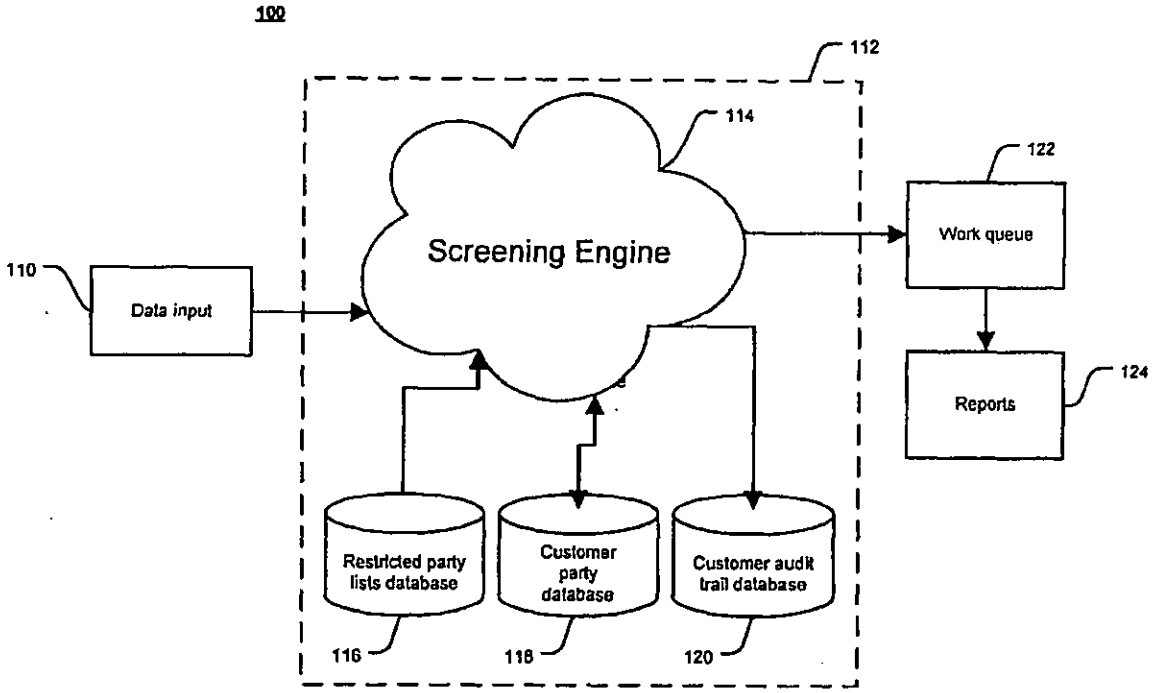

100
112
114
Screening Engine
122
Work queue
110
Data input
Reports
124
Restricted party lists database
Customer party database
Customer audit trail database
116
118
120

Fig. 1

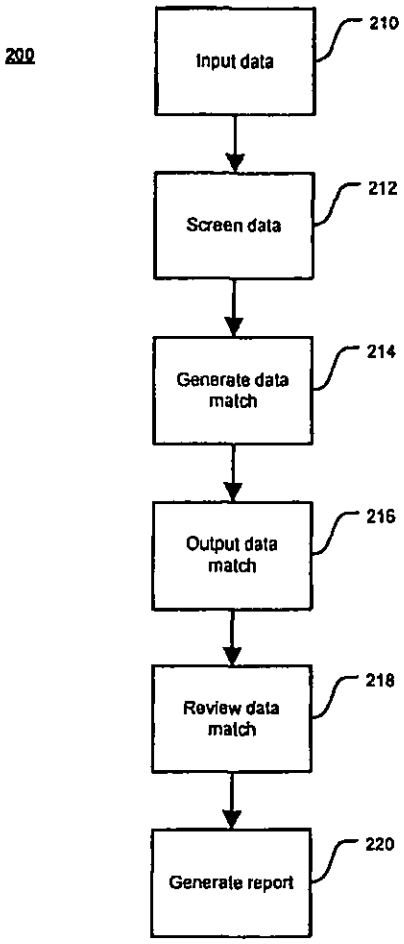

200
Input data
210
Screen data
212
Generate data match
214
Output data match
216
Review data match
218
Generate report
220

Fig. 2

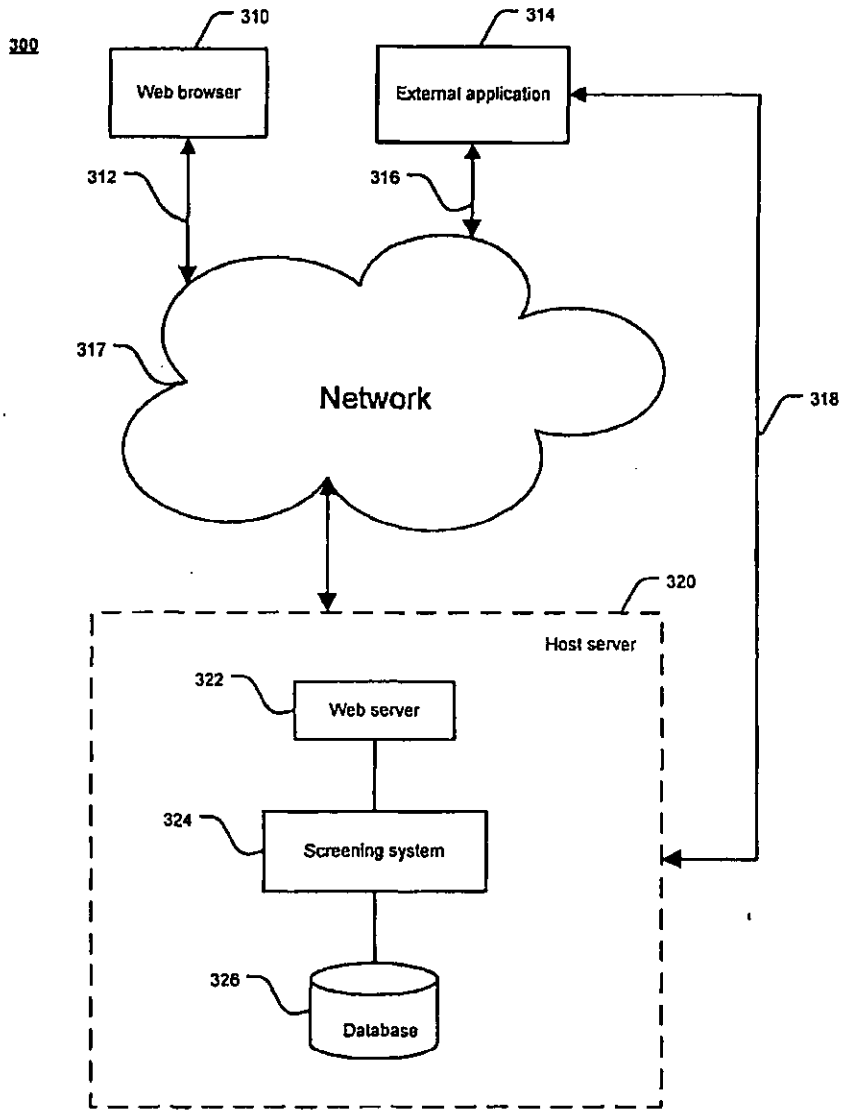

300
310
Web browser
314
External application
312
316
317
Network
318
320
Host server
322
Web server
324
Screening system
326
Database

Fig. 3

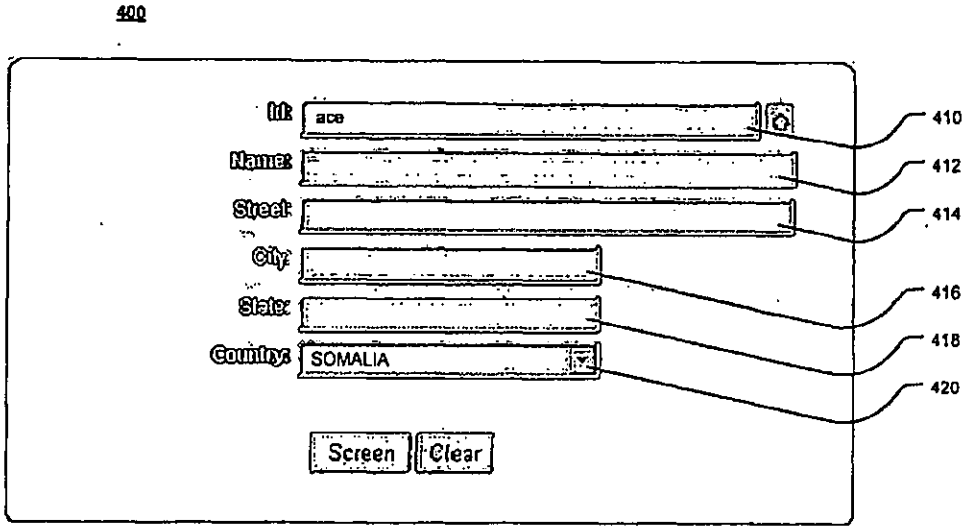


Fig. 4

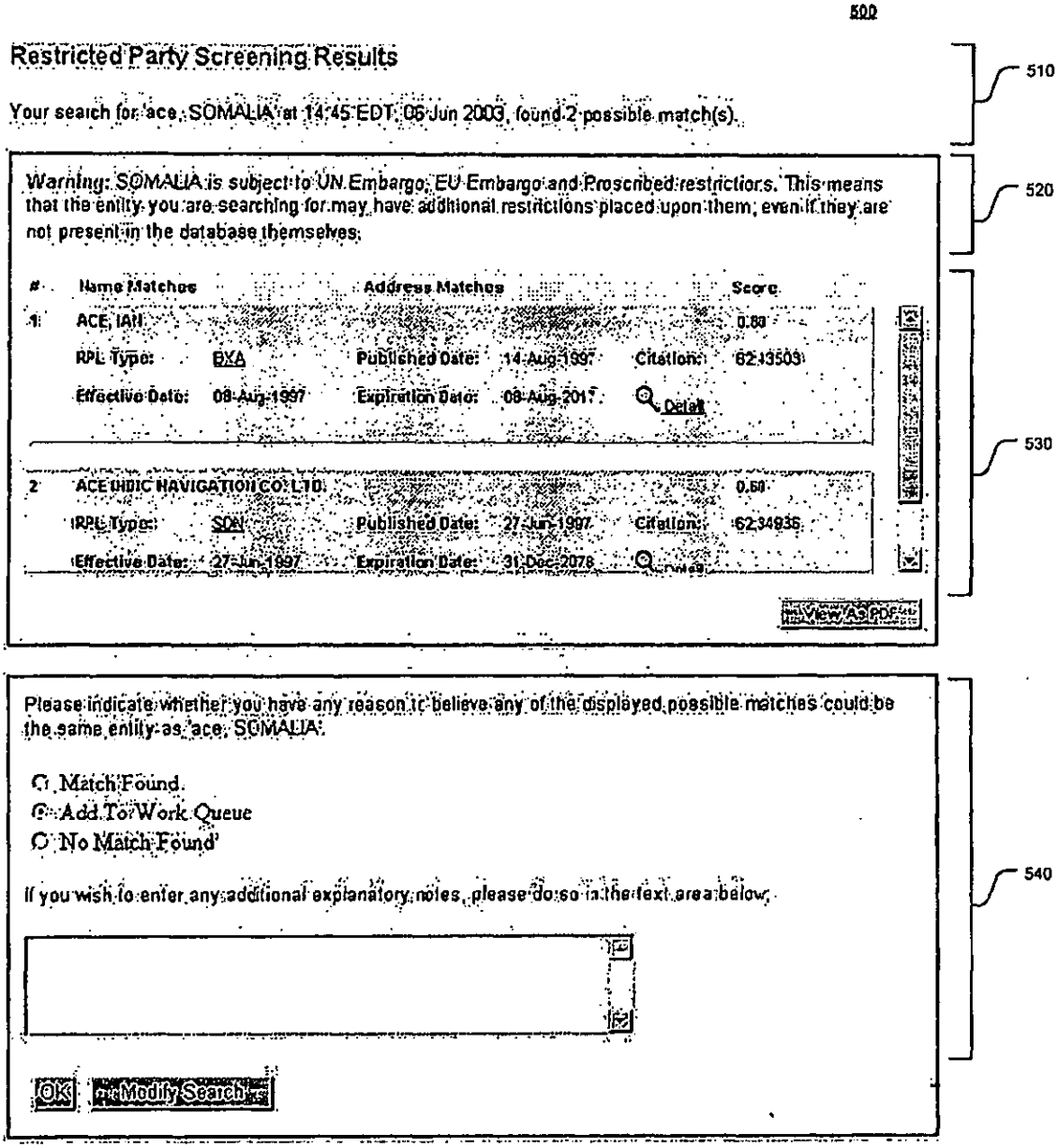

500
Restricted Party Screening Results
Your search for 'ace, SOMALIA' at 14:45 EDT, 05 Jun 2003, found 2 possible match(s).
510
Warning: SOMALIA is subject to UN Embargo, EU Embargo and Proscribed restrictions. This means that the entity you are searching for may have additional restrictions placed upon them, even if they are not present in the database themselves.
520
Name Matches Address Matches Score
1 ACE, IAN 0.60
RPL Type: BXA Published Date: 14-Aug-1997 Citation: 62:43503
Effective Date: 08-Aug-1997 Expiration Date: 08-Aug-2017 Detail
2 ACE HDIC NAVIGATION CO. LTD. 0.60
RPL Type: SDN Published Date: 27-Jun-1997 Citation: 62:34935
Effective Date: 27-Jun-1997 Expiration Date: 31-Dec-2078
530
View As PDF
Please indicate whether you have any reason to believe any of the displayed possible matches could be the same entity as 'ace, SOMALIA'.
Match Found
Add To Work Queue
No Match Found
If you wish to enter any additional explanatory notes, please do so in the text area below.
540
OK
Modify Search

Fig. 5

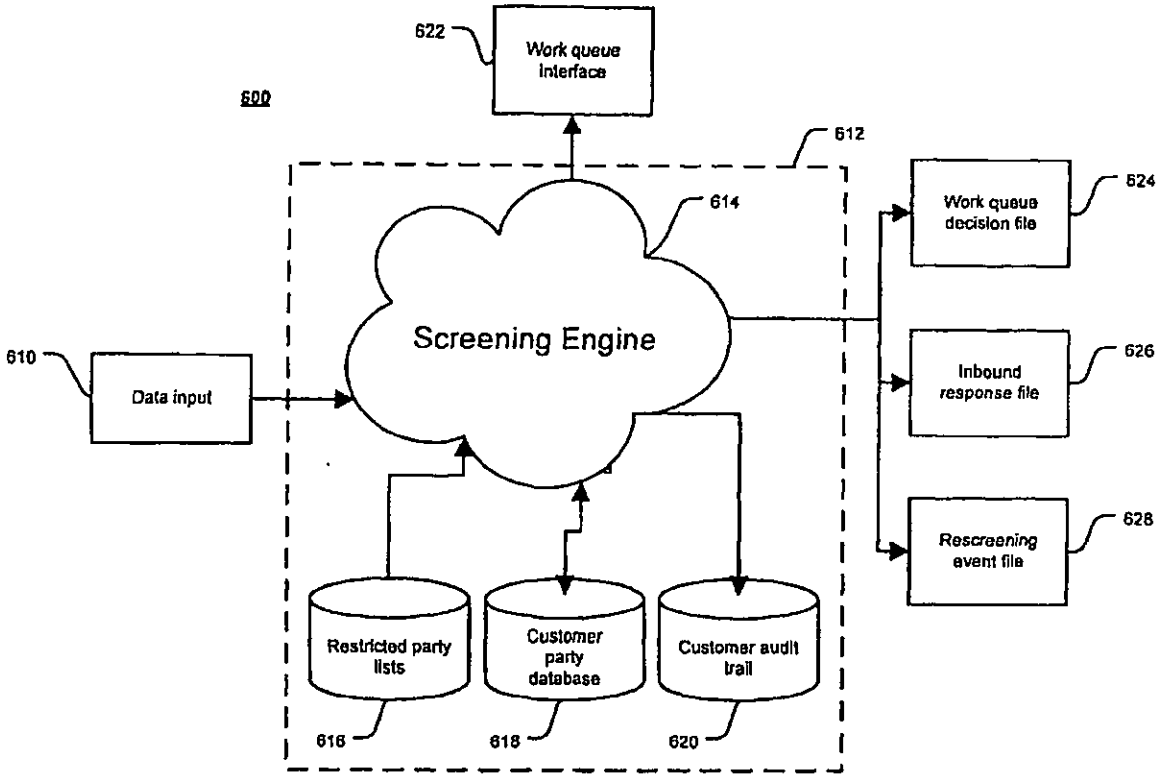

600
622
Work queue interface
612
614
Screening Engine
610
Data input
Work queue decision file
624
Inbound response file
626
Rescreening event file
628
Restricted party lists
Customer party database
Customer audit trail
616
618
620

Fig. 6

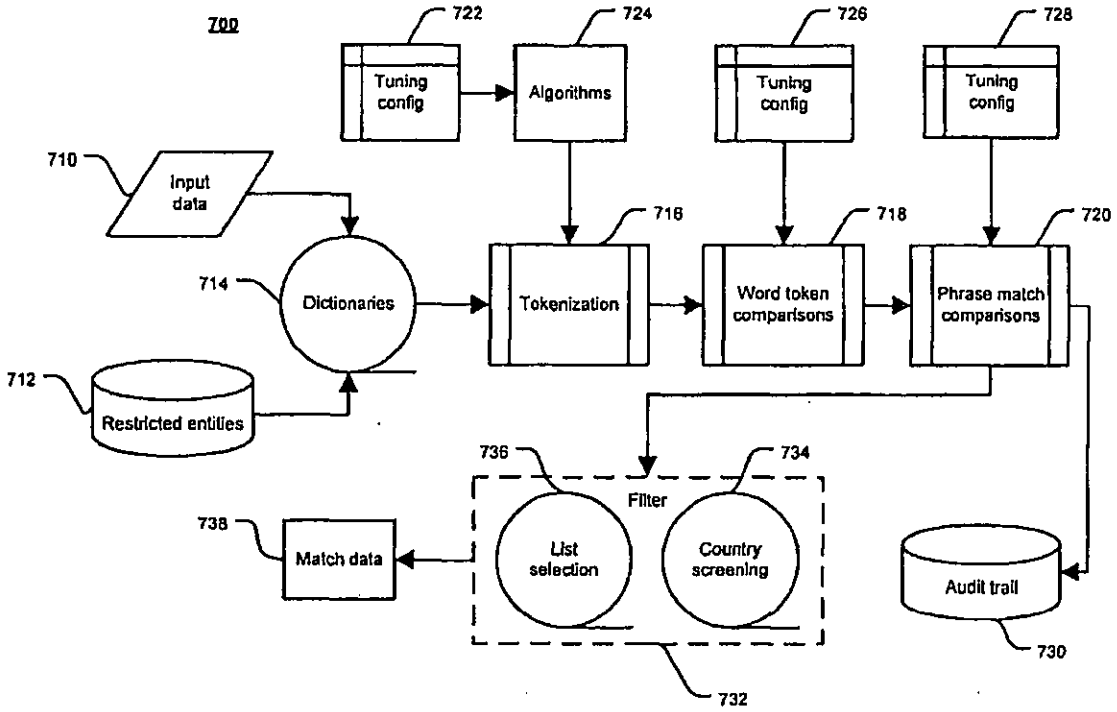

700
722
Tuning config
724
Algorithms
726
Tuning config
728
Tuning config
710
Input data
716
718
720
714
Dictionaries
Tokenization
Word token comparisons
Phrase match comparisons
712
Restricted entities
736
734
Filter
738
Match data
List selection
Country screening
Audit trail
730
732

Fig. 7

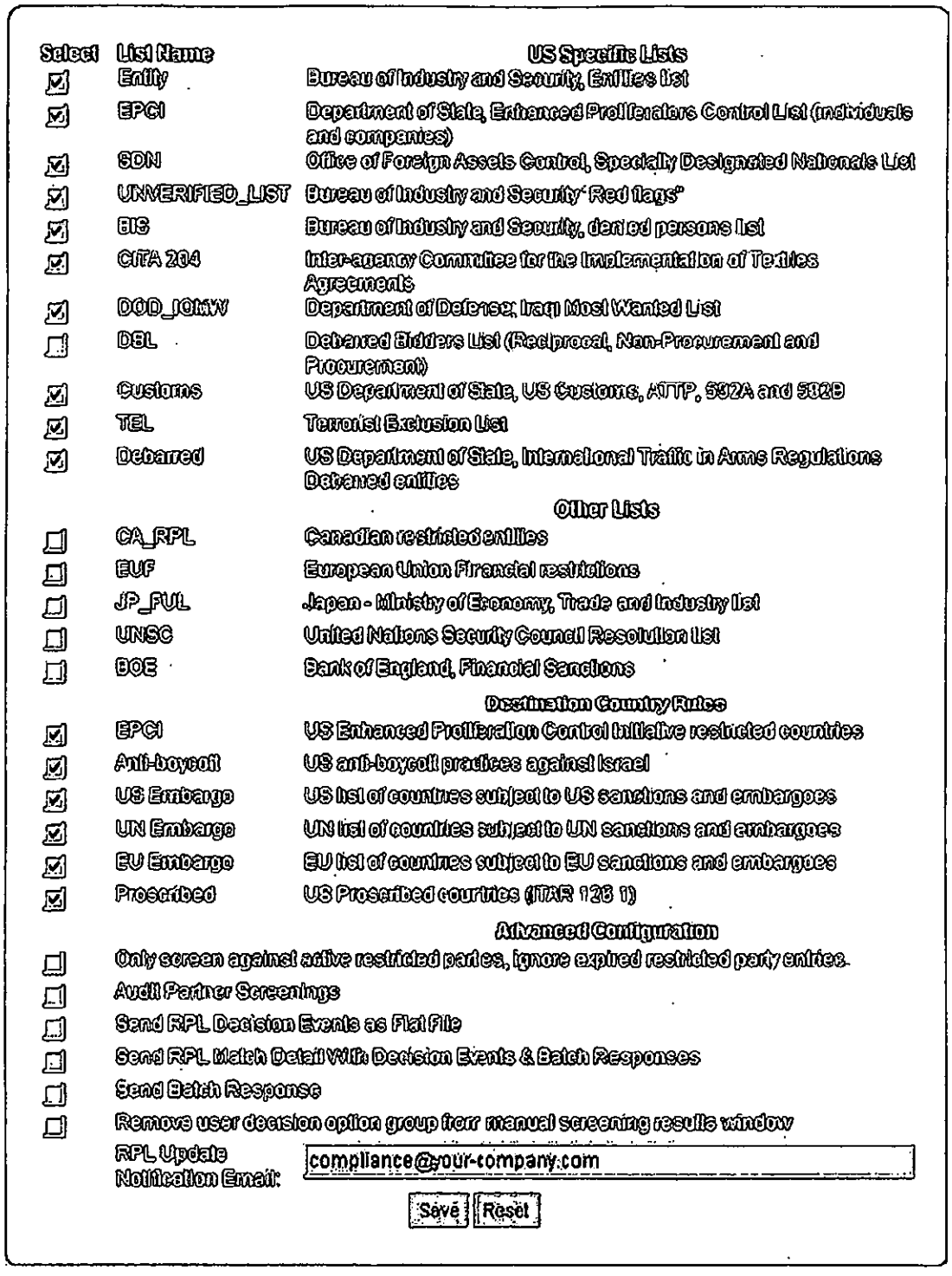

Select
List Name
US Specific Lists
Entity
Bureau of Industry and Security, Entities list
EPCI
Department of State, Enhanced Proliferation Control List (individuals and companies)
SDN
Office of Foreign Assets Control, Specially Designated Nationals List
UNVERIFIED_LIST
Bureau of Industry and Security "Red flags"
BIS
Bureau of Industry and Security, denied persons list
CITA 204
Inter-agency Committee for the Implementation of Textiles Agreements
DOD_IOMW
Department of Defense, Iraqi Most Wanted List
DBL
Debarred Bidders List (Reciprocal, Non-Procurement and Procurement)
Customs
US Department of State, US Customs, AITP, 362A and 362B
TEL
Terrorist Exclusion List
Debarred
US Department of State, International Traffic in Arms Regulations Debarred entities
Other Lists
CA_RPL
Canadian restricted entities
EUF
European Union Financial restrictions
JP_FUL
Japan - Ministry of Economy, Trade and Industry list
UNSC
United Nations Security Council Resolution list
BOE
Bank of England, Financial Sanctions
Destination Country Rules
EPCI
US Enhanced Proliferation Control Initiative restricted countries
Anti-boycott
US anti-boycott practices against Israel
US Embargo
US list of countries subject to US sanctions and embargoes
UN Embargo
UN list of countries subject to UN sanctions and embargoes
EU Embargo
EU list of countries subject to EU sanctions and embargoes
Proscribed
US Proscribed countries (ITAR 126.1)
Advanced Configuration
Only screen against active restricted parties, ignore expired restricted party entries.
Audit Partner Screenings
Send RPL Decision Events as Flat File
Send RPL Match Detail With Decision Events & Batch Responses
Send Batch Response
Remove user decision option group from manual screening results window
RPL Update Notification Email:
compliance@your-company.com
Save
Reset

Fig. 8

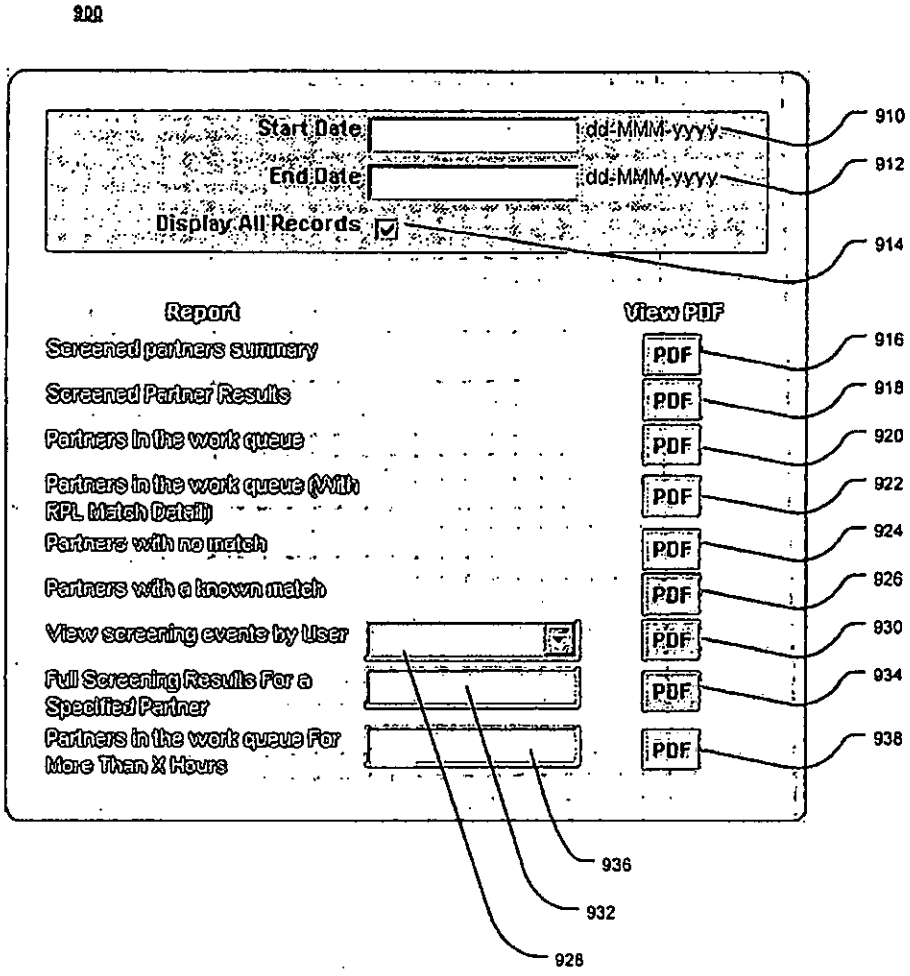

900
Start Date
dd-MMM-yyyy
End Date
dd-MMM-yyyy
Display All Records
Report
View PDF
Screened partners summary
Screened Partner Results
Partners in the work queue
Partners in the work queue (With RPL Match Details)
Partners with no match
Partners with a known match
View screening events by User
Full Screening Results For a Specified Partner
Partners in the work queue For More Than X Hours
PDF
910
912
914
916
918
920
922
924
926
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932
928

Fig. 9