

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau

(43) International Publication Date  
05 August 2021 (05.08.2021)



(10) International Publication Number  
**WO 2021/154584 A1**

(51) International Patent Classification:

*C07C 291/04* (2006.01) *A61Q 5/12* (2006.01)  
*C11D 1/00* (2006.01) *C11D 1/04* (2006.01)  
*A61Q 1/00* (2006.01)

dreij; c/o AdvanSix Resins & Chemicals LLC, 300 Kimball Drive, Suite 101, Parsippany, New Jersey 07054 (US).  
**MIHALI, Voichita**; c/o AdvanSix Resins & Chemicals LLC, 300 Kimball Drive, Suite 101, Parsippany, New Jersey 07054 (US).

(21) International Application Number:

PCT/US2021/014445

(22) International Filing Date:

21 January 2021 (21.01.2021)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

62/967,175 29 January 2020 (29.01.2020) US

(71) Applicant: **ADVANSIX RESINS & CHEMICALS LLC** [US/US]; 300 Kimball Drive, Suite 101, Parsippany, New Jersey 07054 (US).

(72) Inventors: **ASIRVATHAM, Edward**; c/o AdvanSix Resins & Chemicals LLC, 300 Kimball Drive, Suite 101, Parsippany, New Jersey 07054 (US). **HONCIUC, An-**

(74) Agent: **COX, Adam F.** et al.; 2200 WELLS FARGO CENTER, 90 SOUTH SEVENTH STREET, Minneapolis, Minnesota 55402 (US).

(81) Designated States (*unless otherwise indicated, for every kind of national protection available*): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, IT, JO, JP, KE, KG, KH, KN, KP, KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, WS, ZA, ZM, ZW.

(54) Title: AMINO ACID SURFACTANTS

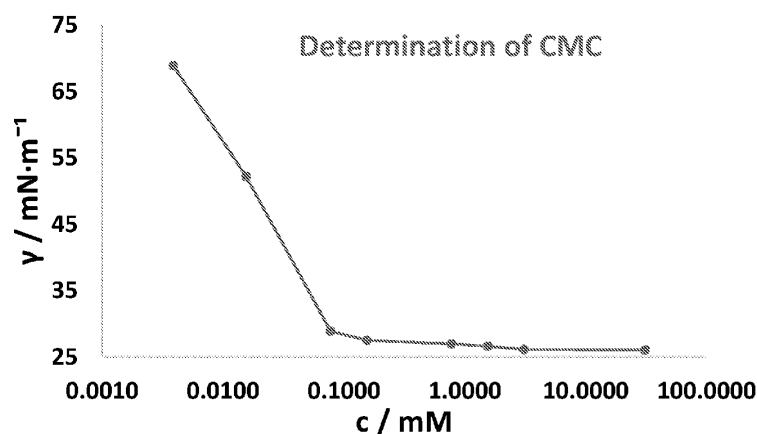


FIG. 1

(57) Abstract: The present disclosure provides derivatives of amino acids that have surface-active properties. The amino acid can be naturally-occurring or synthetic, or they may be obtained via a ring-opening reaction of a lactam, such as caprolactam. The amino acid may be functionalized to form a compound that is surface-active and have advantageous surfactant characteristics. The compounds of the present disclosure have low critical micelle concentrations (CMC) as well as superior ability to lower the surface tension of a liquid.

[Continued on next page]

**(84) Designated States** (*unless otherwise indicated, for every kind of regional protection available*): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

**Published:**

— with international search report (Art. 21(3))