13/03/03
200 3012341

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau

(43) International Publication Date
13 February 2003 (13.02.2003)

PCT

(10) International Publication Number
WO 2003/012588 A3

(51) International Patent Classification[7]: G06F 17/60

(21) International Application Number: PCT/US2002/024021

(22) International Filing Date: 30 July 2002 (30.07.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/308,618 30 July 2001 (30.07.2001) US

(71) Applicant *(for all designated States except US)*: **ELECTRONIC BROKING SERVICES LIMITED** [GB/GB]; 55-56 Lincolns Inn Fields, London WC2A 3LJ (GB).

(72) Inventors; and

(75) Inventors/Applicants *(for US only)*: **AJITSARIA, Rajiv** [US/US]; 41 Gordon Circle, Parsippany, NJ 07054 (US). **KIRILL, Dunayev** [US/US]; 5 Bongart Drive, West Orange, NJ 07052 (US). **FORAY, Andrew, P.** [US/US]; 21 New Street, Wayne, NJ 07470 (US). **HORSFALL, Peter, Richard** [GB/US]; 30 Wetmore Avenue, Morristown, NJ 07960 (US).

(74) Agent: **WEISBURD, Steven, I.**; Dickstein Shapiro Morin & Oshinsky, LLP, 2101 L Street NW, Washington, DC 20037-1526 (US).

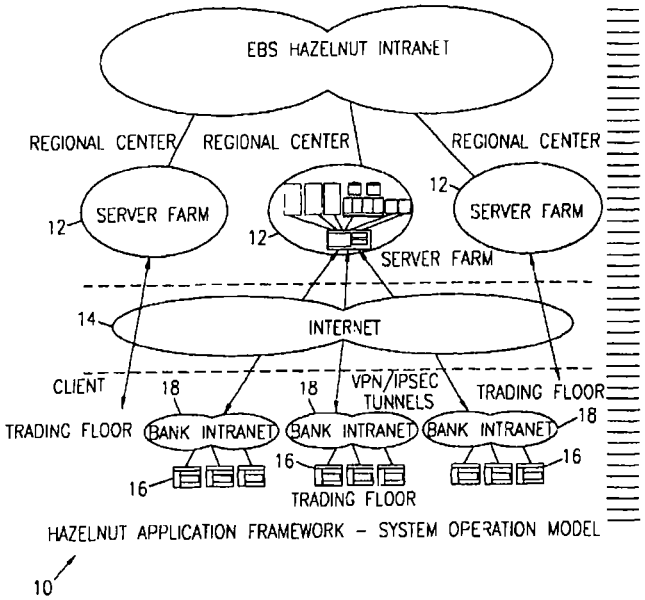
(81) Designated States *(national)*: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN. MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States *(regional)*: ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:
— *with international search report*

[Continued on next page]

(54) Title: CONVERSATIONAL DEALING SYSTEM



162162

ACTION

(57) Abstract: A conversational trading system (10) allows a plurality of instruments, for example financial instruments such as foreign exchange products, to be traded from a single user interface. The interface (20) includes a deal stack comprising a deal list, a deal detail panel and a button bar. The deal list displays deal related information such as status, party, instrument and an instrument and status related string in a form common to all instruments. Whenever a new quote request is detected, a new conversation is started. This may be with the same party as an existing conversation. The conversation is parsed line by line and the user can edit it before it is sent to the counterparty. The parser (24) does not store any record of the deal and, along with the interface, may be downloaded as an Applet.

WO 2003/012588 A3

(88) Date of publication of the international search report:
25 March 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.