



- (51) **International Patent Classification:**
G06F 11/00 (2006.01) *G07B 13/00* (2006.01)
- (21) **International Application Number:**
PCT/US2011/063012
- (22) **International Filing Date:**
2 December 2011 (02.12.2011)
- (25) **Filing Language:** English
- (26) **Publication Language:** English
- (30) **Priority Data:**
61/419,036 2 December 2010 (02.12.2010) US
61/503,962 1 July 2011 (01.07.2011) US
13/309,079 1 December 2011 (01.12.2011) US
- (72) **Inventors; and**
- (71) **Applicants :** BLOUNT, Edmon W. [US/US]; 5871 S. Easy Street, Gold Canyon, Arizona 85118 (US). DAIGLE, Robert [US/US]; 4378 Spillway Lane, Montclair, Virginia 22025 (US).
- (74) **Agent:** DIEHL, Glen M.; Diehl Servilla LLC, 33 Wood Avenue South, Second Floor, Suite 210, Iselin, New Jersey 08830 (US).
- (81) **Designated States** (*unless otherwise indicated, for every kind of national protection available*): AE, AG, AL, AM,

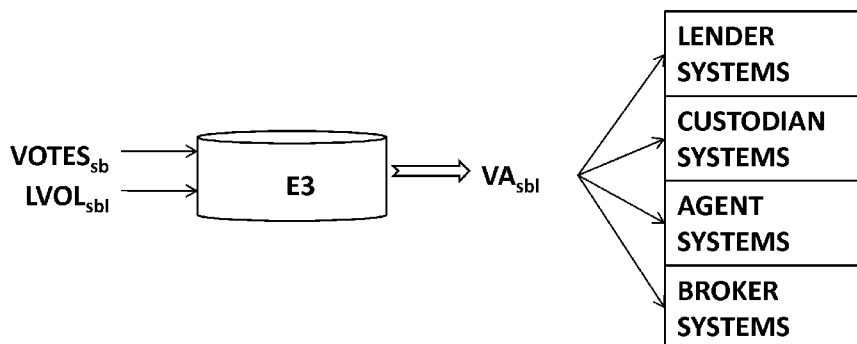
AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

- (84) **Designated States** (*unless otherwise indicated, for every kind of regional protection available*): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))

(54) **Title:** SYSTEM AND METHOD FOR LENDER DIRECTED VOTING

**FIG. 16**

(57) **Abstract:** Systems and methods for assigning a company's proxies associated with a company's shares from a financial intermediary to a lender are disclosed. In accordance with an aspect of the invention a system includes a memory and a processor. The memory stores a number of the company's shares for which the financial intermediary has not received proxy voting instructions and, for a plurality of lenders, the number of the company's shares loaned by the lender. The processor has access to the memory and determines a number of the company's proxies for which the financial intermediary has not received proxy voting instructions to assign to at least some of the plurality of lenders based on the number of company's shares for which the financial intermediary has not received voting instructions and based on the number of shares loaned by the lender.