

PROTOCOL CONVERTER APPARATUS AND METHOD

TECHNICAL FIELD OF THE INVENTION

5 This invention relates in general to communications, and more particularly to a protocol converter apparatus and method.

BACKGROUND OF THE INVENTION

10 A communications system includes a collection of interconnected devices. Often these devices communicate data using different protocols. For example, a remote that communicates data in a first protocol may desire to access a host that communicates data in a second protocol. A protocol converter may be used to allow
15 communications between the remote and the host.

As communications systems become larger and more complex to serve a variety of devices, protocol converters should adapt to service an ever-increasing number of communications protocols. Hardware-based
20 protocol converters are difficult to adapt to service new protocols. Current software-based protocol converters often suffer from a lack of modular design, an inflexible and unreliable hardware/software interface, and an inability to adapt quickly and efficiently to new and
25 changing communications protocols.

SUMMARY OF THE INVENTION

In accordance with the present invention, the disadvantages and problems associated with protocol

converters in a communications system have been substantially reduced or eliminated.

5 In accordance with one embodiment of the present invention, a communications system includes a remote that transmits first data in a first protocol and a host that receives second data in a second protocol. A protocol converter coupled to the remote and the host receives first data from the remote. The protocol converter includes a first facility, a utility, and a second
10 facility running as processes on the protocol converter. The first facility communicates first data to the utility, the utility translates first data into second data, and the second facility communicates the second data to the host.

15 Important technical advantages of the present invention include a protocol converter with a modular design to adapt quickly and efficiently to new and changing communications protocols. This modular design is provided by software processes called facilities and
20 utilities. A facility provides support for a specific link layer communications protocol, whereas a utility provides translation between higher level protocols. In a particular embodiment, utilities may be cascaded to provide layered protocol support.

25 Another important technical advantage includes managing the communications between facilities and utilities. In one embodiment, a communications subsystem maintains a process table having an entry for each process running on the protocol converter. In this
30 manner, functions between utilities and facilities are isolated, process interfaces are clearly defined and robust, and the hardware/software interface in the protocol converter is accurately defined and reliably maintained. Other important technical advantages of the
35 present invention include a session manager that spawns facilities and utilities, a logon subsystem, and a

translator subsystem. Other technical advantages are readily apparent to one skilled in the art from the following figures, descriptions, and claims.

5 BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention, and for further features and advantages, reference is now made to the following description taken in conjunction with the accompanying drawings, in which:

10 FIGURE 1 illustrates a communications system having a protocol converter;

FIGURE 2 illustrates a simplified block diagram of the operation of the protocol converter;

15 FIGURES 3A and 3B are a flow chart of a method for establishing a communications session using the protocol converter; and

FIGURE 4 is a flow chart of a method to manage communications among the processes running on the protocol converter.

20

DETAILED DESCRIPTION OF THE INVENTION

FIGURE 1 illustrates a communications system 10 that includes a host 12, a protocol converter 14, and a plurality of remotes 16, 18, and 20. Host 12 and remotes 16, 18, and 20 may operate using different communications protocols. A protocol is generally any format, definition, or specification for the communication of data, whether implemented in software, hardware, or both. A protocol may include, without limitation, transmission rates, frame formats, blocking formats, text formats, stop/start indicators, framing and heading indicators, field definitions, checksum values, carriage return and line feed (CR/LF) indicators, and any other suitable information that specifies the content or nature of the transmitted data. In general, protocol converter 14

25

30

35

establishes communications sessions that allow host 12 and remotes 16, 18, and 20 to exchange data.

Host 12 comprises a memory 22 and a processor 24 that together operate to store, process, or manipulate data. Memory 22 and processor 24 are coupled to an interface 26 using bus 28. Interface 26 of host 12 couples to interface 30 of protocol converter 14 using link 28. Generally, host 12 may be any processing device coupled to any suitable wireline or wireless link 28 to communicate data with other processing devices. In one particular embodiment, host 12 comprises a main frame computer and link 28 communicates data using the IBM 3770 communications protocol.

Remotes 16, 18, and 20 each include a memory 32 and a processor 34 that together operate to store, process, or manipulate data. Memory 32 and processor 34 of remotes 16, 18, and 20 are coupled to an interface 36 using bus 38. Interfaces 36 for remotes 16, 18, and 20 couple to interface 40 of protocol converter 14 using links 42, 44, and 46, respectively. Generally, remotes 16, 18, and 20 may be any processing device coupled to any suitable wireline or wireless link 42, 44, and 46, respectively, to communicate data with other processing devices. For example, remotes 16, 18, and 20 may be mainframes, mini-frames, or personal computers and links 42, 44, and 46, respectively, communicate data using ASYNC, BISYNC, SDLC/SNA, X.25, TCP/IP, X.400, or any suitable communications protocol. For example, the ASYNC family of protocols may include specific implementations, such as XMODEM, YMODEM, ZMODEM, KERMIT, or other standards of asynchronous data communications.

As described above, protocol converter 14 couples to host 12 using interface 30 and to remotes 16, 18, and 20 using interface 40. In a particular embodiment, interface 30 comprises IBM host LUA hardware that implements the IBM communications manager. Interface 30

may also be any other suitable hardware that supports token ring, leased line, or switched line communications between protocol converter 14 and host 12. In a particular embodiment, interface 40 comprises one or more
5 real-time interface coprocessors (RTIC) cards that implement any suitable synchronous, asynchronous, or other communications protocol between remotes 16, 18, and 20 and protocol converter 14.

Interfaces 30 and 40 are coupled to and interact
10 with processes 50 and subsystems 60 of protocol converter 14. Processes 50 include a session manager 52, a set of facilities 54, and a set of utilities 56. Subsystems 60 include a logon subsystem 62, a communications subsystem 64, and a translator subsystem 66. Logon subsystem 62 is
15 coupled to a logon database 68 that stores configuration data and logon definitions for users of protocol converter 14. Communications subsystem 64 is coupled to a process table 70 that maintains a list of processes 50 running on protocol converter 14. In a particular
20 embodiment, subsystems 60 are dynamically linked libraries (DLLs) that are accessed using an application programmer interface (API).

An important technical advantage of protocol converter 14 is the use of facilities 54 and utilities 56
25 running as software processes on protocol converter 14. Protocol converter 14 maintains a set of facilities 54, each designed to support a specific link layer or line layer communications protocol, such as ASYNC, BISYNC, X.25, and others. In a particular embodiment, facilities
30 54 support an ASYNC passthrough protocol that passes data to utilities 56 with a minimum of alteration. Utilities 56 may then provide much of the protocol conversion of the raw ASYNC passthrough data in software, which provides greater flexibility for supporting new
35 protocols. If a link layer protocol is changed or a new link layer protocol is added, additional facilities 54

may be written or existing facilities 54 may be modified to add additional functionality to protocol converter 14.

Protocol converter 14 also maintains a set of utilities 56 that provide higher level protocols, such as TCP/IP, XMODEM, YMODEM, ZMODEM, and KERMIT, as well as conversion, translation, formatting, or other interfacing functions between two facilities 54 in a communications session. Furthermore, utilities 56 may be written to provide customized communications sessions associated with individual users, groups of users, or specific devices in communications system 10. Additional utilities 56 may be written or existing utilities 56 modified to add functionality to protocol converter 14.

Utilities 56 may also be cascaded to provide layered protocol support. For example, a typical TCP/IP communications session between remote 16 and protocol converter 14 may be supported by a single ASYNC facility and several cascaded or layered utilities. In such a session, the lowest level ASYNC facility receives data from remote 16 and communicates the data to an Internet protocol (IP) utility. The IP utility then communicates the data to a transport communication protocol (TCP) utility, which in turn communicates the data to the highest level file transfer protocol (FTP) utility. Similarly, data communicated from protocol converter 14 to remote 16 passes through FTP utility, TCP utility, IP utility, and ASYNC facility. In this manner, utilities 56 may be arranged in layers to provide a flexible and powerful protocol conversion function. It should be understood that utility 56 may refer to a single utility or a collection of cascaded or layered utilities.

Both facilities 54 and utilities 56 operate as software processes with defined functions and data interfaces that provide a modular software design that promotes debugging and modification of protocol converter 14. By spawning, linking, and layering facilities 54 and

utilities 56, session manager 52 can reliably construct a variety of communications sessions in protocol converter 14.

5 Protocol converter 14 may operate on one or more
computers, shown generally as computer 72. Computer 72
maintains and executes the instructions to implement
processes 50 and subsystems 60. Computer 72 may include
an input device 74, such as a keypad, touch screen, or
other device that can accept information. Output device
10 76 conveys information associated with the operation of
protocol converter 14, including digital or analog data,
visual information, or audio information. Both input
device 74 and output device 76 may include fixed or
removable storage media, such as a magnetic computer
15 disk, CD-ROM, or other suitable media to both receive
output from and provide input to protocol converter 14.
Processor 78 and its associated volatile or non-volatile
memory execute instructions and manipulate information in
accordance with the operation of protocol converter 14.

20 In operation, protocol converter 14 establishes a
communications session between two or more devices using
different communications protocol. In one example,
remote 16 may desire to communicate with host 12. Remote
16 communicates data at a first protocol to interface 40
25 of protocol converter 14 using link 42. Interface 40
then passes this data to selected facilities 54 and
utilities 56 to accomplish conversion of the data to a
second protocol. The data in the second protocol is then
passed to interface 30, which in turn passes the data in
30 the second protocol to host 12 using link 28.

 During communications between remote 16 and host 12,
session manager 52 spawns processes 50, including
selected facilities 54 and utilities 56. Communications
subsystem 64 maintains a list of spawned processes 50 in
35 process table 70 to manage communications among processes
50. Logon subsystem 62 receives logon information from

remote 16 and accesses configuration data and logon definitions from logon database 68. This information may be used to select and/or configure processes 50 that establish the communications session between remote 16 and host 12. The translator subsystem 66 may be utilized by processes 50 to perform data conversions.

FIGURE 2 illustrates a simplified block diagram of the operation of protocol converter 14 to establish a communications session between remote 16 and host 12. This communications session utilizes processes 50 and subsystems 60. Although FIGURE 2 describes the communications session as originating from remote 16 and terminating at host 12, it should be understood that the present invention contemplates unidirectional or bidirectional communications originated from any processing device in communications system 10 and terminating at any other processing device.

Data in a first protocol from remote 16 is received at interface 40 of protocol converter 14. Interface 40 passes the data to facility 100 which supports the link layer communications protocol utilized by remote 16. Facility 100 communicates the content or existence of the received data to session manager 102 which spawns utility 104. Facility 100 then communicates data to utility 104. In a particular embodiment, session manager 102 may spawn an additional utility 106 which couples to utility 104. Utility 106 communicates data to facility 108 which supports communication of data in a second link layer protocol utilized by host 12. Facility 108 then communicates data in the second protocol to interface 30 for delivery to host 12. Utility 104, utility 106, or both may be comprised of several cascaded or layered utilities.

In one embodiment, utilities 104 and 106 may be a single utility spawned by session manager 102, as indicated by dashed line 109. If utilities 104 and 106

are separate utilities spawned by session manager 102, then utility 104 may translate data received from facility 100 in a first protocol into raw data for delivery to utility 106. Raw data may be any suitable
5 generic representation of data that is communicated between utilities. This raw data may be coupled to other utilities 110 for translation and delivery to other devices. In addition, the output of utility 106 may be coupled to other facilities 112 for delivery to interface
10 30, interface 40, or other interface circuitry of protocol converter 14. For example, one of the other facilities 112 may couple to a portion of interface 40 to deliver data in a first protocol from remote 16 to data in a second protocol to remote 18, remote 20, or both.

15 During the establishment of a communications session between remote 16 and host 12, communications subsystem 64 generates a process table 70 that includes an entry for processes 50 running on protocol converter 14. Processes 50 may be entered into process table 70 as the
20 communications session is established or may already be entered upon power up or initialization of protocol converter 14. Each entry in process table 70 includes a process name 120, a process ID 122, data 124, and a semaphore 126.

25 For the particular embodiment of FIGURE 2, process table 70 includes the following entries to support the communications session between remote 16 and host 12: facility 100, session manager 102, utility 104, utility 106, and facility 108. Each entry is given a separate
30 identifier 122 that is used in messaging between processes 50. For example, a message sent from facility 100 to utility 104 may specify its source process ID 122 as "1" and its destination process ID 122 as "3". Communications subsystem 64 operates to control the
35 messaging between processes 50, as described in more detail below with reference to FIGURE 4.

Logon subsystem 62 coupled to logon database 68 is accessible by all processes 50 to retrieve configuration data and logon definitions for users of protocol converter 14. For example, logon database 68 may include
5 a logon definition that specifies utility 104 to be spawned by session manager 102 upon reception of data from remote 16. Logon database 68 may also include a second or outgoing logon definition for remote 16 that specifies utility 106 or other utilities 110 to be
10 spawned by session manager 102 for completing the communications session. In this manner, logon subsystem 62 can maintain separate incoming and outgoing logon definitions that are associated with a first communications link between remote 16 and protocol
15 converter 14 and a second communications link between protocol converter 14 and host 12. These logon definitions may be combined to establish a variety of communications session between devices in communications system 10. In addition, logon subsystem 62 may retrieve
20 information from logon database 68 to validate a user name and password provided by remote 16, or to access or process other account information of remote 16.

Translator subsystem 66 may be accessed by utilities 104, 106, and 110 to provide data translation. For
25 example, utility 104 may desire data to be translated from ASCII format into an IBM-compatible format, such as EBCDIC. In addition, translator subsystem 66 may also be utilized by utilities 104, 106, and 110 to manipulate records separation, such as carriage return and line feed
30 information, and to provide other formatting of data. Therefore, translator subsystem 66 comprises a set of commonly accessible routines that perform a specific operation on data.

FIGURES 3A and 3B are a flow chart of a method for
35 establishing a communications session between remote 16 and host 12. The method begins at step 200 where a first

interface 40 receives data from remote 16. The data is transferred to first facility 100 at step 202. First facility 100 then sends a message to session manager 102 at step 204 indicating that first facility 100 has
5 received data from or otherwise established communications with remote 16. At this point, session manager 102 may proceed using two different embodiments, as indicated collectively by steps 205 or 221.

In one embodiment using steps 205, session manager
10 102 spawns first utility 104 at step 206 in response to the message received from first facility 100. At step 208, session manager 102 sends a message to first utility 104 with the message source designated as first facility 100. In response, first utility 104 sends an
15 acknowledgment to first facility 100 at step 210. In this manner, session manager 102 utilizes the source address of the message sent to first utility 104 to establish communications between first facility 100 and first utility 104. First utility 104, now coupled with
20 first facility 100, receives logon information from remote 16 at step 212. At step 214, first utility 104 accesses logon subsystem 62 to validate logon information received from remote 16. If the logon information is not validated at step 214, then the communications session is
25 terminated at step 216.

In another embodiment using steps 221, session manager 102 receives logon information from first facility 100 at step 220. At step 222, session manager 102 accesses logon subsystem 62 and logon database 68 to
30 validate logon information received from remote 16. If the logon information is not validated at step 222, then the communications session is terminated at step 224. If the logon information is validated at step 222, then session manager 102 spawns first utility 104 at step 226
35 in response to the logon information. For example, the logon information received by session manager 102 from

remote 16 or logon database 68 may specify a particular utility 104 to be utilized in the communications session between remote 16 and host 12. At step 228, session manager 102 sends a message to first utility 104 with the message source designated as first facility 100. First utility 104 sends an acknowledgment to first facility 100 at step 230. This acknowledgment of the message received from session manager 102 establishes communications between first facility 100 and first utility 104.

After spawning first utility 104 using steps 205 or steps 221, session manager 102 may spawn second utility 106. These steps designated collectively as steps 240 are optional if the communications session between remote 16 and host 12 does not utilize second utility 106. If second utility 106 is utilized in the communications session, then session manager 102 spawns second utility in response to logon information at step 242. For example, logon information received from remote 16 or retrieved using logon subsystem 62 and logon database 68 may specify second utility 106 to be utilized in the communications session. At step 244, session manager 102 sends a message to second utility 106 with the message source designated as first utility 104. Second utility 106 sends an acknowledgment to first utility 104 at step 246. This acknowledgment of the message received from session manager 102 establishes communications between first utility 104 and second utility 106.

Second facility 108 receives data from first utility 104 or second utility 106 at step 248, depending on whether the communications session between remote 16 and host 12 utilizes second utility 106. Second facility 108 transfers this data to second interface 30 at step 250. Second interface 30 establishes communications with host 12 at step 252, and the establishment of the communications session between remote 16 and host 12 is complete.

FIGURE 4 is a flow chart of a method to manage communications of messages among processes 50 running on protocol converter 14. In the embodiment of FIGURE 2, this method manages communications among the following process 50: facility 100, session manager 102, utility 104, utility 106, and facility 108. The method begins at step 300 where a first process informs communications subsystem 64 that it has data for a second process. This data may be a message, instruction, response, acknowledgment, or any other information to be communicated from the first process to the second process.

Communications subsystem 64 posts data in data field 124 of process table 70 associated with the second process at step 302. Communications subsystem 64 sets semaphore 126 of process table 70 associated with the second process at step 304. The second process then detects the setting of its associated semaphore 126 and receives data stored in its associated data field 124 at step 306.

In one embodiment, the second process may process the data and generate other data for a third process at step 308. The second process informs communications subsystem 64 that it has data for the third process at step 310. Communications subsystem 64 posts data in the data field 124 of process table 70 associated with the third process at step 312. Communications subsystem 64 sets semaphore 126 of process table 70 associated with the third process at step 314. The third process then detects its associated semaphore 126 and receives data from its associated data field 124 at step 316. In this manner, communications subsystem 64 utilizing process table 70 is able to strictly and consistently manage communications between separate processes 50 running on protocol converter 14.

Although the present invention has been described with several embodiments, a myriad of changes, variations, alterations, transformations, and modifications may be suggested to one skilled in the art, and it is intended that the present invention encompass such changes, variations, alterations, transformations, and modifications as fall within the spirit and scope of the appended claims.



WO 97/25665

THIS SHEET HAS BEEN
REPLACED

PCT/US96/19596

15

WHAT IS CLAIMED IS:

1. A communications system comprising:
a remote operable to transmit first data in a first
protocol;
5 a host operable to receive second data in a second
protocol; and
a protocol converter coupled to the remote and the
host, the protocol converter operable to receive first
data from the remote, the protocol converter comprising a
10 first facility, a utility, and a second facility running
as processes on the protocol converter, the first
facility operable to communicate first data to the
utility, the utility operable to translate first data
into second data, and the second facility operable to
15 communicate the second data to the host.
2. The communications system of Claim 1, wherein
the utility comprises:
a first utility operable to receive first data from
20 the first facility and to translate first data into raw
data; and
a second utility operable to receive raw data from
the first utility and to translate raw data into second
data.
25
3. The communications system of Claim 1, wherein
the protocol converter comprises a logon subsystem
operable to retrieve logon information associated with
the remote.
30
4. The communications system of Claim 1, wherein
the protocol converter comprises a logon subsystem
operable to retrieve logon information associated with
the remote, wherein the logon information specifies the
35 utility.

This Sheet Has Been Replaced





THIS SHEET HAS BEEN
REPLACED

WO 97/25665

PCT/US96/19596

16

5. The communications system of Claim 1, wherein the protocol converter comprises a communications subsystem operable to manage communications among the processes running on the protocol converter.

5

6. The communications system of Claim 1, wherein the protocol converter comprises:

a communications subsystem operable to manage communications among the processes running on the protocol converter; and

10

a process table coupled to the communications subsystem, the process table having an entry for each process running on the protocol converter.

15

7. The communications system of Claim 1, wherein the protocol converter comprises a session manager operable to spawn processes running on the protocol converter.

20

This Sheet Has Been Replaced





THIS SHEET HAS BEEN
REPLACED

WO 97/25665

PCT/US96/19596

17

8. A protocol converter for communicating data between a remote and a host, the protocol converter comprising:

5 a first facility operable to receive first data in a first protocol from the remote;

a utility coupled to the first facility and operable to receive first data from the first facility, the utility operable to translate first data into second data in a second protocol; and

10 a second facility coupled to the utility, the second facility operable to receive second data from the utility and to communicate second data to the host.

15 9. The protocol converter of Claim 8, wherein the first facility, the utility, and the second facility run as processes on the protocol converter.

10. The protocol converter of Claim 8, wherein the utility comprises:

20 a first utility operable to receive first data from the first facility and to translate first data into raw data; and

25 a second utility operable to receive raw data from the first utility and to translate raw data into second data.

30 11. The protocol converter of Claim 8, further comprising a logon subsystem operable to retrieve logon information associated with the remote.

35 12. The protocol converter of Claim 8, further comprising a logon subsystem operable to retrieve logon information associated with the remote, wherein the logon information specifies the utility.

This Sheet Has Been Replaced





WO 97/25665

THIS SHEET HAS BEEN
REPLACED

PCT/US96/19596

18

13. The protocol converter of Claim 8, wherein the first facility, the utility, and the second facility run as processes on the protocol converter, the protocol converter further comprising a communications subsystem operable to manage communications among the processes running on the protocol converter.

14. The protocol converter of Claim 8, wherein the first facility, the utility, and the second facility run as processes on the protocol converter, the protocol converter further comprising:

a communications subsystem operable to manage communications among the processes running on the protocol converter; and

a process table coupled to the communications subsystem, the process table having an entry for each process running on the protocol converter.

This Sheet Has Been Replaced





THIS SHEET HAS BEEN
REPLACED

WO 97/25665

PCT/US96/19596

19

15. A method for communicating between a remote and a host, the method comprising:

receiving, at a first facility, first data in a first protocol from the remote;

5 translating, at a utility, first data into second data in a second protocol; and

communicating, at a second facility, second data to the host.

10 16. The method of Claim 15, further comprising the steps of:

transmitting a first message to a session manager in response to the first data; and

15 spawning the utility in response to the first message.

17. The method of Claim 15, further comprising the steps of:

20 transmitting a first message from the first facility to a session manager in response to the first data;

spawning the utility in response to the first message;

transmitting a second message from the session manager to the utility; and

25 transmitting an acknowledgment to the second message from the utility to the first facility.

This Sheet Has Been Replaced





WO 97/25665

THIS SHEET HAS BEEN
REPLACED

PCT/US96/19596

20

18. The method of Claim 15, wherein the utility comprises a first utility and a second utility, and further comprising the steps of:

5 transmitting a first message from the first facility to a session manager in response to the first data; spawning the first utility in response to the first message;

transmitting a second message from the session manager to the first utility;

10 transmitting an acknowledgment to the second message from the first utility to the first facility;

spawning the second utility in response to the first message;

15 transmitting a third message from the session manager to the second utility; and

transmitting an acknowledgment to the third message from the second utility to the first utility.

20 19. The method of Claim 15, further comprising the step of receiving logon information specifying the utility.

25 20. The method of Claim 15, further comprising the step of creating a process table having an entry for the first facility, the utility, and the second facility.

This Sheet Has Been Replaced



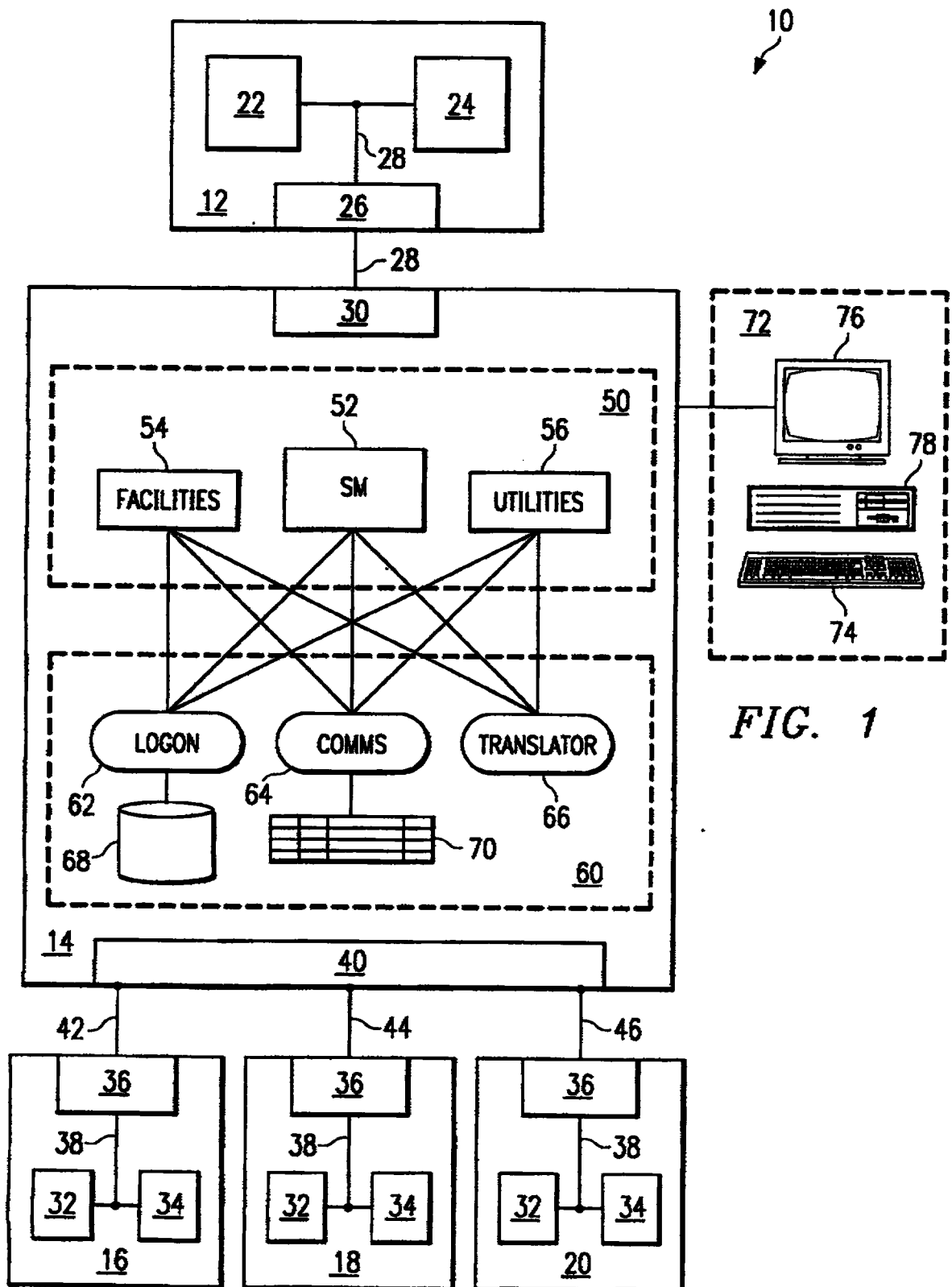
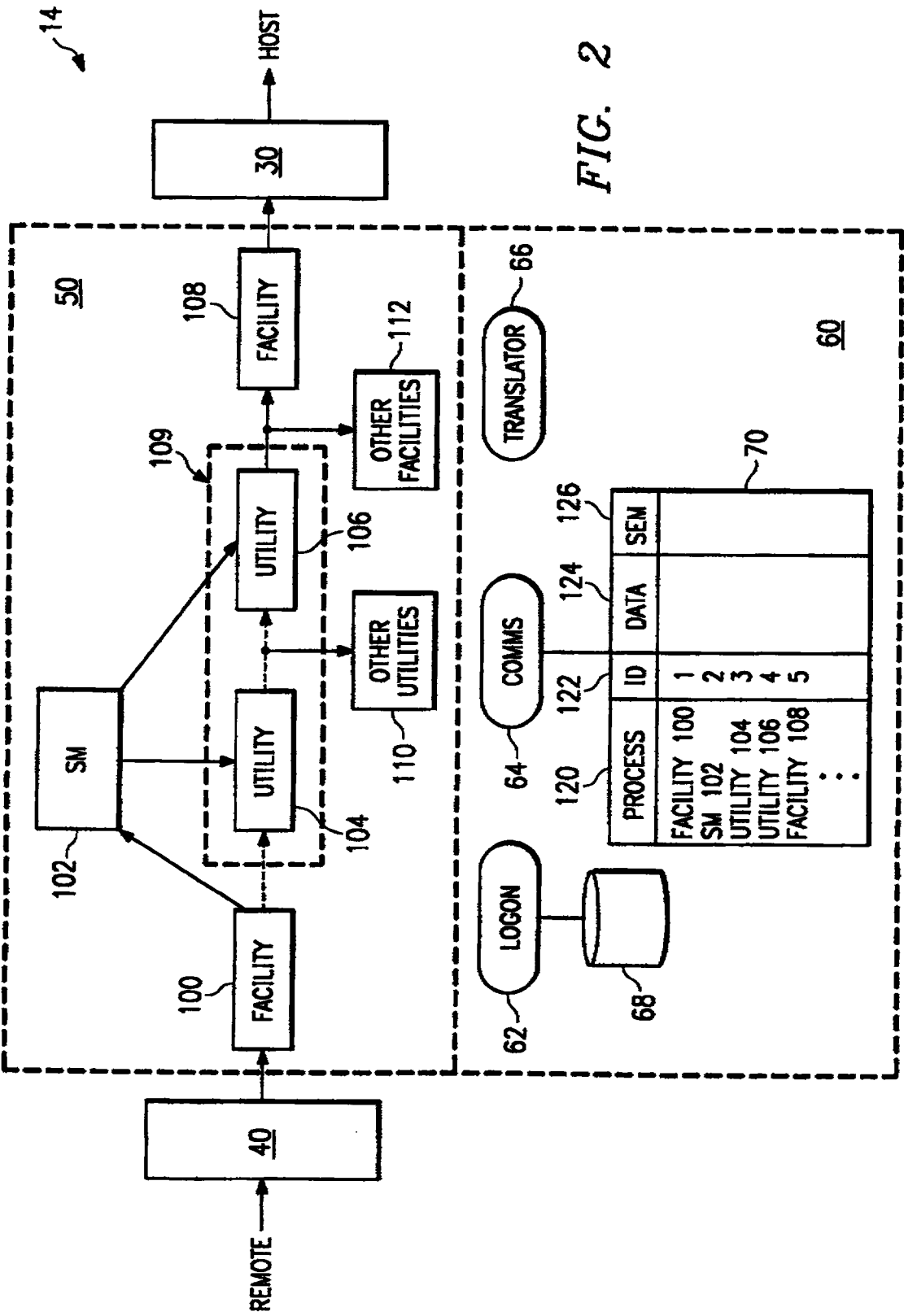


FIG. 1



3/4

FIG. 3A

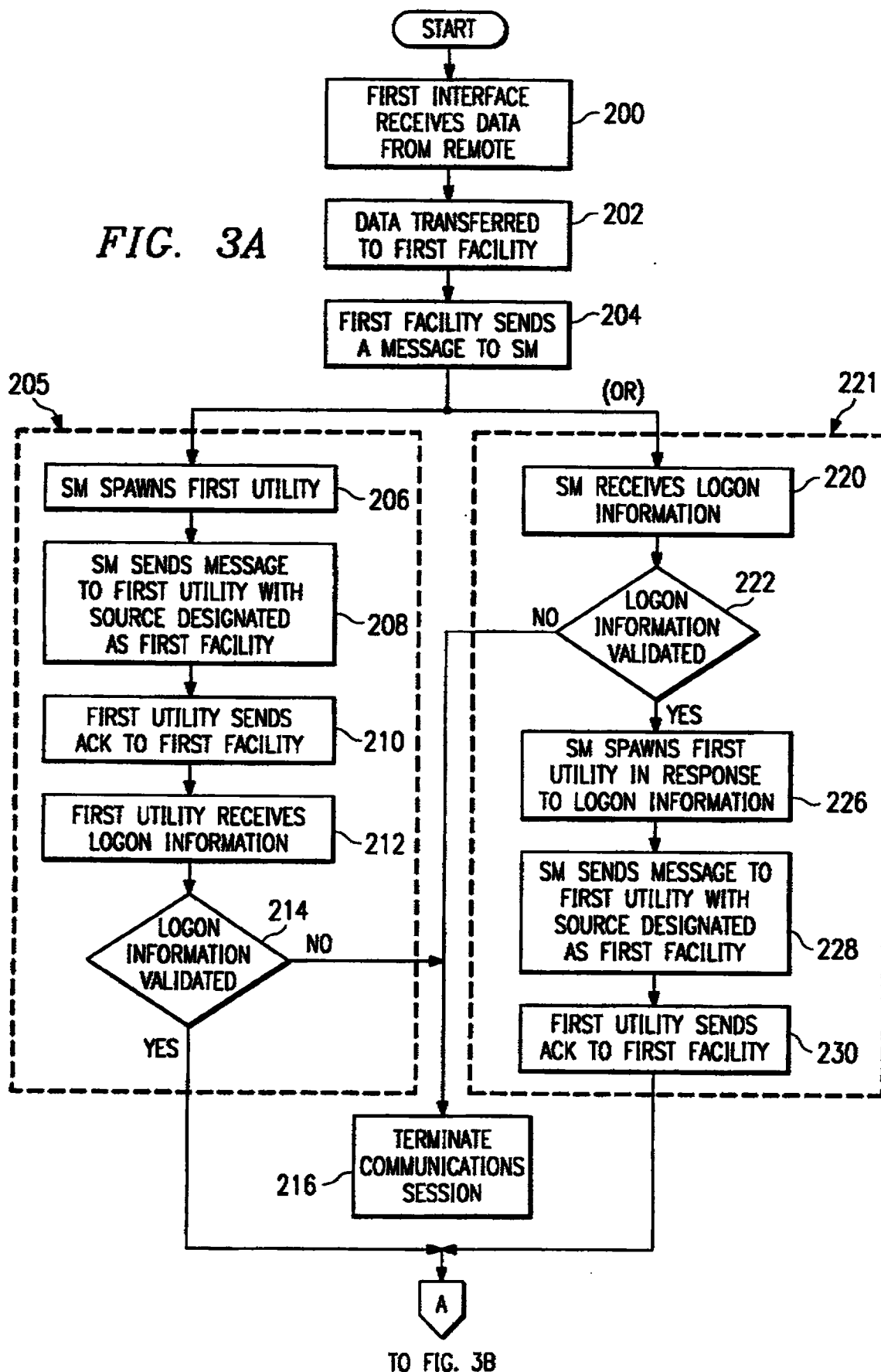
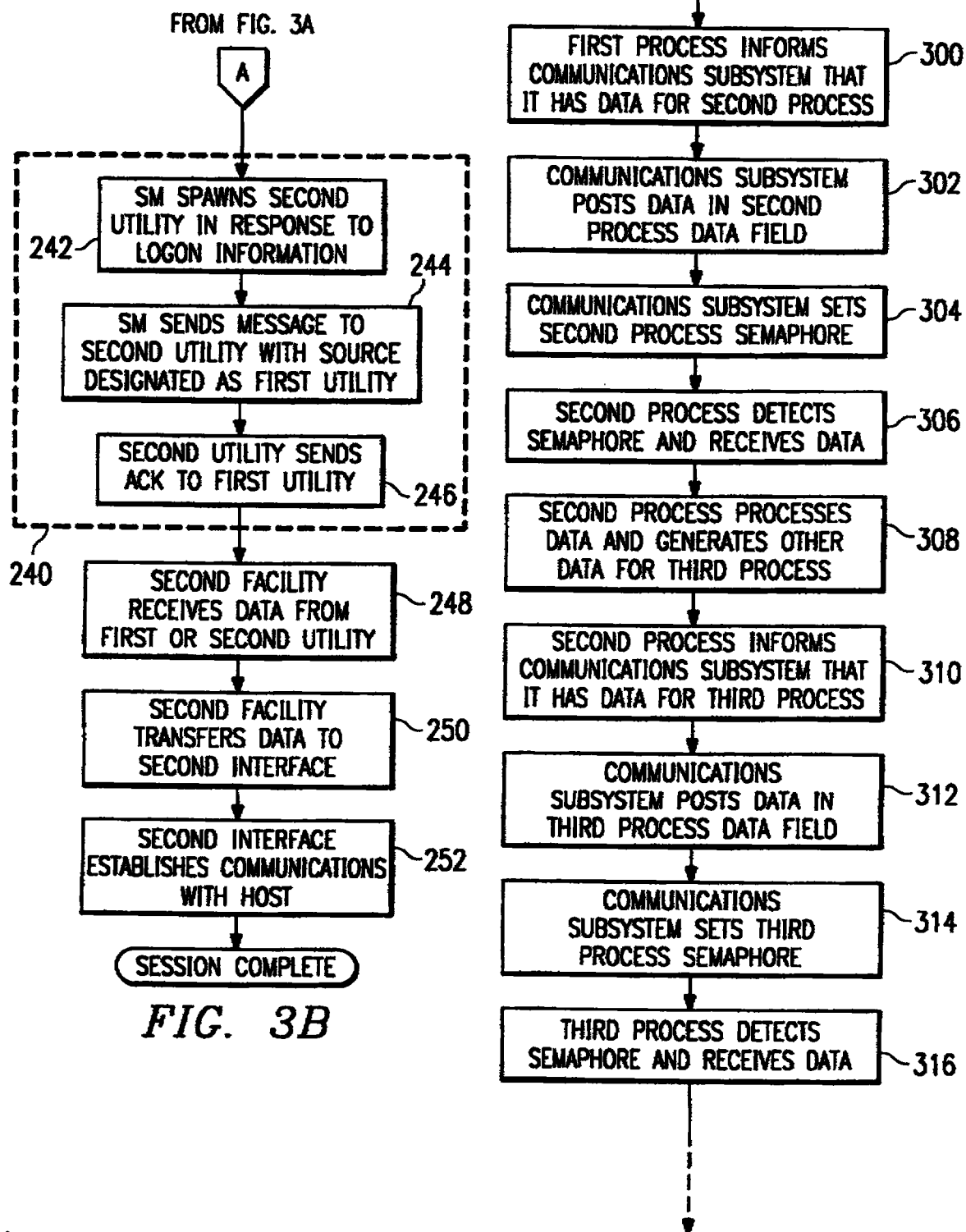


FIG. 4





THIS SHEET HAS BEEN
REPLACED

FT/US 96 / 19596

IPEA/US 01 AUG 1997

15

WHAT IS CLAIMED IS:

1. A communications system comprising:
a remote operable to transmit first data in a first protocol;
5 a host operable to receive second data in a second protocol; and
a protocol converter coupled to the remote and the host, the protocol converter operable to receive first data from the remote, the protocol converter comprising a first facility, a utility, and a second facility running
10 as software processes on the protocol converter, the first facility operable to communicate first data to the utility, the utility operable to translate first data into second data, and the second facility operable to
15 receive second data from the utility and to communicate the second data to the host.
2. The communications system of Claim 1, wherein the utility comprises:
20 a first utility operable to receive first data from the first facility and to translate first data into raw data; and
a second utility operable to receive raw data from the first utility and to translate raw data into second
25 data.
3. The communications system of Claim 1, wherein the protocol converter comprises a logon subsystem operable to retrieve logon information associated with
30 the remote.

This Sheet Has Been Replaced



AMENDED SHEET



THIS SHEET HAS BEEN
REPLACED

17/US96 / 19596
IPEA/US 01 AUG 1997

16

4. The communications system of Claim 1, wherein
the protocol converter comprises a logon subsystem
operable to retrieve logon information associated with
the remote, wherein the logon information directly
5 specifies the utility to spawn as a software process
running on the protocol converter, and a higher level
protocol associated with the utility.

5. The communications system of Claim 1, wherein
10 the protocol converter comprises a communications
subsystem operable to manage communications among the
software processes running on the protocol converter.

6. The communications system of Claim 1, wherein
15 the protocol converter comprises:

a communications subsystem operable to manage
communications among the software processes running on
the protocol converter; and

20 a process table coupled to the communications
subsystem, the process table having an entry for each
software process running on the protocol converter.

7. The communications system of Claim 1, wherein
the protocol converter comprises a session manager
25 operable to spawn an arrangement of software processes
running on the protocol converter.

This Sheet Has Been Replaced



AMENDED



THIS SHEET HAS BEEN
REPLACED

P. /US 9 6 / 1959 6
IPEA/US 01 AUG 1997

17

8. A protocol converter for communicating data between a remote and a host, the protocol converter comprising:

5 a first facility operable to receive first data in a first protocol from the remote;

a utility coupled to the first facility and operable to receive first data from the first facility, the utility operable to translate first data into second data in a second protocol; and

10 a second facility coupled to the utility, the second facility operable to receive second data from the utility in a second protocol and to communicate second data to the host;

15 wherein the first facility, the utility, and the second facility run as software processes on the protocol converter.

9. Cancelled.

20 10. The protocol converter of Claim 8, wherein the utility comprises:

a first utility operable to receive first data from the first facility and to translate first data into raw data; and

25 a second utility operable to receive raw data from the first utility and to translate raw data into second data.

30 11. The protocol converter of Claim 8, further comprising a logon subsystem operable to retrieve logon information associated with the remote.

This Sheet Has Been Replaced



AMENDED SHEET



THIS SHEET HAS BEEN
REPLACED

CT/US 9 6 / 19 5 9 6
IPEA/US 01 AUG 1997

18

5 12. The protocol converter of Claim 8, further comprising a logon subsystem operable to retrieve logon information associated with the remote, wherein the logon information directly specifies the utility to spawn as a software process running on the protocol converter, and a higher level protocol associated with the utility.

10 13. The protocol converter of Claim 8, further comprising a communications subsystem operable to manage communications among the software processes running on the protocol converter.

15 14. The protocol converter of Claim 8, further comprising:
a communications subsystem operable to manage communications among the software processes running on the protocol converter; and
20 a process table coupled to the communications subsystem, the process table having an entry for each software process running on the protocol converter.

This Sheet Has Been Replaced





THIS SHEET HAS BEEN
REPLACED

FI/US.9 6 / 1959 6

IPEA/US 01 AUG 1997

19

15. A method for communicating between a remote and a host, the method comprising:

receiving, at a first facility, first data in a first protocol from the remote;

5 translating, at a utility, first data into second data in a second protocol; and

communicating, at a second facility, second data to the host;

10 wherein the first facility, the utility, and the second facility run as software processes on the protocol converter.

16. The method of Claim 15, further comprising the steps of:

15 transmitting a first message to a session manager in response to the first data; and

spawning the utility in response to the first message.

20 17. The method of Claim 15, further comprising the steps of:

transmitting a first message from the first facility to a session manager in response to the first data;

25 spawning the utility in response to the first message;

transmitting a second message from the session manager to the utility; and

transmitting an acknowledgment to the second message from the utility to the first facility.

30

This Sheet Has Been Replaced



AMENDED SHEET



THIS SHEET HAS BEEN
REPLACED

PCT/US 6 / 1959 6
IPEA/US 01 AUG 1997

20

18. The method of Claim 15, wherein the utility comprises an arrangement between a first utility and a second utility, and further comprising the steps of:

5 transmitting a first message from the first facility to a session manager in response to the first data;

spawning the first utility in response to the first message;

transmitting a second message from the session manager to the first utility;

10 transmitting an acknowledgment to the second message from the first utility to the first facility;

spawning the second utility in response to the first message;

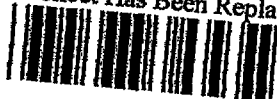
15 transmitting a third message from the session manager to the second utility; and

transmitting an acknowledgment to the third message from the second utility to the first utility.

20 19. The method of Claim 15, further comprising the step of receiving logon information directly specifying the utility to spawn as a software process running on the protocol converter, and a higher level protocol associated with the utility.

25 20. The method of Claim 15, further comprising the step of creating a process table having an entry for the first facility, the utility, and the second facility.

This Sheet Has Been Replaced



AMENDED SHEET



CT/US9 6 / 1959 6
IPEA/US 01 AUG 1997

21

5 21. The communications system of Claim 1, wherein the utility comprises a plurality of cascaded utilities, each utility operable to perform a specified protocol translation.

10 22. The protocol converter of Claim 8, wherein the utility comprises a plurality of cascaded utilities, each utility operable to perform a specified protocol translation.

15 23. The protocol converter of Claim 8, further comprising a session manager operable to spawn an arrangement of software processes running on the protocol converter.

AMENDED SHEET

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



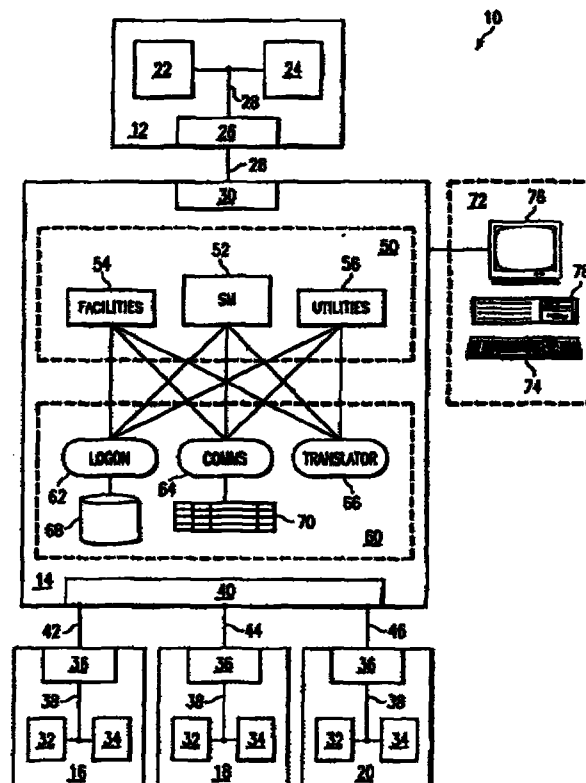
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : G06F 3/00, 13/00, 15/40, H01H 67/00, H04Q , H04J 3/24, H04M 1/64	A1	(11) International Publication Number: WO 97/25665 (43) International Publication Date: 17 July 1997 (17.07.97)
(21) International Application Number: PCT/US96/19596 (22) International Filing Date: 9 December 1996 (09.12.96) (30) Priority Data: 08/582,536 3 January 1996 (03.01.96) US (71) Applicant: STERLING COMMERCE, INC. [US/US]; Suite 1200, 300 Crescent Court, Dallas, TX 75201 (US) (72) Inventor: HADLAND, John, K.; 335 Cedar Street, Omaha, NE 68108-1219 (US). (74) Agent: MILLS, Jerry, W.; Baker & Botts, L.L.P., 2001 Ross Avenue, Dallas, TX 75201-2980 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, <u>SG</u>, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: PROTOCOL CONVERTER APPARATUS AND METHOD

(57) Abstract

A protocol converter (14) allows communications between a host (12) and remotes (16, 18, 20) that operate using different communications protocols. The protocol converter (14) includes processes (50) and subsystems (60) for establishing a communications session between the remotes (16, 18, 20) and the host (12). The processes include a session manager (52), a set of facilities (54), and a set of utilities (56). The subsystems include a logon subsystem (62), a communications subsystem (64), and a translator subsystem (66).





03 JUL 1999

WHAT IS CLAIMED IS:

1. A communications system comprising:

a remote operable to transmit first data in a first protocol;

a host operable to receive second data in a second protocol; and

a protocol converter coupled to and separate from the remote and the host, the protocol converter operable to receive first data from the remote, the protocol converter comprising a first facility, a utility, and a second facility running as software processes on the protocol converter separate from the remote and the host, the first facility operable to communicate first data to the utility, the utility operable to translate first data into second data, and the second facility operable to receive second data from the utility and to communicate the second data to the host.

2. The communications system of Claim 1, wherein the utility comprises:

a first utility operable to receive first data from the first facility and to translate first data into raw data; and

a second utility operable to receive raw data from the first utility and to translate raw data into second data.

3. The communications system of Claim 1, wherein the protocol converter comprises a logon subsystem operable to retrieve logon information associated with the remote.

4. The communications system of Claim 1, wherein the protocol converter comprises a logon subsystem operable to retrieve logon information associated with the remote, wherein the logon information directly specifies the utility to spawn as a software process running on the protocol converter, and a higher level protocol associated with the utility.



03 JUL 1999

5 5. The communications system of Claim 1, wherein the protocol converter comprises a communications subsystem operable to manage communications among the software processes running on the protocol converter.

6. The communications system of Claim 1, wherein the protocol converter comprises:

10 a communications subsystem operable to manage communications among the software processes running on the protocol converter; and

15 a process table coupled to the communications subsystem, the process table having an entry for each software process running on the protocol converter.

20 7. The communications system of Claim 1, wherein the protocol converter comprises a session manager operable to spawn an arrangement of software processes running on the protocol converter.

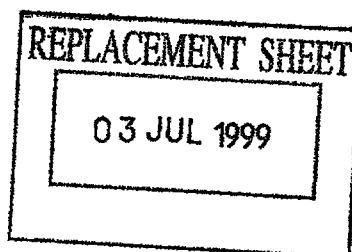
8. A protocol converter for communicating data between a remote and a host, the protocol converter comprising:

25 a first facility operable to receive first data in a first protocol from the remote;

30 a utility coupled to the first facility and operable to receive first data from the first facility, the utility operable to translate first data into second data in a second protocol; and

35 a second facility coupled to the utility, the second facility operable to receive second data from the utility in a second protocol and to communicate second data to the host;

 wherein the first facility, the utility, and the second facility run as software processes on the protocol converter separate from the remote and the host.



9. Cancelled

10. The protocol converter of Claim 8, wherein the utility comprises:

5 a first utility operable to receive first data from the first facility and to translate first data into raw data; and

a second utility operable to receive raw data from the first utility and to translate raw data into second data.

10 11. The protocol converter of Claim 8, further comprising a logon subsystem operable to retrieve logon information associated with the remote.

15 12. The protocol converter of Claim 8, further comprising a logon subsystem operable to retrieve logon information associated with the remote, wherein the logon information directly specifies the utility to spawn as a software process running on the protocol converter, and a
20 higher level protocol associated with the utility.

25 13. The protocol converter of Claim 8, further comprising a communications subsystem operable to manage communications among the software processes running on the protocol converter.

14. The protocol converter of Claim 8, further comprising:

30 a communications subsystem operable to manage communications among the software processes running on the protocol converter; and

a process table coupled to the communications subsystem, the process table having an entry for each software process running on the protocol converter.



15. A method for communicating between a remote and a host, the method comprising:

receiving, at a first facility, first data in a first protocol from the remote;

5 translating, at a utility, first data into second data in a second protocol; and

communicating, at a second facility, second data to the host;

10 wherein the first facility, the utility, and the second facility run as software processes on the protocol converter separate from the remote and the host.

16. The method of Claim 15, further comprising the steps of:

15 transmitting a first message to a session manager in response to the first data; and

spawning the utility in response to the first message.

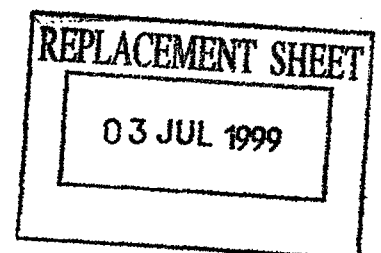
20 17. The method of Claim 15, further comprising the steps of:

transmitting a first message from the first facility to a session manager in response to the first data;

spawning the utility in response to the first message;

5 transmitting a second message from the session manager to the utility; and

transmitting an acknowledgment to the second message from the utility to the first facility.





18. The method of Claim 15, wherein the utility comprises an arrangement between a first utility and a second utility, and further comprising the steps of:

transmitting a first message from the first facility
5 to a session manager in response to the first data;
spawning the first utility in response to the first message;

transmitting a second message from the session manager to the first utility;

10 transmitting an acknowledgment to the second message from the first utility to the first facility;

spawning the second utility in response to the first message;

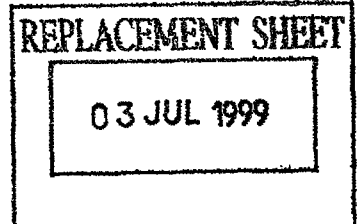
transmitting a third message from the session manager
15 to the second utility; and

transmitting an acknowledgment to the third message from the second utility to the first utility.

19. The method of Claim 15, further comprising the
20 step of receiving logon information directly specifying the utility to spawn as a software process running on the protocol converter, and a higher level protocol associated with the utility.

25 20. The method of Claim 15, further comprising the step of creating a process table having an entry for the first facility, the utility, and the second facility.

30 21. The communications system of Claim 1, wherein the utility comprises a plurality of cascaded utilities, each utility operable to perform a specified protocol translation.





22. The protocol converter of Claim 8, wherein the utility comprises a plurality of cascaded utilities, each utility operable to perform a specified protocol translation.

5

23. The protocol converter of Claim 8, further comprising a session manager operable to spawn an arrangement of software processes running on the protocol converter.

