

METHOD AND APPARATUS FOR DELIVERY OF THERAPEUTIC AGENTS**CROSS-REFERENCE TO RELATED APPLICATION**

[0001] The present application claims the benefit of U.S. provisional Patent Application No. 62/314,286, filed March 28, 2016, which is hereby incorporated by reference in its entirety.

FIELD

[0002] The present disclosure is directed to the administration of prophylactic and therapeutic agents to patients and, more particularly, to the reproducible, consistent, and efficacious delivery of prophylactic and therapeutic agents, such as nucleic acids, drugs, peptides, and proteins or combinations thereof, to defined regions in selected tissues of interest, facilitated by the local application of electrical fields, in a safe, effective, and consistent fashion across heterogeneous recipient populations with minimal user training.

BACKGROUND

[0003] Prophylactic and therapeutic agents have long been delivered to patients using various conventional routes of administration, such as topical, oral, intravenous, parenteral, and the like. Once administered to the patient by the selected route, the delivery of the agent to the tissue of interest and its beneficial interaction with the tissue is largely dependent on its inherent physicochemical factors, but may be facilitated by, for example, selected components of the delivery composition such as carriers, adjuvants, buffers and excipients, and the like.

[0004] The local application of electrical signals has been shown to enhance the distribution and uptake of macromolecules in living tissue. Application of such electrical signals in tissue in association with the administration of a prophylactic or therapeutic agent can have desirable effects on the tissue and/or the agent to be delivered. Specifically, techniques such as electroporation and iontophoresis have been utilized to significantly improve the distribution and/or uptake of a variety of agents in tissue. Such agents include pharmaceuticals, proteins, peptides, and nucleic acids, including both DNA and RNA sequences. Potential clinical applications of such techniques include the delivery of chemotherapeutic drugs in tumors, the delivery of nucleic acid sequences for prophylactic and therapeutic immunization, and the delivery of nucleic acid sequences encoding therapeutic proteins or peptides.

[0005] Many devices have been described for the application of electrical signals in tissue for the purpose of enhancing agent delivery. The majority of these have focused on a means for effective application of the electrical signals within a target region of tissue. A variety of surface and penetrating electrode systems have been developed for generating the desired electrophysiological effects.

[0006] These procedures comprise the administration of an agent of interest to a target tissue site in conjunction with the application of electrical fields of sufficient magnitude and duration to induce the desired effects on the delivery, distribution, and/or potency of the agent. The electrical fields are propagated via two or more electrodes in electrically conductive communication with the tissue. Electrode configurations suitable for use with these techniques include tissue penetrating electrodes, surface contact electrodes, and air gap electrodes. Specific electrode configurations include, but are not limited to, elongate needle or rod electrodes, point electrodes, meander electrodes (i.e., shaped wire), planar electrodes, and combinations thereof. The specific type and arrangement of electrodes is selected based on the target tissue type and the objectives of the procedure.

[0007] An important consideration for the use of with these techniques is that enhancement of agent activity is contingent on achieving spatial and temporal co-localization of the agent of interest with the electrical field. Specifically, the desired outcome is best achieved when the electrical fields are propagated within the target tissue in the presence of the agent of interest.

[0008] A broad range of methods and devices have been described for the application of electrical fields in tissue in the presence of an agent of interest for the purpose of enhancing agent delivery in skin and muscle tissue. The devices include the use of both surface and tissue penetrating electrode systems as well as combinations thereof. In spite of the promise associated with electrically mediated agent delivery and the potential clinical applications of these techniques, progress has been hampered by the lack of an effective means to achieve the overall objective of efficient and reliable agent delivery using these techniques. One of the most significant shortcomings of current systems is the inability to achieve reliable and consistent application from subject to subject. Significant sources of this variability are due to differences in the technique and skill level of the operator. Other sources of variability that are not addressed by current systems include differences in the physiologic characteristics between patients that can affect the application of the procedure. Other considerations for the development of suitable devices include their ease of use and the implementation of designs that reduce the frequency and significance of potential user errors.

[0009] Given that safe, reliable, accurate, and consistent application of clinical therapies is highly desirable, the development of improved application systems is well warranted. Such development

should include a means for minimizing operator-associated variability while providing a means to accommodate the differences in patient characteristics likely to be encountered during widespread clinical application of electrically mediated agent delivery. In other words, specific areas for refinement include the ability to maintain consistent performance across heterogeneous recipient populations and a reduction in the level of training and skill required for effective use by the user. In addition, the device should be designed to facilitate avoidance of user or device errors and minimize their impact when they occur.

[0010] This Background is provided to introduce a brief context for the Summary and Detailed Description that follow. This Background is not intended to be an aid in determining the scope of the claimed subject matter nor be viewed as limiting the claimed subject matter to implementations that solve any or all of the disadvantages or problems presented above.

SUMMARY OF THE DISCLOSURE

[0011] The present disclosure provides methods and apparatus for the reproducible, consistent, and efficacious delivery of therapeutic agents, such as nucleic acids, pharmaceutical drugs, peptides, and proteins or combinations thereof, to patients or subjects utilizing Electrically Mediated Therapeutic Agent Delivery (EMTAD). As used herein, a patient is alternatively called a subject, and vice-versa. Use of the term “patient” does not require that the subject be under a doctor’s care, although they may be.

[0012] In one aspect, provided is an apparatus for the delivery of a therapeutic agent to a predetermined site within a patient or subject comprising an assembly for controlled administration of the therapeutic agent to the subject comprising a reservoir for the therapeutic agent, at least one orifice through which the agent is administered, and a controlled source of energy sufficient to transfer a predetermined amount of the therapeutic agent at a predetermined rate from the reservoir through the orifice to the predetermined site within the subject. In addition, the apparatus can comprise a plurality of penetrating electrodes arranged with a predetermined spatial relationship relative to the orifice, and means for generating an electrical signal operatively connected to the electrodes.

[0013] Other aspects of the present disclosure include methods comprising Therapeutic Agent Administration (TEA) in controlled spatial and temporal relation with Electric Signal Administration (ESA).

[0014] Benefits and advantages to certain implementations according to present principles are manifold. Some implementations allow the selection of depth of needle and electrode insertion,

allowing insertion into various types of desired tissue, (e.g., dermis, muscle, etc.) across heterogeneous populations of varying body mass and body composition. These implementations also facilitate adaptation of the methods for use in specific target populations, for instance, but not restricted to, men for cancer therapeutic agents such as prostate cancer therapeutic agents, individuals for vaccination and/or treatment such as pregnant women for Zika virus vaccination or treatment, small children for pediatric vaccines including cancer vaccines, and so on. Provided herein are systems and methods comprising design features render the systems and methods resistant to accidental discharge or potential misuse, e.g., due to dropping, jarring, and/or falling. In some embodiments are provided devices configured for multiple injection depths. Systems and methods according to present principles allow numerous safety interlocks to reduce the frequency and/or impact of user errors. These include features to facilitate proper preparation and configuration of the dose to be administered, ensuring that the device is applied with requisite force to the tissue of the recipient, ensuring that a safety cap has been removed, and so on. Systems, apparatus and methods according to present principles can allow for a highly consistent therapy to be delivered irrespective of administrator or the type of recipient. Systems, apparatus and methods described herein can allow for, e.g., a consistent force profile to be obtained prior to and during delivery of the therapy, so that recipients with varying skin and muscular characteristics can be dosed consistently.

[0015] Provided herein is an apparatus for the controlled delivery of a therapeutic agent to a predetermined tissue site within a subject comprising: a cartridge assembly comprising an outer cartridge, an inner cartridge, a needle hub, a vessel configured to contain the therapeutic agent, wherein the outer cartridge provides a vessel receiver configured to receive the vessel; an applicator comprising a cartridge assembly receiver configured to receive the cartridge assembly or a portion thereof, and an insertion detector, wherein the insertion detector is configured to sense loading of the vessel in the vessel receiver; a vessel interlock, wherein the vessel interlock is configured to lock out the apparatus from actuation until the vessel is loaded properly in the vessel receiver; at least one injection orifice in fluid communication with the therapeutic agent when the agent is loaded in the vessel and through which the therapeutic agent is administered; a plurality of penetrating electrodes arranged with a predetermined spatial relationship relative to the orifice; an electrical field generator configured to generate an electrical signal operatively connected to the electrodes; and a controlled source of energy sufficient to transfer a predetermined amount of the therapeutic agent at a predetermined rate from the vessel through the orifice to the predetermined site within the subject.

[0016] In some embodiments, the apparatus further comprises a needle. In some embodiments, the electrodes are a plurality of elongate electrodes. In another embodiment, the vessel interlock is configured to prevent inadvertent actuation of cartridge function. The vessel interlock may comprise a mechanical interlock. In some embodiments, the apparatus comprises a second interlock comprising a light emitter/collector, a cartridge breech, a force interlock, an alignment guide and a splay shield, a trigger lock, a safety switch, an exterior cartridge cap or a combination thereof. In certain embodiments, the mechanical interlock comprises tabs that are moved from a first position to a second position by the vessel when the vessel is properly loaded, whereby when the tabs are in the second position, the device may be actuated. In another embodiment, the vessel interlock further comprises at least one vessel lockout hole. In yet another embodiment, the cartridge breech provides an optical line of sight through a vessel lockout hole. In yet another embodiment, the a vessel detection cap may engage the cartridge breech through a vessel detection spring. In some embodiments, the vessel detection spring is configured to push the reservoir into engagement with the needle hub. In some embodiments, the vessel interlock further comprises a tab extending from a cartridge surface, and wherein the tab is configured to interact with a corresponding detent feature located in the applicator such that loading of the cartridge into the applicator is physically blocked unless the tab is deflected by a properly loaded vessel.

[0017] In some embodiments, the first interlock comprises a splay shield, wherein said exterior cartridge cap comprises an inner surface proximal to said splay shield, and wherein said inner surface further comprises at least one hook capable of engaging a corresponding wall defined on said splay shield. In certain instances, the apparatus further comprise a third interlock. In another instance, the third interlock is a force interlock. In yet another instance, the force interlock senses a force applied against the predetermined tissue site of the subject and prevents administration of the therapeutic agent to the subject when insufficient force is applied. In some embodiments, the force interlock further forms an electrical lock within the applicator. The force interlock may further comprise at least one cartridge force sensor contact.

[0018] In some instances, the apparatus provided herein further comprises a key to the vessel, wherein the key is configured to slides over a barrel of the vessel to ensure appropriate mating within the cartridge assembly. In certain instances, the first interlock comprises a splay shield that comprises a rib and an edge configured to engage with the predetermined tissue site of the subject and configured to place the apparatus into tension perpendicular to the direction of the needle deployment for administration of the therapeutic agent. The first interlock of the apparatus provided herein may comprise a splay shield which comprises a force contact pick up. The force contact pick up may comprise at least one first pad, at least one second pad, and a flexible circuit.

In some embodiments, the first interlock further comprises a splay shield, and said splay shield is mechanically biased by at least one force contact spring.

[0019] The cartridge assembly of the apparatus as provided herein may further comprise a stick shield. In some embodiments, the stick shield further comprises a stick shield nub, a stick shield hole, and a stick shield spring. In some embodiments, the first interlock comprises the splay shield that comprises at least one hole for slidable movement of the stick shield. In certain embodiments, the apparatus provided herein further comprises at least one stick shield support to interface with the outer cartridge. In an exemplary embodiment, the stick shield support is a stamped metal support arm. In another exemplary embodiment, the stick shield supports moves over at least one retaining wall in a sequential order. In some embodiments, the apparatus provided herein comprises a first retaining wall which can prevent proximal movement of the stick shield in case of discharge of said apparatus at a first selected depth and a second retaining wall which can prevent proximal movement of the stick shield after discharge of said apparatus at a second selected depth in a subject. In some embodiments, the stick shield support is integrated as an injection molded plastic feature of an outer cartridge cap.

[0020] In another embodiment, the stick shield support abuts the stick shield and prevents the stick shield from moving in the proximal direction in a ratcheting fashion. In yet another embodiment, the apparatus as provided herein further comprises a gear rack implemented on the stick shield to reduce proximal movement. In certain cases, the stick shield support prevents the stick shield from moving in the proximal direction when at least 5 N of force is applied. In another case, the stick shield support prevents the stick shield from moving in the proximal direction when at least 15 N of force is applied. In some cases, the stick shield support is integrated as an injection molded plastic feature of the alignment guide and splay shield. In some embodiments, wherein upon loading of the cartridge assembly into the applicator, the vessel moves forward to mate with the needle hub and contacts the cartridge to the needle at the time of administration of the therapeutic agent. In another embodiment, the inner cartridge moves in a slidable manner in relationship to the outer cartridge along a common longitudinal axis. In some instances, the inner cartridge engages with an inner cartridge cap at a distal end, wherein the inner cartridge cap locks the electrodes in place and provides a bearing surface for the stick shield.

[0021] The apparatus as provided here in may further comprises a sensor. In some embodiments, the sensor is selected from the group consisting of a cartridge loading sensor, a cartridge loaded sensor, a cartridge force sensor, an insertion mechanism position sensor, the insertion detector, an optical detector, and an electrical sensor. In some embodiments, a loading drive subassembly comprises a cartridge loading sensor and a cartridge loaded sensor. In some embodiments, the

loading drive subassembly further comprises at least one cartridge guide rail and a loading motor. In some embodiments, the loading drive subassembly further comprises a connection to a pinion gear assembly pulling the cartridge assembly into the cartridge assembly receiver via a rack on a base of the outer cartridge. In some embodiments, the pinion gear assembly engages the rack on the outer cartridge. In some embodiments, the rack comprises at least a first rack tooth. In some embodiments, the first rack tooth provides a tactile sensation when the cartridge assembly is inserted into the cartridge assembly receiving volume. In some embodiments, the first rack tooth provides torsional stability. In some embodiments, the cartridge loading sensor detects an initiating flag on the cartridge assembly to initiate loading. In some embodiments, the cartridge loaded sensor detects an initiating flag on the cartridge assembly to cease loading. In certain embodiments, the apparatus further comprises a continuing flag for the cartridge loading to continue. In some embodiments, the apparatus further comprises an insertion detector that comprises a light emitter / collector IR sensor. In some embodiments, the sensor detects a vessel label and verifies the therapeutic agent.

[0022] Provided herein is an apparatus for the controlled delivery of a therapeutic agent to a predetermined tissue site within a subject comprising: a cartridge assembly comprising a housing, a needle hub, a vessel configured to contain the therapeutic agent, wherein the housing comprises a vessel receiver configured to receive the vessel; an applicator comprising a cartridge assembly receiver, and an insertion detector, wherein the insertion detector is configured to sense loading of the vessel in the vessel receiver; and at least one injection orifice of an injection needle through which the therapeutic agent is administered; a plurality of penetrating electrodes arranged with a predetermined spatial relationship relative to the orifice; an electrode support comprising a plurality of apertures corresponding to the predetermined special relationship of the electrodes and through which the electrodes extend, wherein the electrode support structure prevents inadvertent perpendicular motion of the electrodes relative to the direction of electrode deployment; an electrical field generator configured to generate an electrical signal that is operatively connected to the electrodes; and a controlled source of energy sufficient to transfer a predetermined amount of the therapeutic agent at a predetermined rate from the reservoir through the orifice to the predetermined site within the subject.

[0023] In some embodiments, the apparatus further comprises a needle. In some embodiments, the apparatus further comprises an electrode insertion spring or a needle insertion spring. In some embodiments, the proximal portion of the electrode is separated from the distal portion of the electrode by an electrode shoulder or an electrode bend. In some embodiments, the electrode support provides an operative connection between a conductive contact region located on the distal

region of the electrodes and the controlled source of energy when the electrodes are deployed into the predetermined tissue site within the subject. In some embodiments, the electrode support comprises a needle hole positioned to allow for passage of the injection needle therethrough. In some embodiments, the electrode support comprises a planar structure positioned perpendicularly relative to the elongate orientation of the electrodes. In some embodiments, the planar structure further comprises an aperture which is a hole or a slot configured to allow passage of the electrode therethrough to the predetermined tissue site. In some embodiments, the aperture comprises at least one tubular structure arranged perpendicularly to the planar structure. In some embodiments, the planar structure is oriented perpendicular to the longitudinal axes of the electrodes. In some embodiments, the electrode support is an adaptive electrode support. In some embodiments, the adaptive electrode support is a compression spring. In some embodiments, the compression spring is made from a metal, a polymer or an elastomeric material. In some embodiments, the electrode support comprises at least one telescoping tube. In some embodiments, the electrode support further comprises a stick shield spring. In some embodiments, the electrode support further comprises at least one lateral support member attached to the electrodes with at least one optional hinge feature. In some embodiments, the electrode support comprises a metal, a polymer, a ceramic, a composite, or a compressible matrix material. In some embodiments, the compressible matrix material is selected from the group consisting of a cellulose, a foamed plastic, a rubber polymer, a microcellular plastic, foamed silicon, foamed polychloroprene, carbon foam matrix. In some embodiments, the electrode support is made from an uncondutive material. In some embodiments, the electrode support is made of a thermoplastic material. In some embodiments, the thermoplastic material is selected from the group consisting of a polycarbonate, polystyrene, polypropylene, an acrylic, or a polyethylene.

[0024] In some embodiments, the electrode support supports transcutaneous deployment of the electrode and maintains at tissue depths up to 60 mm. In some embodiments, an electrode proximal portion of each electrode is coupled to or contacts an electrode contact, wherein each electrode is positioned on the exterior of the inner cartridge of the cartridge assembly, wherein the electrode contact is configured for power communication with corresponding connections on the applicator. In some embodiments, the electrode contact further comprises an outer cartridge exterior contact. In some embodiments, the electrode contact provides an electrically conductive interface with corresponding electrodes while not interfering with forward travel of the electrodes mounted on the inner cartridge. In some embodiments, the cartridge assembly further comprises a vessel loading port. In some embodiments, an outer cartridge, said outer cartridge comprising an inner cartridge containment volume. In some embodiments, the applicator further comprises an injection drive

assembly, wherein the injection drive assembly mates with the cartridge assembly. In some embodiments, the applicator further comprises an applicator cartridge assembly receiving port. In some embodiments, the applicator further comprises a procedure activation trigger. In some embodiments, the applicator further comprises a connector for connection to the controller, a top housing, a side housing, and an inner protective shell. In some embodiments, the vessel further comprises a vessel cap. In some embodiments, the apparatus further comprises an egress port. In some embodiments, the apparatus further comprises a plunger stopper. In some embodiments, the apparatus further comprises a multiconductor cable. In some embodiments, the apparatus further comprises an exterior cartridge cap chamfer surface. In some embodiments, the apparatus further comprises an exterior cartridge cap hook. In some embodiments, the apparatus further comprises a main power port. In some embodiments, the apparatus further comprises a main power switch, a power button, and a power indicator. In some embodiments, the apparatus further comprises at least one connector for switch.

[0025] Provided herein are embodiments wherein an apparatus described herein comprises a hybrid motor/spring mechanism for contacting at least one of said injection orifice or said plurality of electrodes with said predetermined tissue site.

[0026] In some embodiments, the apparatus further comprises at least one connector for switch. In some embodiments, the apparatus further comprises a USB port. In some embodiments, the apparatus further comprises a reminder tab. In some embodiments, the apparatus further comprises a battery indicator. In some embodiments, the apparatus further comprises a mute button. In some embodiments, the apparatus further comprises at least one menu navigation button. In some embodiments, the apparatus further comprises an eject cartridge button. In some embodiments, the apparatus further comprises a display screen. In some embodiments, the apparatus further comprises a tray. In some embodiments, the apparatus further comprises an applicator connector port. In some embodiments, the apparatus further comprises an applicator cradle. In some embodiments, the apparatus further comprises a cradle. In some embodiments, the apparatus further comprises a storage bin. In some embodiments, the apparatus further comprises a handle. In some embodiments, the apparatus further comprises an abutment wall. In some embodiments, the apparatus further comprises at least one applicator electroporation electrode contact. In some embodiments, the apparatus further comprises at least one electrical connector. In some embodiments, the apparatus further comprises a cartridge loading subassembly. In some embodiments, the apparatus further comprises a motor drive and at least one electrical contact for motor drive. In some embodiments, the apparatus further comprises a loading drive motor. In some embodiments, the apparatus further comprises a motor trigger connector. In some

embodiments, the apparatus further comprises a motor drive shaft. In some embodiments, the apparatus further comprises at least one electromechanical subassembly. In some embodiments, the apparatus further comprises at least one cartridge loading, electrode insertion, and injection subassembly. In some embodiments, the apparatus further comprises at least one injection depth selection button and an injection depth selection indicator. In some embodiments, the apparatus further comprises a procedure countdown timer. In some embodiments, the apparatus further comprises at least one gear cover bracket and at least one mounting bracket.

[0027] In some embodiments, the apparatus further comprises a system trigger switch. In some embodiments, the apparatus further comprises a system trigger switch. In some embodiments, the apparatus further comprises a procedure fault indicator and a procedure complete indicator. In some embodiments, the apparatus further comprises a depth selection button. In some embodiments, the depth selection button is selected from the group consisting of a toggle, a switch, and a sliding switch. In some embodiments, the apparatus further comprises a plurality of channel and a plurality of retaining post. In some embodiments, the apparatus further comprises an insertion mechanism gear drive ring and an insertion gear ring. In some embodiments, rotation of the insertion mechanism gear ring rotates the retaining post into the channel.

[0028] In some embodiments, the apparatus further comprises an insertion mechanism flag, an insertion mechanism drive motor, an insertion mechanism position sensor, an injection drive plunger, an injection drive motor, or an injection drive gearing. In some embodiments, the apparatus further comprises a cartridge lock ring. In some embodiments, the rotation of the cartridge lock ring rotates the retaining posts. In some embodiments, the cartridge assembly is for single use. In some embodiments, the applicator is for multiple uses. In some embodiments, the applicator further comprises a top housing, a side housing, an inner protective shell, a front cap, and an end cap. In some embodiments, the applicator further comprises a user interface, a procedure activation trigger, a procedure countdown timer, a procedure fault indicator, or an application placement indicator. In some embodiments, the apparatus further comprises a controller. In some embodiments, the controller comprises a controller assembly. In some embodiments, the applicator further comprises a connector for connection to the controller. In some embodiments, the controller further comprises an electrical field controller.

[0029] In some embodiments, the penetrating electrodes and/or the injection needle come in contact with the predetermined tissue site with velocity of at least 50mm/second. In some embodiments, the penetrating electrodes and/or the injection needle come in contact with the predetermined tissue site with velocity of at least 500mm/second. In some embodiments, the therapeutic agent is a nucleic acid. In some embodiments, the nucleic acid is DNA. In some

embodiments, the predetermined tissue site is located in a skeletal muscle of the subject. In some embodiments, the skeletal muscle of the subject is medial deltoid muscle or vastus lateralis muscle. In some embodiments, an injection depth at medial deltoid muscle is about 19 – 30 mm. In some embodiments, an injection depth at vastus lateralis muscle is about 25 – 38 mm.

[0030] In some embodiments is provided an apparatus comprising a hybrid motor/spring mechanism for contacting at least one of said injection orifice or said plurality of electrodes with said predetermined tissue site. In some cases, the apparatus further comprises a measurement and logic circuit to monitor the current usage of motor during the injection stroke and compare said usage to a predetermined standard.

[0031] Provided is an apparatus described herein, further comprising a controller and a force contact circuit wherein a feedback loop exists between said controller and said force contact circuit such that upon insertion of said plurality of electrodes in said predetermined tissue site, detection of a change in an applied force prompts initiation of a check as to whether the electrodes remain properly deployed in said predetermined tissue site.

[0032] In some cases is an apparatus described herein wherein the plurality of electrodes and/or one or more needle are deployed by rotational motion.

[0033] Provided is a method of providing a therapeutic agent to a predetermined tissue site in a subject in need thereof, comprising contacting said subject with an apparatus described herein. Provided are systems for providing a therapeutic agent to a predetermined tissue site in a subject in need thereof, comprising an apparatus described herein.

[0033a] Provided herein is an apparatus for the controlled delivery of a therapeutic agent to a predetermined tissue site within a subject comprising:

- a cartridge assembly comprising an outer cartridge, an inner cartridge, a vessel configured to contain the therapeutic agent, and a needle hub, wherein the outer cartridge provides a vessel receiver configured to receive the vessel;

- an applicator comprising a cartridge assembly receiver configured to receive the cartridge assembly or a portion thereof, and an insertion detector, wherein the insertion detector is configured to sense loading of the vessel in the vessel receiver;

- a vessel interlock, wherein the vessel interlock is configured to lock out the apparatus from actuation until the vessel is loaded properly in the vessel receiver;

- at least one injection orifice in fluid communication with the therapeutic agent when the agent is loaded in the vessel and through which the therapeutic agent is administered;

- a plurality of penetrating electrodes arranged with a predetermined spatial relationship relative to the orifice;

an electrical field generator configured to generate an electrical signal, wherein the electrical field generator is operatively connected to the plurality of penetrating electrodes; and

a controlled source of energy sufficient to transfer a predetermined amount of the therapeutic agent at a predetermined rate from the vessel through the orifice to the predetermined tissue site within the subject.

[0033b] Provided herein is an apparatus for the controlled delivery of a therapeutic agent to a predetermined tissue site within a subject comprising:

a cartridge assembly comprising a housing, a vessel containing the therapeutic agent, and a needle hub, wherein the housing comprises a vessel receiver configured to receive the vessel;

an applicator comprising a cartridge assembly receiver, and a vessel insertion detector, wherein the vessel insertion detector comprises a sensor that senses loading of the vessel in the vessel receiver; and at least one injection orifice of an injection needle through which the therapeutic agent is administered;

a vessel interlock, wherein the vessel interlock is configured to lock out the apparatus from actuation until the vessel is loaded properly in the vessel receiver;

a plurality of penetrating electrodes arranged with a spatial relationship relative to said injection orifice;

an electrode support comprising a plurality of apertures corresponding to the predetermined spatial relationship of the penetrating electrodes and through which the penetrating electrodes extend, wherein the electrode support structure prevents inadvertent perpendicular motion of the penetrating electrodes relative to the direction of electrode deployment;

an electrical field generator configured to generate an electrical signal, wherein the electrical field generator is operatively connected to the electrodes; and

a controlled source of energy sufficient to transfer a predetermined amount of the therapeutic agent from the vessel through the orifice to the predetermined tissue site within the subject.

[0034] This Summary is provided to introduce a selection of concepts in a simplified form. The concepts are further described in the Detailed Description section. Elements or steps other than those described in this Summary are possible, and no element or step is necessarily required. This Summary is not intended to identify key features or essential features of the claimed subject matter, nor is it intended for use as an aid in determining the scope of the claimed subject matter. The claimed subject matter is not limited to implementations that solve any or all disadvantages noted in any part of this disclosure.

BRIEF DESCRIPTION OF THE DRAWINGS

[0035] The features of the present disclosure are set forth with particularity in the appended claims. A better understanding of the features and advantages of the present disclosure will be obtained by reference to the following detailed description that sets forth illustrative embodiments, in which the principles of the disclosure are utilized, and the accompanying drawings of which:

[0036] **FIG. 1** illustrates potential sources of spatial variability associated with conventional needle syringe injection.

[0037] **FIG. 2** is an overview of a system according to present principles, including a cartridge assembly **100**, an applicator **400**, and a controller system **700**.

[0038] **FIGS 3A-3B** show views of aspects of a device described herein. **FIG. 3A** shows a lateral view of a cartridge assembly **100** according to present principles. **FIG. 3B** shows a lateral view of a reservoir **101** embodied as a syringe, according to present principles.

[0039] **FIG. 4** shows various exemplary components of a cartridge assembly **100** according to present principles.

[0040] **FIGS 5A-5E** show views of aspects of an inner cartridge and cartridge breech in a device described herein. **FIG. 5A** illustrates a top view of an inner cartridge **103** according to present principles. **FIG. 5B** illustrates a bottom view of an inner cartridge **103** and cartridge breech according to present principles. **FIG. 5C** illustrates a detail side perspective view of an inner cartridge **103** according to present principles. **FIG. 5D** shows a lateral view of a cartridge breech **112** according to present principles. **FIG. 5E** illustrates vessel interlock **120** with improved locking features to prevent the cartridge breech **112** from inadvertently moving forward.

[0041] **FIG. 6** illustrates details of a cartridge assembly showing a rack **154**, a initiating flag **172**, and a continuing flag **174** according to present principles.

[0042] **FIGS 7A-7B** show views of aspects of electrodes and/or one or more electrode contact in a device described herein. **FIG. 7A** shows details of one or more electrode contact **130** and various electrode contact portions according to present principles. **FIG. 7B** shows details of electrodes **122** and various electrode contact portions according to present principles.

[0043] **FIGS 8A-8B** show views of aspects of a force contact interlock system in a device described herein. **FIG. 8A** shows a top view of a force contact interlock system according to the present principle. **FIG. 8B** illustrates details of a force contact interlock system according to present principles.

[0044] **FIGS 9A-9D** show views of aspects of a device described herein. **FIG. 9A** shows a needle **105** and distal inner cartridge electrodes **137** for tissue insertion according to present principles. **FIG. 9B** illustrates details of a stick shield **134** according to present principles. **FIG. 9C** illustrates an alignment guide **108** and splay shield **168** according to present principles. **FIG. 9D** illustrates stick shield supports integral to outer cartridge cap **106**.

[0045] **FIGS 10A-10D** show views of aspects of exterior cartridge cap in a device described herein. **FIG. 10A** shows an exterior cartridge cap **110** according to present principles. **FIG. 10B** shows a side view of an exterior cartridge cap **110** according to present principles. **FIG. 10C** shows

an exterior cartridge cap **110** in use in an alignment guide **108** and splay shield according to present principles. **FIG. 10D** shows an exterior cartridge cap **110** with extension members designed to hold the inner cartridge **103** in place during handling and loading.

[0046] **FIGS 11A-11C** show details of stick shields in aspects of a device described herein. **FIG. 11A** shows a stick shield retaining hook **182** of a stick shield **134** according to present principles. **FIG. 11B** shows details of a stick shield **134** according to present principles. **FIG. 11C** shows stick shield supports **132** keeping a stick shield **134** in place according to present principles.

[0047] **FIG. 12** shows details of an electrode support **124** according to present principles.

[0048] **FIGS 13A-13B** show views of an applicator in a device described herein. **FIG. 13A** shows side view of an applicator **400** according to present principles. **FIG. 13B** shows top views of an applicator **400** according to present principles.

[0049] **FIG. 14** is an exploded view of an applicator **400** according to present principles.

[0050] **FIG. 15** shows details of a side housing and electroporation electrode connection **496** of an applicator **400** according to present principles.

[0051] **FIG. 16** is an exploded view of an applicator according to present principles, showing a cartridge loading subassembly **456**.

[0052] **FIGS 17A-17B** show views of an applicator in a device described herein. **FIG. 17A** is an exploded view of an applicator according to present principles, showing a loading drive subassembly **454**. **FIG. 17B** shows a rack **154** in a loading drive subassembly **454** according to present principles.

[0053] **FIGS 18A-18C** show views of aspects of an applicator in a device described herein. **FIG. 18A** shows details of a cartridge loading subassembly **456** according to present principles, showing where the insertion/injection drive assembly of the applicator mates with the cartridge assembly. **FIG. 18B** shows a cross-sectional view of a cartridge assembly according to present principles, showing where the insertion/injection drive assembly of the applicator mates with the cartridge assembly. **FIG. 18C** shows details of cartridge loading, electrode insertion, and injection subassemblies **452** of an applicator **400** according to present principles.

[0054] **FIG. 19** shows various components of a controller system according to present principles.

[0055] **FIGS 20A-20D** show views of a device described herein. **FIG. 20A** shows various components of a controller system according to present principles. **FIG. 20B** shows details of an applicator connector port **708** and a tray **710** of a controller system according to present principles. **FIG. 20C** shows details of a stimulator display screen of a controller system according to present principles. **FIG. 20D** shows details of a rear view of a controller system according to the present principles.

[0056] **FIG. 21** is a flowchart showing a method of operation according to present principles.

[0057] Like reference numerals refer to like elements throughout. Elements are not to scale unless otherwise noted.

DETAILED DESCRIPTION

[0058] The following description and examples illustrate embodiments of the invention in detail. It is to be understood that this invention is not limited to the particular embodiments described herein and as such can vary. Those of skill in the art will recognize that there are numerous variations and modifications of this invention, which are encompassed within its scope.

[0059] All terms are intended to be understood as they would be understood by a person skilled in the art. Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which the disclosure pertains.

[0060] The section headings used herein are for organizational purposes only and are not to be construed as limiting the subject matter described.

[0061] Although various features of the invention may be described in the context of a single embodiment, the features may also be provided separately or in any suitable combination. Conversely, although the invention may be described herein in the context of separate embodiments for clarity, the invention may also be implemented in a single embodiment.

[0062] The following definitions supplement those in the art and are directed to the current application and are not to be imputed to any related or unrelated case, e.g., to any commonly owned patent or application. Although any methods and materials similar or equivalent to those described herein can be used in the practice for testing of the present disclosure, the preferred materials and methods are described herein. Accordingly, the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting.

[0063] In this application, the use of the singular includes the plural unless specifically stated otherwise. It must be noted that, as used in the specification, the singular forms “a,” “an” and “the” include plural referents unless the context clearly dictates otherwise. In this application, the use of “or” means “and/or” unless stated otherwise. Furthermore, use of the term “including” as well as other forms, such as “include,” “includes,” and “included,” is not limiting.

[0064] Reference in the specification to “some embodiments,” “an embodiment,” “one embodiment” or “other embodiments” means that a particular feature, structure, or characteristic described in connection with the embodiments is included in at least some embodiments, but not necessarily all embodiments, of the inventions.

[0065] As used in this specification and claim(s), the words “comprising” (and any form of comprising, such as “comprise” and “comprises”), “having” (and any form of having, such as “have” and “has”), “including” (and any form of including, such as “includes” and “include”) or “containing” (and any form of containing, such as “contains” and “contain”) are inclusive or open-ended and do not exclude additional, unrecited elements or method steps. It is contemplated that any embodiment discussed in this specification can be implemented with respect to any method or composition of the invention, and vice versa. Furthermore, compositions of the invention can be used to achieve methods of the invention.

[0066] The term “about” in relation to a reference numerical value and its grammatical equivalents as used herein can include the numerical value itself and a range of values plus or minus 10% from that numerical value. For example, the amount “about 10” includes 10 and any amounts from 9 to 11. For example, the term “about” in relation to a reference numerical value can also include a range of values plus or minus 10%, 9%, 8%, 7%, 6%, 5%, 4%, 3%, 2%, or 1% from that value.

[0067] The present disclosure provides improved system, methods and apparatus for the reproducible, consistent, and efficacious delivery of therapeutic agents, such as nucleic acids, drugs, peptides, proteins and combinations thereof with Electrically Mediated Therapeutic Agent Delivery (EMTAD).

[0068] In one aspect, the present disclosure provides an apparatus for the delivery of a therapeutic agent to a predetermined site within a subject comprising an administrator for controlled administration of the therapeutic agent to the subject comprising a reservoir or vessel for the therapeutic agent, at least one orifice through which the agent is administered, and a controlled source of energy sufficient to transfer a predetermined amount of the therapeutic agent at a predetermined rate from the reservoir or vessel through the orifice to the predetermined site within the subject. In addition, the apparatus comprises a plurality of penetrating electrodes arranged with a predetermined spatial relationship relative to the orifice, and an electrical signal generator operatively connected to the electrodes. The terms reservoir and vessel are used interchangeably throughout the specification to refer to a container for the therapeutic agent.

[0069] In certain aspects of the present disclosure, EMTAD can be referred to as the administration of a therapeutic agent to a biological tissue of interest and the earlier, concurrent or subsequent application of electrical signals to biological tissue for the purpose of enhancing movement and/or uptake of the therapeutic agent in said tissue. The process of EMTAD is comprised of two elements: 1) Therapeutic Agent Administration (TAA), and 2) an Electrical Signal Application (ESA) sufficient to induce the desired EMTAD effect. In the present disclosure, therapeutic agent administration can be accomplished, for instance, in a controllable fashion, termed Controlled

Therapeutic Agent Administration (CTAA). The term CTAA used herein refers to methods and apparatus that provide spatial and/or temporal control over administration of a therapeutic agent relative to the induction of an EMTAD effect. Controllable administration techniques can utilize variations on the conventional needle-syringe (e.g. automatic injection device) and/or various needleless methodologies (e.g. jet injector, transdermal/transcutaneous patch, oral, gel, cream, or inhaled administration). The term ESA used herein refers to the application of electrical signals to facilitate or enhance the delivery of therapeutic agents by improving movement and/or uptake of said agents within tissue, thus inducing an EMTAD effect. When used to facilitate or enhance delivery of a therapeutic agent, ESA processes such as electroporation, iontophoresis, electroosmosis, electroporabilization, electrostimulation, electromigration, and electroconvection all represent various modes of EMTAD.

[0070] Specific applications for apparatus and systems described herein include, but are not limited to, the delivery of vaccines, therapeutic proteins, and chemotherapeutic drugs. Traditionally with such applications, EMTAD is initiated by therapeutic agent injection using a conventional needle-syringe. After the agent has been administered, a device suitable for ESA is applied to the subject at a designated location. Finally, an appropriate ESA protocol is utilized to provide the desired facilitation or enhancement to therapeutic agent delivery. With traditional EMTAD, however, the desired spatial and temporal relationship between agent administration and ESA may not be realized.

Spatial Parameters

[0071] In some embodiments of the systems, methods and apparatus described herein, therapeutic agent administration is performed using a conventional needle syringe. The need to deliver certain agents with EMTAD brings an additional level of complexity to the issue of TAA. As depicted in **FIG. 1**, in any conventional needle-syringe injection, as the needle **5** is inserted into the tissue, the depth **1** and the angle **2** of insertion relative to the surface of the tissue **3** can be difficult to control. Additionally, the point of needle penetration **4** at the tissue surface **3** may not be representative of the location of the orifice **6** and the region of agent administration **7** within the target tissue. As an illustrative example a transcutaneous intramuscular injection may not correspond to the site of insertion on the skin since the two tissues can often move in relation to one another.

[0072] While this conventional approach is generally adequate for the delivery of many different therapeutics that do not require EMTAD, these variables lead to a distribution of the therapeutic agent following injection that is often inconsistent and/or indeterminate and can hamper effective EMTAD. In certain embodiments described herein, the most effective use of EMTAD utilizes a

predefined relationship between the therapeutic agent and ESA within the subject. As a result, in the absence of spatial control over TAA in a target tissue, using a conventional needle syringe can result in reduced effectiveness of the EMTAD application, as compared to an apparatus, method or system that provides spatial and temporal control. One illustrative example of this concept is the use of electroporation to facilitate the delivery of a therapeutic agent. Electroporation is typically most effective in enhancing therapeutic agent delivery when TAA and ESA are co-localized within the target region of tissue. In many cases, if the agent to be delivered and the induced electroporation effect are not co-localized within the target region of tissue, the delivery of said agent is suboptimal.

[0073] Another example of the need for adequate spatial control of TAA in EMTAD is iontophoresis. This mode of EMTAD uses electrical fields to cause movement of charged molecules. In order to achieve the desired movement of the agent, the proper spatial relationship between the electrodes and the therapeutic agent must be realized. If a negatively charged agent were placed in close proximity to the location of a positive electrode, little or no movement of the agent through the tissue would be observed. In contrast, localization of the said negatively charged agent near the negative electrode would result in significant movement of the agent through the tissue in the direction of the positive electrode.

[0074] As illustrated by the preceding examples, it is important to control the precise location of TAA relative to the application of ESA to achieve the desired effect. As such, embodiments of the apparatus and methods described herein provide control of the precise location of TAA relative to the application of ESA, and are useful to achieve reproducible, consistent, and well-characterized distribution of one or more therapeutic agents.

Temporal Parameters

[0075] In the case of conventional needle-syringe injection TAA is that the rate of injection may vary from one operator to another, thereby causing inconsistent agent distribution in the tissue. Additional temporal variability is introduced when multiple device placements are required to complete the EMTAD process. For example, one application of EMTAD calls for the administration of plasmid DNA encoding for a therapeutic protein, followed by generation of an electroporation-inducing electrical field. Using the traditional method of EMTAD, the plasmid is injected with a needle-syringe, followed by placement and activation of the electroporation device. By requiring two separate device placements (the initial needle syringe followed by the ESA device), this procedure is susceptible to inter-subject variability arising from inconsistent temporal application of each device by the operator. Additionally, the use of two separate device placements

leads to an unavoidable time interval in between the clinician's placement and activation of each device. This is compounded in the case where multiple application sites are necessary to achieve adequate delivery of the agent to a specifiable region within the target tissue.

[0076] These issues are especially critical for agents, such as nucleic acids, that can be degraded or inactivated in the extracellular environment. Therapeutic agent degradation can lead to a reduction in efficacy and consistency in the application of the therapy. Also, the inter-subject rate of therapeutic agent degradation is not constant, thus contributing to the overall therapeutic inconsistency of conventional needle-syringe injection combined with ESA, and more specifically with electroporation therapy.

[0077] Due to the inherent difficulty of spatial and temporal variability with conventional needle-syringe injection used in conjunction with ESA, the precise location and timing of TAA relative to ESA is often unknown. As a result, the effective administration and dosing of therapeutic agents with EMTAD may be inconsistent and irreproducible. Though conventional needle-syringe injection is sometimes adequate for therapeutic agent administration, reproducible and consistent agent delivery is significantly enhanced by controlling the spatial and temporal relationship between administration of the therapeutic agent and induction of the desired EMTAD effect.

[0078] Thus, while the traditional EMTAD procedure may be adequate for certain applications, temporal and spatial control is highly desirable for clinical applications that typically require a high degree of consistency and reproducibility. In contrast to the conventional EMTAD approach, embodiments of methods, systems and apparatus described herein facilitate CTAA and ESA to provide more advantageous methods and apparatus for the clinical application of EMTAD. The present disclosure utilizes various aspects of CTAA in conjunction with ESA to provide reproducible, consistent, and efficacious therapeutic agent delivery. The present disclosure describes methods and apparatus to provide spatial and temporal control over administration of a therapeutic agent relative to the application of electrical signals, thereby improving the movement and/or uptake of said agent in the target tissue.

[0079] In some embodiments are provided methods and apparatus wherein there exists a controllable spatial relationship for the administration of the therapeutic agent relative to the application of electrical signals. Prior to treatment, the optimal location for TAA relative to ESA is determined. This spatial relationship between TAA and ESA is dictated by treatment parameters, including the nature of the agent being administered and the properties of the target tissue to which the agent is administered. In an exemplary embodiment, electrical signals are preferentially applied distal to the site of therapeutic agent administration. In certain other embodiments, spatial relationship is to apply the EMTAD-inducing electrical signals proximal to the site of agent

administration. In certain cases, co-localization between TAA and ESA is preferable. This is often the case when electroporation and/or iontophoresis are utilized for induction of the desired EMTAD effect.

[0080] In another aspect of the present disclosure, an apparatus described herein provides a controllable temporal relationship for the sequence and timing of TAA relative to ESA. Prior to treatment, the optimal sequence and timing for combination of TAA and ESA is determined. As with the spatial relationship, the desired temporal relationship between TAA and ESA is dictated by parameters such as the nature of the agent being administered and the properties of the target tissue to which the agent is administered. In certain applications, exposure to the electrical fields associated with ESA may adversely affect the therapeutic agent. In the practice of such applications, generation of such electrical fields is followed by CTAA. However, the typical temporal relationship is CTAA followed by ESA.

[0081] The present disclosure provides improved methods and apparatus for the reproducible, consistent, and efficacious delivery of therapeutic agents, such as nucleic acid based constructs, pharmaceutical compounds, drugs, and proteins, with EMTAD. This objective is accomplished by controlling the spatial and temporal administration of a therapeutic agent relative to application of electrical signals. Exemplary therapeutic agents for EMTAD include, but are not limited to, the delivery of vaccines, therapeutic proteins, and chemotherapeutic drugs. In a certain embodiment, EMTAD is initiated by therapeutic agent injection using a conventional needle-syringe. After the agent has been administered, a device suitable for ESA is applied to the subject at a designated location. An appropriate ESA protocol is utilized to provide the desired facilitation or enhancement to therapeutic agent delivery. An exemplary ESA method that has proven to be effective in virtually all cell types is electroporation. Other exemplary methods of electrically mediated delivery include, but not limited to, iontophoresis, electroosmosis, electroporeabilization, electrostimulation, electromigration, and electroconvection. These terms are used for illustrative purposes only and should not be construed as limitations in the present disclosure.

[0082] The technique of electroporation utilizes the application of electric fields to induce a transient increase in cell membrane permeability and to move charged particles. By permeabilizing the cell membranes within the target tissue, electroporation dramatically improves the intracellular uptake of exogenous substances that have been administered to the target tissue. The increase in cell membrane permeability and molecular movement due to electroporation offers a method for overcoming the cell membrane as a barrier to therapeutic agent delivery. The application of electroporation as a technique for inducing EMTAD is advantageous in that the physical nature of the technique allows electroporation to be applied in virtually all tissue types. Accordingly, various

aspects and embodiments of the present disclosure discuss, but are not limited to, electroporation as a technique for inducing EMTAD.

Therapeutic Agents

[0083] The term "therapeutic agent" is used herein in its broadest sense to include any agent capable of providing a desired or beneficial effect on living tissue. Thus, the term includes both prophylactic and therapeutic agents, as well as any other category of agent having such desired effects. Clearly, the scope of the present disclosure is sufficiently broad to include the controlled delivery of any agent, however categorized. Therapeutic agents include, but are not limited to pharmaceutical drugs and vaccines, and nucleic acid sequences (such as supercoiled, relaxed, and linear plasmid DNA, RNA, antisense constructs, artificial chromosomes, or any other nucleic acid-based therapeutic), and any formulations thereof. Such agent formulations include, but are not limited to, cationic lipids, cationic and/or nonionic polymers, liposomes, saline, nuclease inhibitors, anesthetics, poloxamers, preservatives, sodium phosphate solutions, or other compounds that can improve the administration, stability, and/or effect of the therapeutic agent. Additional formulations include agents and additives conferring the ability to control viscosity and electrical impedance of the administered agent.

[0084] In the case of nucleic acids, an example of a therapeutic agent would be plasmid DNA dissolved in a phosphate buffered sodium chloride solution with a competitive nuclease inhibitor such as aurintricarboxylic acid (ATA) added to the agent. In some embodiments using nucleic acid-based therapeutics, it may also be advantageous to incorporate a signaling peptide onto the construct. Potentially useful peptides include, but are not limited to, nuclear localization signals, endosomal lytic peptides, and transcriptional control elements. These signals can enable improved delivery and/or processing of the therapeutic agents delivered to the cells via EMTAD. This signaling can be accomplished through the use of methods as described in U.S. Pat. No. 6,165,720 (the entire disclosure of which is incorporated by reference herein). While these techniques can be utilized with other delivery systems, the ability of EMTAD to increase the delivery of nucleic acid constructs to target tissues makes it particularly well suited for use with such signals.

Target Tissues

[0085] Target tissues well suited for EMTAD by use of methods, apparatus and systems described herein include both healthy and diseased cells located in, for instance, the epidermis, dermis, hypodermis, connective, and muscle tissue. The technique can also be utilized for application in

healthy or diseased organs that must be accessed via minimally invasive or other surgical methods. Such target tissues include the liver, lungs, heart, blood vessels, lymphatic, brain, kidneys, pancreas, stomach, intestines, colon, bladder, and reproductive organs. In some embodiments, a desired therapeutic effect can be derived by use of a method, or apparatus described herein to deliver an amount of agent to cell types normally located within the target tissues as well as other cell types abnormally found within said tissues (e.g. chemotherapeutic treatment of tumors).

[0086] As discussed previously, and depicted in **FIG. 1**, traditional EMTAD suffers from a lack of precision and reproducibility in the spatial and temporal relationship between the administration of the therapeutic agent and the electrical signal. In contrast to the traditional EMTAD approach, the present disclosure describes methods and apparatus for combined CTAA and ESA to provide a more advantageous clinical application of EMTAD. The present disclosure utilizes various aspects of CTAA in conjunction with ESA to provide reproducible, consistent, and efficacious therapeutic agent delivery. The methods and apparatus provided herein provide spatial and temporal control over administration of a therapeutic agent relative to the application of electrical signals, thereby improving the movement and/or uptake of said agent in the target tissue.

Methods

[0087] In one aspect, the present disclosure described herein provides systems and apparatus for use in methods for controlled administration of a therapeutic agent followed by ESA. In another aspect, the present disclosure described herein provides systems and apparatus for use in methods for controlled administration of a therapeutic agent preceded by ESA. In a further aspect, the present disclosure described herein provides systems and apparatus for use in methods for controlled administration of a therapeutic agent accompanied by ESA. These methods include, but are not limited in scope or sequential relationship to, the determination of treatment parameters, subject preparation procedures, CTAA, ESA, and additional measures.

Determination of Treatment Parameters

[0088] In some embodiments, treatment parameters are based on the desired amounts and/or duration of dosing of the therapeutic agent. Therapeutic agent dosing can depend, for instance, on the particular indication or treatment application (such as the type and location of the target tissue), as well as various subject parameters (such as age and body mass). Dosing of the therapeutic agent can be controlled by parameters pertaining to administration of the therapeutic agent and ESA. Exemplary controllable parameters pertaining to CTAA include, but are not limited to, agent

volume, agent viscosity, and injection rate. Exemplary controllable parameters pertaining to ESA include, but are not limited to, the characteristics of the electrical signals, the tissue volume exposed to the electrical signals, and the electrode array format. The relative timing and location of CTAA and ESA are parameters providing further control over therapeutic agent dosing.

Patient/Subject Preparation

[0089] In embodiments described herein, methods described herein may include a patient/subject preparation step. The subject preparation may include, but is not limited to, antiseptic cleansing and anesthetic administration, including local or regional, nerve block, spinal block, epidural block, or general anesthesia. In an exemplary case of intramuscular (IM) ESA, protocols to minimize the effects of electrical stimulation of the muscle may be included in a method described herein, for instance, including thermal control (e.g. cooling the muscle), administration of anesthetics, and/or alternative stimulation patterns sufficient for mitigation of discomfort. It is to be understood that the selected subject preparation techniques do not adversely affect therapeutic efficacy, if acceptable alternatives exist. For example, it has been shown that in some cases, the intramuscular administration of amide based anesthetics can have an undesirable effect on intramuscular delivery plasmid DNA-based therapies, putatively due to the mild myotoxicity of these agents, which can inhibit the muscle cells ability to express the protein encoded by the administered DNA sequence.

CTAA and ESA

[0090] In some embodiments described herein, is a method wherein CTAA and ESA are combined, enabling consistent and reproducible therapeutic agent delivery. In some cases, are provided apparatus suitable for CTAA, including for instance apparatus comprising at least one of automatic injection devices and jet injectors.

[0091] The present disclosure provides methods and apparatus enabling the transcutaneous deployment of a plurality of elongate electrodes to a target depth in a safe and consistent manner with respect to a target site of agent distribution in recipients with heterogeneous skin thickness and composition in order to support the application of electrical fields in tissue to enhance the intramuscular, intradermal, and/or subcutaneous administration of therapeutic or prophylactic agents such as nucleic acids, pharmaceuticals, antibodies, peptides, proteins, or combinations thereof.

[0092] Systems and methods according to present principles enable the consistent transcutaneous deployment of a plurality of elongate, tissue penetrating electrodes to a pre-determined target tissue

site in order to propagate electrical fields in the skin, subcutaneous tissue and/or skeletal muscle. The present disclosure provided herein is designed to enable a user with minimal training to consistently deploy the electrodes to a target depth while maintaining the proper spatial relationship among the plurality of electrodes, even when the procedure is applied at sites with varying skin characteristics. Such variation can be due either to variation in skin characteristics at different sites within an individual or among heterogeneous recipient populations. In other words, systems and methods according to present principles should allow a consistent profile irrespective of the administrator or the recipient. In certain embodiments, the deployment of the electrodes is accompanied by the insertion of one or more injection needles which are configured for the administration of therapeutic agents to the target region of tissue and arranged in a pre-determined spatial relationship with the electrodes to be used for ESA. In an exemplary embodiment, the electrodes are arranged such that any electrical signals from said electrodes are preferentially applied distal to the site of therapeutic agent administration by the insertion of one or more injection needles. In another embodiment, the electrodes are arranged such that any electrical signals are preferentially applied proximal to the site of therapeutic agent administration by the insertion of one or more injection needles.

[0093] Aspects of the present disclosure can be used singly or in combination to support the transcutaneous insertion of electrodes for the *in vivo* application of electrical fields to enhance the intramuscular, intradermal, and/or subcutaneous administration of nucleic acids, small molecule drugs, antibodies, peptides, proteins, and combinations thereof. In some embodiments, the electrode deployment and subsequent electrical field propagation are performed in coordinated fashion with the distribution of the agent of interest to the target tissue site. In an exemplary embodiment, the administration of the agent and the application of one or more electrical field are performed in a controlled and monitored fashion such that the probability of achieving spatial and temporal co-localization of the distribution of the agent with the site of electric field application is maximized.

[0094] In general terms, the present disclosure provides methods and apparatus for the transcutaneous deployment of electrodes to a predetermined site within the skin, subcutaneous tissue, and/or skeletal muscle of a recipient in conjunction with the administration of an agent of interest and the local application of electrical fields to improve the delivery, uptake, and/or biological effect of the agent. In some embodiments, the present disclosure has been implemented such that set up and usage of the device can be performed effectively and reliably by users with minimal training. In another embodiment, the present disclosure also comprises the implementation of numerous interlocks, sensors, and feedback loops to reduce the frequency and/or potential impact of potential user errors committed during the set up and use of the device. Referring to **FIG.**

2, one embodiment of an apparatus described herein comprises a "cartridge assembly" **100** detachably interfaced to an "applicator" **400** which is configured to connect to a controller **700** which acts as source of electrical energy for electrode activation and subsequent electrical field generation, as well as diagnostics and other control routines. The controller **700** further provides a user interface, tray, holster for the applicator **400**, and various other features are described. As seen in **FIG. 2**, a reservoir or vessel **101** of suitable uniform size and general shape can be inserted in the cartridge assembly **100** in a method of use.

[0095] Details of a cartridge assembly **100** present in some embodiments of an apparatus described herein, are described for instance, in **FIGS. 3A-12**, along with corresponding cooperating portions of the applicator **400**, in **FIGS. 13A-18C**, followed by, where relevant, portions of the controller **700**, in **FIGS. 19-20D**. Remaining portions of the applicator **400** are described next, followed by remaining portions of the controller **700**.

[0096] Referring in addition to **FIGS. 3A-4**, in some embodiments described herein, the cartridge assembly **100** can comprise a support structure configured to interface with the applicator **400** and accommodating two or more elongate electrodes **122** mounted on the structure to form an array. To avoid unwanted propagation of electrical currents within the device, the design and materials of the electrode mounting structure should be specified such that there is an adequate dielectric barrier between electrodes of opposite polarity within the device. The distal region **137** of the elongate electrodes are engaged with the mounting structure using standard mechanical features and/or bonding agents appropriate for the material composition of the electrode mount structure and the electrodes.

[0097] In an exemplary embodiment, the cartridge outer housing structure **102** is configured to interface with a fluid reservoir or vessel **101** containing the agent of interest where the reservoir or vessel **101** and cartridge housing structure **102** are configured to operatively connect to at least one injection orifice (needle **105**) through which the agent is administered into the target tissue. In some embodiments, this configuration facilitates the co-localization of the distribution of the agent of interest with the site of electrical field application. In another embodiment, this configuration facilitates the implementation of a pre-determined spatial relationship between the apparatus for ESA and CTAA. In yet another exemplary embodiment, a syringe **101** is inserted into a cartridge **100**, wherein upon loading of the cartridge into an applicator **400**, the syringe **101** moves forward to mate with the needle hub **152** and to connect the cartridge to the needle.

[0098] Certain embodiments of the present disclosure can include the use of syringes, vials, ampoules, cartridges or equivalent structures for storing one or more therapeutic agents. In some embodiments, the reservoir or vessel can comprise at least one of glass and plastic, with the

material selected for compatibility with the agent of interest. Coatings may be applied to the reservoir or vessel used to provide desirable lubricious or protective properties. As disclosed above, the electrodes **122** may be hollow and in some cases configured with injection orifices that can be operatively connected to the fluid vessels. Alternatively, the injection orifice can comprise one or more hypodermic needles and/or needle free injection ports positioned relative to the electrodes. Selection of the type and size of injection orifice can depend on the desired route of administration, tissue distribution, and physical characteristics of the agent of interest. In a certain embodiment, the cartridge structure is designed to ensure a pre-determined spatial relationship between the injection orifice and electrodes in their deployed state such that the distribution of the agent of interest occurs substantially in the tissue bounded by the conductive regions of the plurality of electrodes. To minimize the need for handling of sharps by the user, in a certain embodiment, a cartridge **100** designed for use with hypodermic needles is configured to allow the hypodermic needle to be mated to the cartridge at the time of manufacture rather than the more common convention of mating the needle to the syringe at the time of use. In certain embodiments, an aspect of the present disclosure can incorporate features in the cartridge and/or the needle to ensure retention of the needle during manufacture, distribution, handling, and use as well as features to ensure that proper mating of the reservoir or vessel to the needle prior to the use. In some embodiments, such features can minimize the risks of leakage of the agent from the reservoir or the reservoir orifice interface due to breakage or improper mating.

[0099] In certain embodiments, the cartridge can include a tissue contact interface located at the distal end of the subassembly. In certain embodiments, the tissue contact interface comprises a substantially planar structure oriented perpendicular to the elongate orientation of the electrodes and having one or more apertures configured to allow passage of the electrodes through the tissue contact interface. For embodiments incorporating an integrated reservoir or vessel and injection orifice, the interface also has apertures to accommodate injection orifice or needle free injection. To minimize the risk of contamination of the electrodes and injection needle as well as the occurrence of unintended sharps exposure to the user or recipient, in a certain embodiment, the apertures accommodating passage of the electrodes and injection needle are of a size suitable to prevent accidental contact with the electrodes and injection needle. Most commonly, the tissue contact interface is comprised of one or more plastics suitable for at least short term tissue contact.

[0100] To avoid potential cross contamination of biological material between recipients, the cartridge assembly **100** can be configured for single use. In some cases, the cartridge includes one or more mechanical, electrical, and/or identification elements which restrict use of the cartridge to a single administration. Examples of mechanical elements of this nature include for instance, but are

not restricted to, lockouts and/or detents which secure the electrode mount structure and/or the stick shield (see below) in the deployed state after use. Examples of electrical elements include for instance, fuses or links configured in series with one or more electrodes which are deactivated by the source of electrical energy at the conclusion of the first use of the cartridge. Examples of identification elements include, for instance, serialized radio frequency identification devices, bar codes, or quick response codes configured to be read by the applicator and/or the source of electrical energy. The identification information for a specific cartridge can then be used to prevent accidental or intentional re-use of that cartridge by the applicator and/or the source of energy. In an embodiment, one or more redundant features are incorporated to minimize the potential for re-use of the cartridges.

[0101] In an embodiment of an apparatus described herein, as shown in **FIG. 2**, the applicator **400** comprises a support structure configured to interface with the cartridge assembly **100**, a user interface **410-418 (FIG. 13B)**, electrically conductive electrical connections configured to provide operative connection between the conductive contact region located on the distal region of the elongate electrodes and the source of electrical energy when the electrodes are deployed into the target tissue of the recipient. In some cases, the user interface comprises a handle, one or more display features designed to convey information to the user, and one or more features capable of accepting user input. In certain embodiments, the display features are configured to convey the operating status of the device during its set up and use as well as relevant warning / error messages. Such displays can comprise mechanical features, lights, alphanumeric displays, and/or electronic display screens. In some cases, the features capable of accepting user input are configured to allow the user, at the appropriate stage of the procedure, to de-activate safety features within the device preventing accidental discharge, make selections regarding particular parameters of the procedure (e.g., the intended depth of injection), and to initiate procedure administration and can include buttons, triggers, mechanical slides, and/or levers.

[0102] In certain embodiments, the applicator **400** also includes actuation mechanisms which interface with the cartridge assembly and which are configured for transcutaneous deployment of the electrodes, positioning of the injection orifice relative to the target tissues, discharge of the agent of interest from the reservoir or vessel through the orifice and into the target tissue site, and/or conveying electrical signals from an electric field generator such as a controller **700** to the cartridge **100**. The applicator **400** can be configured such that the energy to actuate the mechanisms is supplied by the user, or more preferably, the apparatus may incorporate one or more inanimate sources of energy operatively connected to the actuation mechanisms within the applicator. Such

inanimate sources of energy include for instance, electromechanical devices (solenoids, motors, lead screws), mechanical components (springs and related devices), and compressed gases.

[0103] An exemplary implementation of a cartridge assembly **100** is as described in **FIG. 3A**, a cartridge assembly **100** includes a reservoir or vessel loading port **140** and a reservoir or vessel containment volume **142** to receive and contain a reservoir or vessel **101** of a medicament. A cartridge assembly **100** is required because, for a device in which an electrical field is to be generated and used as part of a therapy, an electric field generator such as a controller **700** is required to electrically interface with the device containing the electrodes configured to contact the target tissue. As the controller can be configured for multiple uses, and the reservoir or vessel **101** can be intended for single use, the cartridge assembly **100** can be present to hold the electrodes **122** and, the reservoir or vessel **101**, to interface with the re-usable device, and for the cartridge assembly **100** to be configured for single use. Thus, the applicator **400** can be a reusable component and the cartridge assembly **100** can be configured for single use. The cartridge assembly **100** can also be rendered in a condition to prevent subsequent use if errors or tampering occur in the insertion of a reservoir or vessel **101**, or if defects are present.

[0104] The term reservoir or vessel **101** can refer to a syringe, vial, or any other device which can contain a medicament or therapeutic agent and which can interface with a device having an orifice, such as a needle, shown in **FIG. 4** as a needle **105** having a needle hub **152**. The reservoir **101**, for a given type of cartridge assembly **100**, generally has a common shape and size. Various components within the cartridge assembly **100** allow for a leeway in exact sizing and/or manufacturing tolerances, but generally a common shape and size are required to reduce the risk that drugs not labeled for use with cartridge **100** are erroneously delivered with the device. If an appropriately sized reservoir **101** is not provided by the user, one or more interlocks within the cartridge assembly **100** may be unable to deactivate, and the system may be rendered unusable until a proper-sized reservoir **101** is inserted.

[0105] As seen in **FIG. 3B**, the reservoir **101** generally can be equipped with a plunger and a port **156** for drug egress. A removable cap **158** can also be provided to maintain the sterility and integrity of the agent until such time as the reservoir is to be inserted and used. The port for drug egress can be proximal to the needle hub **152** in use, and the plunger can be opposite this port for drug egress. As an alternative to an open port for drug egress, in some embodiments, the reservoir or vessel can be configured with a septum component which covers and seals the end of the container opposite the plunger. The septum can be made of elastomeric compounds such as silicone or butyl rubber, with the specific formulation and coating of the material selected for stability and compatibility with the agent contained within the reservoir or vessel. The septum component is

typically held in place by a crimp seal or other fastening mechanism. This septum seal configuration obviates the need for a removable cap, but requires that needle **105** be equipped with a suitable piercing member such as a needle, spike, or other features to access the fluid contained in the reservoir or vessel. Specific implementations for this configuration include dual sided needle configurations and spike vial adapters.

[0106] The cartridge assembly **100** is not only configured for receiving the reservoir or vessel **101** but also for being received by an applicator **400** in an applicator cartridge assembly receiving port **401** (**FIG. 2**). Thus, the cartridge assembly **100** includes a device allowing the applicator **400** to pull and retain the same within an interior volume, at least partially. In certain embodiments, the device is one or more racks on the surface(s) of the cartridge assembly **100** that engage a corresponding motorized pinion assembly in the applicator **400**. In other implementations, the applicator **400** can interface with the cartridge assembly **100** without the need to pull the same into an interior volume. In yet other implementations, other techniques may be employed to cause the cartridge assembly **100** to engage the applicator **400**, e.g., motorized tracks or brackets and the like onto which the cartridge assembly **100** can interface.

[0107] The applicator **400** is further provided with interface elements allowing the same to control certain actions within the cartridge assembly **100**. In particular, the applicator **400** can be configured to control needle insertion, medicament delivery, electrode insertion, and electrode activation using various subsystems. In some cases these steps are linked, so that a single action of applicator **400** initiates multiple of these steps. In some implementations, all of these steps but the medicament delivery and electrode activation are caused by a single action, as described in the exemplary implementation below.

[0108] Upon appropriate activation, such as the use of electrical or optical signals conveyed to mechanical, electrical, or optical elements of the cartridge assembly **100**, the applicator **400** can be enabled to test subsystems within the cartridge assembly **100**, ensuring that the same are operating properly and are properly configured for medicament delivery with electric field application. For example, such subsystems include that the applicator **400** can test to ensure that the cartridge assembly **100** has not been previously used, that the reservoir or vessel has been properly placed within the cartridge assembly, that appropriate force applied against the body of a subject as applied through an alignment guide / splay shield **108**, a test that a depth has been affirmatively selected by the user, and that an exterior cartridge cap **110** has been removed. Moreover, the applicator **400** can be configured to monitor the status of the cartridge functions during execution of the procedure. For example, such subsystems include that the applicator **400** can test to ensure that that electrodes **122** are properly deployed within a subject prior to commencing with administration of the medicament,

that the plunger in the reservoir or vessel has been appropriately actuated prior to application of the electrical fields, that the user has maintained appropriate force applied against the body of the subject during the administration procedure, and so on.

[0109] In addition to the subsystems that are operated by the applicator **400**, the cartridge assembly **100** can incorporate appropriate subsystems, including those that interact with the applicator **400** and those that do not so interact, so as to accomplish the goals of the medicament delivery and electric field application therapy. These include a subsystem for causing needle and electrode insertion, a subsystem for protecting users from sharps following therapy administration, a subsystem for providing different depths of needle/electrodes insertion, a subsystem for ensuring that adequate force has been applied against the tissue of the recipient prior to allowing initiation of the procedure and subsequently during application of the administration procedure and so on. While often described in the context of deployable needles and electrodes, it is noted here that such are not strictly required, and that systems with non-deployable or fixed needles and electrodes also benefits from systems and methods according to present principles, including the subsystems described.

[0110] In one exemplary implementation, as shown in **FIG. 4**, the cartridge assembly **100** includes an outer cartridge **102**, in some cases termed a housing. The outer cartridge **102** is terminated at a distal end by an outer cartridge cap **106**. The outer cartridge **102** includes an inner cartridge containment volume **150**, for receiving an inner cartridge **103**, which is received and moves in a slidable manner in relationship to the outer cartridge **102**. The inner cartridge **103** includes a reservoir or vessel containment volume **142** in which the reservoir or vessel **101** may be situated. The inner cartridge **103** engages with an inner cartridge cap **104** at a distal end. The inner cartridge cap **104** has a number of functions, including to lock electrodes **122** in place (the inner cartridge **103** itself has seams that the electrodes **122** are placed into) and to provide a bearing surface for a stick shield **134**. The inner cartridge cap **104** locks onto the inner cartridge **103**.

[0111] A cartridge breech **112** is received in a portion of the reservoir or vessel containment volume **142** in the inner cartridge **103**, in a portion opposite that of the inner cartridge cap **104**. A vessel detection cap **118** engages the cartridge breech **112** through a vessel detection spring **116**. A cartridge lock ring **114** locks the system in place, including the cartridge breech **112** to the inner cartridge **103**. The vessel detection spring **116** also serves to push the reservoir **101** into engagement with the needle hub **152**, and also serves to accommodate tolerances in the size of reservoir **101**.

[0112] A vessel interlock **120** provides a mechanical interlock to prevent inadvertent or unwanted actuation of cartridge functions. In particular, the vessel interlock **120**, also termed a first reservoir

insertion trigger, is placed below the inner cartridge **103** and has fingers that extend through slots or holes defined in the inner cartridge **103** (see **FIG. 5B**). The fingers prevent the cartridge breech **112** from slidably moving relative to the inner cartridge **103**, and in particular from moving within the inner cartridge **103** towards the inner cartridge cap **104** before a reservoir has been inserted into a vessel containment volume **142**.

[0113] When a reservoir or vessel **101** is properly inserted in the reservoir or vessel containment volume **142**, the reservoir or vessel interlock **120** is pushed down and the fingers are pushed down, no longer extending into the vessel containment volume **142**. This pushing down or depression of the vessel interlock **120** may also be configured to provide an audible, tactile, or haptic “click” that can inform the user of proper insertion. Once depressed, the cartridge breech **112**, no longer blocked by the fingers of reservoir or vessel interlock **120**, is then permitted to move, and in particular is permitted to move in the direction towards the inner cartridge cap **104**.

[0114] The cartridge breech **112** is caused to move such by the action of the spring cap / cartridge interface **470** when the cartridge assembly **100** is inserted in the applicator **400** in a fashion described below. When the cartridge breech **112** moves far enough forward, it locks in place, securing the reservoir or vessel **101** in the reservoir or vessel containment volume **142** and ensuring that it is properly positioned relative to the needle hub **152** to ensure an intact fluid pathway from the reservoir **101** to the orifice of needle **105**.

[0115] For embodiments of the device where the injection needle is incorporated into the cartridge **100**, the use of standard “off the shelf” single use hypodermic injection needles may be employed within the device. However, the operational and reliability characteristics of the device may be improved through the incorporation of customized design elements that are not present in hypodermic needles intended for conventional parenteral administration procedures. Specific aspects of the needle hub **152** can include the material from which it is comprised, the inclusion of retention features to prevent the needle hub **152** from becoming dislodged from inner cartridge **103** during distribution and use, and the orientation of any bevel features in the needle relative to the hub.

[0116] Conventional single use disposable injection needles are commonly comprised of injection molded polypropylene thermoplastic. However, for many applications, the impact strength, tensile strength, and flexural strength of polypropylene may not be adequate to ensure integrity of the hub when subjected to the forces characteristic of needle deployment and injection with this device. Specific failures of concern include failure in the hub wall due to impact or injection forces as well as failure of the hub needle joint due to same. While adjustments in the design of the hub, including its geometry and wall thickness may be utilized to address prevent these failures, it is not always

feasible to modify the design sufficiently to prevent hub failure while ensuring that the hub retains the dimensional properties required for proper mating to a conical male luer slip connectors as described in the relevant standard published by the International Standards Organization (ISO) ISO 80369-7:2016 Small-bore connectors for liquids and gases in healthcare applications -- Part 7: Connectors for intravascular or hypodermic application. Specifically, given the forces that the syringe needle and hub are subjected to during deployment, in certain embodiments, a material with improved impact strength, tensile strength, and flexural strength is used. One example is the use of injection molded polycarbonate plastics (such as ZELUX® GS, Makrolon, or Lexan) or copolyesters (such as Eastman Tritan™ Copolyester MX731, MX711, and MX 730). When assessed according to ISO 180:2000 Plastics — Determination of Izod impact strength, a notched impact strength of at least 70 kJ/m² is considered suitable for this application. When assessed according to the ISO 527-1:2012 Plastics -- Determination of tensile properties -- Part 1: General principles, a tensile strength of at least 30 MPa is considered suitable for this application. When assessed according to ISO 178:2010 Plastics -- Determination of flexural properties, a flexural strength of at least 50 MPa is considered suitable for this application. In some embodiments, the specific resin selected exhibits compatibility with the intended method of sterilization (e.g., gamma radiation) without exhibiting detrimental changes in its physical properties that could compromise its function.

[0117] For embodiments where a custom injection needle is utilized, one or more mechanical features are included which are not ordinarily present on conventional syringe hubs that enable the device to be inserted into inner cartridge **103**. Such features can include tabs, snaps, or ridges with corresponding mechanical features located on inner cartridge **103**. In some cases, the features are implemented such that the hub mates with the inner cartridge **103** in a consistent orientation. Combined with a needle manufacturing process that is capable of consistently orienting the bevel or other needle orifice feature, this insures that biases in injection location or medicament distribution due to the location and design of the orifice can be accounted for in the design of the device. For example, needles with asymmetrical penetrating tip features (e.g., a bevel cut) can exhibit a directional bias during deployment into tissue due to interaction between the tissue and the asymmetrical penetration feature on the needle. If the electrodes have a symmetrical penetrating tip feature (e.g., a trocar tip) then the electrodes would not exhibit a corresponding bias in their deployment characteristics. Therefore, mounting feature for needle hub **152** on inner cartridge **103** can include an offset in the position of the injection orifice on needle **105** relative the electrodes **122** prior to deployment to account for the expected deployment characteristics of the asymmetrical bevel of the needle. The precise dimension of the offset can depend on the nature of the target

tissue and the expected range of penetration depths, but in certain embodiments the needle is offset by 0.5-1 mm for each 10 mm of penetration depth. When using electrodes and injection needles with differing tip profiles or where the tip profiles must be consistently oriented with one another, such features are advantageous for insuring co-localization of the medicament distribution with the application of the electrical fields.

[0118] The incorporation of a syringe detection cap **118** mounted to a syringe detection spring **116** ensures that the cartridge assembly **100** can accept and properly position the syringe **101** relative to needle hub **152** across the range of manufacturing tolerances expected for syringe **101**. The applicator **400** causes the cartridge breech **112** to move forward during the loading procedure when the spring cap / cartridge interface **470** within the applicator **400** moves distally, relative to the cartridge assembly **100**. This action occurs when the cartridge assembly **100** is loaded into the applicator **400** and the cartridge assembly **100** is pulled into the applicator **400**, e.g., by the action of a loading mechanism, e.g., a rack-and-pinion mechanism described below.

[0119] The movement of the cartridge breech **112** can act as a second interlock. In particular, in one implementation, as seen in **FIGS. 5C-5D**, a line of sight is visible and detectable, by an appropriately configured sensor, within the cartridge assembly receiving volume **403**, through a set of reservoir or vessel locking holes **144'** (**FIG. 5D**). This line of sight is visible when the cartridge assembly **100** is loaded into the applicator **400**. The visible light of sight, or occlusion of the same, can act as part of a second interlock that must be deactivated for the controller **700** to allow activation and triggering of the device, including needle and electrode insertion, medicament delivery, and electrode activation.

[0120] For example, in one implementation, the reservoir or vessel locking holes **144'** (**FIG. 5D**) must be occluded for the device to operate. If a visible line of sight is present, e.g., as detected by an IR or visible light emitter and detector paired within the cartridge assembly receiving volume **403** of the applicator, the device may be rendered inoperable and generate and display an error message on the applicator display **404** and/or controller **700**, including the controller display **712**, to notify the user of the state of the device as well as the recommended steps to be performed to address the error.

[0121] Thus, in this implementation, one error state can be that no reservoir **101** was loaded into the cartridge **100** or that the syringe was not properly seated into the inner cartridge **103**. In this case, the vessel interlock **120** cannot be depressed as there is no reservoir **101** to perform this action. In this case, the cartridge breech **112** cannot be moved forward, in the distal direction, towards the inner cartridge cap **104**. The construction of these components can be such that an open breech state results in an open line of sight **146** through first reservoir locking holes **144'**

(FIG. 5D). An ancillary check is that the cartridge breech **112** cannot be closed, and this can manifest itself as an inability of the cartridge to move the required distance backward or distally into the cartridge assembly receiving volume **403**. As these conditions are defined by the system to be an error state, the same can be identified and used in the generation of an error message, e.g., with an appropriate message to the user on a user interface on the applicator display **404** and/or controller **700**, including the controller display **712**. A similar error state may occur if the reservoir **101** is improperly loaded, or if the vessel interlock **120** is damaged. Generally in this case, an appropriate error message may be accompanied by instructions to the user to remove the cartridge, reinstall a new reservoir, and attempt to reintroduce the cartridge assembly **100** into the applicator **400**.

[0122] Another error state may be that the cartridge breech **112** is moved forward manually by the user without a reservoir **101** present, such occurring by the user manually pushing the vessel interlock **120** out of the vessel containment volume **142**. This situation can also be defined as an error state, and the same can be detected because another (second) set of reservoir locking holes **144** (FIG. 5C) are placed on a portion of the outer cartridge **102**. If no reservoir is in place, but the cartridge breech **112** is moved forward by the action of the vessel interlock **120** being depressed, then the reservoir locking holes **144'** (FIG. 5D) align with the reservoir locking holes **144** (FIG. 5C), again creating an open line of sight **146** and a subsequent error state. This error state may also occur if the reservoir or vessel interlock **120** is damaged and its fingers are no longer within the vessel containment volume **142**. In a certain embodiment, this error state need not be not remediable by attempting to reinstall a reservoir, as a reservoir may not fit in the vessel containment volume **142** with the cartridge breech **112** locked. In a certain embodiment, a new cartridge assembly **100** is required.

[0123] In contrast, if an appropriately sized reservoir or vessel **101** is positioned properly in place, then the vessel detection cap **118** is pushed back against the vessel detection spring **116**, and the movement of the vessel detection cap **118** occludes the reservoir locking holes **144** (FIG. 5C) and the reservoir locking holes **144'** (FIG. 5D). In this case there is no error state, allowing the device to operate. The occlusion, and detection of the occlusion, occurs within the body of the applicator **400**, after the cartridge assembly **100** is inserted, and thus is insusceptible to user attempts to defeat this interlock, whether intentional, accidental, or caused by a defect. It is noted that this “no error” state still occurs even if the user intentionally or inadvertently closes the cartridge breech **112** themselves during handling of the cartridge **100**.

[0124] Depending on which error state occurs, the cartridge assembly **100** can remain usable or not. If the cartridge breech **112** has been locked into place, the cartridge assembly **100** is rendered

unusable. If, however, the cartridge breech **112** has not been locked into place, then the cartridge assembly **100** can be removed from the applicator **400** and a new reservoir **101** inserted.

[0125] While the above-noted set of two interlocks (one mechanical using vessel interlock **120** and one using a light emitter and collector and reservoir or vessel lockout holes **144** and **144'**) have been found particularly useful in some implementations, it is to be understood that other types of interlocks can also be employed (**FIGS. 5C-5D**). For example, instead of having an error state occur when the reservoir locking holes **144** are not occluded, the error state may be configured to occur (via a change in reservoir locking hole location and program logic) when the reservoir locking holes **144** are occluded (and the clear line of sight **146** then corresponding to the non-error state). The vessel interlock **120** can incorporate additional mechanical flag features that are recessed in the cartridge when the interlock is active, but become visible to an appropriately configured sensor when the syringe **101** has been properly inserted and the vessel interlock **120** is depressed into its released position. In other variations, other ways may be employed to determine if a line of sight is present, e.g., optical, acoustic, electrical, or the like, so long as a suitable emitter and collector can be positioned within the applicator **400**. Other ways may also be employed to determine if the reservoir or vessel **101** is properly loaded, e.g., mechanical techniques, as it is to be understood by one of ordinary skill in the art given this teaching. Depending on implementation, if an error state is detected, the applicator **400** may be prevented from operating either with the cartridge assembly **100** in place, or the applicator **400** may be prevented from even accepting the cartridge assembly **100** in the first place. For example, the cartridge can be designed such that the vessel interlock **120** includes mechanical tab or locking features which extend from one or more of the cartridge surfaces until an appropriate vessel, such as syringe **101** is properly inserted into the cartridge **100**, thus preventing inappropriate vessels from being used and improper positioning of proper vessels. The mechanical tabs are designed to interact with a corresponding detent feature located in the applicator **400** such that loading of the cartridge **100** into the applicator **400** is physically blocked unless the vessel interlock **120** is released- i.e. unless the tab is deflected or moved aside by a properly loaded vessel. This mechanical interaction would provide feedback to the user or the system that an error condition must be resolved before proceeding with the loading of the cartridge **100** into applicator **400**. In other variations, more or less than two interlocks may be provided, although the same may be correspondingly associated with a different safety profile.

[0126] In certain implementations, other features can also be employed in the above determinations, or to enhance the above determinations. For example, where a motor is employed to pull the cartridge assembly **100** into the applicator **400**, sensors may be employed as described below to detect the spatial position of the cartridge assembly **100** during the insertion process. Put

another way, the applicator **400** may detect where the cartridge assembly **100** is within the cartridge assembly receiving volume **403**. In some cases, such may allow determination of additional error states either directly, or by prompting the activation of additional sensors to assess the state of the device. For example, in a used cartridge, the cartridge breech **112** is locked into position. If the used cartridge assembly **100** is attempted to be re-used, the optical detector detects the cartridge assembly **100** at a different point than it would for an unused cartridge assembly **100**. The use of an electrical motor for one or more system functions also provides the opportunity to monitor its operational status including the voltage and current levels supplied to the motor as well as the number of revolutions that the motor has performed during a specific operation. Measurement of these quantities during system operation can be used as a primary or secondary method for detecting potential or actual fault conditions. For example, the use of mechanical interlocks designed to block the loading procedure when the cartridge **100** is not properly configured can be coupled with sensors and logical circuits monitoring the motor to ensure proper loading of the cartridge **100** into applicator **400**. For example, the interaction of the mechanical features described above that are designed to prevent the loading of cartridge **100** without a properly inserted reservoir or vessel **102** would result in increased load on the motor drive mechanism, resulting in a higher current draw. Detection of the elevated current draw by the motor would prompt the loading procedure to be halted and a fault condition displayed to the user, for example on stimulator display **712** and/or applicator display **404**.

[0127] Since it is possible that medicaments not intended for administration by this method could be contained within reservoirs of similar size and configuration as that intended for use by this delivery method. Therefore, an additional aspect of the system is the incorporation of one or more methods to ensure that the reservoir or vessel **101** inserted into the cartridge **100** by the user is specifically, intended for use with the device. The implementation of such features would reduce the risk that an incorrect medicament is administered to a given subject. Customarily, specific information in the user instructions and labeling of the medicament includes the route and method of administration. However, to further reduce the potential for user errors, the incorporation of mechanical, optical, and/or electrical features within the reservoir or vessel and device may be desirable. In one embodiment the syringe may be designed to incorporate one or more unique mechanical features that are not present in other reservoirs which may be similar to those designed for use with this delivery method. For example, the reservoir or vessel may be specified to incorporate a rib or other elongate feature on the flange or barrel of the reservoir. In this embodiment, a corresponding mating feature would be included on the vessel interlock **120** such that the vessel interlock would be deactivated only if a reservoir with the appropriate mating feature

were properly inserted into the device. In the event that it is not feasible to directly implement the feature in the design of the reservoir, an alternative embodiment would include the placement of a secondary mechanical component on the reservoir that would be unique to reservoirs intended for use with the device. For example, a ring or other appropriately configured feature designed to slide over the barrel of the reservoir may be used to “key” the reservoir for use with the device by mating with a corresponding feature in the outer cartridge **102**, inner cartridge **103**, vessel interlock **120**, vessel detection cap **118**, or other suitable feature within the cartridge **100**. An additional embodiment a custom label of suitable size, color, and/or electrical conductivity applied to a pre-determined location on the outer surface of reservoirs that are intended for use with the device. Corresponding optical or electrical sensors in applicator **400** would be configured to assess the presence or absence of the label on the surface of the reservoir in order to verify that the medicament inserted into the cartridge is intended for use with the device. The detection method would comprise the use of optical or electrical signals applied to the surface of the label in order to assess its presence or absence. In this way, reservoirs containing medicaments not intended for use with the device (and therefore missing the relevant label) could be detected and excluded from potential misuse.

[0128] A configuration of sensors is now described to perform the cartridge loading and syringe detection determination described above, such sensors further forming a portion of a cartridge loading subassembly within the application **400**. In more detail, and referring in addition to **FIGS. 6, 17B, and 18C**, an exemplary way of detecting where the cartridge assembly **100** is located is by use of a cartridge loading sensor **436** and a cartridge loaded sensor **438**, which forms a portion of a loading drive subassembly **454**, the subassembly **454** further including cartridge guide rails **442** and a loading motor **444** which has a connection to a pinion gear assembly **448** that pulls the cartridge assembly **100** into the cartridge assembly receiving volume **403** via racks **154** on the base of the outer cartridge **102**. In more detail, when the cartridge loading sensor **436** detects an initiating flag **172** on the cartridge assembly **100** (see **FIG. 6**), the motor may be caused to initiate loading. When the cartridge loaded sensor **438** detects the same flag, loading may be caused to cease. A continuing flag **174** may be employed that is required to be present for loading to continue.

[0129] The first “teeth” of the rack **154** can be configured to provide a tactile sensation (or audible or haptic) for the user when they are inserting the cartridge assembly **100** into the cartridge assembly receiving volume **403**. Such configuration may include the shape and/or size of the rack teeth **154** as well as the amount of flexion permitted by their positioning in the outer cartridge. By adapting the rack teeth implementation, the desired degree of tactile feedback can be achieved

while ensuring that it does not provide a significant force against the loading motor **444** from receiving and loading the cartridge assembly **100**.

[0130] Referring in addition to **FIG. 17A**, and as noted above, the cartridge assembly **100** is inserted into a cartridge assembly receiving volume **403** within the applicator **400**. While various ways may be employed to perform this insertion, one way that has been found particularly useful is by way of a pinion gear assembly **448** engaging racks **154** on the outer cartridge **102**. The use of more than one rack provides additional stability, particularly torsional stability during the loading phase. Referring also to the insertion/injection drive assembly **456** of **FIG. 18A**, in addition to drawing the cartridge assembly **100** within the cartridge assembly receiving volume **403**, the insertion action further compresses an electrode / needle insertion spring **472** through a spring cap / cartridge interface **470**. The electrode / needle insertion spring **472** is used as the primary driving force for the needle and electrode insertion during medicament delivery.

[0131] This hybrid motor/spring action provides numerous benefits. The motor drive is beneficial as it is highly controllable and allows the cartridge **100** to be loaded into the applicator **400** in a semi-automated fashion with minimal input of mechanical force required by the user. As described above, the implementation of motor drive based mechanisms provides monitoring of the operational status of the system. For instance, conveying the current draw and revolution count to the logical and control circuitry in the system provides a supplementary method for detection and diagnosis of potential fault conditions. Despite these advantages, in certain cases electric motors can be poorly adapted to exerting the necessary linear force over sufficiently brief time scales that is most desirable for effective transcutaneous deployment of arrays comprising a plurality of elongate electrodes and, in selected embodiments, hypodermic injection needles. In particular, the penetration of dermal tissues is most consistently achieved by the application of a large linear force over a brief time scale. In some embodiments, the most favorable insertion characteristics are achieved when the penetrating electrodes, and when present, injection needle contact the skin at higher velocity. This is because sharps travelling at increased velocity at the point of skin contact result in less tissue deformation as they cut or penetrate the tissue. Therefore, in some embodiments, rapidly accelerating the sharps prior to contact with the skin is desired. In some embodiments, multiple electrodes and an injection needle are frequently utilized. In another embodiment, the velocity of the electrodes is at least 50 mm/second prior to contact with the skin. In yet another embodiment, the velocity of the electrode is at least 500 mm/second prior to contact with the skin. This deployment approach minimizes the discomfort perceived by the subject during the electrode penetration and is most favorable for maintaining a consistent spatial relationship between the plurality of electrodes. In contrast to electromechanical motors, spring driven

mechanisms exhibit a more favorable discharge profile that is capable of imparting the rapid impulse force to the electrodes and injection needle that is desirable for transcutaneous electrode implantation. In particular, the force exerted by a compression spring is at its peak at initial discharge. This is favorable for transcutaneous deployment where a high velocity at the point of skin contact is favorable and, due to the viscoelasticity of skin tissue, the greatest force is required for penetration of the skin, particularly when contacting the skin with a plurality of electrodes and/or injection needles. In addition, a spring based mechanism is capable of generating this force from a simple, durable, and compact form factor that can be readily integrated into a handheld device format. However, a disadvantage of spring based mechanisms is that they typically require the input of substantial mechanical force by the user in order to prime them for operation, especially for springs with high force constants and/or large displacements. The use of a hybrid motor and spring mechanism, as described in the present disclosure, achieves the desired deployment force characteristics while being simple for the user to operate. While the hybrid motor and spring mechanism is a preferred embodiment, depending on implementation, other hybrid mechanisms incorporating two or more drive mechanisms wherein one is capable of generating a rapid impulse force and the other is capable of priming the impulse force mechanism, e.g., a pump capable of compressing gas into a chamber and then discharging the compressed gas in order to apply an impulse force for deployment of the electrodes and, where applicable, hypodermic needle(s).

[0132] In any case, once loaded, the desired depth electrode deployment and/or agent administration is affirmatively selected by the physician or other medicament administrator and the same transmitted to the applicator **400**. Referring in addition to **FIG. 13B**, the depth may be selected by depth selection buttons **409** (or other equivalent interface such as a toggle switch or sliding switch) and the result displayed on injection depth selection indicators **408** (or, again, other equivalent interface). The available injection depths are conveyed to the user by appropriate labeling of the applicator **400** and/or the cartridge **100**. In some embodiments, any labeling regarding the injection depth is located on the cartridge **100** and remains visible to the user following installation in the applicator **400**. For example, the available injection depths may be labeled on the upper surface of the alignment guide / splay shield **108**. In order to avoid circumstances in which the user forgets or neglects to select a depth of injection, it is preferable that the device does not allow the user to proceed with the administration procedure until such affirmative selection is made. This can be accomplished by the implementation of appropriate control logic within the system such that subsequent elements of the device set up or usage are not accessible until a valid depth selection has been entered by the user. In certain embodiments, when

the user is prompted to affirmatively select the injection depth, the controller display may convey to the user information regarding the proper methods for assessing the subject and determining the appropriate injection depth for the selected administration site.

[0133] Referring in addition to **FIGS. 18A-18B**, upon proper cartridge assembly insertion, the spring cap / cartridge interface **470** engages and also presses against the spring cover hole **471** and tabs **491**. While a large spring force presses against the inner cartridge **103**, the same is prevented from moving forward by engagement of a set of retaining posts **488** against walls **494** of the outer cartridge **102**. However, the force exerted by **470** splays apart tabs **491**(which prevent inadvertent rotation of the lock ring during handling) thereby allowing rotation of the cartridge lock ring **114** by the motor drive mechanism. The rotation of the cartridge lock ring **114** causes rotation of the retaining posts **488**. The retaining posts **488** can be rotated into either the channels for first depth **490** or the channels for second depth **492**. The length of the channels for first depth **490** correspond to one of the choices of depths, and the length of the channels for second depth **492** corresponds to the other, with one or the other depths being selected by the user using buttons **409**. For example, the length of channels **490** may be in the range of 20-30 mm and the length of the channels **492** may be in the range of 12-20 mm. The requirement of a user to affirmatively select a depth provides yet another interlock. Without an affirmative selection, the applicator may not allow activation / needle insertion. The rotation of the cartridge lock ring **114** is thus caused by a clockwise or a counterclockwise rotation directed by the applicator **400** according to the dictates of the user. Requiring rotational motion of a set of posts into such channels to achieve deployment of the electrodes and, if present, injection needle greatly reduces the chances for accidental discharge, even upon violent jarring or falling.

[0134] In more detail, the rotation of the cartridge lock ring **114** is transmitted to the cartridge assembly **100** by the retaining posts **488**, which are disposed upon proper cartridge assembly **100** insertion into slots on an insertion mechanism gear drive ring **478**. In **FIG. 18A**, the slots on the insertion mechanism gear drive ring **478** are disposed at 3 o'clock and 9 o'clock positions. The insertion mechanism gear drive ring **478** is mounted to a partial insertion gear ring **479**, which is driven by an insertion mechanism drive motor **482**. Driving the partial insertion gear ring **479** causes the insertion mechanism gear drive ring **478** to rotate either clockwise or counter-clockwise. A flag **481** on a ring **480** and accompanying insertion mechanism position sensor **483** are employed to determine the position of the insertion mechanism gear drive ring **478**, and is further used to accurately return the same to the 3 o'clock and 9 o'clock positions when the applicator **400** is to be re-used with another cartridge.

[0135] The above implementation provides various advantages. For example, the user has to actively perform a step of selecting the depth before proceeding with the administration procedure. In so doing, the user has to assess the proper depth of injection for the selected injection site and prepare the site as noted in a guide or instructions for use document. As noted, the insertion mechanism, requiring rotational motion to deploy, is significantly hardened against accidental deployment due to falling, dropping, jarring, and so on.

[0136] Variations are to be understood by a person skilled in the art. For example, while two channels and two retaining posts are employed for each depth, one channel and one retaining post may also be used. Various types of motors and mechanisms may be employed for conveying the rotational motion necessary to rotate the posts into the channels. Other variations are also to be understood, including the use of solenoids and the like. In lieu of the use of channels, motor driven deployment may be used to provide variable depths, which depths may be controlled simply by how far the motor is controlled to drive the deployment. Preferably, in this context, the hybrid drive mechanism described would be configured such that the impulse discharge mechanism (e.g., the spring) is used for initial deployment through the dermis and then the motor drive mechanism is used to advance the electrodes to their desired depth.

[0137] One or more interlocks may be in place which must be deactivated before the insertion mechanism gear drive ring 478 is caused to rotate, rotating retaining posts 488 into the channels.

[0138] First, a force detection interlock subsystem may be in place that requires the device to be applied to the subject at a force of greater than a predetermined amount prior to allowing the administration procedure to be initiated. This force may be measured by an appropriate mechanical or electromechanical system and the result fed back into the controller 700 and used as an interlock to prevent activation of the device where insufficient force is provided. In some embodiments, the controller 700 is capable of conveying the state of the force detection interlock to the user through visual, haptic, or auditory signals so that a state of inadequate force can be corrected and the user may proceed with administration. In the event that the user attempts to proceed with the administration in a state of inadequate force contact (e.g., by depressing a trigger 407 or other activation button), additional visual, haptic, or auditory signals may be provided by the applicator 400 or controller 700 to notify the user that the interlock must be deactivated prior to proceeding with administration.

[0139] The detection of the force applied to the subject can be accomplished in a number of ways. Referring to the particular implementation of FIGS. 4 and 8A-8B, the alignment guide / splay shield 108 is equipped with a force contact pickup 128. The alignment guide / splay shield 108 can be mechanically biased in a distal direction (towards the subject) using one or more force contact

springs **126**, of which four are shown in FIG. 4. The force contact pickup **128** changes its position by virtue of the force applied to the alignment guide / splay shield **108**. In so doing, it also changes the state of an electrical circuit formed by the force contact pickup **128**, a set of first pads **162**, a set of second pads **164**, and a flexible circuit **160**. In particular, by testing for continuity between one or more pads **162** and one or more respective pads **164**, it can be determined how far backward or proximal the alignment guide / splay shield **108** has been moved by applied force, and thus if sufficient force exists for proper delivery. The state of the circuit is read by the applicator **400** using sensor contacts **434** (see **FIG. 16**). If sufficient force is indicated, the force contact interlock is deactivated, allowing the user to operate the device.

[0140] In one implementation, at the first contact point, the system may not register that any particular force has been applied. At the second contact point, the system may register that it is at partial (but not sufficient) pressure. At the third contact point, the system may register that the prescribed level of pressure required to proceed with procedure administration has been achieved, and the interlock may deactivate. Preferably, the status of the force contact circuit is provided to the user via the applicator display **404**.

[0141] Variations are to be understood by a skilled person in the art. For example, the force contact interlock may form an electrical lock that is deactivated either within the controller **700** or within the applicator **400** itself. In another variation the force contact circuit may be configured to provide information regarding the status of the device throughout the procedure administration. In particular, the system may include a feedback loop between the force contact circuit and the controller **700** wherein a reduction in the force applied by the user precipitates the generation of a visual, haptic, or auditory signal by the controller **700** and/or applicator **400** so that a state of reduced force can be corrected. In a certain embodiment, a feedback loop exists between the force contact circuit and the controller **700** such that the detection of a change in the applied force prompts the system to initiate a check as to whether the electrodes remain properly deployed into the tissue of the subject, e.g., via an impedance or resistance check between the electrodes. If the check is passed, then the procedure proceeds normally. In the event that the position of the electrodes is no longer acceptable then the procedure may be aborted and the user notified of the state of the device through the generation of a visual, haptic, or auditory signal by the controller **700** and/or applicator **400**. This feedback loop is of particular significance during the injection of the medicament. By monitoring the position of the device and the state of the electrodes, the feedback loop between the force contact circuit and electrode resistance/impedance monitor, the system may detect if the electrodes (and therefore the injection needle) are no longer in the subject, allowing the system to halt operation of the injection drive mechanism **456** to cease depressing the

reservoir plunger **484** and terminating the injection of the medicament. While this embodiment is most readily implemented with a motor driven injection drive **456**, other variations can be implemented in the case of manually operated or spring drive mechanisms wherein the activation of mechanical interlocks may be used to halt actuation of the reservoir plunger following detection of a fault condition. This feature may be particularly useful in stopping the therapeutic agent from inadvertently spraying out into the environment for instance, where the applicator **400** is removed from the tissue of the subject prior to completion of a medicament delivery. For medicaments or therapeutic agents that are potentially hazardous for exposure to users or the environment, such a design may avoid inadvertent discharges / exposures.

[0142] Since the quantity of the dose delivered to the subject in a partial dose situation may be critical to inform the decision making of the clinician regarding further treatment, it is preferable that the injection drive mechanism **456** include appropriate sensor and control features to determine the position of the injection drive plunger **484** at the time the injection stroke was halted due to the detection of a fault condition or other circumstance in which halting the injection stroke is necessary. Preferably this is achieved through monitoring of the revolution count of the motor used to drive the injection drive plunger **484**, but other methods for monitoring the position of the injection drive plunger **484** including optical or electrical sensors may be employed. Based on the known dimensions of the injection drive plunger **484**, the depth of insertion, and the reservoir **101**, the use of appropriate logic and control circuits can translate the position of the injection drive plunger into an estimate of the volume of medicament remaining in the syringe at the point at which the injection stroke was terminated. Such information can be conveyed to the user via the display **712**.

[0143] In addition to providing a termination feature to terminate the injection stroke in the event that a fault condition is detected, the use of a motor injection drive **456** can also provide a supplementary detector for detection of a fault condition or other operational issue. Specifically, the incorporation of appropriate measurement and logic circuits to monitor the current drawn by the motor during the injection stroke can be used to confirm that the injection has been administered within defined specifications. Expected ranges for the current drawn by the motor can be established for the various stages of the injection stroke including the initial run up of the injection drive plunger **484** before it contacts the plunger stopper **159**, the initial interface between the injection drive plunger **484** and the plunger stopper **159**, actuation of plunger stopper **159** forward in the barrel of the reservoir **101**, and conclusion of the injection stroke as the plunger stopper **159** contacts the end of the barrel of reservoir **101**. By correlating the position of the injection drive plunger **484** with the measured current drawn by the motor to the expected values during each

phase of the injection of the agent, the system is capable of identifying potential fault conditions and conveying them to the user. For example, if the user inadvertently inserted a reservoir **101** in which the plunger stopper **159** had been partially actuated (and therefore did not contain the full intended dose of medicament) into cartridge **100**, the system could detect that the expected increase in current drawn by the motor injection drive **456** did not occur when the injection drive plunger **484** reached the outer tolerance for plunger positioning. Under this circumstance the system could terminate the administration procedure and notify the user of the detected fault. Additional fault conditions including (but not limited to) faulty components in the applicator **400**, breakage of the reservoir **101**, and/or a defective cartridge **100** would be potentially detectable via this method.

[0144] Another ‘interlock’ to facilitate proper execution of the administration procedure is provided by the alignment guide / splay shield **108**, which is illustrated in **FIG. 9C**. In this figure, the alignment guide / splay shield **108** is shown with splay features **168** and a hole **170** defined therein for slidable movement of a stick shield **134**. The stick shield **134** is illustrated in **FIG. 9B**, and electrode holes **167** are also illustrated. For transcutaneous insertion of electrodes and, where relevant, injection needles, having a consistent skin interface facilitates deployment of electrodes into the target tissue while maintaining the desired spatial relationship between the members of the plurality. In particular, proper deployment is most consistently achieved when the skin is positioned perpendicularly to the direction of deployment. In addition, misalignment of the electrodes and injection needle are reduced when the skin is placed into tension in the orientation perpendicular to the direction of deployment. As can be seen, the splay shield **108** includes mechanical features including ribs and edges to engage with the skin and place it into tension perpendicular to the direction of deployment. Combined with the force contact circuit pick up system described above which ensures a consistent force applied to the skin, the alignment guide / splay shield **108** ensures that the skin is oriented and placed under tension in the direction perpendicular to the direction of electrode deployment. While this embodiment utilizes mechanical rib features to engage the skin at the device interface, numerous other designs and features could be utilized for engagement including the use of alternative materials with a high coefficient of friction when placed in contact with skin (e.g., rubber insets, adhesive patches, and the like) or alternative mechanical features including molded textures, cut outs, and sawtooth features capable of placing the skin into tension.

[0145] As can be seen, the alignment guide / splay shield **108** has a preferential direction defined. As described above, spatial and temporal co-localization of the medicament distribution and electric field application are desirable. Notably, the inherent structural properties of skeletal muscle lead to a characteristic ellipsoid distribution pattern of intramuscular injections where the major axis of the ellipsoid aligns with the striations of the muscle fibers. For applications involving

intramuscular injections, it is therefore favorable to arrange the electrodes to generate an ellipsoid electric field profile. In order to ensure that the electrode array and resultant electric field profile are properly oriented relative to the striations of the target skeletal muscle, the use of an alignment guiding feature is of particular utility for intramuscular administration. The objective of the alignment guiding feature is to facilitate placement of the device such that the orientation of the electrode array is most favorable relative to the muscle striations and the resulting medicament distribution following injection. For an arm injection, the alignment guide / splay shield **108** would desirably wrap around the arm horizontally like an arm band. A similar orientation would be desired for the leg with the splay shield wrapping around the leg horizontally. It has been found that having the alignment guide / splay shield **108** configured in this manner results in >98% accurate medicament deliveries by users even in the absence of verbal instructions. The preferred direction shown and resulting skin placement is particularly useful for intramuscular injections, because the diamond shaped array of electrodes (see the array of distal ends of electrodes **137** in **FIG. 9A**, which roughly matches the shape of the stick shield **134** and its associated holes **167**) is then oriented properly to deliver a medicament and to cause electroporation of the medicament along the preferred muscular striation direction. A primary feature is that, when aligned properly, the skin should be flush with the skin interface where the orifice is located, e.g., where the needle emerges. In this way, a consistent interface to the skin is obtained.

[0146] Where the alignment relative to the target muscle is improper, the arc generally causes a visually evident gap, e.g., 2-5 mm, to occur between the alignment guide / splay shield **108** and the skin. The visually evident gap may be employed as a reminder to the user to reorient the applicator **400**. In addition, the applicator **400** can be less stable against the skin of the subject when it is improperly aligned. Alignment guide features wherein the distance between edges of the “wings” of the feature are at least 1.3 times the vertical height of the feature are preferable for facilitating proper placement. In addition, in some cases the design of the feature is such that the tissue interface can be placed flush against the skin in the desired orientation while exhibiting at least a 2 mm air gap when placed at a 90 degree angle relative to the desired orientation.

[0147] Variations on the design of the alignment guide are to be understood by a skilled person in the art. For example, instead of an arc shaped alignment guide / splay shield **108**, a “V” shaped one may be used. Other variations are also to be understood with the key characteristic being that the device can be placed directly against the skin in the desired orientation whereas a visually apparent gap between the tissue interface and the skin of the recipient of 2 mm or greater is present when the device is misaligned relative to the striations of the target muscle.

[0148] As noted above, the orientation of the alignment guide / splay shield **108** is related in some implementations to the shape of the electrode array, because the diamond symmetry of the electrode array has a certain distribution associated with it, and this distribution should bear a certain predetermined relationship to the shape of the alignment guide / splay shield **108**. Referring to **FIGS. 9A-9B**, the electrodes, and more particularly the distal portions **137**, are shown, which are four in number. The electrodes are positioned in a diamond shaped array, which means they have second order symmetry, i.e., are twofold symmetric, in that they may be rotated and at two different positions appear the same. The electrodes extend in this array from holes **167** defined in the stick shield **134**. The use of a diamond shaped array is particularly useful because it is generally desired for intramuscular injections as discussed above. To reiterate, the second order symmetric array leads to a second order symmetric applied electric field. This type of applied electric field can have a preferred direction along the striations of the muscles if the alignment guide / splay shield **108** is oriented properly. Thus the alignment guide / splay shield **108** and the second order electric field orientation work together in a synergistic fashion to accomplish medicament propagation along the direction of muscle striation.

[0149] Broadly speaking, in a diamond shape, or other second-order symmetric shape, there is generally a major (long) axis and a minor (short) axis. The axes may bear a predetermined relationship with a preferred axis of the alignment guide / splay shield **108**. For example, if the alignment guide / splay shield **108** is thought of as having wings, with the arcuate shape of the wings encircling the arm, then a line segment connecting the center of the wings may be perpendicular to the major axis of the diamond shaped array.

[0150] Variations are to be understood by a skilled person in the art. For example, while a diamond is one possible shape for an electrode array, another exemplary shape is a rectangle, which is also second-order symmetric. The electrodes may be placed into the appropriate positions but may be moved to within a predetermined or desired tolerance, e.g., within 5%, 10%, and so on. Electrodes may be in various other shapes so long as they create a second order rotationally symmetrical electric field.

[0151] In another variation, for non-intramuscular injections, other order symmetries may be used. For example, for skin, there is generally no preferred direction of medicament propagation and so even a circular array of electrodes may be employed for intradermal injections.

[0152] Other considerations of electrode arrays are as follows. The simplest array configuration comprises two electrodes connected to the opposite poles of the electrical energy source. As disclosed in U.S. patents 5,873,549 and 6,041,252 (incorporated herein by reference in their entirety), utilization of three or more simultaneously active electrodes arranged in a multi-element

array can be used to increase target volumes of tissue and improve the uniformity in electrical field propagation within the target tissue. A wide range of geometrical electrode arrangements and activation patterns have been developed for electric field application in tissue. These include electrodes arranged in linear, rectangular, circular, or triangular configurations capable of propagating electrical fields in a volume of tissue of roughly ellipsoid, cuboid, cylindroid, or spheroid shape. Most commonly, the electrodes are arranged parallel to one another and configured for transcutaneous insertion in an orientation substantially perpendicular to the skin surface. In order to ensure that the target volume and shape of tissue is affected by the application of electrical field, it is desirable that the intended spatial relationship between each of the electrodes within the array is achieved following transcutaneous insertion. Specifically, unintended changes in the inter-electrode spacing should be avoided as they can cause changes in the magnitude of the electrical fields propagated within the target tissue, potentially leading to negative consequences for the safety and/or efficacy of the procedure.

[0153] Another interlock involves use of an exterior cartridge cap **110**. In particular, the alignment guide / splay shield **108** is covered while stored with an exterior cartridge cap **110**. The exterior cartridge cap **110** is configured to not just generally protect the distal end of the device until use but also to serve an interlock feature itself. While protection of the distal end of the cartridge assembly **100** serves the purpose of protecting the needle and electrodes from the environment, a commonly-encountered problem is that users often forget to remove such caps. One solution is to make the cap a bright color that is different from the color(s) of the other components in cartridge **100**, so as to notify the user of its presence and thus remind the user to remove it. Another part of this solution is to include an extension or reminder tab **190**, as shown by the arcuate section adjacent a rectangular section, the arcuate section visible even when the cartridge assembly **100** is placed up against a subject. As this reminder tab **190** is visible, it can serve as a reminder to remove the exterior cartridge cap **110** even when the remainder of the exterior cartridge cap **110** is not visible.

[0154] Referring in more detail to **FIGS. 10A-10B**, the exterior cartridge cap **110** includes an outer surface facing distally, towards the subject, and an inner surface facing the alignment guide / splay shield **108**. On the inner surface are provided a number of hooks **176** which engage a corresponding wall **180** defined on the alignment guide / splay shield **108**. The hooks hold the exterior cartridge cap **110** in place.

[0155] However, if the exterior cartridge cap **110** were inadvertently left in place, and the applicator **400** pressed up against an insertion site with force, the force of the alignment guide / splay shield **108** against the exterior cartridge cap **110** may tend to cause the exterior cartridge cap **110** to “pop off” by being pushed away from its hooked position by the alignment guide / splay

shield **108**. However, chamfered surfaces **178** are provided on the hooks **176** which tend to act against the stick shield **134** when pressure is applied, causing the hooks **176** to splay outward, increasing their retaining force against the alignment guide / splay shield **108**, and preventing the exterior cartridge cap **110** from popping off. In addition, the chamfered surface acts further against the stick shield, preventing the alignment guide / splay shield **108** from moving relative to the stick shield **134**. If the alignment guide / splay shield **108** cannot move relative to the stick shield **134**, the force detection interlock cannot be deactivated, as the force contact pickup **128** cannot move to the third force contact point discussed above, where full pressure is detected (or for that matter even to the second force contact point). The controller can provide a report when this happens to the controller. For example, a user interface indication such as “You need to remove outer cartridge cap.” may be displayed, the same caused by detection of a trigger pull performed in the absence of adequate force.

[0156] While certain interlocks have been described above, more or less interlocks may be provided in any given implementation. For example, other ways may be employed for force detection and use as triggering signals for interlocks. Other types of interlocks may also be employed, including trigger locks, safety switches, and the like. Yet other types are to be understood by one of ordinary skill in the art, given this teaching.

[0157] Once a depth of insertion is affirmatively selected by the user, and the exterior cartridge cap **110** is removed, and the proper force is detected by the force interlock, activation of the trigger causes clockwise or counterclockwise rotation of the cartridge lock ring **114**, with the direction of rotation dependent on the depth of insertion selected by the user. The rotation causes the retaining posts **488** to move into the channels of selected depth, which in turn causes the needle and electrodes to deploy. In particular, the inner cartridge **103**, cartridge breech **112**, reservoir **101**, inner cartridge cap **104**, needle hub **152**, needle **105**, electrodes **122**, and associated elements to move forward under the influence of the electrode / needle insertion spring **472**. In the present embodiment, these elements are rigidly connected to each other and thus move forward as a unit.

[0158] To further minimize the risk of sharps injuries to the user, it is preferable that the cartridge assembly also incorporates a mechanism for sheathing the electrodes and any injection needles following their removal from the recipients' tissue. This can be accomplished through the incorporation of a stick shield feature that houses the electrodes and injection needle (if present) prior to their use and then extends over the electrodes and injection needle (if present) following their deployment and removal from the tissue of the subject. Commonly, the tissue contact interface comprises the distal surface of the shield feature. Although the use of manually operated shield features may be considered, preferably, the device incorporates a mechanism to automatically

extend the shield feature over the electrodes and further to engage a locking feature to maintain the shield feature in the extended state once it has been removed from the tissue of the recipient. Examples include a shield feature slidably engaged with the cartridge outer housing and operatively connected to a source of stored energy, such as a spring, which slides the shield forward upon withdrawal of the electrodes from the recipients' tissue. Alternatively, the mechanism can be contained within the applicator and configured to reverse the electrode deployment step, thereby retracting the electrodes and any associated injection needles behind the tissue contact interface. This can be accomplished using simple electromechanical mechanisms for linear motion such as motors or solenoids.

[0159] In a particular implementation, and referring back to **FIG. 4** as well as to **FIGS. 11A** and **11C**, the stick shield **134** is configured to stay in position while the medicament delivery happens, but the movement forward of the inner cartridge **103** and other associated components during medicament delivery causes compression of a stick shield spring **138**. This compressed stick shield spring **138** then relaxes as the applicator is removed from the subject, as the stick shield **134** is caused to move forward when the applicator **400** is removed from the subject, covering the needle and electrodes, and protecting the subject and others against the uncovered sharps. Notably, this feature also prevents the visualization of the sharps features during withdrawal, which can facilitate acceptance from subjects experiencing anxiety related to needles.

[0160] While the relaxed spring would otherwise be capable of recompressing, and in particular if the user moved the stick shield **134** proximally, it is prevented from doing so by the action of stick shield support arm **132**, which are mounted to the interior of the outer cartridge **102** and which serve a ratcheting function as the stick shield **134** moves forward. In particular, and referring to **FIGS. 11A** and **11C**, stick shield support arms **132** move over various sequential retaining walls, and can do so easily when the stick shield **134** is moving distally. However, in the proximal direction, the stick shield **134** is prevented from moving because the stick shield support arms **132** abut the retaining walls in this direction, in a ratcheting fashion, and do not allow passage.

[0161] An initial set of retaining walls **188** prevent proximal movement of the stick shield **134** prior to use of the cartridge assembly **100**. A set of first depth retaining walls **184** prevent proximal movement of the stick shield **134** after discharge at a first selected depth. A set of second depth retaining walls **186** prevent proximal movement of the stick shield **134** after discharge at a second selected depth. The stick shield **134** is prevented from complete removal from the cartridge assembly **100** by the action of the stick shield retaining hooks **182** acting against the inner cartridge **103**. In some embodiments, final lock out at final end of the stick shield.

[0162] Variations is to be understood by a skilled person in the art. For example, in one implementation the stick shield support arms **132** may be provided by stamped metal support arms that interface with the outer cartridge **102**. In some embodiments, for instance, as shown in FIG. 9D, the support arm features are directly integrated as stick shield retention features, for instance injection molded plastic features in the outer cartridge cap **106** and/or alignment guide / splay shield **108**. The specific material can be selected to achieve sufficient rigidity to provide support to the elongate electrodes while retaining sufficient elasticity to prevent fracture of the component under load. Material selection should also consider the intended method of sterilization for the electrode array (e.g., gamma radiation, steam sterilization, ethylene oxide, or e-beam) to ensure that the features retain adequate material properties following sterilization. In an exemplary embodiment, the features, as implemented, should be capable of holding the stick shield **134** against proximal movement when at least 5 N, but more preferably at least 15 N of force applied. Other ways of providing a ratcheted function to prevent proximal movement of the stick shield may also be employed including a gear rack implemented on stick shield **134** and a corresponding ratchet feature implemented in outer cartridge cap **106**.

[0163] Referring to **FIG. 4**, each elongate electrode **122** within the array comprises a distal portion **137** and a proximal portion **135** that are in conductive communication. The distal portion is configured for tissue penetration and electric field propagation in tissue and the proximal portion is configured with a conductive contact region capable of reliably achieving conductive communication with the electrical connections contained within the applicator with a suitable source of electrical energy. The source of electrical energy can, by temporal variation in the power applied to individual electrodes, cause a spatially and temporally varying electric field within the body of the subject, generally confined to a volume around the region of medicament distribution, and the same can be used to advantage in electroporation therapy.

[0164] The relevant characteristics of the electrodes include shape, diameter, tip profile, length, material composition, and conductivity, the specifics of which are selected based on the intended application. Most commonly, the electrodes comprise electrically conductive elongate rods with a curvilinear cross section with diameter 0.1 - 1.5 mm. The electrodes may be solid core or hollow. Most commonly hollow electrodes incorporate one or more orifices and an operative connection to a fluid reservoir, thereby allowing for the administration of the agent of interest or other associated medicaments including anesthetics, surfactants, proteins, adjuvants, or enhancers from the electrode itself. Depending on the application, appropriate metallic electrode materials include, but are not limited to titanium, gold, silver, aluminum, copper, tantalum, tungsten, molybdenum, tungsten, stainless steel, MP35N and alloys thereof. Electrodes may also be comprised of electrically

conductive ceramics or plastics. To minimize unwanted electrochemical reactions at the tissue electrode interface, it is often desirable that one or more of the electrodes are coated in a conductive material providing improved electrochemical stability compared to the electrode material itself. Such coating materials include, but are not limited to, platinum, platinum, iridium, palladium, osmium, gold, silver, titanium, and alloys thereof.

[0165] As described above, the tip of the distal portion is configured for tissue penetration. Common tip profiles include, but are not limited to, trocar, bevel, cone, blade, lance, and taper. For transcutaneous electrode deployment, tip profiles with one or more cutting edges are preferred. For certain applications where the penetrating tip profile may be undesirable for generating the required electrical fields, the tip may be comprised of a non-conductive material fixed to the distal tip of the electrode or the penetrating tip may be covered in an adherent coating or tubing comprised of an biocompatible electrically insulating material such as poly(p-xylylene) polymer, polyolefin, polyvinyl chloride, polyurethane, polyester, polyimide, silicone rubber, thermoplastic elastomer/rubber, ethylene tetrafluoroethylene, fluorinated ethylene propylene, and/or perfluoroalkoxy plastic.

[0166] Proximal to the penetrating tip are one or more conductive regions configured for the propagation of electrical fields in tissue. In order to confine the propagation of electrical fields to the target region of tissue, commonly, especially for subcutaneous and intramuscular administration, at least a portion of the electrode length configured for penetration in the tissue is covered in an adherent coating or tubing comprised of an biocompatible electrically insulating material such as poly(p-xylylene) polymer, polyolefin, polyvinyl chloride, polyurethane, polyester, polyimide, silicone rubber, thermoplastic elastomer/rubber, ethylene tetrafluoroethylene, fluorinated ethylene propylene, and/or perfluoroalkoxy plastic. In this way, electrodes may be configured to only activate at a particular depth within the tissue.

[0167] Collectively, the number of electrodes and their inter-electrode distance as well as the penetration depth of the electrodes and the length of their conductive region define the volume of tissue in which the electric fields is applied. These parameters are selected based on the specific objectives for the administration procedure, including target tissue site as well as the volume, dose, and viscosity of the agent to be delivered as well as the variation in skin and subcutaneous tissue thickness in the intended recipient population. Generally, for intradermal administration in human recipients, arrays comprise 2-16 electrodes of diameter 0.2 - 0.7 mm, an inter-electrode distance of 2-8 mm, a depth of electrode penetration of 0.5 - 4 mm, and a conductive length of 0.5-4 mm. Generally, for subcutaneous administration in human recipients, arrays comprise 2-8 electrodes of diameter 0.3-0.8 mm, an inter-electrode distance of 4-10 mm, a depth of electrode penetration of 5-

15 mm, and a conductive length of 2-8 mm. Generally for intramuscular administration in human recipients, arrays comprise 2-8 electrodes of diameter 0.3 - 1.2 mm, with an inter-electrode distance of 4-12 mm, a depth of electrode penetration of 10-60 mm, and a conductive length of 2 - 20 mm.

[0168] In order to avoid undesirable visualization and exposure to the electrodes during the usage of the device, the cartridge assembly **100** is preferably configured such that the electrodes **122** are recessed within the device prior to their insertion into the tissue of the recipient. Most commonly, this is accomplished by providing the outer housing structure **102** slidably engaged with the electrode mount support, which in one implementation comprises an inner cartridge **103** having seams formed therein in which the electrodes are disposed. Prior to use, the electrodes are recessed within the outer housing **102**. During use, the sliding engagement of the electrode mount structure, e.g., the inner cartridge **103**, with the outer housing allows the electrodes **122** to slide forward relative to the distal tip of the outer housing **102**, thereby deploying the electrodes **122** from the outer housing **102**. The length of the sliding engagement between the electrode mount structure and the outer housing corresponds to the maximum desired depth of electrode penetration for the given application.

[0169] As may be seen in **FIG. 4**, and the electrodes **122** have a proximal portion **135** and a distal portion **137**. The proximal portion is separated from the distal portion by a shoulder or bend **139**. The shoulder or bend **139** is secured by and between the inner cartridge cap **104** and the inner cartridge **103**. The shoulder or bend **139** provides a number of functions. First, while the electrodes at the distal end of the cartridge assembly **100** are required to form an array of a certain size and shape as described above, putting the electrodes **122** in the desired array size and shape at the proximal end of the cartridge assembly **100** is impractical due to the presence of the reservoir **101**. In other words, the electrodes **122** have to bend "out-of-the-way" to make room for the reservoir **101**. In addition, having the bend, particularly when the bend is locked in place frictionally between the inner cartridge **103** and the inner cartridge cap **104**, provides a surface for the electrodes **122** to abut against when the force of the needle and electrodes insertion recoils in the distal direction as the electrodes **122** interact with the tissue during their deployment. The bend, can resistant to axial rotations, which is beneficial so that the electrodes do not exhibit significant torsional movement and rotate away from their electrical contact pads **130** (described below). In addition, in contrast to prior art techniques in which multiple electrodes interfaced with axially separated coaxial rings, requiring a different configuration for each electrode, the present system allows a common electrode type to be used for all four electrodes.

[0170] Systems and methods according to present principles provide for the propagation of an electrical field in the skin, subcutaneous tissue, and/or skeletal muscle of a recipient which

facilitates the intracellular delivery of a therapeutic agent within said recipient's tissue. In this aspect, the apparatus includes two or more elongate electrodes **122** arranged in a predetermined spatial relationship and configured for deployment into the target tissue in which the agent of interest has been or is to be distributed. The desired enhancement in agent activity is contingent on achieving co-localization of the site of agent distribution with the propagation of electrical fields of sufficient magnitude to induce the desired physiological effects. Therefore it is desirable that the apparatus consistently achieve electrode deployment to the target depth and with specified intraelectrode spacing. Specifically, this ensures that the electrical fields are propagated at the proper tissue site, and, since the magnitude of the electrical fields propagated within the tissue is a function of the intraelectrode spacing, a safe and effective electric field intensity. However, the variation in the thickness, density, and composition of skin and subcutaneous tissue between sites in a given recipient and across recipient populations can lead to significant variation in electrode deployment characteristics. For example, recipients with increased thickness of skin are at increased susceptibility for electrode distortion and/or insufficient depth of penetration which can affect co-localization with the site of agent distribution.

[0171] Systems and methods according to present principles further facilitate consistent transcutaneous insertion of electrode arrays comprising 2-16 electrodes of diameter 0.2 - 1.3 mm with an inter-electrode distance of 2-12 mm, and a depth of electrode penetration of 0.5 - 60 mm in recipients with skin of heterogeneous thickness, composition, and condition. In order to permit transcutaneous insertion, tissue penetrating electrodes are most commonly elongate and configured with a tissue penetrating tip and a tissue contact region in the distal portion and an electrical contact region in the proximal portion. Given their elongate configuration, they are susceptible to bowing, bending, and/or buckling (collectively termed distortion) when subjected to the compression forces generated during transcutaneous insertion into the recipient. Such distortions during electrode insertion are undesirable as they can lead to improper electrode insertion characterized by insufficient depth of penetration and/or excessive changes in intraelectrode spacing. Of note, humans and animals exhibit significant intra- and inter-species differences in the thickness, composition, and condition of skin, any of which can have significant impact on the forces which the electrodes are subjected to during transcutaneous insertion. In some embodiments, devices for transcutaneous insertion of elongate electrodes are designed to withstand the forces present under the most stringent conditions of electrode insertion that is to be encountered in the target population of recipients. The occurrence of electrode distortion can be partially mitigated by electrode material selection as well as reducing the length and increasing the diameter of the electrodes within the array. However, material selection may be constrained by issues of performance, cost,

manufacturability, and biocompatibility. In addition, electrodes of reduced length may preclude adequate coverage of a target population with heterogeneous tissue thickness while larger diameter electrodes are associated with increased discomfort and tissue trauma. Thus, the present disclosure is designed to provide methods and apparatus to facilitate the transcutaneous insertion of electrodes independent of these variables.

[0172] A first embodiment of the disclosure the subassembly cartridge housing the electrode array incorporates one or more support dynamic support members in physical contact with one or of the electrodes during tissue insertion and configured to constrain movement of the electrodes perpendicular to the direction of insertion and maintain the desired spatial relationship between the electrodes. For example, and referring to **FIG. 12**, an electrode support **124** is illustrated in which electrode holes **192** are provided for electrodes and a needle hole **194** is provided for passage of the needle. The tendency of the elongate electrodes to distort or buckle under load is exacerbated by any bending, eccentricity, or bowing of the electrode that may have been introduced during manufacture or assembly. Thus, it is advantageous to employ a device such as the electrode support **124** to constrain the electrodes to prevent, or correct, any bending, eccentricity, or bowing they might exhibit at rest, and to prevent unwanted perpendicular motion of the electrode as a result of the loading that occurs during deployment into tissue.

[0173] In the context of the present disclosure, a dynamic support member is defined as a structural element which provides sliding engagement with the elongate electrodes during insertion into the tissue of the recipient and which is configured to undergo a change in position, size, and/or conformation during electrode insertion in order to maintain the desired spatial relationship of the electrodes as they are deployed to the target tissue depth. Since the electrodes are subjected to the largest loading forces at the initial contact with the skin, the engagement of the dynamic support member with the electrodes comprising the array is preferably affected prior to initial contact with the tissue of the recipient and to continue to provide support as the electrodes deploy to the full depth of penetration. It is also favorable that the dynamic support member be designed to provide support to the electrodes and injection needle while minimizing losses in electrode penetration force due to friction.

[0174] While the primary function of the dynamic support member is to maintain the desired spatial relationship of the plurality of the electrodes within the array relative to one another and to the injection needle, it is also desirable for the design of the dynamic support member to include features capable of stabilizing the array as a whole. This is preferably accomplished by providing additional structural support features which constrain lateral movement of the support member. In a certain embodiment, these support features are integrated into a sharps protection shield, which also

serves to protect the user against accidental exposure to the electrodes and/or injection needle. Disclosed below are various embodiments of dynamic support members consistent with the present disclosure.

[0175] One embodiment of the present disclosure, described above as electrode support **124**, involves the use of a planar structure positioned perpendicularly relative to the elongate orientation of the electrodes. The planar structure is configured with one or more apertures **192** which correspond to the positions of said electrodes in their specified spatial relationship. The size, shape, and position of the apertures are configured to allow the support structure to slide smoothly along the elongate length of the electrodes within the array while constraining unwanted motion perpendicular to the direction of electrode deployment. Commonly, the apertures may be configured as holes or slots **192** in the planar structure with adequate clearance for the electrode (at least 10% larger than the largest cross section of the electrode, including any coatings or other adherent materials). However, if more substantial support is required for specific electrodes, one or more of the apertures may comprise tubular structures **196** arranged perpendicularly to the planar structure. Apertures comprising such tubular structures increase the surface area contacting the electrode and thereby increase the support provided to the elongate electrodes. The planar structure may be made from any material with appropriate structural characteristics including metal, polymer, ceramic, or composite materials and may be formed, machined, molded, or produced with other methods. To avoid unwanted electrical interactions with the electrodes, it is preferable that the interface between the electrodes and the planar structure is not electrically conductive. The material and manufacturing method should also be selected to minimize the amount of friction at the interface between the electrodes and the dynamic support member. Due to a number of factors including their low cost, ease of manufacturability, and favorable electrical properties, the electrode support is commonly made of a thermoplastic such as polycarbonate, polystyrene, polypropylene, acrylic, or polyethylene. The specific material should be selected to achieve sufficient rigidity to provide support to the elongate electrodes while retaining sufficient elasticity to prevent fracture of the component under load. Material selection should also consider the intended method of sterilization for the electrode array (e.g., gamma radiation, steam sterilization, ethylene oxide, or e-beam) to ensure that the dynamic support member is compatible. The specific dimensions and design of the support structure depend on the properties of the selected material. However, it is desirable to minimize the dimensions of the support structure so that it does not excessively limit the distance that the electrodes can be deployed or to interfere with other functional properties of the device. Rigid planar structures of 0.5 mm – 2 mm thickness are typically sufficient

[0176] Another embodiment of a dynamic support member is the use of a compression spring with apertures accommodating the electrodes and constraining their lateral movement. The compression spring may be made from metal, polymer, or elastomeric materials, and may be formed, machined, molded, or produced with other methods. At rest, the spring is uncompressed or minimally compressed with the electrodes inserted into the apertures along the spring's length. As the electrodes are deployed, the spring compresses in the direction of deployment, with the apertures accommodating the sliding movement of the electrodes perpendicular to the spring coils. This embodiment is of particular utility when combined with a shield or sheath used to house the penetrating electrodes / needles following removal from the tissue site. In these embodiments, the force imparted to the spring by the forward deployment of the electrodes can be used to deploy a sheath or shield over the electrodes as the device is removed from the tissue.

[0177] In the implementation of **FIG. 4**, the stick shield spring **138** may be used to partially support the electrode support **124**, and in particular the radius of the spring may be configured to match (or be just slightly greater than) the radius of the wall **198** of the electrode support **124**. In this way, the electrode support **124** may be inserted into the middle of the stick shield spring **138** during use. The electrode support **124** may then slide within the interior of the stick shield **134**. By being placed in the center of the spring, the electrode support **124** naturally maintains a position in the center of the spring, which provides a desired "halfway point" for support of the electrodes, roughly halfway between their point of support at the inner cartridge cap **104** and their point of penetration at the tissue interface.

[0178] Other spring-based electrode supports are contemplated. For example, a formed compression spring is positioned in the region of the electrodes, to provide adaptive electrode support. Formed compression springs may be shaped and proportioned to conform closely to the relative positions of the electrodes, in order to restrict their lateral motion. An optional biasing element may be positioned in conjunction with a formed compression spring, and may serve to bias the electrodes outward against formed compression spring. Formed compression springs may be made from metal, polymer, or elastomer materials, and may be formed, machined, molded, or produced with other methods. The biasing element may be made from metal, polymer, or elastomer materials, and may be formed, machined, molded, or produced with other methods.

[0179] Electrode supports based on other mechanisms are also contemplated, for example telescoping tubes. Telescoping tubes serve to support electrodes during insertion into the subject. The telescoping tubes may be sized to move freely relative to each other, or may be sized to move only when an axial force is applied to them.

[0180] Other support structures are contemplated, for example support structures based on movable, flexible, or pivoting support members. Lateral support members may attach to the electrodes at optional hinge features. Lateral support members may be formed integrally with the structure housing the electrodes or may be separate components attached by conventional means (snaps, welding, adhesives, fasteners, etc.).

[0181] Another embodiment is the use of a compressible matrix material in which the electrodes are embedded. As the electrodes are deployed, the material compresses in the direction of deployment, providing lateral support along the direction of travel. Examples of compressible matrix materials include cellulose, foamed plastic or rubber polymers such as microcellular plastics, foamed silicone or foamed polychloroprene, or carbon foam matrices. Since the materials are designed to contact the electrodes and/or injection needle (if applicable), the materials should be selected to be compatible with indirect tissue contact.

[0182] The above described structures thus support transcutaneous deployment of a plurality of elongate electrodes at tissue depths of up to 60 mm while maintaining the desired spatial relationship among the plurality of electrodes and, in specific embodiments, the orifice of a hypodermic injection needle. Such support members engage the plurality of elongate electrodes during transcutaneous insertion, and constraining deflection of the electrodes in one or more directions perpendicular to their elongate orientation. Another aspect of the present disclosure provides methods and apparatus for utilizing the application of biocompatible lubricious compounds to the surfaces of the plurality of electrodes in order to reduce the applied force required to achieve consistent transcutaneous deployment of a plurality of elongate electrodes to depths of up to 60 mm. In an exemplary embodiment, biocompatible silicone compounds such as Dow Corning 360 Medical Fluid or Dow Corning MDX4-4159 can be applied by conventional spray or dip coating to the plurality of the electrodes comprising the array in order to improve the insertion characteristics of the electrodes. The specific selection of the coating and application conditions, such as coating method and thickness depends on the number, size, composition, and tip configuration of the electrodes as well as the target tissue in which the electrodes are deployed.

[0183] Referring to **FIGS. 4** and **7A-7B**, a proximal portion **135** of each electrode may be positioned on the exterior of the inner cartridge **103** of the cartridge assembly **100** (**FIG. 7B**). In this way, when the inner cartridge **103** is slidably disposed within the outer cartridge **102** (**FIG. 7A**), the proximal portion **135** of each electrode contacts a corresponding electrode contact **130**, and in particular an outer cartridge interior portion **133** of the electrode contact **130**. The interior portion **133** is configured for power communication with the proximal portion **135** of each electrode. Due to the length of the proximal portions (a single proximal portion **135** pointed-to in

FIG. 7A) of the electrodes, and the length of the outer cartridge interior portion **133**, the electrical communication can be made at a number of locations along their continuous interface, no matter the longitudinal position of the inner cartridge with respect to the outer cartridge. Each electrode contact **130** further includes an outer cartridge exterior contact **131**, configured for power communication with corresponding connections **496** on the applicator **400** (see **FIG. 18C**).

Although not pointed to in the figures, there can be a single electrode contact that contacts one of the electrodes, when the electrodes are otherwise electrically coupled, or there can be an electrode contact **130** corresponding to each of the electrodes, and additional corresponding features such as interior portions **133** of the outer cartridge corresponding with each electrode of the apparatus.

Thus, despite being referred to in a plural or singular manner, several embodiments are contemplated- aligning with singular and a plural meanings. Each electrode contact **130** is comprised of a conductive material sufficient to convey an electrical signal. In certain embodiments, the design and material selection ensure that the contact provide for adequate engagement to ensure an electrically conductive interface with the corresponding electrode over the range of expected manufacturing variation in electrode and contact position while not inhibiting or interfering with the forward travel of the electrodes **122** mounted on the inner cartridge **103**. The design must also permit this engagement to persist when exposed to the expected storage conditions over the labeled shelf life of the product. In a certain embodiment, electrical contacts **130** are made of stamped or formed metal with appropriate temper to facilitate engagement with the electrode and may include coatings such as gold or copper to ensure the integrity of the electrical contacts and avoid corrosion. In addition to ensuring that electrical contact can be maintained with the electrodes at a number of locations along their continuous interface, the incorporation of one or more electrode contact into outer cartridge **102** in this configuration ensures that any wear due to the sliding interaction between the electrodes **122** and the electrode contact **130** occurs within the cartridge **100** designed for single use, thereby allowing for a static interface between the outer cartridge contacts **131** and applicator electrical contacts **496** which minimizes potential mechanical wear on the electrode connections **496** of the applicator designed for multiple uses. This configuration has the benefit of extending the useful functional life of the multi-use applicator.

[0184] Remaining portions of the applicator **400** are now described. These portions are generally those that are independent of operation with the cartridge assembly **100**. Referring first to **FIG. 13A**, the applicator **400** includes a handle **402** and a multi-conductor cable **406** designed to carry power and control signals as well as the electrical signals to be applied to the tissue. The cable **406** is generally terminated in a connector with a corresponding connector interface in the controller **700**. The applicator **400** further includes a user interface **404**, in which aspects of the procedure

may be viewed by the user, and in which the user can direct the applicator to perform various functions, in particular, depth selection. The applicator **400** further includes a procedure activation trigger **407**, which is used by the subject to initiate the procedure.

[0185] Referring in addition to **FIG. 13B**, the applicator **400** includes a procedure countdown timer **410**, which informs the user of the remaining duration of the procedure, and further impliedly indicates to the user that they should not remove the applicator **400** from the subject until such time as the countdown trigger has counted down to zero. A power indicator **418** is provided to indicate a satisfactory and powered connection with the controller **700**. A procedure fault indicator **414** is provided to indicate to the user if a fault has occurred, e.g., one of the interlocks described above has not been deactivated. An application placement indicator **412** is provided to inform the user if a proper pressure has been obtained against the tissue of the subject, allowing the procedure to commence.

[0186] **FIG. 14** indicates a number of structural components of the applicator **400**, including a connector **426** (not to scale) for connection to the controller **700**, a top housing **420**, side housings **422** and **424**, and an inner protective shell **432**. A front cap **430** is provided, along with an end cap **428**. Various electromechanical subassemblies **450** are also provided, several of which have been described above.

[0187] **FIG. 15A** illustrates a more detailed view of the applicator **400**, indicating cartridge pressure sensor contacts **434** and subassemblies **452** corresponding to the cartridge loading, electrode insertion, and injection functions as well as the associated sensors.

[0188] **FIG. 16** illustrates a more detailed view of the group of subassemblies **452**, including the loading drives subassemblies **454** and the cartridge loading subassembly **456**. The operation of the subassemblies has been described above.

[0189] **FIG. 17A** illustrates a more detailed view of the loading drive subassembly **454**, the general to operation of which has been described above. Here it is noted that the loading is triggered by a flag on the cartridge assembly **100**, and that detection of the flag leads to the system being triggered at switch **464**. The loading drives subassembly is mounted to the applicator housing using brackets **466** and **468**. The action of the motor **444** is transmitted to the pinion gear assembly **448** by the motor drive shaft **462**.

[0190] **FIG. 17B** illustrates a more detailed view of the insertion/injection drive assembly **456**. Many of these components have been described above. Here it is noted that the operative components are mounted to the applicator housing by a mounting bracket **476**. Following insertion of the electrodes **122** and the needle **105**, the plunger of the needle **105** is depressed by the injection

drive plunger **484**, whose action ejects the medicament from the orifice of the needle. The injection drive plunger is driven by an injection drive motor and gear assembly **486**.

[0191] Generally the injection drive plunger, driven by the injection drive assembly **486**, moves forward until such point at which it can no longer move forward, i.e., distally, indicating that it has reached the end of each stroke. This indication is generally given by the current used in the injection drive motor **486** rising substantially, indicating that the reservoir plunger has reached the end of the reservoir and that the injection has been completed. However, in some cases, the number of turns of the motor may be employed to determine how much medicament has been delivered. Such a feature could be used to support metered dosing of medicament or in order to notify the user in cases where the volume of delivery was not within the expected total, e.g., where the full volume of reservoir **101** was expected to be injection, but based on the position of the plunger rod, it was not emptied. These situations may arise where the user failed to hold the applicator **400** against the body of the subject until the procedure was completed, e.g., until the countdown timer had counted down to zero. As noted above, in a mid-procedure timeframe, where the force against the subject is no longer being detected, an impedance check may be performed to determine if the electrodes are still within the subject. If they are not, then it may be presumed that the applicator was prematurely removed, and a signal may be sent to the injection drive motor **486** to cease injection, limiting the amount of medicament ejected outside of the subject.

[0192] Referring to **FIG. 19**, the controller system/assembly **700** can be seen including an electrical field controller / generator **750** and a handle **702** and an applicator cradle **706**. The controller may be configured for both table top as well as cart mounted use. In the cart mounted configuration it is the inclusion of a storage bin **704** is favorable. Details of the controller in the cart mounted configuration are shown in **FIGS. 20A-20D**, including wheel locks to secure the controller assembly against movement in an operational setting, a tray **710** for placing supplies including subject preparation supplies, reservoirs/vials/syringes, and so on. An applicator connector port **708** is illustrated to connect the applicator **400** to the controller assembly **700**. A display **712** displays status of an administration procedure, particularly with regard to the IFU attached.

[0193] A cartridge eject button **714** is provided to cause ejection of the cartridge assembly **100** from the applicator **400**. Menu navigation buttons **716** allow navigation and manipulation of components as seen on the display **712**. A mute button **718** is provided to mute alerts or other audible indicators if desired. A power button **722** is provided to power the unit, and the same is activatable if the main power switch **726** (**FIG. 20D**) has been turned on.

[0194] The display also includes a battery indicator **720**, which provides an indication of battery level where a battery backup system is provided. Such a battery backup system may be included in

the controller/generator **750** to accommodate situations in which power loss prevents a main power source from powering the unit. Such may also be employed as a backup where a procedure has been started under main power, but where a main power loss has been encountered. In this case, logic and control circuitry is implemented to provide for essentially seamless transition from mains to battery power so that the procedure can be finished using the battery backup. It is favorable for the controller to include battery monitoring circuitry that is capable of monitoring whether the battery has sufficient charge to complete the procedure following loss of mains power. In some embodiments, the controller also includes display to notify the user in the event that the current charge status of the battery is not sufficient to complete the procedure in the event of mains power loss.

[0195] Referring to **FIG. 20D**, in which a rear view of the controller **750** is illustrated, the same can be seen to include a USB port **724**, a main power switch **726**, and a main power input **728**.

[0196] Referring to **FIG. 21**, in one method of use, as illustrated by the flowchart **800**, the controller/generator **750** is powered and its program automatically started (step 802). The applicator is connected (step 804), and an indication or instruction to the user to perform this action may be displayed on the display screen **712**, if the applicator has not already been connected. The system may perform a self test (step 806), the self test not only ensuring proper operation of the controller/generator **750** but also ensuring correct connection of the applicator **400** to the controller/generator **750**.

[0197] The program may cause the display screen to provide instructions to the user on preparation of the site of administration (step 808). This step may include ensuring that the correct medicament agent is being delivered, that the same is not expired, that contraindications have been reviewed, and that warnings/precautions have been followed.

[0198] The user then removes the vessel cap and inserts the reservoir **101** in the cartridge assembly **100** (step 810). In some embodiments, the user experiences an audible, tactile, or haptic click (step 811) indicating proper placement of the reservoir in the cartridge assembly.

[0199] The user then inserts the cartridge assembly **100** into the applicator **400** (step 816). An error status is then tested for (step 818), e.g., for proper reservoir placement, and if one is detected, the procedure is stopped, an error message is displayed, and the user is instructed to take remedial action. If the error state can be corrected, e.g., the user has inserted the reservoir improperly but not engaged or closed the cartridge breech, then the user may be instructed to remove the cartridge and reinsert the reservoir properly (state 820). In some cases, the cartridge is automatically ejected, and in other cases the user may have to push the "cartridge eject" button to accomplish the same. In

other error states, e.g., where the cartridge breech has been closed, the user may be instructed to use a new cartridge.

In any case, once the device set up is completed and a "no error" state has been achieved, the user can proceed to administration of the medicament and electroporation therapy (step 822).

[0200] The primary function of the controller is to generate the electrical fields required to achieve the desired delivery of the medicament, to control the operation of the system during set up and use, to monitor the state of the system during set up and use, and to convey the state of the system to the user during set up and use. In some embodiments, the controller is capable of providing recommendations and instructions for use of the device both in the context of user training as well as during resolution of fault conditions during ordinary usage.

[0201] The controller system and controller method of operation may be fully implemented utilizing any number of computing devices including microprocessors, microcontrollers, programmable logic controllers. Typically, instructions are laid out on computer readable media, generally non-transitory, and these instructions are sufficient to allow a processor in the computing device to implement the method of the present disclosure. The computer readable medium may be a hard drive or solid state storage having instructions that, when run, are loaded into random access memory. Inputs to the application, e.g., from the plurality of users or from any one user, may be by any number of appropriate computer input devices. For example, users may employ a keypad, keyboard, mouse, touchscreen, joystick, trackpad, other pointing device, or any other such computer input device to input data relevant to the calculations. Data may also be input by way of an inserted memory chip, hard drive, flash drives, flash memory, optical media, magnetic media, or any other type of file – storing medium. The outputs may be delivered to a user by way of a video graphics card or integrated graphics chipset coupled to a display that maybe seen by a user.

Alternatively, the system may output one or more formats of electronic document or a printer may be employed to output hard copies of the results. Given this teaching, any number of other tangible outputs is also be understood to be contemplated by the present disclosure. For example, outputs may be stored on a memory chip, hard drive, flash drives, flash memory, optical media, magnetic media, or any other type of output. It should also be noted that the present disclosure may be implemented on any number of different types of computing devices, e.g., personal computers, laptop computers, notebook computers, net book computers, handheld computers, personal digital assistants, mobile phones, smart phones, tablet computers, and also on devices specifically designed for these purpose. In one implementation, a user of a smart phone or wi-fi – connected device downloads a copy of the application to their device from a server using a wireless Internet connection. An appropriate authentication procedure and secure transaction process may provide

for payment to be made to the seller. The application may download over the mobile connection, or over the WiFi or other wireless network connection. The application may then be run by the user. Such a networked system may provide a suitable computing environment for an implementation in which a plurality of users provide separate inputs to the system and method. In the below system where control of an applicator is contemplated, the plural inputs may allow plural users to input relevant data at the same time.

TABLE OF ELEMENTS

REF #	PART
100	Cartridge assembly
101	Reservoir or vessel (which may be a pre-loaded or non –pre-loaded syringe)
102	Outer cartridge, aka housing
103	Inner cartridge
104	Inner cartridge cap
105	Needle
106	Outer cartridge cap
108	Alignment guide / splay feature
110	Exterior (safety) cartridge cap
112	Cartridge breech
114	Cartridge lock ring
116	Vessel detection spring
118	Vessel detection cap
120	Reservoir or vessel interlock, aka vessel insertion trigger, or vessel interlock
122	Electrodes
124	Electrode support
126	Force contact springs
128	Force contact pickup
130	Electrode contact
131	Exterior Outer cartridge electrode portions for coupling to applicator
132	Stick shield supports
133	Interior Outer cartridge electrode portions for coupling to inner cartridge
134	Stick shield
135	Proximal Inner cartridge electrode portions for coupling to outer cartridge
137	Distal Inner cartridge electrode portions for tissue insertion
138	Force contact flexible circuit
139	Electrode shoulder or bend
140	Reservoir or vessel loading port or vessel loading port
142	Reservoir or vessel containment volume or vessel receiver
144 & 144'	Reservoir or vessel lockout holes (1 st or 2 nd set) or vessel lockout holes
146	Optical line of sight
148	Insertion detector, e.g., emitter/collector IR sensor within applicator
150	Inner cartridge containment volume
152	Needle hub
154	Rack
156	Egress port
158	Vessel cap
159	Plunger stopper
160	Flexible circuit
162	First set of pads
164	second set of pads
166	Stick Shield nubs
167	Stick shield holes
168	Splay feature
170	Alignment guide hole for stick shield

172	Initiating flag
174	Continuing flag
176	Exterior cartridge cap hooks
178	Exterior cartridge cap chamfer surfaces
180	Hook engaging wall of alignment guide / splay shield 108
182	Stick shield retaining hooks
184	First depth retaining wall
186	Second depth retaining wall
188	Initial or rest retaining wall
190	Reminder tab
192	Electrode support electrode holes
194	Electrode support needle hole
196	Electrode support electrode hole support structure
198	Electrode support wall
400	Applicator
401	Applicator cartridge assembly receiving port
402	Handle
403	Cartridge assembly receiving volume, which is defined by a housing; or cartridge assembly receiver
404	User interface
406	Multi-conductor cable
407	Trigger
408	Injection depth selection indicator(s)
409	Injection depth selection button(s) (could be toggle or other forms in another implementation)
410	Procedure countdown timer
412	Application placement indicator
414	Procedure fault indicator
416	Procedure complete indicator
418	Power indicator
420	top housing
422	First side housing
424	Second side housing
426	Electrical connector
428	End cap
430	Front cap
432	Inner protective shell
433	Electrical contacts for motor drive 444
434	Cartridge force sensor contacts
435	Connectors for switch
436	Cartridge loading sensor
438	Cartridge loaded sensor
440	Guide or track
442	Cartridge guide rails
444	Loading drive motor
446	Motor trigger connector
448	Pinion gear assembly
450	Electromechanical subassemblies
452	Cartridge loading, electrode insertion, and injection subassemblies

454	Loading drive subassembly
456	Cartridge loading subassembly
462	Motor drive shaft
464	System trigger switch
466	gear cover bracket
468	Mounting bracket
470	Spring cover / cartridge interface
471	Spring cover hole
472	Electrodes/needle insertion spring
476	Mounting bracket
478	Insertion mechanism gear drive ring
479	Insertion gear ring
480	Flag holder
481	Insertion mechanism flag
482	Insertion mechanism drive motor
483	Insertion mechanism position sensor
484	Injection drive plunger
486	Injection drive motor and gearing
488	Retaining posts (retaining feature)
490	Channels for first depth
491	Lock tabs
492	Channels for second depth
494	Abutment wall
496	Applicator electroporation electrode contact
700	Controller Assembly
702	Handle
704	Storage bin
706	Applicator cradle
708	Applicator connector port
710	Tray
712	Display screen
714	Eject cartridge button
716	Menu navigation buttons
718	Mute button
720	Battery indicator
722	Power button
724	USB port
726	Main power switch
728	Main power port
750	Electrical Field Generator

EXAMPLES

[0202] The following example is provided for illustrative purposes only and not to limit the scope of the claims provided herein.

Example 1. Electroporation mediated intramuscular administration of nucleic acid based biopharmaceuticals with TriGrid Delivery System (TDS-IM) device.

[0203] The intracellular delivery of nucleic acid sequences in the skeletal muscle of the upper or lower limb can be enhanced with the use of an exemplary device, *e.g.* TriGrid Delivery System (TDS-IM) model II, as provided herein. In some embodiments, the TDS-IM device is used in conjunction with agents approved for investigational use with the TDS-IM device. In an exemplary embodiment, the approved agent is nucleic acids, *i.e.*, DNA or RNA. In some embodiments, the use of TDS-IM device is restricted to a subject in need thereof.

[0204] To start up the system for administration, the main power of the device is connected to the stimulator and the system battery is adequately charged for use. The main power switch is turned on and the front panel power button is depressed. The applicator is connected to the applicator connector. Proper connection of the applicator is confirmed by the illumination of the applicator power indicator. The start screen appears once the system completes all self-checks. The OK button is pressed to proceed with the procedure administration.

[0205] To insert a syringe into a TDS-IM cartridge, the syringe cap is removed from the syringe, and the syringe flange is align with the TDS-IM cartridge syringe loading port. The syringe should snap into place and be fully seated in the TDS-IM cartridge. Once the syringe is loaded, the OK button is pressed on the pulse stimulator to continue. The cartridge cap should remain affixed to the cartridge until the cartridge is loaded into the applicator.

[0206] To insert the syringe loaded cartridge into the applicator, the cartridge is aligned with the applicator with the cartridge syringe loading port facing upward. When the cartridge is inserted into the applicator, and the cartridge is automatically drawn into its fully loaded position in the applicator. Successful loading of the cartridge is indicated in the stimulator. Once the cartridge is loaded, the applicator is returned to its cradle, and an appropriate injection site on the subject is selected. In some embodiments, the injection site for intramuscular nucleic acid delivery is medial deltoid muscle at approximately three finger widths below the edge of acromion process (shoulder bone). In an exemplary embodiment, the injection depth at medial deltoid is about 0.75”–1.25” (19–30 mm). In some embodiments, the injection site for intramuscular nucleic acid delivery is vastus lateralis muscle (outer thigh) at approximately the midpoint between the hip and the knee.

In an exemplary embodiment, the injection depth at vastus lateralis is about 1.0”–1.5” (25–38 mm). Once the injection site is selected, the applicator depth selection button followed by the injection depth selection button corresponding to the injection site/depth are pressed. In some embodiments, the injection depth selection indicator turns to solid illumination, confirming the selected injection depth. In some embodiments, the depth selection button on the right side corresponds to a deeper injection depth. In one embodiment, wherein initially selected injection site is to be changed, the selection button corresponding to the other injection depth is pressed, and the other injection depth is selected when the the select injection depth screen returns.

[0207] To start administration of an approved agent via TDS-IM device to the subject, the cartridge cap is removed and discarded. The device is aligned with and firmly pressed against the target injection site. When the device is firmly pressed against the target injection site, all four bars of the applicator placement indicator illuminates, and the procedure countdown timer illuminates with “8” seconds, indicating the time remaining in the administration procedure. The applicator trigger is depressed for the agent to be administered while the pressure is consistently maintained. When the procedure countdown timer reaches “0,” the electrical stimulation is delivered. Once the administration procedure is completed, the procedure complete indicator illuminates, and the device can be withdrawn from the injection site. The device may not be withdrawn from the injection site until the procedure is completed or a procedure fault indicator illuminates. In some embodiments, wherein the device detects a problem during the administration procedure, the device aborts the administration procedure and illuminates the procedure fault indicator. In an exemplary embodiment, wherein the device aborts the administration procedure and the device promptly is removed from the subject, the stimulator display of the device provides further instructions.

[0208] To eject the cartridge from the applicator after completion of the agent administration to the subject in need thereof, the eject button located on the stimulator is depressed. The applicator automatically advances the cartridge to the position where it can be manually removed from the applicator. Once the cartridge stops moving, the sides of the cartridge as indicated by arrows can be grasped for pulling the cartridge out of the applicator. After the cartridge is removed, completion of the full injection can be verified by inspecting the syringe plunger position. To turn off the device, the applicator is placed in the holster, and the front panel power button is pressed for 5 seconds.

[0209] While preferred embodiments of the present disclosure have been shown and described herein, it will be obvious to those skilled in the art that such embodiments are provided by way of example only. Numerous variations, changes, and substitutions will now occur to those skilled in the art without departing from the present disclosure. It should be understood that various

alternatives to the embodiments described herein, or combinations of one or more of these embodiments or aspects described therein may be employed in practicing the present disclosure. It is intended that the following claims define the scope of the present disclosure and that methods and structures within the scope of these claims and their equivalents be covered thereby.

CLAIMS

1. An apparatus for the controlled delivery of a therapeutic agent to a predetermined tissue site within a subject comprising:
 - a cartridge assembly comprising an outer cartridge, an inner cartridge, a vessel configured to contain the therapeutic agent, and a needle hub, wherein the outer cartridge provides a vessel receiver configured to receive the vessel;
 - an applicator comprising a cartridge assembly receiver configured to receive the cartridge assembly or a portion thereof, and an insertion detector, wherein the insertion detector is configured to sense loading of the vessel in the vessel receiver;
 - a vessel interlock, wherein the vessel interlock is configured to lock out the apparatus from actuation until the vessel is loaded properly in the vessel receiver;
 - at least one injection orifice in fluid communication with the therapeutic agent when the agent is loaded in the vessel and through which the therapeutic agent is administered;
 - a plurality of penetrating electrodes arranged with a predetermined spatial relationship relative to the orifice;
 - an electrical field generator configured to generate an electrical signal, wherein the electrical field generator is operatively connected to the plurality of penetrating electrodes; and
 - a controlled source of energy sufficient to transfer a predetermined amount of the therapeutic agent at a predetermined rate from the vessel through the orifice to the predetermined tissue site within the subject.
2. The apparatus of claim 1, wherein the apparatus further comprises a needle.
3. The apparatus of claim 1, wherein the plurality of penetrating electrodes are a plurality of elongate electrodes.
4. The apparatus of claim 1, wherein the vessel interlock is configured to prevent inadvertent actuation of cartridge function.

5. The apparatus of any one of claims 1 to 4, wherein the vessel interlock comprises a mechanical interlock.
6. The apparatus of any one of claims 1 to 5, wherein the apparatus comprises a second interlock different from the vessel interlock, wherein the second interlock comprises:
 - a light emitter/collector, a cartridge breech, a force interlock, an alignment guide and a splay shield, a trigger lock, a safety switch, an exterior cartridge cap or a combination thereof.
7. The apparatus of any one of claims 5 to 6, wherein the mechanical interlock comprises tabs that are moved from a first position to a second position by the vessel when the vessel is loaded, whereby when the tabs are in the second position, the device may be actuated.
8. The apparatus of claim 7, wherein the vessel interlock further comprises at least one vessel lockout hole.
9. The apparatus of one of claims 6 to 8, wherein the cartridge breech provides an optical line of sight through a vessel lockout hole.
10. The apparatus of any one of claims 6 to 9, comprising a vessel detection cap which engages the cartridge breech through a vessel detection spring.
11. The apparatus of claim 10, wherein the vessel detection spring is configured to push the vessel into engagement with the needle hub.
12. The apparatus of any one of claims 1 to 11, wherein the vessel interlock further comprises a tab extending from a cartridge surface, and wherein the tab is configured to

interact with a corresponding detent feature located in the applicator such that loading of the cartridge assembly into the applicator is physically blocked unless the tab is deflected by a loaded vessel.

13. The apparatus of claim 5, wherein the vessel interlock comprises a splay shield, wherein said exterior cartridge cap comprises an inner surface proximal to said splay shield, and wherein said inner surface further comprises at least one hook capable of engaging a corresponding wall defined on said splay shield.

14. The apparatus of claim 5, wherein the apparatus further comprises a force interlock.

15. The apparatus of claim 14, wherein the force interlock comprises at least one cartridge force sensor contact, such that the force interlock senses a force applied against the subject and prevents administration of the therapeutic agent to the subject when insufficient force is applied.

16. The apparatus of any one of claims 15, wherein the force interlock further forms an electrical lock within the applicator.

17. The apparatus of any one of claims 1-16, wherein the apparatus further comprises a key to the vessel, wherein the key mates to a corresponding feature within the cartridge assembly in order to deactivate at least one interlock.

18. The apparatus of any one of claims 1-3, wherein the cartridge assembly further comprises a splay shield that comprises a rib and an edge configured to engage with the skin of the subject and configured to place the apparatus into a tension perpendicular to the direction of needle deployment for administration of the therapeutic agent to the predetermined tissue site.

19. The apparatus of any one of claims 5-18, wherein the vessel interlock further comprises a splay shield which comprises a force contact pick up.
20. The apparatus of claim 19, wherein the force contact pick up comprises at least one first pad, at least one second pad, and a flexible circuit.
21. The apparatus of claim 19, wherein the splay shield is mechanically biased by at least one force contact spring.
22. The apparatus of any one of claims 1-21, wherein the cartridge assembly further comprises a stick shield.
23. The apparatus of claim 22, wherein the stick shield further comprises a stick shield nub, a stick shield hole, and a stick shield spring.
24. The apparatus of claim 22, wherein the first interlock comprises the splay shield that comprises at least one hole for slidable movement of the stick shield.
25. The apparatus of any one of claims 22-24 further comprising at least one stick shield support to interface with the outer cartridge, wherein the stick shield support abuts the stick shield and prevents the stick shield from moving in the proximal direction in a ratcheting fashion.
26. The apparatus of claim 25, wherein the stick shield support is a stamped metal support arm.
27. The apparatus of any one of claims 25-26 wherein the stick shield support moves over at least one retaining wall in a sequential order.
28. The apparatus of claim 27, wherein the stick shield comprises a first retaining wall which can prevent proximal movement of the stick shield in case of discharge of

said apparatus at a first selected depth and a second retaining wall which can prevent proximal movement of the stick shield after discharge of said apparatus at a second selected depth in a subject.

29. The apparatus of any one of claims 25-27, wherein the stick shield support is integrated as an injection molded plastic feature of an outer cartridge cap.

30. The apparatus of claim 29, further comprising a gear rack implemented on the stick shield to reduce proximal movement.

31. The apparatus of any one of claims 25-29, wherein the stick shield support prevents the stick shield from moving in the proximal direction when at least 5 N of force is applied to the stick shield.

32. The apparatus of any one of claims 25-29, wherein the stick shield support prevents the stick shield from moving in the proximal direction when at least 15 N of force is applied to the stick shield.

33. The apparatus of any one of claims 25-26 wherein the stick shield support is integrated as an injection molded plastic feature of the alignment guide and splay shield.

34. The apparatus of any one of claims 1-33, wherein the needle hub comprises retention features for proper positioning of the needle hub relative to the vessel upon loading of the cartridge assembly into the applicator, so as to ensure an intact fluid pathway from the vessel to the injection orifice.

35. The apparatus of any one of claims 1-34, wherein the inner cartridge moves in a slidable manner in relationship to the outer cartridge along a common longitudinal axis.

36. The apparatus of any one of claims 1-35, wherein the inner cartridge engages with an inner cartridge cap at a distal end, wherein the inner cartridge cap locks the electrodes in place and provides a bearing surface for the stick shield.

37. The apparatus of any one of claims 1-36, wherein the apparatus further comprises a sensor.

38. The apparatus of claim 37, wherein the sensor is selected from the group consisting of a cartridge loading sensor, a cartridge loaded sensor, a cartridge force sensor, a needle insertion mechanism position sensor, a vessel insertion detector, an optical detector, and an electrical sensor.

39. The apparatus of any one of claims 1-37, comprising a loading drive subassembly comprising a cartridge loading sensor and a cartridge loaded sensor, wherein the cartridge loading sensor detects the cartridge assembly to initiate loading and the cartridge loaded sensor detects the cartridge assembly to cease loading.

40. The apparatus of claim 39, wherein the loading drive subassembly further comprises at least one cartridge guide rail and a loading motor.

41. The apparatus of any one of claims 39-40, wherein the loading drive subassembly further comprises a connection to a pinion gear assembly, wherein the pinion gear assembly engages a rack on the outer cartridge of the cartridge assembly upon loading the cartridge assembly into the applicator, pulling the cartridge assembly into the cartridge assembly receiver.

42. The apparatus of claim 41, wherein the rack comprises at least a first rack tooth.

43. The apparatus of claim 42, wherein the first rack tooth provides a tactile sensation when the cartridge assembly is inserted into the cartridge assembly receiving volume.
44. The apparatus of any one of claims 42-43, wherein the first rack tooth provides torsional stability.
45. The apparatus of any one of claims 38-44, wherein the cartridge loading sensor detects an initiating flag on the cartridge assembly to initiate loading.
46. The apparatus of any one of claims 38-45, wherein the cartridge loaded sensor detects an initiating flag on the cartridge assembly to cease loading.
47. The apparatus of any one of claims 1-46, wherein the apparatus further comprises a continuing flag for the cartridge loading to continue.
48. The apparatus of any one of claims 1-47, wherein the vessel insertion detector comprises a light emitter / collector IR sensor.
49. The apparatus of any one of claims 37-48, wherein the sensor detects a vessel label and verifies identity of the therapeutic agent contained therein.
50. An apparatus for the controlled delivery of a therapeutic agent to a predetermined tissue site within a subject comprising:
a cartridge assembly comprising a housing, a vessel containing the therapeutic agent, and a needle hub, wherein the housing comprises a vessel receiver configured to receive the vessel;
an applicator comprising a cartridge assembly receiver, and a vessel insertion detector, wherein the vessel insertion detector comprises a sensor that senses loading of

the vessel in the vessel receiver; and at least one injection orifice of an injection needle through which the therapeutic agent is administered;

a vessel interlock, wherein the vessel interlock is configured to lock out the apparatus from actuation until the vessel is loaded properly in the vessel receiver;

a plurality of penetrating electrodes arranged with a spatial relationship relative to said injection orifice;

an electrode support comprising a plurality of apertures corresponding to the predetermined spatial relationship of the penetrating electrodes and through which the penetrating electrodes extend, wherein the electrode support structure prevents inadvertent perpendicular motion of the penetrating electrodes relative to the direction of electrode deployment;

an electrical field generator configured to generate an electrical signal, wherein the electrical field generator is operatively connected to the electrodes; and

a controlled source of energy sufficient to transfer a predetermined amount of the therapeutic agent from the vessel through the orifice to the predetermined tissue site within the subject.

51. The apparatus of claim 50, wherein the apparatus further comprises a needle.

52. The apparatus of claim 50, wherein the apparatus further comprises an electrode insertion spring or a needle insertion spring.

53. The apparatus of claim 50, wherein the proximal portion of each penetrating electrode is separated from the distal portion of the respective penetrating electrode by a corresponding electrode shoulder or a corresponding electrode bend.

54. The apparatus of any one of claims 50-51, wherein the electrode support comprises a needle hole positioned to allow for passage of the injection needle therethrough.

55. The apparatus of any one of claims 50-54 wherein the electrode support comprises a planar structure positioned perpendicularly relative to the elongate orientation of the penetrating electrodes.
56. The apparatus of claim 55, wherein at least one aperture of the plurality of apertures comprises at least one tubular structure arranged perpendicularly to the planar structure.
57. The apparatus of any one of claims 55 or 56, wherein the planar structure is oriented perpendicular to the longitudinal axes of the penetrating electrodes.
58. The apparatus of any one of claims 50-57, wherein the electrode support is an adaptive electrode support that conforms closely to relative positions of the penetrating electrodes during deployment of the penetrating electrodes.
59. The apparatus of claim 58, wherein the adaptive electrode support is a compression spring.
60. The apparatus of claim 59 wherein the compression spring is made from a metal, a polymer or an elastomeric material.
61. The apparatus of any one of claims 50-60 wherein the electrode support comprises at least one telescoping tube.
62. The apparatus of any one of claims 50-61, wherein the electrode support further comprises a stick shield spring.
63. The apparatus of claim 50 wherein the electrode support further comprises at least one lateral support member attached to the electrodes with at least one optional hinge feature.

64. The apparatus of any one of claims 50-63, wherein the electrode support comprises a metal, a polymer, a ceramic, a composite, or a compressible matrix material.

65. The apparatus of claim 64, wherein the compressible matrix material is selected from the group consisting of a cellulose, a foamed plastic, a rubber polymer, a microcellular plastic, foamed silicon, foamed polychloroprene, carbon foam matrix.

66. The apparatus of any one of claims 50-65, wherein the electrode support is made from a non-conductive material.

67. The apparatus of any one of claims 50-66, wherein the electrode support is made of a thermoplastic material.

68. The apparatus of claim 67, wherein the thermoplastic material is selected from the group consisting of a polycarbonate, polystyrene, polypropylene, an acrylic, or a polyethylene.

69. The apparatus of any one of claims 50-68 wherein the electrode support supports transcutaneous deployment of each electrode and maintains at tissue depths up to 60 mm.

70. The apparatus of any one of claims 1-69 comprising a hybrid motor/spring mechanism for contacting at least one of said injection orifice or said plurality of electrodes with said tissue site.

71. The apparatus of claim 70, further comprising a measurement and logic circuit to monitor the current usage of motor during the injection stroke and compare said usage to a standard.

72. The apparatus of any one of claims 1-71, further comprising a controller and a force contact circuit wherein a feedback loop exists between said controller and said force contact circuit such that upon insertion of said plurality of penetrating electrodes in said predetermined tissue site, detection of a change in an applied force prompts initiation of a check as to whether the penetrating electrodes remain properly deployed in said tissue site.

73. The apparatus of any one of claims 1-72 wherein said plurality of penetrating electrodes are deployed by rotational motion.

74. The apparatus of claim 73, wherein the therapeutic agent is discharged by deployment of a needle by rotational motion.

75. The apparatus of claims 1 or 50, wherein the applicator further comprises a second source of energy comprising:

- a. one or more electromechanical devices comprising at least one solenoid, motor, and/or lead screw;
 - b. one or more mechanical components comprising at least one spring and/or related spring device; or
 - c. one or more compressed gases;
- wherein, the second source of energy provides a driving force for insertion of the penetrating electrodes within the subject before or during transfer of the therapeutic agent.

76. The apparatus of claims 1 or 50, wherein the cartridge assembly further comprises one or more electrode contacts operatively connected to a proximal portion of each of the penetrating electrodes, wherein each electrode contact comprises an outer cartridge contact configured for power communication with electrical contacts on the applicator, wherein the electrical contacts on the applicator and each outer cartridge contact have a static interface therebetween.

77. The apparatus of claims 1 or 50, wherein the applicator comprises an injection drive motor and an injection drive plunger, wherein the controlled source of energy is the injection drive motor, such that the injection drive motor is configured to move the injection drive plunger so as to enable the transfer of the therapeutic agent.

78. The apparatus of claim 1, further comprising:
an electrode support comprising a plurality of apertures corresponding to the spatial relationship of the penetrating electrodes and through which the penetrating electrodes extend, wherein the electrode support prevents inadvertent perpendicular motion of the penetrating electrodes relative to the direction of electrode deployment.

79. The apparatus of claim 78, wherein the electrode support is an adaptive electrode support that conforms closely to relative positions of the penetrating electrodes during deployment of the penetrating electrodes.

80. The apparatus of claim 78, wherein the adaptive electrode support is a compression spring.

81. The apparatus of claim 78, wherein the electrode support comprises a planar structure positioned perpendicular relative to the elongate orientation of the penetrating electrodes, wherein at least one aperture of the plurality of apertures comprises at least one tubular structure arranged perpendicularly to the planar structure, such that the at least one tubular structure provides further support to at least one of the penetrating electrodes.

82. The apparatus of claim 50, wherein the vessel interlock comprises a mechanical interlock comprising tabs that are moved from a first position to a second position by the vessel when the vessel is properly loaded, whereby when the tabs are in the second position, the apparatus may be actuated.

83. The apparatus of claim 50, wherein the vessel interlock further comprises a tab extending from a cartridge surface of the cartridge assembly, and wherein the tab interacts with a corresponding detent feature located in the applicator such that loading of the cartridge assembly into the applicator is physically blocked unless the tab is deflected by a properly loaded vessel.

84. The apparatus of claim 50, wherein the cartridge assembly further comprises a stick shield and a stick shield support, wherein the stick shield support abuts the stick shield and prevents the stick shield from moving in a proximal direction in a ratcheting fashion.

85. The apparatus of claim 84, wherein the stick shield support prevents the stick shield from moving in the proximal direction when at least 5 N of force is applied to the stick shield.

86. The apparatus of claim 84, wherein the stick shield comprises a first retaining wall which can prevent proximal movement of the stick shield in case of discharge of said apparatus at a first selected depth and a second retaining wall which can prevent proximal movement of the stick shield after discharge of said apparatus at a second selected depth in a subject.

87. The apparatus of claim 50, wherein the applicator further comprises a loading drive subassembly, wherein the loading drive subassembly comprises a cartridge loading sensor, and a cartridge loaded sensor, wherein the cartridge loading sensor detects the cartridge assembly to initiate loading and the cartridge loaded sensor detects the cartridge assembly to cease loading.

88. The apparatus of claim 87, wherein the loading drive subassembly further comprises a connection to a pinion gear assembly, wherein the pinion gear assembly engages a rack on an outer cartridge of the cartridge assembly upon loading the cartridge

assembly into the applicator, pulling the cartridge assembly into the cartridge assembly receiver.

89. The apparatus of claim 50, wherein the cartridge assembly further comprises a splay shield that comprises a rib and an edge that engage with the skin of the subject and place the apparatus into a tension perpendicular to a direction of needle deployment for administration of the therapeutic agent to the tissue site.

90. The apparatus of claim 50, further comprising a force interlock, wherein the force interlock senses a force applied against the subject and prevents administration of the therapeutic agent to the subject when insufficient force is applied.

91. The apparatus of claim 50, wherein the force interlock further forms an electrical lock within the applicator.

92. The apparatus of claim 50, wherein the force interlock further comprises at least one cartridge force sensor contact.