

METHOD, SOFTWARE, AND DEVICE FOR HIDING DATA IN BINARY IMAGE, WHILE PRESERVING IMAGE QUALITY

FIELD OF THE INVENTION

[0001] The present invention relates generally to data hiding, and more particularly to data hiding in two-colour (binary) images.

BACKGROUND OF THE INVENTION

[0002] The proliferation of digital media such as digital images, audio, video, and text images (documents) has generated significant interest in copyright protection, annotation, and authentication. Watermarking has been identified as a promising mechanism for copyright protection, annotation, and authentication. Watermarking promises the ability to embed inalterable watermark data directly in a digital file. At a later time, the data may be extracted to identify the origin of the digital file and verify its authenticity.

[0003] Typically, watermarking is achieved by changing a portion of the visible image. For example, single pixels within an image may be modified or "flipped" in order to transport data. Embedding watermark data in binary images, however, has presented significant challenges as changing pixels in such images is easily noticed.

[0004] Nevertheless, numerous data-hiding methods for embedding watermark data in binary documents have been proposed. For example, Min Wu, Edward Tang and Bede Liu, "Data Hiding in Digital Binary Image", *IEEE International Conference on Multimedia and Expo.*, 2000, pp. 393-396 proposes dividing a document into blocks, and locating the most suitable pixel within each block to embed watermark data. A list, based on the block size, is used to rank the pixels in blocks in order of appropriateness for flipping. Such ranking, however, is quite subjective and may lead to mixed results depending

on the image as a whole. Moreover, for small blocks (e.g., 8x8 pixels), it is difficult to find a suitable list to ensure that every block has at least one flippable pixel. As a consequence, the final result is often not pleasing, as less than ideal pixels may be flipped in some blocks, salt-and-pepper noise, or erosion will be created in this case.

[0005] Another known scheme detailed in Yu-Chee Tseng and Hsiang-Kuang Pan in *IEEE Transactions on Computers*, Vol. 51, No. 7, pp. 873-878, 2002, allows bits within the image to be flipped, if the flipped bit is adjacent to another bit that has a value equal to the now-flipped bit. The adjacent bit thus masks the flipping of the information-bearing bit. However, the resulting watermarked binary image looks very noisy and has many protrusions.

[0006] In another proposed method, detailed in Yu-Chee Tseng and Hsiang-Kuang Pan, "Secure and Invisible Data Hiding in 2-Color Images", *Proceedings of Twentieth Annual Joint Conference of the IEEE Computer and Communications Societies (INFOCOM 2001)*, Vol. 2, pp. 887-896, 2001, a distance matrix is used to assess the quality of flipping individual pixels within a block to assess optimal pixels to be flipped. Again, however, the visual effects of the scheme are limited by the randomness of choosing the location for flipping. The watermarked document appears to be noisy and is not suitable for real application.

[0007] All of these known methods, however, minimally preserve the image quality of the watermark data-embedded image. Moreover, many of them require complex calculations.

[0008] Another known watermarking method is best suited to binary text documents. In this method, the data is embedded in the 8-connected boundary of a character. Pairs of patterns that are dual to each other are used for watermark embedding and extraction, which makes it easy for the watermark retrieval without referring to the original. However, the available number for each pattern pairs depends on the resolution of the document images, thus the available patterns can be used for the watermark embedding are very limited, and the capacity of the watermark is relatively low. As well,

the method is believed to be computationally complex as contour tracing is required for each character and pattern matching is required for watermark embedding and extraction.

[0009] Accordingly, an improved computationally simple method of embedding watermark data in binary text and non-text images while preserving image quality is desired.

SUMMARY OF THE INVENTION

[0010] In accordance with an aspect of the present invention, data is hidden in a two colour (binary) image by dividing the image into blocks. The suitability of each block to embed a bit of watermark data is assessed by assessing whether or not the flipping of a defined pixel in each block affects the visual attributes of the block in a manner to be perceptible by the human eye. Data is only embedded in those blocks determined to be suitable for data embedding, by flipping the defined pixel, as required.

[0011] A recipient of the document may blindly assess which blocks contain hidden data, by determining the suitability of each block in the document to embed such data.

[0012] In a preferred embodiment, 3x3 blocks are used, and the centre pixel may be flipped to embed watermark data. Two pixel connectivity conditions are used to assess if watermark data may be embedded in a block: (1) the number of solid (black or white) rows and columns through the centre pixel should be invariant before and after flipping the centre pixel; and (2) the number of white interior right angles (i.e., right angles formed with the centre pixel) including the centre pixel should be the invariant before and after flipping the centre pixel. Additionally, (3) the number of adjacent black edge pairs (i.e., formed of two adjacent 3 pixel black edges) about a black centre should be the invariant before and after flipping the centre pixel.

[0013] In accordance with another aspect of the present invention, there is provided a method of embedding watermark data in a two-colour rasterized

image, the method including: dividing the image into a plurality of blocks; assessing for each of the plurality of blocks whether a defined pixel within the block may be altered to store a bit of the data within the block without altering the visual attributes of the block in a manner to be materially perceptible to the human eye, to determine a set of the plurality of blocks suited to store a bit of the data; and storing a bit of the data in each of the plurality of blocks assessed as suited to store a bit of the data.

[0014] In accordance with yet another aspect of the present invention, there is provided a method of extracting watermark data from a two-colour document, the method including: receiving the two-colour document containing the watermark data embedded therein, and dividing the document into $m \times n$ blocks. The method also includes assessing for each of the plurality of blocks whether a defined pixel within the block may be altered to store a bit of the data within the block without altering the visual attributes of the block in a manner to be perceptible by the human eye, using at least one logical condition to determine a set of the plurality of blocks suited to store a bit of the data. The method further includes extracting a bit of the watermark data in each of the plurality of blocks assessed as suited to store a bit of the data.

[0015] In accordance with still another aspect of the present invention, there is provided a method of determining if watermark data may be embedded in a two-colour rasterized image, the method including dividing the image into a plurality of 3×3 blocks. The method also includes assessing whether a centre pixel of each of the blocks may be altered to store a bit of the data within the block, by assessing: (a) if the number of solid rows and columns through the centre pixel remains invariant before and after flipping the colour of the centre pixel; (b) if the number of white right angles through its centre pixel and around a black corner pixel remains invariant before and after flipping the colour of the centre pixel; and (c) if the number of adjacent black edge pairs about a black centre pixel remains invariant before and after flipping the colour of the centre pixel.

[0016] Other aspects and features of the present invention will become apparent to those of ordinary skill in the art upon review of the following

description of specific embodiments of the invention in conjunction with the accompanying figures.

BRIEF DESCRIPTION OF THE DRAWINGS

[0017] In the figures, which illustrate by way of example only embodiments of the present invention,

[0018] **FIG. 1** is a simplified schematic block diagram of a computing device, including watermark data embedding software, exemplary of embodiments of the present invention;

[0019] **FIGS. 2-3** are flowcharts depicting steps performed at the device of **FIG. 1**, in manners exemplary of embodiments of the present invention;

[0020] **FIG. 4** illustrates an exemplary 3x3 pixel block of an image that may embed watermark data;

[0021] **FIG. 5A** illustrates a pattern in a 3x3 pixel block that may embed watermark data;

[0022] **FIGS. 5B-5C** illustrate patterns in a 3x3 pixel block that are excluded from embedding watermark data;

[0023] **FIG. 5D** illustrates those patterns included in **FIG. 5A**, but excluded in **FIGS. 5B-5C**;

[0024] **FIG. 6** illustrates an exemplary 7x7 pixel image, divided into four overlapping 4x4 pixel super-blocks;

[0025] **FIG. 7** illustrates a window overlapping a 4x4 super-block of the 7x7 image of **FIG. 6**;

[0026] **FIGS. 8 and 9A-9B** illustrates the division of an exemplary 7x7 pixel image, into four overlapping 4x4 pixel super-blocks;

[0027] **FIG. 10** is a block diagram of a seed generator used to generate a seed to generate a pseudo-random sequence to be embedded in a document;

[0028] FIG. 11 is a block diagram of a Gold-like sequence generator, for generating a pseudo-random sequence from a seed, for embedding as watermark data; and

[0029] FIG. 12 is a flow chart of exemplary steps used to extract and verify watermark data from a document, in manners exemplary of embodiments of the present invention.

DETAILED DESCRIPTION

[0030] FIG. 1 illustrates a general purpose computing device **10** storing and executing software exemplary of embodiments of the present invention. In the illustrated embodiment, device **10** is a conventional network capable multimedia computing device. Device **10** could, for example, be an Intel x86 based computer acting as a Microsoft Windows NT/XP/2000, Apple, or Unix based workstation, personal computer, or the like. Example device **10** includes a processor **12**, in communication with computer storage memory **14**; data network interface **16**; input output interface **18**; and video adapter **20**. As well, device **12** includes a display **22** interconnected with video adapter **20**; and several input/output devices, such as a keyboard **24** and disk drive **26**, a document scanner **28**, and a mouse (not shown) or the like. Optionally, device **10** may further include a peripheral (also not shown) for acquiring biometric data at device **10**, such as a fingerprint reader or digital camera.

[0031] Processor **12** is typically a conventional central processing unit and may for example be a microprocessor in the INTEL x86 family. Of course, processor **12** could be any other suitable processor known to those skilled in the art. Computer storage memory **14** includes a suitable combination of random access memory, read-only memory, and disk storage memory used by device **10** to store and execute software programs adapting device **14** to function in manners exemplary of the present invention. Drive **26** is capable of reading and writing data to or from a computer-readable medium **32** used to store software to be loaded into memory **14**. Computer-readable medium **32** may be a CD-ROM, DVD-ROM diskette, tape, ROM-Cartridge, or the like. Network interface **16** is any interface suitable to physically link device **12** to

network **10**. Interface **16** may, for example, be an Ethernet, ATM, ISDN interface, or modem that may be used to pass data from and to convention computer network (such as a local area network (LAN), wide area network (WAN), or the public internet), or another suitable communications network.

[0032] Computing device **10** stores software capable of embedding data into a two-colour document in manners exemplary of embodiments of the present invention. As will become apparent, the software divides a rasterized image into multiple blocks; assesses whether each of the multiple blocks lends itself to embedding a bit of data (i.e. whether or not it is "watermarkable"), by flipping a bit as required; and embeds data in those watermarkable blocks. In the disclosed embodiment, each block is evaluated as watermarkable or not, by assessing whether or not one pixel in the block may be modified without visually altering the block in a way that is likely material to a human observer. In the preferred embodiment, this is accomplished by conserving the connectivity of pixels within each block, as described below.

[0033] Complementary software capable of extracting watermark data from received documents may also be stored at device **10**.

[0034] Steps **S200** and **S300** performed at computing device **10** to embed watermark data are illustrated in **FIGS. 2-3**.

[0035] As illustrated, a document to which a watermark is to be added is received in step **S202**. The document is an image in electronic form and has been digitized into a rasterized image and stored in a suitable image format. The image may, for example, be a jpeg, bitmap, tiff, gif, or similar image. The image is electronically stored as a W X H pixel image and may be formed as the output of a software program (such as a word processor, image editor, or the like), or the result of scanning a paper document. The image is a two-colour image (e.g., black and white). The document could be digitized and rasterized directly at device **10** using scanner **28** and conventional software.

[0036] In any event, once received, an image into which the data is to be

embedded is divided into $m \times n$ blocks in step **S204**. In the exemplary embodiment, $m=n=3$. As will however become apparent, other block sizes with $m \neq n$ are possible.

[0037] Next, the suitability of each of the blocks as a holder of embedded data is assessed in step **S206**. Sub-steps **S300** performed in step **S206** are illustrated in **FIG. 3**. The suitability of each block is assessed by determining if inverting the colour of a selected pixel within the block affects the visual appearance of the block in a manner that would be perceived by a human viewer. In the exemplary embodiment, the centre pixel of each block is chosen to carry embedded watermark data, and three metrics of the block are calculated to determine if the centre information-bearing pixel may be modified. A person of ordinary skill will recognize that the centre pixel need not be chosen. However, so that no additional data needs to be conveyed with a document carrying watermark data, a defined pixel in each block is used. This, then, allows for blind extraction of embedded watermark data.

[0038] To best appreciate the conditions that are used to assess whether or not a block may embed watermark data, an exemplary 3×3 block **40** is illustrated in **FIG. 4**. The eight neighbors of the information-bearing centre pixel p are numbered W_1 through W_8 . Notably, the odd numbered pixels are directly adjacent pixel p in a row or column (typically referred to as 4-neighbours of pixel p), while the even-numbered pixels are diagonally adjacent (all pixels are typically referred to as 8-neighbours of pixel p).

[0039] The ability to alter (or “flip”) the centre pixel within the block depends mainly on transitions between the centre pixel and its eight neighbors. Mathematically, a “1” may be used to represent a black pixel and “0” represents a white pixel.

[0040] Specifically, the transition value along the horizontal, vertical, interior right angle, and sharp corner directions are calculated and used as the criteria for the watermark embedding. The pixels $w_1, w_2, w_3, \dots, w_8$ are the eight neighbors of pixel p are collectively denoted as $N(p)$. The number of black

pixels in $N(p)$, plus the pixel p itself (if p is a black pixel), is denoted

$$B(p) \cdot B(p) = \sum_{i \in N(p)} w_i + p \text{ (or for an } m \times n \text{ block, } B(p) = \sum_{i=1}^m \sum_{j=1}^n a(i,j), \text{ where } a(i,j)$$

are the pixels within the $m \times n$ block, at locations i,j).

[0041] The number of uniform (i.e., all white or all black) rows or column "VH Transitions" $N_{VHtrans}$ may be calculated as:

$$N_{VHtrans} = \sum_{i=1,3} (p \cdot w_i \cdot w_{i+4} + \bar{p} \cdot \bar{w}_i \cdot \bar{w}_{i+4})$$

[0042] Where, $\bar{w}$ is the complement of w , and $\bar{p}$ is the complement of p .

[0043] The number of white interior right angles (i.e. including the centre pixel) in a block, about a black corner pixel, "IR Transition", $N_{IRtrans}$ may be calculated as:

$$N_{IRtrans} = \sum_{i=1}^4 (\bar{p} \cdot w_{2i} \cdot \bar{w}_{2i-1} \cdot \bar{w}_{2i+1})$$

where, $\bar{w}_{2i+1} = \bar{w}_1$, if $2i + 1 > 8$.

[0044] The number of "C Transition" adjacent black-edge pairs, formed of two adjacent 3-pixel black edges about a black centre, N_{Ctrans} may be calculated as follows:

$$N_{Ctrans} = \sum_{i=1}^4 (p \cdot w_{2i} \cdot w_{2i+1} \cdot w_{2i+2} \cdot w_{2i+3} \cdot w_{2i+4})$$

where, $w_9 = w_1$, $w_{10} = w_2$, $w_{11} = w_3$, and $w_{12} = w_4$.

[0045] Now, in order for a change in the centre pixel to not have a material effect on the appearance of a 3x3 block,

- (1) The numbers of solid (black or white) rows and columns through the centre pixel $N_{VHtrans}$ should remain the same (i.e., be invariant) before and after flipping the centre pixel;

- (2) The numbers of white interior right angles $N_{IRtrans}$, including the centre pixel, about a black corner pixel, should be the same (be invariant) before and after flipping the centre pixel;
- (3) The number of adjacent black edge pairs (i.e., formed of two adjacent 3- pixel black edges) about a black centre N_{Ctrans} should be the same (invariant) before and after flipping the centre pixel.

[0046] **FIG. 5A** illustrates the format of example blocks meeting condition (1) (i.e., a change in the centre pixel does not affect $N_{VHtrans}$) while **FIGS. 5B** and **5C** respectively illustrate the format of blocks not meeting conditions (2) and (3) (i.e., a change in the centre affects $N_{IRtrans}$, and N_{Ctrans} , respectively). It can be observed that the suitable blocks are those that fall in the patterns illustrated in **FIG. 5A**, excluding however those that further fall in the patterns which are illustrated in **FIG. 5B** and **5C**. Of course, rotations and reflections of the depicted blocks of **FIGS. 5A-5C** will meet or be excluded by conditions (1) – (3).

[0047] The exemplary method looks for boundary pixels which happen to be in the pattern illustrated in **FIG. 5A**. This pattern actually occurs frequently in binary images. However, flipping the centre pixel cannot create another cluster or an isolated pixel, this scenario is dealt with by condition (2), shown in **FIG. 5B**. It is annoying to the human eye when flipping the pixels in sharp corners. So, this scenario is further excluded from flipping by condition (3), shown in **FIG. 5C**.

[0048] Example blocks meeting conditions (1), (2) and (3) are illustrated in **FIG. 5D**. Again, rotations and reflections of the depicted blocks will similarly satisfy these conditions.

[0049] $N_{VHtrans}$ for each block is thus calculated before and after flipping the centre pixel in step **S302 (FIG. 3)**. If $N_{VHtrans}$ does not change before and after flipping, as determined in step **S304**, flipping the pixel will not destroy the connectivity between pixels in the block. Therefore the pixel can possibly be

flipped to embed watermark data. Otherwise, the pixel cannot be flipped, as it will create a hole or break the connectivity in the original pattern. $N_{IRtrans}$ before and after flipping is determined in step **S306**. Again, if $N_{IRtrans}$ does not change before and after flipping, as determined in step **S308**, flipping the pixel will not destroy the connectivity between pixels in the block. If $N_{IRtrans}$ does not remain invariant, as determined in step **S308**, the block is marked as not watermarkable in step **S314**. These two conditions are collectively named as "Connectivity-Preserving" criteria.

[0050] The pixels that meet the first condition have at least two white 4-neighbours and are thus boundary pixels. The blocks that meet the second condition will not create have contain an isolated pixel as a result of flipping the centre pixel (a pixel has eight white neighbours). Furthermore, by satisfying the first and second conditions, the lower right corner of the block has three white pixels. This further ensures that flipping the centre pixel won't destroy the local connectivity of the pattern.

[0051] Finally, if $N_{VHtrans}$ and $N_{IRtrans}$ remain invariant after flipping, N_{Ctrans} is similarly calculated before and after flipping in step **S310**. If N_{Ctrans} remains invariant as assessed in step **S312**, the block is marked as watermarkable in step **S316**. N_{Ctrans} is used mainly to control, not to flip pixels in sharp corners, as it is very annoying to human observers.

[0052] Conveniently, enforcing the three conditions does not create noticeable holes, erosions, or protrusions in the watermarked images. As well, as the calculations performed in steps **S302**, **S306**, and **S310** are logical, embedding of watermark data may be effected quickly and possibly in real time.

[0053] Once those blocks that lend themselves to carrying embedded data are identified, they are noted as such in step **S208 (FIG. 2)**. Watermark data is received in step **S214** and may be embedded in each of the blocks bit by bit in step **S218**. Assuming that Q blocks within a WXH image lend themselves to carrying a bit of data are identified, up to Q bits of watermark data may be

embedded. Watermark data is embedded in step **S220** by enforcing the parity of the number of black pixels in the block. In particular, to embed the watermark bit "0" or "1" in a block, the centre pixel is either flipped or not flipped to ensure that the total number of black pixels within the block is an even or odd number, respectively. Thus, the parity of a block defines the value of a bit embedded in the block.

$$\text{mod}(B(p),2) = \text{bits_to_be_embedded}.$$

[0054] However, the use of block parity is vulnerable to unauthorized alteration. That is, for a given block, an unauthorized third party could easily modify some pixels within the same block without altering the parity of the block. Accordingly, the watermark could still be verified even though the image has been altered.

[0055] To avoid this, a fingerprint-hash value of the document before the watermark embedding may optionally be computed in step **S212**. As well, the hash of the watermark data or the document including the watermark may be calculated in step **S216**. One or both hash values may be incorporated into the watermark data in step **S218** to ensure the authenticity of the document. Hash values may be appended or XORed with the remaining watermark data. That is, the data embedding pixels in all watermarkable blocks of the image (as determined in steps **S206-S210**) may be set to a known value (i.e., all black or white) and a hash of the modified image may be calculated. The hash may be calculated using conventional techniques (such as MD5, etc.).

[0056] The hash value can optionally be encrypted by using a public key cryptographic algorithm (e.g. RSA or similar) with the private key of the issuer to form a digital signature. This digital signature (e.g. 1024 bits) can then be either concatenated or XORed with the original watermark data to generate the final watermark.

[0057] The final watermark may be embedded in the binary documents bit by bit in steps **S220-S222**. Conveniently, no additional information need be conveyed with the watermarked document in order to allow extraction and

verification of the watermark data.

[0058] As will be appreciated, the number of available bits to carry watermark data (Q) will vary depending on the number of pixels in the document, and the document type and pattern, as well as the size of the blocks. Probabilistically, Q may be approximated as follows for an image of dimension $W \times H$ and $m \times n$ block size. The number of such blocks in a $W \times H$ image

$$NumBlocks = \lfloor (W / m) \rfloor \times \lfloor (H / n) \rfloor$$

where $\lfloor x \rfloor$ is the largest integer less than or equal to x .

[0059] Using the three exemplary conditions for flippability within the block: VH Transition, IR Transition and C Transition respectively one may assume the probability a block will satisfy the first criteria is p_1 ; the probability a block will satisfy the first criteria but is excluded by the second criteria is p_2 ; and the probability a block will satisfy the first criteria but is excluded by the second criteria is p_3 . It should be noted that $p_1 \geq (p_2 + p_3)$ always hold, as p_2 and p_3 are the probabilities of the excluding cases from p_1 .

[0060] Thus the total capacity of the image can be deduced as,

$$Q = NumBlocks \times (p_1 - p_2 - p_3).$$

[0061] By employing the fixed block of size 3×3 and choosing the centre pixel for watermark embedding, the capacity to embed data is adequate. However, the capacity is somewhat limited due to the fact that a fixed location within each block is selected to carry watermark data. The available blocks which satisfy the flipping conditions are also limited.

[0062] In an alternative embodiment, in order to increase the watermark data capacity without requiring additional information about the location of each watermark data pixel, the ability to hide data within overlapping blocks within larger super-blocks may be assessed.

[0063] Specifically, as illustrated in **FIG. 6**, instead of dividing an image into blocks of size 3x3, one may divide an image into non-overlapping super-blocks, each greater in size than 3x3. In the depicted example of **FIG. 6**, an 8x8 image is divided into four 4x4 super-blocks. Within each super-block, a 3x3 window is moved to assess whether potentially overlapping 3x3 blocks within the super-block are capable of hiding data. The window is initially positioned in the upper left corner of a super-block, and thereafter advanced to the right in the initial row, and then downward to further rows. Sequential window positions for the upper left 4x4 super-block of **FIG. 6** are illustrated in **FIG. 7**.

[0064] Conditions (1) – (3) for the block covered by the window are assessed using steps **S300** to determine whether this block could hide a bit of data. Next, the window is advanced to its next position, and conditions (1) – (3) are again assessed for the centre pixel of the block covered by the window in its next position. Possibly multiple 3x3 blocks within a 4x4 super-blocks may be used to hide data. However, because 3x3 blocks now overlap, a change in the centre pixel of the block under the window, as advanced, may affect the ability of previous or subsequent blocks to hide a bit of data. That is, pixels w_5 , w_6 , w_7 , and w_8 of a block (see **FIG. 4** for pixel naming conventions) covered by a current window may form part of blocks previously covered by the window, above and to the left of the current window, or subsequent blocks. Thus, if the window covers a block that may store one bit, up to four earlier blocks containing this pixel are re-assessed to ensure that conditions (1) – (3) remain satisfied for those earlier blocks. If the centre pixel of the block does not affect the four earlier adjacent blocks, whose suitability to hide a bit of data was previously assessed, the pixel is identified as possibly storing data. If the centre pixel renders a previously assessed pixel as unsuitable, the window is simply advanced without noting that the block covered by the window in its current position is watermarkable. As well, data in a block is embedded prior to advancing a window. Thus, the evaluation of blocks covered by a window in subsequent positions accounts for the effect of previous blocks.

[0065] The window is advanced within each super-block until all possible 3x3 blocks within the super-block are assessed as watermarkable or not.

[0066] Alternatively, so that a change in one pixel does not affect the ability to embed data in previous blocks, overlapping super-blocks may be used and a single bit may be embedded in each super-block, as exemplified in **FIG. 8** and **9A-9B**. The overlapping super-block size can be any size which is greater than 3x3 pixels.

[0067] In the example of **FIG. 8**, a 7x7 pixel image is divided into four overlapping super-blocks, each with block size of 4x4 pixels. Any two of these super-blocks share a common row or column as is illustrated in the row and column marked in dotted lines in **FIG. 8**. The resulting division of the 7x7 block into four 4x4 super-blocks is shown in **FIG. 9A-9B**.

[0068] So, one half of the boundary pixels of each block is the starting row or column of the next block. By increasing the super-block size, the likelihood that a super-block contains a single block meeting the conditions (1)-(3) is increased significantly.

[0069] Thus, once overlapping super-blocks have been assessed, the suitability of each super-block to carry watermark data is made in step **S206**, by assessing the suitability of 3x3 blocks within a larger (e.g. 4x4) super-block using steps **S300 (FIG. 3)**, until a watermarkable block is found within a super-block. Again, a 3x3 window may be used to locate a watermarkable block. The window may be advanced from left to right in each row, and from top to bottom. So, for each overlapping 4x4 block, four 3x3 sub-blocks are identified, and potentially assessed for suitability. However, only a single 3x3 block within a 4x4 super-block is used to embed watermark data.

[0070] As two neighbouring blocks share one common row or column for the overlapping 4x4 super-blocks as it is difficult to set the same rule to locate the flipped pixel. In such a case, after flipping the pixel, the watermarked document is generated. The hash value can be computed using the watermarked image and the hash value may be sent to the receiver through a separate channel. Of course, in this way, extra bandwidth is required to

transmit the hash value to the receiver side.

[0071] Tests have been performed on example images to assess any increase of available embedding blocks by employing the overlapping block, the results is shown in Table 1.

[0072] Table 1. Comparisons of the capacity of different embedding methods.

Name of image	Size (widthXheight)	Capacity non- overlapping 3x3 blocks	Capacity overlapping 3x3 blocks	Capacity 4x4 overlapping super-blocks
Woman.tif	416x691	610 bits	1169 bits	1129 bits
French-4.tif	512x512	1795 bits	4389 bits	4282 bits
Pangang_girl.tif	361x359	248 bits	478 bits	462 bits
Puretext.tif	534x534	1139 bits	2725 bits	2368 bits
Purechin.tif	540x540	1845 bits	3905 bits	3522 bits
Test204.tif	204x204	221 bits	517 bits	474 bits

[0073] As can be seen from Table 1, for the example documents, by using overlapping 4x4 super-blocks, (i.e. neighbouring blocks share one common column or row), the watermark data capacity has increased to about 2.0 times that of the non-overlapping 3x3 block method. Similarly, use of overlapping 3x3 blocks further increases the data embedding capacity slightly.

[0074] For overlapping super-blocks any super- block size larger than the block size (e.g., 3x3) may be used. Assuming the super-block size to be b_{size_x} and b_{size_y} , for an image with size $Width \times Height$, the total number

of super-blocks in the image may be expressed as,

$$NumSuperBlocks = \lfloor 1 + (Width - bsize_x + 1) / (bsize_x - 1) \rfloor \times \lfloor 1 + (Height - bsize_y + 1) / (bsize_y - 1) \rfloor$$

[0075] Assuming the probabilities of a super-block satisfying the first condition is p_{11} , the probability of a blocks being excluded by the second condition is p_{12} , and the probability that a blocks is excluded by the third condition is p_{13} . Then, the total watermark data capacity can be estimated by:

$$Capacity = NumSuperBlocks \times (p_{11} - p_{12} - p_{13})$$

[0076] Now, the probabilities of p_{11} , p_{12} and p_{13} are higher than the corresponding probabilities p_1 , p_2 , and p_3 defined for non-overlapping blocks, as a moving window is employed and the patterns are searched through the whole super-block instead of only the centre pixel inside one block. The increase of the probabilities within each block can be increased by factor of

$$(bsize_x - 1) \times (bsize_y - 1).$$

[0077] To summarize, the capacity of the proposed non-overlapping blocks and overlapping super-block depends on the probabilities of the occurrence of the patterns which satisfy the transition conditions as well as the super-block size used. A larger super-block size will increase the probability of a block meeting conditions (1) – (3) within the super-block but at the cost of decreasing the total block numbers. Experimental evidence searching for a single watermarkable block within neighbouring super-blocks increases the watermark data capacity significantly.

[0078] Once the blocks that are capable of storing a bit of watermark data are identified using non-overlapping 3x3 blocks, overlapping blocks, or overlapping super-blocks, up to Q bits of watermark data may be embedded in the image. For any document, Q will of course vary depending on the method used to identify watermarkable blocks.

[0079] Watermark data can be any sequence of data. For example, pseudo-noise ("PN") sequences or biometric data of the document author, owner, or distributor (e.g., fingerprint of the authorized user) could be embedded in the document. If biometric data is used, the watermark data can be captured either live or stored in a repository. The watermark data may be generated directly at device 12 using software exemplary of embodiments of the invention.

[0080] As an illustration, a Gold-like sequence, as for example detailed in *P. Fan and M. Darnell, "Sequence Design for Communications Applications", Research Studies Press, 1996*, may be used as the watermark data. Preferably, a Gold-like sequence having a linear span $2m$ formed from a seed S is used as the watermark data because of its noise-like characteristics, good autocorrelation features, and resistance to interference. The seed S can be any information provided by or derived from the authorized user/owner. S can be the system time stamp as well. The method for generating a seed for use to generate the Gold-like sequence from S can make use of a standard seed generator.

[0081] For example, as illustrated in **FIG. 10**, S in the format of a string format, e.g., "I@#\$ASDf," may be provided to the seed generator. S may be converted to a decimal value (using, for example, an ASCII mapping) and then to a binary value. S is then divided into blocks. Each block has the same number of bits of the seed used for the Gold-like sequence. The number of blocks in the provided data S is computed by dividing the total binary bit length of the ownership information by the desired seed length:

$$Block_num = binary_length / seed_length$$

If the block number is not an integer number, S may be padded with additional bits to make it an integer.

[0082] Now, in the depicted embodiment the block size and the seed size generated by the seed generator is double the length of a single Gold-like m sequence, i.e., the Gold-like sequence is generated by two m sequences. For example, if each Gold-like m sequence requires a six-bit seed, the total length of the generated seed is twelve bits.

[0083] Blocks of ownership information are combined to generate the seed using a plurality of logic blocks, having functions defined in Table 2. A buffer that is of the same length as the seed length is initialized to hold the intermediate result. Which of the logic blocks is used for each block of the provided information depends on the modulo 3 value of the block number, as defined in Table 2. For example, if the intermediate result is: 1 0 0 1 0 0 1 0 0 1 0 1, and the bits from the current block are: 1 0 1 1 1 0 1 0 0 1 0 0. If the current block is 3, modulo (3, 3)=0, so an "AND" function will be used for the calculation. That is: (1 0 1 1 1 0 1 0 0 1 0 0) AND (1 0 0 1 0 0 1 0 0 1 0 1) bit by bit. It is noted that the logic function can be used is not limited to the three logic functions defined in Table 2. After all blocks of the provided data S have been processed, 12 seed bits will be generated.

Table 2

Module Value	Function Name	Function Value
0	$F_1 = f(R, A)$	$R = (R \wedge A)$
1	$F_2 = f(R, B)$	$R = (R \vee B)$
2	$F_3 = f(R, C)$	$R = (R \oplus C)$

[0084] If the seed value after the above computation consists of all zeros or all ones, the modulo value of the input decimal value and the length of each seed may be computed, and the result will be used as the location where the bit needs to be complemented so that the total output seed never has a uniform value.

[0085] Thus, a particular input data S may be transformed into any one of a number of seeds for use in a pseudo-random noise generator, such as a Gold-like sequence generator. This provides randomness and unpredictability of the seed value given different inputs.

[0086] As will be appreciated, a Gold-like sequence may be generated from a primitive polynomial $h(x)$ of degree m is needed with period $N = p^m - 1$. The primitive polynomials $h(x)$ can be expressed as:

$$h(x) = h_0 x^m + h_1 x^{m-1} + h_2 x^{m-2} + \dots + h_{m-1} x + h_m = \sum_{i=0}^m h_i x^{m-i}, h_i \in GF(p)$$

[0087] Where $h_0 = 1, p = 2^m$ for binary m sequence. $GF(p)$ is the Galois field, the residue class for any prime integer p.

[0088] The Gold-like sequence may be generated by generating the m sequences with polynomials $h_1(x)$ and $h_2(x)$ separately and then adding the two m sequences bit by bit.

[0089] For example, for $h(x) = x^6 + x + 1$ and $\hat{h}(x) = x^6 h(x^{-1}) = x^6 + x^5 + 1$ are a pair of reciprocal primitive polynomials. a is a sequence generated from $h(x)$, and b is a sequence generated from $\hat{h}(x)$, both of period $N = 2^m - 1$. The Gold-like sequences $I(a, b)$ can be obtained as,

$$I(a, b) = \{a, b, a \oplus b, a \oplus Tb, a \oplus T^2b, \dots, a \oplus T^{N-1}b\}$$

[0090] Here, $\{a_n\}$ and $\{b_n\}$ are reciprocal m-sequences and T is a shift operator. A block diagram of a Gold-like sequence generation process is illustrated in **FIG. 11**.

[0091] For example, for the primitive polynomial $h(x) = x^6 + x + 1$, the sequence satisfies $a_n = a_{n-5} \oplus a_{n-6}, n \geq 7$, while for the primitive polynomial $\hat{h}(x) = x^6 + x^5 + 1$, the sequence satisfies $b_n = b_{n-1} \oplus b_{n-6}, n \geq 7$. The m sequence $\{a_n\}$ is generated by taking the first six bits of the seed value. Subsequent bits in the sequence are generated using the relationship $a_n = a_{n-5} \oplus a_{n-6}, n \geq 7$. The second m sequence $\{b_n\}$ is similarly generated using bits seven to twelve of the seed, and the relationship $b_n = b_{n-1} \oplus b_{n-6}, n \geq 7$. The number of rows of the Gold-like sequence depends on the period of the Gold-like sequence; the number of columns depends on the length of each m sequence. As may be observed from the definition of $I(a, b)$ the first row equals to a and the second row equals to b . From the third row final row N , the sequence b is shifted cyclically left shifted by $n-2$ bits, and then XORed with a to generate.

[0092] At a later time, watermark data that has been embedded may be

extracted and verified, largely by reversing the embedding steps. Exemplary extraction verification steps **S1000** are illustrated in **FIG. 12**. These may be performed at device **10**, or at another similar device capable of extracting embedded watermark data. In the depicted embodiments, the extraction does not require any additional data other than the document and possibly the public cryptographic key of the document originator. However, the extraction process relies on knowledge of the exact embedding steps. As such, steps **S1000** are complementary to steps **S200** (**FIG. 2**), and assume that watermark data has been embedded using one of the techniques described above, in blocks or super-blocks as the case may be.

[0093] As illustrated, the image including watermark data is received in step **S1002**. The image is divided into blocks (or super-blocks) in steps **S1004**. In step **S1006**, an assessment of whether or not each block is watermarkable is made (vis. **FIG. 3**). For those blocks capable of carrying embedded data, the parity of the block is calculated in step **S1010**, to extract the watermark data bit. As well, the block is noted as watermarkable in step **S1008**. Steps **S1006-S1010** are repeated for all blocks in the document. The watermark data may then either be presented, or compared to an expected watermark data in step **S1014**. If the extracted watermark data does not match the expected watermark data, an error may be reported in step **S1024**.

[0094] Optionally, the encrypted hash value of the original document may be extracted from the watermark data in step **S1016**. If required, the public key of the issuer will be used to perform the decryption and thus the hash value of the original document. The hash of the original document may again be calculated in step **S1018** by setting the watermark embedding location of each watermarkable block, as noted in step **S1008**, to zero or another fixed value. In this way, the authenticity and integrity of the received document may be verified by comparing the embedded hash value to the calculated hash value in step **S1020**. If the hash values match, the document may be authenticated in step **S1022**. If not, an error may be reported in step **S1024**.

[0095] The authenticity of the document may thus be verified in two aspects. Firstly, the extracted watermark may be compared to the original

watermark (step **S1014**). Secondly, the hash value of the watermarked document and the original document may be compared to ensure the document has not been altered (step **S1020**). If the two comparisons are successful, the authenticity and integrity of the document can be ensured.

[0096] Conveniently, use of a predetermined pixel within each block, and fixed conditions to assess when a block may contain a bit of watermark data, allows a watermarked document to be transferred to a recipient, and authenticated without additional information. The recipient, using the given conditions, can easily assess which blocks contain watermark data and extract embedded watermark data.

[0097] Additionally, in some digital imaging systems, it is desirable to convey ancillary information along with the actual data that helps to fully recover the original document. To this end, additional data may be embedded in the document to allow recovery of the original document.

[0098] Specifically, after receipt of the original binary image, watermark data is embedded using step **S200/S300 (FIGS. 2 and 3)**, thus creating a watermarked document. Thereafter, recovery data may be generated by determining the difference between the original document and the watermarked document. For binary images, only a small percentage of the pixels will have changed to embed the watermark, so the redundancy of the difference data will be quite large. Difference data can be compressed using for example JBIG2 or ITU G3/G4.

[0099] The compressed difference data can be then be encoded in redundant spaces of the document or appended to the document to generate the final authenticated document. For example, the redundant data may be placed in blank space in the document occupied by margins and letterhead. The coded information will typically look like a 2D bar code.

[00100] After receipt of the watermarked document and recovery data, difference data may be extracted. Then, the watermark data may be extracted and authenticity of the document may be verified. Thereafter, the original binary image may be restored by replacing watermark data with the

stored difference data.

[00101] Alternatively, in order to reduce the size of the difference file, embedding a bit in each block as performed in step **S220** may be modified. Specifically, instead of modifying the parity of a block to contain a desired bit, the parity of a block need only be modified if an associated non-watermarkable block has even parity. Thus, a non-watermarkable block may be associated with each block that is identified as watermarkable in step **S208**. This association may, for example, be formed sequentially: the n^{th} non-watermarkable block (as determined sequentially using steps **S300**) within an image is associated with the n^{th} watermarkable block. Alternatively, the association between watermarkable and non-watermarkable could be established otherwise. For example, each watermarkable block could be associated with the closest non-watermarkable block, that has not already been associated with a watermarkable block.

[00102] In any event, the existing parity of the non-watermarkable block associated with a watermarkable block may be used to assess whether parity should be enforced or not in the watermarkable block to embed data. That is, a bit will be embedded in a watermarkable block if (1) the pixel should be flipped in the watermarkable block to enforce parity of the watermarkable block and the parity of the associated non-watermarkable block is odd; (2) the pixel need not be flipped in the watermarkable block (the parity of the watermarkable block already matches the bit to be embedded) and the parity of the associated non-watermarkable block is even.

[00103] In order to recover embedded data, parity of the associated non-watermarkable block, together with the difference file, will indicate which blocks contain altered pixels embedding watermark data. Complete watermark data may thus be recovered using the difference file (i.e. information about the original image) and values of the pixels in watermarkable blocks.

[00104] In this way, statistically, about $\frac{1}{4}$ of the pixels that would otherwise be flipped need not be flipped, reducing the size of the difference

image. Of course, some characteristic other than the parity of the associated non-watermarkable block could be used. For example, the value of one or more pixels in the associated non-watermarkable block could be used to determine whether the watermarkable block's parity or its complement represents the embedded bit.

[00105] Non-watermarkable blocks may be used in association with blocks located using the described methods for fixed size non-overlapping blocks; overlapping blocks; and overlapping super-blocks.

[00106] In summary, the proposed connectivity-preserving and reversible binary watermarking methods could be used to issue electronic documents, such as electronic certificates or electronic birth certificates issued and authenticated by an issuing authority. It could be used by institutions such as universities, banks, or government agencies, where the authenticity of the document is necessary. Instead of keeping all the hard copies, the proposed method may facilitate document processing speeds and therefore save time and man power.

[00107] As will be appreciated, in the disclosed embodiments three separate unequivocal conditions are used to assess whether a defined pixel within a 3x3 block may be altered to store a bit of data within the block, without significantly altering the visual attributes of the block in a manner perceptible by the human eye. A person of ordinary will readily appreciate that other conditions could be used in addition, or instead of, those exemplified. Moreover, blocks of different sizes could be used. For example, 5x5, 7x7 or other mxn sized blocks could be used.

[00108] Additionally, a person of ordinary skill will readily appreciate that the defined conditions may be assessed in numerous ways. For example, **FIG. 3** provides an analytical approach to testing if the conditions are met. Alternatively, conventional pattern matching techniques may be used to assess whether the appearance of blocks match the appearance of watermarkable blocks (as, for example, depicted in **FIG. 5D**). Other techniques of assessing if the conditions are met will be appreciated.

[00109] As well, as will be appreciated, colours black and white are used as examples herein. The terms "black" and "white" as used herein are intended to only illustrate the relative brightness of pixels, with a black pixel being darker than a white pixel. For example, data could be embedded in documents having documents using two colours of varying luminence (e.g. red and pink; navy blue and baby blue; etc.).

[00110] Of course, the above described embodiments are intended to be illustrative only and in no way limiting. The described embodiments of carrying out the invention are susceptible to many modifications of form, arrangement of parts, details, and order of operation. The invention, rather, is intended to encompass all such modification within its scope, as defined by the claims.

WHAT IS CLAIMED IS:

1. A method of embedding watermark data in a two colour rasterized image, said method comprising:

dividing said image into a plurality of blocks;

for each of said plurality of blocks, assessing whether a defined pixel within said block may be altered to store a bit of said data within said block without altering the visual attributes of said block in a manner to be materially perceptible to the human eye, to determine a set of said plurality of blocks suited to store a bit of said data;

storing a bit of said data in each of said plurality of blocks assessed as suited to store a bit of said data.

2. The method of Claim 1, wherein said assessing comprises applying at least one pre-determined logical operation to a plurality of pixels within each of said plurality of blocks.
3. The method of Claim 1, wherein each of said blocks comprises $n \times n$ pixels, wherein n is odd and $n > 2$.
4. The method of Claim 2, wherein said defined pixel is the centre pixel of each $n \times n$ block.
5. The method of Claim 4, wherein $n = 3$.
6. The method of Claim 5, wherein a block is assessed as suitable only if the number of solid rows and columns through the centre pixel remains invariant before and after flipping the colour of the centre pixel.
7. The method of Claim 6, wherein a block is assessed as suitable only if the number of white right angles through its centre pixel and around a black corner pixel remains invariant before and after flipping the colour of said centre pixel.
8. The method of Claim 7, wherein a block is assessed as suitable only if the

number of adjacent black edge pairs about a black centre pixel remains invariant before and after flipping the colour of said centre pixel.

9. The method of Claim 1, wherein each of said blocks comprises a super-block having $M \times N$ pixels, divisible into blocks of $n \times n$ pixels, and wherein said assessing comprises assessing whether at least one pixel within one of said $n \times n$ blocks may be altered to store a bit of said data within said block, without altering the visual attributes of said block in a manner to be perceptible by the human eye.
10. The method of Claim 9, wherein each of said super-blocks has dimensions of 4×4 .
11. The method of Claim 9, wherein each of said super-blocks has dimensions of 5×5 .
12. The method of Claim 1, wherein said watermark data comprises a pseudo-random noise sequence.
13. The method of Claim 12, wherein said watermark data comprises a data derived from a biometric indicator.
14. The method of Claim 12, wherein said pseudo random noise sequence is generated using a seed.
15. The method of claim 12, wherein said seed is derived from an identifier of the originator of said image.
16. The method of Claim 15, further comprising calculating a hash value of said image in which all of said watermark pixels are set to a pre-determined value.
17. The method of Claim 16, further comprising incorporating said hash value with said watermark data.
18. The method of Claim 17, wherein said hash value is concatenated or XORed with said watermark data.

19. The method of Claim 16, wherein said hash value is appended to said watermark data.
20. The method of Claim 1, wherein a bit of watermarked data is stored in a block, by changing the colour of said defined pixel to enforce a parity of pixels of one of said two colours in said block.
21. The method of Claim 18, further comprising calculating a difference between said image without said watermark data and said image with said watermark data, and incorporating said difference in said image.
22. The method of Claim 1, wherein said image is a document.
23. The method of Claim 1, wherein said plurality of blocks are non-overlapping.
24. The method of Claim 1, wherein said adjacent ones of said plurality of blocks overlap, and wherein said assessing within a block is made with reference to pixels within said block and with reference to pixels in at least one adjacent block.
25. A method of extracting watermark data from a two colour document, said method comprising:
- receiving said two colour document containing said watermark data embedded therein;
- dividing said document into $m \times n$ blocks;
- for each of said plurality of blocks, assessing whether a defined pixel within said block may be altered to store a bit of said data within said block without altering the visual attributes of said block in a manner to be perceptible by the human eye, using at least one logical condition to determine a set of said plurality of blocks suited to store a bit of said data;
- extracting a bit of said watermark data in each of said plurality of blocks assessed as suited to store a bit of said data.

26. The method of Claim 25, wherein each of said blocks comprises $n \times n$ pixels, wherein n is odd and $n > 2$.
27. The method of Claim 26, wherein said at least one pixel is the centre pixel of each $n \times n$ block.
28. The method of Claim 27, wherein a block is assessed as suitable only if the number of solid rows and columns through the centre pixel remains invariant before and after flipping the colour of the centre pixel.
29. The method of Claim 28, wherein a block is assessed as suitable only if the number of interior right angles through its centre pixel remains invariant before and after flipping the colour of said centre pixel.
30. The method of Claim 29, wherein a block is assessed as suitable only if the number of adjacent black edge pairs about a black centre pixel remains invariant before and after flipping the colour of said centre pixel.
31. The method of Claim 25, wherein $n=3$.
32. The method of Claim 25, wherein a bit of watermarked data is determined by determining the parity of pixels of one of said two colours in said block.
33. Computer readable medium, storing processor readable instructions loadable at a computing device including a processor, that adapt said computing device to perform the method of any one of Claims 1-32.
34. A computing device comprising a processor and processor readable memory, said processor readable memory storing instructions adapting said computing device to perform the method of any one of Claims 1-32.
35. A method of determining if watermark data may be embedded in a two colour rasterized image, said method comprising:
- dividing said image into a plurality of 3×3 blocks;
 - assessing whether a centre pixel of each of said blocks may be altered to store a bit of said data within said block, by assessing:

(a) if the number of solid rows and columns through said centre pixel remains invariant before and after flipping the colour of the centre pixel;

(b) if the number of white right angles through its centre pixel and around a black corner pixel remains invariant before and after flipping the colour of said centre pixel; and

(c) if the number of adjacent black edge pairs about a black centre pixel remains invariant before and after flipping the colour of said centre pixel.