a person skilled in the art in nucleic acid-based tests for detecting or sequencing polynucleotides in the human or animal body.

Such tests for detecting generally comprise bringing a biological sample containing  
30 DNA or RNA into contact with a probe comprising a polynucleotide or primer under

hybridising conditions and detecting any duplex formed between the probe and nucleic acid in the sample. Such detection may be achieved using techniques such as PCR or by immobilising the probe on a solid support, removing nucleic acid in the sample which is not hybridised to the probe, and then detecting nucleic acid which has hybridised to the probe. Alternatively, the sample nucleic acid may be immobilised on a solid support, and the amount of probe bound to such a support can be detected. Suitable assay methods of this and other formats can be found in for example WO89/03891 and WO90/13667.

Tests for sequencing nucleotides, for example, the Tbx3 nucleic acids, involve bringing a biological sample containing target DNA or RNA into contact with a probe comprising a polynucleotide or primer under hybridising conditions and determining the sequence by, for example the Sanger dideoxy chain termination method (see Sambrook *et al.*).

Such a method generally comprises elongating, in the presence of suitable reagents, the primer by synthesis of a strand complementary to the target DNA or RNA and selectively terminating the elongation reaction at one or more of an A, C, G or T/U residue; allowing strand elongation and termination reaction to occur; separating out according to size the elongated products to determine the sequence of the nucleotides at which selective termination has occurred. Suitable reagents include a DNA polymerase enzyme, the deoxynucleotides dATP, dCTP, dGTP and dTTP, a buffer and ATP. Dideoxynucleotides are used for selective termination.

#### *Tbx3 Control Regions*

For some purposes, it may be necessary to utilise or investigate control regions of Tbx3. Such control regions include promoters, enhancers and locus control regions. By a control region we mean a nucleic acid sequence or structure which is capable of modulating the expression of a coding sequence which is operatively linked to it.

For example, control regions are useful in generating transgenic animals expressing Tbx3. Furthermore, control regions may be used to generate expression constructs for Tbx3. This is described in further detail below.

Identification of control regions of Tbx3 is straightforward, and may be carried out in a number of ways. For example, the coding sequence of Tbx3 may be obtained from an organism, by screening a cDNA library using a human or mouse Tbx3 cDNA sequence as a probe. 5' sequences may be obtained by screening an appropriate genomic library, or by

primer extension as known in the art. Database searching of genome databases may also be employed. Such 5' sequences which are particularly of interest include non-coding regions. The 5' regions may be examined by eye, or with the aid of computer programs, to identify sequence motifs which indicate the presence of promoter and/or enhancer regions.

- 5 Furthermore, sequence alignments may be conducted of Tbx3 nucleic acid sequences from two or more organisms. By aligning Tbx3 sequences from different species, it is possible to determine which regions of the amino acid sequence are conserved between different species. Such conserved regions are likely to contain control regions for the gene in question (i.e., Tbx3). The mouse and human genomic sequences as disclosed here, for example, a
- 10 mouse Tbx3 genomic sequence, may be employed for this purpose. Furthermore, Tbx3 homologues from other organisms may be obtained using standard methods of screening using appropriate probes generated from the mouse and human Tbx3 sequences. The genome of the pufferfish (*Takifugu rubripes*) or zebrafish may also be screened to identify a Tbx3 homologue; thus, several zebrafish sequences of Tbx3 have been identified (noted above).
- 15 Comparison of the 5' non-coding region of the Fugu or zebrafish Tbx3 gene with a mouse or human genomic Tbx3 sequence may be used to identify conserved regions containing control regions.

Deletion studies may also be conducted to identify promoter and/or enhancer regions for Tbx3.

- 20 The identity of putative control regions may be confirmed by molecular biology experiments, in which the candidate sequences are linked to a reporter gene and the expression of the reporter detected.

### **EXPOSING CELLS TO TBX3**

- 25 Tbx3 may be exposed to a cell in the presence of one or more nuclear reprogramming factors for enhancing pluripotency.

The production method of Tbx3 for use in the methods and compositions described here is not specifically limited, although the production can be achieved, for example, by a chemical synthetic method or a method using genetic recombination technique.

- 30 When the production is carried out by a method using genetic recombination technique, Tbx3 for use in the methods and compositions described here can, for example, be

produced through transcription reaction with use of a DNA template and a RNA polymerase obtained by means of gene recombination. Examples of usable RNA polymerase include a T7 RNA polymerase, a T3 RNA polymerase, and a SP6 RNA polymerase.

Alternatively, a recombinant vector capable of expressing Tbx3 can be produced by  
5 insertion of Tbx3-encoding DNA into an appropriate vector under the regulation of expression control sequences (promoter and enhancer sequences and the like). The type of vector used herein is not specifically limited, although DNA vectors may be specifically used. Examples thereof can include viral vectors and plasmid vectors. The viral vector is not specifically limited, although retroviral vectors, adenoviral vectors, adeno-associated viral vectors, and the  
10 like can be employed. In addition, as to the above plasmids, mammalian expression plasmids well known to those skilled in the art can be employed.

Methods for using a retrovirus as a vector are disclosed in WO 2007/69666 A1; Takahashi et al., Cell 126:663-676, 2006; and Takahashi et al., Cell 131:861-872, 2007, which are herein incorporated by reference in their entireties. Methods for using a lentivirus as a  
15 vector are disclosed in Yu et al., Science 318:1917-1920, 2007, which is herein incorporated by reference in its entirety. Methods for using adenovirus as a vector are disclosed in Stadtfeld et al., Science 322:945-949, 2008, which is herein incorporated by reference in its entirety. Methods for using a plasmid as a non-viral vector are disclosed in U.S. Provisional Application No. 61/071,508; U.S. Provisional Application No. 61/136,246; U.S. Provisional  
20 Application No. 61/136,615; and Okita et al., Science 322:949-953, 2008, which are herein incorporated by reference in their entireties. One of ordinary skill in the art could choose and use an appropriate method from among the above known methods, or from any of the other known methods or vectors available in the prior art.

Nuclear reprogramming can be performed in the presence of Tbx3 in any number of  
25 ways. The manner of providing the Tbx3 is not specifically limited, although examples thereof can include a method for directly injecting Tbx3 into nuclei of somatic cells, and a method for introducing an appropriate recombinant vector capable of expressing Tbx3 into somatic cells. However, these methods are not to be considered as limiting.

The method for introducing a recombinant vector into somatic cells is not specifically  
30 limited, and can be carried out by any method well known to those skilled in the art. Examples of the employable methods can include transient transfection, microinjection, a calcium

phosphate precipitation method, liposome-mediated transfection, DEAE dextran-mediated transfection, electroporation, and methods comprising the use of a gene gun.

#### **INDUCTION OR ENHANCEMENT OF PLURIPOTENCY**

Cells treated by the methods and compositions described here display induced or enhanced pluripotency. In other words, such cells obtain or retain at least one characteristic of a stem cell, such as a primate or human stem cell. Such cells may retain the characteristic after one or more passages. They may do so after a plurality of passages.

The pluripotency or stem cell characteristic may comprise a morphological characteristic, immunohistochemical characteristic, a molecular biological characteristic, etc. The characteristic may comprise a biological activity.

#### **STEM CELL CHARACTERISTICS**

The cells treated by our methods, in which pluripotency is enhanced or induced, may display any of the following stem cell characteristics.

Stem cells may display increased expression of Oct4 and/or SSEA-1. Expression of any one or more of Flk-1, Tie-2 and c-kit may be decreased. Stem cells which are self-renewing may display a shortened cell cycle compared to stem cells which are not self-renewing.

Stem cells may display defined morphology. For example, in the two dimensions of a standard microscopic image, human embryonic stem cells display high nuclear/cytoplasmic ratios in the plane of the image, prominent nucleoli, and compact colony formation with poorly discernable cell junctions.

Stem cells may also be characterized by expressed cell markers as described in further detail below.

#### **EXPRESSION OF PLURIPOTENCY MARKERS**

The biological activity that is retained may comprise expression of a pluripotency marker.

Stage-specific embryonic antigens (SSEA) are characteristic of certain embryonic cell types. Antibodies for SSEA markers are available from the Developmental Studies Hybridoma Bank (Bethesda Md.). Other useful markers are detectable using antibodies

designated Tra-1-60 and Tra-1-81 (Andrews et al., Cell Lines from Human Germ Cell Tumors, in E. J. Robertson, 1987, *supra*). Human embryonic stem cells are typically SSEA-1 negative and SSEA-4 positive. hEG cells are typically SSEA-1 positive. Differentiation of pPS cells in vitro results in the loss of SSEA-4, Tra-1-60, and Tra-1-81 expression and increased expression of SSEA-1. pPS cells can also be characterized by the presence of alkaline phosphatase activity, which can be detected by fixing the cells with 4% paraformaldehyde, and then developing with Vector Red as a substrate, as described by the manufacturer (Vector Laboratories, Burlingame Calif.).

Embryonic stem cells are also typically telomerase positive and OCT-4 positive.

Telomerase activity can be determined using TRAP activity assay (Kim et al., Science 266:2011, 1997), using a commercially available kit (TRAPEze.RTM. XK Telomerase Detection Kit, Cat. s7707; Intergen Co., Purchase N.Y.; or TeloTAGGG.TM. Telomerase PCR ELISA plus, Cat. 2,013,89; Roche Diagnostics, Indianapolis). hTERT expression can also be evaluated at the mRNA level by RT-PCR. The LightCycler TeloTAGGG.TM. hTERT quantification kit (Cat. 3,012,344; Roche Diagnostics) is available commercially for research purposes.

Any one or more of these pluripotency markers, including FOXD3, PODXL, alkaline phosphatase, OCT-4, SSEA-4 and TRA-1-60, etc, may be retained by the cells produced by the methods and compositions described here.

Detection of markers may be achieved through any means known in the art, for example immunologically. Histochemical staining, flow cytometry (FACs), Western Blot, enzyme-linked immunoassay (ELISA), etc may be used.

Flow immunocytochemistry may be used to detect cell-surface markers. immunohistochemistry (for example, of fixed cells or tissue sections) may be used for intracellular or cell-surface markers. Western blot analysis may be conducted on cellular extracts. Enzyme-linked immunoassay may be used for cellular extracts or products secreted into the medium.

For this purpose, antibodies to the pluripotency markers as available from commercial sources may be used.

Antibodies for the identification of stem cell markers including the Stage-Specific Embryonic Antigens 1 and 4 (SSEA-1 and SSEA-4) and Tumor Rejection Antigen 1-60 and 1-81 (TRA-1-60, TRA-1-81) may be obtained commercially, for example from Chemicon International, Inc (Temecula, CA, USA). The immunological detection of these antigens using monoclonal antibodies has been widely used to characterize pluripotent stem cells (Shamblott M.J. et. al. (1998) PNAS 95: 13726-13731; Schuldiner M. et. al. (2000). PNAS 97: 11307 – 11312; Thomson J.A. et. al. (1998). Science 282: 1145-1147; Reubinoff B.E. et. al. (2000). Nature Biotechnology 18: 399-404; Henderson J.K. et. al. (2002). Stem Cells 20: 329-337; Pera M. et. al. (2000). J. Cell Science 113: 5-10.).

The expression of tissue-specific gene products can also be detected at the mRNA level by Northern blot analysis, dot-blot hybridization analysis, or by reverse transcriptase initiated polymerase chain reaction (RT-PCR) using sequence-specific primers in standard amplification methods. Sequence data for the particular markers listed in this disclosure can be obtained from public databases such as GenBank (URL [www.ncbi.nlm.nih.gov/80/entrez](http://www.ncbi.nlm.nih.gov/80/entrez)).

See U.S. Pat. No. 5,843,780 for further details.

Substantially all of the cells treated by the methods and compositions described here, or a substantial portion of them, may express the marker(s). For example, the percentage of cells that express the marker or markers may be 50% or more, 60% or more, 70% or more, 80% or more, 90% or more, 93% or more, 95% or more, 97% or more, 97% or more, 99% or more, or substantially 100%.

## CELL VIABILITY

The biological activity may comprise cell viability after treatment by the methods and compositions described here, or after propagation following treatment. Cell viability may be assayed in various ways, for example by Trypan Blue exclusion.

A protocol for vital staining follows. Place a suitable volume of a cell suspension (20-200  $\mu$ L) in appropriate tube add an equal volume of 0.4% Trypan blue and gently mix, let stand for 5 minutes at room temperature. Place 10  $\mu$ L of stained cells in a hemocytometer and count the number of viable (unstained) and dead (stained) cells. Calculate the average number of unstained cells in each quadrant, and multiply by  $2 \times 10^4$  to find cells/ml. The percentage of viable cells is the number of viable cells divided by the number of dead and viable cells.

The viability of cells may be 50% or more, 60% or more, 70% or more, 80% or more, 90% or more, 93% or more, 95% or more, 97% or more, 97% or more, 99% or more, or substantially 100%.

#### **KARYOTYPE**

5           The cells treated by the methods and compositions described here, in which pluripotency is enhanced or induced, may retain a normal karyotype during or after propagation. A “normal” karyotype is a karyotype that is identical, similar or substantially similar to a karyotype of a parent stem cell from which the propagule is derived, or one which varies from it but not in any substantial manner. For example, there should not be any gross  
10       anomalies such as translocations, loss of chromosomes, deletions, etc.

          Karyotype may be assessed by a number of methods, for example visually under optical microscopy. Karyotypes may be prepared and analyzed as described in McWhir et al. (2006), Hewitt et al. (2007), and Gallimore and Richardson (1973). Cells may also be karyotyped using a standard G-banding technique (available at many clinical diagnostics labs  
15       that provides routine karyotyping services, such as the Cytogenetics Lab at Oakland Calif.) and compared to published stem cell karyotypes.

          All or a substantial portion of cells treated by the methods and compositions described here may retain a normal karyotype. This proportion may be 50% or more, 60% or more, 70% or more, 80% or more, 90% or more, 93% or more, 95% or more, 97% or more, 97% or more,  
20       99% or more, or substantially 100%.

#### **PLURIPOTENCY**

          The cells treated by our methods may retain the capacity to differentiate into all three cellular lineages, i.e., endoderm, ectoderm and mesoderm. Methods of induction of stem cells to differentiate each of these lineages are known in the art and may be used to assay the  
25       capability of the cells to differentiate. All or a substantial portion of the treated cells may retain this ability. This may be 50% or more, 60% or more, 70% or more, 80% or more, 90% or more, 93% or more, 95% or more, 97% or more, 97% or more, 99% or more, or substantially 100% of the treated cells.

### **ASSAY FOR NUCLEAR REPROGRAMMING BY TBX3**

The difference in the nuclear reprogramming efficiency with and without Tbx3 can be understood by, for example, the following manner.

Transgenic mice may be generated by insertion of sequences encoding Enhanced  
 5 Green Fluorescent Protein (EGFP) and an antibiotic (such as neomycin or puromycin)  
 resistance gene downstream of a gene promoter region, the expression of which is specific to  
 ES cells (such as Nanog). Three genes, for example, Oct3/4, Sox2, and Klf4, and various  
 Tbx3 are then introduced into embryonic fibroblasts derived from these transgenic mice to  
 induce nuclear reprogramming, followed by confirmation of the production efficiency of  
 10 induced pluripotent stem cells.

The production efficiency can be determined, for example, by counting the number of  
 colonies. More specifically, the number of colonies can be compared by the following  
 manner: drug selection is started from the 21st day after introduction of the above genes and  
 Tbx3; and the number of total colonies and the number of Nanog GFP positive colonies (GFP,  
 15 the expression of which is induced by the Nanog gene promoter region, is observable under  
 fluorescent microscopy) are counted on the 28th day.

It should be understood, however, that: the confirmation of the nuclear reprogramming  
 efficiency is not limited to the above method; appropriate modification and alteration can be  
 made in the above method; and any appropriate method can be employed by those skilled in  
 20 the art.

### **CO-CULTURE AND FEEDERS**

Our methods may comprise culturing stem cells in the presence or absence of co-  
 culture. The term “co-culture” refers to a mixture of two or more different kinds of cells that  
 are grown together, for example, stromal feeder cells. The two or more different kinds of cells  
 25 may be grown on the same surfaces, such as particles or cell container surfaces, or on different  
 surfaces. The different kinds of cells may be grown on different particles or container  
 surfaces.

Feeder cells, as the term is used in this document, may mean cells which are used for  
 or required for cultivation of cells of a different type. In the context of stem cell culture, feeder  
 30 cells have the function of securing the survival, proliferation, and maintenance of ES-cell

pluripotency. ES-cell pluripotency may be achieved by directly co-cultivating the feeder cells. Alternatively, or in addition, the feeder cells may be cultured in a medium to condition it. The conditioned medium may be used to culture the stem cells.

The inner surface of the container such as a culture dish may be coated with a feeder layer of mouse embryonic skin cells that have been treated so they will not divide. The feeder cells release nutrients into the culture medium which are required for ES cell growth. The stem cells may be grown in such coated containers.

The feeder cells may themselves be grown on particles. They may be seeded on particles in a similar way as described for stem cells. The stem cells to be propagated may be grown together with or separate from such feeder particles. The stem cells may therefore be grown on a layer on such feeder cell coated particles. On the other hand, the stem cells may be grown on separate particles. Any combinations of any of these arrangements are also possible, for example, a culture which comprises feeder cells grown on particles, particles with feeder cells and stem cells, and particles with stem cells growing. These combinations may be grown in containers with a feeder layer or without.

Arrangements in which feeder cells are absent or not required are also possible. For example, the cells may be grown in medium conditioned by feeder cells or stem cells.

## **MEDIA AND FEEDER CELLS**

Media for isolating and propagating pluripotent stem cells can have any of several different formulas, as long as the cells obtained have the desired characteristics, and can be propagated further.

Suitable sources are as follows: Dulbecco's modified Eagles medium (DMEM), Gibco#11965-092; Knockout Dulbecco's modified Eagles medium (KO DMEM), Gibco#10829-018; 200 mM L-glutamine, Gibco#15039-027; non-essential amino acid solution, Gibco 11140-050; beta-mercaptoethanol, Sigma#M7522; human recombinant basic fibroblast growth factor (bFGF), Gibco#13256-029. Exemplary serum-containing embryonic stem (ES) medium is made with 80% DMEM (typically KO DMEM), 20% defined fetal bovine serum (FBS) not heat inactivated, 0.1 mM non-essential amino acids, 1 mM L-glutamine, and 0.1 mM beta-mercaptoethanol. The medium is filtered and stored at 4 degrees C for no longer than 2 weeks. Serum-free embryonic stem (ES) medium is made with 80% KO DMEM, 20% serum replacement, 0.1 mM non-essential amino acids, 1 mM L-glutamine,

and 0.1 mM beta-mercaptoethanol. An effective serum replacement is Gibco#10828-028. The medium is filtered and stored at 4 degrees C for no longer than 2 weeks. Just before use, human bFGF is added to a final concentration of 4 ng/mL (Bodnar et al., Geron Corp, International Patent Publication WO 99/20741).

5           The media may comprise Knockout DMEM media (Invitrogen-Gibco, Grand Island, New York), supplemented with 10% serum replacement media (Invitrogen- Gibco, Grand Island, New York), 5ng/ml FGF2 (Invitrogen-Gibco, Grand Island, New York) and 5ng/ml PDGF AB (Peprotech, Rocky Hill, New Jersey).

10           Feeder cells (where used) may be propagated in mEF medium, containing 90% DMEM (Gibco#11965-092), 10% FBS (Hyclone#30071-03), and 2 mM glutamine. mEFs are propagated in T150 flasks (Coming#430825), splitting the cells 1:2 every other day with trypsin, keeping the cells subconfluent. To prepare the feeder cell layer, cells are irradiated at a dose to inhibit proliferation but permit synthesis of important factors that support human embryonic stem cells (.about.4000 rads gamma irradiation). Six-well culture plates (such as  
15 Falcon#304) are coated by incubation at 37 degrees C. with 1 mL 0.5% gelatin per well overnight, and plated with 375,000 irradiated mEFs per well. Feeder cell layers are typically used 5 h to 4 days after plating. The medium is replaced with fresh human embryonic stem (hES) medium just before seeding pPS cells.

20           Conditions for culturing other stem cells are known, and can be optimized appropriately according to the cell type. Media and culture techniques for particular cell types referred to in the previous section are provided in the references cited.

### **SERUM FREE MEDIA**

The methods and compositions described here may include culture of cells such as stem cells in a serum-free medium.

25           The term “serum-free media” may comprise cell culture media which is free of serum proteins, e.g., fetal calf serum. Serum-free media are known in the art, and are described for example in US Patents 5,631,159 and 5,661,034. Serum-free media are commercially available from, for example, Gibco-BRL (Invitrogen).

30           The serum-free media may be protein free, in that it may lack proteins, hydrolysates, and components of unknown composition. The serum-free media may comprise chemically-

defined media in which all components have a known chemical structure. Chemically-defined serum-free media is advantageous as it provides a completely defined system which eliminates variability allows for improved reproducibility and more consistent performance, and decreases possibility of contamination by adventitious agents.

5           The serum-free media may comprise Knockout DMEM media (Invitrogen-Gibco, Grand Island, New York).

          The serum-free media may be supplemented with one or more components, such as serum replacement media, at a concentration of for example, 5%, 10%, 15%, etc. The serum-free media may be supplemented with 10% serum replacement media from Invitrogen- Gibco  
10   (Grand Island, New York).

          The serum-free medium in which the dissociated or disaggregated embryonic stem cells are cultured may comprise one or more growth factors. A number of growth factors are known in the art, including FGF2, IGF-2, Noggin, Activin A, TGF beta 1, HRG1 beta, LIF, S1P, PDGF, BAFF, April, SCF, Flt-3 ligand, Wnt3A and others. The growth factor(s), may be  
15   used at any suitable concentration such as between 1pg/ml to 500ng/ml.

## **STEM CELLS**

          As used in this document, the term “stem cell” refers to a cell that on division faces two developmental options: the daughter cells can be identical to the original cell (self-renewal) or they may be the progenitors of more specialised cell types (differentiation). The  
20   stem cell is therefore capable of adopting one or other pathway (a further pathway exists in which one of each cell type can be formed). Stem cells are therefore cells which are not terminally differentiated and are able to produce cells of other types.

          Stem cells as referred to in this document may include totipotent stem cells, pluripotent stem cells, and multipotent stem cells. They also specifically include induced  
25   pluripotent stem cells (iPS).

### *Totipotent Stem Cells*

          The term “totipotent” cell refers to a cell which has the potential to become any cell type in the adult body, or any cell of the extraembryonic membranes (e.g., placenta). Thus, the only totipotent cells are the fertilized egg and the first 4 or so cells produced by its cleavage.

### *Pluripotent Stem Cells*

“Pluripotent stem cells” are true stem cells, with the potential to make any differentiated cell in the body. However, they cannot contribute to making the extraembryonic membranes which are derived from the trophoblast. Several types of pluripotent stem cells  
5 have been found.

### *Embryonic Stem Cells*

Embryonic Stem (ES) cells may be isolated from the inner cell mass (ICM) of the blastocyst, which is the stage of embryonic development when implantation occurs.

### *Embryonic Germ Cells*

10 Embryonic Germ (EG) cells may be isolated from the precursor to the gonads in aborted fetuses.

### *Embryonic Carcinoma Cells*

Embryonic Carcinoma (EC) cells may be isolated from teratocarcinomas, a tumor that occasionally occurs in a gonad of a fetus. Unlike the first two, they are usually aneuploid. All  
15 three of these types of pluripotent stem cells can only be isolated from embryonic or fetal tissue and can be grown in culture. Methods are known in the art which prevent these pluripotent cells from differentiating.

### *Adult Stem Cells*

Adult stem cells comprise a wide variety of types including neuronal, skin and the  
20 blood forming stem cells which are the active component in bone marrow transplantation. These latter stem cell types are also the principal feature of umbilical cord-derived stem cells. Adult stem cells can mature both in the laboratory and in the body into functional, more specialised cell types although the exact number of cell types is limited by the type of stem cell chosen.

### *Multipotent Stem Cells*

25 Multipotent stem cells are true stem cells but can only differentiate into a limited number of types. For example, the bone marrow contains multipotent stem cells that give rise to all the cells of the blood but not to other types of cells. Multipotent stem cells are found in adult animals. It is thought that every organ in the body (brain, liver) contains them where  
30 they can replace dead or damaged cells.

Methods of characterising stem cells are known in the art, and include the use of standard assay methods such as clonal assay, flow cytometry, long-term culture and molecular biological techniques e.g. PCR, RT-PCR and Southern blotting.

In addition to morphological differences, human and murine pluripotent stem cells differ in their expression of a number of cell surface antigens (stem cell markers). Markers for stem cells and methods of their detection are described elsewhere in this document (under “Maintenance of Stem Cell Characteristics”).

### **INDUCED PLURIPOTENT STEM CELLS (IPS CELLS)**

Our methods include the preparation of cells which display enhanced pluripotency, such as induced pluripotent stem cells.

In this document, the term “induced pluripotent stem cells (iPS cells)” refers to cells having similar properties to those of ES cells, and more specifically the term includes undifferentiated cells which are reprogrammed from somatic cells and have pluripotency and proliferation potency.

However, this term is not to be construed as limiting in any sense, and should be construed to have its broadest meaning. Thus, any cell which displays an enhanced level of pluripotency, e.g., by displaying one or more enhanced characteristics of embryonic stem cells, as compared to a cell which has not been treated by the methods described here, may qualify as an iPS cell.

The preparation method of induced pluripotent stem cells with the use of a nuclear reprogramming factor is described in International Publication No. WO2005/80598 A1 (the term “ES-like cell” is used in this publication), and methods for isolating induced pluripotent stem cells are also specifically described. In addition, specific examples of the reprogramming factor and specific examples of the reprogramming method of somatic cells with use of such a reprogramming factor are disclosed in International Publication No. WO2007/069666.

The preparation method of induced pluripotent stem cells from somatic cells by the methods described here is not specifically limited, and any method can be employed as long as the method enables nuclear reprogramming of somatic cells with a nuclear reprogramming factor in the presence of Tbx3 in an environment where somatic cells and induced pluripotent stem cells can grow.

For example, a vector comprising a gene which can express a nuclear reprogramming factor can be used to introduce such a gene into somatic cells, and at either the same or different timing, a recombinant vector which can express Tbx3 can be introduced into the somatic cells. If such vectors are used, two or more genes may be incorporated into a vector to effect simultaneous expression of respective gene products in somatic cells.

When gene(s) and/or Tbx3 are introduced into somatic cells with use of a vector which can express the above gene(s), the expression vector may be introduced into somatic cells that have been cultured on feeder cells, or the expression vector may also be introduced into somatic cells alone. The latter method is sometimes more suitable in order to improve the introduction efficiency of the expression vector.

As to the feeder cells, there may be appropriately used feeder cells for use in culture of embryonic stem cells. Examples thereof can include primary culture cells of 14 or 15 day-mouse embryonic fibroblasts and STO cells of fibroblast cell line, which are treated with either radiation or a drug such as mitomycin C.

The culture of somatic cells introduced with a nuclear reprogramming factor under an appropriate condition leads to autonomous nuclear reprogramming, as a result of which induced pluripotent stem cells can be produced from somatic cells. The process for introducing a gene encoding a nuclear reprogramming factor and/or Tbx3 into somatic cells with use of an expression vector to thereby obtain induced pluripotent stem cells can be performed in accordance with, for example, a method using a retrovirus.

Examples of such methods include methods described in publications such as Takahashi et al., Cell 126:663-76, 2006; Takahashi et al., Cell 131:861-72, 2007; Yu et al., Science 318:1917-20, 2007. When human induced pluripotent stem cells are to be produced, it is desirable to set the cell culture density after the introduction of an expression vector to be lower than normal cases for culturing animal cells. For example, it is possible to keep culturing at a density of  $1 \times 10^4$  to  $1 \times 10^5$  cells/10 cm dish, such as about  $5 \times 10^4$  cells/10 cm dish.

The medium for use in culture is not specifically limited, and can be appropriately selected by those skilled in the art, although for example it is sometimes possible to use a medium suitable for human ES cell culture for the production of human induced pluripotent stem cells. The medium selection and culture condition can be referred to the above publications.

Thus produced induced pluripotent stem cells can be checked with various markers specific to undifferentiated cells, and the means therefor is described in the above publications specifically in detail. For example, some pluripotent cell markers include: alkaline phosphatase (AP); ABCG2; stage specific embryonic antigen-1 (SSEA-1); SSEA-3; SSEA-4; TRA-1-60; TRA-1-81; Tra-2-49/6E; ERas/ECAT5, E-cadherin;  $\beta$ III-tubulin;  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA); fibroblast growth factor 4 (Fgf4), Cripto, Dax1; zinc finger protein 296 (Zfp296); N-acetyltransferase-1 (Nat1); (ES cell associated transcript 1 (ECAT1); ESG1/DPPA5/ECAT2; ECAT3; ECAT6; ECAT7; ECAT8; ECAT9; ECAT10; ECAT15-1; ECAT15-2; Fth117; Sal14; undifferentiated embryonic cell transcription factor (Utf1); Rex1; p53; G3PDH; telomerase, including TERT; silent X chromosome genes; Dnmt3a; Dnmt3b; TRIM28; F-box containing protein 15 (Fbx15); Nanog/ECAT4; Oct3/4; Sox2; Klf4; c-Myc; Esrrb; TDGF1; GABRB3; Zfp42, FoxD3; GDF3; CYP25A1; developmental pluripotency-associated 2 (DPPA2); and T-cell lymphoma breakpoint 1 (Tell); DPPA3/Stella; DPPA4. Other markers can include Dnmt3L; Sox15; Stat3; Grb2; SV40 Large T Antigen; HPV16 E6; HPV16 E7, 13-catenin, and Bmi1. Such cells can also be characterized by the down-regulation of markers characteristic of the differentiated cell from which the iPS cell is induced. For example, iPS cells derived from fibroblasts may be characterized by down-regulation of the fibroblast cell marker Thy1 and/or up-regulation of SSEA-3 and 4. It is understood that description is not limited to those markers listed herein, and encompasses markers such as cell surface markers, antigens, and other gene products including ESTs, RNA (including microRNAs and antisense RNA), DNA (including genes and cDNAs), and portions thereof.

#### *Media for Maintaining Pluripotency*

Various media capable of retaining undifferentiation property and pluripotency of ES cells and various media incapable of retaining these properties are known in the art, and appropriate combination of these media enables efficient isolation of induced pluripotent stem cells.

The differentiation ability and proliferation potency of thus isolated induced pluripotent stem cells can be readily checked by those skilled in the art, with use of general checking means for ES cells. In addition, colonies of induced pluripotent stem cells may be obtained by growing thus produced induced pluripotent stem cells under an appropriate

condition, and the presence of these induced pluripotent stem cells can be identified with reference to the shape of their colonies.

For example, it is known that mouse induced pluripotent stem cells form raised colonies, while human induced pluripotent stem cells form flat colonies. These colony shapes are respectively very similar to those of mouse ES cells and human ES cells, and those skilled in the art are thus able to identify these produced induced pluripotent stem cells with reference to the shape of their colonies.

#### *Sources of Somatic Cells*

The type of somatic cell to be reprogrammed by the methods described here is not specifically limited, and any somatic cell can be used. For example, somatic cells derived from any mammal (for example, derived from a mammal such as human, mouse, rat, cattle, sheep, horse, and monkey) can be employed.

Not only embryonic somatic cells but also neonatal somatic cells, matured somatic cells, and tissue stem cells may also be used. In addition, various somatic cells such as skin cells, liver cells, and gastric mucosa cells can be reprogrammed.

For use of induced pluripotent stem cells in therapies against diseases, it may be desirable to use somatic cells isolated from the patient. For example, somatic cells involved in a disease and somatic cells associated with a therapy for a disease may be used.

#### **USES**

The possible uses of cells, which display enhanced or induced pluripotency such as induced pluripotent stem cells, produced by the methods described here is not specifically limited, and these cells can be used for every examination/study to be performed with use of ES cells, and for any disease therapy which utilizes ES cells.

For example, induced pluripotent stem cells obtained by the methods described here can be induced into desired differentiated cells (such as nerve cells, myocardial cells, blood cells and insulin-producing cells) by treatment with retinoic acid, a growth factor such as EGF, or glucocorticoid, so that appropriate tissue can be formed.

Stem cell therapies through autologous cell transplantation can be achieved by returning these differentiated cells or tissue obtained in the above manner, into the patient.

However, the application of the induced pluripotent stem cells described here is not to be limited to the abovementioned specific aspects.

## USES

The methods and compositions described here may be employed for various means.

5           For example, the cells treated by the methods and compositions described her, which display enhanced or induced pluripotency, may be used for a variety of commercially important research, diagnostic, and therapeutic purposes. The treated cells, including stem cells, may be used directly for these purposes, or may be differentiated into any chosen cell type using methods known in the art. Progenitor cells may also be derived from the treated  
10 cells, including stem cells.

          The differentiated cells or progenitor cells, or both, may be used in place of, or in combination with, the treated cells, including stem cells, for the same purposes. Thus, any use described in this document for treated cells, including stem cells, attaches equally to progenitor cells and differentiated cells derived from the treated cells, including stem cells.  
15 Similarly, any uses of differentiated cells will equally attach to those treated cells, including stem cells, for which they are progenitors, or progenitor cells.

          The uses for treated cells, including stem cells, etc are generally well known in the art, but will be described briefly here.

### *Therapeutic Uses*

20           The methods and compositions described here may be used to propagate treated cells, including stem cells, for regenerative therapy. Such cells may be expanded and directly administered into a patient. They may be used for the repopulation of damaged tissue following trauma.

          Treated cells, including stem cells, may be used directly, or used to generate  
25 ectodermal, mesodermal or endodermal progenitor cell populations, for regenerative therapy. Progenitor cells may be made by *ex vivo* expansion or directly administered into a patient. They may also be used for the re-population of damaged tissue following trauma.

          Thus, hematopoietic progenitor cells may be used for bone marrow replacement, while cardiac progenitor cells may be used for cardiac failure patients. Skin progenitor cells may be

employed for growing skin grafts for patients and endothelial progenitor cells for endothelialization of artificial prosthetics such as stents or artificial hearts.

Treated cells, including stem cells, may be used as sources of ectodermal, mesodermal or endodermal progenitor cells for the treatment of degenerative diseases such as diabetes, Alzheimer's disease, Parkinson's disease, etc. Treated cells, including stem cells, may be used as sources of mesodermal or endodermal progenitors for NK or dendritic cells for immunotherapy for cancer.

The methods and compositions described here enable the production of ectodermal, mesodermal or endodermal progenitor cells, which may of course be made to further differentiate using methods known in the art to terminally differentiated cell types.

Thus, any uses of terminally differentiated cells will equally attach to those ectodermal, mesodermal or endodermal progenitor cells (or stem cells) for which they are sources.

Treated cells, including stem cells, ectodermal, mesodermal or endodermal progenitor cells and differentiated cells produced by the methods and compositions described here may be used for, or for the preparation of a pharmaceutical composition for, the treatment of a disease. Such disease may comprise a disease treatable by regenerative therapy, including cardiac failure, bone marrow disease, skin disease, burns, degenerative disease such as diabetes, Alzheimer's disease, Parkinson's disease, etc and cancer.

## *Libraries*

For example, populations of undifferentiated and differentiated cells may be used to prepare antibodies and cDNA libraries that are specific for the differentiated phenotype. General techniques used in raising, purifying and modifying antibodies, and their use in immunoassays and immunoisolation methods are described in Handbook of Experimental Immunology (Weir & Blackwell, eds.); Current Protocols in Immunology (Coligan et al., eds.); and Methods of Immunological Analysis (Masseyeff et al., eds., Weinheim: VCH Verlags GmbH). General techniques involved in preparation of mRNA and cDNA libraries are described in RNA Methodologies: A Laboratory Guide for Isolation and Characterization (R. E. Farrell, Academic Press, 1998); cDNA Library Protocols (Cowell & Austin, eds., Humana Press); and Functional Genomics (Hunt & Livesey, eds., 2000). Relatively

homogeneous cell populations are particularly suited for use in drug screening and therapeutic applications.

### *Drug Screening*

Treated cells, including stem cells, and differentiated cells may also be used to screen for factors (such as solvents, small molecule drugs, peptides, polynucleotides, and the like) or environmental conditions (such as culture conditions or manipulation) that affect the characteristics of treated cells, including stem cells, or differentiated cells.

Treated cells, including stem cells, may be used to screen for factors that promote pluripotency, or differentiation. In some applications, differentiated cells are used to screen factors that promote maturation, or promote proliferation and maintenance of such cells in long-term culture. For example, candidate maturation factors or growth factors are tested by adding them to cells in different wells, and then determining any phenotypic change that results, according to desirable criteria for further culture and use of the cells.

Particular screening applications relate to the testing of pharmaceutical compounds in drug research. The reader is referred generally to the standard textbook "In vitro Methods in Pharmaceutical Research", Academic Press, 1997, and U.S. Pat. No. 5,030,015), as well as the general description of drug screens elsewhere in this document. Assessment of the activity of candidate pharmaceutical compounds generally involves combining the treated cells, including stem cells, or differentiated cells with the candidate compound, determining any change in the morphology, marker phenotype, or metabolic activity of the cells that is attributable to the compound (compared with untreated cells or cells treated with an inert compound), and then correlating the effect of the compound with the observed change.

The screening may be done, for example, either because the compound is designed to have a pharmacological effect on certain cell types, or because a compound designed to have effects elsewhere may have unintended side effects. Two or more drugs can be tested in combination (by combining with the cells either simultaneously or sequentially), to detect possible drug--drug interaction effects. In some applications, compounds are screened initially for potential toxicity (Castell et al., pp. 375-410 in "In vitro Methods in Pharmaceutical Research," Academic Press, 1997). Cytotoxicity can be determined in the first instance by the effect on cell viability, survival, morphology, and expression or release of certain markers, receptors or enzymes. Effects of a drug on chromosomal DNA can be determined by

measuring DNA synthesis or repair. [<sup>3</sup>H]thymidine or BrdU incorporation, especially at unscheduled times in the cell cycle, or above the level required for cell replication, is consistent with a drug effect. Unwanted effects can also include unusual rates of sister chromatid exchange, determined by metaphase spread. The reader is referred to A. Vickers (PP 375-410 in "In vitro Methods in Pharmaceutical Research," Academic Press, 1997) for further elaboration.

### *Tissue Regeneration*

Treated cells, including stem cells, generated according to the methods and compositions described here (and differentiated cells derived therefrom) may be used for therapy, for example tissue reconstitution or regeneration in a individual patient in need thereof. The cells may be administered in a manner that permits them to graft to the intended tissue site and reconstitute or regenerate the functionally deficient area.

Treated cells, including stem cells, or differentiated cells derived therefrom may be used for tissue engineering, such as for the growing of skin grafts. They may be used for the bioengineering of artificial organs or tissues, or for prosthetics, such as stents.

Differentiated cells may also be used for tissue reconstitution or regeneration in a human patient in need thereof. The cells are administered in a manner that permits them to graft to the intended tissue site and reconstitute or regenerate the functionally deficient area.

For example, the methods and compositions described here may be used to modulate the differentiation of treated cells, including stem cells. Differentiated cells may be used for tissue engineering, such as for the growing of skin grafts. Modulation of differentiation of treated cells, including stem cells, may be used for the bioengineering of artificial organs or tissues, or for prosthetics, such as stents.

In another example, neural stem cells are transplanted directly into parenchymal or intrathecal sites of the central nervous system, according to the disease being treated. Grafts are done using single cell suspension or small aggregates at a density of 25,000-500,000 cells per .mu.L (U.S. Pat. No. 5,968,829). The efficacy of neural cell transplants can be assessed in a rat model for acutely injured spinal cord as described by McDonald et al. (Nat. Med. 5:1410, 1999). A successful transplant will show transplant-derived cells present in the lesion 2-5 weeks later, differentiated into astrocytes, oligodendrocytes, and/or neurons, and migrating

along the cord from the lesioned end, and an improvement in gate, coordination, and weight-bearing.

Certain neural progenitor cells are designed for treatment of acute or chronic damage to the nervous system. For example, excitotoxicity has been implicated in a variety of conditions including epilepsy, stroke, ischemia, Huntington's disease, Parkinson's disease and Alzheimer's disease. Certain differentiated cells as made according to the methods described here may also be appropriate for treating dysmyelinating disorders, such as Pelizaeus-Merzbacher disease, multiple sclerosis, leukodystrophies, neuritis and neuropathies. Appropriate for these purposes are cell cultures enriched in oligodendrocytes or oligodendrocyte precursors to promote remyelination.

Hepatocytes and hepatocyte precursors prepared using our methods can be assessed in animal models for ability to repair liver damage. One such example is damage caused by intraperitoneal injection of D-galactosamine (Dabeva et al., *Am. J. Pathol.* 143:1606, 1993). Efficacy of treatment can be determined by immunohistochemical staining for liver cell markers, microscopic determination of whether canalicular structures form in growing tissue, and the ability of the treatment to restore synthesis of liver-specific proteins. Liver cells can be used in therapy by direct administration, or as part of a bioassist device that provides temporary liver function while the subject's liver tissue regenerates itself following fulminant hepatic failure.

Cardiomyocytes may be prepared by inducing differentiation of stem cells by modulation of the MAP kinase pathway for example with SB203580, a specific p38 MAP kinase inhibitor, as described in Graichen et al (2007). The efficacy of such cardiomyocytes may be assessed in animal models for cardiac cryoinjury, which causes 55% of the left ventricular wall tissue to become scar tissue without treatment (Li et al., *Ann. Thorac. Surg.* 62:654, 1996; Sakai et al., *Ann. Thorac. Surg.* 8:2074, 1999, Sakai et al., *J. Thorac. Cardiovasc. Surg.* 118:715, 1999). Successful treatment will reduce the area of the scar, limit scar expansion, and improve heart function as determined by systolic, diastolic, and developed pressure. Cardiac injury can also be modeled using an embolization coil in the distal portion of the left anterior descending artery (Watanabe et al., *Cell Transplant.* 7:239, 1998), and efficacy of treatment can be evaluated by histology and cardiac function. Cardiomyocyte preparations can be used in therapy to regenerate cardiac muscle and treat insufficient cardiac function (U.S. Pat. No. 5,919,449 and WO 99/03973).

### *Cancer*

Treated cells, including stem cells, generated according to the methods and compositions described here and differentiated cells derived therefrom may be used for the treatment of cancer.

- 5           The terms “cancer” and “cancerous” refer to or describe the physiological condition in mammals that is typically characterized by unregulated cell growth. Examples of cancer include but are not limited to, carcinoma, lymphoma, blastoma, sarcoma, and leukemia.

- More particular examples of such cancers include squamous cell cancer, small-cell lung cancer, non-small cell lung cancer, gastric cancer, pancreatic cancer, glial cell tumors  
 10   such as glioblastoma and neurofibromatosis, cervical cancer, ovarian cancer, liver cancer, bladder cancer, hepatoma, breast cancer, colon cancer, colorectal cancer, endometrial carcinoma, salivary gland carcinoma, kidney cancer, renal cancer, prostate cancer, vulval cancer, thyroid cancer, hepatic carcinoma and various types of head and neck cancer. Further examples are solid tumor cancer including colon cancer, breast cancer, lung cancer and  
 15   prostate cancer, hematopoietic malignancies including leukemias and lymphomas, Hodgkin’s disease, aplastic anemia, skin cancer and familial adenomatous polyposis. Further examples include brain neoplasms, colorectal neoplasms, breast neoplasms, cervix neoplasms, eye neoplasms, liver neoplasms, lung neoplasms, pancreatic neoplasms, ovarian neoplasms, prostatic neoplasms, skin neoplasms, testicular neoplasms, neoplasms, bone neoplasms,  
 20   trophoblastic neoplasms, fallopian tube neoplasms, rectal neoplasms, colonic neoplasms, kidney neoplasms, stomach neoplasms, and parathyroid neoplasms. Breast cancer, prostate cancer, pancreatic cancer, colorectal cancer, lung cancer, malignant melanoma, leukaemia, lymphoma, ovarian cancer, cervical cancer and biliary tract carcinoma are also included.

- Treated cells, including stem cells, generated and optionally differentiated according to  
 25   the methods and compositions described here may also be used in combination with anticancer agents such as endostatin and angiostatin or cytotoxic agents or chemotherapeutic agent. For example, drugs such as such as adriamycin, daunomycin, cis-platinum, etoposide, taxol, taxotere and alkaloids, such as vincristine, and antimetabolites such as methotrexate. The term “cytotoxic agent” as used herein refers to a substance that inhibits or prevents the  
 30   function of cells and/or causes destruction of cells. The term is intended to include radioactive isotopes (e.g. I, Y, Pr), chemotherapeutic agents, and toxins such as enzymatically active toxins of bacterial, fungal, plant or animal origin, or fragments thereof.

Also, the term includes oncogene product/tyrosine kinase inhibitors, such as the bicyclic ansamycins disclosed in WO 94/22867; 1,2-bis(arylamino) benzoic acid derivatives disclosed in EP 600832; 6,7-diamino-phthalazin-1-one derivatives disclosed in EP 600831; 4,5-bis(arylamino)-phthalimide derivatives as disclosed in EP 516598; or peptides which inhibit binding of a tyrosine kinase to a SH2-containing substrate protein (see WO 94/07913, for example). A “chemotherapeutic agent” is a chemical compound useful in the treatment of cancer. Examples of chemotherapeutic agents include Adriamycin, Doxorubicin, 5-Fluorouracil (5-FU), Cytosine arabinoside (Ara-C), Cyclophosphamide, Thiotepa, Busulfan, Cytosin, Taxol, Methotrexate, Cisplatin, Melphalan, Vinblastine, Bleomycin, Etoposide, Ifosfamide, Mitomycin C, Mitoxantrone, Vincristine, VP-16, Vinorelbine, Carboplatin, Teniposide, Daunomycin, Carminomycin, Aminopterin, Dactinomycin, Mitomycins, Nicotinamide, Esperamicins (see U.S. Pat. No. 4,675,187), Melphalan and other related nitrogen mustards, and endocrine therapies (such as diethylstilbestrol (DES), Tamoxifen, LHRH antagonizing drugs, progestins, anti-progestins etc).

## **FURTHER ASPECTS**

Further aspects and embodiments of the invention are now set out in the following numbered; it is to be understood that the invention encompasses these aspects:

We describe methods for efficiently preparing induced pluripotent stem cells. We describe methods for achieving efficient preparation of induced pluripotent stem cells in the presence of Tbx3. We describe methods for efficient preparation of induced pluripotent stem cells with a nuclear reprogramming factor, including Tbx3. We describe methods for efficient preparation of induced pluripotent stem cells with a nuclear reprogramming factor in the presence of increased Tbx3 as compared to the level present in the somatic cell prior to nuclear reprogramming. We describe such methods in which the nuclear reprogramming factor does not include c-Myc.

We describe a method of preparing induced pluripotent stem cells, comprising nuclear reprogramming a somatic cell with nuclear reprogramming factor and Tbx3, in which Tbx3 increases efficiency of the nuclear reprogramming of the somatic cell compared to nuclear reprogramming of the somatic cell with the nuclear reprogramming factor in the absence of Tbx3.

We describe such a method, in which Tbx3 is expressed in embryonic stem cells at a higher level than in somatic cells.

We describe such a method, in which a gene encoding the nuclear reprogramming factor and/or Tbx3 is introduced into a somatic cell.

- 5           We describe such a method, in which a vector comprising the gene and/or a vector encoding Tbx3 is introduced into a somatic cell.

We describe such a method, in which the vector comprising the gene or encoding Tbx3 is a retroviral vector.

- 10           We describe such a method, in which the gene is selected from an Oct family gene, a Klf family gene, and a Sox family gene.

We describe such a method, in which the gene is selected from Oct3/4, Klf4, and Sox2.

We describe such a method, in which the nuclear reprogramming factor comprises Oct3/4, Klf4, and Sox2.

- 15           We describe such a method, in which Tbx3 is introduced into a somatic cell as a nucleic acid.

We describe such a method, in which the nuclear reprogramming factor does not include c-Myc.

- 20           In an alternative embodiment, the nuclear reprogramming factor includes a Myc family gene, such as c-Myc.

We describe such a method, in which the nuclear reprogramming factor comprises an Oct family gene member, a Sox family gene member, and a Klf family gene member.

We describe such a method, in which the nuclear reprogramming factor comprises a Klf family gene, and an Oct family gene.

- 25           We describe such a method, in which the nuclear reprogramming factor further comprises a Sox family gene.

We describe such a method, in which the nuclear reprogramming factor further comprises a Sox family gene.

We describe such a method, in which the nuclear reprogramming factor comprises KLF4 and OCT3/4.

5 We describe such a method, in which the nuclear reprogramming factor excludes a Sox family gene.

We describe such a method, in which the nuclear reprogramming factor excludes a Myc family gene.

10 We describe such a method, where the somatic cell comprises a plurality of somatic cells.

We describe a method of increasing the efficiency of nuclear reprogramming comprising: adding a nuclear reprogramming factor and Tbx3 to a somatic cell so that the number of induced pluripotent stem cells produced is greater than in the absence of the added Tbx3.

15 We describe an induced pluripotent stem cell induced by reprogramming a somatic cell, in which the reprogramming is performed by adding Tbx3 and in the absence of eggs, embryos, or embryonic stem (ES) cells.

We describe such an induced pluripotent stem cell, in which the induced pluripotent stem cell is a human cell.

20 We describe an induced pluripotent stem cell obtained by a method of preparing induced pluripotent stem cells, comprising nuclear reprogramming a somatic cell with nuclear reprogramming factor and Tbx3, in which the Tbx3 increases efficiency of the nuclear reprogramming of the somatic cell compared to nuclear reprogramming of the somatic cell with the nuclear reprogramming factor in the absence of Tbx3.

25 We describe an pluripotent stem cell obtained by a method of increasing the efficiency of nuclear reprogramming comprising: adding a nuclear reprogramming factor and Tbx3 to a somatic cell so that the number of induced pluripotent stem cells produced is greater than in the absence of the added Tbx3.

We describe somatic cell derived by inducing differentiation of any of the above pluripotent stem cells.

We further describe a method of preparing an induced pluripotent stem cell from a somatic cell, comprising nuclear reprogramming the somatic cell with a nuclear reprogramming factor and Tbx3, in which Tbx3 increases efficiency of the nuclear reprogramming of the somatic cell compared to nuclear reprogramming of the somatic cell with the nuclear reprogramming factor in the absence of Tbx3, and in which the nuclear reprogramming factor comprises at least (i) an Oct family gene, (ii) an Oct family gene and a Klf family gene, (iii) an Oct family gene and a Nanog gene, or (iv) an Oct family gene, Klf family gene, and a Myc family gene, but it does not comprise a Sox family gene.

We yet further describe a method of increasing the efficiency of nuclear reprogramming for inducing an induced pluripotent stem cell from a somatic cell, comprising subjecting the somatic cell to nuclear reprogramming with the nuclear reprogramming factor in the presence of Tbx3, so that the number of induced pluripotent stem cells produced is greater than in the absence of Tbx3, in which Tbx3 increases efficiency of the nuclear reprogramming of the somatic cell compared to nuclear reprogramming of the somatic cell with the nuclear reprogramming factor in the absence of Tbx3, and in which the nuclear reprogramming factor comprises at least (i) an Oct family gene, (ii) an Oct family gene and a Klf family gene, (iii) an Oct family gene and a Nanog gene, or (iv) an Oct family gene, Klf family gene, and a Myc family gene, but it does not comprise a Sox family gene.

We describe each of such methods, in which the Tbx3 is expressed in embryonic stem cells at a higher level than in somatic cells.

We describe each of such methods, in which the Oct family gene is an Oct3/4 gene, the Klf family gene is a Klf4 gene, the Myc family gene is a c-Myc gene, or the Sox family gene is a Sox2 gene.

We describe each of such methods, in which the nuclear reprogramming factor is (i) a Oct3/4 gene, (ii) a combination of an Oct3/4 gene and a Klf4 gene, (iii) a combination of an Oct3/4 gene and a Nonog gene, or (iv) a combination of an Oct3/4 gene, a Klf4 gene and a c-Myc gene.

We describe each of such methods, in which the nuclear reprogramming factor is introduced in the form of a vector comprising DNA encoding the nuclear reprogramming factor, into the somatic cell.

We describe each of such methods, in which the Tbx3 is introduced in the form of a vector comprising DNA encoding Tbx3 into the somatic cell.

The somatic cell may comprise a human somatic cell.

### **EXAMPLES**

#### **Example 1. Materials and Methods - Cell culture and Transfection**

All cell cultures were maintained at 37°C with 5% CO<sub>2</sub>. The culture of mouse R1 and D3 ESCs was described previously<sup>25</sup>.

HEK293T cells were maintained in DMEM supplemented with 10% FBS and penicillin/streptomycin.

Transfection of plasmids into mouse ESCs and HEK293 cells was performed using Lipofectamine 2000 (Invitrogen).

Plat-E packaging cells (Cell Biolabs, INC), which were used to produce retrovirus, were maintained according to the manufacturer's guide.

#### **Example 2. Materials and Methods - Plasmid construction, viral packaging and infection.**

Coding sequences of *Tbx3* were amplified from mouse ESCs by RT-PCR and cloned into pLenti6-UBC (Invitrogen) and pMXs vectors; *Oct4*, *Sox2*, *Klf4*, *c-Myc* in pMXs were obtained from Addgene; *Esrrb* was obtained from Feng et al<sup>7</sup>.

The retrovirus and lentivirus were generated as previously described<sup>1,26</sup>.

For the generation of iPS cells, equal amounts of virus encoding different combination of factors were applied to  $5 \times 10^4$  plated MEFs in 10cm<sup>2</sup> dishes in 10% FBS DMEM media containing 8 ng ml<sup>-1</sup> polybrene. After 24h, inactivated feeder cells and fresh media were added, and the culture was then maintained for up to 21 days. For RNA interference (RNAi) design and construction of plasmids for shRNA synthesis, 19 base-pair gene-specific regions were designed based on the algorithm of Reynolds et al<sup>27</sup>.

Oligonucleotides were cloned into pSuper.puro (Oligoengine). All sequences were analyzed by BLAST to ensure specificity.

### **Example 3. Materials and Methods - Cell fusion**

For PEG-mediated fusions, cells of each type ( $\sim 1 \times 10^6$ ) were mixed in serum-free DMEM, pelleted, and the supernatant removed. The pellet was resuspended in 300 $\mu$ l of 50% w/v PEG1500, and left for 3 min with occasional tapping to mix.

Then, 2 ml of medium was added and the cells were spun down, and supernatant discarded. The pellet was resuspended in ESC medium and plated on 10 cm<sup>2</sup> dishes. Puromycin (1  $\mu$ g ml<sup>-1</sup>) and Neomycin (300 mg ml<sup>-1</sup>) were added after 24h.

### **10 Example 4. Materials and Methods - Gene expression microarray and Analysis**

Cells were rinsed twice in ice-cold PBS. Total RNA was extracted using Trizol (Invitrogen) and column-purified with RNeasy kits (Qiagen).

Expression profiling of coding genes was carried out using Illumina MouseRef-8v1.1 BeadArrays as per manufacturer's instructions. Total chip data is deposited for public access with GEO repository accession number: *updating in process*.

All data were subtracted from background intensities and were normalized across chips using the cross-correlation method<sup>28</sup>. The normalized data were first log<sub>2</sub> transformed and then subtracted from the mean of the median intensities of the two groups (i.e. *Nanog* OE with control vector, *Tcf3* RNAi with control RNAi).

Prior to clustering, the data were further sorted based on direct targets of Nanog or Tcf3, and subsequently arranged in the descending order of the fold change. The clustering tree was generated using hierarchical clustering with average linkage.

### **Example 5. Materials and Methods - Mouse molecular genetics**

*Oct4-GFP* transgenic mice (Jackson's Lab, stock no. 004654) were used for MEF isolation at E13.5.

Albino embryos were isolated at 2-cell stage, matured to 4-8-cell stage and microinjected with iPS cells using the Piezo Micro Manipulator (PMAS-CT150, PMM) under the fluorescent microscope (Olympus) to generate chimeras. Injected embryos were cultured

in KSOM media (Specialty Media) to the blastocyst stage and then transferred to the uterine horns of E2.5 pseudopregnant F<sub>1</sub> (CBA x C57BL/6J) females. Chimeric embryos were harvested at E13.5 for analysis of GFP expression in the gonads.

#### **Example 6. Materials and Methods - Tetraploid complementation**

5 Tetraploid (4n) embryos were generated using 2-cell fusion by Electro cell manipulator (ECM 2001, BTX Har

ard Apparatus) and incubated in KSOM till 4-8 cell stage prior to aggregation with iPS cells. The zona pellucida of the tetraploid 4-8 cell stage embryos were removed by brief exposure to acid Tyrode's solution. Three tetraploid embryos and ~40 iPS cells were  
10 aggregated in a single well, and incubated in KSOM medium for 24 h to form a morula or blastocyst<sup>16,17</sup>.

Approximately 10-14 embryos were transferred into the uterus (for blastocysts) or oviducts (for morulas) of CBAB6F1 pseudopregnant mice.

#### **Example 7. Materials and Methods - Differentiation of ESCs**

15 Mouse ESCs were grown in ESC medium without LIF plus the addition of all-*trans* retinoic acid (RA; 100 nM), maintained on 0.1% gelatin-coated plates with culture medium replenished every 24 h. *In vivo* differentiation of ESCs was performed in SCID mice.

#### **Example 8. Materials and Methods - Tumorigenicity in SCID mice**

20 Female SCID mice 6–8 weeks old were housed under pathogen-free conditions in a temperature controlled room on 12/12 h light/dark schedule with food and water *ad libitum*.

All procedures involving animals and their care were in accordance with national and international regulations.

$5 \times 10^6$  cells from each condition were injected subcutaneously into the lower back of the mice. Five mice were used for each condition. At three weeks, the mice were euthanized  
25 and the tumors extracted.

For immunohistochemistry, four  $\mu$ m thick paraffin-embedded tumor tissue sections were stained with haematoxylin and eosin.

### Example 9. Materials and Methods - Protein extraction and western blotting

To obtain protein extracts, cells were scraped from culture dishes in chilled PBS, centrifuged at  $450 \times g$  for 4 min at  $4^{\circ}\text{C}$ , washed again in PBS, and incubated for 20 min in ice cold lysis buffer containing freshly added protease inhibitors (0.5 mM phenylmethylsulfonyl fluoride,  $10 \mu\text{g ml}^{-1}$  leupeptin,  $2 \mu\text{g ml}^{-1}$  aprotinin).

Lysates were cleared by centrifugation at  $12,100 \times g$ ,  $4^{\circ}\text{C}$  for 10 min and the supernatant was snap frozen in liquid nitrogen. Protein concentrations were determined using Bradford Dye (Bio-Rad).  $10 \mu\text{g}$  total protein was separated by SDS- PAGE on NuPAGE gels (Invitrogen), and transferred to Hybond-P PVDF membrane (GE Healthcare).

The membrane was probed with specific antibodies and antibody-protein complex detected by HRP-conjugated antibodies and ECL-Plus (Amersham Biosciences).

### Example 10. Materials and Methods - RNAi assay

shRNA construct was designed as described previously (Jiang, J. et al. A core Klf circuitry regulates self-renewal of embryonic stem cells. *Nat Cell Biol* 10, 353-60 (2008)).

The shRNA construct for Tbx3 was designed to target 19-base-pair (bp) transcript- specific regions. The sequence targeted by the shRNAs is as following:  
GAGCCAACGATATCCTGAA

The control shRNA sequence is GATGAAATGGGTAAGTACA, which targets the *luciferase* gene. These oligonucleotides were cloned into pSuperpuro (BglIII and HindIII sites; Oligoengine). The pSuperpuro plasmid expresses puromycin as the selective marker. Transfection of shRNA plasmids was performed using Lipofectamine 2000 (Invitrogen) according to the manufacturer's instructions.

Briefly,  $2 \mu\text{g}$  of plasmids were transfected into ES cells on 60-mm plates. Puromycin (Sigma) selection was introduced 1 day after transfection at  $1.0 \mu\text{g/ml}$ , and maintained for 3 days prior to harvesting.

### Example 11. Materials and Methods - ChIP and ChIP-seq assay

ChIP assay was carried out as described previously (Jiang, J. et al. A core Klf circuitry regulates self-renewal of embryonic stem cells. *Nat Cell Biol* 10, 353-60 (2008)).

Briefly, the cells were cross-linked with 1% (w/v) formaldehyde for 10 min at room temperature, and formaldehyde was then inactivated by the addition of 125 mM glycine. Chromatin extracts containing DNA fragments with an average size of 500 bp were immunoprecipitated using anti-Tbx3 antibody (sc-31657; Santa Cruz Biotechnology).

5           The ChIP-enriched DNA was then decrosslinked and analyzed by realtime PCR using the ABI PRISM 7900 sequence detection system and SYBR green master mix. For ChIP-seq assay, 10 ng of ChIP DNA was end polished with T4 DNA polymerase and kinase. An A base was added to the polished DNA fragments followed by the Qiaquick column clean up. Solexa adaptors were ligated to the ChIP DNA fragments and enriched by 15 cycles of PCR  
10   amplification.

150-300 bp size fractions were selectively cut out from the gel and eluted by Qiagen gel extraction kit. The extracted DNA was quantified by picogreen assay and subjected to Solexa sequencing according to the manufacturer's instruction. Tbx3 binding peak calling to mouse reference genome was done by MACS program<sup>4</sup>.

15           Colocalization analysis of Tbx3 binding sites with sites of other TFs was done using ChIP-seq data (Chen, X. et al. Integration of external signaling pathways with the core transcriptional network in embryonic stem cells. *Cell* 133, 1106-17 (2008)). 200 bp distance between ChIP-seq peaks was used to count event of TF co-occurrence.

### **Example 12. Materials and Methods - Southern hybridization**

20           Genomic DNA isolated from iPS cell clones, or ESCs (WT) was digested with restriction enzymes, separated on an agarose gel, transferred to a nylon membrane, and hybridized with a cDNA probe for Oct4, Sox2 Klf4 or Tbx3. Oct4: SalI + EcoRI; Forward primer – AAAGCAACTCAGAGGGAACC; Reverse primer – GGCAGAGGAAAGGATACAGC

25           Sox2: BamHI + EcoRI; Forward primer – AGAACCCCAAGATGCACAAC; Reverse primer – AGTGGGAGGAAGAGGTAACCA Klf4: BamHI + HindIII; Forward primer – TTTGTGCTGAAGGCGTCTCT; Reverse primer – TGTGTGTTTGCGGTAGTGC

Tbx3: ClaI + BamHI; Forward primer – AGAGTGTTTGAGGAGAGGCA; Reverse primer – TAGGGGTAAGGAAACAGGCT

### Example 13. Results - Loss of *Tcf3* enhances fusion-mediated reprogramming of somatic hybrid cells

To demonstrate that loss of *Tcf3* enhances fusion-mediated reprogramming of somatic hybrid cells, we used polyethyleneglycol (PEG) to generate fusion hybrids between *Nanog* over-expressing (OE) or *Tcf3* RNAi ESCs that were neomycin-resistant (Neo<sup>R</sup>), and primary MEFs that were puromycin-resistant (Puro<sup>R</sup>).

After fusion, the plated cells were double selected with neomycin and puromycin (Figure 1A). Under these conditions, only reprogrammed ESC/MEF hybrids can survive.

Consistent with previous observations, *Nanog* OE ESCs showed enhanced reprogramming efficiency, compared to control (Figure 1B & C). Using *Tcf3*-deficient ESC lines, there was also a marked increase in the number of hybrid clones (Figure 1B & C). Karyotype analysis confirmed that these were tetraploid (Figure 5).

We showed these hybrids to possess properties similar to the parental modified ESC lines, including their response to the lack of leukemia inhibitory factor (LIF) and epigenetic reprogramming of the *Nanog* promoter (Figure 6 and Figure 7). We also eliminated the possibility that the improvement in reprogramming frequency could be attributed to increased cell fusion events<sup>13</sup> (Figure 8).

### Example 14. Results - *Tbx3* is a Shared Downstream Mediator of *Nanog* and *Tcf3*

We examined the repertoire of genes elevated in *Nanog* OE and *Tcf3* RNAi ESCs that could suggest a shared downstream mediator.

The intersected gene expression profiles of these two cell lines revealed a handful of genes such as *Dazl*, *Fzd10*, *Hal*, *4930502E18Rik*, and *Erf* that were upregulated in both conditions. Strikingly, *Tbx3*, an ESC-associated transcription factor that has reported roles in sustaining pluripotency<sup>15</sup> was strongly elevated (Figure 1D and Figure 9A).

RNAi knockdown of *Tbx3* in ESC induced striking differentiation (Figure 1E), with the concomitant downregulation of pluripotency-associated genes. *Tbx3* is also directly bound by both *Nanog* and *Tcf3* (Figure 1F). In reprogrammed ESC/MEF hybrids, *Tbx3* levels remained highly elevated (Figure 9A).

To test the role of *Tbx3* in cell fusion-mediated reprogramming, we generated stable Neo<sup>R</sup> *Tbx3* over-expressing ESC lines (Figure 10), followed by fusion with MEFs. Indeed, there was an increase in the number of hybrids with *Tbx3* OE ESCs compared to control (Figure 1B & C). We again eliminated enhanced cell fusion as a mechanism (Figure 9).

## 5 **Example 15. Results - *Tbx3* improves reprogramming efficiency of iPS cells**

Retroviral infection of MEFs bearing the *Oct4-GFP* transgene with OSKC induced ~300 ESC-like colonies per  $5 \times 10^4$  starting cells (Figure 2A). However, only ~10% of these showed activation of the transgene.

10 The addition of *Tbx3* (OSKCT) did not increase the frequency of GFP<sup>+</sup> colony numbers (Figure 2A). With three factors (OSK), the total number of ESC-like colonies was dramatically reduced but false positive iPS cells were significantly eliminated as well. 74% of OSK colonies expressed GFP (Figure 2A).

15 The addition of *Tbx3* (OSKT) improved the overall colony count (~38 on average) when compared with OSK (~26), and the percentage of GFP<sup>+</sup> colonies also significantly increased to 89% (Figure 2A).

20 Other qualitative differences between iPS cells obtained with different factor combinations were observed. The iPS colonies derived with OSKC were interspersed and difficult to distinguish amongst transformed and partially reprogrammed cells that did not show GFP expression. OSK and OSKT iPS cell colonies were morphologically similar, with uniform GFP expression within individual colonies (Figure 2B).

25 However, while the activation of *Oct4* typically required 14 days post-infection with OSK and OSKC, the use of OSKT took 9-10 days, suggesting that *Tbx3* accelerated the reprogramming process (Figure 2C). The efficiency of isolating stable iPS cell lines from GFP<sup>+</sup> colonies was similar between OSK and OSKT transduction, but almost two-fold higher than OSKC and OSKCT (Figure 11). For all the iPS cell lines obtained, we performed PCR analysis on genomic DNA and confirmed integration of the respective transduced gene plasmids (Figure 2D).

Molecular characterization of iPS cells from OSKT confirmed these were alkaline phosphatase-positive and expressed Nanog, Sox2 and SSEA1 (Figure 2E). Similar to ESCs,

they also form teratomas comprised of multiple differentiated cell types when xenografted into SCID mice (Figure 12).

### **Example 16. Results - Global Gene Expression Profiles**

Next we sought to examine the differences in global gene expression profiles between  
5 iPS cells generated using different factor combinations.

In order to eliminate any variations that could have arisen from handling techniques, and to further ensure the reproducibility of properties inherent to iPS cell lines generated with different combinations, clones were isolated from two or more independent transduction experiments performed at separate time points for microarray profiling.

10 Hierarchical clustering revealed that the recently reported iPS cells generated with OS + Esrrb (OSE)<sup>7</sup> were most dissimilar to wild-type R1 and D3 ESCs with a correlation coefficient ( $R^2$ ) of 0.92 (Figure 3A).

Both OSK and OSKT iPS cells bore closer resemblance to ESCs but were indistinguishable from each other,  $R^2 = 0.94$ . Hence, global expression profiling was not  
15 sufficiently sensitive in detecting differences between these iPS cells. In depth examination of specific gene level alterations, however, revealed key differences (Figure 3B; Figure 13).

We compared the pluripotency-associated gene levels among OSK, OSKT iPS and ES cells. A large portion of these genes such as *Sall4*, *Tcf3*, *Sox2*, *Zfx*, *Lin28*, *Utf1* and *Zic3* were non-distinguishing between OSKT and OSK iPS cells as their levels were similar.  
20 Surprisingly, a small subset of distinguishing features could define OSKT from OSK iPS cells.

The levels of *Oct4*, *Nanog*, *Gdf3*, *Dppa4* and *Tbx3* in OSKT iPS cells were equivalent to ESCs, but significantly reduced in OSK iPS cells. This suggests that exogenous *Tbx3* is crucial for assisting in re-establishing proper levels of certain ESC factors critical for the  
25 induction of pluripotency that cannot be completely achieved with OSK alone.

### **Example 17. Results - *Tbx3* Improves Quality of iPS Cells**

We then investigated whether OSKT iPS cells were of higher quality than OSK and OSE iPS cells (Figure 18A and Figure 18B).

Table S7: Summary of iPS clones analyses

| Original author's annotation | Clone ID used in manuscript | Transduction experiment | Microarray | Southern | Gonads | Chimera | Germline | Tetraploid |
|------------------------------|-----------------------------|-------------------------|------------|----------|--------|---------|----------|------------|
| OSK G-1 (20/10)              | OSK #1                      | A                       | Yes        | Yes      | Yes    | Yes     | Yes      |            |
| OSK G-2                      | OSK #2                      | A                       | Yes        | Yes      | Yes    | Yes     | Yes      |            |
| OSK G-3                      | OSK #3                      | A                       | Yes        |          | Yes    |         |          |            |
| OSK G-4                      | OSK #4                      | A                       | Yes        |          |        |         |          |            |
| OSK G-8 P10 (2/11)           | OSK #5                      | A                       | Yes        | Yes      |        |         |          |            |
| OSK G-9 P10 (2/11)           | OSK #6                      | A                       | Yes        | Yes      |        |         |          |            |
| OSK G-11 P12                 | OSK #7                      | B                       | Yes        |          |        |         |          |            |
| OSK G-12                     | OSK #8                      | B                       | Yes        |          |        |         |          |            |
| OSK G-13                     | OSK #9                      | B                       | Yes        |          |        |         |          |            |
| OSK G-14                     | OSK #10                     | B                       |            |          |        |         |          |            |
| OSK G-15                     | OSK #11                     | B                       |            |          |        |         |          |            |
| osk 2-1                      | OSK #12                     | C                       |            | Yes      | Yes    | Yes     | Yes      | Yes        |
| osk 2-5 p5                   | OSK #13                     | C                       |            |          | Yes    | Yes     | Yes      |            |
| osk 2-6                      | OSK #14                     | C                       |            | Yes      |        | Yes     | Yes      |            |
| osk 3-1                      | OSK #15                     | D                       |            | Yes      |        |         |          |            |
| osk 3-2                      | OSK #16                     | D                       |            | Yes      | Yes    |         |          | Yes        |
| osk 3-5                      | OSK #17                     | D                       |            |          | Yes    |         |          | Yes        |
| osk 3-6                      | OSK #18                     | D                       |            |          | Yes    |         |          | Yes        |
| osk 3-8                      | OSK #19                     | D                       |            |          | Yes    |         |          |            |
| osk 3-9                      | OSK #20                     | D                       |            |          | Yes    |         |          |            |
| osk 3-10                     | OSK #21                     | D                       |            |          | Yes    |         |          |            |
| osk 4-1                      | OSK #22                     | E                       |            |          |        |         |          | Yes        |

| Original author's annotation | Clone ID used | Transdu | Microarray | Southern | Gonads | Chimera | Germline | Tetraploid |
|------------------------------|---------------|---------|------------|----------|--------|---------|----------|------------|
| OSKT G-1 (20/11)             | OSKT #1       | A       | Yes        | Yes      | Yes    |         |          |            |
| OSKT G-2                     | OSKT #2       | A       | Yes        | Yes      | Yes    |         |          |            |
| OSKT G-3                     | OSKT #3       | A       | Yes        |          |        |         |          |            |
| OSKT G-4                     | OSKT #4       | A       | Yes        |          | Yes    |         |          |            |
| OSKT G-11 P10                | OSKT #5       | A       | Yes        | Yes      |        |         |          |            |
| OSKT G-12 P12                | OSKT #6       | A       | Yes        |          | Yes    | Yes     | Yes      |            |
| OSKT G-13                    | OSKT #7       | B       | Yes        |          |        |         |          |            |
| OSKT G-14                    | OSKT #8       | B       | Yes        |          |        |         |          |            |
| OSKT G-15                    | OSKT #9       | B       | Yes        |          |        |         |          |            |
| OSKT G-6 P10                 | OSKT #10      | A       |            |          |        |         |          |            |
| OSKT G-5                     | OSKT #11      | A       |            | Yes      | Yes    | Yes     | Yes      |            |
| oskt 2-1                     | OSKT #12      | C       |            | Yes      |        | Yes     | Yes      |            |
| oskt 2-4 p5                  | OSKT #13      | C       |            |          | Yes    | Yes     | Yes      |            |
| oskt 2-8                     | OSKT #14      | C       |            | Yes      | Yes    | Yes     | Yes      | Yes        |
| oskt 2-11                    | OSKT #15      | C       |            |          |        | Yes     | Yes      |            |
| oskt 3-1                     | OSKT #16      | D       |            | Yes      | Yes    |         |          | Yes        |
| oskt 3-3                     | OSKT #17      | D       |            |          | Yes    |         |          |            |
| oskt 3-4                     | OSKT #18      | D       |            |          | Yes    |         |          | Yes        |
| oskt 3-5                     | OSKT #19      | D       |            |          | Yes    |         |          | Yes        |
| oskt 3-7                     | OSKT #20      | D       |            |          | Yes    |         |          |            |
| oskt 3-8                     | OSKT #21      | D       |            | Yes      | Yes    |         |          |            |
| oskt 4-1                     | OSKT #22      | E       |            |          |        |         |          | Yes        |

| Original author's annotation | Clone ID used | Transdu | Microarray | Southern | Gonads | Chimera | Germline | Tetraploid |
|------------------------------|---------------|---------|------------|----------|--------|---------|----------|------------|
| OSE 1-2                      | OSE #1        | A       | Yes        |          | Yes    | Yes     | Yes      | Yes        |
| OSE 1-3                      | OSE #2        | A       | Yes        |          | Yes    | Yes     | Yes      |            |
| OSE D45-1                    | OSE #3        | B       | Yes        |          |        |         |          |            |
| OSE D45-2                    | OSE #4        | B       | Yes        |          |        |         |          |            |
| OSE D48-1                    | OSE #5        | C       | Yes        |          |        |         |          |            |
| OSE D48-2                    | OSE #6        | C       | Yes        |          |        |         |          |            |
| OSE CD1-5                    | OSE #7        | D       | Yes        |          |        |         |          |            |
| OSE D27                      | OSE #8        | D       | Yes        |          |        |         |          |            |
| ose 2-3 p5                   | OSE #9        | F       |            |          | Yes    |         |          | Yes        |

We selected numerous iPS cell lines from each factor combination which showed homogeneous activation of *Oct4*-GFP within each colony: OSKT #1,2,4,6,11,13,14,16-21; OSK #1-3,12,13,16,17-21; OSE #1,2.

It is of note that these iPS cell lines were derived from a total of four independent transduction experiments performed at different times, thereby eliminating any biasness in clonal selection that may arise from the stochastic behavior of individual clones. Induced-PS cells were then injected into 4-8 cell embryos and cultured *in vitro* to blastocysts (Figure 4A). There was no difference in the maturation efficiency (~95%) between the three iPS cell lines (data not shown).

All blastocysts transplanted into the surrogate female mice initially had shown contribution of GFP<sup>+</sup> cells to the inner cell mass (ICM) (Figure 4A) and live chimeras were obtained from the three combinations. As evaluated by coat color, all OSKT and OSK iPS chimeras showed coats with varying density of black fur, signifying iPS cell contribution; whereas OSE iPS chimeras clearly had less black coat (Figure 4D, top panels), indicating that OSE iPS cells tend to contribute poorly to chimerism.

More interestingly, obvious differences can be discerned between OSKT and OSK iPS cells in their ability to colonize the germ tissues. At E13.5, the gonads (testis or ovaries) were obtained from the F<sub>1</sub> chimeric embryos. 34.9% of the gonads from OSKT iPS embryos showed contribution of iPS cells with GFP expression contrasting significantly with 23.6% from OSK iPS and 12.5% from OSE iPS embryos (Figure 4B; Figure 15A and Figure 15B;  $p<0.01$ ).

Further assessment based on GFP distribution within these gonads revealed extensive contribution by OSKT iPS cells as 57.5% of the chimeric gonads contained >90% GFP<sup>+</sup> cells, compared to 26.2% of those from OSK iPS embryos (Figure 4C;  $p<0.01$ ). This indicates OSKT iPS cells were more effective in colonizing the germ tissues while OSK and OSE iPS cells were much less efficient.

Using one of the most stringent criteria for demonstrating the quality of iPS cell clones and their resemblance to wild-type ESCs, we tested their frequency of germ-line transmission and production of viable F<sub>2</sub> offspring. Once again, we used several iPS lines from each combination to eliminate any stochastic clonal variation and biasness in clone selection.

Induced-PS cells generated with OSE had very poor capacity for germ-line transmission (Figure 4D, bottom panels; and Figure 4E).

Using five chimeras from two iPS cell lines which were bred with albino mice, a total of seven litters were obtained. Only one litter contained two of 10 offspring that had iPS cell-derived black coat. With OSK, nine chimeras from five iPS cell lines were used for breeding. 13 litters were produced, of which only two had 100% black offspring, and another four had an average of ~33%. Strikingly, with the nine OSKT chimeras obtained from six iPS cell lines, nine of 14 litters had 100% black offspring, three had 41%, 50% and 28% each, and only two with none (Figure 4E;  $p < 0.005$ ).

It has been previously reported that improper retroviral silencing can alter the efficiency of generating iPS cells and their resultant properties. It has also been suggested that the frequency of retroviral integration into the somatic cell genome can affect the behavior of iPS cells as a result of DNA damage.

To exclude these possibilities, we confirmed that exogenous expression from the retroviral plasmids has been silenced in the iPS cell clones for all combinations of factors tested (Figure 16). Southern blot analyses showed that retroviral integration the different OSK factors into either OSK or OSKT iPS cells was comparable (Figure 17).

Importantly, even with the additional integration of exogenous Tbx3, iPS cells were consistently of higher quality, thus ruling out the effects of DNA damage on their properties.

## **Example 18. Results - OSKT-derived iPS Cells Can Generate Viable Mice**

We then speculated that the higher quality OSKT-derived iPS cells could be used to generate viable mice composed entirely of the engineered cells through tetraploid complementation<sup>16,17</sup>.

This has not been previously shown, perhaps owing to the difficulty of generating high quality iPS cells with the standard factor combinations. In the two cell lines tested, OSKT #14 iPS cells supported embryonic development of two fetuses until E19 when C-section was performed because of suspected uterine regression.

It would seem likely that live births may be expected in this case (Figure 4F). With OSKT #16, we were successful in obtaining 10 live births (20%) from 50 aggregated embryos

transferred into four surrogate recipients. Of these, eight survived to day 1, five to day 2, three to week 1, and two survived past two months and continued to thrive.

### **Example 19. Results - Regulatory Targets of Tbx3**

To better understand how Tbx3 may contribute to improving the quality of iPS cells, we performed Solexa ChIP-sequencing to uncover the direct regulatory targets of Tbx3 in ESCs.

Strikingly, hierarchical clustering of Tbx3 with the previously mapped ESC factors<sup>18</sup> revealed that it shares a large number of common binding sites with the classic pluripotency-associated transcription factors Oct4, Sox2, Nanog and Smad1 (Figure 4G).

Tbx3 is also found to target the ESC factors Oct4, Sox2, Sall4, Lefty1/2, Zfp42, as well as reprogramming factors Klf2/4/5 and n/c-Myc (Figure 18A and Figure 18B). This finding is consistent with our initial reason for focusing on Tbx3 regulated by Nanog and Tcf3 and supporting LIF-independent ESC growth, and is further supported by recent evidence that Tbx3 or Klf4 over-expression confers LIF-independence<sup>19</sup>.

### **Example 20. Results - Induction of Human iPS by Tbx3**

The experiments on mouse cells described in the Examples above were repeated with human cells.

In the human system, the data obtained shows that a combination of OSKC and Tbx3 (OSKCT), when compared to OSKC, resulted in a higher efficiency of generating iPS cells.

Furthermore, some of the human iPS cells look more like murine ES cells with the typical tight and dome shaped colonies.

Finally, a number of the human iPS clones grow and proliferate in response to ES medium with FCS, and LIF, even with no FGF. The growth factor usually used for human ES cell expansion is FGF. Conventional human ES cells cannot respond to LIF.

Tbx3 thus appears to be a key factor to generate a new type of human pluripotent cell with pluripotency potential similar to murine ES cells. This will offer a tremendous advantage over the conventional human ES or human iPS cells since mouse ES cells are much easier to

expand , culture and maintain. Mouse ES cells are thought to be derived from more primitive embryo stage compared to human ES cells that are thought to be derived from epiblast stage.

Thus Tbx3 may be useful to generate human iPS cells that are more embryonic, better and more versatile for generating different lineages including germ cells.

## 5 Example 21. Discussion

Taken altogether, our study highlights the remarkable success of OSKT combination in generating high quality iPS cells capable of germ-line transmission at high efficiency.

Previous studies have primarily employed morphological assessment and global gene expression analyses as indicators of iPS cell pluripotency. However, we have demonstrated here that *in vitro* analyses is not sufficient to distinguish *bona fide* iPS cells with truly ESC-like properties from poor quality iPS cells which do not possess germ-line competence. Our demonstration that OSKT iPS cells can also generate high frequency live birth mice by tetraploid complementation further strengthens our data implicating the qualitative impact of Tbx3.

With the emergence of modified genetic and chemical methods, and the emphasis on the use of minimal reprogramming factors to derive iPS cells, we would like to suggest that some benchmark, as exemplified here by OSKT-derived iPS cells, should be employed to evaluate the quality and biological properties of iPS cells (Figure 19).

The mechanistic explanation for the specific role of *Tbx3* in vastly improving iPS cell quality needs more detailed clarification. *Tbx3* belongs to a family of highly conserved T-box genes which have broad developmental roles and implications for human diseases<sup>20-22</sup>. *Tbx3* has also been implicated in maintaining the pluripotency of ESCs where its loss leads to differentiation<sup>15,23</sup>. It is the earliest T-box member to be expressed during pre-implantation embryonic development where it localized to the ICM of the blastocyst<sup>24</sup>. These, together with our ChIP-seq data, suggest that Tbx3 is important for the effective re-establishment of the ESC circuitry during the onset of reprogramming, and its subsequent maintenance.

The presence of exogenous Tbx3 during the initiation of reprogramming may ensure proper titration of pluripotency and other yet unidentified reprogramming factors which are reactivated by OSK to the optimal level, resulting in the emergence of a higher frequency of truly ESC-like iPS cells. We therefore propose a model whereby the re-establishment of

pluripotency from a somatic state is achieved in an increasing probabilistic step-wise manner (Figure 19).

The use of different factor combinations result in the generation of iPS populations and clones with markedly different developmental potentials centered upon progressive “landmarks” of pluripotency. The addition of Tbx3 to a particular combination of reprogramming factors increases the probabilistic frequency of iPS cells that can attain a pluripotent state equivalent or closest to ESCs within the entire population of reprogrammed cells.

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25 incorporated herein by reference. Furthermore, all documents cited in this text, and all documents cited or referenced in documents cited in this text, and any manufacturer’s instructions or catalogues for any products cited or mentioned in this text, are hereby incorporated herein by reference.

Various modifications and variations of the described methods and system of the invention will be apparent to those skilled in the art without departing from the scope and spirit of the invention. Although the invention has been described in connection with specific preferred embodiments, it should be understood that the invention as claimed should not be  
5 unduly limited to such specific embodiments. Indeed, various modifications of the described modes for carrying out the invention which are obvious to those skilled in molecular biology or related fields are intended to be within the scope of the claims.

**CLAIMS**

1. Use of Tbx3 (GenBank Accession Number: NM\_005996.3, NP\_005987.3, NM\_016569.3, NP\_057653.3) in a method of inducing or enhancing pluripotency in a cell such as a somatic cell.
- 5 2. A method of reprogramming a cell, the method comprising modulating, such as up-regulating, the expression and/or activity of Tbx3 (GenBank Accession Number: NM\_005996.3, NP\_005987.3, NM\_016569.3, NP\_057653.3) in the cell.
3. A method or use according to Claim 1 or 2, in which the cell becomes a pluripotent cell such as a stem cell.
- 10 4. A method of causing a cell such as a somatic cell to display one or more characteristics of a pluripotent cell, the method comprising modulating the expression and/or activity of Tbx3 (GenBank Accession Number: NM\_005996.3, NP\_005987.3, NM\_016569.3, NP\_057653.3) in the cell.
5. A use or method according to Claim 1, 2 or 3, in which the method further comprises  
15 modulating the expression and/or activity of one or more, a combination of or all of Oct4, Sox2 and Klf4 in the cell, optionally without the introduction of c-Myc into the cell.
6. A use or method according to any preceding claim, in which the cell displays one or more characteristics of a pluripotent cell, such as expression of one or more pluripotent markers, such as *Oct4*, *Nanog*, *Gdf3*, *Dppa4* and *Tbx3* (GenBank Accession Number:  
20 NM\_005996.3, NP\_005987.3, NM\_016569.3, NP\_057653.3).
7. A use or method according to any preceding claim, in which the cell is capable of contributing to chimerism such as when introduced into an embryo of different genetic background.
8. A use or method according to any preceding claim, in which the cell is able to colonise  
25 germ tissue, such as by germ cell contribution to gonads.
9. A method according to Claim 8, in which the cell colonises germ tissue with a high germ-line competency or at a high germ-line transmission frequency.
10. A use or method according to any preceding claim, in which the cell is capable of generating full-term live-born progeny via tetraploid blastocyst complementation.

11. Tbx3 (GenBank Accession Number: NM\_005996.3, NP\_005987.3, NM\_016569.3, NP\_057653.3) for use in a method of inducing pluripotency in a cell, reprogramming a cell, or causing a cell to express one or more one or more characteristics of a pluripotent cell, in which the cell for example comprises a somatic cell.
- 5 12. A method or use according to any preceding claim, in which the cell comprises a mammalian cell such as a mouse cell or a human cell.
13. A method of obtaining a stem cell line, the method comprising performing a method according to any preceding claim and deriving a cell line therefrom.
14. An induced, reprogrammed, pluripotent or a stem cell or cell line obtainable by a  
10 method according to any preceding claim.
15. A method of identifying a molecule capable of enhancing differentiation of a cell, the method comprising performing a method according to any of Claims 1 to 12 in the presence of a candidate molecule and detecting a reduced induction of pluripotency by the cell, reduced reprogramming of the cell or reduced expression of one or more characteristics of a  
15 pluripotent cell by the cell, compared to in the absence of the candidate molecule.
16. A method of identifying a molecule capable of enhancing differentiation of a cell, the method comprising obtaining a induced, reprogrammed, pluripotent or a stem cell by a method according to any of Claims 1 to 12, exposing the cell to a candidate molecule and detecting differentiation of the cell.
- 20 17. A method of identifying a molecule capable of enhancing or inducing the pluripotency of a cell, the method comprising performing a method according to any of Claims 1 to 10 or 12 in the presence of a candidate molecule and detecting an increased induction of pluripotency by the cell, increased reprogramming of the cell or increased expression of one or more characteristics of a pluripotent cell by the cell, compared to in the absence of the  
25 candidate molecule.
18. A use, method, cell or cell line substantially as hereinbefore described with reference to and as shown in Figures 1 to 19 of the accompanying drawings.