

MRNA-BASED GENE EXPRESSION FOR PERSONALIZING PATIENT CANCER THERAPY WITH AN MDM2 ANTAGONIST

BACKGROUND OF THE INVENTION

[0001] The TP53 gene encodes a tumor suppressor protein that plays a critical role in the protection during the development of cancers. P53 is crucial in multi-cellular organisms where it
5 regulates cell cycle, and thus acts as a tumor suppressor that is involved in preventing cancer. Further, it is a transcription factor that regulates multiple genes involved in cell cycle control, such as apoptosis, DNA repair and senescence.

[0002] Under non-stressed conditions, the level of p53 protein is controlled by MDM2 (Murine Double Minute 2) via a negative feedback loop, wherein MDM2 transcription is driven by p53.
10 MDM2 protein binds to the TP53 protein and blocks its transactivation domain. MDM2 can also function as a p53 ubiquitin ligase, which marks p53 for ubiquitin dependent degradation.

[0003] In cells that overexpress MDM2, P53 is inactivated, leading to inefficient growth arrest and apoptosis. Blocking the P53--MDM2 interaction might restore P53 function and could be a novel approach to cancer treatment. Treatment of tumor cells with MDM2 antagonists should
15 enable p53 to mediate its downstream functions, including activation of gene transcription and induction of cell cycle arrest and apoptosis.

[0004] TP53 mutations are rare in Acute Myeloid Leukemia (AML) and are generally not considered to be of primary importance in the development of these malignancies. However, MDM2 has been found to be frequently overexpressed in AML, and can enhance the
20 tumorigenic potential and resistance to apoptosis through abrogation of p53 function. It has been

found that AML cell lines and 16 primary aAML samples with wild-type p53 responded to MDM2 antagonist (inhibitor) by induction of p53-dependent apoptosis. These findings support the rationale of targeting the p53-MDM2 interaction as a therapeutic strategy for AML.

[0005] Based on the proposed mechanism of action of the drug, the presence of functional p53 protein and related pathway effector molecules are required for this class of drugs to be efficacious. Not all patients will have functional p53 proteins and related pathway effector molecules. In order to better determine whether a patient can benefit from therapy, there is a need to discover predictive molecular tests for identifying patients that are most likely to respond to therapy. One approach for assessing potential response to a MDM2 antagonist is to assess whether or not the TP53 gene is mutated. However, this is complicated by the fact that a multitude of mutations can be found in TP53 in cancer. Not all of these mutations will interfere with activity of the p53 protein, further complicating interpretation of TP53 mutational tests. In addition, there is a range of responses to MDM2 antagonists in wild type TP53 cell lines and patients. Therefore, the ability to predict responsiveness to an MDM2 antagonist from an easily interpretable diagnostic tool is an unmet need in clinical development of MDM2 antagonists. To this end, the development of a gene expression signature that reflects p53 pathway activity could provide a means of selecting patients most likely to respond to MDM2 antagonist therapy.

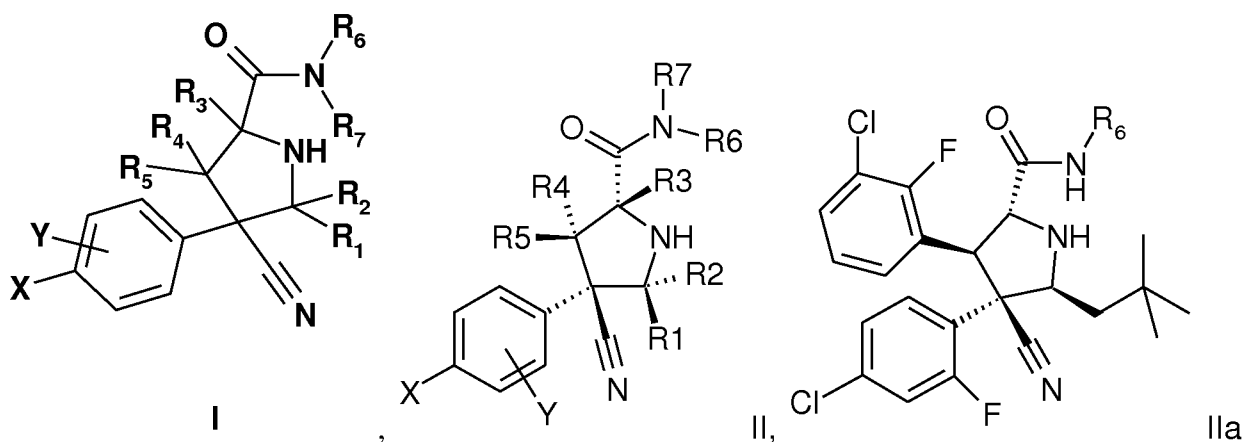
SUMMARY OF THE INVENTION

[0006] In one aspect the present invention relates to a method for predicting the response of a patient having cancer to therapy, wherein the patients therapy comprises the treatment with a compound which acts as inhibitor of the MDM2-p53 interaction, said method comprising the steps of:

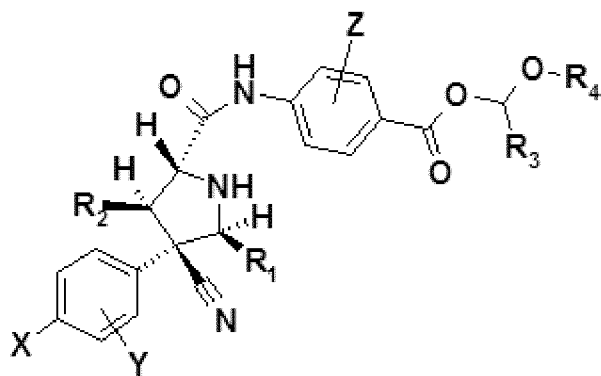
a) measuring a level in a sample pre-obtained from the subject to obtain a value or values representing this level; and

b) comparing the value or values from step a) to a standard value or set of standard values.

- 5 [0007] Compounds which act as inhibitor of the MDM2-p53 interaction (MDM2-inhibitors, MDM2-antagonists) are known in the art. MDM2-inhibitors and methods for making them are for example disclosed in U.S. Patent 8,354,444 B2, as well as in WO 2007/063013, WO 2010/031713 and WO 2011/098398, which are incorporated in their entirety herein. Additional MDM2-inhibitors are disclosed in WO 2013/135648, which is incorporated in its entirety herein.
- 10 U.S. Patent 8,354,444 B2, as well as WO 2010/031713, WO 2011/098398 disclose for example compounds of formula I, II and IIa



- 15 WO 2013/135648 discloses for example compounds of formula III



III

[0008] In one aspect, the present invention relates to a method for predicting the response of a patient having cancer to therapy, wherein the patients therapy comprises the treatment with a compound which acts as inhibitor of the MDM2-p53 interaction, preferably an MDM2-inhibitor as specifically disclosed in the working examples of U.S. Patent 8,354,444 B2, or in WO 5 2007/063013, WO 2010/031713, WO 2011/098398 and WO 2013/135648.

In a further aspect, the invention relates to a method of treating a neoplastic disease, cancer, in a patient in need thereof, comprising measuring a level in a sample from the patient to obtain a 10 value or values representing this level, and treating the subject with a compound of general formula I or a pharmaceutically acceptable derivative thereof as defined above.

A “neoplastic disease” that is potentially treatable based on the MDM2 response is a cancer. In one embodiment, the cancer is selected from the group consisting of breast cancer, prostate cancer, cervical cancer, ovarian cancer, gastric cancer, colorectal cancer (i.e. including colon 15 cancer and rectal cancer), pancreatic cancer, liver cancer, brain cancer, neuroendocrine cancer, lung cancer, kidney cancer, hematological malignancies, melanoma and sarcomas. In another embodiment, the cancer is selected from the group consisting of hematological malignancies, prostate cancer, breast cancer, cervical cancer, ovarian cancer, colorectal cancer, melanoma and lung cancer. In yet another embodiment, the cancer is acute myeloid leukemia (AML), or 20 Myelodysplastic Syndrome (MDS), or Myeloproliferative Neoplasms (MPN).

[0009] In yet another aspect, the invention relates to a kit for predicting the response to a compound of formula or a pharmaceutically acceptable derivative thereof, as defined above, comprising reagents necessary for measuring the level in a sample. More preferably the kit also comprises a comparator module which comprises a standard value or set of standard values to which the level of response in the sample is compared. The kit may also comprise a captive reagent.

[0010] More preferably the kit comprises an MDM2 inhibitor, preferably an MDM2-inhibitor as specifically disclosed in U.S. Patent 8,354,444 B2, or in WO 2007/063013, WO 2010/031713, WO 2011/098398 and WO 2013/135648; or a compound according to formulae IIa or III; or a specific MDM2-inhibitor as disclosed herein.

[0011] The present invention identifies a gene expression signature (mRNA) panel based on in vitro and clinical data that identify patients most likely to respond to MDM2 antagonist therapy. The mRNA signature is characterized by up-regulations of at least three genes, specifically MDM2, XPC (xeroderma pigmentosum, complementation group C), BBC3 (BCL2 binding component 3) and down-regulation of CDKN2A (cyclin-dependent kinase inhibitor 2A).

[0012] The present invention identifies a 4-gene expression signature (mRNA) for identifying responses to MDM2 antagonist.

[0013] The present invention also identifies a 4-gene expression signature (mRNA) for monitoring treatment of cancer in a patient with an MDM2 antagonist.

[0014] The baseline expression levels of MDM2, BBC3, CDKN2A, and XPC yield a composite score that discriminates between cell lines and patient-derived clinical specimens that are resistant to therapy, and identifies those that are sensitive (responsive) to the therapy.

[0015] As such, the present invention relates to a method for identifying sensitivity to MDM2 antagonist therapy. Furthermore, the present invention relates to a method for treating a

cancer patient with an MDM2 antagonist by testing the sensitivity of the patient before hand by the gene signature (mRNA) panel, more specifically a panel including MDM2, and more specifically a 4-gene expression system.

[00016] The present invention further provides the predictive signature utilizing mRNA values in determining the effectiveness of MDM2 antagonist therapy to cancers, in particular, AML.

[00017] The present invention relates to the use of a gene panel containing at least the MDM2 gene as predictive mechanism for determining a patient's response to a disease, particularly cancer, more particularly acute myeloid leukemia (AML), when a patient is to be treated with an MDM2 antagonist.

[00018] More particularly, the present invention relates to the use of a four-gene panel in order to determine a patient's response to a disease, particularly AML, when a patient is to be treated with an MDM2 antagonist.

BRIEF DESCRIPTION OF THE FIGURES

Figure 1: This outline provides the sequence of work that forms the invention.

Figure 2: MDM2 antagonist response mRNA signature in vitro.

Top: The heatmap of the four gene mRNAs (in the original, colored version green corresponds to lower expression, red to higher expression) associated with MDM2 antagonist response for the most sensitive ($IC_{50} \leq 1$) and resistant ($IC_{50} \geq 10$) cell lines. For the most sensitive cell lines, MDM2, XPC and BBC3 show high expression, and CDKN2A shows low expression. For each cell line TP53 mutation features are at the top of the heatmap (white = wild type). The natural log IC_{50} values are represented at the bottom.

Bottom: The boxplot of the 4-gene mRNA signature score for the most sensitive ($IC_{50} \leq 1$) and resistant ($IC_{50} \geq 10$) cell lines.

Figure 3: MDM2 antagonist response mRNA signature in AML trial.

Top: The heatmap of the four gene mRNAs (red in the original colored version corresponds to higher expression) associated with MDM2 antagonist response for the 28 evaluated patients. For each patient TP53 mutation features are at the top of the heatmap (white = wild-type). The clinical efficacy groups are represented at the bottom.

Bottom: The boxplot of the 4-gene mRNA signature score for 28 evaluated patients.

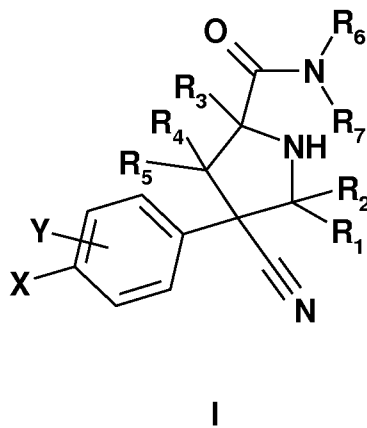
Figure 4A and 4B: The area under the curve (AUC) of receiver operating characteristic (ROC) curves of the three biomarkers/biosignatures in AML trial. The four gene mRNA signature score showed AUC 0.82, MDM2 mRNA single biomarker showed AUC 0.5; P53 mutation status biomarker showed AUC 0.52.

DETAILED DESCRIPTION OF THE INVENTION

15 [00019] In one embodiment, an MDM2-inhibitor for use in the method according to present invention is any MDM2-inhibitor, preferably an MDM2-inhibitor specifically disclosed in U.S. Patent 8,354,444 B2, or in WO 2007/063013, WO 2010/031713, WO 2011/098398 and WO 2013/135648.

[00020] In one embodiment, an MDM2-inhibitor for use in the method according to present invention is a compound of formula I

20



wherein

X is selected from the group consisting of H, F, Cl, Br, I, cyano, nitro, ethynyl, cyclopropyl, methyl, ethyl, isopropyl, vinyl and methoxy,

- 5 Y is one to four group(s) independently selected from the group consisting of H, F, Cl, Br, I, CN, OH, nitro, lower alkyl, cycloalkyl, lower alkoxy, lower alkenyl, cycloalkenyl, lower alkynyl, aryl, heteroaryl, heterocycle, COOR', OCOR', CONR'R'', NR'COR'', NR''SO₂R', SO₂NR'R'' and NR'R'' wherein

- R' and R'' are independently selected from H, lower alkyl, substituted lower alkyl, lower
 10 cycloalkyl, substituted lower cycloalkyl, lower alkenyl, substituted lower alkenyl, lower cycloalkenyl, substituted lower cycloalkenyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, heterocycle, or substituted heterocycle.

- and in the case of R' and R'' may independently link to form a cyclic structure selected from
 15 unsubstituted heteroaryl or substituted or unsubstituted heterocycle,

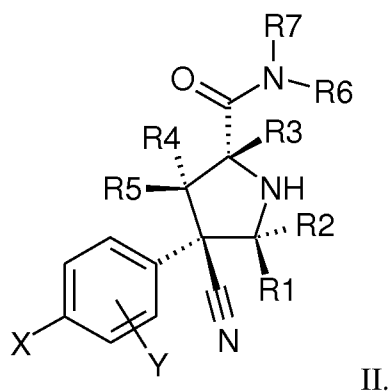
one of R₁ and R₂ is selected from the group consisting of lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, heterocycle, substituted heterocycle, cycloalkyl, substituted cycloalkyl, cycloalkenyl, and substituted cycloalkenyl and the other is hydrogen or lower alkyl,

- 20 R₃ is H or lower alkyl,

one of R_4 and R_5 is selected from the group consisting of lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, heterocycle, substituted heterocycle, cycloalkyl, substituted cycloalkyl, cycloalkenyl, and substituted cycloalkenyl and the other is hydrogen,

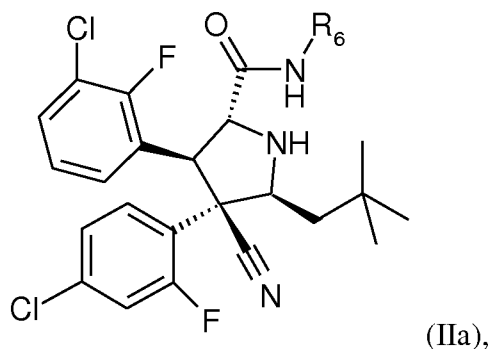
- 5 R_6 and R_7 are selected from the group consisting of $(CH_2)_n-R'$, $(CH_2)_n-NR'R''$, $(CH_2)_n-NR'COR''$, $(CH_2)_n-NR'SO_2R''$, $(CH_2)_n-COOH$, $(CH_2)_n-COOR'$, $(CH_2)_n-CONR'R''$, $(CH_2)_n-OR'$, $(CH_2)_n-SR'$, $(CH_2)_n-SOR'$, $(CH_2)_n-SO_2R'$, $(CH_2)_n-COR'$, $(CH_2)_n-SO_3H$, $(CH_2)_n-SONR'R''$, $(CH_2)_n-SO_2NR'R''$, $(CH_2CH_2O)_m-(CH_2)_n-R'$, $(CH_2CH_2O)_m-(CH_2)_n-OH$, $(CH_2CH_2O)_m-(CH_2)_n-OR'$, $(CH_2CH_2O)_m-(CH_2)_n-NR'R''$, $(CH_2CH_2O)_m-(CH_2)_n-NR'COR''$, $(CH_2CH_2O)_m-(CH_2)_n-NR'SO_2R''$, $(CH_2CH_2O)_m-(CH_2)_n-COOH$, $(CH_2CH_2O)_m-(CH_2)_n-COOR'$, $(CH_2CH_2O)_m-(CH_2)_n-CONR'R''$, $(CH_2CH_2O)_m-(CH_2)_n-SO_2R'$, $(CH_2CH_2O)_m-(CH_2)_n-COR'$, $(CH_2CH_2O)_m-(CH_2)_n-SONR'R''$, $(CH_2CH_2O)_m-(CH_2)_n-SO_2NR'R''$, $(CH_2)_p-(CH_2CH_2O)_m-(CH_2)_n-R'$, $(CH_2)_p-(CH_2CH_2O)_m-(CH_2)_n-OH$, $(CH_2)_p-(CH_2CH_2O)_m-(CH_2)_n-OR'$, $(CH_2)_p-(CH_2CH_2O)_m-(CH_2)_n-NR'R''$, $(CH_2)_p-(CH_2CH_2O)_m-(CH_2)_n-NR'COR''$, $(CH_2)_p-(CH_2CH_2O)_m-(CH_2)_n-NR'SO_2R''$, $(CH_2)_p-(CH_2CH_2O)_m-(CH_2)_n-COOH$, $(CH_2)_p-(CH_2CH_2O)_m-(CH_2)_n-COOR'$, $(CH_2)_p-(CH_2CH_2O)_m-(CH_2)_n-CONR'R''$, $(CH_2)_p-(CH_2CH_2O)_m-(CH_2)_n-SO_2R'$, $(CH_2)_p-(CH_2CH_2O)_m-(CH_2)_n-COR'$, $(CH_2)_p-(CH_2CH_2O)_m-(CH_2)_n-SONR'R''$, $(CH_2)_p-(CH_2CH_2O)_m-(CH_2)_n-SO_2NR'R''$, $-COR'$, $-SOR'$ and SO_2R' wherein R' and R'' are as above,
- 10 m , n and p are independently 0 to 6, and
- 15 the pharmaceutically acceptable salts and esters thereof.

Within this embodiment, preferred compounds of formula I may have a stereochemical structure shown as formula II:



[00021] In one embodiment, an MDM2-inhibitor for use in the method according to present invention is a compound of formula IIa

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wherein

R₆ is $-(CH_2)_n-R'$, and

R' is Cyclohexyl, or

10 a 5 to 10 membered, mono- or bicyclic aromatic hydrocarbon wherein 1 or 2 carbon atoms may be replaced by N, S or O, and wherein any of the aforementioned cyclohexyl or aromatic hydrocarbon can be substituted once or twice with a group independently selected from lower alkyl, lower-alkenyl, lower-alkynyl, dioxo-lower-alkylene (forming e.g. a benzodioxyl group), halogen, hydroxy, CN, CF₃, NH₂, N(H, 15 lower-alkyl), N(lower-alkyl)₂, aminocarbonyl, carboxy, NO₂, lower-alkoxy, thio-lower-alkoxy, lower-alkylsulfonyl, aminosulfonyl, lower-alkylcarbonyl, lower-alkylcarbonyloxy, lower-alkoxycarbonyl, lower-alkyl-carbonyl-NH, fluoro-lower-

alkyl, fluoro-lower-alkoxy, lower-alkoxy-carbonyl-lower-alkoxy, carboxy-lower-alkoxy, carbamoyl-lower-alkoxy, hydroxy-lower-alkoxy, NH_2 -lower-alkoxy, $\text{N}(\text{H}, \text{lower-alkyl})$ -lower-alkoxy, $\text{N}(\text{lower-alkyl})_2$ -lower-alkoxy, lower-alkyl-1-oxiranyl-lower-alkoxy-lower-alkyl, 2-oxo-pyrrolidin-1-yl, (1,1-dioxo)-2-isothiazolidine, 3-lower-alkyl sulfinyl, a substituted or unsubstituted heterocyclic ring, a substituted or unsubstituted aryl ring, a substituted or unsubstituted heteroaryl ring, trifluoro-lower-alkylsulfonylamino-aryl, lower-alkyl sulfonylaminocarbonyl, lower-alkyl sulfonylaminocarbonyl-aryl, hydroxycarbamoyl-phenyl, benzyloxy-lower-alkoxy, mono- or di-lower alkyl substituted amino-sulfonyl and lower-alkyl which can optionally be substituted with halogen, hydroxy, NH_2 , $\text{N}(\text{H}, \text{lower-alkyl})$ or $\text{N}(\text{lower-alkyl})_2$; and

n is 0 or 1.

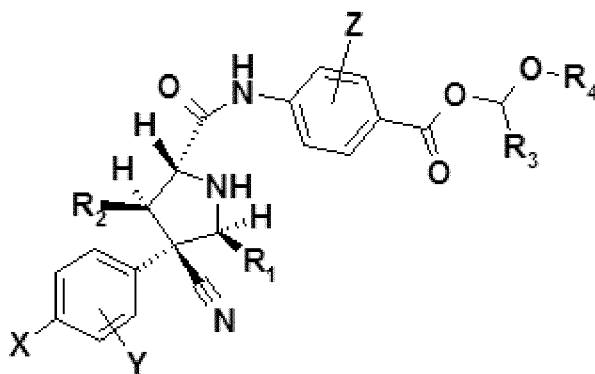
Within this embodiment, the compounds of formula IIa are preferred, wherein

R_6 is $-(\text{CH}_2)_n\text{-R}'$, and

R' is phenyl, pyridinyl, pyrazinyl or pyrimidinyl which can be each unsubstituted or once or twice substituted with a substituent independently selected from halogen, C1-6 alkoxy, C1-6 alkyl, hydroxycarbonyl, carboxy, carboxy C1-6 alkoxy, oxo and CN; and

n is 0.

[00022] In another embodiment, an MDM2-inhibitor for use in the method according to present invention is a compound of formula III



(III),

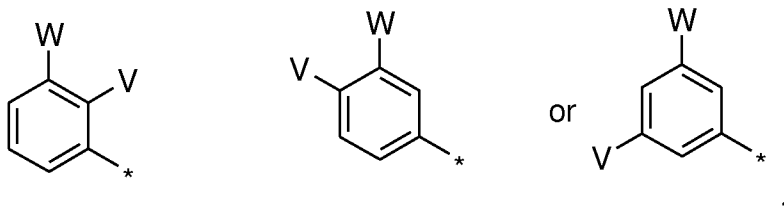
wherein

X is selected from the group consisting of H, F, Cl, Br, I, cyano, nitro, ethynyl, cyclopropyl, methyl, ethyl, isopropyl, vinyl and methoxy,

- 5 Y is one to four group(s) independently selected from the group consisting of H, F, Cl, Br, I, CN, OH, nitro, lower alkyl, cycloalkyl, lower alkoxy, lower alkenyl, cycloalkenyl, lower alkynyl, Z is lower alkoxy,

R₁ is selected from the group consisting of lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, heterocycle, substituted heterocycle, cycloalkyl, substituted cycloalkyl, cycloalkenyl, and substituted cycloalkenyl,

R₂ is a substituted phenyl selected from:

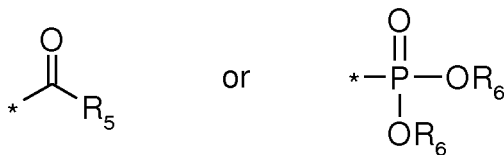


- 15 W is F, Cl or Br,

V is H or F,

R₃ is selected from the group consisting of hydrogen, lower alkyl or substituted lower alkyl,

R₄ is selected from the group consisting of:



R₅ is selected from the group consisting of lower alkyl, substituted lower alkyl, heterocycle, substituted heterocycle, cycloalkyl, substituted cycloalkyl, natural and unnatural amino acids, -(OCH₂CH₂)_n-OH, -(OCH₂CH₂)_n-OCH₃, -(NCH₂CH₂)_n-OH, -(NCH₂CH₂)_n-OCH₃ and

5 -(OCH₂CH₂)_n-OP(O)(OR₆)₂, wherein

n is from 3 to 60, preferably from 3 to 45,

R₆ is hydrogen or benzyl; or

a pharmaceutically acceptable salt or ester thereof.

10 Within this embodiment, compounds of formula III are preferred, wherein

X is selected from H, F or Cl,

Y is selected from H, F or Cl,

R₁ is lower alkyl or substituted lower alkyl,

R₃ is hydrogen or lower alkyl,

15 R₅ is selected from the group consisting of lower alkyl, substituted lower alkyl,

natural and unnatural amino acids, -(OCH₂CH₂)_n-OH, -(OCH₂CH₂)_n-OCH₃, -(NCH₂CH₂)_n-OH, -(NCH₂CH₂)_n-OCH₃, -(OCH₂CH₂)_n-OP(O)(OR₆)₂, wherein

n is from 3 to 60, preferably from 3 to 45, and

R₆ is hydrogen; or

20 a pharmaceutically acceptable salt thereof.

Furthermore, within this embodiment, compounds of formula III are preferred, wherein

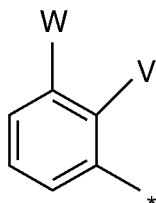
X is selected from H, F or Cl;

Y is selected from H, F or Cl;

Z is C1-6 alkoxy;

R₁ is C1-6 alkyl;

R₂ is



, wherein

5 W is F, Cl or Br;

 V is H or F;

R₃ is hydrogen or C1-6 alkyl;

R₄ is -C(O)-R₅; wherein

R₅ is selected from the group consisting of -(OCH₂CH₂)_n-OH; -(OCH₂CH₂)_n-OCH₃; and

10 -(OCH₂CH₂)_n-OP(O)(OR₆)₂, wherein n is from 3 to 60, and R₆ is hydrogen; or

a pharmaceutically acceptable salt thereof.

More specifically n is from 3 to 55, more preferably n is from 3 to 45. Within this embodiment, compounds wherein R₅ is -(OCH₂CH₂)_n-OCH₃ and n is from 40 to 60 are especially preferred.

15 **[00023]** In the specification where indicated the various groups may be substituted by 1-5 or, preferably, 1-3 substituents independently selected from the group consisting of lower alkyl, lower-alkenyl, lower-alkynyl, dioxo-lower-alkylene (forming e.g. a benzodioxyl group), halogen, hydroxy, CN, CF₃, NH₂, N(H, lower-alkyl), N(lower-alkyl)₂, aminocarbonyl, carboxy, NO₂, lower-alkoxy, thio-lower-alkoxy, lower-alkylsulfonyl, aminosulfonyl, lower-alkylcarbonyl, 20 lower-alkylcarbonyloxy, lower-alkoxycarbonyl, lower-alkyl-carbonyl-NH, fluoro-lower-alkyl, fluoro-lower-alkoxy, lower-alkoxy-carbonyl-lower-alkoxy, carboxy-lower-alkoxy, carbamoyl-lower-alkoxy, hydroxy-lower-alkoxy, NH₂-lower-alkoxy, N(H, lower-alkyl)-lower-alkoxy, N(lower-alkyl)₂-lower-alkoxy, lower-alkyl-1-oxiranyl-lower-alkoxy-lower-alkyl, 2-oxo-

pyrrolidin-1-yl, (1,1-dioxo)-2-isothiazolidine, 3-lower-alkyl sulfinyl, a substituted or unsubstituted heterocyclic ring, a substituted or unsubstituted aryl ring, a substituted or unsubstituted heteroaryl ring, trifluoro-lower-alkylsulfonylamino-aryl, lower-alkyl sulfonylaminocarbonyl, lower-alkyl sulfonylaminocarbonyl-aryl, hydroxycarbamoyl-phenyl, benzyloxy-lower-alkoxy, mono- or di-lower alkyl substituted amino-sulfonyl and lower-alkyl which can optionally be substituted with halogen, hydroxy, NH₂, N(H, lower-alkyl) or N(lower-alkyl)₂. Preferred substituents for the cycloalkyl, cycloalkenyl, aryl, heteroaryl and heterocycle rings are halogen, lower alkoxy, lower alkyl, hydroxycarbonyl, carboxy, carboxy lower alkoxy, oxo and CN. Preferred substituents for alkyl are alkoxy and N(lower alkyl)₂.

[00024] The term “alkyl” refers to straight- or branched-chain saturated hydrocarbon groups having from 1 to about 20 carbon atoms, including groups having from 1 to about 7 carbon atoms. In certain embodiments, alkyl substituents may be lower alkyl substituents. The term “lower alkyl” refers to alkyl groups having from 1 to 6 carbon atoms, and in certain embodiments from 1 to 4 carbon atoms. Examples of alkyl groups include, but are not limited to, methyl, ethyl, n-propyl, i-propyl, n-butyl, s-butyl, t-butyl, n-pentyl, and s-pentyl.

[00025] As used herein, “cycloalkyl” is intended to refer to any stable monocyclic or polycyclic system which consists of carbon atoms only, any ring of which being saturated, and the term “cycloalkenyl” is intended to refer to any stable monocyclic or polycyclic system which consists of carbon atoms only, with at least one ring thereof being partially unsaturated. Examples of cycloalkyls include, but are not limited to, cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, cycloheptyl, adamantyl, cyclooctyl, bicycloalkyls, including bicyclooctanes such as [2.2.2]bicyclooctane or [3.3.0]bicyclooctane, bicyclononanes such as [4.3.0]bicyclononane, and bicyclodecanes such as [4.4.0]bicyclodecane (decalin), or spiro compounds. Examples of cycloalkenyls include, but are not limited to, cyclopentenyl or cyclohexenyl.

[00026] The term “alkenyl” as used herein means an unsaturated straight-chain or branched aliphatic hydrocarbon group containing one double bond and having 2 to 6, preferably 2 to 4 carbon atoms. Examples of such “alkenyl group” are vinyl ethenyl, allyl, isopropenyl, 1-propenyl, 2-methyl-1-propenyl, 1-butenyl, 2-butenyl, 3-butenyl, 2-ethyl-1-butenyl, 3-methyl-2-butenyl, 1-pentenyl, 2-pentenyl, 3-pentenyl, 4-pentenyl, 4-methyl-3-pentenyl, 1-hexenyl, 2-hexenyl, 3-hexenyl, 4-hexenyl and 5-hexenyl.

[00027] The term “alkynyl” as used herein means an unsaturated straight-chain or branched aliphatic hydrocarbon group containing one triple bond and having 2 to 6, preferably 2 to 4 carbon atoms. Examples of such “alkynyl group” are ethynyl, 1-propynyl, 2-propynyl, 1-butyne, 2-butyne, 3-butyne, 1-pentyne, 2-pentyne, 3-pentyne, 4-pentyne, 1-hexynyl, 2-hexynyl, 3-hexynyl, 4-hexynyl and 5-hexynyl.

[00028] The term “halogen” as used in the definitions means fluorine, chlorine, bromine, or iodine, preferably fluorine and chlorine.

[00029] “Aryl” means a monovalent, monocyclic or bicyclic, aromatic carbocyclic hydrocarbon radical, preferably a 6-10 member aromatic ring system. Preferred aryl groups include, but are not limited to, phenyl, naphthyl, tolyl, and xylyl. Where the aryl group is bicyclic a preferred group is 1,3-dioxo-2,3-dihydro-1H-isoindol-5-yl group.

[00030] “Heteroaryl” means an aromatic heterocyclic ring system containing up to two rings. Preferred heteroaryl groups include, but are not limited to, thienyl, furyl, indolyl, pyrrolyl, pyridinyl, pyrazinyl, oxazolyl, thiazolyl, quinolinyl, pyrimidinyl, imidazole substituted or unsubstituted triazolyl and substituted or unsubstituted tetrazolyl.

[00031] In the case of aryl or heteroaryl which are bicyclic it should be understood that one ring may be aryl while the other is heteroaryl and both being substituted or unsubstituted.

5 [00032] “Heterocycle” or “heterocyclic ring” means a substituted or unsubstituted 5 to 8 membered, mono- or bicyclic, non-aromatic hydrocarbon, wherein 1 to 3 carbon atoms are replaced by a hetero atom selected from nitrogen, oxygen or sulfur atom. Examples include pyrrolidin-2-yl; pyrrolidin-3-yl; piperidinyl; morpholin-4-yl and the like which in turn can be substituted. “Hetero atom” means an atom selected from N, O and S.

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[00033] “Alkoxy, alkoxyl or lower alkoxy” refers to any of the above lower alkyl groups attached to an oxygen atom. Typical lower alkoxy groups include methoxy, ethoxy, isopropoxy or propoxy, butyloxy and the like. Further included within the meaning of alkoxy are multiple alkoxy side chains, e.g. ethoxy ethoxy, methoxy ethoxy, methoxy ethoxy ethoxy and the like and
15 substituted alkoxy side chains, e.g., dimethylamino ethoxy, diethylamino ethoxy, dimethoxy-phosphoryl methoxy and the like.

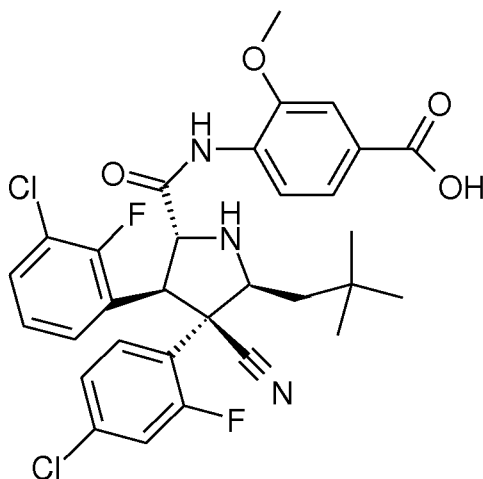
[00034] The term “mPEG” as used herein means methoxy polyethylene glycol, which is commercially available (e.g. Sigma-Aldrich or ID Biochem (Korea)). The molecular weight
20 distribution of mPEG may vary according to the manufacturer and/or batch. In one embodiment of the present invention, mPEG has an average molecular weight (MW) of about 1500 Da to about 3000 Da. In another embodiment of the present invention mPEG has an average MW of about 2000 Da and about 2200 Da. Average MW is determined by MALDI-TOF mass spectrometry.

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[00035] “Pharmaceutically acceptable derivative,” such as pharmaceutically acceptable salts & esters, carrier, excipient, means pharmacologically acceptable and substantially non-toxic to the subject to which the particular compound is administered.

5 [00036] “Pharmaceutically acceptable salt” refers to conventional acid-addition salts or base-addition salts that retain the biological effectiveness and properties of the compounds of the present invention and are formed from suitable non-toxic organic or inorganic acids or organic or inorganic bases. Sample acid-addition salts include those derived from inorganic acids such as hydrochloric acid, hydrobromic acid, hydroiodic acid, sulfuric acid, sulfamic acid, phosphoric
10 acid and nitric acid, and those derived from organic acids such as p-toluenesulfonic acid, salicylic acid, methanesulfonic acid, oxalic acid, succinic acid, citric acid, malic acid, lactic acid, fumaric acid, trifluoro acetic acid and the like. Sample base-addition salts include those derived from ammonium, potassium, sodium and, quaternary ammonium hydroxides, such as for example, tetramethylammonium hydroxide. Chemical modification of a pharmaceutical
15 compound (i.e. drug) into a salt is a technique well known to pharmaceutical chemists to obtain improved physical and chemical stability, hygroscopicity, flowability and solubility of compounds. See, e.g., Ansel et al., Pharmaceutical Dosage Forms and Drug Delivery Systems (6th Ed. 1995) at pp. 196 and 1456- 1457.

20 [00037] In one embodiment, an MDM2-inhibitor for use in the method according to present invention is 4-{[(2R,3S,4R,5S)-4-(4-Chloro-2-fluoro-phenyl)-3-(3-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino}-3-methoxy-benzoic acid of the formula



This compound and methods for making it are for example disclosed in WO 2011/098398.

[00038] Another specific compound in accordance with the present invention is

5 2-((1-(4-((2R,3S,4R,5S)-3-(3-Chloro-2-fluorophenyl)-4-(4-chloro-2-fluorophenyl)-4-cyano-5-neopentylpyrrolidine-2-carboxamido)-3-methoxybenzoyloxy)ethoxy)carbonylamino)acetic acid.

[00039] Another specific compound in accordance with the present invention is

10 2-(((4-((2R,3S,4R,5S)-3-(3-Chloro-2-fluorophenyl)-4-(4-chloro-2-fluorophenyl)-4-cyano-5-neopentylpyrrolidine-2-carboxamido)-3-methoxybenzoyloxy)methoxy)-carbonylamino)acetic acid.

[00040] A further compound in accordance with the present invention is

15 3-Oxo-2,4,7,10,13,16,19-heptaosaicosyl 4-((2R,3S,4R,5S)-3-(3-chloro-2-fluorophenyl)-4-(4-chloro-2-fluorophenyl)-4-cyano-5-neopentylpyrrolidine-2-carboxamido)-3-methoxybenzoate.

[00041] A specific compound in accordance with the present invention is

20 4-[[(2R,3S,4R,5S)-3-(3-Chloro-2-fluoro-phenyl)-4-(4-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino}-3-methoxy-benzoic acid 1-mPEG-carboxyloxy-ethyl ester (mPEG, average MW, ~2000).

[00042] A specific compound in accordance with the present invention is

4-[[[(2R,3S,4R,5S)-3-(3-Chloro-2-fluoro-phenyl)-4-(4-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino}-3-methoxy-benzoic acid 1-mPEG-

5 carbonyloxy-ethyl ester (mPEG, average MW, ~2200)

[00043] A specific compound in accordance with the present invention is

4-[[[(2R,3S,4R,5S)-3-(3-Chloro-2-fluoro-phenyl)-4-(4-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino}-3-methoxy-benzoic acid 1-mPEG-

10 carbonyloxy-methyl ester (mPEG, average MW, ~2000).

[00044] A specific compound in accordance with the present invention is

3-Oxo-2,4,7,10,13-pentaoxatetradecyl 4-((2R,3S,4R,5S)-3-(3-chloro-2-fluorophenyl)-4-(4-chloro-2-fluorophenyl)-4-cyano-5-neopentylpyrrolidine-2-carboxamido)-3-methoxybenzoate;

15

[00045] A specific compound in accordance with the present invention is

27-Oxo-2,5,8,11,14,17,20,23,26,28-decaoxatriacontan-29-yl 4-((2R,3S,4R,5S)-3-(3-chloro-2-fluorophenyl)-4-(4-chloro-2-fluorophenyl)-4-cyano-5-neopentylpyrrolidine-2-carboxamido)-3-methoxybenzoate.

20

[00046] A specific compound in accordance with the present invention is

4-[[[(2R,3S,4R,5S)-3-(3-Chloro-2-fluoro-phenyl)-4-(4-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino}-3-methoxy-benzoic acid 1-[(2R,3R,4R,5S)-2-((R)-1,2-dihydroxy-ethyl)-4,5-dihydroxy-tetrahydro-furan-3-yloxy-carbonyloxy]-ethyl ester.

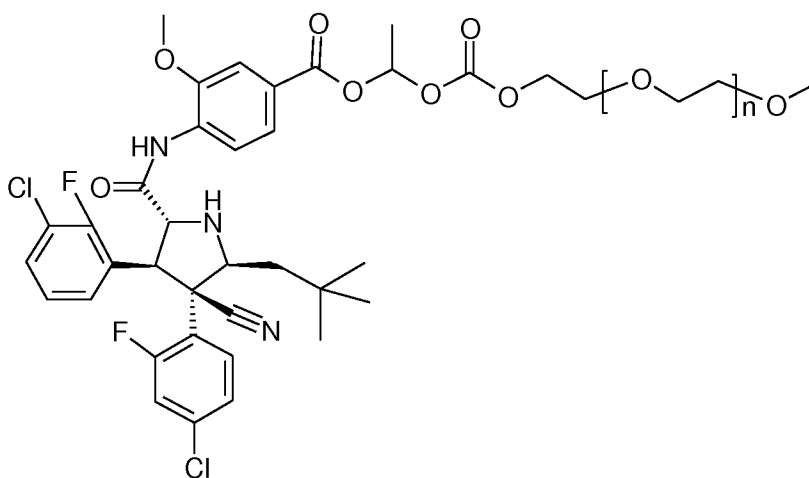
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[00047] Another specific compound in accordance with the present invention is

4-[[[(2R,3S,4R,5S)-3-(3-Chloro-2-fluoro-phenyl)-4-(4-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino]-3-methoxy-benzoic acid 1-(2-{2-[2-(2-dibenzoyloxyphosphoryloxy-ethoxy)-ethoxy]-ethoxy}-ethoxycarbonyloxy)-ethyl ester.

5 **[00048]** Also, a specific compound in accordance with the present invention is 4-[[[(2R,3S,4R,5S)-3-(3-Chloro-2-fluoro-phenyl)-4-(4-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino]-3-methoxy-benzoic acid 1-(2-{2-[2-(2-phosphonooxy-ethoxy)-ethoxy]-ethoxy}-ethoxycarbonyloxy)-ethyl ester.

10 **[00049]** Also, a specific compound in accordance with the present invention is 4-[[[(2R,3S,4R,5S)-3-(3-Chloro-2-fluoro-phenyl)-4-(4-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino]-3-methoxy-benzoic acid 1-mPEG-carbonyloxy-ethyl ester (mPEG, average MW, ~2000)

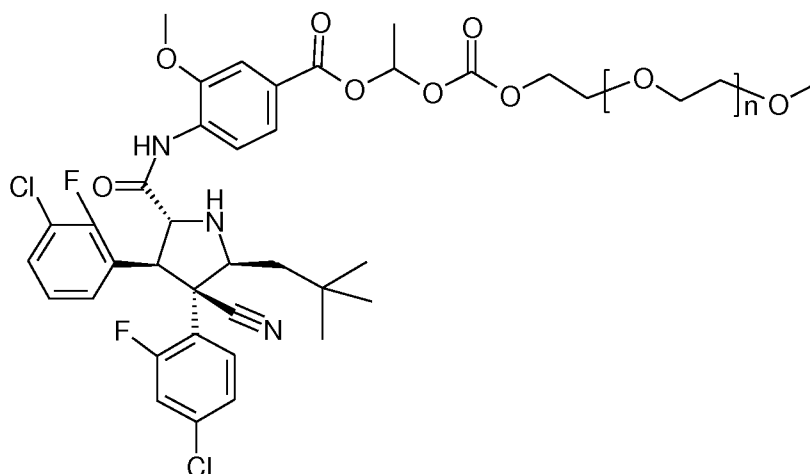


15 Average MW: ~2695

This compound and methods for making it are for example disclosed in WO 2013/135648

[00050] Also, a specific compound in accordance with the present invention is 4-[[[(2R,3S,4R,5S)-3-(3-Chloro-2-fluoro-phenyl)-4-(4-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino]-3-methoxy-benzoic acid 1-mPEG-carbonyloxy-ethyl ester (mPEG, average MW, ~2200)

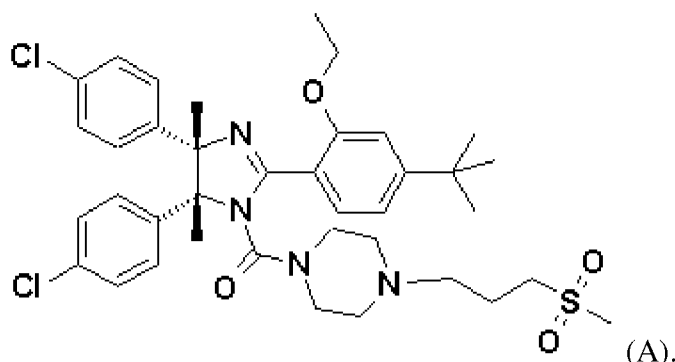
20



Average MW: ~2900

This compound and methods for making it are for example disclosed in WO 2013/135648

- 5 **[00051]** Also, a specific compound in accordance with the present invention is the compound of formula (A), also designated as Compound A (RG7112)



This compound and methods of making it are for example disclosed in WO 2007/063013.

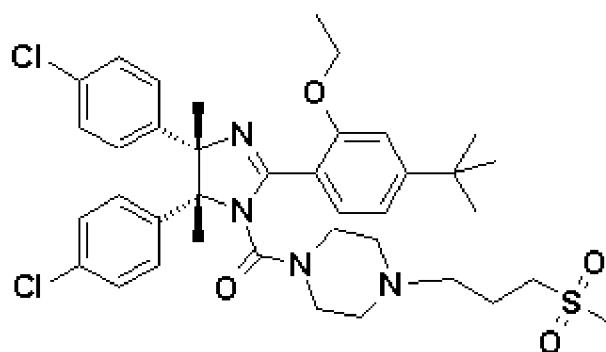
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Establishment of MDM2 antagonist therapy predictive signature in cancer cell line collections.

- [00052]** To generate an MDM2 antagonist therapy predictive signature, the response of MDM2 antagonist therapy in vitro with gene expression profiling (Figure 1) is combined. A bank of 281 human cancer cell lines, collectively termed the Cell Lines for Oncology (CELLO), representing a broad array of diverse human cancer tumor types are subject to evaluation using
- 15

several high-throughput genomic technology platforms. The mutational status of nucleic acids from each cell line is determined by exome sequencing. Messenger RNA (mRNA) expression levels at baseline, prior to MDM2 antagonist therapy, are obtained via RNA sequencing. MDM2 antagonist therapy COMPOUND A, sometimes referred to herein as RG7112, responses are

5 obtained by assays known to those skilled in the art such as RNA sequencing and microarray measurement using Gene Chip Human Genome U133 Plus 2.0 Array. The MDM2 therapy responses are obtained by high throughput screening assays. Depending on their responses to Compound A these cell lines are classified as either sensitive, defined as $IC_{50} \leq 1$, or resistant, defined as $IC_{50} \geq 10$.



Compound A (RG7112)

Table 1: CELLO Cell Lines

Cell lines	Tissue	Origin	IC ₅₀
22Rv1	Prostate	Carcinoma	0.97
A-172	Brain	Glioblastoma	0.58
A-375	Skin	Melanoma, malignant	0.32
A549	Lung	Carcinoma, squamous cell	0.86
ACHN	Kidney	Adenocarcinoma, renal cell	0.29
AGS	Stomach	Adenocarcinoma, gastric	0.13
Caki-1	Kidney	Carcinoma, clear cell	0.37
D341 Med	Brain, cerebellum	Medulloblastoma	0
HCT116	Intestine, large; colon	Carcinoma, colorectal	0.5
HepG2	Liver	Carcinoma, hepatocellular	0.18
Hs 38.T	Ovary	Teratoma	0.73
HT-1080	Connective tissue	Fibrosarcoma	0.058
HT-1197	Urinary, bladder	Carcinoma, urinary bladder, papillary (PAP)	0.19

IM-9	Lymphocyte B, peripheral blood	Myeloma, multiple	0.14
IMR-32	Brain	Neuroblastoma (Glioma, neuroblastoma)	0.43
KMS-12-BM	Bone marrow	Myeloma, multiple	0
KMS-21BM	Bone marrow	Myeloma, multiple	0
KMS-26	Lymphocyte	Myeloma	0.45
LCLC-103H	Lung	Carcinoma, large cell, non-small cell lung cancer	0.7
LN-18	Brain, temporal lobe	Glioblastoma	0.67
LNCaP clone FGC	NA	NA	0.17
LS 174T	Intestine, large; colon	Adenocarcinoma, colorectal	0.59
LS513	Intestine, large; caecum	Carcinoma, colorectal	0.49
MC/CAR	Lymphocyte B, peripheral blood	Myeloma, plasmacytoma	0.69
MCF7	Breast, mammary gland	Adenocarcinoma, mammary gland, breast	0.75
MKN-45	Stomach	Adenocarcinoma	0.18
MKN-74	Muscle, smooth, stomach	Carcinoma, gastric	0.88
MOLM-13	Blood	Leukemia, acute myeloid	0.19
MV-4-11	Blood	Leukemia, acute monocytic	0
NCI-H2122	Lung	Adenocarcinoma	0.16
NCI-H226	Lung	Carcinoma, squamous cell	0.13
NCI-H28	null	Mesothelioma	0.46
NCI-H460	Lung	Carcinoma	0.88
NCI-H929	Lymphocyte B, bone marrow	Myeloma, plasmacytoma	0.3
NKM-1	Lymphocyte	Leukemia, acute myeloid	0.32
NUGC-4	Stomach	Adenocarcinoma	0.38
PA-1	Ovary	Carcinoma, ovary	0.62
PC-9	Lung	Adenocarcinoma	0.69
RKO	Intestine, large; colon	Carcinoma, colorectal	0
RPMI-2650	Nasal septum	Carcinoma, squamous cell	0.77
SH-SY5Y	Brain	Neuroblastoma (Glioma, neuroblastoma)	0.75
SK-HEP-1	Liver	Hepatoma, Hepatocellular carcinoma, HCC	0.22
SK-MEL-1	Skin	Melanoma, malignant	0.75
SK-N-SH	Brain	Neuroblastoma (Glioma, neuroblastoma)	0.21
SNU-1	Stomach	Carcinoma, gastric	0.37

SR	Lymphocyte	Lymphoma, large cell	0.043
SW780	Urinary, bladder	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	0.97
U-87 MG	Brain	Glioblastoma	0.18
WERI-Rb-1	Eye, retina	Retinoblastoma	0.67
Y79	Eye, retina	Retinoblastoma	0.61
ZR-75-1	Breast, mammary gland	Carcinoma, ductal	0.076
5637	Urinary, bladder	Carcinoma, urinary bladder, papillary (PAP)	8.5
143B	Bone	Osteosarcoma	15
647-V	Urinary tract, urothelium	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	17
786-O	Kidney	Adenocarcinoma, renal cell	9.5
A-204	Muscle	Rhabdomyosarcoma	8.4
A2058	Skin	Melanom	17
A-431	Skin, epidermis	Carcinoma, epidermoid	9.5
ABC-1	Lung	Adenocarcinoma	9.9
ARH-77	Lymphocyte B, peripheral blood	Leukemia, plasma cell	17
BT-20	Breast, mammary gland	Adenocarcinoma, mammary gland, breast	3.6
C32	Skin	Melanoma	3
Calu-1	Lung	Carcinoma, lung epidermoid OR Carcinoma, epidermoid pulmonary	14
Calu-3	Lung	Adenocarcinoma	11
Calu-6	Lung	Carcinoma, anaplastic	17
Capan-2	Pancreas	Adenocarcinoma	9.1
CCRF-CEM	Lymphocyte T, peripheral blood	Leukemia, acute lymphoid	9.7
CEM/C2	Lymphocyte T, peripheral blood	Leukemia, acute lymphoid	9.3
CFPAC-1	Pancreas	Adenocarcinoma, ductal, cystic fibrosis	5.7
CMK-11-5	Lymphocyte	Leukemia, acute megakaryoblastic	9.6
COLO 201	Intestine, large; colon	Adenocarcinoma, colorectal	10
COLO 205	Intestine, large; colon	Adenocarcinoma, colorectal	9.1
COLO 320DM	Intestine, large; colon	Adenocarcinoma, colorectal	12
COLO-824	Breast	Carcinoma	15
D-283MED	NA	NA	1.2
Daoy	Brain, cerebellum	Medulloblastoma, desmoplastic cerebellar	9.7
Detroit 562	Pharynx	Carcinoma	6.2

DLD-1	Intestine, large; colon	Adenocarcinoma	5.1
DMS 114	Lung	Carcinoma, classic small cell lung cancer	10
DU145	Prostate	Carcinoma, prostate	1
DU-4475	Breast, mammary gland	Carcinoma	2.1
EGI-1	Bile duct	Carcinoma, bile duct OR Cholangiocarcinoma	9
ES2	Ovary	Carcinoma, clear cell	3.3
HARA-B	Lung	Carcinoma, squamous cell	10
HCC1143	Breast, mammary gland	Carcinoma, primary ductal	12
HCC1187	Breast, mammary gland	Carcinoma, primary ductal	12
HCC1500	Breast, mammary gland	Carcinoma, primary ductal	1
HCC1569	Breast, mammary gland	Carcinoma, primary metaplastic	15
HCC1599	Breast, mammary gland	Carcinoma, primary ductal	12
HCC1806	Breast, mammary gland	Carcinoma, primary acantholytic squamous cell	11
HCC1937	Breast	Carcinoma, ductal	15
HCC1954	Breast, mammary gland	Carcinoma, ductal	12
HCC366	Lung	Adenocarcinoma, squamous cell, non-small cell lung cancer	8.7
HCC38	Breast, mammary gland	Carcinoma, primary ductal	12
HCC827	Lung	Adenocarcinoma	8.9
HCT-15	Intestine, large; colon	Adenocarcinoma, colorectal	7.6
HCT-8	Intestine, large; colon	Adenocarcinoma, colorectal, ileocecal	16
HEC-151	Uterus	Adenocarcinoma, malignant, endometroid carcinoma	2.2
HEC-1-A	Uterus, endometrium	Adenocarcinoma	16
HEL	Lymphocyte, peripheral blood	Erythroleukemia OR Leukemia, erythroid	17
Hep3B	Liver	Carcinoma, hepatocellular	6.7
HL-60	Blood	Leukemia, acute promyeloid	7
HMCB	Skin	Melanoma	15
HPAC	Pancreas	Adenocarcinoma	4.6
HPAF-II	Pancreas	Adenocarcinoma	10

Hs 578T	Breast, mammary gland	Carcinoma	8.8
Hs 766T	Pancreas	Carcinoma	17
HT-1376	Urinary, bladder	Carcinoma	16
HT-29	Intestine, large; colon	Adenocarcinoma	7.4
HuH-28	Gall bladder	Carcinoma, bile duct OR Cholangiocarcinoma	15
Huh-7	Liver	Hepatoma, Hepatocellular carcinoma, HCC	10
HUP-T4	Pancreas	Carcinoma, pancreatic	17
JIMT-1	Breast	Carcinoma	11
K-562	Bone marrow	Leukemia, chronic myeloid	16
KATO-III	Stomach	Carcinoma, gastric	9.7
KELLY	Brain	Neuroblastoma (Glioma, neuroblastoma)	15
KG-1	Bone marrow	Leukemia	15
KG-1a	Bone marrow	Leukemia, acute myeloid	9
KHM-1B	Lymphocyte	Myeloma, multiple	6.9
KMS-11	Lymphocyte	Myeloma, multiple	12
KMS-12-PE	Plasma	Myeloma, multiple	5.3
KMS-20	Lymphocyte	Myeloma	13
KMS-28BM	Lymphocyte	Myeloma	9
KMS-34	Lymphocyte	Myeloma	9.8
KYSE-150	Esophagus; oesophagus	Carcinoma, squamous cell	3
KYSE-520	Esophagus; oesophagus	Carcinoma, squamous cell	10
LoVo	Intestine, large; colon	Adenocarcinoma, colorectal	1.3
LP-1	Lymphocyte, peripheral blood	Myeloma, multiple	4.4
LS1034	Intestine, large; caecum	Carcinoma, colorectal	5.7
LS411N	Intestine, large; caecum	Carcinoma, colorectal	16
MCF10DCIS.com	Breast	Carcinoma	1.4
MDA-MB-231	Breast, mammary gland	Adenocarcinoma	17
MDA-MB-435S	Skin	Melanoma	12
MDA-MB-468	Breast, mammary gland	Adenocarcinoma, mammary gland, breast	10
ME-180	Cervix	Carcinoma, epidermoid	9.3
MEG-01	null	Leukemia, chronic myeloid	17

MFM-223	Breast, mammary gland	Carcinoma, ductal	17
MG-63	Bone	Osteosarcoma	16
MKN-1	Muscle, smooth, stomach	Carcinoma, squamous cell	15
MKN-28	Stomach	Carcinoma, gastric	8.1
NB-4	Myeloblast	Leukemia, acute promyeloid	4.7
NCCIT	Germ cell, gametocyte	Teratocarcinoma	11
NCI-H1048	Lung	Carcinoma, small cell lung cancer	9.4
NCI-H1299	Lung	Carcinoma, non-small cell lung cancer	15
NCI-H1395	Lung	Adenocarcinoma	1.3
NCI-H1437	Lung	Adenocarcinoma, non-small cell lung cancer	10
NCI-H146	Lung	Carcinoma, small cell lung cancer	8.3
NCI-H1568	NA	NA	9.6
NCI-H1650	Lung	Adenocarcinoma	10
NCI-H1666	Lung	Adenocarcinoma	13
NCI-H1703	Lung	Adenocarcinoma, non-small cell lung cancer	9.1
NCI-H1755	Lung	Adenocarcinoma, non-small cell lung cancer	7.8
NCI-H1781	Lung	Carcinoma, bronchioalveolar, non-small cell lung cancer	8.8
NCI-H1792	Lung	Adenocarcinoma	7
NCI-H1793	Lung	Adenocarcinoma, non-small cell lung cancer	10
NCI-H1838	Lung	Adenocarcinoma, non-small cell lung cancer	9.8
NCI-H187	Lung	Carcinoma, classic small cell lung cancer	15
NCI-H1944	Lung	Adenocarcinoma, non-small cell lung cancer	7
NCI-H1975	Lung	Adenocarcinoma	16
NCI-H1993	Lung	Adenocarcinoma, non-small cell lung cancer	7.7
NCI-H2009	Lung	Adenocarcinoma	9.9
NCI-H2023	Lung	Adenocarcinoma, non-small cell lung cancer	5.8
NCI-H2029	Lung	Carcinoma, small cell lung cancer	5.8
NCI-H2030	Lung	Adenocarcinoma, non-small cell lung cancer	9.2
NCI-H2081	Lung	Carcinoma, small cell lung cancer	17
NCI-H209	Lung	Carcinoma, small cell lung cancer	11
NCI-H2170	Lung	Carcinoma, squamous cell	12
NCI-H2171	Lung	Carcinoma, small cell lung cancer	11

NCI-H2227	Lung	Carcinoma, small cell lung cancer	16
NCI-H2228	Lung	Adenocarcinoma, non-small cell lung cancer	9.8
NCI-H23	Lung	Adenocarcinoma, non-small cell lung cancer	10
NCI-H2347	Lung	Adenocarcinoma, non-small cell lung cancer	9
NCI-H250	Lung	Carcinoma, classic small cell lung cancer	12
NCI-H292	Lung	Carcinoma, mucoepidermoid pulmonary	1.6
NCI-H345	Lung	Carcinoma, small cell lung cancer	17
NCI-H358	Lung, bronchi	Carcinoma, alveola cell	8.1
NCI-H441	Lung	Adenocarcinoma	10
NCI-H508	Intestine, large; caecum	Adenocarcinoma, colorectal	1.2
NCI-H520	Lung	Carcinoma, squamous cell	17
NCI-H526	Lung	Carcinoma, small cell lung cancer	16
NCI-H661	Lung	Carcinoma, large cell, neuroendocrine, non-small lung cancer	11
NCI-H69	Lung	Carcinoma, small cell lung cancer	15
NCI-H716	Intestine, large; caecum	Adenocarcinoma, colorectal	13
NCI-H748	Lung	Carcinoma, small cell lung cancer	16
NCI-H82	Lung	Carcinoma, small cell lung cancer	4.9
NCI-H838	Lung	Adenocarcinoma, non-small cell lung cancer	14
NCI-N87	Stomach	Carcinoma, gastric	12
NOMO-1	Bone marrow	Leukemia, acute myeloid	6.3
NUGC-3	Stomach	Adenocarcinoma	9.8
OPM-2	Lymphocyte B	Myeloma, multiple	13
NIH:OVCAR-3	Ovary	Adenocarcinoma	17
PC-1	null	null	1.3
PC-10	Lung	Carcinoma, squamous cell	14
PC-13	Lung	Adenocarcinoma	14
PC-3	Prostate	Adenocarcinoma	9
PC-6	Lung	Carcinoma, small cell lung cancer	8.2
PLC/PRF/5	Liver	Hepatoma	7
QG-56	Lung	Carcinoma, non-small cell lung cancer	10
Raji	Lymphocyte B	Lymphoma, Burkitt	3
Ramos	Lymphocyte B	Lymphoma, Burkitt	8.8
RL95-2	Uterus, endometrium	Carcinoma	9.3
RPMI-8226	Lymphocyte B, peripheral blood	Myeloma, plasmacytoma	6.6

RT4	Urinary, bladder	Papilloma, transitional cell	2.4
SCaBER	Urinary, bladder	Carcinoma, squamous cell	8.5
SCC-25	Tongue	Carcinoma, squamous cell	9.4
SCH	Stomach	Choriocarcinoma	17
SJCRH30	Muscle	Rhabdomyosarcoma	16
SK-LU-1	Lung	Adenocarcinoma	11
SK-MES-1	Lung	Carcinoma, squamous cell	6
SK-N-AS	Brain	Neuroblastoma (Glioma, neuroblastoma)	13
SK-N-DZ	Brain	Neuroblastoma (Glioma, neuroblastoma)	5.7
SK-N-F1	Brain	Neuroblastoma (Glioma, neuroblastoma)	17
SK-OV-3	Ovary	Adenocarcinoma	17
SNU-16	Stomach	Carcinoma, gastric	10
SNU-5	Stomach	Carcinoma, gastric	16
SU.86.86	Pancreas	Carcinoma, ductal	6.7
SUM-44PE	Breast	Carcinoma	3
SUM52PE	Breast	Carcinoma	8.7
SW1116	Intestine, large; colon	Adenocarcinoma, colorectal	12
SW1417	Intestine, large; colon	Adenocarcinoma, colorectal	9.9
SW1463	Intestine, large; rectum	Adenocarcinoma, colorectal	1
SW403	Intestine, large; colon	Adenocarcinoma, colorectal	6
SW480	Intestine, large; colon	Adenocarcinoma, colorectal	12
SW579	Thyroid gland	Carcinoma, squamous cell	16
SW620	Intestine, large; colon	Adenocarcinoma, colorectal	9.8
SW626	Ovary	Adenocarcinoma	9.5
SW837	Intestine, large; rectum	Adenocarcinoma	12
T-47D	Breast, mammary gland	Carcinoma, ductal	9.9
T84	Intestine, large; colon	Carcinoma, colorectal	5.3
T98G	Brain	Glioblastoma, multiforme	8.3
TCCSUP	Urinary, bladder	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	16
TF-1a	Bone marrow	Erythroleukemia OR Leukemia, erythroid	10
THP-1	Blood	Leukemia, acute monocytic	9.7
TT	Thyroid gland	Carcinoma	2

U-698-M	Tonsil	Lymphoma, lymphoblastic, non-Hodgkin's	9.8
U-937	Pleura	Lymphoma, histiocytic	5.2
UM-UC-3	Urinary, bladder	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	15
VCaP	Prostate	Carcinoma, prostate	13
WiDr	Intestine, large; colon	Adenocarcinoma, colorectal	8.9
10C9	Lymphocyte B	Lymphoma, Non-Hodgkin's	19
8305C	Thyroid gland	Carcinoma, medullary thyroid	24
A-673	Muscle	Rhabdomyosarcoma	18
AN3 CA	Uterus, endometrium	Adenocarcinoma	18
AsPC-1	Pancreas	Adenocarcinoma	19
BFTC-905	Urinary, bladder	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	19
BT-474	Breast, mammary gland	Carcinoma, ductal	19
BT-483	Breast, mammary gland	Carcinoma, ductal	20
BxPC-3	Pancreas	Adenocarcinoma	18
C-33 A	Cervix	Carcinoma, cervix	18
CAMA-1	Breast, mammary gland	Adenocarcinoma, mammary gland, breast	22
Capan-1	Pancreas	Adenocarcinoma	20
COLO-704	Ovary	Carcinoma, ovary	20
EFO-21	Ovary	Carcinoma, ovary	31
F-36P	Myeloblast	Leukemia, acute myeloid	23
HARA	Lung	Carcinoma, squamous cell	19
HCC1395	Breast, mammary gland	Carcinoma, primary ductal	20
HDLM-2	Lymphocyte B	Lymphoma, Hodgkin's disease	33
HeLa S3	Cervix	Carcinoma, cervix	20
J82	Urinary, bladder	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	20
KARPAS-299	Blood	Lymphoma	19
Kasumi-1	Lymphocyte, peripheral blood	Leukemia, acute myeloid	21
KMM-1	Lymphocyte	Myeloma	19
L-363	Lymphocyte, peripheral blood	Leukemia, plasma cell	32
MDA-MB-134-VI	Breast, mammary gland	Carcinoma, ductal	38
MDA-MB-157	Breast, mammary gland	Carcinoma, medula	20
MDA-MB-361	Breast, mammary gland	Adenocarcinoma, mammary gland, breast	21

MDA-MB-453	Breast, mammary gland	Carcinoma	20
MFE-280	Uterus, endometrium	Adenocarcinoma, malignant, endometroid carcinoma	23
MIA PaCa-2	Pancreas	Carcinoma	19
NCI-H1155	Lung	Carcinoma, non-small cell lung cancer	22
NCI-H446	Lung	Carcinoma, small cell lung cancer	18
NCI-H522	Lung	Adenocarcinoma, non-small cell lung cancer	22
NCI-H596	Lung	Carcinoma, adenosquamous	18
PANC-1	Pancreas	Carcinoma, epithelioid	22
SBC-5	Lung	Carcinoma, small cell lung cancer	18
SCC-15	Tongue	Carcinoma, squamous cell	20
SK-BR-3	Pleura	Adenocarcinoma, mammary gland, breast	21
SK-ES-1	Bone	Sarcoma, Ewings	25
SKM-1	Lymphocyte, peripheral blood	Leukemia, acute myeloid	19
SK-MEL-30	Skin	Melanoma, malignant	19
SW948	Intestine, large; colon	Adenocarcinoma, colorectal	19
T24	Urinary, bladder	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	21
U-118 MG	Brain	Glioblastoma, astrocytoma	19
U-138 MG	Brain	Glioblastoma	29
U-2197	Skin, hypodermis; subcutaneous	Histiocytoma, fibrous, malignant	22
U266B1	Lymphocyte B	Myeloma, plasmacytoma	18
YAPC	Pancreas	Carcinoma, pancreatic	18

[00053] Among the 281 cell lines, 210 cell lines show mutations in TP53 after a careful

annotation removing low quality calls and germline mutations. Cell lines harboring mutant

TP53 are much less sensitive to MDM2 antagonist therapy ($P < 2.2 \times 10^{-16}$), consistent with

5 previously published data. However, two challenges are observed in using TP53 mutation status

as a potential predictive biomarker for COMPOUND A. Although not wanting to be limited by

theory, first, several TP53 mutant cell lines show sensitive responses to COMPOUND A most

likely because they harbor non-functional TP53 mutations, such as 22Rv1, DU145, KMS-12-

BM, KMS-26, LCLC-103H, LN-18, MKN-45, NCI-H2122, NCI-H226, PC-9, SW1463.

[00054] Some other methods of assaying include but are not limited to a) immunohistochemistry (IHC) analysis, b) western blotting c) immunoprecipitation d) enzyme linked immunosorbant assay (ELISA) e) radioimmunoassay f) Fluorescence activated cell sorting
5 (FACS) g) mass spectrometry, including matrix assisted laser desorption/ionisation (MALDI, e.g. MALDI-TOF) and electrospray ionisation mass-spectrometry (ESI-MS).

[00055] One approach for assessing potential response to a MDM2 antagonist is to assess whether or not the TP53 gene is mutated. However, this is complicated by the fact that a
10 multitude of mutations can be found in TP53 in cancer. Not all of these mutations will interfere with activity of the p53 protein, further complicating the interpretation of TP53 mutational tests. In addition, there is a range of responses to MDM2 antagonists in wild type TP53 cell lines and patients. Therefore, the ability to predict responsiveness to an MDM2 antagonist from an easily interpretable diagnostic tool is an unmet need in clinical development of MDM2 antagonists. To
15 this end, the development of a gene expression signature that reflects p53 pathway activity provides a means of selecting patients most likely to respond to MDM2 antagonist therapy.

[00056] Towards this end, a genome-wide association between baseline mRNA expression and MDM2 antagonist therapy response (IC50) is performed and identified a list of
20 13 genes with significant associations with P ranging from 2.38×10^{-47} to 9.56×10^{-23}

[00057] Functional annotation indicates that the 13 significant genes from the genomewide association, with correlation coefficients ranging from -0.47 to -0.31 (with one positive correlation 0.28), are known regulators in the relevant MDM2-P53 interactions or
25 downstream P53 pathways, including cell cycle arrest and apoptosis (see Table 2). Among them,

MDM2 is the number 4 top gene with an over-expression of MDM2 correlating with in vitro sensitivity, consistent with previously published data.

Therefore, in one embodiment, the present invention provides a method to predict responsiveness of a patient with cancer to a therapy, said method comprising detecting a level of
5 at least one gene according to Table 2 and using that level as a biomarker for predicting said patients response to a compound, wherein the compound is an inhibitor of the MDM2-p53 interaction (MDM2-inhibitor). Within this embodiment, the present invention also provides a method for predicting said patients response to treatment with an MDM2-inhibitor, said method comprising detection of combined levels comprising 2, or 3, or 4, or 5, or 6, or 7, or 8, or 9, or
10 10, or 11, or 12, or detection of all 13 genes according to Table 2. Moreover, within this embodiment the detection of said genes, or combination of genes, according to Table 2 can also be used to monitor response of said patient during treatment with said MDM2-inhibitor in order to decide whether said treatment shall continue or not. Still within this embodiment, said method comprises the following steps:

- 15 a) taking a sample from the patient;
- b) measuring a level of at least one gene according to Table 2, in the sample;
- c) comparing said level from the patient to standard values, for example values obtained from a patient with the same cancer; and
- d) administering a compound which acts as inhibitor of the MDM2-p53
20 interaction.

The sample in a) is obtained prior to start of treatment if the present method is applied as a predictive method for a patient's response to treatment, and during treatment if the present method is applied for treatment monitoring.

The term "detecting (or measuring) a level" in accordance with the present invention preferably
25 means detecting (or measuring) mRNA expression levels.

In another embodiment, the present invention provides at least one gene according to Table 2, or combinations thereof, for use as biomarker(s) for determining a cancer patient's response to treatment with an MDM2-inhibitor as defined herein. The term "cancer" is as defined herein, preferably AML (see page 4).

5

[00058] To further construct an mRNA signature, a multivariate logistic regression classifier is built, among the 13 genes, via upward model selection procedure. The mRNA signature is composed of up-regulations of three genes including MDM2, XPC (xeroderma pigmentosum, complementation group C), BBC3 (BCL2 binding component 3) and down-

10 regulation of tumor suppressor gene CDKN2A cyclin-dependent kinase inhibitor 2a.

TABLE 2: Significant gene expression predictors from genomewide association

Ensg.id	Gene	Cor	Pvalue	Mean (Sen)	SD (Sen)	Mean (Res)	SD (Res)	FC	Annotation
ENSG00000087088	BAX	-0.47	9.56E-23	5.12	0.63	4.48	0.59	1.56	apoptosis
ENSG00000185088	RPS27L	-0.45	2.83E-23	4.23	0.80	3.01	0.72	2.32	apoptosis
ENSG00000131080	EDA2R	-0.43	1.36E-24	0.28	3.59	-4.98	2.36	38.23	P53-related
ENSG00000154767	XPC	-0.42	5.33E-24	3.56	0.44	2.72	0.62	1.79	DNA repair
ENSG00000134574	DDB2	-0.41	4.72E-24	4.65	0.78	3.78	0.82	1.83	DNA repair
ENSG00000161513	FDXR	-0.41	3.59E-24	4.13	0.95	3.00	1.15	2.20	P53-related
ENSG00000135679	MDM2	-0.39	1.12E-24	4.63	0.87	3.60	0.64	2.04	
ENSG00000124762	CDKN1A	-0.39	1.04E-24	5.51	1.59	3.54	2.01	3.92	cell cycle arrest
ENSG00000170855	TRIAP1	-0.38	8.10E-25	5.53	0.49	5.04	0.49	1.40	apoptosis
ENSG00000105327	BBC3	-0.34	9.26E-26	2.05	1.18	0.65	1.41	2.64	apoptosis
ENSG00000113328	CCNG1	-0.31	1.47E-26	5.65	0.69	4.79	0.80	1.81	cell cycle arrest
ENSG00000120889	TNFRSF10B	-0.31	1.37E-26	4.69	1.02	3.50	1.41	2.28	apoptosis
ENSG00000147889	CDKN2A	0.28	2.38E-47	-1.71	3.79	1.35	4.26	0.12	MDM2-related

[00059] The final signature is capable of distinguishing MDM2 antagonist sensitive cell lines from MDM2 antagonist resistant cell lines with an area (AUC) under the receiver operating characteristic (ROC) curve of 0.93 (95% CI 0.92 to 0.95, Table 3), estimated from a 10-fold cross-validation. Therefore, the MDM2 antagonist sensitive cell lines demonstrate baseline up-regulation of MDM2, XPC, and BBC3 and down-regulation of CDKN2A; whereas the MDM2 antagonist resistant cell lines are characterized by down regulation of MDM2, XPC, and BBC3 and up-regulation of CDKN2A. (See Figure 2).

TABLE 3: Prediction from various predictive biomarkers

	CELLO ^a	NO21279 ^b
Score		
AUC	0.92	0.82
Specificity ^c	0.67	0.71
Sensitivity ^d	0.93	1.00
TP53		
AUC	0.87	0.52
Specificity ^e	0.95	0.19
Sensitivity ^f	0.80	0.86
MDM2		
AUC	0.83	0.50
Specificity ^c	0.65	0.48
Sensitivity ^d	0.85	0.43

a. Responders defined as IC₅₀<1; Non-responders defined as IC₅₀>10 in CELLO

b. Responders defined as CR/MLFS; Non-responders defined as HI/PD in NO21279

c. Specificity: Proportion of non-responders that have scores or MDM2 expression lower than corresponding Youden Index

d. Sensitivity: Proportion of responders that have scores or MDM2 expression higher than corresponding Youden Index

e. Specificity: Proportion of non-responders that have TP53 mutations

f. Sensitivity: Proportion of responders that have wild type TP53

In addition to the target gene MDM2, the other three genes in the signature are all biologically supported as regulators in the MDM2-p53 interactions or downstream p53 pathways. The XPC gene plays an important role involved in repairing damaged DNA, contributing to damage

recognition, open complex formation, and repair protein complex formation. BBC3 mRNA levels are induced by exposure to DNA-damaging agents and by p53, which mediates DNA damage-induced apoptosis. The two gene products of the CDKN2A, p16 and p14ARF, are both linked to major tumor suppressor pathways; especially p14ARF, which inhibits MDM2 function by sequestering it in the nucleolus. To examine the molecular mechanisms underlying the mRNA signature, the mRNA signature score is correlated with mutation status of P53 and key regulatory genes involved in MDM2-P53 interactions and downstream P53 pathways.

[00060] As shown in Figure 2, cell lines with low signature score are more likely to be p53 mutant; whereas cell lines with high signature score are more likely to be p53 wild type ($P < 2.2 \times 10^{-16}$). See p53 mutation status for each cell line in Table 4. Furthermore, the majority of resistant cell lines with wild type TP53 but low signature score harbor mutations in key regulatory genes involved in MDM2-P53 interactions and downstream P53 pathways. This indicates that the signature score can serve as a surrogate mRNA-level indicator of MDM2-P53 pathway function.

TABLE 4: p53 mutation status

Cell lines	Tissue	Origin	IC50	Score	Mutation in TP53
10C9	Lymphocyte B	Lymphoma, Non-Hodgkin's	19.0	-0.8	Mutant
143B	Bone	Osteosarcoma	15.0	0.2	Mutant
22Rv1	Prostate	Carcinoma	1.0	3.0	Mutant
647-V	Urinary tract, urothelium	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	17.0	-1.6	Mutant
8305C	Thyroid gland	Carcinoma, medullary thyroid	24.0	-3.8	Mutant

A-172	Brain	Glioblastoma	0.6	1.4	Wild Type
A2058	Skin	Melanoma	17.0	-2.8	Mutant
A-375	Skin	Melanoma, malignant	0.3	2.8	Wild Type
A549	Lung	Carcinoma, squamous cell	0.9	2.4	Wild Type
A-673	Muscle	Rhabdomyosarcoma	18.0	0.9	Mutant
ACHN	Kidney	Adenocarcinoma, renal cell	0.3	4.1	Wild Type
AGS	Stomach	Adenocarcinoma, gastric	0.1	5.4	Wild Type
AN3 CA	Uterus, endometrium	Adenocarcinoma	18.0	-1.2	Mutant
ARH-77	Lymphocyte B, peripheral blood	Leukemia, plasma cell	17.0	0.3	Mutant
AsPC-1	Pancreas	Adenocarcinoma	19.0	-1.3	Mutant
BFTC-905	Urinary, bladder	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	19.0	-0.7	Mutant
BT-474	Breast, mammary gland	Carcinoma, ductal	19.0	1.5	Mutant
BT-483	Breast, mammary gland	Carcinoma, ductal	20.0	1.1	Mutant
BxPC-3	Pancreas	Adenocarcinoma	18.0	-2.2	Mutant
C-33 A	Cervix	Carcinoma, cervix	18.0	-0.6	Mutant
Caki-1	Kidney	Carcinoma, clear cell	0.4	4.5	Wild Type
Calu-1	Lung	Carcinoma, lung epidermoid OR	14.0	-3.3	Wild

		Carcinoma, epidermoid pulmonary			Type
Calu-3	Lung	Adenocarcinoma	11.0	-3.4	Mutant
Calu-6	Lung	Carcinoma, anaplastic	17.0	-0.8	Mutant
CAMA-1	Breast, mammary gland	Adenocarcinoma, mammary gland, breast	22.0	1.9	Mutant
Capan-1	Pancreas	Adenocarcinoma	20.0	0.7	Mutant
COLO 201	Intestine, large; colon	Adenocarcinoma, colorectal	10.0	-3.2	Mutant
COLO 320DM	Intestine, large; colon	Adenocarcinoma, colorectal	12.0	-4.9	Mutant
COLO-704	Ovary	Carcinoma, ovary	20.0	0.5	Wild Type
COLO-824	Breast	Carcinoma	15.0	-2.9	Wild Type
D341 Med	Brain, cerebellum	Medulloblastoma	0.0	3.5	Wild Type
DMS 114	Lung	Carcinoma, classic small cell lung cancer	10.0	1.7	Mutant
DU145	Prostate	Carcinoma, prostate	1.0	-4.1	Mutant
EFO-21	Ovary	Carcinoma, ovary	31.0	-2.1	Mutant
F-36P	Myeloblast	Leukemia, acute myeloid	23.0	2.7	Mutant
HARA	Lung	Carcinoma, squamous cell	19.0	-5.1	Mutant
HARA-B	Lung	Carcinoma, squamous cell	10.0	-4.1	Mutant
HCC1143	Breast, mammary gland	Carcinoma, primary ductal	12.0	0.4	Mutant
HCC1187	Breast, mammary gland	Carcinoma, primary ductal	12.0	-3.7	Mutant
HCC1395	Breast, mammary	Carcinoma, primary ductal	20.0	-1.5	Mutant

	gland				
HCC1500	Breast, mammary gland	Carcinoma, primary ductal	1.0	4.8	Wild Type
HCC1569	Breast, mammary gland	Carcinoma, primary metaplastic	15.0	-2.1	Mutant
HCC1806	Breast, mammary gland	Carcinoma, primary acantholytic squamous cell	11.0	-4.2	Mutant
HCC1937	Breast	Carcinoma, ductal	15.0	-1.9	NA
HCC1954	Breast, mammary gland	Carcinoma, ductal	12.0	-4.2	Mutant
HCC38	Breast, mammary gland	Carcinoma, primary ductal	12.0	0.5	Mutant
HCT116	Intestine, large; colon	Carcinoma, colorectal	0.5	1.4	Wild Type
HCT-8	Intestine, large; colon	Adenocarcinoma, colorectal, ileocecal	16.0	3.5	Wild Type
HDLM-2	Lymphocyte B	Lymphoma, Hodgkin's disease	33.0	0.6	Mutant
HEC-1-A	Uterus, endometrium	Adenocarcinoma	16.0	0.0	Mutant
HEL	Lymphocyte, peripheral blood	Erythroleukemia OR Leukemia, erythroid	17.0	2.3	Mutant
HeLa S3	Cervix	Carcinoma, cervix	20.0	0.7	Mutant
HepG2	Liver	Carcinoma, hepatocellular	0.2	2.4	Wild Type
HMCB	Skin	Melanoma	15.0	-2.2	Mutant
HPAF-II	Pancreas	Adenocarcinoma	10.0	-0.6	Mutant
Hs 38.T	Ovary	Teratoma	0.7	-0.4	Wild Type
Hs 766T	Pancreas	Carcinoma	17.0	-0.7	Mutant

HT-1080	Connective tissue	Fibrosarcoma	0.1	6.3	Wild Type
HT-1197	Urinary, bladder	Carcinoma, urinary bladder, papillary (PAP)	0.2	6.5	Wild Type
HT-1376	Urinary, bladder	Carcinoma	16.0	1.4	Mutant
HuH-28	Gall bladder	Carcinoma, bile duct OR Cholangiocarcinoma	15.0	-2.0	Mutant
HUP-T4	Pancreas	Carcinoma, pancreatic	17.0	-0.4	Mutant
IM-9	Lymphocyte B, peripheral blood	Myeloma, multiple	0.1	4.2	Wild Type
IMR-32	Brain	Neuroblastoma (Glioma, neuroblastoma)	0.4	3.2	Wild Type
J82	Urinary, bladder	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	20.0	-2.4	Mutant
JMT-1	Breast	Carcinoma	11.0	-1.4	Mutant
K-562	Bone marrow	Leukemia, chronic myeloid	16.0	5.5	Mutant
KARPAS-299	Blood	Lymphoma	19.0	0.1	Mutant
Kasumi-1	Lymphocyte, peripheral blood	Leukemia, acute myeloid	21.0	-2.7	Mutant
KELLY	Brain	Neuroblastoma (Glioma, neuroblastoma)	15.0	0.0	Mutant
KG-1	Bone marrow	Leukemia	15.0	-2.5	Mutant
KMM-1	Lymphocyte	Myeloma	19.0	-0.6	Mutant
KMS-11	Lymphocyte	Myeloma, multiple	12.0	-1.7	Wild Type
KMS-12-BM	Bone marrow	Myeloma, multiple	0.0	1.3	Mutant
KMS-20	Lymphocyte	Myeloma	13.0	0.0	Mutant

KMS-21BM	Bone marrow	Myeloma, multiple	0.0	3.6	Wild Type
KMS-26	Lymphocyte	Myeloma	0.5	-1.8	Mutant
KYSE-520	Esophagus; oesophagus	Carcinoma, squamous cell	10.0	-3.1	Mutant
L-363	Lymphocyte, peripheral blood	Leukemia, plasma cell	32.0	-0.6	Mutant
LCLC-103H	Lung	Carcinoma, large cell, non-small cell lung cancer	0.7	-0.6	Mutant
LN-18	Brain, temporal lobe	Glioblastoma	0.7	1.1	Mutant
LNCaP clone FGC	NA	NA	0.2	1.8	Wild Type
LS 174T	Intestine, large; colon	Adenocarcinoma, colorectal	0.6	3.6	Wild Type
LS411N	Intestine, large; caecum	Carcinoma, colorectal	16.0	0.9	Mutant
LS513	Intestine, large; caecum	Carcinoma, colorectal	0.5	4.4	Wild Type
MC/CAR	Lymphocyte B, peripheral blood	Myeloma, plasmacytoma	0.7	3.3	Wild Type
MCF7	Breast, mammary gland	Adenocarcinoma, mammary gland, breast	0.8	2.9	Wild Type
MDA-MB- 134-VI	Breast, mammary gland	Carcinoma, ductal	38.0	0.4	Mutant
MDA-MB- 157	Breast, mammary gland	Carcinoma, medula	20.0	-5.4	Mutant
MDA-MB- 231	Breast, mammary gland	Adenocarcinoma	17.0	-1.2	Mutant

MDA-MB-361	Breast, mammary gland	Adenocarcinoma, mammary gland, breast	21.0	-0.6	Mutant
MDA-MB-435S	Skin	Melanoma	12.0	-3.0	Mutant
MDA-MB-453	Breast, mammary gland	Carcinoma	20.0	-1.4	Mutant
MDA-MB-468	Breast, mammary gland	Adenocarcinoma, mammary gland, breast	10.0	-2.8	Mutant
MEG-01	null	Leukemia, chronic myeloid	17.0	-2.8	Mutant
MFE-280	Uterus, endometrium	Adenocarcinoma, malignant, endometroid carcinoma	23.0	-0.9	Mutant
MFM-223	Breast, mammary gland	Carcinoma, ductal	17.0	2.9	Mutant
MG-63	Bone	Osteosarcoma	16.0	-1.0	Mutant
MIA PaCa-2	Pancreas	Carcinoma	19.0	-0.9	Mutant
MKN-1	Muscle, smooth, stomach	Carcinoma, squamous cell	15.0	-2.9	Mutant
MKN-45	Stomach	Adenocarcinoma	0.2	4.7	Mutant
MKN-74	Muscle, smooth, stomach	Carcinoma, gastric	0.9	6.8	Wild Type
MOLM-13	Blood	Leukemia, acute myeloid	0.2	2.7	Wild Type
MV-4-11	Blood	Leukemia, acute monocytic	0.0	2.4	Wild Type
NCCIT	Germ cell, gametocyte	Teratocarcinoma	11.0	-1.3	Mutant
NCI-H1155	Lung	Carcinoma, non-small cell lung cancer	22.0	-1.6	Mutant

NCI-H1299	Lung	Carcinoma, non-small cell lung cancer	15.0	-2.5	Mutant
NCI-H1437	Lung	Adenocarcinoma, non-small cell lung cancer	10.0	-2.6	Mutant
NCI-H1650	Lung	Adenocarcinoma	10.0	0.8	Mutant
NCI-H1666	Lung	Adenocarcinoma	13.0	3.3	Wild Type
NCI-H1793	Lung	Adenocarcinoma, non-small cell lung cancer	10.0	2.3	Mutant
NCI-H187	Lung	Carcinoma, classic small cell lung cancer	15.0	-1.7	Mutant
NCI-H1975	Lung	Adenocarcinoma	16.0	-2.6	Mutant
NCI-H2081	Lung	Carcinoma, small cell lung cancer	17.0	-2.2	Wild Type
NCI-H209	Lung	Carcinoma, small cell lung cancer	11.0	-1.6	Mutant
NCI-H2122	Lung	Adenocarcinoma	0.2	2.8	Mutant
NCI-H2170	Lung	Carcinoma, squamous cell	12.0	0.9	Mutant
NCI-H2171	Lung	Carcinoma, small cell lung cancer	11.0	-1.9	Mutant
NCI-H226	Lung	Carcinoma, squamous cell	0.1	5.6	Mutant
NCI-H23	Lung	Adenocarcinoma, non-small cell lung cancer	10.0	-0.2	NA
NCI-H28	null	Mesothelioma	0.5	4.3	Wild Type
NCI-H345	Lung	Carcinoma, small cell lung cancer	17.0	-1.9	Mutant
NCI-H441	Lung	Adenocarcinoma	10.0	-0.4	Mutant

NCI-H446	Lung	Carcinoma, small cell lung cancer	18.0	-0.9	Mutant
NCI-H460	Lung	Carcinoma	0.9	1.9	Wild Type
NCI-H520	Lung	Carcinoma, squamous cell	17.0	-2.6	Mutant
NCI-H522	Lung	Adenocarcinoma, non-small cell lung cancer	22.0	-1.0	Mutant
NCI-H526	Lung	Carcinoma, small cell lung cancer	16.0	-2.7	Mutant
NCI-H596	Lung	Carcinoma, adenosquamous	18.0	-2.2	Mutant
NCI-H661	Lung	Carcinoma, large cell, neuroendocrine, non-small lung cancer	11.0	-2.0	Mutant
NCI-H69	Lung	Carcinoma, small cell lung cancer	15.0	-0.7	Mutant
NCI-H716	Intestine, large; caecum	Adenocarcinoma, colorectal	13.0	-1.9	Mutant
NCI-H748	Lung	Carcinoma, small cell lung cancer	16.0	0.3	Mutant
NCI-H838	Lung	Adenocarcinoma, non-small cell lung cancer	14.0	1.4	Mutant
NCI-H929	Lymphocyte B, bone marrow	Myeloma, plasmacytoma	0.3	3.1	Wild Type
NCI-N87	Stomach	Carcinoma, gastric	12.0	-2.4	Mutant
NIH:OVCAR-3	Ovary	Adenocarcinoma	17.0	-3.6	Mutant
NKM-1	Lymphocyte	Leukemia, acute myeloid	0.3	3.3	Wild Type

NUGC-4	Stomach	Adenocarcinoma	0.4	4.8	Wild Type
OPM-2	Lymphocyte B	Myeloma, multiple	13.0	-2.1	Mutant
PA-1	Ovary	Carcinoma, ovary	0.6	1.0	Wild Type
PANC-1	Pancreas	Carcinoma, epithelioid	22.0	0.0	Mutant
PC-10	Lung	Carcinoma, squamous cell	14.0	-1.3	Mutant
PC-13	Lung	Adenocarcinoma	14.0	-1.7	Mutant
PC-9	Lung	Adenocarcinoma	0.7	0.6	Mutant
QG-56	Lung	Carcinoma, non-small cell lung cancer	10.0	-4.7	Mutant
RKO	Intestine, large; colon	Carcinoma, colorectal	0.0	3.9	Wild Type
RPMI-2650	Nasal septum	Carcinoma, squamous cell	0.8	3.9	Wild Type
SBC-5	Lung	Carcinoma, small cell lung cancer	18.0	-1.1	Mutant
SCC-15	Tongue	Carcinoma, squamous cell	20.0	-2.9	Mutant
SCH	Stomach	Choriocarcinoma	17.0	-0.5	Mutant
SH-SY5Y	Brain	Neuroblastoma (Glioma, neuroblastoma)	0.8	2.6	Wild Type
SJCRH30	Muscle	Rhabdomyosarcoma	16.0	-4.1	Mutant
SK-BR-3	Pleura	Adenocarcinoma, mammary gland, breast	21.0	0.4	Mutant
SK-ES-1	Bone	Sarcoma, Ewings	25.0	0.1	Mutant
SK-HEP-1	Liver	Hepatoma, Hepatocellular carcinoma, HCC	0.2	4.9	Wild Type
SK-LU-1	Lung	Adenocarcinoma	11.0	-0.7	Mutant

SKM-1	Lymphocyte, peripheral blood	Leukemia, acute myeloid	19.0	-2.7	Mutant
SK-MEL-1	Skin	Melanoma, malignant	0.8	0.4	Wild Type
SK-MEL-30	Skin	Melanoma, malignant	19.0	-2.7	Mutant
SK-N-AS	Brain	Neuroblastoma (Glioma, neuroblastoma)	13.0	-2.6	Mutant
SK-N-F1	Brain	Neuroblastoma (Glioma, neuroblastoma)	17.0	0.4	Mutant
SK-N-SH	Brain	Neuroblastoma (Glioma, neuroblastoma)	0.2	2.4	Wild Type
SK-OV-3	Ovary	Adenocarcinoma	17.0	-3.3	Mutant
SNU-1	Stomach	Carcinoma, gastric	0.4	3.6	Wild Type
SNU-16	Stomach	Carcinoma, gastric	10.0	-1.0	Mutant
SNU-5	Stomach	Carcinoma, gastric	16.0	-0.7	Mutant
SR	Lymphocyte	Lymphoma, large cell	0.0	3.9	Wild Type
SW1116	Intestine, large; colon	Adenocarcinoma, colorectal	12.0	-2.1	Mutant
SW1463	Intestine, large; rectum	Adenocarcinoma, colorectal	1.0	-1.5	Mutant
SW480	Intestine, large; colon	Adenocarcinoma, colorectal	12.0	-0.4	Mutant
SW579	Thyroid gland	Carcinoma, squamous cell	16.0	-0.8	Mutant
SW780	Urinary, bladder	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	1.0	5.6	Wild Type
SW837	Intestine, large; rectum	Adenocarcinoma	12.0	-3.3	Mutant

T24	Urinary, bladder	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	21.0	-2.3	Mutant
TCCSUP	Urinary, bladder	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	16.0	-3.3	Mutant
TF-1a	Bone marrow	Erythroleukemia OR Leukemia, erythroid	10.0	-0.8	Mutant
U-118 MG	Brain	Glioblastoma, astrocytoma	19.0	0.4	Mutant
U-138 MG	Brain	Glioblastoma	29.0	-1.6	Mutant
U-2197	Skin, hypodermis; subcutaneous	Histiocytoma, fibrous, malignant	22.0	-2.8	Mutant
U266B1	Lymphocyte B	Myeloma, plasmacytoma	18.0	0.7	Mutant
U-87 MG	Brain	Glioblastoma	0.2	3.1	Wild Type
UM-UC-3	Urinary, bladder	Carcinoma, urinary bladder, transitional cell carcinoma (TCC)	15.0	-0.3	Mutant
VCaP	Prostate	Carcinoma, prostate	13.0	-0.4	Mutant
WERI-Rb-1	Eye, retina	Retinoblastoma	0.7	2.2	Wild Type
Y79	Eye, retina	Retinoblastoma	0.6	4.9	Wild Type
YAPC	Pancreas	Carcinoma, pancreatic	18.0	-1.5	Mutant
ZR-75-1	Breast, mammary gland	Carcinoma, ductal	0.1	2.2	Wild Type

[00061] To examine the specific prediction power of the mRNA signature score across the studied tumor types, and to make sure the prediction from the signature score is not confounded by tumor lineage, the signature score within each available tumor type in CELLO is examined.

5 **TABLE 5:** Correlation between the mRNA signature score and mutation status of key regulatory genes involved in MDM2-p53 interactions and downstream p53 pathways

pathway	gene	proportion of mutant lines in resistant lines	proportion of mutant lines in sensitive lines	pvalue
apoptosis	ACIN1	68%	58%	1.32E-01
apoptosis	TP73	5%	0%	1.33E-01
apoptosis	SPTAN1	10%	4%	1.45E-01
apoptosis	CASP6	4%	0%	1.77E-01
apoptosis	IGFBP3	4%	0%	1.77E-01
apoptosis	RFWD2	4%	0%	1.77E-01
apoptosis	TP53INP1	67%	58%	1.80E-01
apoptosis	AKT1	3%	0%	2.37E-01
apoptosis	TP53I3	3%	0%	2.37E-01
apoptosis	PTEN	10%	6%	2.70E-01
apoptosis	CASP7	2%	0%	3.24E-01
apoptosis	NAIP	2%	0%	3.24E-01
		mean score for mutant lines	mean score for wildtype lines	pvalue
apoptosis		-0.03	0.14	0.58
cell cycle arrest	CDKN2A	5%	0%	1.01E-01
cell cycle arrest	RB1	14%	6%	1.01E-01
cell cycle arrest	CCNB3	8%	2%	1.30E-01
cell cycle arrest	CCNE1	2%	0%	3.24E-01
		mean score for mutant lines	mean score for wildtype lines	pvalue
cell cycle arrest		-0.97	0.24	1.00E-02

[00062] To access the signature robustness measured under various technology platforms, the signature mRNA expressions and the composite score between RNA-seq qualification and microarray quantification is examined.

5 [00063] The performance of the MDM2 antagonist predictive mRNA response signature is tested in the clinical setting with specimens from the NO21279 leukemia trial (Figure 1). 28 AML patients treated at the MTD are enrolled and completed pretreatment and C1D10 (cycle 1, day 10) sampling. Patients are composed of 18 men and 10 women with a median age 59 years (Table 6). The clinical endpoint in NO21279 was divided into 4 categories: Complete Response
10 (CR), Morphologic Leukemia-Free State (MLFS), Hematologic Improvement (HI), and Progressive Disease (PD). Blood leukemia samples and bone marrow biopsy samples were collected at baseline screening, after a single dose (cycle 1 day 2, C1D2) and on last day of dosing (cycle 1, day 10, C1D10) and isolated via MACS® separation ¹¹. The early pharmacodynamic effect of MDM2 antagonism in blood leukemia samples was assessed by
15 measuring MDM2 RT PCR change between baseline and C1D10. Global gene expression profiles were generated for the peripheral blood leukemia cells and bone marrow biopsy samples obtained at baseline, C1D2 and C1D10.

[00064] The biomarker panel measurement procedures have been previously reported ¹¹. Analysis of TP53 mutations was done by Caris Life Sciences (Irving, TX, USA) using the PCR-
20 based and microarray-based AmpliChip TP53 test (in development, Roche Molecular Systems, Pleasanton, CA, USA). This test reports single nucleotide substitutions or deletions in exons 2–11 and their splice sites^{11, 14}. MDM2 mRNA concentrations were assessed at Roche Molecular Systems by quantitative real-time PCR with 50 ng total RNA from MACs isolated leukemia cells from blood. TaqMan (Invitrogen, Carlsbad, CA, USA) probes were designed to detect
25 MDM2 mRNA and the reference mRNA, beta-glucuronidase, simultaneously using two different

fluorescent reporters. Gene expression profiles were generated using Affymetrix U133 Plus 2.0 microarrays.

[00065] Based on tumor specimen assessments, 23 of 28 patients have wild-type TP53 and 5 patients have TP53 mutations (Table 6). On day 1, median values for the area under the curve of 24hour (AUC_{0-24h}) for RG7112 are 190,315 ng*h/mL (IQR: 119,032–242,857 ng*h/mL) among the 28 studied patients. Clinical responses in the 28 patients include 3 CR, 4 MLFS, 6 HI and 15 PD. Median MDM2 mRNA expression in samples from C1D10 is increased by 2.46 times (IQR: 1.62–4.59) over baseline, demonstrating a pharmacodynamic biomarker response resulting from p53 activation of MDM2 transcription. Drug exposure significantly correlates with patients' clinical responses ($p=0.002$). 8 of 15 PD patients have insufficient exposures, defined as AUC_{0-24h} less than 150,000ng*h/mL; whereas only 1 patient has insufficient exposures in the other three categories.

15 **TABLE 6:** Details of clinical trials

Trial	NO21279	NO21280	NP22890
tumor type	AML	advanced malignancies	liposarcoma
no. of patients	28	22	14
Mean Age (SD)	53.3 (17.6)	57.1 (15.0)	61.4 (14.5)
No. of Female (%)	10 (35)	11 (50)	6 (43)
No. of patients with P53 mutation	5	0	2

[00066] The identified 4-gene signature score is calculated for each of the 28 patients with AML by taking the summation of MDM2, BBC3, XPC, subtracting CDKN2A expression levels at baseline. There is a significant correlation between the signature scores and patients' clinical responses (PD<HI<MLFS<CR) to MDM2 antagonist therapy (Spearman correlation coefficient 0.58, $P=6.6 \times 10^{-4}$). The signature scores also significantly correlate with patients'

pharmacodynamic biomarker responses as measured by MDM2 mRNA change from baseline to C1D10 (Spearman correlation coefficient 0.41, $P=0.02$). The correlation between the signature scores and patients' clinical responses is further enhanced for the subset of 15 patients with sufficiently high exposures, defined as patients with AUC_{0-24h} higher than 150,000ng*h/mL (Spearman correlation coefficient 0.64, $P=5.2 \times 10^{-3}$).

[00067] This 4 gene signature panel is capable of distinguishing AML patients in response categories following treatment with MDM2 inhibitors in the following manner: CR/MLFS patients from PD/HI patients with an AUC of the ROC curve of 0.82, and distinguishing CR/MLFS/HI patients from PD patients with an AUC of 0.83. In contrast, MDM2 mRNA expression as a single biomarker could only distinguish CR/MLFS patients from PD/HI patients with an AUC of 0.51, and distinguish CR/MLFS/HI patients from PD patients with an AUC of 0.61. Using a cut-off point of the signature score 15, patients are classified into likely-responder group and likely-non-responder group at baseline prior to MDM2 antagonist therapy with 100% sensitivity and 71% specificity. Therefore, the signature panel has significant potential to be used as a companion predictive biomarker of MDM2 antagonist therapy to select a subset of AML patients who are most likely to respond; and avoid exposing the AML patients who are less likely to respond.

20 [00068] Therefore, in one embodiment, the present invention provides a method of identifying a patient suffering from cancer as likely to respond to a therapy comprising administration of an MDM2 inhibitor, the method comprising,

- a) taking a sample from the patient;
- b) measuring the expression levels of MDM2 mRNA in the sample;
- 25 c) comparing the MDM2 mRNA expression level from the patient to standard values from a patient with the same cancer; and

d) administering a compound which acts as inhibitor of the MDM2-p53 interaction.

The “standard value” is for example the cut-off value (cut-off point or reference level) for the patient signature score as defined herein.

5

[00069] In another embodiment, the present invention provides an in vitro method of identifying a patient suffering from cancer as likely to respond to a therapy comprising an MDM2 inhibitor, the method comprising,

- 10 a) measuring the mRNA expression level of MDM2, XPC, BBC3 and CDKN2A in a sample obtained from that patient prior to treatment;
- b) applying the expression levels obtained in a) to a mathematical equation in order to calculate the patient’s signature score;
- c) comparing said patient’s signature score obtained from b) to a reference level; and
- 15 d) identifying said patient as more likely to respond to the therapy comprising said MDM2 inhibitor when the patients’s signature score is above said reference level.

In one embodiment, the patient signature score above the reference level indicates a patient’s high likelihood to respond to treatment with an MDM2 inhibitor, whereas a signature score below said level indicates that said patient is less likely to respond to that treatment.

20

In one embodiment, the sample obtained in a) is blood leukemia sample, or a bone marrow biopsy sample.

In another embodiment, the patient’s signature score in b) is calculated from the sum of log2-transformed mRNA expression levels measured at baseline (i.e. prior to treatment), multiplied by the observed direction in-vitro, defined as signature score = $G_{MDM2} + G_{XPC} + G_{BBC3} - G_{CDKN2A}$.

25

Within this embodiment, mRNA expression levels at baseline are measured by microarray measurements, preferably by using GeneChip Human Genome U133 Plus 2.0 Array. Also, within this embodiment, mRNA expression levels at baseline may be measured by RNA sequencing

5

In one embodiment, the reference level for the patient signature score as calculated using GeneChip Human Genome U133 Plus 2.0 Array measurement (the “microarray technology” or “microarray measurements”) is 14.0 or 14.5. In another embodiment, the reference level for the patient signature score is 15. In a preferred embodiment, the reference level for the patient signature score is 15.4 (selected by the Youden’s index).

10

In another embodiment, the patient signature score is calculated based on mRNA expression levels obtained by RT PCR measurements. The patient signature score calculated using RT PCR may be different from the value calculated based on the microarray technology. However, within this embodiment according to the present invention, the patient signature score based on RT PCR can be converted into the value obtained when using microarray technology by established correlation between these methods, as well known to the person of skill in the art.

15

In another embodiment, when mRNA expression levels at baseline are measured by RT-PCR, the patient’s signature score in b) is calculated from Algorithm 1: the sum of log₂ transformed relative expression levels (delta-CP) measured at baseline (i.e. prior to treatment), multiplied by the observed direction in-vitro, defined as signature score = GMDM2 + GXPC + GBBC3 – GCDKN2A, where G = delta-CP, so delta-CPMDM2 = CPhousekeeper -CPMDM2, delta-CPXPC = CPhousekeeper -CPXPC, delta-CPBBC3 = CPhousekeeper -CPBBC3, delta-CPCDKN2A = CPhousekeeper -CPCDKN2A. The “housekeeper” gene is TMEM.

20

If measured on the same set of patient samples, the measurements of the signature score from RT-PCR (under the above Algorithm 1) is correlated with the measurements of the signature

25

score from GeneChip Human Genome U133 Plus 2.0 Array with correlation coefficient 0.79. Within this embodiment, the reference level for the patient signature score from RT-PCR measurement is about 2.0, or 2.10 or 2.20. Still within this embodiment, the reference level for the patient signature score is 2.26 (selected by the Youden's index).

5

In yet another embodiment, when mRNA expression levels at baseline are measured by RT-PCR, the patient's signature score in b) can also be calculated from Algorithm 2: the sum of relative expression levels (2delta-CP) measured at baseline (i.e. prior to treatment), multiplied by the observed direction in-vitro, defined as signature score = GMDM2 + GXPC + GBBC3 – GCDKN2A, where G = 2delta-CP, delta-CP is defined in Algorithm 1. The "housekeeper" gene is TMEM.

10

If measured on the same set of patient samples, the measurements of the signature score from RT-PCR (under the above Algorithm 2) is correlated with the measurements of the signature score from GeneChip Human Genome U133 Plus 2.0 Array with correlation coefficient 0.63.

15
Within this embodiment, the reference level for the patient signature score from RT-PCR measurement is about 4.10, or 4.20 or 4.30. Still within this embodiment, the reference level for the patient signature score is 4.34 (selected by the Youden's index).

In one embodiment the cancer is a haematological tumor, preferably AML, or MDS, or MPN.

20

In another embodiment, the cancer is a solid tumor, such as for example lung, prostate, colon, head, neck, or pancreatic cancer or sarcoma or melanoma.

In one embodiment, the MDM2-inhibitor is a compound as specifically disclosed in U.S. Patent

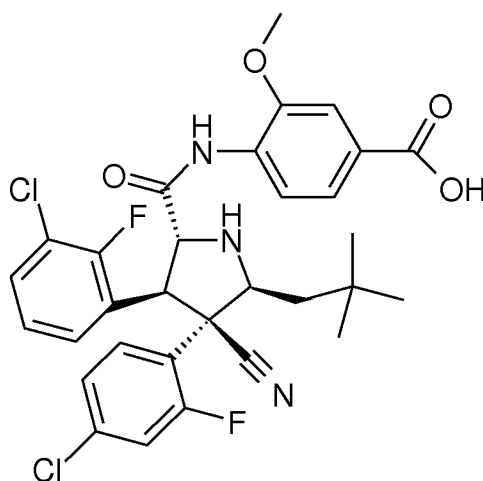
25 8,354,444 B2, or in WO 2007/063013, WO 2010/031713, WO 2011/098398 and WO

2013/135648; or a compound according to formulae I, II, IIa or III, or combinations thereof, preferably of formulae IIa or III.

In another embodiment, the MDM2 inhibitor is the compound A (RG7112) as defined herein.

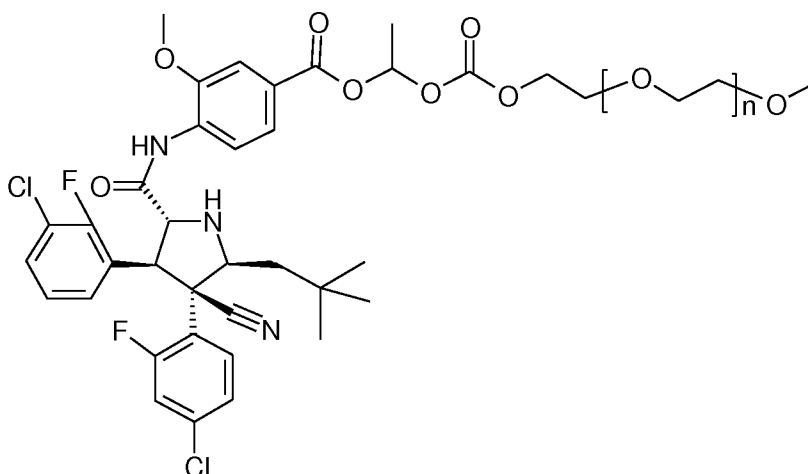
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In yet another embodiment, the MDM2 inhibitor is the compound 4-[[[(2R,3S,4R,5S)-4-(4-Chloro-2-fluoro-phenyl)-3-(3-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino]-3-methoxy-benzoic acid, of the formula



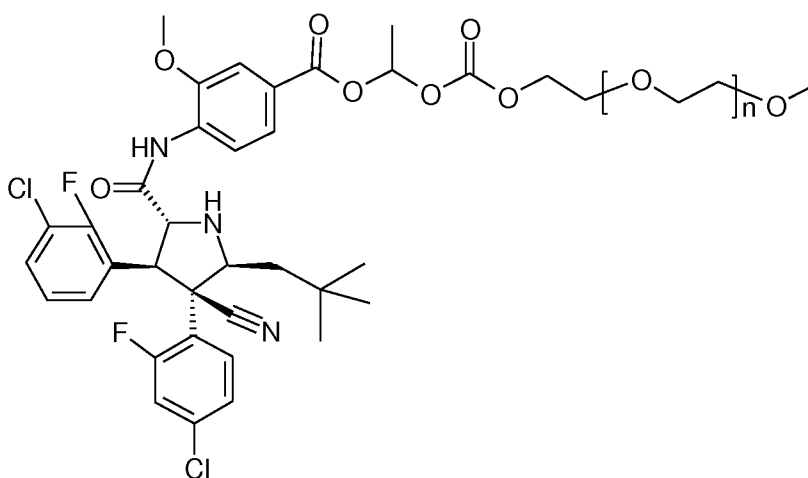
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In yet another embodiment, the MDM2 inhibitor is the compound 4-[[[(2R,3S,4R,5S)-3-(3-Chloro-2-fluoro-phenyl)-4-(4-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino]-3-methoxy-benzoic acid 1-mPEG-carboxyloxy-ethyl ester (mPEG, average MW, ~2000), of the formula:



(Average MW: ~2695)

In yet another embodiment, the MDM2 inhibitor is the compound 4-[(2R,3S,4R,5S)-3-(3-Chloro-2-fluoro-phenyl)-4-(4-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino}-3-methoxy-benzoic acid 1-mPEG-carboxyloxy-ethyl ester (mPEG, average MW, ~2200):



(Average MW: ~2900)

10

In still another embodiment, there is provided the use of a gene expression (mRNA) signature to predict a patients response to treatment with an MDM2 inhibitor (antagonist). Within this embodiment, the “gene expression signature” is a 4-gene mRNA signature consisting of MDM2, XPC, and BBC3 elevated expression as well as low expression of CDKN2A when measured at baseline, i.e. prior to treatment with an MDM2 inhibitor (antagonist).

15

The signature score measurements also correlate with patient's pharmacodynamic biomarker responses (MDM2 expression change). The data presented in Example 5 demonstrate that the 4 mRNA signature score according to the present invention is also a pharmacodynamic biomarker for monitoring efficacy of cancer treatment comprising an MDM2 inhibitor. Therefore, in another embodiment, there is provided an in vitro method for monitoring efficacy of therapy comprising an MDM2 inhibitor, as defined above, in a patient suffering from cancer, the method comprising

- a) measuring the mRNA expression level of MDM2, XPC, BBC3 and CDKN2A in a sample obtained from that patient prior to treatment;
- b) applying the expression levels obtained in a) to a mathematical equation in order to calculate the patient's signature score prior to treatment;
- c) repeating step a) and b) after start of treatment with said MDM2 inhibitor; and
- d) comparing the signature scores obtained after start of treatment with those obtained prior to treatment, whereas higher signature scores after treatment indicate a response of the patient to the treatment, and thus a recommendation to continue the treatment.

Within this embodiment, an MDM2 inhibitor is as defined above. The cancer is a solid tumor or AML. The sample obtained in a) is blood leukemia sample, or a bone marrow biopsy sample.

Also within this embodiment, the signature score during treatment is preferably obtained at day 10 after the start of treatment, i.e. after the initial administration of an MDM2 inhibitor. The difference in the signature score at day 10 subsequent to initial dosing of an MDM2 inhibitor is at least 1.20 times the score measured at baseline, i.e. prior to treatment. In a preferred embodiment, a signature score at day 10 after initial dosing of an MDM2 inhibitor of about 1.23 to about 1.26 times the score measured prior to treatment, indicates that the patient responds to treatment and that the treatment should be continued.

[00070] A compound according to formula I, II, III, or combinations thereof, or pharmaceutically acceptable derivatives thereof may be used for the prophylactic or especially therapeutic treatment of the human or animal body (subject) (patient), in particular for treating a neoplastic disease (cancer). Examples of such cancers include, but are not limited to, epithelial neoplasms, squamous cell neoplasms, basal cell neoplasms, transitional cell papillomas and carcinomas, adenomas and adenocarcinomas, adnexal and skin appendage neoplasms, mucoepidermoid neoplasms, cystic neoplasms, mucinous and serous neoplasms, ductal-, lobular and medullary neoplasms, acinar cell neoplasms, complex epithelial neoplasms, specialized gonadal neoplasms, paragangliomas and glomus tumours, naevi and melanomas, soft tissue tumours and sarcomas, fibromatous neoplasms, myxomatous neoplasms, lipomatous neoplasms, myomatous neoplasms, complex mixed and stromal neoplasms, fibroepithelial neoplasms, synovial like neoplasms, mesothelial neoplasms, germ cell neoplasms, trophoblastic neoplasms, mesonephromas, blood vessel tumours, lymphatic vessel tumours, osseous and chondromatous neoplasms, giant cell tumours, miscellaneous bone tumours, odontogenic tumours, gliomas, neuroepitheliomatous neoplasms, meningiomas, nerve sheath tumours, granular cell tumours and alveolar soft part sarcomas, Hodgkin's and non-Hodgkin's lymphomas, other lymphoreticular neoplasms, plasma cell tumours, mast cell tumours, immunoproliferative diseases, leukemias, miscellaneous myeloproliferative disorders, lymphoproliferative disorders and myelodysplastic syndromes.

[00071] Particularly preferably, the disease according to the invention is a neoplastic disease, cancer, and more particularly AML.

[00072] Examples of cancers in terms of the organs and parts of the body affected include, but are not limited to, the breast, cervix, ovaries, colon, rectum, (including colon and rectum i.e.

colorectal cancer), lung, (including small cell lung cancer, non-small cell lung cancer, large cell lung cancer and mesothelioma), bone, endocrine system, adrenal gland, thymus, liver, stomach, intestine, (including gastric cancer), pancreas, bone marrow, hematological malignancies, (such as lymphoma, leukemia, myeloma or lymphoid malignancies), bladder, urinary tract, kidneys, 5 skin, thyroid, brain, head, neck, prostate and testis. Preferably the cancer is selected from the group consisting of breast cancer, prostate cancer, cervical cancer, ovarian cancer, gastric cancer, colorectal cancer, pancreatic cancer, liver cancer, brain cancer, neuroendocrine cancer, lung cancer, kidney cancer, hematological malignancies, melanoma and sarcomas. Especially preferably the cancer is selected from the group consisting of breast cancer, cervical cancer, 10 ovarian cancer, colorectal cancer, melanoma and lung cancer. More especially preferably the cancer is selected from the group consisting of lung cancer, melanoma, ovarian cancer and colorectal cancer. In another preferred embodiment, for the case when the resistance predicted is acquired resistance, the cancer is lung cancer or ovarian cancer. In yet another preferred embodiment, for the case where the resistance predicted is inherent resistance, the cancer is 15 selected from the group consisting of colorectal cancer, lung cancer or melanoma.

Method of Treatment

[00073] The invention also involves a method of treatment, wherein the activity level of a sample from a patient for sensitivity is first established relative to a standard level or set of 20 standard levels or pre-treatment initiation levels and then an MDM2-inhibitor is administered. Preferably said MDM2-inhibitor is a compound of general formula I, II, III, or a pharmaceutically acceptable derivative thereof as defined above, more preferably a compound of formula IIa or III or a pharmaceutically acceptable derivative thereof. Said compounds may be administered in a pharmaceutical composition, as is well known to a person skilled in the art. 25 Compositions for administration, such as nasal, buccal, rectal or, especially, oral administration, and for parenteral administration, such as intravenous, intramuscular or subcutaneous

administration, to warm-blooded animals, especially humans, are especially preferred. More particularly, compositions for intravenous administration are preferred.

[00074] A compound of general formula I, II or III, preferably IIa or III, or a
5 pharmaceutically acceptable derivative thereof can be administered alone or in combination with one or more other therapeutic agents. Possible combination therapy may take the form of fixed combinations, or the administration of a compound of the invention and one or more other therapeutic agents which are staggered or given independently of one another, or the combined administration of fixed combinations and one or more other therapeutic agents.

10

[00075] A compound of general formula I, II or III, preferably IIa or III, or a pharmaceutically acceptable derivative thereof can, besides or in addition, be administered especially for tumour therapy in combination with chemotherapy (cytotoxic therapy), targeted therapy, endocrine therapy, radiotherapy, immunotherapy, surgical intervention, or a
15 combination of these. Long-term therapy is equally possible as is adjuvant therapy in the context of other treatment strategies, as described above. Other possible treatments are therapy to maintain the patient's status after tumour regression, or even chemo-preventive therapy for example in patients at risk.

20 **Kit and Device**

[00076] In one aspect the invention relates to a kit and in another aspect to a device for predicting the response, preferably of a cancer in a subject (or patient), to a compound of general formula I, II, III, preferably IIa or III, or a pharmaceutically acceptable derivative thereof as defined, comprising reagents necessary for measuring the MDM2 gene.

25

[00077] The kit and device may also preferably comprise a comparator module which comprises a standard value or set of standard values to which the level of MDM2 in the sample is compared. In a preferred embodiment, the comparator module is included in instructions for use of the kit. In another preferred embodiment the comparator module is in the form a display
5 device, for example a strip of colour or numerically coded material which is designed to be placed next to the readout of the sample measurement to indicate resistance levels. The standard value or set of standard values may be determined as described above.

[00078] The following examples are illustrative of the invention and not limitative thereof.

EXAMPLES

EXAMPLE 1

RNA sequencing of CELLO cell lines

[00079] RNA sequencing (RNA-seq) by Next Generation Sequencing (NGS) technology

5 is an accurate and sensitive approach to measure gene expression, with the additional power to detect alternative splicing, allele specific expression, non-coding RNA, and various forms of mutations (SNPs, indels, gene fusions). The NGS Illumina HiSeq machine generates raw base calls in reads of 50 or 100 bp length, which are subjected to several data analysis steps. The RNA-seq is conducted at 40 to 50 million reads per sample. This number provides relatively
10 high sensitivity to detect low-expressed genes while allowing for cost-effective multiplexing of samples. RNA is prepared by standard kits and RNA libraries by polyA TruSeq Illumina kits. 100 ng of mRNA per cell line is used for each RNA-seq reaction. A number of quality control procedures are applied to the RNA-seq data for each sample. The Illumina HiSeq software reports the total number of clusters (DNA fragments) loaded in each lane, percent passing
15 sequencing quality filters (which identifies errors due to overloading and sequencing chemistry), a phred quality score for each base of each sequence read, overall average phred scores for each sequencing cycle, and overall percent error (based on alignment to the reference genome). For each RNA-seq sample, the percentage of reads that contain mitochondrial and ribosomal RNA is calculated. The FASTQC package is used to provide additional QC metrics (base distribution,
20 sequence duplication, overrepresented sequences, and enriched kmers) and a graphical summary. Finally, the Picard toolkit that provides additional RNA-seq metrics including an estimate of 3' bias is used (caused by the use of poly-A capture or priming for cDNA synthesis in sample preparation), the percentage of reads mapping to exons, introns and intergenic regions.

EXAMPLE 2

Exom-Sequencing of CELLO cell lines

COMPOUND A in vitro Assay

[00080] 281 cells are treated with MDM2 antagonists and the IC₅₀ (therapy response) is
5 generated. The cell lines span a broad range of tumor cell types of derivation.

[0100] Among the 281 cell lines evaluated, 210 cell lines show mutations in TP53 after a careful annotation removing low quality calls and germline mutations. Among the 7 AML cell lines tested, 5 showed mutations in TP53. Cell lines harboring mutant TP53 are much less sensitive to MDM2 antagonist therapy ($P < 2.2 \times 10^{-16}$), consistent with previously published data. The
10 genome-wide association between baseline mRNA expression and MDM2 antagonist therapy response (IC₅₀) identify a list of 13 genes with significant associations with P-values ranging from 2.38×10^{-47} to 9.56×10^{-23} (Supplementary Table 2). Functional annotation indicated that the 13 significant genes from the genome-wide association, with correlation coefficients ranging from -0.47 to -0.31 (with one positive correlation 0.28), are known regulators in the relevant
15 MDM2-P53 interactions or downstream P53 pathways, including cell cycle arrest and apoptosis (Supplementary Table 2). Among them, MDM2 has the 4th highest gene with an over-expression of MDM2 correlating with in vitro sensitivity, consistent with previously published data.

[0101] A multivariate logistic regression classifier is identified, which contains up-regulations of three genes including MDM2, XPC (xeroderma pigmentosum, complementation group C), BBC3
20 (BCL2 binding component 3) and down-regulation of tumor suppressor gene CDKN2A (cyclin-dependent kinase inhibitor 2A) (Table 2). The final signature is capable of distinguishing MDM2 antagonist sensitive cell lines from MDM2 antagonist resistant cell lines with AUC of 0.93 (95% CI 0.92 to 0.95). Therefore, the MDM2 antagonist sensitive cell lines demonstrate baseline up-regulation of MDM2, XPC, and BBC3 and down-regulation of CDKN2A; whereas the MDM2

antagonist resistant cell lines are characterized by down-regulation of MDM2, XPC, and BBC3 and up-regulation of CDKN2A (Figure 2).

TABLE 7: Biosignature genes performance in CELLO and in NP21279

	CELLO				NO21279			
	OR (95% CI) ^a	P-value ^b	Correlation Coefficient RNAseq results vs microarray results	P-value	Correlation (efficacy)	P-value	Correlation (change in MDM2)	P-value
MDM2	4.17 (2.12, 8.19)	3.41E-05	0.73	3.50E-19	0.14	2.32E-01	0.22	1.49E-01
XPC	3.42 (1.80, 6.49)	1.78E-04	0.63	1.72E-16	0.27	8.58E-02	0.49	7.40E-03
BBC3	1.62 (0.95, 2.78)	7.67E-02	0.38	1.20E-14	0.37	2.68E-02	0.05	4.09E-01
CDKN2A	0.48 (0.29, 0.79)	4.41E-03	0.89	2.46E-26	-0.23	1.20E-01	-0.29	7.62E-02
score	2.53 (1.95, 3.29)	3.52E-12	0.8	1.30E-21	0.58	9.00E-04	0.41	1.90E-02

5

[0102] In addition to the target gene MDM2, the other three genes in the signature are all biologically supported as regulators in the MDM2-p53 interactions or downstream p53 pathways. The XPC gene plays an important role involved in repairing damaged DNA, contributing to damage recognition, open complex formation, and repair protein complex formation. BBC3, also known as p53 upregulated modulator of apoptosis (PUMA), were induced by exposure to DNA-damaging agents and by p53, which mediates DNA damage-induced apoptosis. The two gene products of the CDKN2A, p16 and p14ARF, are both linked to major tumor suppressor pathways; especially p14ARF, which inhibits MDM2 function by sequestering it in the nucleolus. To examine the molecular mechanisms underlying the mRNA signature, we further correlate the mRNA signature score with mutation status of p53 and key regulatory genes involved in MDM2-p53 interactions and downstream p53 pathways (Table 4). As shown in Figure 2, cell lines with low signature score were more likely to be p53 mutant; whereas cell lines with high signature score are more likely to be p53 wild type ($P < 2.2 \times 10^{-16}$). Furthermore,

we identified that the majority of resistant cell lines with wild type TP53 but low signature score harbor mutations in key regulatory genes involved in MDM2-p53 interactions and downstream p53 pathway. These evidences indicated that the signature score can potentially serve as a surrogate mRNA-level indicator of MDM2-P53 pathway function.

- 5 **[0103]** To examine the specific prediction power of the mRNA signature score across the studied tumor types, and to make sure the prediction from the signature score is not confounded by tumor lineage, further examine the signature score within each available tumor type in CELLO (Table 8) is examined. Of note, the predictive power of the signature score is preserved in the AML cell lines.

10 **TABLE 8:** Tumor type specific correlation

Tissue	Number	% Sen	% Res	Mean(Sen)	SD(Sen)	Mean(Res)	SD(Res)	Cor	Pvalue
Bone marrow	5	40.0	60.0	2.5	1.6	0.7	4.2	0.1	0.0
Brain	10	50.0	50.0	2.6	0.7	-0.7	1.3	-0.8	0.0
Breast, mammary gland	20	15.0	85.0	3.3	1.3	-1.1	2.4	-0.1	0.0
Intestine, large; colon	8	37.5	62.5	3.0	1.4	-1.4	3.2	-0.5	0.0
Lung	41	14.6	85.4	2.1	2.1	-1.3	1.9	-0.5	0.0
Lymphocyte	6	50.0	50.0	1.8	3.1	-0.8	0.9	-0.5	0.0
Ovary	6	33.3	66.7	0.3	0.9	-2.1	1.9	-0.3	0.0
Pancreas	9	0.0	100.0	NA	NA	-0.8	0.9	0.2	0.0
Skin	6	33.3	66.7	1.6	1.7	-2.7	0.3	-0.6	0.0
Stomach	8	50.0	50.0	4.6	0.8	-1.2	0.8	-0.7	0.0
Urinary, bladder	8	25.0	75.0	6.0	0.6	-1.3	1.7	-0.8	0.0

- [0104]** A robust demonstration of utility of an mRNA signature across various assay platforms is critical in clinical in development of a biomarker. In the case of this study, the signature is developed with RNAseq quantification of expression measurement, which takes advantage of its
- 15 sensitivity and the increased dynamic range over microarray technology. As shown in Table 2, the mRNA expression levels of the four genes measured through RNAseq are well correlated with those measured through microarrays. The high discriminatory ability of individual genes and the overall score are preserved through microarray quantification.

EXAMPLE 3**In vitro Assay Gene Expression Analysis Methods**

[0105] Messenger RNA (mRNA) expression levels at baseline, prior to MDM2 antagonist therapy, are obtained via RNA sequencing (RNA-seq) and microarray measurement using GeneChip Human Genome U133 Plus 2.0 Array. Gene expression analysis of RNA-seq data is summarized here. First, the sequence reads are mapped to the reference human genome and to an additional database of splice junction fragments derived from known exon locations on the reference genome (Cufflinks software). These mapped reads are then combined to create discrete counts of reads (or sequenced bases) per gene. These gene expression counts are then normalized to equalize the total amount of RNA counts for each sample and corrected for gene/transcript length (RPKM). All genes with expression values less than $RPKM = 1$ in all cell lines are removed (this is roughly equivalent to an expression level less than one copy of an RNA molecule per cell). Next, normalized gene counts are statistically tested to identify differentially expressed genes between responder cell lines and non-responder cell lines using statistical methods that find differentially expressed (DE) genes with negative binomial models. The negative binomial model implemented is the DEseq software. 20355 genes are subject to the differential expression analysis after QC. Bonferroni correction threshold was used to determine the statistical significance. False discovery rate is also estimated and reported.

Development of 4-gene signature

[0106] The 12 significant genes from univariate DE analysis are set as candidates for building the signature. The genes are ranked via their fold changes of responder cell lines to non-responder cell lines. Positive genes are defined as those with fold changes higher than 1; whereas negative genes are defined as those with fold changes less than 1. A multivariate logistic regression classifier is then built through a upward model selection procedure to maximize area under the receiver operating characteristic (ROC) curve with 10-fold cross-validation. The mode

selection is implemented procedure through R package bestglm. The final selected model is composed of 4 genes. Therefore, each cell line is estimated by a linear combination of their gene-expression values (log transformed RPKM) weighted by their regression coefficients, defined as COMPOUND A signature = $1.43G_{MDM2} + 1.23G_{XPC} + 0.48G_{BBC3} - 0.73G_{CDKN2A}$

5

Microarray Gene Expression Analysis Methods

[0107] To reduce variation among microarrays, the intensity values for samples in each microarray are rescaled by quantile normalization method. Each intensity value is then log2 transformed.

10

Evaluation of the 4-gene signature in COMPOUND A clinical trials

[0108] In the NO21279 Phase 1 clinical trial, patients with relapsed/refractory leukemia are treated with ascending doses of COMPOUND A. Specimens from subset of patients treated at the MTD (1500 mg BID x 10 days) are evaluated as a part of the current gene expression study.

15 Blood leukemia samples are collected at baseline, cycle 1 day 2 (C1D2) and cycle 1, day 10 (C1D10) and isolated via MACs separation.

[0109] Risk scores are calculated for patients based on their mRNA expression values of the 4 genes at baseline prior to treatment. To ensure a rigorous and an unbiased validation, the coefficients in the test cohort is not applied. However, due to the platform difference and the profound biological differences between patients and cell lines, the set of in-vitro coefficients for the patient level classifier cannot be directly applied. Therefore, the patient's signature scores is simply the sum of expression levels of the signature genes as measured by microarray analysis, multiplied by the observed direction in-vitro, defined as COMPOUND A signature = $G_{MDM2} +$

25 $G_{XPC} + G_{BBC3} - G_{CDKN2A}$. Note that it is not an optimal combination of the gene expression

levels. So, the reported association and prediction power of the score is a conservative estimate of the score performance.

EXAMPLE 4

5 Microarray Gene Expression Analysis Methods

[0110] To reduce variation among microarrays, the intensity values for samples in each microarray are rescaled by quantile normalization method. Each intensity value is then log2 transformed.

10 Validation of the 4-gene signature in COMPOUND A clinical trials

[0111] In the NO21279 Phase 1 clinical trial, patients with relapsed/refractory leukemia are treated with ascending doses of COMPOUND A. Specimens from subset of patients treated at the MTD (1500 mg BID x 10 days) are evaluated as a part of the current gene expression study. Leukemia samples are collected at baseline, cycle 1 day 2 (C1D2) and cycle 1, day 10 (C1D10)
15 and isolated via MACs separation.

[0112] Risk scores are calculated for patients based on their mRNA expression values of the 4 genes at baseline prior to treatment. To ensure a rigorous and an unbiased validation, the compute of the coefficients in the test cohort is not done. However, due to the platform
20 difference and the biological differences between patients and cell lines, in-vitro coefficients for the patient level classifier is not applied. Therefore, the patient's signature scores is simply the sum of expression levels of the signature genes as measured by microarray analysis, multiplied by the observed direction in-vitro, defined as COMPOUND A signature = $G_{MDM2} + G_{XPC} + G_{BBC3} - G_{CDKN2A}$. Note that it is not an optimal combination of the gene expression levels, so the
25 reported association and prediction power of the score is a conservative estimate of the score performance.

EXAMPLE 5

In Vivo Testing

[0113] In the NO21279 Phase 1 clinical trial, patients with relapsed/refractory leukemia are treated with ascending doses of RG7112. Specimens from subset of patients treated at the MTD (1500 mg BID x 10 days) are evaluated as a part of the current gene expression study. Leukemia samples are collected at baseline, cycle 1 day 2 (C1D2) and cycle 1, day 10 (C1D10) and isolated via MACs separation.

[0114] Patients are composed of 18 men and 10 women with a median age 59 years. Based on tumor specimen assessments, 23 of 28 patients have wild-type TP53, and 5 patients have TP53 mutations (one patient with mutation A to C in intron 7; two patients with mutations CGC to CAC in exon 5; one patient with deletion G 323_3-324_1 in exon 9 frameshift; one with mutation S240G -- S240, AGT to GGT in exon 7). On day 1, median values for the area under the curve of 24 h (AUC_{0-24h}) for COMPOUND A are 190,315 ng*h/mL (IQR: 119,032–242,857 ng*h/mL) among the 28 studied patients. Clinical responses are evaluated and are divided into 4 categories: Complete Response (CR), Morphologic Leukemia-Free State (MLFS), Hematologic Improvement (HI), and Progressive Disease (PD). Response are evaluated in the 28 patients including 3 CR, 4 MLFS, 6 HI and 15 PD. In addition to assessments of baseline samples, treatment samples are also evaluated (Cycle 1, Day 10). Median MDM2 mRNA expression in biopsies from C1D10 is increased by 2.46 times (IQR: 1.62–4.59) over baseline, demonstrating a pharmacodynamic biomarker response resulting from p53 activation of MDM2 transcription.

[0115] Baseline blood cell specimens from 28 evaluable patients dosed at the MTD are evaluated using Affymetrix GeneChip Human Genome U133 Plus 2.0 microarrays. The

identified 4-gene signature score is calculated for each of the 28 patients by taking the summation of MDM2, BBC3, XPC, subtracting CDKN2A expression levels at baseline. There is a significant correlation between the signature scores and patients' clinical responses (PD<HI<MLFS<CR) to MDM2 antagonist therapy (Spearman correlation coefficient 0.58, $P=6.6 \times 10^{-4}$). The signature scores also significantly correlate with patients' pharmacodynamic biomarker responses as measured by MDM2 mRNA change from baseline to C1D10 (Spearman correlation coefficient 0.41, $P=0.02$; Figure 3). The correlation between the signature scores and patients' clinical responses is further enhanced for the subset of 15 patients with sufficiently high exposures, defined as patients with AUC_{0-24h} higher than 150,000ng*h/mL (Spearman correlation coefficient 0.64, $P=5.2 \times 10^{-3}$). This panel was capable of distinguishing CR/MLFS patients from PD/HI patients with an AUC of the ROC curve of 0.82 (Figure 4, Table 10), and distinguishing CR/MLFS/HI patients from PD patients with an AUC of 0.83 (Figure 4). In contrast, MDM2 mRNA expression as a single biomarker could only distinguish CR/MLFS patients from PD/HI patients with an AUC of 0.51, and distinguish CR/MLFS/HI patients from PD patients with an AUC of 0.61.

Table 10. Assessment of the MDM2-antagonist therapy predictive signature

Patient no.	Response	TP53 Status	AUC ₀₋₂₄ (ng*h/mL)	MDM2 mRNA (RT-PCR) fold change over baseline (C1D10)	Patient's signature score measured from microarray technology (and from RT-PCR calculated from algorithm 1) at baseline
1	CR	WILD-TYPE	242,190	1.67	16.53 (3.52)
2	CR	WILD-TYPE	234,520	3.00	16.01 (2.83)
3	CR	WILD-TYPE	139,330	2.23	15.37 (3.50)
4	MLFS	WILD-TYPE	268,300	5.67	16.18 (3.93)
5	MLFS	WILD-TYPE	247,574	5.05	15.68 (3.04)
6	MLFS	MUTANT	330,300	9.66	15.28 (2.26)
7	MLFS	WILD-TYPE	189,500	1.79	15.23 (2.30)
8	HI	WILD-TYPE	239,490	23.00	16.49 (3.91)
9	HI	WILD-TYPE	244,860	2.01	15.93 (2.91)
10	HI	WILD-TYPE	191,130	1.72	15.75 (3.92)
11	HI	WILD-TYPE	400,900	5.07	14.92 (1.97)
12	HI	WILD-TYPE	209,420	3.20	14.66 (1.57)
13	HI	WILD-TYPE	185,630	4.35	13.95 (2.36)

14	PD	WILD-TYPE	65,080	1.27	16.36 (3.41)
15	PD	MUTANT	NA	NA	15.47 (3.28)
16	PD	WILD-TYPE	124,790	2.51	15.46 (1.25)
17	PD	WILD-TYPE	83,480	0.96	15.27 (2.10)
18	PD	WILD-TYPE	NA	NA	15.14 (0.37)
19	PD	WILD-TYPE	127,530	1.69	15.00 (2.68)
20	PD	WILD-TYPE	NA	NA	14.99 (1.86)
21	PD	WILD-TYPE	81,720	2.26	14.86 (1.58)
22	PD	WILD-TYPE	101,760	1.52	14.84 (0.98)
23	PD	MUTANT	207,580	3.88	14.83 (1.78)
24	PD	WILD-TYPE	NA	2.65	14.68 (NA)
25	PD	WILD-TYPE	396,800	5.11	14.07 (-0.99)
26	PD	WILD-TYPE	159,120	9.46	13.90 (NA)
27	PD	MUTANT	89,740	1.00	12.93 (-1.19)
28	PD	MUTANT	78,630	1.59	12.41 (-0.79)
All patients	3CR, 4 MLFS, 6 HI, 15 PD	23 WT, 5 Mutant	190,325 ^a (IQR: 119,032– 242,857)	Median increase 2.46x (IQR: 1.62–4.59)	Average WT score 15.54 ± 0.16 (2.33±0.28) Average mutant score 14.46 ± 0.63 (1.23±0.73)

^a The median exposure value for the area under the curve of 24 hour (AUC_{0–24h}) for RG7112 among the 28 studied patients on Day 1.

^b AUC_{0–24h} less than 150,000 ng*h/mL defined as insufficient exposures

- 5 **[0116]** Using a cut-off point of the signature score 15, or 15.4 (selected by Youden's Index) patients are classified into likely-responder group and likely-non-responder group at baseline prior to MDM2 antagonist therapy with 100% sensitivity and 71% specificity. Therefore, the signature panel has significant potential to be used as a companion predictive biomarker of MDM2 antagonist therapy to select a subset of AML patients who are most likely to respond;
- 10 and avoid exposing the AML patients who are less likely to respond.

- [0117]** To understand the molecular mechanisms underlying the mRNA signature, the mRNA signature score is correlated with mutation status of TP53. Patients with low signature scores are more likely to be TP53 mutants; whereas patients with high signature score are more likely to be TP53 wild type or TP53 mutant with predicted benign mutations ($P = 6.86 \times 10^{-2}$). Among the
- 15 five p53 mutated patients, four show progressive diseases with average score 14.2 ± 0.8 (one

patient with mutation A to C in intron 7; 2 patients with mutations CGC to CAC in exon 5; one patient with deletion G 323_3-324_1 in exon 9 frameshift), while one shows MLFS with mutation S240G - S240, AGT to GGT in exon 7, predicts not direct interact with DNA or with any amino acid residues that interact with DNA by IARC database, and score 15.8. This evidence indicates that the signature score can potentially serve as a surrogate mRNA-level indicator of MDM2-P53 pathway function.

[0118] To examine the tissue specificity of the mRNA signature, baseline bone marrow cell specimens are measured from the available subset of 18 patients dosed at the MTD using Affymetrix GeneChip Human Genome U133 Plus 2.0 microarrays. The blood-signature score and bone-marrow-signature score are significantly correlated with Spearman correlation coefficient 0.50 ($P = 0.016$). The bone-marrow-signature scores were significantly correlated with patients' clinical responses and pharmacodynamic biomarker responses (MDM2 expression change from cycle 1 day 1 to cycle 1 day 10) to MDM2 antagonist therapy with Spearman correlation coefficients 0.46 ($P = 0.052$) and 0.42 ($P = 0.069$) respectively.

[0119] To study the pharmacodynamics properties of the mRNA signature, blood cell specimens are measured from most of the 28 patients on C1D10 and derived the signature on C1D10. Median MDM2, XPC and BBC3 mRNA expression in samples from C1D10 is 2.37 times (IQR: 1.71–5.00), 1.69 times (IQR: 1.27–1.88), 1.45 times (IQR: 1.13–1.99) over baseline, demonstrating up-regulation of respective genes stimulated by MDM2 antagonist therapy for the positively correlated genes in the signature. On the other hand, median CDKN2A mRNA expression in biopsies from C1D10 is decreased by 0.26 times (IQR: 0.09–0.38) over baseline, demonstrating a down-regulation stimulated by MDM2 antagonist therapy for the negatively correlated gene in the signature. Therefore, the overall signature score in biopsies from C1D10 is 3.05 times (IQR: 1.88–4.23) over baseline, demonstrating an up-regulation of the overall score

stimulated by MDM2 antagonist therapy. In summary, the signature scores are correlated with patients' clinical responses consistently when measured at baseline (correlation coefficient 0.58, $P=9 \times 10^{-4}$), and during the treatment regimen: C1D2 (correlation coefficient 0.40, $P=2.69 \times 10^{-2}$), and C1D10 (correlation coefficient 0.65, $P=3.5 \times 10^{-4}$). The signature score measurements are also correlated with patients' pharmacodynamic biomarker responses (MDM2 expression change) consistently when measured at baseline (correlation coefficient 0.41, $P=1.92 \times 10^{-2}$), C1D2 (correlation coefficient 0.64, $P=1.07 \times 10^{-3}$), and C1D10 (correlation coefficient 0.64, $P=3.3 \times 10^{-4}$). Median signature score on C1D2 is 1.11 times (IQR: 1.05–1.16), 1.08 times (IQR: 1.04–1.10), 1.17 times (IQR: 1.14–1.19), and 1.15 times (IQR: 1.13–1.15) over baseline for PD, HI, MLFS and CR patients respectively. Median score on C1D10 is 1.14 times (IQR: 1.08–1.21), 1.25 times (IQR: 1.23–1.30), 1.26 times (IQR: 1.23–1.31), and 1.23 times (IQR: 1.22–1.24) over baseline for PD, HI, MLFS and CR patients respectively. This evidence indicates that the mRNA signature score is both a predictive biomarker and a pharmacodynamics biomarker of MDM2 antagonist therapy activity. The baseline mRNA signature score is thus predictive of patients' responses to MDM2 inhibitor, and MDM2 antagonist therapy stimulates patients by various extents during the treatment cycle that is indicative of the patients' clinical responses (Figure 3).

EXAMPLE 6

Assessment of MDM2 antagonist therapy predictive signature in Phase I Solid Tumors trials

[0120] The predictive mRNA signature is also evaluated in two solid tumor trials (Figure 1). In trial NP21280 30 patients with pretreatment and C1D5 tumor biopsy samples are evaluated; and in NP22890 20 patients with pretreatment and C1D8 tumor biopsy samples are evaluated (Table 1). In both clinical trials, a biomarker package that enabled assessment of p53 pathway activation is assessed (p53 IHC, p21 IHC, MDM2 mRNA, and Ki67), MDM2 inhibition activates the P53 pathway and decreases cell proliferation. As previously reported, P53 and P21 concentrations,

and MDM2 mRNA expression all significantly increase at C1D8 from baseline, and Ki-67-positive tumor cells are decreased from baseline in NP22890. These changes in biomarker responses are not significantly correlated with drug exposure, except for MIC-1 change¹². In NP21280, P53 and P21 concentrations, and MDM2 mRNA expressions, all significantly increase at C1D10 from baseline ($p=2.88 \times 10^{-3}$, 2.32×10^{-3} , 1.03×10^{-5} , respectively); and Ki-67-positive tumor cells are decreased from baseline ($p=2.62 \times 10^{-2}$). These changes in biomarker responses are also significantly correlated with drug exposure ($p=4.77 \times 10^{-3}$ for P53 change, $p=5.30 \times 10^{-2}$ for P21 change, and $p=2.5 \times 10^{-3}$ for MDM2 change), except for the number of Ki-67-positive tumor cells.

10

[0121] The signature scores are similarly derived for patients at baseline and at C1D5 (NP21280) and C1D8 (NP22890). The mRNA signature scores show heterogeneous magnitudes of the correlations with the COMPOUND A pharmacokinetics and pharmacodynamic marker panels and between the two trials. In NP21280, the baseline score significantly correlates with patients' MDM2 mRNA expression change with correlation coefficient 0.41 ($p=2.82 \times 10^{-2}$), but no significant correlation between the signature score and P21 or the number of Ki-67-positive tumor cells is observed. In NP22890, only a suggestion of a positive correlation between the signature score and MDM2 change and P21 change are observed (Table 9). No assessment of clinical outcomes is feasible for the solid tumor trial specimens.

15

TABLE 9: Correlation between score and biomarker response in NO21280 and NP22890

	NO21280		NP22890	
	Correlation coefficient	P-value	Correlation coefficient	P-value
Ki-67 Change	-0.20	2.25E-01	0.35	8.82E-01
P53 Change	0.06	4.15E-01	-0.06	5.76E-01
P21 Change	0.17	2.85E-01	0.16	3.01E-01
MDM2 Change	0.41	2.82E-02	0.25	1.85E-01

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CLAIMS

1. A method to predict responsiveness of a patient, with cancer, to a therapy, said method comprising detecting a level of at least one gene selected from the group consisting of BAX, RPS27L, EDA2R, XPC, DDB2, FDXR, MDM2, CDKN1A, TRIAP1, BBC3, CCNG1,
5 TNFRSF10B, or CDKN2A and using that level as a biomarker for predicting the patient's response to a compound, wherein the compound is an inhibitor of the MDM2-p53 interaction.

2. A method according to claim 1, said method comprising using the MDM2 gene as a biomarker.

10

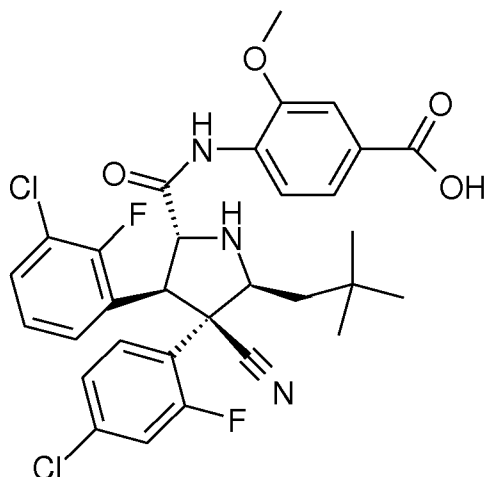
3. The method according to claim 1 or 2, wherein the response is a response to cancer therapy in a patient and the biomarker is measured in vitro in a sample or samples taken from the patient.

15

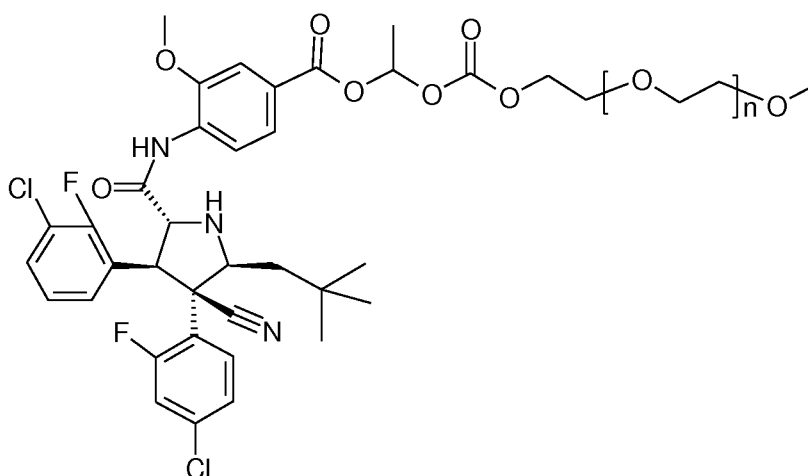
4. The method according to claim 1, said method comprising:

- a) taking a sample from the patient;
- b) measuring a level of said at least one gene according to claim 1 in the sample;
- c) comparing the level from the patient to standard values from a patient with
20 the same cancer; and
- d) administering a compound which acts as inhibitor of the MDM2-p53 interaction.

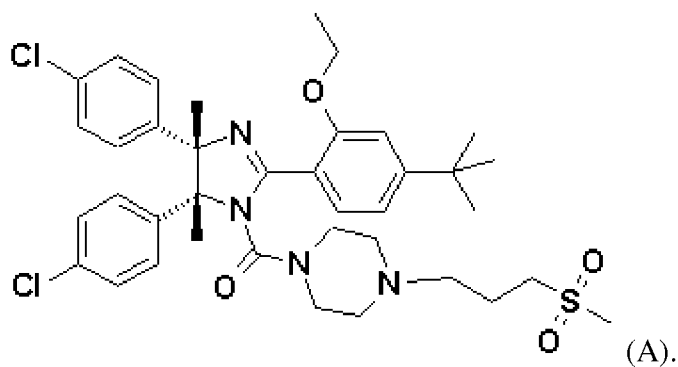
5. The method according to any one of claims 1 to 4, wherein the compound is 4-
25 {[2R,3S,4R,5S)-4-(4-Cholor-2-fluoro-phenyl)-3-(3-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino}-3-methoxy-benzoic acid of the formula



6. The method according to any one of claims 1 to 4, wherein the compound is 4-
 5 {[(2R,3S,4R,5S)-3-(3-Chloro-2-fluoro-phenyl)-4-(4-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-
 dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino}-3-methoxy-benzoic acid 1-mPEG-
 carbonyloxy-ethyl ester (mPEG, average MW, ~2000) of the formula:



7. The method according to any one of claims 1 to 4, wherein the compound is



8. The method according to any one of claims 1 to 4, wherein the level of said at least one gene is the mRNA expression level

5 9. The method according to claim 2 wherein a four-gene signature, comprising MDM2, is used as biomarker for predicting the response to treatment of a patient having cancer with a compound which acts as inhibitor of the MDM2-p53 interaction.

10. The method according to claim 9, wherein said four-gene signature comprises of
10 MDM2, XPC, BBC3 and CDKN2A.

11. The method according to claim 10, characterized in that the four-gene signature is composed of up-regulations of three genes including MDM2, XPC, BBC3 and down-regulation of CDKN2A.

15 12. The method according to claim 1 or 2, wherein the cancer is selected from the group consisting of breast cancer, prostate cancer, cervical cancer, ovarian cancer, gastric cancer, colorectal cancer, pancreatic cancer, liver cancer, brain cancer, neuroendocrine cancer, lung cancer, kidney cancer, hematological malignancies, melanoma and sarcomas.

20 13. The method according to claim 12, wherein the cancer is acute myeloid leukemia (AML).

25 14. The method according to claim 2, wherein a higher level of MDM2 in the sample from a patient taken prior to treatment, relative to a standard value or set of standard values, predicts sensitivity to a compound which acts as inhibitor of the MDM2-p53 interaction.

15. The method according to claim 10, wherein higher MDM2 levels in a sample or samples

i) relative to a standard value or set of standard values from a patient with
5 the same cancer; or

ii) taken after treatment initiation and compared to a sample or samples taken
from the same patient before treatment initiation; or

iii) relative to a standard value or set of standard values from normal cells or
tissues;

10 are predictive of sensitivity to treatment with a compound which acts as inhibitor
of the MDM2-p53 interaction.

16. A method for predicting the response of a patient with cancer to treatment with a
compound which acts as inhibitor of the MDM2-p53 interaction, said method comprising the
15 steps of:

a) measuring a level of at least one gene selected from the group consisting
of BAX, RPS27L, EDA2R, XPC, DDB2, FDXR, MDM2, CDKN1A, TRIAP1, BBC3, CCNG1,
TNFRSF10B, or CDKN2A in a sample pre-obtained from the patient to obtain a value or values
representing this level; and

20 b) comparing the value or values from step a) to a standard value or set of
standard values.

17. A method of treating cancer, in a patient in need thereof, said method comprising:
measuring a level of MDM2 in a sample from the patient to obtain a value or values representing
25 this level, and treating the patient with a compound which acts as inhibitor of the MDM2-p53
interaction.

18. The method of claim 17 further comprising measuring levels of MDM2, XPC, BBC3 and CDKN2A in a sample from the patient to obtain a value or values representing these levels, and treating the patient with a compound which acts as inhibitor of the MDM2-p53 interaction.

19. The method of claim 18, comprising,

a) measuring the mRNA expression level of MDM2, XPC, BBC3 and CDKN2A in a sample obtained from that patient prior to treatment;

b) using the expression levels obtained in a) to calculate a value designated as the patient's signature score;

c) comparing said patient's signature score obtained from b) to a reference level; and

d) identifying said patient as more likely to respond to the therapy comprising said MDM2 inhibitor when the patient's signature score is above said reference level.

20. The method of claim 17, 18 or 19 wherein said compound which acts as inhibitor of the MDM2-p53 interaction is the compound 4-{{[2R,3S,4R,5S]-4-(4-chloro-2-fluoro-phenyl)-3-(3-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino}-3-methoxy-benzoic acid, according to claim 5.

21. The method of claim 17, 18 or 19 wherein said compound which acts as inhibitor of the MDM2-p53 interaction is the compound 4-{{[2R,3S,4R,5S]-3-(3-chloro-2-fluoro-phenyl)-4-(4-chloro-2-fluoro-phenyl)-4-cyano-5-(2,2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino}-3-methoxy-benzoic acid 1-mPEG-carboxy-ethyl ester (mPEG, average MW, ~2000), according to claim 6.

22. The method of claim 17, 18 or 19 wherein said compound which acts as inhibitor of the MDM2-p53 interaction is the compound (A), according to claim 7.

23. An in vitro method for monitoring efficacy of therapy comprising an MDM2

5 inhibitor, in a patient suffering from cancer, the method comprising

a) measuring the mRNA expression level of MDM2, XPC, BBC3 and CDKN2A in a sample obtained from that patient prior to treatment;

b) using the expression levels obtained in a) to calculate a value designated as the patient's signature score prior to treatment;

10 c) repeating step a) and b) after start of treatment with said MDM2 inhibitor; and

d) comparing the signature scores obtained after start of treatment with those obtained prior to treatment, whereas higher signature scores after treatment indicate a response of the patient to the treatment, and thus a recommendation to continue the treatment.

15 24. The method of claim 23, wherein the MDM2 inhibitor is the compound used in the methods according to claims 5, 6 or 7.

25. A kit for predicting the response to treatment with a compound which acts as inhibitor of the MDM2-p53 interaction, comprising;

20 a) reagents for measuring a level of at least one gene selected from the group consisting of BAX, RPS27L, EDA2R, XPC, DDB2, FDXR, MDM2, CDKN1A, TRIAP1, BBC3, CCNG1, TNFRSF10B, or CDKN2A in a sample; and

b) a comparator module.

25 26. The kit according to claim 25, wherein the level of said at least one gene is the mRNA expression level.

27. The kit according to claim 25 or 26, wherein the MDM2 inhibitor is a compound used in the methods according to claims 5,6 or 7.

28. The novel processes, methods, and uses substantially as described herein.