

MODULAR FILTRATION SYSTEM

RELATED APPLICATIONS

- [0001] This application claims the benefit of United States Provisional Patent Application No. 61/542,645, entitled "Modular Filtration System," filed October 3, 2011 and United States Provisional Patent Application No. 61/613,886, entitled "Modular Filtration System," filed March 21, 2012, both of which are hereby fully incorporated by reference herein.

TECHNICAL FIELD

- [0002] This disclosure relates to filtration systems. More particularly, embodiments described herein relate to modular filtration systems. Even more particularly, embodiments described herein relate to modular filtration systems for filtering semiconductor manufacturing fluids.

BACKGROUND

- [0003] Semiconductor manufacturing processes are highly sensitive to contamination because depositing even a tiny particle on a semiconductor wafer can lead to defects. Therefore, it is common to employ ultraclean filters having membranes that remove submicron particles. Although different filtration modules have been developed to filter semiconductor manufacturing liquids, many existing filter modules are cylindrical, having a housing with a one-piece cylindrical bowl or sleeve in which the filter membrane resides. Typically, these filters contain a circular pleated filter membrane that encircles a hollow core. Fluid enters the filter module from the top, flowing between the filter membrane and the housing, passes through the filter membrane to the hollow core and exits the module out the top.
- [0004] Existing cylindrical filter modules suffer several shortcomings. One deficiency is that the circular pleated membrane unduly restricts fluid flow because the membrane must be more tightly packed towards the center in order to achieve the circular configuration. Another deficiency is that the cylindrical housings are molded or extruded as single pieces, making it difficult or impossible to add geometries that run perpendicular to the cylinder's axis. Furthermore, many existing filter modules are difficult to install and replace. Since many liquids used in semiconductor manufacturing are corrosive or toxic, filter module replacement presents a danger to workers.

- [0005] Current filtration systems that employ cylindrical filters have limited configurability. In many cases, filters are connected through multiple units in a fixed configuration, making it difficult to change the flow through the filters from serial to parallel as needed. Additionally, many filtration systems use only a single type of filter, limiting the ability to apply different filters for different purposes or particle sizes in a single filtration system.

SUMMARY

- [0006] This disclosure relates to filtration systems and filters. Particular embodiments provide filtration systems and filters for filtering semiconductor manufacturing liquids.
- [0007] According to one embodiment a filtration system for filtration of liquids can comprise a non-cylindrical removable filter cassette having a filter inlet port and a filter outlet port, a filter cassette holder configured to receive and retain the filter cassette, and a main assembly. The main assembly can further comprise a filter inlet interface port configured to receive the filter inlet port, and a filter outlet interface port configured to receive the filter outlet port and can define flow paths to direct flow to and from the filter cassette. The filter cassette holder may be movably coupled to the main assembly such that the filter cassette is movable relative to the main assembly from an unmated position to a mated position.
- [0008] The filtration system may further include a set of filter cassette receiving area sidewalls at least partially defining a filter cassette receiving area open at a first end to receive the filter cassette. The receiving area sidewalls may be spaced to abut and support the filter cassette sidewalls. Consequently, less material is required to manufacture the disposable filters. The filter cassette receiving area and filter cassette may be keyed such that the filter cassette has a proper orientation relative to the cassette receiving area. According to one embodiment, the cassette holder further comprises a sheath defining the filter cassette receiving area. The sheath may overlap a majority of the filter cassette and allow insertion of the filter cassette into the sheath through linear motion. The sheath can be rotatably coupled to the main assembly such that the filter cassette is rotatable from the unmated position to the mated position. In the unmated position, the filter cassette can be tilted downward away from the filter inlet port. According to another embodiment, the filter cassette receiving area may be defined by a filter bay assembly. A filter cassette holder disposed in the filter bay may be coupled to an elevator and may move the filter cassette from a mated to an unmated position through linear vertical motion.
- [0009] According to one embodiment, the main assembly further comprises a base portion extending below the filter cassette holder, the base portion coupled to the filter inlet interface

port. The main assembly may further include a support arm overhanging the filter cassette holder, the support arm coupled to the filter outlet interface port. The main assembly may further comprise a guide member defining a first track and a second track. The sheath may comprise a sheath guide pin disposed in the first track and the support arm may comprise a support arm guide pin disposed in the second track. The first and second track may be shaped so that rotation of the guide member from a first guide member position to a second guide member position causes the filter inlet port to mate with the filter inlet interface port before the filter outlet interface port mates with the filter outlet port.

- [0010] Another embodiment may include a filtration system for filtration of liquids, comprising a set of non-cylindrical removable filter cassettes having a filter inlet port and a filter outlet port, a set of filter cassette holders configured to receive and retain the set of filter cassettes in a set of filter cassette receiving areas and a main assembly further comprising filter inlet interface ports configured to receive the filter inlet ports and filter outlet interface ports. The main assembly may define flow paths to direct flow to and from the filter cassettes. Each filter cassette holder may be movably coupled to the main assembly such that a corresponding filter cassette is independently movable relative to the main assembly from an unmated position to a mated position. According to one embodiment, the filter cassette holders may be arranged in a row.
- [0011] Thus, for example, one embodiment of a filtration system for filtration of liquids can comprise a first non-cylindrical removable filter cassette having a filter inlet port and a filter outlet port and a second non-cylindrical removable filter cassette having a filter inlet port and a filter outlet port. The filtration system may further include a first filter cassette holder configured to receive and retain the first filter cassette and a second filter cassette holder configured to receive and retain the second filter cassette. The filtration system may further include a main assembly. The main assembly can further comprise filter inlet interface ports configured to receive the filter inlet ports, and filter outlet interface ports configured to receive the filter outlet ports. The main assembly can define flow paths to direct flow to and from the first filter cassette and second filter cassette. According to one embodiment the first filter cassette holder may be movably coupled to the main assembly such that the first filter cassette is movable relative to the main assembly from an unmated position to a mated position. The second filter cassette holder may be movably coupled to the main assembly such that the second filter cassette is movable relative to the main assembly from an unmated position to a mated position. The first and second cassette holders may move together or independently.

- [0012] Each filter cassette holder further may comprise a sheath defining a corresponding filter cassette receiving area. Thus, for example, the first filter cassette holder may be a first sheath defining a first filter cassette receiving area and the second filter cassette holder may be a second sheath defining a second filter cassette receiving area. According to one embodiment, each sheath may be rotatably coupled to the main assembly such that the corresponding filter cassette is rotatable from the unmated position the mated position. When the corresponding filter cassette is in the unmated position, the corresponding filter cassette may be tilted downward away from the filter inlet port. In another embodiment, a set of filter bays may define the filter cassette receiving areas (for example, a first filter bay assembly may define the first filter cassette receiving area and a second filter bay assembly may define the second filter cassette receiving area). The filter cassette holders may be coupled to elevators that impart linear vertical motion to the filter cassettes holders to move the corresponding cassettes from an unmated position to a mated position (e.g., a first elevator may move the first filter cassette holder and a second elevator may move the second filter cassette holder or a single elevator may move both the first and second filter cassette holders).
- [0013] The main assembly may further comprise a base portion below the set of filter cassette holders, the base portion coupled to the filter inlet interface ports. The main assembly may further comprise a set of support arms overhanging the set of filter cassette holders. The set of support arms may be coupled to the filter outlet interface ports. For example, a first support arm may overhang the first filter cassette holder and a second support arm may overhang a second filter cassette holder.
- [0014] The main assembly may further comprise a set of guide members, each guide member defining a first track and a second track. Each sheath can comprise a sheath guide pin disposed in the first track of a corresponding guide member and each support arm can comprise a support arm guide pin disposed in the second track of a corresponding guide member. In one embodiment, a first guide member may define a first guide member inner track and a first guide member outer track and a second guide member may define a second guide member inner track and second guide member outer track. A first sheath may comprise a first sheath guide pin disposed in the first guide member inner track, the first support arm may comprise a first support arm guide pin disposed in the first guide member outer track, a second sheath may comprise a second sheath guide pin disposed in a second guide member inner track and a second support arm may comprise a second support arm guide pin disposed in a second guide member outer track. The first and second track can be shaped so that rotation of the guide member from a first guide member position to a second

guide member position causes a corresponding sheath to rotate such that the filter inlet port of the corresponding filter cassette mates with a corresponding filter inlet interface port before the filter outlet interface port mates of said corresponding filter cassette mates with a corresponding filter outlet port.

- [0015] The set of filter cassettes may be connected in series or in parallel. For example, a first and second filter cassette may be connected in series or parallel. The main assembly may comprise a set of reconfigurable flow paths so that the manner in which the filter cassettes are connected can change.
- [0016] Another embodiment of a filtration system can comprise a set of walls defining a filter bay with straight sidewalls, the filter bay open at a first end to receive a non-cylindrical filter cassette. The sidewalls may be spaced to abut filter cassette sidewalls when the filter cassette is received in the bay. The filtration system may further comprise a manifold comprising a filter inlet interface port and a filter outlet interface port positioned over the bay. The filtration system may still further comprise a filter cassette holder configured to receive and retain the non-cylindrical filter cassette. An elevator may be coupled to the filter cassette holder operable to impart linear vertical motion to the filter cassette holder to move the filter cassette from an unmated to a mated position.
- [0017] Another embodiment of a filtration system can include a main assembly having a first portion port extending below a filter area, the first portion comprising a filter inlet interface port and a second portion spaced from the first portion and extending above the filter area, the second portion comprising a filter outlet interface port and rotatable relative to the first portion about a first axis of rotation. The filtration system may further comprise a sheath disposed in the filter area, the sheath open at a first end to receive a non-cylindrical filter cassette having a filter inlet port and a filter outlet port, the sheath comprising a set of sidewalls spaced to abut sidewalls of the filter cassette when the filter cassette is received in the sheath. The sheath may be rotatable relative to the first portion of the main assembly and the second portion of the main assembly. The sheath can be rotatable to rotate the filter cassette received in the sheath from a first position corresponding to a position in which the filter inlet port is not mated with the filter inlet interface port to a second position in which the filter inlet port is mated with the filter inlet interface port. Moreover, the second portion of the main assembly can be rotatable from a first position corresponding to a position in which the outlet interface port is not mated with a filter outlet port to a second position corresponding to a position in which the filter outlet interface port is mated with the filter outlet port.

- [0018] According to one embodiment, a filter assembly may include a rectangular filter element without a filter cage or core (non-cylindrical). The filter element may include a relatively flimsy membrane constrained directly by the main shell. A membrane cover may hold the membrane in place. The four sides of a filter housing may be bonded or otherwise coupled together to fully encapsulate the filter element.
- [0019] A filter cassette can comprise a filter housing having a filter inlet defining an inlet flow path and a filter outlet spaced from the filter inlet defining an outlet flow path. The filter housing may define a filter cavity comprising an upstream portion of the filter cavity in fluid communication with the inlet and a downstream portion of the filter cavity in fluid communication with the outlet. The filter element may further comprise a filter element disposed in the filter cavity. The filter element may at least partially overlap the filter inlet on a first side of the filter element. The filter element may separate the upstream portion of the filter cavity from the downstream portion of the filter cavity by a potting material. The filter element may comprise a rectangular pleated filter with a set of pleats having a first set of pleat tips on an upstream side of the filter element and a second set of pleat tips on a downstream side of the pleated filter. The first set of pleat tips form a generally planer rectangular entrance interface with the upstream portion of the filter cavity. The second set of pleat tips may form a generally planer rectangular exit interface with the downstream portion of the filter cavity. The filter element may be disposed in a shifted parallelogram filter holding area.
- [0020] The filter cassette may include a flow guide disposed between the inlet and the filter element, the flow guide configured to direct flow from the inlet to an upstream sidewall. The filter cassette may further include a filter cover at least partially defining the filter element holding area. A first membrane flap and a second membrane flap of the filter element may be retained between the filter cover and the filter housing. Potting may seal a first end of the filter element and second end of the filter element.
- [0021] The filter cavity may be at least partially defined by an upstream sidewall, a downstream sidewall spaced from the upstream sidewall, a third wall running from the upstream sidewall to the downstream sidewall, and a fourth wall running from the upstream sidewall to the downstream sidewall and spaced from the third wall. The filter element can be shifted toward the upstream sidewall such that a distance between the filter element and the upstream sidewall decreases in a direction from the third wall to the fourth wall. The filter inlet may interface with the filter cavity at the third wall and the outlet may interface with the filter cavity at the fourth wall. The filter cavity may be further defined by a fifth wall and a

sixth wall. The inlet can interface with the filter cavity at the fifth wall and the outlet can interface with the filter cavity at the sixth wall.

- [0022] The upstream portion of the filter cavity may be shaped such that the upstream portion of the filter cavity has a decreasing hydraulic diameter away from the filter inlet and the downstream portion of the filter cavity is shaped to have an increasing hydraulic diameter toward the outlet.
- [0023] Another embodiment may include a filter housing having a filter inlet defining a vertical inlet flow path and a filter outlet spaced from the filter inlet, the filter outlet defining a vertical outlet flow path. The filter housing may comprise an upstream sidewall and a downstream sidewall and define a filter cavity having an upstream portion in fluid communication with the filter inlet and a downstream portion in fluid communication with the outlet. A filter element may be disposed in the filter cavity. The filter element may be potted and separate the upstream portion of the filter cavity from the downstream portion of the filter. The filter element may comprise a rectangular pleated filter with a set of pleats having a first set of pleat tips on an upstream side of the filter element and a second set of pleat tips on a downstream side of the filter element. The pleated filter disposed in a shifted parallelogram filter holding area. One embodiment can further include a flow guide to direct flow from the inlet to the upstream sidewall. The pleated filter itself may act as the flow guide or the flow guide may be disposed between the filter element and the inlet.
- [0024] Yet another embodiment may comprise a method for filtering a liquid. The method may include receiving liquid into an upstream portion of a filter cavity through an inlet defining an inlet flow path in a first direction. The method may further include redirecting the liquid in a second direction to an upstream sidewall on an upstream side of a filter element using a flow guide. The method may further include wetting a filter element that comprises a pleated filter with a set of pleats having a first set of pleat tips on an upstream side of the filter element and a second set of pleat tips on a downstream side of the filter element. The fluid can be directed through the filter element to a downstream portion of the filter cavity to provide normal flow filtration and to an outlet fluidly coupled to the upstream portion of the filter cavity.
- [0025] Another embodiment can include a filter housing having an inlet defining a vertical inlet flow path and an outlet spaced from the inlet defining a vertical outlet flow path. The filter housing can comprise an upstream sidewall and a downstream sidewall and can define a filter cavity having an upstream portion in fluid communication with the inlet and a downstream portion in fluid communication with the outlet. The upstream portion of the filter

cavity comprising a first portion adjacent to the upstream sidewall and a second portion fluidically coupling the inlet to the first portion of the upstream portion. A filter element may be disposed in the filter cavity at least partially overlapping the inlet on a first side of the filter element. The filter element may separate the upstream portion of the filter cavity from the downstream portion of the filter cavity. The filter element further comprising a pleated filter with a set of pleats having a first set of pleat tips on an upstream side of the filter element and a second set of pleat tips on a downstream side of the filter element, wherein the first set of pleat tips form a generally planer rectangular entrance interface with the upstream portion of the filter cavity.

- [0026] In many current filtration systems, a single filter is responsible for filtering an entire fluid stream. Consequently, the filter used is selected based on the finest particle size to be filtered. This means that a fine granularity, typically expensive, filter is used to filter both large and small particles, causing undue wear. Embodiments of filtration systems described herein allow for filtering in series, providing an advantage over traditional filtering systems in which a single filter is used. Prior to the last filter, other, potentially cheaper, filters can be used to pre-filter out larger particles. This may result in reduced deterioration or extending the capacity of the final filter.
- [0027] As another advantage, many manufacturers use filtering systems distributed throughout a manufacturing system (e.g., at point of entry, in the subfloor, at the manufacturing tool). A filtration system that allows for filtering in series in a single filtration unit can do away with the need for multiple separate filtration systems and allow all the filtering to take place at a single point in the manufacturing system.
- [0028] Embodiments described herein can reduce or eliminate problems associated round filters by utilizing a rectangular pleat pack. For rectangular pleat packs, the pleat pack density remains uniform through the device, maximizing the effectiveness of the filtration area. For devices with the same filtration area, a rectangular pleat pack will perform as if it has more filtration area than a round pleated membrane.
- [0029] As another advantage, a rectangular shaped pleat pack generally allows for taller pleats than that of a round filter cartridge. Therefore, the a rectangular pleat pack can better fill a volume and provide a more uniform shape than a round pleat pack, thereby reducing the envelope dimensions for a rectangular pleat pack device.
- [0030] As another advantage, one embodiment described herein provides a housing that is split along the length of the device (running in the same direction as the pleats), allowing for

geometry, such as ribs (with flow spaces), to be created perpendicular to the pleat direction. This provides the pleat pack support directly in the shell/housing, eliminating the need to have a separate cage to support the outside geometry of the pleat pack.

BRIEF DESCRIPTION OF THE DRAWINGS

- [0031] The drawings accompanying and forming part of this specification are included to depict certain aspects of the disclosure. It should be noted that the features illustrated in the drawings are not necessarily drawn to scale. A more complete understanding of the disclosure and the advantages thereof may be acquired by referring to the following description, taken in conjunction with the accompanying drawings in which like reference numbers indicate like features and wherein:
- [0032] FIGURE 1 is a diagrammatic representation of one embodiment of a filter assembly;
- [0033] FIGURES 2A-2C are diagrammatic representations of one embodiment of a filter assembly arranged as a filter cassette;
- [0034] FIGURE 3 is an exploded view of one embodiment of a filter assembly;
- [0035] FIGURES 4A-C are diagrammatic representations of one embodiment of a main shell housing portion;
- [0036] FIGURE 5A-B are diagrammatic representations of one embodiment of a second housing portion;
- [0037] FIGURE 6 is a diagrammatic representation of one embodiment of a filter cover;
- [0038] FIGURE 7 is a diagrammatic representation of a cross section of one embodiment of a filter cassette;
- [0039] FIGURE 8 is a diagrammatic representation of one embodiment of coupling a filter cover to a main shell;
- [0040] FIGURE 9 is a diagrammatic representation of a view of one embodiment of a filter cassette;
- [0041] FIGURE 10 is a diagrammatic representation of the hydraulic profiles of one embodiment of a filter cassette;
- [0042] FIGURE 11 is a diagrammatic representation of another embodiment of a filter cassette;

- [0043] FIGURE 12 is a diagrammatic representation of one embodiment of a filter cassette with potting;
- [0044] FIGURE 13 is a diagrammatic representation of another embodiment of a filter assembly;
- [0045] FIGURE 14 is a diagrammatic representation of yet another embodiment of a filter assembly;
- [0046] FIGURE 15 is a diagrammatic representation of one embodiment of a filter cassette;
- [0047] FIGURES 16A-16C are a diagrammatic representations of additional views of one embodiment of a filter cassette;
- [0048] FIGURE 17 is a diagrammatic representation of one embodiment of a housing of a filter cassette;
- [0049] FIGURE 18 is a diagrammatic representation of portions of a filter housing;
- [0050] FIGURE 19 is a diagrammatic representation of one embodiment of a filter element holder;
- [0051] FIGURE 20 is a diagrammatic representation of another embodiment of a filter cassette;
- [0052] FIGURE 21 is a diagrammatic representation of another embodiment of a filter cassette;
- [0053] FIGURE 22 is a diagrammatic representation of another embodiment of a filter cassette;
- [0054] FIGURE 23 is a diagrammatic representation of another embodiment of a filter cassette;
- [0055] FIGURE 24 is a diagrammatic representation of one embodiment of a filtration system for receiving a filter cassette;
- [0056] FIGURE 25 is a diagrammatic representation of another embodiment of an assembly for receiving a filter;
- [0057] FIGURE 26 is a diagrammatic representation of one embodiment of a sheath for receiving a filter cassette;
- [0058] FIGURE 27 is a diagrammatic representation of one embodiment of a filtration system that accommodates multiple filters;
- [0059] FIGURE 28 is a diagrammatic representation of one embodiment of a filtration system that accommodates multiple filters, configured for parallel filtration;

- [0060] FIGURE 29 is a diagrammatic representation of one embodiment of a filtration system that accommodates multiple filters, configured for serial filtration;
- [0061] FIGURE 30 is a diagrammatic representation of another embodiment of a filtration system that accommodates multiple filters;
- [0062] FIGURES 31A-B are diagrammatic representations of one embodiment of a port block;
- [0063] FIGURE 32 is a diagrammatic representation of one embodiment of filtration system that accommodates multiple filters;
- [0064] FIGURE 33 is a diagrammatic representation of one embodiment of filtration system that accommodates multiple filters, configured for parallel filtration;
- [0065] FIGURE 34 is a diagrammatic representation of one embodiment of filtration system that accommodates multiple filters, configured for serial filtration;
- [0066] FIGURE 35 is a diagrammatic representation of one embodiment of filtration system that accommodates multiple filters, configured for a combination of parallel and serial filtration;
- [0067] FIGURE 36 is a diagrammatic representation of one embodiment of filtration system illustrating vent paths;
- [0068] FIGURE 37 is a diagrammatic representation of another embodiment of a filtration system that accommodates multiple filters;
- [0069] FIGURES 38A-B are diagrammatic representations of a cassette moving from an unmated to a mated position;
- [0070] FIGURE 39 is a diagrammatic representation of one embodiment of a filter bay assembly;
- [0071] FIGURE 40 is a diagrammatic representation of an embodiment of a filter bay assembly in which a filter cassette holder moves from a first to a second position;
- [0072] FIGURE 41 is a diagrammatic representation of one embodiment of a portion of a main assembly;
- [0073] FIGURE 42 is a diagrammatic representation of one embodiment of a portion of a main assembly with a second manifold installed;
- [0074] FIGURE 43 is a diagrammatic representation of another embodiment of a main assembly;

- [0075] FIGURE 44 is a diagrammatic representation of one embodiment of installing filter bay assemblies in a housing;
- [0076] FIGURE 45 is a diagrammatic representation of one embodiment of installing a second manifold;
- [0077] FIGURES 46A-C are diagrammatic representations of one embodiment of a manifold configured for serial flow;
- [0078] FIGURE 47A-B are diagrammatic representations of one embodiment of a manifold configured for parallel flow;
- [0079] FIGURES 48A-B are diagrammatic representation of another embodiment of a manifold;
- [0080] FIGURE 49 is a diagrammatic representation of one embodiment of a dummy cassette;

DETAILED DESCRIPTION

- [0081] Filters and filtration systems and the various features and advantageous details thereof are explained more fully with reference to the nonlimiting embodiments that are illustrated in the accompanying drawings and detailed in the following description. Descriptions of well-known starting materials, processing techniques, components and equipment are omitted so as not to unnecessarily obscure the invention in detail. It should be understood, however, that the detailed description and the specific examples, while indicating preferred embodiments, are given by way of illustration only and not by way of limitation. Various substitutions, modifications, additions and/or rearrangements within the spirit and/or scope of the underlying concept will become apparent to those skilled in the art from this disclosure.
- [0082] Embodiments described herein relate to filtration systems for the filtration of liquids. In particular, embodiments described herein provide filtration systems for filtering semiconductor process fluids including chemicals used in wet etch and cleaning applications. For example, embodiments can be applied to filtering chemicals in photolithographic processing (photochemicals). Photochemicals include materials such as primers, adhesion promoters, photoresists, edge bead removers, anti-reflective coatings, developers, dielectrics, and the like. The chemicals can be filtered at the point of use (POU), the subfloor or elsewhere.
- [0083] According to one embodiment, a filtration system can use one or more non-cylindrical removable filter cassettes having inlet ports, outlet ports and vent ports as needed. A main

assembly provides fluid flow paths for fluid feed into and out of the filter cassette. The fluid flow paths of the filtration system can be reconfigurable so that fluid can be provided to the filter cassettes in different manners (e.g., in parallel, in serial or a combination thereof). The filter cassettes may be installed and removed in manner that reduces or eliminates dripping of chemicals using vertical motion or a tip/tilt mechanism.

[0084] According to one embodiment, the filter may engage with the main assembly using O-ring-less fittings and fitting assemblies as described in United States Patent No. 7,547,049 entitled "O-Ring-Less Low Profile Fittings and Fitting Assemblies" by Gashgaei et al., issued June 16, 2009, which is hereby fully incorporated by reference herein, or other connection mechanism. In other embodiments, the filters may use a connection mechanism such that ports can connect through rotation such as described in United States Patent No. 6,378,907, entitled "Connector Apparatus and System Including Connector Apparatus" issued April 30, 2002, United States Patent No. 7,021,667, entitled "Connector Apparatus and System Including Connector Apparatus" issued April 4, 2006, United States Patent No. 7,296,582, entitled "Method and System for Purging a Dispensed Fluid Within a Fluid Dispensing System Including a Filter-Free Connector Apparatus," issued November 20, 2007, United States Patent No. 7,350,821, entitled "Method and System for Purging a Dispensed Fluid with a Fluid Dispensing System Including A Filter-Free Connector Apparatus," issued April 1, 2008, United States Patent No. 7,037,424 entitled "Connector Apparatus and System Including Connector Apparatus," issued May 2, 2006, each of which is hereby fully incorporated by reference herein.

[0085] The filter cassettes may be configured to provide normal flow filtration (NFF), in which fluid is convected directly toward the filter membrane under applied pressure. Particles that are too large to pass through the pores of the membrane accumulate at the membrane surface or in the depth of the filtration media, while small molecules pass through membrane pores to the downstream side. According to one embodiment, the filter cassettes can be selected to remove micron and/or submicron particles (e.g., including particles of 100nm or less). Multiple filters may be applied in series in a single filtration system unit to successively remove smaller particles or different types of particles. The finest filter can be placed last in series so that larger particles are filtered out prior to reaching the last filter. This can reduce wear on the finest, typically most expensive, filter.

[0086] In one embodiment, the filter cassettes use non-circular pleated filter elements. For example, one embodiment may include a pleated filter with a first set of pleat tips facing the upstream portion of the filter cavity and a second set of pleat tips facing the downstream

portion of the filter cavity. The first set of pleat tips may be generally arranged in a first plane and the second set of pleat tips may be generally arranged in a second plane. According to one embodiment the filter element may provide a generally planer rectangular entrance interface and a generally planer rectangular exit interface. The use of rectangular pleat packs can provide significant additional filtration in same footprint as traditional semiconductor liquid filters.

- [0087] Figure 1 is a diagrammatic representation of one embodiment of a filter assembly 100 having a filter housing 105 defining a filter cavity 110 divided into an upstream portion 115 and downstream portion 120 by a filter element 125 disposed in the filter cavity 110. Filter element 125 can be any suitable filter media including, but not limited to pleated filters, depth filters, hollow fiber membranes, or other filters. In one embodiment, filter element 125 comprises a rectangular pleat pack. The pleats of the filter can be formed from a single membrane or multiple membranes formed from the same or different materials. Additionally, polymeric netting materials and other materials may be pleated with the membrane.
- [0088] A filter inlet port 130 is located upstream of filter element 125 and a filter outlet port 135 is located downstream of filter element 125 (from a fluid flow perspective running through the filter). Filter vent ports (e.g., filter vent port 140) can be located upstream and/or downstream of filter element 125. According to one embodiment, filter inlet port 130 defines an upwardly vertical inlet flow path, filter outlet port 135 defines an upwardly vertical outlet flow path and filter vent port 140 defines an upwardly vertical vent flow path. Preferably, filter vent port 140 is open to the upstream portion 115 of the filter cavity at the highest point possible so that any gas in upstream portion 115 naturally rises to filter vent port 140. Similarly, an outlet vent port can be provided that preferably is open to the downstream portion 120 of the filter cavity at the highest point possible so that any gas in downstream portion 120 naturally rises to vent port 140. If a downstream vent port is not provided, it may be preferable to have the outlet port at the highest possible location so that all air on the outlet side is purged at startup and does not reside or collect in the filter. Vent ports can be fluidly coupled to valves.
- [0089] The vent ports (or corresponding ports in the manifold) may be pressure actuated with an actuation pressure that is greater than the driving pressure of the fluid. Manual valves can also be used. For example, the filter or manifold can include valves, such as poppet valves or other valves so that gas is only vented when it exceeds a particular pressure (e.g., 5psi above the expected operating pressure of filter assembly 100) or volume. The ports can

comprise Connectology connections from Entegris, Inc. of Billerica, MA, Swagelok fittings or other connection capable of fluidic sealing.

- [0090] To efficiently place ports in a footprint, a pleated area of filter element 125 may overlap filter inlet port 130, filter outlet port 135 and/or filter vent port(s) 140. However, if the flow of fluid entering the filter assembly 100 is sufficient, fluid flow directly impacting filter element 125 may damage it. Accordingly, a flow guide 150 (e.g., a baffle, tubing or other flow directing structure) disposed between filter element 125 and the inlet can obstruct flow along the axis of the inlet and redirect flow toward upstream sidewall 155. While flow guide 150 is illustrated as extending generally perpendicular to the axis of filter inlet port 130, flow guide 150 may be arranged at other angles. In other embodiments, the flow may be low enough or filter element 125 durable enough to direct flow to upstream sidewall 155 without flow guide 150.
- [0091] The interior surfaces of filter cavity 110 can be formed of a material that is more phobic or philic relative to the material of filter element 125. In one embodiment, filter housing 105 can be formed of a material such that sidewall 155 is more philic to gas than filter element 125—or, put another way, filter element 125 can be selected to be more phobic to gas than the housing material—to promote affinity of gas to sidewall 155. By way of example, but not limitation, the difference in surface energy between the housing material and filter element 125 can be greater than 10 dynes/cm². Thus, there may be a surface energy gradient between filter element 125 and sidewall 155. Consequently, as fluid washes over sidewall 155 and fills upstream portion 115, gas in the fluid will be attracted to sidewall 155. The gas is therefore more likely to rise to filter vent port 140 rather than pass through filter element 125. A similar phenomenon can occur in downstream portion 120.
- [0092] In operation, filter assembly 100 provides normal flow filtration (NFF). Fluid enters filter inlet port 130 in a generally upward direction and is directed to a upstream sidewall 155 of the filter cavity. As pressure increases in upstream portion 115, the fluid flows through filter element 125 to downstream portion 120. The primary flow path of fluid through filter element 125, in one embodiment, can be generally perpendicular to the flow path through filter inlet port 130. Filter cavity 110 can be configured to promote uniform or near uniform flow throughout the device to fully sweep the filter (e.g., to minimize or eliminate dead space) and minimize the pressure loss effects.
- [0093] According to one embodiment, filter cavity 110 can be shaped to maintain the ratio of fluid volume to hydraulic diameter (hydraulic diameter is a term known in the art when addressing flow in noncircular tubes and channels and is defined by $D_H=4A/P$, where A is cross-

sectional area and P is wetted perimeter of the cross section) in upstream portion 115 and/or downstream portion 120. In another embodiment, the ratio is not maintained, but hydraulic diameter does increase for areas of increased fluid volume. In other embodiments, the hydraulic diameter of upstream portion 115 and/or downstream portion 120 remains constant. Preferably, the hydraulic diameter of upstream portion 115 proximate to filter inlet port 130 is greater than or equal to the hydraulic diameter of filter inlet port 130.

Furthermore, upstream portion 115 is preferably shaped so that the hydraulic diameter of upstream portion 115 decreases away from filter inlet port 130. Similarly, it is preferable that the hydraulic diameter of downstream portion 120 gradually increases to be equal or greater than to the hydraulic diameter of filter outlet port 135. In general, the filter housing can be designed so that the filter housing is not a restricting factor such that fluid flows through the filter with less loss than through the fittings.

[0094] Figures 2A-2C are diagrammatic representations one embodiment of a filter assembly arranged as a non-cylindrical filter cassette. For purposes of explanation, the filter cassette has a first end 165 (referred to as the "front"), a second end (referred to as the "back") 170, a top 175, a bottom 180, a first side 185 and a second side 190. In the embodiment illustrated, the long axis of the filter assembly is a horizontal axis running from first end 165 to second end 170.

[0095] Filter housing 105 may be comprised of multiple housing portions. In Figures 2A-2C, for example, housing 105 is formed by a main shell 195, a side cover 200, front end cover 210 and back end cover 215. The various portions of filter housing 105 may be joined by sonic bonding, welding, adhesive, mechanical fasteners or according to any suitable coupling scheme. According to one embodiment, a main bonding seam 220 of the filter housing runs from the front to the back of filter housing 105 along the long axis.

[0096] Figures 2A-C further illustrate filter inlet port 130 on the bottom of the housing 105, filter outlet port 135 on top of housing 105 and filter vent port 140 on the top of filter housing 105 toward the front end 165. According to one embodiment, the various ports can be located as far to the front of the filter cassette as possible while still allowing for bonding between the components. In other embodiments the ports may be otherwise arranged (e.g., with one or more ports near the center or back end of the filter cassette). The centerlines of the openings for ports 130, and 135 can be located at the widest portion of the cassette in one embodiment. From a fluid flow perspective within the cassette, opening for filter inlet port 130 can be located at the lowest point of the filter cavity and the openings for ports 135 and 140 can be located at the highest point of the filter cavity.

- [0097] With reference to Figures 2B-2C, it is assumed that the shape of the interior cavity roughly follows the shape of the exterior of the filter cassette. The shape of the cassette can be selected so that the width and/or height of the upstream and downstream portions of the interior cavity decrease away from the respective ports. A section of the upstream portion of the interior cavity to the front of filter inlet port 130 (the "front section" of the upstream portion (indicated at 225)) may decrease in height and/or width because sidewall 155 tapers inward and/or the top wall tapers downward and/or the bottom wall tapers upward from back to front in section 225. A section of the upstream portion of the interior cavity to the back of the inlet port (the "back section" of the upstream portion (indicated at 230)) may also decrease in height and/or width away from filter inlet port 130 because sidewall 155 tapers inward and/or the top wall tapers downward and/or the bottom wall tapers upward from front to back in back section 230. Consequently, the hydraulic diameter of the upstream portion of the filter cavity decreases away from filter inlet port 130. Similarly, a section of the downstream portion of the interior cavity to the front of the outlet port (the "front section" of the downstream portion (indicated at 240)) may decrease in height and/or width because downstream sidewall 235 tapers inward and/or the top wall tapers downward and/or the bottom wall tapers upward from filter outlet port 135 to the front in section 240. A section of the downstream portion of the interior cavity to the back of the inlet port (the "back section" of the downstream portion (indicated at 245)) may also decrease in height and/or width away from filter outlet port 135 because wall 235 tapers inward and/or the top tapers downward and/or the bottom tapers upward from front to back at back section 245. Thus, the hydraulic diameter of the downstream portion of the filter cavity can increase toward filter outlet port 135.
- [0098] Also illustrated in Figure 2B-2C, filter housing 105 may optionally include features to aid in bonding or otherwise assembling the filter housing 105. By way of example, but not limitation, filter housing 105 may include features, such as feature 250 extending from the top surface and feature 255 extending from the bottom surface to provide additional area for capturing the filter cover and filter membrane (discussed below). These features may also aid in alignment and act as guide rails for aligning the cassette in a system that receives the cassette. Other or alternative alignment features may also be provided for inserting the filter assembly into a filtration system.
- [0099] Figure 3 is an exploded view of one embodiment of a filter assembly. Main shell 195, side cover 200, front end cover 210 and rear end cover 215 form a filter housing with an interior cavity in which filter element 125 is disposed. A filter cover 260 is coupled to the housing to retain filter element 125 in place when fluid pressure is applied.

- [00100] Filter element 125, in the embodiment of Figure 3, is a rectangular pleat pack in which the filter membrane is pleated parallel to the long axis of the filter housing. The pleat pack includes a first set of pleat tips 127 that face the upstream portion of the filter cavity and a second set of pleat tips 129 that face the downstream portion of the filter cavity. The first set of pleat tips are generally arranged in an upstream plane and the second set of pleat tips are generally arranged in a downstream plane. The pleat pack forms a generally rectangular interface with the upstream portion of the filter cavity and a generally rectangular interface with the downstream portion of the filter cavity.
- [00101] Figures 4A-4C are diagrammatic representations of one embodiment of main shell 195. Main shell 195 may include a top wall portion 270, a bottom wall portion 275 and a sidewall 155 running from a first end of main shell 195 to a second end of main shell 195. According to one embodiment, top wall portion 270 and bottom wall portion 275 both run the length of sidewall 155. Bottom wall portion 275 extends further than top wall portion 270 as measured along an axis perpendicular to sidewall 155 (e.g., with reference to Figure 2A, bottom wall portion 275 extends further from first side 185 toward second side 190 than top wall portion 270) (though the bottom wall portion 275 and top wall portion 270 may extend at angles other than perpendicular to sidewall 155).
- [00102] Top wall portion 270 and bottom wall portion 275 may slope relative to multiple axes. For example, top wall portion 270 may be angled relative to two axes: downward from filter vent port 140 to the back end of main shell 195 and upward from sidewall 155 to edge 277. Bottom wall portion 275 may slope upward from filter inlet port 130 to the back end such that the height of the main shell 195 is greater at filter vent port 140 than at the back end. Bottom wall portion 275 may also slope downward from sidewall 155 to edge 279.
- [00103] Main shell 195 may include a number of ribs. In the example illustrated, main shell 195 includes end ribs 280₁ and 280₂, bottom ribs 290₁-290_n) ribs, top ribs 295₁-295_n and intermediate ribs 300₁-300_n. Each rib may include one or more of a bottom offset portion, a sidewall offset portion and a top offset portion. Ribs 290, for example, may be generally L shaped with a bottom offset portion 305 extending a first height from bottom wall portion 275 and a sidewall offset portion 310 along sidewall 155 extending a second height greater than the first height. In one embodiment, the bottom offset portion 305 extends across the bottom of the interior cavity from wall 155 a first distance perpendicular (or at another angle) to the length of the interior cavity and the sidewall offset portion 310 extends from sidewall 155 into the interior cavity a distance that is less than that of bottom offset portion 305. Bottom offset portion 305 may act as a membrane standoff from the bottom surface of the interior cavity

and sidewall offset portion 310 may as a pleat standoff from sidewall 155. Sidewall offset portion 310 may be tapered such that the distance sidewall offset portion 310 extends away from sidewall 155 decreases toward the top, thereby reducing the area of the upstream portion of the interior cavity from bottom to top.

- [00104] Top ribs 295 may also be generally L shaped with a top offset portion 315 extending a first depth from top wall portion 270 and a sidewall offset portion 320 proximate to sidewall 155 extending a second depth, greater than the first depth. In one embodiment, top offset portion 315 extends from sidewall 155 across the top of the interior cavity a first distance perpendicular (or at another angle) to the length of the interior cavity and sidewall offset portion 320 extends from sidewall 155 into the interior cavity a distance that is less than that of the top offset portion 315. Top offset portion 315 may act as a membrane standoff from the top surface of the interior cavity and sidewall offset portion 320 may act as a pleat standoff from sidewall 155. Sidewall offset portion 320 may be tapered such that the distance sidewall offset portion 320 extends away from sidewall 155 decreases toward the top.
- [00105] According to one embodiment, the height of bottom ribs 290 is selected so that the upper edges of bottom offset portions 305 are level with each other when the filter cassette is installed. The top ends sidewall offset portions 310 of alternating bottom ribs may also level with each other when the filter cassette is in a fully installed position (e.g., with filter inlet port 130 aligned vertically). For example, the upper edges of a bottom offset portions 305 of ribs 290 lie in a first plane, the upper edges of sidewall offset portions 310 of a first set of alternating bottom ribs 290 lie in a second plane and the upper edges of sidewall offset portions 310 of a second set of alternating bottom ribs 290 lie in a third plane. Thus, when the filter is installed, the tops of the bottom offset portions 305 of each rib 290₁ through 290_n will be at the same fluid level, the tops of the sidewall offset portions 310 of the first set of alternating bottom ribs 290 (e.g., ribs 290₂, 290₄, etc.) will be at the same fluid level as each other and the tops of the sidewall offset portions 310 of a second set of bottom ribs (e.g., ribs 290₃, 290₅, etc.) will be at the same fluid level as each other.
- [00106] Similarly, the lower edges of top offset portions 315 of alternating top ribs 295 can be level with each other and the lower edges of sidewall offset portions 320 of alternating top ribs 295 may also be level with each other when the filter cassette is installed. For example, the lower edge of top offset portions 315 of ribs 295 may lie in a fourth plane, the lower edges of sidewall offset portions 320 of a first set of top ribs 295 may lie in a fifth plane and the lower edges of sidewall offset portions 320 of a second set of alternating top ribs may lie in a sixth

plane. The first, second, third, fourth, fifth and sixth planes can be parallel horizontal planes when the filter cassette is in a full installed, operating position (e.g., with the filter inlet port 130 aligned vertically). In other embodiments the ribs may be otherwise spaced and/or aligned in various planes to provide filter support and housing strength and to minimize flow/pressure loss.

- [00107] Main shell 195 may further comprise a set of intermediate ribs 300₁-300_n aligned with alternating top and bottom ribs and projecting inward from sidewall 155 to provide sidewall offsets. The distance that intermediate ribs 300 project inward may decrease along the rib from bottom to top. Alternating top ribs 295 and bottom ribs 290 may be vertically aligned and intermediate ribs 300. According to one embodiment, the length of an intermediate rib 300 is selected so that the top end of the intermediate rib overlaps sidewall offset portions 320 of adjacent alternating top ribs 295 and the lower end of the intermediate rib overlaps sidewall offset portion 310 of adjacent alternating bottom ribs 290. For example, the top end of rib 300₁ overlaps sidewall offset portions 320 of ribs 295₁ and 295₃ and the lower end of rib 300₁ overlaps the tops of sidewall offset portions 310 of bottom ribs 290₁ and 290₃. In other words, the vertical length of the intermediate rib, in one embodiment, is greater than the vertical distance between the second and fifth planes illustrated in Figure 4B.
- [00108] The spaces between adjacent ribs form flow channels in cooperation with the bottom of the filter element (or flow guide 150 (not shown)). For example, the area between adjacent ribs 290₁ and 290₂ forms flow channel 325₂ open to the filter inlet. When the filter element is in place and fluid is introduced into the filter cavity, the fluid will follow the flow channels. In the example shown, fluid will fill flow channel 325₂ along the bottom of the interior cavity and then follow flow channel 325₂ up sidewall 155 until it overtops rib 290₂, at which point the fluid will flow into adjacent channel 325₃. Fluid will continue to fill channels 325₂ and 325₃ until the fluid overtops ribs 290₁ and 290₃ to begin to fill flow channels 325₁ and 325₄. This process can continue until fluid fills channel 325_n. The rib configuration helps ensure that fluid weaves along sidewall 155 increasing the likelihood that gas bubbles will be attracted to sidewall 155 and flow upwards. Furthermore, the rib configuration helps distribute fluid along the length of sidewall 155 to promote even wetting of the filter element.
- [00109] Figure 4B further illustrates that top portion 270 and bottom portion 275 may taper toward each other away from filter inlet port 130. Figure 4C further illustrates that, main shell 195 can be shaped so that the filter cassette, or at least the upstream portion of the filter cavity, is widest at the centerline of filter vent port 140 and tapers inward toward the front end and/or back end. In the embodiment illustrated, the taper begins immediately at filter inlet

port 130. Thus, the hydraulic diameter of the filter cavity can decrease away from filter inlet port 130, helping reduce or eliminate dead space. Furthermore, filter vent port 140, in the embodiment shown, filter vent port 140 is open to the highest point in the filter cavity. Other embodiments may include un-tapered portions and tapered portions.

[00110] Figure 5A and 5B are diagrammatic representations of one embodiment of side cover 200. Side cover 200 may include joining edges 327 and 329 running the length of side cover 200 that may be joined to edges 277 and 279 of main shell 195 (see Figure 4A) to create a seam parallel to the filter pleat folds. Side cover 200 may include a top wall portion 335, a bottom wall portion 340 and a sidewall 235. Side cover 200 may be shaped so that the widest portion of the cassette, or at least the widest portion of the downstream portion of the interior cavity, is coincident with the centerline of filter outlet port 135. Furthermore, side cover 200 may be shaped so that the area of the front section of the downstream portion of the interior cavity decreases from outlet port forward and/or the area of the back section of the downstream portion of the interior cavity decreases from filter outlet port 135 back. For example, top wall portion 335 may taper downward from filter outlet port 135 back. Top wall portion 335 may also taper downward from filter outlet port 135 forward. Similarly, bottom wall portion 340 may slope upward from filter outlet port 135 back and from filter outlet port 135 forward. Sidewall 235 may also taper inward from filter outlet port 135 back and filter outlet port 135 forward.

[00111] In the embodiment of Figure 5A, side cover 200 is shaped so that top wall portion 335 slopes downward from filter outlet port 135 back and bottom wall portion 340 tapers upward from filter outlet port 135 back. Sidewall 235 is shaped so that the outer top edge 350 of sidewall 235 tapers inward along a curve from filter outlet port 135 back. The outer bottom edge 355 of sidewall 235, however, does not taper inwards, or tapers inward less than top edge 327, giving sidewall 235 a "twisted" profile. According to one embodiment, the twist can be selected to create a linear or other desired change in cross-sectional area or hydraulic diameter from filter outlet port 135 to the end of the filter cassette. The reduced or lack of taper at bottom edge 329 is provided to maintain material proximate to the joining seam between edge 329 and edge 279 (see Figure 4A). The shape also provides a key to help ensure that the filter cassette can only be inserted into a filtration system in the proper orientation. In other embodiments, however, other sidewall shapes may be used.

[00112] Figure 5B illustrates another view of one embodiment of side cover 200. The arrows of Figure 5B illustrate that fluid generally flows to filter outlet port 135. According to one embodiment, side cover 200 is shaped to promote more uniform flow rate in the downstream

portion of the filter cavity. Side cover 200 may include ribs or other features to help direct flow to the filter outlet port 135.

[00113] Figure 6 is a diagrammatic representation of one embodiment of filter cover 260. Filter cover 260 can be configured to maintain a filter element in place while allowing flow. According to one embodiment, filter cover 260 can comprise an outer frame having an upper member 360, a lower member 365, a front end member 370 and a back end member 375 spanning between upper member 360 and lower member 365. Upper member 360 and lower member 365 may include features to allow coupling of filter cover 260 to the remainder of the filter cassette. As discussed below, for example, filter cover 260 may include a tongue and groove feature for snap fit connection to the main shell. Filter cover 260 may be configured so that a first side of the upper member 360 contains a contact surface to contact the tips of top ribs 295 and lower member 365 includes a contact surface to contact the tips of bottom ribs 290.

[00114] Filter cover 260 may also include intermediate spaced members 380 spanning between upper member 360 and lower member 365. A support member 385 may provide additional support for spaced members 380. Preferably, the intermediate members 380 or other retaining structure is sufficiently strong to hold the filter element against ribs of the main shell. The side cover may also include ribs to contact intermediate members 380 to provide additional support. Intermediate members 380 may be angled up and to the front, to allow such ribs on the side cover to act as flow directing devices to direct flow entering the downstream portion of the interior cavity to the filter outlet port. In other embodiments, filter cover 260 may include mesh or other structural elements to maintain the filter element while allowing flow.

[00115] Figure 7 is a diagrammatic representation of one embodiment of a filter assembly with main shell 195, filter element 125 and filter cover 260. The bottom offsets, sidewall offsets and top offsets hold the filter element off of the bottom, upstream and top of the filter cavity respectively. Filter cover 260 runs from a first end of the filter cavity to a second end of the filter cavity and may be angled toward sidewall 155. Filter cover 260 holds filter element 125 in place when pressure is applied upstream of filter element 125.

[00116] According to one embodiment, filter cover 260 and main shell 195 may cooperate to provide a shifted parallelogram filter element holding area. Filter cover 260 may be angled toward the upstream sidewall 155 from bottom to top and the sidewall offsets provided by the ribs projecting inward from the upstream sidewall 155 may be tapered toward the sidewall from bottom to top. The angle of filter cover 260 can match the taper angle of the ribs. Filter

cover 260 can push filter element 125 against the ribs with the first (upstream) set of pleat tips abutting the ribs. On the upstream side, ribs allow filter element 125 to be held in without the need for an additional filter element holder/cage. In this configuration, a rectangular pleat pack will become a shifted rectangle with the pleat tips on the upstream side of filter element 125 generally arranged in a first plane defined by the ribs and the pleat tips on the downstream side of the filter element generally arranged in a second plane defined by filter cover 260. The shifted parallelogram (e.g., rhombus or rhomboid) filter element holding area provides several benefits. First, shifting the pleat pack allows room to place the filter outlet port without taking up too much horizontal room. Second, the pleat pack acts as part of the structure to reduce hydraulic diameter (linearly or otherwise).

- [00117] According to one embodiment, the bottom offset portion 305 of the ribs may have a tapered tip portion 400 that is angled away from filter cover 260 from top to bottom to create a drain channel 405. Drain channel 405 can cross connect the flow channels between bottom ribs 290 (illustrated in Figure 4B). When fluid is introduced to filter inlet port 130, it may flow from filter inlet port 130 to adjacent flow channels through the drain channel 405 in addition to along flow channel 325₂ (Figure 4B) as discussed above. Preferably, the hydraulic diameter of filter inlet port 130 is less than or equal to sum of the hydraulic diameters of channel 325₂ at filter inlet port 130 and the drain channel 405 (in both directions).
- [00118] According to one embodiment, the opening to filter inlet port 130 overlaps drain channel 405 (see Figure 4A). When pressure is removed from filter inlet port 130, fluid remaining in the cassette can flow to drain channel 405 because the bottom surface of the upstream portion of the interior cavity may be sloped downward toward drain channel 405 (e.g., from sidewall 155 to drain channel 405). Fluid in drain channel 405 may flow to filter inlet port 130 because the bottom surface of the interior cavity is sloped toward filter inlet port 130 (e.g., as discussed above in conjunction with Figures 4A and 4B).
- [00119] Similarly, the top offset portions 315 may contain a tip portion 408 that is angled away from filter cover 260 from bottom to top to form a vent channel 410. Vent channel 410 can cross connect the flow channels between upper ribs 295 (illustrated in Figure 4B). Preferably, the opening to filter vent port 140 overlaps vent channel 410. When the filter cassette is under pressure, gas can flow to vent channel 410 because the upper surface of the upstream portion of the interior cavity can be sloped upward toward vent channel 410. Gas can then flow up vent channel 410 to filter vent port 140 because vent channel 410 is sloped toward filter vent port 140 (e.g., as discussed above in conjunction with Figures 4A and 4B, the upper surface may be sloped upward toward filter vent port 140).

- [00120] Filter cover 260 may be coupled to main shell 195 using a snap-fit, interference fit, sonic bonding or according to any suitable coupling mechanism. According to one embodiment, main shell 195 may include lower and upper coupling portions 420 and 425 to couple filter cover 260 to main shell 195. Coupling portion 420 defines a groove 430 and tongue 435 running the length of main shell 195. Coupling portion 425 includes a groove 440 and tongue 445 running the length of main shell 195. Filter cover 260 includes corresponding lower tongue 455 and groove 460 and upper tongue 465 and groove 470. Groove 430 captures tongue 455 and groove 460 captures tongue 435. Similarly, groove 440 captures tongue 465 and groove 470 captures tongue 445. In operation, filter cover 260 can be secured using a snap-fit, with upper and lower coupling portions spreading apart slightly to allow tongues 455 and 465 to pass tongues 435 and 445 respectively and seat in grooves 430 and 440. The resilience of the main shell 195 material can cause the coupling portions 420 and 425 to snap back to a position in which filter cover 260 is captured. According to one embodiment, the snap can be sufficient to provide haptic or auditory feedback that filter cover 260 is secure.
- [00121] As illustrated in Figure 7, filter cover 260 can be installed so that the rib tips would otherwise contact or be very close to the contact surfaces so that a portion of the filter membrane may be captured between the rib tips and the contact surfaces of filter cover 260. According to one embodiment, the top and bottom membrane flaps of filter element 125 may extend a sufficient distance so that they pass between the tips 400/408 of the ribs and filter cover 260 to be captured between coupling portions 420 and 425 and filter cover 260. Figure 8, for example, is a diagrammatic representation of one embodiment of a portion of main shell 195 connected to filter cover 260 illustrating lower membrane flap 490 captured between coupling portion 420 and filter cover 260. Capturing a portion of filter element 125 helps retain filter element 125 for later assembly steps. Along with potting, sonic bonding or other coupling operation can be performed to further bond filter cover 260 to main shell 195 and/or the membrane to main shell 195 and filter cover 260, sealing the upstream portion from the downstream portion other than through the membrane.
- [00122] Figure 9 is a diagrammatic representation of one embodiment of a filter assembly with main shell 195 coupled to side cover 200 at main bonding seams 220 running parallel to the long access of the filter cassette. Main shell 195 and side cover 200 form a cavity in which filter element 125 is disposed, separating the cavity into upstream portion 115 and downstream portion 120. Figure 10 illustrates a cross-sectional view of the filter assembly of Figure 9, further illustrating the hydraulic profile at the back of the downstream portion of the interior cavity (indicated at 495) and at filter outlet port 135 (indicated as the combination of 495 and

497). It can also be noted that the hydraulic profile increases from bottom to top of the downstream portion of the interior cavity.

- [00123] According to one embodiment, side cover 200 is shaped so that the hydraulic profile increases approximately linearly from the back of the downstream portion of the cavity to filter outlet port 135 and from bottom to top. Preferably, the hydraulic profile goes to zero at the back of the interior cavity. In other embodiments, however, the hydraulic profile may be greater than zero at the end of the operational filter membrane. According to one embodiment, the hydraulic diameter of filter outlet port 135 can be approximately equal to the hydraulic diameter of the downstream portion of the interior cavity proximate to filter outlet port 135. In other embodiments, the hydraulic diameter of filter outlet port 135 may be greater than or less than the hydraulic diameter of the downstream portion of the interior cavity proximate to the port.
- [00124] Figure 11 is a diagrammatic representation of another embodiment of a filter assembly similar to that of Figure 7. In the embodiment of Figure 11, the filter element is not shown to better illustrate one embodiment of a shifted-parallellogram filter element holding area 500. Additionally, in Figure 11, bottom rib 290, top rib 295 and intermediate rib 300 are illustrated, showing the sidewall offset portions of the ribs tapered to match the angle of filter cover 260. Figure 11 further illustrates that embodiments of filter assemblies may include a flow guide 150 to prevent flow entering filter inlet port 130 from directly impacting the filter membrane.
- [00125] With reference to Figures 1-11, all materials that come in contact with the process fluid can be selected to be non-reactive with the process fluid and to minimize contamination. Embodiments of the housing can be made from a variety of materials including but not limited to oleophilic resins, perfluorinated resin, (such as, but not limited to, polytetrafluoroethylene (PTFE), fluorinated ethylene propylene (FEP), perfluoroalkoxy polymer (PFA), polyvinylidene fluoride (PVDF), polyimide, polyetherimide, polycarbonate, polyether ether ketone (PEEK), polyethylene (PE), polypropylene (PP), metals or other materials. In one embodiment, the components of the filter assembly can be formed of multiple layers. The outer portion of the main shell and side cover can comprise a relatively inexpensive polymer while the inner layer can comprise a more expensive polymer that is less likely to react with or contaminate the process fluid. In one example, the main shell 195 and side cover 200 may comprise an outer shell formed of polypropylene or other material that can withstand the temperature and pressure requirement. Inside, an inner layer of typically more expensive, more chemically resistant, higher purity material can be thermoformed and attached to the edges of main shell 195 and side cover 200. Examples of

such materials include but are not limited to PFA, FEP or other polymer. The entire wetted surface of the filter can be the more chemically resistant, higher purity polymer. In another embodiment, an inner layer of desired material can be over molded over the inside of the outer shell. Filter cover 260, on the other hand, can have a core of relatively inexpensive material and an outer coating or layer of more expensive, but less reactive material.

- [00126] A filter cassette using a pleat pack filter element 125 can be assembled according to the following steps. A filter membrane can be inserted into main shell 195 with the ends of the last flaps of the pleat sitting along the coupling portions 420 and 425. Filter cover 260 can be snap fit into place. This step locks the membrane in position with the tongue-and-groove fit between the outlet cover and the shell. Unlike a typical cylindrical style cartridge device, there is no need to wrap a pleat pack to seal the two long membrane edges together before assembling into a device. Side cover 200 can then be bonded to main shell 195 using any suitable bonding scheme including, but not limited to, sonic bonding, welding, adhesives, thermal contact or non- contact bonding operations. According to one embodiment, bonding the housing and sealing the membrane edges can happen in a single bonding operation. Side cover 200 may also be coupled to main shell 195 using mechanical fasteners. If required, a gasket can be disposed between side cover 200 and main shell 195.
- [00127] The ends of the pleat pack can be potted in a polymer or resin. According to one embodiment, a desired length of each end of the assembly can be dipped in the desired potting material, such as a laminate, polymer, resin, adhesive or other potting material to seal the ends of the interior chamber and pleat pack. Preferably the potting material is a thermoplastic. By way of example, but not limitation, the front and back 0.125 inches to 0.5 inches of the pleat pack can be sealed with potting material. The potting material can seal the ends of the pleat pack and interior cavity, separating upstream portion 115 and downstream portion 120 of the filter assembly, and prevent hold up volume. In other embodiments, the ends of the pleat pack can be sealed with a potting material prior to insertion in main shell 195, however doing so may result in some dead space between the potting and the ends of the filter cassette. The front and back end covers 210/215 can be coupled to the main body of the filter cassette using the potting material, sonic bonding, welding, adhesives, contact or non-contact bonding operations, mechanical fasteners or otherwise. Figure 12, for example, is a diagrammatic representation of one embodiment of a filter assembly showing a pleat pack in place and sealed with potting material 590.
- [00128] Figure 13 is a diagrammatic representation of another embodiment of a filter assembly 600 having a filter housing 602 defining a filter cavity 603 divided into an upstream portion 604

and downstream portion 606 by a filter element 610 disposed in the filter cavity 603. Filter element 610 can be any suitable filter media including, but not limited to pleated filters, depth filters, hollow fiber membranes, or other filters. In yet another embodiment, filter element 610 can include a polymer filtration material sandwiched between multiple membranes. In one embodiment, filter element 610 comprises a rectangular pleat pack. A filter inlet port 612 is located upstream of filter element 610 and a filter outlet port 614 is located downstream of filter element 610 (from a fluid flow perspective running through the filter). Filter vent ports (e.g., filter vent ports 615 and 616) can be located upstream and/or downstream of filter element 610. Vent ports 615 and 616 may also optionally be connected to valves.

[00129] According to one embodiment, filter inlet port 612 is located at the top of filter assembly 600 and defines an downward vertical inlet flow path, filter outlet port 614 is located on top of filter assembly 600 and defines an upwardly vertical outlet flow path and filter vent port 616 defines an upwardly vertical vent flow path. Preferably, filter vent port 615 is open to the upstream portion 604 of the filter cavity at the highest point possible so that any gas in upstream portion 604 naturally rises to filter vent port 615. Similarly, an outlet vent port 616 can be provided that preferably is open to the downstream portion 606 of the filter cavity at the highest point possible so that any gas in downstream portion 606 naturally rises to vent port. Filter inlet port 612 may include an inlet valve 618 to seal filter inlet port 612.

[00130] To efficiently place ports in a footprint, filter element 610 may overlap filter inlet port 612, filter outlet port 614 and/or filter vent port(s) 615/616. If the flow of fluid entering filter assembly 600 is sufficient, fluid flow directly impacting filter element 610 may damage it. Accordingly, a flow guide 620 (e.g., a baffle, tubing or other flow directing structure) disposed between filter element 610 and the inlet can obstruct flow along the axis of the inlet and redirect flow toward upstream sidewall 622. As discussed above, the walls can be selected to be more philic to gas than filter element 610 to promote affinity of gas to wall 622. While flow guide 620 is illustrated as extending generally perpendicular to the axis of filter inlet port 612, flow guide 620 may be arranged at other angles. In other embodiments, filter element 610 may provide sufficient resistance to direct flow to upstream sidewall 622.

[00131] Figure 14 is a diagrammatic representation of another embodiment of a filter assembly 600 similar to that of Figure 16, but illustrating an outlet valve 624 to seal filter outlet port 614 and a second flow guide 626 that ensures fluid flows along sidewall 628 before exiting downstream portion 606. According to one embodiment, inlet valve 618 and outlet valve 624 can seal when filter assembly 600 is removed from a manifold, when fluid pressure of fluid

flowing into filter assembly 600 drops below a threshold amount or some other condition is met. In one embodiment, inlet valve 618 and outlet valve 624 can be poppet valves. The vent ports (or corresponding ports in the manifold) may be pressure actuated with an actuation pressure that is greater than the driving pressure of the fluid. For example, the filter or manifold can include valves, such as poppet valves or other valves so that gas is only vented when it exceeds a particular pressure (e.g., 5psi above the expected operating pressure of filter assembly 600). The ports can comprise Connectology® connections from Entegris, Inc. of Billerica, MA, Swagelok fittings or other connection capable of fluidic sealing. While Figure 14 illustrates the inlet and outlet valves integrated as part of the filter assembly, in other embodiments, the valves may be part of the manifold to which the filter connects or may be placed elsewhere, if present at all.

- [00132] According to one embodiment, filter assembly 600 can be arranged as a filter cassette. Figure 15 is a diagrammatic representation one embodiment of a cross section of a filter cassette that includes a filter element 610 arranged in a filter holder 630 disposed in filter housing 602. Filter housing 602 comprises a main body having symmetrical portions 632 and 634 that couple together at joining seams 635 to partially define the filter cavity. Filter element 610 divides the filter cavity into upstream portion 604 and downstream portion 606.
- [00133] Filter inlet and outlet port members 636 and 642 are coupled to the housing 602 and provide ports open to the filter cavity. The filter port members 636 and 642 can accommodate valves, such as poppet valves or other valves. According to one embodiment, inlet port member 636 comprises a male fitting 637 for inlet port 612, a male fitting 638 for inlet vent port 615 and a fluid retaining wall 639 extending from a base 640, the fluid retaining wall 639 encircling fittings 637 and 638. Fittings 637 and 638 are received by complementary female ports on a manifold to create a sealed connection. Retaining wall 639 surrounds the fittings to create, in cooperation with base 640, a drip cup which can catch drips when the filter cassette is removed from the manifold. The base 640 of port member 636 slopes upward from fitting 637 to fitting 638 to create a gas accumulation region 641 open to the highest point of upstream portion 604. Inlet vent port 615 is open to the gas accumulation region.
- [00134] In the embodiment of Figure 15, filter outlet port member 642 similarly includes a male fitting 643 for outlet port 614 and a wall 644 extending from a base 645 to form a drip cup about port 614. In this example, there is no vent port on filter outlet port member 642, though in other embodiments there can be. In some embodiments, a single port may act as the filter outlet port and outlet side filter vent port. In such a case, it may be preferable that the outlet

port 614 be open to the highest area in fluid communication with downstream portion 606 so that gas rises to the filter outlet port 614.

- [00135] Inlet port member 636 in cooperation with the main body of the cassette forms an inlet region 646 of upstream portion 604 open to inlet port 612, but separated by filtration or purification media from downstream portion 606. According to one embodiment, inlet region 646 is at least partially defined by a surface 648 extending perpendicular to the primary axis of inlet port 612 (e.g., across the flow path of fluid entering through inlet port 612) to redirect flow horizontally. An upper surface 650 of filter holder 630 acts in cooperation with surface 648 to form a flow guide (e.g., a baffle) that directs flow to wall 622.
- [00136] In operation, fluid enters the filter cassette through inlet port 612 in a first direction (e.g., vertically) and is redirected in a second direction to wall 622 by surfaces 648 and 650. Fluid can flow into the space between filter element 610 and wall 622 through a gap between filter holder 630 and wall 622. The fluid will pass through filter element 610 and leave the filter cassette via outlet port 614. Thus, the filter cassette provides NFF. Gas attracted to wall 622 rises to gas accumulation region 641 from upstream portion 604 and leaves through inlet side vent port 615.
- [00137] Figures 16A-16C are diagrammatic representations of exterior views of one embodiment of a filter cassette showing various features discussed above. According to one embodiment, the filter cassette can be generally rectangular with a top wall 652, bottom wall 654, end walls 656 and 658, sidewall 622 and sidewall 628 formed from main body portions 632 and 634. Embodiments of the main body can be made from a variety of materials including but not limited to oleophilic resins, perfluorinated resin, (such as, but not limited to, polytetrafluoroethylene (PTFE), fluorinated ethylene propylene (FEP), perfluoroalkoxy polymer (PFA)), polyvinylidene fluoride (PVDF), polyimide, polyetherimide, polycarbonate, polypropylene (PE), polyethylene (PE), polyether ether ketone (PEEK), metals or other materials. In one embodiment, the main body can be formed of multiple layers. The outer shell can comprise a relatively inexpensive polymer while the inner layer can comprise a more expensive polymer that is less likely to react with or contaminate the process fluid. According to one embodiment, the main body may comprise an outer shell can be formed of polypropylene or other material that can withstand the temperature and pressure requirement. Inside the outer shell, an inner layer of typically more expensive, more chemically resistant, higher purity material can be thermoformed and attached to the edges of the outer shell. Examples of such materials include but are not limited to PFA, FEP or other polymer. The entire wetted surface of the filter can be the more chemically resistant,

higher purity polymer. In another embodiment, an inner layer of desired material can be over molded over the inside of the outer shell.

- [00138] The thicknesses of the various walls can be selected with the understanding that the filter cassette may act as a pressure vessel. In typical prior art systems, a filter must have walls sufficient to withstand the expected operating pressures of the filter. That is, the filter must have sidewalls that are sufficiently thick to keep deflection within an acceptable range (e.g., generally less than 10%, less than 5%, less than 1% at 100psi). The filter cassette, on the other hand, can have relatively thin sidewalls. The sidewalls can have a thickness that would allow the sidewalls to deflect greater than an acceptable amount, say greater than 30%, during expected operating pressures. As discussed below, the filter bay can provide additional support to keep deflection within the acceptable range. End walls 656 and 658 can be the same thickness as sidewalls 622 and 628 or a different thickness. According to one embodiment, end walls 656 and 658 can include ribs 664 and 665 to minimize deflection. Top wall 652 and bottom wall 654 may have a similar thickness to sidewalls 622 and 628, may be thicker to minimize deflection or may be thinner.
- [00139] Each of main body portions 632 and 634 can each include a continuous rib of material (ribs 667 and 668, respectively) extending outwardly from the respective walls. According to one embodiment, the ribs 667 and 668 extend outwardly at the edge of the respective main body portion to create a larger usable bonding area for joining the main body portions.
- [00140] The filter cassette can include a guide member 660 to help guide the filter cassette into a filter bay. In the example of Figures 16A-C, guide member 660 includes a set of laterally extending guide flanges 661 and 663 extending from ribs 667 and 668 respectively to form a rail that can be received in a corresponding track of a filter bay assembly. In other embodiments, a filter cassette can include multiple rails or other guide members all together.
- [00141] Figures 16A-16C also illustrate that one embodiment of a filter cartridge can include symmetric ports. Having symmetric ports and symmetric methods of construction provides a greater degree of flexibility than traditional filters. By way of example, but not limitation, the cassettes can be back flushed, filtration processes can be run in reverse direction, vacuum can be applied to either side of the cartridge allowing for pervaporation sequences. Asymmetric cartridges, on the other hand, limit the ability to optimize flow in either direction.
- [00142] Figure 17 is a diagrammatic representation of one embodiment of the main body of filter cassette showing various features discussed above. As illustrated, in Figure 17, ribs 667 and 668 turn inward from edges of main body portions 632 and 634 for a first portion of the

length of the main body and a second portion of the length of the main body to form the sidewalls of an inlet bowl 670 and outlet bowl 672. According to one embodiment, main body portion 634 includes surface 648, which may be a portion of top wall 652, extending across the portion of the bottom of inlet bowl 670 formed by main body portion 634. The other side of inlet bowl 670 may be open to the filter cavity. That is, main body portion 632 may be open within the portion of the inlet bowl formed by main body portion 632. Thus, inlet bowl 670 may be open to the upstream portion of the filter cavity but not the downstream portion. Similarly, main body portion 632 includes surface 674, which may be a portion of top wall 652, extending across the bottom of the portion of outlet bowl 672 formed by main body portion 632. Outlet bowl 672 may be open to the downstream portion of the filter cavity but not the upstream portion of the filter cavity. That is, main body portion 634 may be open within the portion of the outlet bowl formed by main body portion 634. According to one embodiment, the port members 636, 642 (see Figure 16B) may be bonded to the top edges of the inlet bowl and outlet bowl sidewalls using ultrasonic bonding, adhesives or other type of bonding with the filter inlet port aligned with surface 648 and the filter outlet port may be aligned with surface 674.

[00143] In many previous filters, the port connections are manufactured as part of the main housing. Consequently, different tooling is needed to manufacture the filter housing for each connection type and size. The embodiment of Figure 17 provides the advantage that the symmetric main body of the filter cassette, with the filter element installed, can be assembled without the inlet and outlet ports attached. Appropriate sized ports can then be added as needed. In such a system, a single tool can be used to manufacture the main body of the filter housing for a variety of port types. A specific tool is only required for the specific types of port members, not the filter housing with ports as a whole.

[00144] Figure 18 illustrates one embodiment of symmetric main body portions 632 and 634 of the main body of the cassette. As can be seen in Figure 18, portion 632 can be identical to portion 634 such that the two halves of a filter cassette main body can be manufactured using a single tool. In this embodiment, the portion of top wall 652 defined by main body portion 632 is continuous in the perimeter of the outlet bowl 672 (illustrated by surface 674), but is open within the perimeter of inlet bowl 670 (illustrated at 675). Similarly, in this embodiment, the portion of top wall 652 defined by main body portion 634 is continuous in the perimeter of the inlet bowl 670 sidewalls (illustrated by surface 648) and open within the perimeter of the outlet bowl 672 sidewalls (illustrated at 676).

- [00145] Figure 19 is a diagrammatic representation of one embodiment of a filter holder 630. Filter holder 630 can include end walls 680 and 682 with a top wall 684 and bottom wall 685 extending between the end portions in a spaced relationship to define a filter element holding area 686 open on both sides. In the embodiment of Figure 19, the end walls 680 and 682 can be the same width as the filter cavity and the length of filter holder 630 can be the same as the length of the filter cavity so that filter holder 630 fits snugly in the filter cavity. Top portion 684 can be slightly narrower than end portions 680 and 682 so that when filter holder 630 is arranged in the filter cavity, there is a slight gap between the edges of top portion 684 and the sidewalls of the filter cavities to allow fluid to flow into/out of the spaces between the filter element and the sidewalls. In some embodiments, the filter holder is symmetric. This is advantageous to reduce manufacturing costs and the number of parts.
- [00146] Filter holder 630 can also include a thin continuous piece of material (referred to herein as flashing 688) extending outward from the outer surfaces of filter holder 630. Flashing 688 extends a sufficient distance so that flashing 688 will be positioned between ribs 667 and 668 when the filter cassette is assembled. Flashing 688 can include cutouts 690 that align with inlet bowl 670 and outlet bowl 672. This provides an opening between, for example, the inlet region 646 and the remainder of the upstream portion 604 of the filter cavity (see Figure 15). Thus, for example, opening 690_a can allow flow of fluid entering opening the filter inlet port and being redirected by surface 648 to flow into opening 675 (see Figure 18). Similarly, opening 690_b can allow fluid exiting through opening 676 to flow over surface 674 and out the filter outlet port (see Figures 15 and 18). According to one embodiment, sonic welding can be used to bond ribs 667, 668 and flashing 688 and seal portions 632, 634 and filter holder 630. Therefore, it may be preferable that flashing 688 is formed of a material fusible to the material of the main body.
- [00147] Filter element holding area 686 can be packed with a filter element. The filter element can be bonded to the inner surfaces of filter element holding area 686. In one embodiment, the filter element may comprise a rectangular pleat pack. A first set of pleat tips may face the upstream portion of the chamber and a second set of pleat tips may face a downstream portion of the filter chamber. In general, the filter element can be packed so that the upstream surface of the filter element is generally flat rather than curved around a central core, though there may be some bending at the edges if the filter element is doubled back on itself and the filter may include pleats. Using a flat rather than a curved filter provides the advantages that more membrane material can be packed in a given volume and filtration mediums having less compliant bending moments can be used. Furthermore, because a pleated filter can be arranged with a generally flat profile (e.g., with the pleats compressed),

the upstream and downstream dimensions of the pleats can be identical (unlike a circular filter design in which the pleats will be more spread out on the upstream side than the downstream pleats). In other embodiments, the pleats of the rectangular pleat pack may be separated.

- [00148] Embodiments of filter holder 630 can be made from a variety of materials including but not limited to oleophilic resins, perfluorinated resin, (such as, but not limited to, polytetrafluoroethylene (PTFE), fluorinated ethylene propylene (FEP), perfluoroalkoxy polymer (PFA)), polyvinylidene fluoride (PVDF), polyimide, polyetherimide, polycarbonate, polypropylene (PP), polyethylene (PE), polyether ether ketone (PEEK), metals or other materials. In one embodiment, the filter holder 630 can be formed of multiple layers. The core can comprise a relatively inexpensive first polymer (e.g., polypropylene) while any layers that contact fluid can comprise a more chemically resistant, higher purity second polymer that is less likely to react with or contaminate the process fluid. According to one embodiment, the second polymer can be over molded on the first polymer.
- [00149] Figure 20 illustrates one embodiment of a filter cassette having an RFID tag 692 attached thereto and an RFID readers 693. RFID reader 693 may have a limited range "R", say 4 inches. If the length "L" of the cassette is greater than R, the RFID tag 692 can be placed on the cassette so that RFID reader 693 cannot read the RFID tag 692 when the cassette is placed in a filter bay backwards. Control schemes can be implemented so that upstream components will not provide fluid to the filtration system if a cassette is installed improperly, or not installed at all. Embodiments may also include sensors located throughout the first and second manifold to read various fluid properties such as pressure or temperature.
- [00150] In several of the above examples, the filter cassette had a generally rectangular profile. Figure 21 is a diagrammatic representation of one embodiment of a filter cassette having a main body 694 with a rounded rear and front walls, such that the sidewalls of the cassette are curved. The cassette can include a guide mechanism 695 that can fit in slotted track of a filter bay assembly. Figure 22 is a diagrammatic representation of another embodiment of a cassette having an elliptical main body 696 from the side. The cassette can include a guide mechanism 697 that can fit in slotted track of a filter bay assembly. Figure 23 is another embodiment of a cassette, with a cylindrical main body 698 and guide mechanism 699. The various examples of cassette shapes are provided by way of example and other cassette profiles, in some embodiments comprised from symmetrical main body portions, can be used. Furthermore, the filter element within the cassette may have any desired shape, including a tubular shape curved about a central core.

- [00151] According to one embodiment, filter cassettes can be installed using a tilt-in/tilt-out mechanism that rotates filter and/or manifold ports relative to each other. A tilt-in/tilt-out mechanism provides a safety benefit for removal and disposal of the filter cassette. As the filter is disengaged, the low point in the filter cartridge can be shifted to the back end of the device away from a bottom inlet/drain port where any residue chemistry will collect. This minimizes the risk for potential drips as the filter is removed from the manifold. To increase safety, threads can be disposed on each of the filter ports so that caps can be secured on the ports when the filter is removed. After disengaging the filter the user can install caps on each of the threaded ports to seal any remaining chemistry within the filter device for safe disposal.
- [00152] Figure 24 is a diagrammatic representation of one embodiment of a filtration system for receiving a filter cassette, the filtration system including a main assembly 700 and a sheath 710. Main assembly 700 provides the necessary fluid connections to a filter cassette disposed in sheath 710. According to one embodiment, main assembly 700 can comprise a base 705 extending beneath sheath 710 and a support arm 715 overhanging sheath 710. Support arm 715 may include a port block containing filter interface ports to interface with the corresponding ports on the filter cassette. Support arm 715 may support tubing 730 to direct fluid to the filter cassette. Base 705 can provide connection to fluid inlets and outlets and direct fluid to/from the filter. In one embodiment, base 705 include internal flow passages. In another embodiment, base 705 may provide support for tubing.
- [00153] According to one embodiment, base 705 includes a generally upwardly extending portion 735 and a generally horizontally extending portion 740. In this embodiment, base 705 defines a filter inlet flow passage 745 leading from a main assembly inlet port to filter inlet interface port 750 that interfaces with the filter inlet port 130. Base 705 can also define an outlet flow passage 760 that fluidly couples a filter outlet port 135 of a filter cassette to a main assembly outlet (via port block 720 and tubing 730). Base 705 may also include similar vent flow passages and the manifold assembly may include vent tubing. In general, the tubing can be transparent or semi-transparent.
- [00154] Port block 720 may include filter outlet interface and filter vent interface ports. According to one embodiment, port block 720 may be operatively coupled to support arm 715 such that port block 720 is translatable in multiple directions relative to support arm 715. For example, port block 720 may be translatable both front to back (left to right from the perspective of Figure 11) and vertically relative to support arm 715 in a horizontal position. Springs or other biasing members may be used to bias the position of port block 720.

- [00155] Sheath 710 may be rotatably coupled to main assembly 700 at a first axis of rotation (indicated at 762) and is rotatable from a first position to a second position. In the first (unmated) position, the cassette is tilted downwards from front to back so that any remaining fluid moves away from inlet port 130 and the cassette can be removed from sheath 710. In the second (mated) position, the inlet port 130 of the filter cassette is engaged with filter inlet interface port 750.
- [00156] Support arm 715 can be rotatable about a second axis of rotation (indicated at 764) running from the first side to the second side. Support arm 715 can be rotatable from a first position to a second position. In the first position, an outlet interface port and vent interface port of port block 720 are fully disengaged from filter outlet port 135 and filter vent port 140 of the filter cassette. In the second position, the outlet interface port and vent interface port are mated with filter outlet port 135 and filter vent port 140 of the cassette. In another embodiment, all the ports may be located above or below the cassettes depending on placement of the filter ports. To aid in alignment, the vent interface port and outlet interface port can be implemented in separate blocks. The seal force required to engage the filter ports can be generated inside of the top block(s) using springs or other biasing member. The spring loading can generate the necessary force to fluidically seal both top ports and the bottom port. Flexible tubing (e.g., tubing 730 formed of PFA or other suitable material) can be used to direct fluid from the port block(s) 720. In another embodiment, the portion of the main assembly extending beneath sheath 710 may also include a rotatable arm.
- [00157] Lever 725 may be rotatably coupled base 705 at a third axis of rotation (indicated at 768) running from the first side to the second side. Lever 725 may include an end member 770 spanning between two guide members 772. According to one embodiment, lever 725 is designed with two guide paths, one to tilt the filter down to engage the filter inlet port 130 to inlet interface port 750 and the other to drop a set of mating ports that will engage the filter vent port 140 and filter outlet port 135 on top of the filter cassette. For example, a guide member 772 may include an outer track 774 located more distal from axis of rotation 768 and an inner track 778 located more proximate to axis of rotation 768. A guide pin of the outlet and vent port interface portion of the manifold, in this case support arm guide pin 776 of port block 720 is received in outer track 774. A guide pin 780 on sheath 710 is received by inner track 778. The geometries of outer track 774 and inner track 778 can be selected so that sheath 710 rotates from its first position to its second position faster than support arm 715 rotates from its first position to its second position. In particular, it is preferable that filter inlet port 130 is seated in inlet interface port 750 before port block 720 fully engages filter outlet port 135.

- [00158] According to one embodiment, outer track 774 may be shaped to have a generally spiral shape with decreasing radius from center of rotation 768 from a first end corresponding to a first position of support arm 715 to a second end corresponding to a second position of support arm 715. The larger radius at the start of the path may be concentric or nearly concentric with axis of rotation 768 to delay the support arm time to move into the second position. This gives the filter inlet port 130 time to engage inlet interface port 750 before the outlet interface port and vent interface port engage ports 135 and 140. A sharp angle at the start of track 778, indicated generally at 782, ensures that filter inlet port 130 moves into port 750 before the outlet interface port and vent interface port engage ports 135 and 140. The track 778 may be generally concentric with axis of rotation 768 at the second end of the track to minimize additional movement of sheath 710.
- [00159] Because the sealing force may be provided by pushing port block(s) 720 toward the filter cassette, the guide path for the filter (e.g., inner track 778), only needs to be designed to lift the filter out of port 750 and guide the filter to port 750, but not necessarily to provide sufficient force to create a full fluidic seal. According to one embodiment, the tracks can be configured so that through a first range of rotation of lever 725 (e.g., 45 degrees, 60 degrees or other range), port block 720 stays relatively the same distance away from filter outlet port 135 while filter inlet port 130 moves to engage port 750 and through a second range of motion lever 725 does not move sheath 710 but moves port block 720 relative to sheath 710 so that port block 720 can engage the upper ports on the filter cassette.
- [00160] End member 770 may be positioned so that as end member 770 rotates, end member 770 pushes the filter cassette fully into the sheath prior to the sheath reaching its second position. This can help ensure that the filter is properly aligned with the manifold when the filter is tilted into place. Assembly 700 may include a locking mechanism so that a filter can be locked in a fully engaged position. For example, the geometry of guide tracks 774 and 778 may be configured to lock sheath and/or support arm 715 in their second positions. In another embodiment, latches or locking mechanism may be included.
- [00161] Figure 25 is a diagrammatic representation of another embodiment of a filtration system. In the embodiment of Figure 25, support arm 715 is coupled to a bracket 717 that offsets support arm for axis of rotation 764. Additionally, Figure 25 illustrates that fluid is lead to the filter inlet interface port using flexible tubing 777, coupling the filter inlet interface port to the main assembly inlet 779. In addition, Figure 25 illustrates that guide track 774 may include a straight portion 790 and guide track 778 may also include a straight portion 795. Guide track 774 may further include a second portion 793 that spirals inward (relative to axis of rotation

768) and guide track 778 may also include a second portion 797 that spirals inward. The change in radius of guide track 778 and guide track 774 in second portions 793/797 may be different. For example, outer guide track 774 may decrease radius more rapidly than inner guide track 778. This helps ensure that at the end of closing, force from lever 725 is applied mainly to support arm 715 to help seal the filter to the main assembly. When the filter and support arm are finished rotating relative to each other from the unmated to the mated position, a locking mechanism, such as a latch 791 can lock lever 725 in the closed position.

[00162] Figure 26 is a diagrammatic representation of one embodiment of a sheath 710. Sheath 710 provides a filter cassette holder configured to receive filter cassettes. Sheath 710 can comprise one or more walls that define a cassette receiving area 800 with a front opening and shaped to receive a filter cassette through generally linear translation. According to one embodiment, the sidewalls may fully overlap the sidewalls of the cassette and the top and bottom walls may overlap the cassette except for cutout areas shaped to accommodate the ports of the cassette. Sheath 710 may further include alignment features to ensure that the cassette is properly aligned. For example, sheath 710 can be asymmetric and keyed to the filter cassette shape. According to one embodiment, sheath 710 can define upper track 810 and lower track 815 to accommodate guide rails 250 and 255 of the filter cassette. Figure 27 also illustrates guide pins 780 extending generally perpendicular to the length of sheath 710 and parallel to the axis of rotation of sheath 710 relative to the main assembly 700.

[00163] In typical prior art systems, a filter must have walls sufficient to withstand the expected operating pressures of the filter. That is, the filter must have sidewalls that are sufficiently thick to keep deflection within an acceptable range (generally less than 10%). Rather than requiring the disposable cartridge to accommodate operating pressures, a sheath of sufficient size and shape to withstand the pressure requirements of the target applications and prevent excessive flexing of the filter housing walls can be used. Since the sheath is designed to prevent or minimize flexing and potential failure of the filter housing, the material and supporting structures generally required for the filter cartridge can now be significantly reduced. Building the strength into the sheath allows the material required to fabricate the filter to be reduced, therefore reducing the cost of the consumable filtration product. This is particularly valuable for filtration devices composed of PFA, which is costly. The supporting sheath could be a lower cost material such as PVC or CPVC or metal coated with PVC or CPVC, which are materials generally used to enclose fluidic components in Wet Etch and Clean applications. Even if a PFA or PTFE sheath is required, it will not be a consumable part and will be reused for each filter change.

- [00164] Thus, the filter cassette can have relatively thin sidewalls as sheath 710 can provide necessary support. The sidewalls and top wall and bottom wall can have a thickness that would allow the sidewalls to deflect greater than an acceptable amount, say greater than 30%, during expected operating pressures. However, sheath 710 can have walls that abut and support the walls of the cassette to minimize the deflection (e.g., to less than 10% volumetric change, less than 5% volumetric change, less than 1% volumetric change or less). Because the sidewalls of the filter cassette may be relatively thin, less material is needed for a disposable part. The end plates of the filter cassette may be of sufficient thickness to withstand the expected operating pressures. In other embodiments, the end plates may also be thin and rely on sheath 710, lever end plate 770 or other structure for additional support.
- [00165] The embodiments of Figure 24 and 25 provide a filtration system with single filter cassette. However, it may be useful to provide multiple filters in a filtration system. Figures 27-29 illustrate one embodiment of a filtration system 900 with a main assembly 905 that accommodates multiple filters. Main assembly 905 may include main assembly inlet ports 910, main assembly outlet ports 915 and main assembly vent ports 920 corresponding to various filters. In this example, each filter can be housed in its own sheath and have a corresponding support arms 715 and levers 725, but the filters can share a common base 705. The various main assembly ports can be connected to allow for filtration in parallel (see Figure 28), in series (see Figure 29) or a combination of in series and parallel according to tubing 930. The embodiment of Figures 27-29 provide the advantage that instrumentation, such a pressure sensors, particle counters and other instruments may be easily added between the manifold inlet and outlet ports to allow for process monitoring by appropriate connection of tubing 930 to the instrumentation.
- [00166] In order to aid in operations, a filtration system may include a variety of features to aid in process monitoring or operations. Figure 30, for example, illustrates an embodiment of a filtration system 900 in which end members 770 include cutouts exposing the end covers 210 of the filter cassettes. End covers 210 may include bar codes, optical symbols, RFIDS or other tags to provide information to humans or robots regarding the installed filter cassettes. Additionally, filter cartridges may include integrated sensors. As another example, filter cartridges may include tabs, hooks or other features molded into end covers 210 that allow the filter cartridges to be easily manipulated by robots.
- [00167] Furthermore, filtration system 900 may include RFID readers (e.g., attached to base 705, support arms 715 or elsewhere) or other sensors that can read information tags on filters in

filtration system 900 (e.g., on end cover 210). RFID readers may have a limited range "R", say 4 inches. If the length "L" of cassette is greater than R, the RFID tag can be placed on the cassette so that the RFID reader cannot read the RFID tag when the cassette is placed in the filtration system in the wrong orientation or placed in the wrong bay/sheath. Control schemes can be implemented so that upstream components will not provide fluid to the filtration system if a cassette is installed improperly, or not installed at all. Embodiments may also include sensors located throughout the manifold to read various fluid properties such as pressure or temperature.

[00168] Figures 31A-B are diagrammatic representations of one embodiment of a support arm 715 and port blocks 720 in which the outlet interface 950 and vent interface 955 ports are in separate blocks biased by springs 960. According to one embodiment springs 960 can be protected from fluid splashes or leaks. While the port block is shown as having the filter outlet interface port and the filter vent interface port, the port block(s) may also include a filter inlet interface port. Thus, a tip/tilt mechanism can be used for a filter that has all its ports on top.

[00169] In the embodiments discussed in conjunction with Figures 27-29, the filtration system included reconfigurable flow paths that could be reconfigured through routing of tubing. In other embodiments, it may be desirable to have a manifold with internal flow paths that are reconfigurable. Figure 32 is a diagrammatic representation of another embodiment of a filtration system 1000 comprising a manifold 1005 and a set of filters 1010. Manifold 1005 receives fluid from upstream components, directs the fluid through set of filters 1010 and supplies the filtered fluid to downstream components. Manifold 1005 can include a number of ports to interface with upstream piping, downstream piping and the set of filters 1010. To interface with other systems, manifold 1005 includes a manifold inlet port 1015, a manifold outlet port 1020 and a series of vent ports 1025. Inlet port 1015 generally connects to upstream piping and acts as the inlet to filtration system 1000. Outlet port 1020 generally connects to downstream piping and acts as the outlet for filtration system 1000. Manifold vent ports 1025 generally connect to drain or other piping routed to handle fluid vented from filters. To interface with the set of filters 1010, manifold 1005 can further include a series of filter inlet interface ports 1030 to provide fluid to filters and filter outlet interface ports 1035 to receive fluid from the filters. Additionally, if the filters include vents, manifold 1005 can include one or more vent interface ports 1040.

[00170] According to one embodiment, manifold 1005 can comprise multiple manifolds working in cooperation to provide fluid to filters, illustrated as a first manifold 1045 and second manifold

1050 in Figure 32. First manifold 1045 can be hard piped into a tool and direct fluid to/from second manifold 1050. The manifolds 1045 and 1050 can include the appropriate ports so that the manifolds may interface with each other. For example, first manifold 1045 can include a manifold interface outlet port 1055, a manifold interface inlet port 1060 and manifold interface vent inlet ports 1065 while second manifold 1050 can include corresponding manifold interface inlet port 1070, manifold interface outlet port 1075 and manifold interface vent outlet ports 1080. The manifold interface ports may include any suitable connectors for creating a sealed connection. According to one embodiment, the manifold interface ports sealingly engage through a simple linear motion.

- [00171] Turning briefly to set of filters 1010, each filter 1085 can include appropriate ports to interface with manifold 1005, such as a filter inlet port 1090 to interface with filter inlet interface port 1030, a filter outlet port 1095 to interface with filter outlet interface port 1035 and one or more inlet and outlet filter vent ports 1100 and 1105 to interface with vent interface ports 1040. The ports may interface with each other in a "quick-connect" fashion with a set of connectors that sealingly engage through a simple linear action. According to one embodiment, the filter may engage with the manifold using O-ring-less fittings and fitting assemblies as described in United States Patent No. 7,547,049 entitled "O-Ring-Less Low Profile Fittings and Fitting Assemblies" by Gashgaei et al., issued June 16, 2009, which is hereby fully incorporated by reference herein, or other connection mechanism.
- [00172] Returning to manifold 1005, manifold 1005 can be configured so that fluid passes through filters 1085 in parallel, in series or some combination thereof. Figure 33, for example, is a diagrammatic representation of one embodiment of filtration system 1000 illustrating inlet flow passage 1110 and outlet flow passage 1115. As illustrated in Figure 33, manifold 1045 defines a portion of inlet flow passage 1110 and outlet flow passage 1115 and manifold 1050 defines a second portion of inlet flow passage 1110 and a second portion of outlet flow passage 1115. Manifold 1005 is configured in Figure 33 to distribute fluid to filters 1085 in parallel. In this embodiment, inlet flow passage 1110 connects inlet port 1015 to the filter inlet interface port 1030 for each filter and acts as the inlet flow channel to each filter. Thus, when filters 1085 are connected, each filter inlet port 1090 is fluidly coupled to inlet port 1015 in parallel. Outlet flow passage 1115 connects the filter outlet interface port 1035 to outlet 1020 and acts as the filter outlet flow channel for each filter. Thus, when filters 1085 are connected, each filter outlet port 1095 is fluidly coupled to manifold outlet port 1020 in parallel.

- [00173] Figure 34 is a diagrammatic representation of one embodiment of filtration system 1000 in which manifold 1005 is configured to distribute fluid to filters 1085 in series. In the embodiment of Figure 34, inlet flow passage 1110 connects inlet port 1015 to the filter inlet interface port 1030 of the first filter in the series. Interfilter flow passages 1120 connect the filter outlet interface port 1035 for a filter to the filter inlet interface port 1030 of the next filter in the series. In this case, each interfilter flow passage acts as an outlet flow channel for a particular filter and an inlet flow channel for the next filter in the series. Finally, outlet flow passage 1115 connects the filter outlet interface port 1035 for the last filter in the series to outlet port 1020. Filtration system 1000 can also be configured to distribute fluid according to other schemes. Figure 35, for example, is a diagrammatic representation of a filtration system 1000 in which the manifold is configured to distribute fluid in parallel to two sets of filters in series.
- [00174] In addition to the inlet, outlet and inter-filter flow passages, manifold 1050 may include additional flow passages as needed. Figure 36, for example, is a diagrammatic illustration of one embodiment of filtration system 1000 illustrating vent flow paths 1125 coupling inlet and outlet filter vent ports 1100 and 1105 to manifold vent ports 1025. Manifold vent ports 1025 can be further coupled to drain.
- [00175] Figure 37 is a diagrammatic representation of one embodiment of a filtration system having interchangeable manifolds. The filtration system, according to one embodiment, can comprise a main assembly 1130 and a set of filter bay assemblies 1150 containing filter cassette holders 1155 configured to receive filter cassettes 1160. Main assembly 1130 provides the necessary fluid connections to a filter cassette disposed in filter bays 1150. According to one embodiment, main assembly 1130 can comprise a manifold having a first manifold 1045 and a second manifold 1050 and a filter bay housing 1162 to receive removable filter bay assemblies 1150 that define filter cassette receiving areas. A filter cassette can be inserted through an open end of the filter bay and received by the respective filter cassette holder 1155. The filter cassette holder 1155 is operatively coupled through the respective bay assembly to the main assembly 1130 such that the filter cassette is movable from an unmated position to a mated position. Manifold 1050 can be removed and replaced with a different manifold 1050 (not shown) that has a different flow path configuration to reconfigure the filtration system.
- [00176] According to one embodiment, a filter bay assembly 1150 can include a filter bay door 1165. A filter cassette can be inserted through a generally horizontal motion when the filter bay door 1165 is in an open position. Closing the filter bay door 1165 can cause linear

translation of the filter cassette relative to the manifold so that the filter cassette can be moved from an unmated position to a mated position.

- [00177] Figures 38A-B are diagrammatic representations illustrating that the filter cassette can mate with second manifold 1050 by translating perpendicular to the surface of manifold 1050, in this case vertically from the lowered position to a raised position, so that corresponding filter inlet, outlet and vent ports on each cassette are in fluid communication with and sealed to corresponding ports of second manifold 1050. Unlike some systems, the ports of the cassette do not have to be pivoted to the ports of manifold 1050, reducing potential rubbing and deformation.
- [00178] Figure 39 is a diagrammatic representation of one embodiment of a filter bay assembly 1150 for receiving filter a cassette. Filter bay assembly 1150 can include a set of sidewalls 1205 and 1210 and a bay base 1212 that define a filter bay cassette receiving area. The width of the filter bay and cassette can be selected so that the sidewalls of the cassette contact the inner surfaces of sidewalls 1205 and 1210. As described above, sidewalls 1205 and 1210 can be configured to provide reinforcement to the sidewalls of the filter cassette when the filter cassette is installed in the filter bay.
- [00179] Filter bay assembly 1150 may include a mechanism to receive and retain a filter cassette. Any suitable mechanism can be used to receive the filter cassette. According to one embodiment, filter bay assembly 1150 includes a cassette holder 1155. In one embodiment, the cassette holder can be a slotted track for receiving a guide member of the filter cassette. The slotted track can be open on at least one end and along the top. The guide member of the cassette may be inserted in the track using a generally horizontal sliding motion. The track may include a stop to prevent a cassette from being inserted too far into the filter bay.
- [00180] Cassette holder 1155 may be coupled to an elevator mechanism such that the filter cassette can be lifted from an initial position to a position in which the filter is mated with the manifold. According to one embodiment, the elevator mechanism can include a set of linkages (one embodiment of which is discussed in more detail below) that act as a cam so that the filter cassette remains in the raised position unless sufficient force is applied to cause the linkages to rotate. According to one embodiment, crossbars 1241 and 1249 can operatively couple the linkages to cassette holder 1155.
- [00181] A filter bay door 1165 can be operatively coupled to the elevator mechanism so that movement of filter bay door 1165 causes the elevator mechanism to lift or lower the filter. According to one embodiment, filter bay door 1165 can rotate from a fully open position to a

fully closed position. The elevator mechanism is in its lifted position when filter bay door 1165 is in the fully closed position and the elevator mechanism is in its lowest position when filter bay door 1165 is in the fully open position. Filter bay door 1165 may be positioned so that as filter bay door 1165 rotates, filter bay door 1165 pushes the filter cassette fully into the filter bay prior to the elevator mechanism reaching its lifted position. This can help ensure that the filter is properly aligned with the manifold when the filter is raised.

- [00182] Figure 40 is a diagrammatic representation of one embodiment of a filter bay assembly 1150 with filter bay door 1165 transitioning from an open position to a closed position to raise cassette holder 1155. Figure 40 further illustrates one example embodiment of an elevator mechanism that includes multi-bar cam linkages. According to one embodiment, filter bay door 1165 can include a main door portion 1232 and an L-shaped link 1234. L-shaped link 1234 coupled to main door 1232 at a first end and the filter bay assembly sidewall at a second end (represented at 1238). Between the ends, link 1234 is further rotatably coupled to link 1236 (represented at 1237). Second link 1236 can be a hook-shaped link that, in addition to being rotatably coupled to main door linkage 1234 at a first end, is rotatably coupled (represented at 1240) to a crosslink 1239 near a second end. Link 1236 is further rotatably coupled to a crossbar 1241 at a point between the first end and second end of link 1236 (represented at 1242). Relative rotation of links 1234 and 1236 cause crossbar 1241 to raise and lower.
- [00183] The rear linkage of the illustrated embodiment includes link 1245 and 1246. Link 1245 can be a hook shaped link that is rotatably coupled (represented at 1247) to link 1246 at a first end, crosslink 1239 at a second end (represented at 1248) and a crossbar 1249 at a point between the first end and second end (represented at 1250). In addition to being rotatably coupled to link 1245, link 1246 can be rotatably coupled to the sidewall of filter bay assembly 1150 (represented at 1251). Relative rotation of links 1245 and 1246 cause crossbar 1249 to raise and lower. Slots in the sidewall can accommodate vertical motion of crossbars 1241 and 1249. As discussed above, crossbars 1241 and 1249 are coupled to filter cassette holder 1155.
- [00184] According to one embodiment, when bay door 1165 is in a closed position, the various axes of rotation of each set of linkages become approximately vertically aligned (in the example of Figure 40, tilted slightly toward the back from bottom to top). Consequently, the elevator mechanism will, in general, stay in the lifted position. Sufficient force is required to rotate bay door 1165 to bring the axes of rotation out of alignment. The linkages act as a cam to

translate rotational motion of the bay door 1165 into vertical motion of the filter cassette holder 1155.

- [00185] Each filter cassette holder may be coupled to a separate elevator mechanism so that the filters may be individually raised and lowered. Consequently, when only one filter needs to be replaced, only that filter need be lowered and removed while filtration continues through the other filters. In other embodiments, a filter bay assembly 1150 may accommodate multiple filters with the multiple filters lifted by a single elevator mechanism or each filter lifted by its own elevator mechanism.
- [00186] Figure 41 is a diagrammatic representation of a portion of one embodiment of a main assembly comprising first manifold 1045 and filter bay housing 1162. Filter bay housing 1162 comprises a set of sidewalls 1260 and a rear wall 1265 that form a housing cavity open on a front side. The ends of sidewalls 1260 may curve inward to form guides 1264 that are received by complementary grooves in the second manifold 1050 (not shown) to guide second manifold 1050 to first manifold 1045. Other embodiments may include other guide mechanisms to guide second manifold 1050 to manifold 1045. A set of fingers 1270 extend inward from rear wall 1265 to divide the housing cavity into a manifold receiving area and filter bay receiving areas. Filter bay housing 1162 may be formed of any suitable material including polymers, metals and composites.
- [00187] One embodiment of manifold housing 1162 can have a manifold locking mechanism to lock manifold 1050 in the manifold receiving area. One embodiment of a manifold locking mechanism may include a manifold lock bar 1280 having manifold lock bar end members 1285 rotatably coupled to the sidewalls of housing 1162 and one or more crossbars 1290 that may extend across the front opening of the manifold receiving area. Manifold lock bar 1280 can rotate from an "open" position in which it does not obstruct the opening to manifold receiving area to a "closed" position in which it does obstruct the opening, thereby preventing second manifold 1050 from becoming uncoupled from first manifold 1045. Additionally, as illustrated in Figure 41, manifold lock bar 1280 may be operatively coupled to a set of pins 1295 such that rotation of manifold lock bar 1280 causes the pins 1295 to raise and lower. Pins 1295 may pass through corresponding holes in fingers 1270 and the ends of the pins may be received in pin receiving holes of manifold 1050. A spring 1297, with one end contacting the underside of the corresponding finger 1270 and the other end contacting a shoulder of a corresponding pin 1295, may bias the pin to a downward position. As shown in Figure 42, manifold lock bar 1280 may be placed in a closed position with pins 1295

passing through pin holes 1293 in the end of fingers 1270 and received in pin receiving holes 1296 of second manifold 1050.

- [00188] Figure 43 is a diagrammatic representation of another embodiment of main assembly 1130 with second manifold 1050, first manifold 1045 and housing 1162. Main assembly 1130 may further include a support 1300 mounted supporting a plurality of pneumatic vent valves 1301. While Figure 43 illustrates support 1300 supporting valves, support 1300 may support sensors, such as RFID readers or other devices.
- [00189] Figure 43 further illustrates that some embodiments may include a drain interlock lever 1303. Drain interlock lever may have lever first and second end members 1305 rotatably coupled to the sidewalls of filter bay housing 1162 and a lever bar 1310 extending between the first and second end members 1305. Drain interlock lever 1303 is rotatable from a first "open" position in which lever bar does not obstruct the opening of the filter bay receiving area to a second "closed" position in which lever bar 1310 extends across the front opening of the filter bay receiving area. According to one embodiment, drain interlock lever 1303 is operatively coupled to drain valves 1301 so that the drain valves are open when the drain interlock lever 1303 is in the closed/raised position. In the closed position, drain interlock lever 1303 prevents filters from being removed. The drain interlock system can prevent removal of filters unless the drains are open.
- [00190] One process of installing an embodiment of filtration system is described in conjunction with Figures 44-45. In operation, main assembly 1130 can be installed with first manifold 1045 hard piped to a tool. As shown in Figure 44, filter bays 1150 can be added in the filter bay receiving area of housing 1162. According to one embodiment, filter bays 1150 can be placed through the front opening of the filter bay receiving area using a generally horizontal motion. The drain interlock lever 1303 is illustrated in Figure 44 to show that the filter bays can be installed when drain interlock lever 1303 is in the open position.
- [00191] Filter bay assemblies 1150 may be removable from the housing cavity making replacement and repair more modular. In other embodiments, filter bay assemblies 1150 may be integrated with housing 1162. In yet other embodiments, filter bay assemblies 1150 may be omitted and the cassette holders may be coupled directly to the housing. The cassettes may be independently movable or movable as a group.
- [00192] The appropriate second manifold 1050 can also be added. As shown in Figure 45, second manifold 1050 may enter the front opening of the manifold receiving area and mate with first manifold 1045 by translating with a horizontal sliding motion until corresponding ports of first

manifold 1045 and second manifold 1050 are in fluid communication with each other. According to one embodiment second manifold 1050 slides in and is pressed firmly into connection with first manifold 1045, mating radial compression seals (e.g., O-rings, spring-backed Teflon or other seals). Manifold locking bar 1280 can be brought to the closed position to secure manifold 1050 in place.

[00193] The second manifold 1050 can be selected to provide parallel, serial or other filtration in a single filtration unit. Figures 46A-C are diagrammatic representations of one embodiment of a second manifold 1050 for providing serial filtration, showing embodiments of features discussed above in conjunction with Figures 32-36. Further as illustrated in Figure 46C, the filter outlet interface port 1035 may also include a vent opening 1041 so that gas may vent at the filter outlet. Figures 47A-B are diagrammatic representations of one embodiment of a second manifold 1050 providing for parallel filtration, showing embodiments of features discussed above. In addition to various features discussed above, Figures 46A-C and 57A-B illustrate that second manifold 1050 can include side surface grooves 1244. Additionally, these figures illustrate that second manifold 1050 can include pin receiving holes 1296 to receive retaining pins, as discussed above. Second manifold 1050 can be formed from oleophilic resins, perfluorinated resin, (such as, but not limited to, polytetrafluoroethylene (PTFE), fluorinated ethylene propylene (FEP), perfluoroalkoxy polymer (PFA)), polyvinylidene fluoride (PVDF), polyimide, polyetherimide, polycarbonate, polyethylene (PE), polypropylene (PP), polyether ether ketone (PEEK), polyimide, polyetherimide, metals or other materials.

[00194] Figures 48A and 48B are diagrammatic representations of one embodiment of a first manifold 1045 illustrating various features discussed above. First manifold 1045 can be formed from oleophilic resins, perfluorinated resin, (such as, but not limited to, polytetrafluoroethylene (PTFE), fluorinated ethylene propylene (FEP), perfluoroalkoxy polymer (PFA)), polyvinylidene fluoride (PVDF), polyimide, polyetherimide, polycarbonate, polyethylene (PE), polypropylene (PP), polyether ether ketone (PEEK), metals or other materials.

[00195] In some cases, it may be desirable to not use filters in certain bays. For example, a manufacturer may wish to test manifold seals without filtration. As another example, an end user may not require the maximum number of filters afforded by the filtration system when the system is installed but may anticipate using them in the future. To this end, dummy cassettes may be placed in one or more of the filter bays 1150. One type of dummy cassette routes fluid to/from the appropriate ports, but does not include a filter element.

Figure 49 is a diagrammatic representation of one embodiment of a dummy cassette 1340. Dummy cassette 1340 may include a base 1345 that includes a guide member compatible with the cassette holder, a body 1350 and inlet and outlet port members 636 and 642. Body 1350 defines a flow passage 1355 between the inlet and outlet ports. Body 1350 can be formed from oleophilic resins, perfluorinated resin, (such as, but not limited to, polytetrafluoroethylene (PTFE), fluorinated ethylene propylene (FEP), perfluoroalkoxy polymer (PFA)), polyvinylidene fluoride (PVDF), polyimide, polyetherimide, polycarbonate, polyethylene (PE), polypropylene (PP), polyether ether ketone (PEEK), metals or other materials. A dummy cassette such as shown in Figure 52 can be used in conjunction with either serial or parallel second manifolds 1050. However, for parallel second manifolds 1050 there is no need to connect the inlet and outlet filter ports.. Accordingly, another embodiment of body 1350 may lack flow passage 1355 and may simply be a solid block of material or shell with plugged ports.

[00196] Although specific embodiments have been described, these embodiments are merely illustrative, and not restrictive of the invention. The description herein of illustrated embodiments of the invention, including the description in the Abstract and Summary, is not intended to be exhaustive or to limit the invention to the precise forms disclosed herein (and in particular, the inclusion of any particular embodiment, feature or function within the Abstract or Summary is not intended to limit the scope of the invention to such embodiment, feature or function). Rather, the description is intended to describe illustrative embodiments, features and functions in order to provide a person of ordinary skill in the art context to understand the invention without limiting the invention to any particularly described embodiment, feature or function, including any such embodiment feature or function described in the Abstract or Summary. While specific embodiments of, and examples for, the invention are described herein for illustrative purposes only, various equivalent modifications are possible within the spirit and scope of the invention, as those skilled in the relevant art will recognize and appreciate. As indicated, these modifications may be made to the invention in light of the foregoing description of illustrated embodiments of the invention and are to be included within the spirit and scope of the invention. Thus, while the invention has been described herein with reference to particular embodiments thereof, a latitude of modification, various changes and substitutions are intended in the foregoing disclosures, and it will be appreciated that in some instances some features of embodiments of the invention will be employed without a corresponding use of other features without departing from the scope and spirit of the invention as set forth. Therefore, many modifications may be made to adapt a particular situation or material to the essential scope and spirit of the invention. For example, the membrane can be surface modified by chemical coating,

plasma treatment, laser or lamp treatment and the like to include ion exchange groups, hydrophilic groups, hydrophobic groups and other functional moieties.

- [00197] Reference throughout this specification to "one embodiment", "an embodiment", or "a specific embodiment" or similar terminology means that a particular feature, structure, or characteristic described in connection with the embodiment is included in at least one embodiment and may not necessarily be present in all embodiments. Thus, respective appearances of the phrases "in one embodiment", "in an embodiment", or "in a specific embodiment" or similar terminology in various places throughout this specification are not necessarily referring to the same embodiment. Furthermore, the particular features, structures, or characteristics of any particular embodiment may be combined in any suitable manner with one or more other embodiments. It is to be understood that other variations and modifications of the embodiments described and illustrated herein are possible in light of the teachings herein and are to be considered as part of the spirit and scope of the invention.
- [00198] In the description herein, numerous specific details are provided, such as examples of components and/or methods, to provide a thorough understanding of embodiments of the invention. One skilled in the relevant art will recognize, however, that an embodiment may be able to be practiced without one or more of the specific details, or with other apparatus, systems, assemblies, methods, components, materials, parts, and/or the like. In other instances, well-known structures, components, systems, materials, or operations are not specifically shown or described in detail to avoid obscuring aspects of embodiments of the invention. While the invention may be illustrated by using a particular embodiment, this is not and does not limit the invention to any particular embodiment and a person of ordinary skill in the art will recognize that additional embodiments are readily understandable and are a part of this invention.
- [00199] It will also be appreciated that one or more of the elements depicted in the drawings/figures can also be implemented in a more separated or integrated manner, or even removed or rendered as inoperable in certain cases, as is useful in accordance with a particular application. Additionally, any signal arrows in the drawings/figures should be considered only as exemplary, and not limiting, unless otherwise specifically noted.
- [00200] As used herein, the terms "comprises," "comprising," "includes," "including," "has," "having," or any other variation thereof, are intended to cover a non-exclusive inclusion. For example, a process, product, article, or apparatus that comprises a list of elements is not necessarily limited only those elements but may include other elements not expressly listed or inherent to such process, process, article, or apparatus.

[00201] Furthermore, the term "or" as used herein is generally intended to mean "and/or" unless otherwise indicated. For example, a condition A or B is satisfied by any one of the following: A is true (or present) and B is false (or not present), A is false (or not present) and B is true (or present), and both A and B are true (or present). As used herein, including the claims that follow, a term preceded by "a" or "an" (and "the" when antecedent basis is "a" or "an") includes both singular and plural of such term, unless clearly indicated within the claim otherwise (i.e., that the reference "a" or "an" clearly indicates only the singular or only the plural). Also, as used in the description herein and throughout the claims that follow, the meaning of "in" includes "in" and "on" unless the context clearly dictates otherwise. The scope of the present disclosure should be determined by the following claims and their legal equivalents.

WHAT IS CLAIMED IS:

1. A filtration system for filtration of liquids, comprising:
a non-cylindrical removable filter cassette having a filter inlet port and a filter outlet port;
a filter cassette holder configured to receive and retain the filter cassette; and
a main assembly further comprising a filter inlet interface port configured to receive the filter inlet port, and a filter outlet interface port configured to receive the filter outlet port and defining flow paths to direct flow to and from the filter cassette; and
wherein the filter cassette holder is movably coupled to the main assembly such that the filter cassette is movable relative to the main assembly from an unmated position to a mated position.
2. The filtration system of Claim 1, further comprising a set of filter cassette receiving area sidewalls at least partially defining a filter cassette receiving area open at a first end to receive the filter cassette.
3. The filtration system of Claim 2, wherein the cassette receiving area and filter cassette are keyed such that the filter cassette has a proper orientation relative to the cassette receiving area.
4. The filtration system of Claim 2, wherein the cassette holder further comprises a sheath defining the filter cassette receiving area.
5. The filtration system of Claim 4, wherein the sheath is rotatably coupled to the main assembly such that the filter cassette is rotatable from the unmated position to the mated position.
6. The filtration system of Claim 5, wherein when the filter cassette is in the unmated position, the filter cassette is tilted downward away from the filter inlet port.
7. The filtration system of Claim 6, wherein the main assembly further comprises:
a base portion below the filter cassette holder, the base portion coupled to the filter inlet interface port; and
a support arm overhanging the filter cassette holder, the support arm coupled to the filter outlet interface port.

8. The filtration system of Claim 7, wherein:

the main assembly further comprises a guide member defining a first track and a second track;

the sheath comprises a sheath guide pin disposed in the first track;

the support arm comprises a support arm guide pin disposed in the second track;

and

the first and second track are shaped so that rotation of the guide member from a first guide member position to a second guide member position causes the filter inlet port to mate with the filter inlet interface port before the filter outlet interface port mates with the filter outlet port.

9. A filtration system for filtration of liquids, comprising:

a set of non-cylindrical removable filter cassettes having a filter inlet port and a filter outlet port;

a set of filter cassette holders configured to receive and retain the set of filter cassettes in a set of filter cassette receiving areas; and

a main assembly further comprising filter inlet interface ports configured to receive the filter inlet ports and filter outlet interface ports configured to receive the filter outlet ports and defining flow paths to direct flow to and from the filter cassettes; and

wherein each filter cassette holder is movably coupled to the main assembly such that a corresponding filter cassette is independently movable relative to the main assembly from an unmated position to a mated position.

10. The filtration system of Claim 9, wherein the set of cassette receiving areas and set of filter cassettes are keyed such that each filter cassette has a proper orientation relative to a corresponding cassette receiving area.

11. The filtration system of Claim 9, wherein each filter cassette holder further comprises a sheath defining a corresponding filter cassette receiving area.

12. The filtration system of Claim 11, wherein each sheath is rotatably coupled to the main assembly such that the corresponding filter cassette is rotatable from the unmated position the mated position.

13. The filtration system of Claim 11, wherein when the corresponding filter cassette is in the unmated position, the corresponding filter cassette is tilted downward away from the filter inlet port.

14. The filtration system of Claim 11, wherein the main assembly further comprises:
a base portion below the set of filter cassette holders, the base portion coupled to the filter inlet interface ports; and
a set of support arms overhanging the set of filter cassette holders, the set of support arm coupled to the filter outlet interface ports.

15. The filtration system of Claim 14, wherein:
the main assembly further comprises a set of guide members, each guide member defining a first track and a second track;
each sheath comprises a sheath guide pin disposed in the first track of a corresponding guide member;
each support arm comprises a support arm guide pin disposed in the second track of a corresponding guide member; and
the first and second track are shaped so that rotation of the guide member from a first guide member position to a second guide member position causes a corresponding sheath to rotate such that the filter inlet port of the corresponding filter cassette mates with a corresponding filter inlet interface port before the filter outlet interface port mates of said corresponding filter cassette mates with a corresponding filter outlet port.

16. The filtration system of Claim 9, wherein the set of filter cassettes are connected in series.

17. The filtration system of Claim 9, wherein the set of filter cassettes are connected in parallel.

18. The filtration system of Claim 9, wherein the main assembly comprises a set of reconfigurable flow paths.