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TWO-STAGE LOCAL AND GLOBAL FINGERPRINT MATCHING TECHNIQUE FOR
AUTOMATED FINGERPRINT VERIFICATION/IDENTIFICATION

5 BACKGROUND OF THE INVENTION

This invention relates to a method, a device, a computer readable medium and a computer program element for matching fingerprints.

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The word "fingerprint" is herein used as a representative of a fingerprint or a like pattern or figure. More particularly, the fingerprint may be an actual finger, a palm print, a toe print, a soleprint, a squamous pattern, and a streaked pattern
15 composed of streaks. The fingerprint may also be a diagram drawn by a skilled person to represent a faint fingerprint which is, for example, left at the scene of a crime.

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The "matching" is for identification of a fingerprint with reference to a plurality of known reference fingerprints. The matching may also be for discrimination, collation, and/or verification of the fingerprint.

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In the following, the fingerprint which is to be recognized is called a search fingerprint. A stored fingerprint which is compared with said search fingerprint is called reference fingerprint.

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US 5 633 947 describes a method and an apparatus for fingerprint characterization and recognition using an auto correlation approach. A fingerprint image is captured and a binary image of said fingerprint image is determined.

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Furthermore, said binary image is replicated. Said replica is overlaid on said binary image and an autocorrelation pattern having a displacement modulus equal to a fractional part of the mean fingerprint inter-ridge spacing and a displacement vector rotated through a vector argument in incremental steps is generated.



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US 5 493 621 discloses an approach to match a fingerprint by setting up a graph. A master point is the minutia nearest to a center. A sub-branch point is then selected from the nearest point to the master point in each quadrant, followed by sub-
5 sub-branch points in increasing distance. Positional relationship between each branch point with the master point and the sub-sub-branch points in each quadrant are recorded as well as the number of branch points. These data are subsequently used for matching.

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In US 4 896 363 a distance spectrum is used for fingerprint matching. In this method, a set of points representative of the characteristic features of a fingerprint image and a set of points representative of the characteristic features of a
15 reference image are determined, respectively. For each point in the set of points, a spectrum of values representing the distances between the point and each other point in the set of points is calculated.

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US 4 790 564 describes a method in which at least one search print minutia is replicated by varying at least one of its coordinates of location and angle, thereby obtaining at least one additional minutia which is different from said search print minutia in at least one of said coordinates. Said search
25 print minutia is compared against the minutiae of pre-stored file prints.

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In US 4 135 147 means responsive to minutiae of first and second patterns for selectively generating a plurality of sets of neighborhood comparison signals representative of the closeness of match and coordinate and orientation
displacements between minutiae neighborhoods of the first and second patterns are described. Each set of neighborhood comparison signals comprises a match score and associated
35 coordinate and orientation displacement signals respectively representative of the closeness of match and coordinate and orientation displacements between a minutiae neighborhood of the first minutiae pattern and a minutiae neighborhood of the second pattern. The comparison uses a plurality of three-

dimensional ranges of different displacements in a three-coordinate system for adding in each three-dimensional range all match scores whose associated sets of displacement signals represent displacements lying within that three-dimensional
5 range in order to find the three-dimensional range having the highest combined match score, the highest combined match score being indicative of the relative closeness of match between the first and second patterns.

10 Block-based matching of fingerprints is described in US 5 239 590.

US 5 613 014 describes a method to match fingerprints using an attribute relational graph.

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In US 5 631 972 a hyperladder approach is used to perform fingerprint matching.

US 4 646 352 describes a method and a device for matching
20 fingerprints, wherein a pair candidate list is formed by selecting minutia pairs with reference to a minutia list showing original position and direction data given for minutiae by principal coordinate systems preliminarily selected on a search and a file fingerprint and those relation
25 data of the minutiae which are substantially independent of the coordinate systems. One of the coordinate systems is transformed by those optimum amounts to provide transformed position and direction data which are decided by the original position and direction data of the minutia pairs of the pair
30 candidate list. A pair list is formed by precisely selecting minutiae from the pair candidate list with reference to the transformed position and direction data and the original position and direction data given by the other principal coordinate system and to the relation data. On forming the
35 pair list, an additional minutia list is preferably formed which shows the transformed position and direction data and the last-mentioned original position and direction data together with the relation data.

A minutiae consists of a set of invariant and discriminating features of a fingerprint. It is a local discontinuity of a fingerprint ridge (ridge ending and ridge bifurcation).

- 5 One of the disadvantages of these known methods are the uncertainty of the matching result.

SUMMARY OF THE INVENTION

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It is thus an object of the present invention to determine a degree of match between a search fingerprint and a reference fingerprint with a higher degree of certainty than it is possible using the known methods described above.

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The object is met with a method, a device, a computer readable medium and a computer program element for matching fingerprints with features according to the independent claims.

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A method for determining a degree of match between a search fingerprint and a reference fingerprint comprising the following steps:

- 25 a) Extracting at least one first search feature from a first region of said search fingerprint thereby forming a local search feature vector,
- b) Extracting at least one second search feature from a second region of said search fingerprint thereby forming a global search feature vector, wherein said second region comprises
- 30 said first region,
- c) Determining a first similarity degree by comparing said local search feature vector with a local reference feature vector of said reference fingerprint,
- 35 d) Determining a second similarity degree by comparing said global search feature vector with a global reference feature vector of said reference fingerprint and using said first similarity degree,
- e) Determining said degree of match from said second similarity degree.

A device for determining a degree of match between a search fingerprint and a reference fingerprint comprising:

- 5 a) Means for extracting at least one first search feature from a first region of said search fingerprint thereby forming a local search feature vector,
- b) Means for extracting at least one second search feature from a second region of said search fingerprint thereby forming a global search feature vector, wherein said second region
- 10 comprises said first region,
- c) Means for determining a first similarity degree by comparing said local search feature vector with a local reference feature vector of said reference fingerprint,
- d) Means for determining a second similarity degree by
- 15 comparing said global search feature vector with a global reference feature vector of said reference fingerprint and using said first similarity degree,
- e) Means for determining said degree of match from said second similarity degree.

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A computer readable medium having a program recorded thereon, where the program is to make the computer execute a procedure, comprising the following steps for determining a degree of match between a search fingerprint and a reference

25 fingerprint:

- a) Extracting at least one first search feature from a first region of said search fingerprint thereby forming a local search feature vector,
- b) Extracting at least one second search feature from a second
- 30 region of said search fingerprint thereby forming a global search feature vector, wherein said second region comprises said first region,
- c) Determining a first similarity degree by comparing said local search feature vector with a local reference feature
- 35 vector of said reference fingerprint,
- d) Determining a second similarity degree by comparing said global search feature vector with a global reference feature vector of said reference fingerprint and using said first similarity degree,

e) Determining said degree of match from said second similarity degree.

A computer program element which is to make the computer
5 execute a procedure comprising the following steps for
determining a degree of match between a search fingerprint and
a reference fingerprint:
a) Extracting at least one first search feature from a first
10 region of said search fingerprint thereby forming a local
search feature vector,
b) Extracting at least one second search feature from a second
region of said search fingerprint thereby forming a global
search feature vector, wherein said second region comprises
said first region,
15 c) Determining a first similarity degree by comparing said
local search feature vector with a local reference feature
vector of said reference fingerprint,
d) Determining a second similarity degree by comparing said
global search feature vector with a global reference feature
20 vector of said reference fingerprint and using said first
similarity degree,
e) Determining said degree of match from said second similarity
degree.

25 By the invention local and global information (features) are
used, thereby enhancing the certainty of the result.

The result is robust to a nonlinear deformation of an image of
the fingerprint due to variation in pressure and the pressing
30 manner.

A further advantage of the invention is, that the method is
fast to compute thereby being suitable for an online
fingerprint verification and/or fingerprint identification.

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The invention may be implemented in a programmable computer
device as well as with a special electronic circuit.

Advantageous embodiments of the invention are claimed in the dependent claims.

The further described embodiments are valid for the method as well as the device, the computer readable medium and the computer program.

Said features may describe minutiae of said fingerprints or a relation between minutiae of said fingerprints.

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Features may be used which are independent from rotation and/or translation of the fingerprints compared with a given coordinate system.

15 The invention may further comprise the following features:

- said first region comprises a given first amount of neighbor minutiae,
- said second region comprises a given second amount of neighbor minutiae,
- 20 • said second amount is larger than said first amount.

The invention may further comprise the following features:

- Determining said first similarity degree for all minutiae in said first region,
- 25 • Determining a best match local structure pair of minutiae by using said first similarity degrees,
- Aligning all minutiae in said second region based on said best match local structure pair, thereby forming said global search feature vector.

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In a further embodiment, said first similarity degree $c1(k1, k2)$ is determined using the following formula:

$$c1(k1, k2) = \begin{cases} \frac{b1 - W \times |FL_{k1}^S - FL_{k2}^R|}{b1}, & \text{if } W \times |FL_{k1}^S - FL_{k2}^R| < b1 \\ 0, & \text{Others} \end{cases}$$

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wherein

- b1 is a freely selectable local threshold,
- W is a freely selectable weight vector that specifies the weight associated with each component of said feature vector,
- FL_{k1}^S is a local search feature vector of minutia k1,
- 5 • FL_{k2}^R is a local reference feature vector of minutia k2.

Furthermore, said second similarity degree $cg(k1, k2)$ may be determined using the following formula:

$$10 \quad cg(k1, k2) = \begin{cases} cl(k1, k2), & \text{if } |FG_{k1b}^S - FG_{k2b}^R| < bg \\ 0, & \text{others} \end{cases}$$

wherein

- bg is a freely selectable global threshold vector,
- 15 • FG_{k1b}^S is a global search feature vector of minutia k1,
- FG_{k2b}^R is a global reference feature vector of minutia k2.

In a further preferred embodiment of the invention said degree of match is determined using the following formula:

$$20 \quad ms = \frac{\sum cg(k1, k2)}{\max(N1, N2)} ,$$

wherein N1 and N2 are the numbers of minutiae in a common region of said search fingerprint and said reference
25 fingerprint.

By these features, even a better and more robust result is achieved.

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BRIEF DESCRIPTION OF THE DRAWING

FIGURE 1 is a block diagram of a computer system which is used to perform the steps of the method;

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FIGURE 2 is a flowchart of the method for determining the degree of match;

FIGURE 3 is a minutia local structure of two nearest
5 neighborhood minutiae to a minutia;

FIGURE 4 shows a common region of a search fingerprint and a reference fingerprint.

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DESCRIPTION OF THE PREFERRED EMBODIMENTS

Preferred embodiments of this invention and modifications
15 thereof will now be described with reference to the accompanying drawings.

Fig.1 shows a computer 100 comprising

- a central processing unit (CPU) 101,
- 20 • a storage device 102,
- a bus 103, and
- an input/output-interface 104.

Said central processing unit 101, said storage device 102 and
25 said input/output-interface 104 are connected with said bus 103 for exchanging electrical signals.

Via said input/output-interface 104 and a first connection 105, said computer 100 is connected with a fingertip sensor
30 106, with which an image of a fingertip 107 is taken from a finger 108. Furthermore, via said input/output-interface 104 and a second connection 109, said computer 100 is connected with a keypad 110, and via a third connection 111, said computer 100 is connected with a read device 112, like a card
35 or a smartcard reader. Via said input/output-interface 104 and a fourth connection 113, said computer 100 is connected with a display 114.

After said sensor 106 has taken said image of the fingertip, said image is sent from said sensor 106 to said computer 100, where it is stored in an image memory portion 115 of said memory 102. In said memory 102, there is stored a computer
 5 program 117, which makes said computer 100 execute a procedure comprising the following steps, which will now be described in detail.

For the further explanation it is assumed, that the image of
 10 the fingertip 107 comprises n minutiae, which are extracted from said image 115.

For each minutia k ($k = 1, \dots, n$) a minutia feature vector FG_k 116 is determined and stored in said memory 102 (cf. Step
 15 201 in Fig.2). Said minutia feature vector FG_k 116 comprises the following features:

$$FG_k = \begin{pmatrix} x_k \\ y_k \\ \varphi_k \\ m_k \end{pmatrix}, \quad (1)$$

20 wherein

- x_k is a first coordinate of said minutia in a given right-hand orthogonal coordinate system,
- y_k is a second coordinate of said minutia in said coordinate
 25 system,
- φ_k is a direction ($-\pi < \varphi_k \leq \pi$), which is defined as a local ridge direction of an associated ridge of said minutia,
- m_k is a minutia type out of a given amount of minutia types.

30 The global characteristics of said minutia such as said first coordinate x_k , said second coordinate y_k and said direction φ_k are dependent on the position and orientation of said finger

108 on said fingertip sensor 106 when said fingertip 107 is acquired. These are not rotation and translation invariant.

In a second step (step 202), for each minutia k of the image 115, the two next minutia i and j respectively are determined.

For each minutia k of the image 115, the following features of said minutia are determined (Step 203):

- 10 • a first distance d_{ki} between a first minutia point k and a point of a first neighborhood minutia i ,
- a second distance d_{kj} between said first minutia point k and a point of a second neighborhood minutia j ,
- 15 • a first ridge count n_{ki} between said first minutia point k and said point of said first neighborhood minutia i ,
- a second ridge count n_{kj} between said first minutia point k and said point of said second neighborhood minutia j ,
- a first relative angle Θ_{ki} between said first minutia point k and said point of said first neighborhood minutia i ,
- 20 • a second relative angle Θ_{kj} between said first minutia point k and said point of said second neighborhood minutia j ,
- a first ridge direction Φ_{ki} , and
- a second ridge direction Φ_{kj} .

25 Said first distance d_{ki} is determined according to the following formula:

$$d_{ki} = \sqrt{(x_k - x_i)^2 + (y_k - y_i)^2} \quad (2)$$

30 wherein

- x_i is a first coordinate of said point of said first neighborhood minutia i in said coordinate system,
- y_i is a second coordinate of said point of said first neighborhood minutia i in said coordinate system.

Said first relative angle Θ_{ki} is determined according to the following formula:

$$\Theta_{ki} = \begin{cases} \tan^{-1}\left(\frac{y_k - y_i}{x_k - x_i}\right) - \varphi_k & \text{if } -\pi < \tan^{-1}\left(\frac{y_k - y_i}{x_k - x_i}\right) - \varphi_k \leq \pi \\ 2\pi + \tan^{-1}\left(\frac{y_k - y_i}{x_k - x_i}\right) - \varphi_k, & \text{if } \tan^{-1}\left(\frac{y_k - y_i}{x_k - x_i}\right) - \varphi_k \leq -\pi \\ 2\pi - \tan^{-1}\left(\frac{y_k - y_i}{x_k - x_i}\right) + \varphi_k, & \text{if } \tan^{-1}\left(\frac{y_k - y_i}{x_k - x_i}\right) - \varphi_k > \pi \end{cases} \quad (3)$$

5 Said first ridge direction φ_{ki} is determined according to the following formula:

$$\varphi_{ki} = \begin{cases} \varphi_i - \varphi_k, & \text{if } -\pi < \varphi_i - \varphi_k \leq \pi \\ 2\pi + \varphi_i - \varphi_k, & \text{if } \varphi_i - \varphi_k \leq -\pi \\ 2\pi - \varphi_i + \varphi_k, & \text{if } \varphi_i - \varphi_k > \pi \end{cases} \quad (4)$$

10 Said second distance d_{kj} , said second relative angle Θ_{kj} , said second ridge direction φ_{kj} are also determined using formulas (2), (3), (4), respectively, wherein the index i is then exchanged by the index j .

15 These features are independent from the rotation and translation of said finger 108 on said fingertip sensor 106 when said fingertip 107 is acquired.

After having determined said features described above, for
20 each of the n minutiae a local search feature vector FL_k 118 is determined and stored in said memory 102 according to:

$$FL_k = \begin{pmatrix} d_{ki} \\ d_{kj} \\ \Theta_{ki} \\ \Theta_{kj} \\ \varphi_{ki} \\ \varphi_{kj} \\ n_{ki} \\ n_{kj} \\ m_k \\ m_i \\ m_j \\ p_k \end{pmatrix}, \quad (5)$$

wherein

- 5 • d_{ki} is said first distance,
- d_{kj} is said second distance,
- Θ_{ki} is said first relative angle,
- Θ_{kj} is said second relative angle,
- φ_{ki} is said first ridge direction,
- 10 • φ_{kj} is said second ridge direction,
- n_{ki} is said first ridge count,
- n_{kj} is said second ridge count,
- m_k is a minutia type of minutia k ,
- m_i is a minutia type of minutia i ,
- 15 • m_j is a minutia type of minutia j ,
- p_k is a parameter which describes the type of both the ridges and valleys in the neighborhood of the minutia k .

As mentioned above, said parameter m describes the minutiae type, wherein e.g. $m=0$ in case of a ridge ending and $m>0$ in case of a ridge bifurcation. The parameter n_{ki} describes said ridge count, i.e. the number of ridges, between the minutiae k and i . Said parameter p_k describes the type of both the ridges and valleys in the neighborhood of the minutia k and is

25 obtained by considering only the endings of said ridges and valleys. For example, if there are 4 ridges and 4 valleys in the neighborhood of the minutia k which are to be described, p_k is a vector consisted of 8 components. The value of each

component of such a vector may then be 0, 1 and 2 depending on whether the corresponding ridge or valley has no ending, one ending or two endings. The search for the ending can be done for the entire ridge and valley or in a freely specified region only.

Therefore, the parameters n and p describe the local characteristics of the minutiae in their respective neighborhood and are determined by using the image of the search fingerprint.

The element of the vector is ordered in such a way that the first element corresponds to the nearest minutia found, followed by the second nearest and so on.

Referring to Fig.3, for said minutia k , a local structure of the two nearest neighborhood minutia is shown including the features described above. For each of the minutia, the local structure is divided into four quadrants by two perpendicular axes. A first axis x is normal to the direction of the minutia while a second axis y is tangential to the minutia direction. The number of minutiae in each quadrant shall not exceed a maximum value, g . Typically, the number neighborhood minutiae taken into account is less than 6 and the value for g in such a case is 2.

In a further step (step 204), a first similarity degree $cl(k_1, k_2)$ for all k_1, k_2 is determined by comparing each stored local search feature vector $FL_{k_1}^s$ of the search fingerprint with local reference feature vectors $FL_{k_2}^R$ 119 which describe one or more reference fingerprints, the search fingerprint is to be compared to in order to verify or identify, respectively, a search fingerprint.

The reference feature vectors $FL_{k_2}^R$ 119 of the reference fingerprint(s) are formed in advance in the same way as said local search feature vector $FL_{k_1}^s$ of said search fingerprint.

Thus it is to be understood that there are stored n local search feature vectors FL_{k1}^S of the search fingerprint to be identified/verified. Further, in case of identification of a search fingerprint the local reference feature vectors FL_{k2}^R 119 of a free amount of reference fingerprints are stored in advance in said memory 102 or in an external memory (not shown), wherein the external memory is connected to the computer 100 via the input/output-interface 104. In case of verification of a search fingerprint, the local reference feature vectors FL_{k2}^R 119 of a reference fingerprint, by which the search fingerprint is to be verified, are either selected from the local reference feature vectors of the reference fingerprints stored in the memory 102 or the external memory by the user via the keypad 110 or input from a card, smartcard 15 or the like via the read device 112.

Said local search feature vectors FL_{k1}^S are now matched to said earlier stored local reference feature vectors FL_{k2}^R , forming said first similarity degree $cl(k1, k2)$ for all minutiae $k1$ of said search fingerprint and for all minutiae $k2$ of each of said reference fingerprints. 20

Said first similarity degree $cl(k1, k2)$ is determined according to the following formula:

$$cl(k1, k2) = \begin{cases} \frac{b1 - W \times |FL_{k1}^S - FL_{k2}^R|}{b1}, & \text{if } W \times |FL_{k1}^S - FL_{k2}^R| < b1 \\ 0, & \text{Others} \end{cases} \quad (6)$$

with

$$W = (w_d, w_d, w_{\Theta}, w_{\Theta}, w_{\phi}, w_{\phi}, w_N, w_N, w_M, w_M, w_M, w_P), \quad (7)$$

wherein

- $b1$ is a freely selectable local threshold,
- W is a weight vector that specifies the weight associated with each component of said feature vector,

- FL_{k1}^S is a local search feature vector of minutia $k1$,
- FL_{k2}^R is a local reference feature vector of minutia $k2$.

The possible range of said first similarity degree $cl(k1, k2)$ is $0 \leq cl(k1, k2) \leq 1$. Thus, $cl(k1, k2) = 1$ implies a perfect match while $cl(k1, k2) = 0$ implies a total mismatch.

In a further step (step 205), a best match of local structure pairs $cl(b1, b2)$ of search feature vector and reference feature vector is determined. Said best match is obtained by maximizing said first similarity degree $cl(k1, k2)$ as:

$$cl(b1, b2) = \max_{k1, k2} (cl(k1, k2)). \quad (8)$$

Said best match of local structure pairs $cl(b1, b2)$ of search feature vector and reference feature vector is now used as a reliable correspondence of the two compared fingerprints.

All other minutiae will be aligned based on this corresponding pair, which will be named as base. Supposing said base of a fingerprint found is $(x_b, y_b, \varphi_b)^T$, ($b = b1, b2$), then all the other minutiae of the respective fingerprint are described with respect to said base minutia.

Thus, for each minutia, a global search feature vector FG_{kb} is determined using the following formula (step 206):

$$FG_{kb} = \begin{pmatrix} d_{kb} \\ \Theta_{kb} \\ \varphi_{kb} \\ m_{kb} \end{pmatrix} = \begin{pmatrix} \sqrt{(x_k - x_b)^2 + (y_k - y_b)^2} \\ \tan^{-1}\left(\frac{y_k - y_b}{x_k - x_b}\right) - \varphi_b \\ \varphi_k - \varphi_b \\ m_k \end{pmatrix}, \quad \forall k, k \neq b. \quad (9)$$

wherein the vector parameters have the same meaning as those used in the local search feature vector. However, the parameters n and p being descriptive for the local characteristics of the minutiae as mentioned above are, in

general, not included in the the global search feature vector to avoid unnecessary repetition.

Having determined said global search feature vectors FG_{k1b}^S ,
 5 they are subsequently matched to earlier stored global reference feature vectors FG_{k2b}^R 120, which were determined in the same way as said global search feature vectors FG_{k1b}^S using formula (9) described above.

10 The result of said matching is a second similarity degree $cg(k1, k2)$ for all minutia pairs $(k1, k2)$ of said search fingerprint and of said reference fingerprints.

Said second similarity degree $cg(k1, k2)$ is determined
 15 according to the following formula (step 207):

$$cg(k1, k2) = \begin{cases} cl(k1, k2), & \text{if } |FG_{k1b}^S - FG_{k2b}^R| < bg \\ 0, & \text{Others'} \end{cases} \quad (10)$$

wherein bg is a freely selectable global threshold vector.

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Equation (10) increases the reliability of the result because $cg(k1, k2)$ is nonzero only if minutiae $k1$ and $k2$ are both locally and globally matched. This means with other words that the second similarity degree is determined by both comparing
 25 said global feature vectors and using the first similarity degree. Moreover, through the use of Equation (10) a soft decision is provided instead of a simple result "matched" or "unmatched".

30 Finally (step 208) a degree of match ms is computed according to the following formula:

$$ms = \frac{\sum cg(k1, k2)}{\max(N1, N2)} \quad (11)$$

35

wherein $N1, N2$ are the numbers of the minutiae in a common region 401 (cf. Fig.4) of said search fingerprint and said

reference fingerprint respectively. Said common region 401 is the region where said search fingerprint and said reference fingerprint overlap each other using said given coordinate system. Said common region 401 is obtained by transforming a
5 rectangle 402 which defines a region of interest of said reference fingerprint to said search fingerprint by an appropriate angle and translation amount as given by the corresponding base information. This is graphically shown in Fig.4 where a shaded region is said common region 401.

10

Accordingly, the degree of match is determined from said second similarity degree and the number of minutiae in said common region of said search and said reference fingerprints.

15 Two fingerprints will be verified as that of the same finger if and only if

- the number of the minutiae in said common region 401 (max (N1, N2) is larger than a first predefined threshold N, and
- an area cs of the common region 401 is larger than a second
20 predefined threshold S, and
- said degree of match ms is larger than a third predefined threshold T.

By taking into consideration not only the degree of match, but
25 also the number of the minutiae in said common region and the area of said common region of the search fingerprint and the reference fingerprint according to this embodiment of the invention, the reliability of the fingerprint identification/verification is significantly increased.

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After having determined a result, whether said search fingerprint is matched with a reference fingerprint according to the method described above, said computer 100 sends a result signal to said display 114 where the result is
35 presented to a user.

Then, the computer program stops execution (step 209).

While the preferred embodiments of this invention and modifications thereof have so far been described with reference to the accompanying drawing, it will now readily be possible for one skilled in the art to carry this invention
5 into effect in various other manners.

In the embodiment described above the two next minutiae i and j , respectively, are taken into consideration for determining a local search feature vector. However, there is no limit in
10 the amount of how many neighborhood minutia may be taken into account.

The embodiment described above relies on only one best matched of local structure pairs $cl(b1, b2)$ of search feature vector
15 and reference feature vector. In an alternative it is also possible to use a plurality of pairs as a plurality of bases, which would even improve the achievable reliability of the result.

20 Said degree of match may also be obtained by simply adding the number of the matched minutiae.

Furthermore, in an alternative of the embodiment described above, one may also use the following criterion for
25 determining a said best match structure pair:

$$cl(b1, b2) = \min_{k1, k2} \left(\left\| FL_{k1}^S - FL_{k2}^R \right\| \right)$$

(12)

30 It should be mentioned, that in this alternative, said weight vector W is not used. A plurality of bases may be used in this case as well.

CLAIMS

What is claimed is:

- 5 1. A method for determining a degree of match between a search fingerprint and a reference fingerprint comprising the following steps:
 - a)Extracting at least one first search feature from a first region of said search fingerprint thereby forming a local
10 search feature vector,
 - b)Extracting at least one second search feature from a second region of said search fingerprint thereby forming a global search feature vector, wherein said second region comprises said first region,
 - 15 c)Determining a first similarity degree by comparing said local search feature vector with a local reference feature vector of said reference fingerprint,
 - d)Determining a second similarity degree by comparing said global search feature vector with a global reference feature
20 vector of said reference fingerprint and using said first similarity degree,
 - e)Determining said degree of match from said second similarity degree.
- 25 2. A method according to claim 1,
wherein said features describe minutiae of said fingerprints or a relation between minutiae of said fingerprints.
3. A method according to claim 1,
30 wherein said features are independent from rotation and/or translation of the fingerprints compared with a given coordinate system.
4. A method according to claim 2 or 3,
35 wherein
 - said first region comprises a given first amount of neighbor minutiae,
 - said second region comprises a given second amount of neighbor minutiae,

- said second amount is larger than said first amount.

5. A method according to one of the claims 2 to 4, further comprising the following steps:

- 5 • Determining said first similarity degree for all minutiae in said first region,
- Determining a best match local structure pair of minutiae by using said first similarity degrees,
- 10 • Aligning all minutiae in said second region based on said best match local structure pair, thereby forming said global search feature vector.

6. A method according to claim 5, wherein said first similarity degree $c1(k1, k2)$ is determined using the following formula:

$$c1(k1, k2) = \begin{cases} \frac{b1 - W \times |FL_{k1}^S - FL_{k2}^R|}{b1}, & \text{if } W \times |FL_{k1}^S - FL_{k2}^R| < b1 \\ 0, & \text{Others} \end{cases}$$

20

wherein

- $b1$ is a freely selectable local threshold,
- W is a freely selectable weight vector that specifies the weight associated with each component of said feature vector,
- 25 • FL_{k1}^S is a local search feature vector of minutia $k1$,
- FL_{k2}^R is a local reference feature vector of minutia $k2$.

7. A method according to claim 6, wherein said second similarity degree $cg(k1, k2)$ is determined using the following formula:

$$cg(k1, k2) = \begin{cases} c1(k1, k2), & \text{if } |FG_{k1b}^S - FG_{k2b}^R| < bg \\ 0, & \text{Others} \end{cases}$$

35 wherein

- bg is a freely selectable global threshold vector,
- FG_{k1b}^S is a global search feature vector of minutia k1,
- FG_{k2b}^R is a global reference feature vector of minutia k2.

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8. A method according to claim 7,
wherein said degree of match is determined using the following
formula:

$$10 \quad ms = \frac{\sum cg(k1, k2)}{\max(N1, N2)} ,$$

wherein N1 and N2 are the numbers of minutiae in a common
region of said search fingerprint and said reference
fingerprint.

15

9. A device for determining a degree of match between a search
fingerprint and a reference fingerprint comprising:

- a) Means for extracting at least one first search feature from
a first region of said search fingerprint thereby forming a
20 local search feature vector,
- b) Means for extracting at least one second search feature from
a second region of said search fingerprint thereby forming a
global search feature vector, wherein said second region
comprises said first region,
- 25 c) Means for determining a first similarity degree by comparing
said local search feature vector with a local reference
feature vector of said reference fingerprint,
- d) Means for determining a second similarity degree by
comparing said global search feature vector with a global
30 reference feature vector of said reference fingerprint and
using said first similarity degree,
- e) Means for determining said degree of match from said second
similarity degree.

- 35 10. A computer readable medium, having a program recorded
thereon, where the program is to make the computer execute a
procedure, comprising the following steps for determining a

degree of match between a search fingerprint and a reference fingerprint:

- 5 a) Extracting at least one first search feature from a first region of said search fingerprint thereby forming a local search feature vector,
- b) Extracting at least one second search feature from a second region of said search fingerprint thereby forming a global search feature vector, wherein said second region comprises said first region,
- 10 c) Determining a first similarity degree by comparing said local search feature vector with a local reference feature vector of said reference fingerprint,
- d) Determining a second similarity degree by comparing said global search feature vector with a global reference feature vector of said reference fingerprint and using said first similarity degree,
- 15 e) Determining said degree of match from said second similarity degree.

20 11. A computer program element which is to make the computer execute a procedure, comprising the following steps for determining a degree of match between a search fingerprint and a reference fingerprint:

- 25 a) Extracting at least one first search feature from a first region of said search fingerprint thereby forming a local search feature vector,
- b) Extracting at least one second search feature from a second region of said search fingerprint thereby forming a global search feature vector, wherein said second region comprises said first region,
- 30 c) Determining a first similarity degree by comparing said local search feature vector with a local reference feature vector of said reference fingerprint,
- d) Determining a second similarity degree by comparing said global search feature vector with a global reference feature vector of said reference fingerprint and using said first similarity degree,
- 35 e) Determining said degree of match from said second similarity degree.