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Abstract

**ENZYMATIC HYDROXYLATION PROCESS FOR THE PREPARATION
OF HMG-CoA REDUCTASE INHIBITORS
AND INTERMEDIATES THEREOF**

An enzymatic hydroxylation process for the preparation of compounds useful as HMG-CoA reductase inhibitors and/or as intermediates in the preparation of HMG-CoA reductase inhibitors uses a microorganism or an enzyme derived from, or having the structure of an enzyme derived from, said microorganism, which is capable of catalyzing the hydroxylation process.

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ENZYMATIC HYDROXYLATION PROCESS FOR THE PREPARATION
OF HMG-CoA REDUCTASE INHIBITORS
AND INTERMEDIATES THEREOF

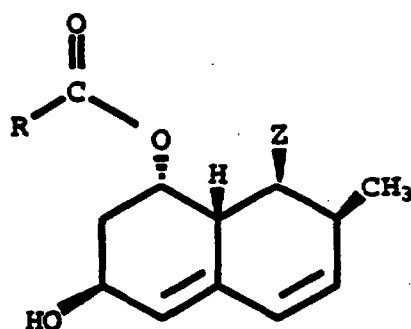
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The present invention relates to an enzymatic hydroxylation process for the preparation of compounds useful as HMG-CoA reductase inhibitors and/or as intermediates in the preparation of HMG-CoA reductase inhibitors.

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The present invention provides a method for the preparation of a compound of the formula I:

15



(I)

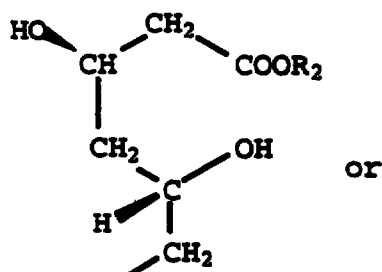
its partially or completely hydrogenated analogs or a salt thereof, wherein

R is alkyl or aryl;

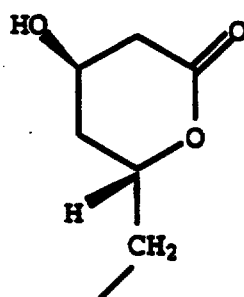
Z is the open chain moiety

20





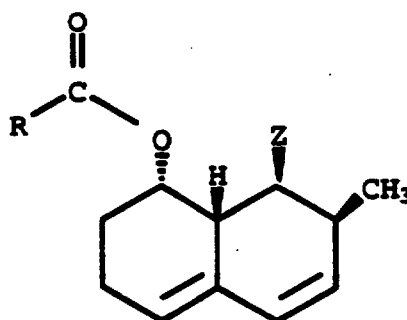
the lactone



; and

R^2 is hydrogen, alkyl, ammonium, alkyl-ammonium or alkali metal;

- 5 comprising the step of contacting a compound of the formula II:



(II),

- 10 a partially or completely hydrogenated analog or a salt thereof, wherein R, Z and R^2 are as defined for formula I, with a microorganism, or with an enzyme derived from, or having the structure of an



enzyme derived from said microorganism, which is capable of catalyzing the hydroxylation of said compound of the formula II to yield said compound of the formula I, and effecting said hydroxylation;

5 where said microorganism is selected from the genera *Nocardia*, *Amycolata*, *Saccharopolyspora*, *Streptomyces*, *Amycolatopsis*, *Saccharothrix* or *Gilbertella*, provided that when the compound of formula II is compactin, the microorganism is not
10 *Amycolata*, *Nocardia* or *Streptomyces*.

The enzymatic hydroxylation process of the present invention provides an efficient means for obtaining compounds of the formula I, which may themselves exhibit HMG-CoA reductase inhibitory
15 activity, and/or which may be used as intermediates in the preparation of other HMG-CoA reductase inhibitors. Reduction or elimination of by-products may be achieved by employing the hydroxylation method of the present invention,
20 which method may also be conducted under mild reaction conditions.

The method of the present invention is
25 described further as follows.

Definitions

The terms "enzymatic process" or "enzymatic method" as used herein denote a process or method
30 employing an enzyme or microorganism.

The term "alkyl" as used herein, alone or as part of another group, denotes both straight and branched chain, optionally substituted hydrocarbons containing 1 to 12 carbons in the normal chain,



preferably 1 to 6 carbons, such as methyl, ethyl, propyl, isopropyl, butyl, t-butyl, isobutyl, pentyl, hexyl, isohexyl, heptyl, 4,4-dimethylpentyl, octyl, 2,2,4-trimethyl-pentyl, 5 nonyl, decyl, undecyl, dodecyl, the various branched chain isomers thereof, and the like. Exemplary substituents may include one or more groups selected from the following: halo (especially chloro), trihalomethyl, alkoxy (for 10 example, where two alkoxy substituents form an acetal), aryl such as unsubstituted aryl (e.g., phenyl), alkyl-aryl or haloaryl, cycloalkyl such as unsubstituted cycloalkyl or alkyl-cycloalkyl, hydroxy or protected hydroxy, carboxyl, alkyloxy- 15 carbonyl, alkylamino, dialkylamino such as dimethylamino, alkylcarbonylamino such as acetylamino, amino, arylcarbonylamino, nitro, cyano, thiol, or alkylthio. Preferred alkyl substituents are hydroxy groups.

20 The term "alkenyl" as employed herein, alone or as part of another group, denotes optionally substituted straight or branched chain hydrocarbon groups as described above for alkyl, further containing at least one carbon to carbon double 25 bond.

 The term "cycloalkyl" as employed herein, alone or as part of another group, denotes an optionally substituted, saturated homocyclic carbon ring system, preferably containing from 1 to 3 30 rings and from 3 to 12, preferably from 3 to 8, carbons per homocyclic ring, such as cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, cycloheptyl, cyclooctyl, cyclodecyl, cyclododecyl, and adamantyl. Exemplary optional substituents include



one or more alkyl groups as described above, or one or more of those groups described above as alkyl substituents.

The term "aryl" as used herein denotes
5 monocyclic or bicyclic substituted or unsubstituted aromatic groups containing from 6 to 12 carbons in the ring portion, such as phenyl, biphenyl, naphthyl, substituted phenyl, substituted biphenyl or substituted naphthyl. Exemplary substituents
10 (preferably three or fewer) include one or more of the following groups: alkyl such as unsubstituted alkyl, haloalkyl or cycloalkylalkyl, halogen, alkoxy such as unsubstituted alkoxy or haloalkoxy, hydroxy, aryl such as phenyl or halophenyl, aryloxy
15 such as phenoxy, alkylcarbonyloxy or aroyloxy, allyl, cycloalkyl, alkylamino, dialkylamino, amido such as alkylcarbonylamino or arylcarbonylamino, amino, nitro, cyano, alkenyl, thiol, alkylcarbonyl, or arylcarbonyl, or methylenedioxy where the
20 methylene group may be substituted by lower alkyl group(s) (that is, alkyl groups as described above having 1 to 6 carbon atoms), arylalkenyl group(s), and/or alkylthio group(s).

The terms "halo" or "halogen" as used herein
25 denote chlorine, fluorine, bromine or iodine.

The term "salt(s)" as employed herein refers to acidic and/or basic salts formed with inorganic and/or organic bases. The nontoxic, pharmaceutically acceptable salts are preferred.
30 Exemplary pharmaceutically acceptable salts include those formed from cations such as sodium, potassium, aluminum, calcium, lithium, magnesium, zinc and tetramethylammonium as well as those salts formed from amines such as ammonia, ethylene-



diamine, N-methylglucamine, lysine, arginine, ornithine, choline, N,N'-dibenzylethylenediamine, chlorprocaine, diethanolamine, procaine, N-benzylphenethylamine, 1-p-chlorobenzyl-2-
5 pyrrolidine-1'-yl-methylbenzimidazole, diethylamine, piperazine and tris(hydroxymethyl)-aminomethane.

The term "pharmaceutically acceptable cation" denotes a positive counterion forming a
10 pharmaceutically acceptable salt, such as those described above.

The term "ATCC" as used herein refers to the accession number of the American Type Culture Collection, 12301 Parklawn Drive, Rockville,
15 Maryland 20852, an organism depository.

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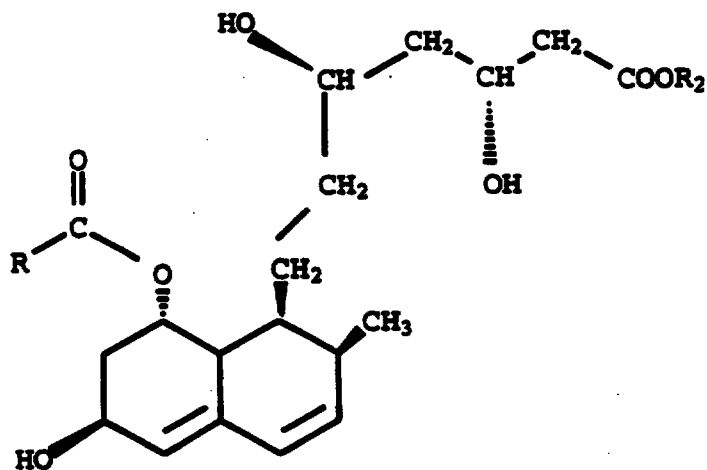


Starting Materials

Compounds of the formula II to be employed in the hydroxylation method of the present invention may be obtained by methods known to the skilled artisan. Such compounds are disclosed, for example, in U.S. Patent No. 4,450,171.

Preferred Compounds

Compounds of the formula I having the following formula Ia are preferred:

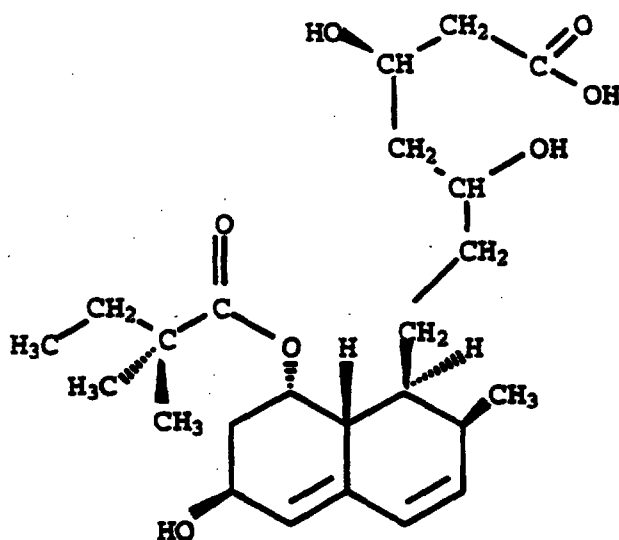


(Ia)

its partially or completely hydrogenated analogs or alkali metal salts thereof, wherein R is alkyl and R² is as defined in formula I.

A particularly preferred example is:



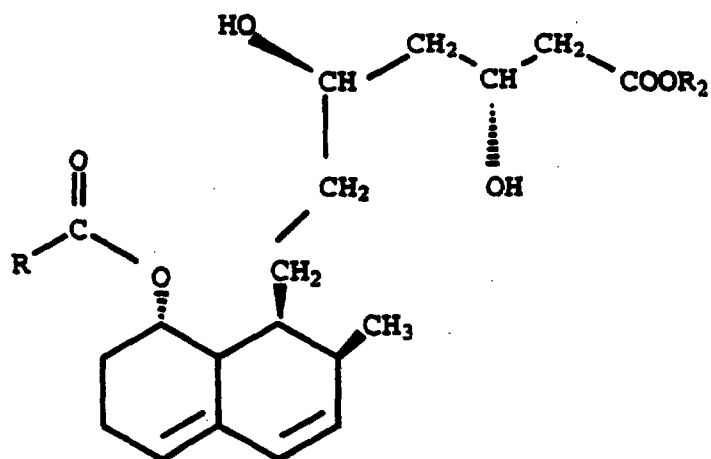


Methyl Pravastatin

its partially or completely hydrogenated analogs or alkali metal salts thereof.

5

Compounds of the formula II having the following formula IIa are preferred for use as the starting material:



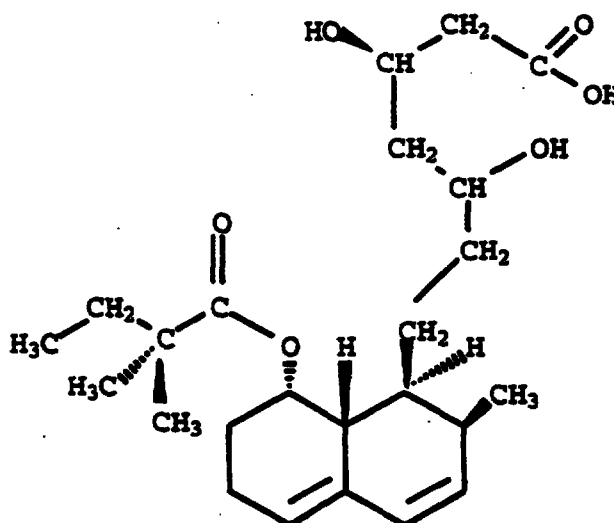
(IIa)

10



its partially or completely hydrogenated analogs or alkali metal salts thereof, wherein R is alkyl and R² is as defined in formula II. A particularly preferred example is

5



Methyl Compactin

its partially or completely hydrogenated analogs or alkali metal salts thereof.

10 Compounds of the formula I, or any compound described herein, in which one or more of the double bonds is absent, may be obtained by hydrogenating the corresponding compound in which such bonds are present according to methods known
15 to the skilled artisan.

Any of the products of the present method may be isolated and purified by known methodologies such as by filtering off cells or cellular materials where appropriate, extraction,
20 crystallization, thin layer or column chromatography, high performance liquid chromatography and the like.



The above structures shown for methyl compactin and methyl pravastatin are referred to herein as the acid form of these compounds. Where the carboxyl group of these compounds is in the alkali metal salt form, the compounds are referred to herein as the salt form.

As discussed below, the use of an aqueous medium is preferred in conducting the hydroxylation method of the present invention. It is therefore preferred to prepare, or to employ as starting materials, those compounds in which Z is the open chain moiety as defined above since such compounds are relatively more water soluble than the corresponding compounds in which Z is a lactone moiety. Compounds of the formula II in which Z is a lactone moiety may, for example, be hydrolyzed to the open chain form prior to use in the process of the present invention.

Enzymes and Microorganisms

The enzyme or microorganism employed in the method of the present invention may be any enzyme or microorganism, regardless of origin or purity, having the ability to catalyze the conversion as described herein. Genera of microorganisms suitable as sources of catalyzing enzymes include *Nocardia*, *Amycolata*, *Saccharopolyspora*, *Streptomyces*, *Amycolatopsis*, *Saccharothrix* or *Gilbertella*.

Exemplary species suitable for use in the present invention include *Amycolata autotrophica* such as ATCC 35204, *Streptomyces californicus* such as ATCC 15436, *Amycolatopsis mediterranei* such as ATCC 21411, *Saccharothrix australensis* such as ATCC



31497, *Gilbertella persicaria* such as ATCC 38591, *Saccharopolyspora hirsuta* such as ATCC 27875, 27876 or 20501, *Saccharopolyspora erythraea* such as ATCC 11635, and the like. Particularly preferred are
5 *Amycolata autotrophica* such as ATCC 35204 and *Saccharopolyspora hirsuta* such as ATCC 20501.

With respect to the use of microorganisms, the method of the present invention may be carried out using any microbial cellular material having
10 the ability to catalyze the conversion as described herein. The cells may be used in the form of intact wet cells or dried cells such as lyophilized, spray-dried or heat-dried cells. Cells may also be used in the form of treated cell
15 material such as ruptured cells or cell extracts. The cells or cellular materials, such as isolated fungal mycelia, may be employed in the free state or immobilized on a support such as by physical adsorption or entrapment. One or more species of
20 microorganism may be employed when carrying out the instant process.

The method of the present invention may be carried out subsequent to the growth of the microorganism(s) employed, for example, by growing
25 the microorganism(s) either in the presence or absence of a compound of the formula II starting material, harvesting and, preferably, washing (e.g., with water) the microbial materials, and then contacting the microbial materials obtained
30 with the compound of the formula II starting material. The method of the present invention may also be carried out by *in situ* fermentation and reaction, that is, reaction in the presence of actively growing microorganisms.



The reaction may be conducted under quiescent (static) conditions, or by employing agitation. Agitation, such as shake-flask culture or aeration and agitation, is preferably employed
5 when the compound of the formula II starting material is added to actively growing cultures. In such cases, an anti-foaming agent may be employed.

The growth of microorganisms may be achieved by the skilled artisan, for example, by the use of
10 an appropriate medium containing nutrients such as carbon and nitrogen sources and trace elements. Exemplary assimilable carbon sources include glucose, glycerol, maltose, dextrin, starch, lactose, sucrose, molasses, soybean oil, cotton
15 seed oil, etc. Exemplary assimilable nitrogen sources include soybean meal, peanut meal, cotton seed meal, fish meal, corn steep liquor, peptone, rice bran, meat extract, yeast, yeast extract, sodium nitrate, ammonium nitrate, ammonium sulfate,
20 etc. Inorganic salts such as sodium chloride, phosphates, calcium carbonate, etc., may be added to the culture medium. A minor amount of a metal salt or heavy metal may also be added.

The same or different media may be employed
25 at various stages of the growth of the microorganisms. Preferred media for the growth of microorganisms are those described in the examples herein, which media may be employed for the growth of any microorganism employed in the method of the
30 present invention.

Enzymes, when employed, are preferably derived from the aforementioned microorganisms, or they may be synthetically or otherwise prepared. For example, they may be derived from genetically



engineered host cells. The use of the genetically engineered host cells themselves, or cells which have otherwise been modified, is also contemplated where such cells are capable of producing enzymes
5 having the structure of enzymes derived from the above recited genera of microorganisms.

Reaction Conditions

The method of the present invention may be
10 conducted in an aqueous medium, such as a buffered aqueous medium. The aqueous phase is conveniently water, preferably deionized water, or a suitable aqueous buffer solution, especially a phosphate buffer solution. Use of an aqueous medium is
15 preferred for the present hydroxylation method.

The reaction in the present invention may also be conducted in an organic medium or in a medium which is a mixture of an organic medium and an aqueous medium. Use of an organic or
20 organic/aqueous medium may enhance solubilization of the less water soluble compounds of the formula II starting materials, such as those where Z is a lactone moiety. Less water soluble starting materials may, for example, be dissolved in an
25 organic solvent such as methyl or ethyl alcohol, and the solution added to an aqueous medium for conversion. Liquids forming such organic media may be immiscible in water or, preferably, may be miscible in water. Exemplary organic media include
30 toluene, hexane, benzene, acetone, dimethylsulfoxide, cyclohexane, xylene, trichlorotrifluoroethane, alkanols such as methyl or ethyl alcohol or butanol, and the like.



It is preferred that the starting material is dissolved, for example, in water or an alcohol, prior to addition to the reaction medium.

The reaction medium preferably contains
5 between about 0.5 to about 3 mg of a compound of the formula II starting compound per ml of liquid medium. The pH of the reaction medium is preferably between about 6.0 and about 7.5.

To carry out the hydroxylation reaction of
10 the present invention, water or an organic alcohol, for example, an alkanol such as methyl or ethyl alcohol, may be added. It is preferred to employ these materials in an amount providing a molar excess, preferably a large molar excess, based on
15 the compound of formula II starting material.

The amount of microbial cells added, where employed in the present process, is preferably an amount ranging from about 10 to about 1000 mg per mg of the compound of formula II starting material.
20 The amount of enzyme added, where employed in the present process, is preferably an amount ranging from about 1 to about 100 mg per mg of the compound of formula II starting material.

The reaction medium is preferably held at a
25 temperature between about 27 and 40°C, and is most preferably held between about 28 and about 34°C. The reaction time can be appropriately varied depending upon the amount of enzyme produced by the microbial cells, or used per se, and its specific
30 activity. Typical reaction times are between about 2.5 hours and about 72 hours. Reaction times may be reduced by increasing the reaction temperature and/or increasing the amount of enzyme added to the reaction solution.



Preparation of HMG-CoA Reductase Inhibitors

HMG-CoA reductase (3-hydroxy-3-methylglutaryl coenzyme A reductase, EC 1.1.1.34) is a key enzyme in cholesterol biosynthesis. Inhibitors of this enzyme find utility as anticholesterolemic agents, that is, in lowering or maintaining plasma cholesterol levels. In addition to the treatment and prevention of hypercholesterolemia, HMG-CoA reductase inhibitors find utility in the treatment and prevention of atherosclerosis, hyperlipoproteinaemia, and/or hyperlipidemia.

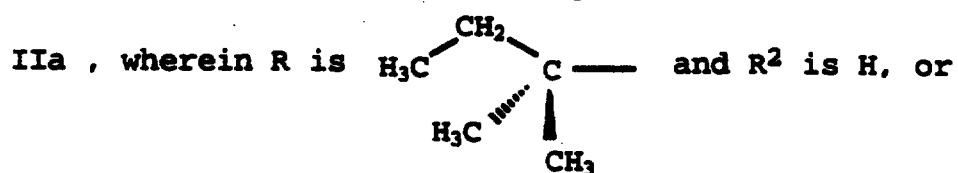
Compounds of the formula II described above may themselves exhibit HMG-CoA reductase inhibiting activity (e.g., methyl compactin), and/or may be employed as intermediates in the preparation of other compounds having HMG-CoA reductase inhibiting activity. In the latter case, the present invention further provides a method wherein hydroxylation is conducted according to the above-described method of the present invention and, subsequently, the hydroxylated product so formed is employed in the preparation of an HMG-CoA reductase inhibitor (e.g., groups are deprotected, added or otherwise modified thereon). Preferably, the inhibitor so prepared has enhanced HMG-CoA reductase inhibiting activity relative to any such activity the hydroxylated product from which it is prepared may possess.

HMG-CoA reductase inhibitors obtained according to the method of the present invention may, for example, be administered to mammals, particularly humans, by modes and in dosages selected according to methods known to the skilled artisan.

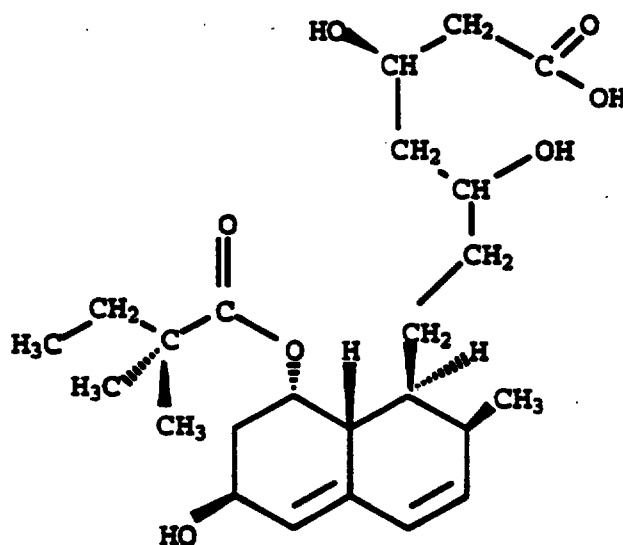


A particularly preferred method for the preparation of an HMG-CoA reductase inhibitor of the present invention is that comprising the steps of:

5 (A) hydroxylating a compound of the formula



a salt thereof with *Amycolata autotrophica* (ATCC 35204) or *Saccharopolyspora hirsuta* subspecies *kobensis* (ATCC 20501) to yield methyl pravastatin
10 having the structure:



or the alkali metal, especially sodium or lithium,
15 salt thereof.



The following Examples illustrate preferred embodiments of the present invention, and are not intended to limit the scope or spirit of the instant claims. The components of the media employed in these Examples are as follows.

<u>Medium</u>	<u>Media Compositions</u>
10 F7	malt extract 10 g/L, yeast extract 10 g/L, peptone 1 g/L, dextrose 20 g/L, distilled water to one liter, pH adjusted to 7.0 before sterilization by autoclaving
15 K28	Pharmamedia 25 g/L, cerelose 69 g/L, CaCO_3 9 g/L, K_2HPO_4 0.1 g/L, tap water to one liter, pH adjusted to 6.8 - 7.0 before sterilization by autoclaving
20 F4	tryptone 5 g/L, malt extract 3 g/L, glucose 10 g/L, yeast extract 3 g/L, distilled water to one liter, sterilize in autoclave
25 F46	glycerol 10 g/L, maltose 40 g/L, toasted Nutrisoy flour 30 g/L, peptone 10 g/L, spray dried corn steep liquor 10 g/L, $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ 0.5 g/L, tap water to one liter, pH adjusted to 5.3 before sterilization by autoclaving
30	



- M124 cerelose 10 g/L, NZ Amine B 15 g/L,
yeast extract 10 g/L, NaCl 5 g/L,
CaCO₃ 1 g/L, tap water to one liter,
pH adjusted to 6.8 - 7.0 before
sterilization by autoclaving
- 5
- Mueller- beef infusion 300 g/L, casamino
Hinton acids, technical 17.5 g/L, starch
Broth 1.5 g/L, suspended in one liter
10 (Difco brand) distilled or deionized water and
heated to boiling to dissolve
completely, final pH 7.4
- 15 Trypticase trypticase peptone 17 g/L, phytone
Soy Broth, peptone 3 g/L, NaCl 5 g/L, K₂HPO₄
BBL brand 2.5 g/L, dextrose 2.5 g/L, distilled
water to one liter, sterilize in
autoclave
- 20 Y17 yeast nitrogen base 6.7 g/L, glucose
50 g/L, Sigma adenine HCl 0.09 g/L,
Sigma l-tyrosine 0.06 g/L, heat to
boil and mix Difco casamino acids 2
g/L, agar 20 g/L, distilled water to
25 one liter, sterilize in autoclave



Example 1

One frozen vial of *Amycolata autotrophica* (ATCC 35204) and one frozen vial of *Saccharopolyspora hirsuta* subspecies *kobensis* (ATCC 20501) were thawed and used to inoculate separate 500 ml flasks containing 100 ml each of medium F4. Each flask was placed on a shaker at approximately 280 rpm, at 25°C, and shaken for 72 hours. Aliquots of 2.5 ml apiece of the 72 hour A. *autotrophica* culture broth were used to inoculate six 250 ml flasks containing 50 ml of medium F7 and six 250 ml flasks containing 50 ml of medium K28. Similarly, aliquots of 2.5 ml apiece of the 72 hour *S. hirsuta* culture broth were used to inoculate six 250 ml flasks containing 50 ml of medium F7 and six 250 ml flasks containing 50 ml of medium K28. Accordingly, there were 24 flasks in total. All 24 flasks were placed on a shaker and shaken at approximately 280 rpm at 25°C.

After 24 hours of shaking, 1 ml of filter-sterilized 5 mg/ml methyl compactin salt solution was added aseptically to three F7 flasks and three K28 flasks of each culture. (Salt solution was prepared from the lactone by dissolving the lactone in a minimal volume of ethanol, adding about 15 ml of water [which produces some precipitate], adjusting the pH to about 11.8, incubating in a 65°C waterbath until the precipitate dissolved, and carefully bringing the pH down to 7.5. Distilled water was added to bring the calculated concentration to 5 mg/ml.) Two new flasks were added at this point, as one uninoculated flask of each medium was dosed with the salt to control for non-biological modifications. These flasks



henceforth were treated the same as the inoculated flasks.

Similarly, a sterile 500+ $\mu\text{g/ml}$ dose of compactin was added to three F7 and three K28
5 flasks of each culture. Two new flasks were added, as one uninoculated flask of each medium was also dosed with compactin. (The purpose of the inoculated compactin flasks was to serve as
10 positive controls, showing that hydroxylation of compactin was occurring normally. The uninoculated flasks served as controls for possible nonbiological alterations of substrate.)

Shaking was resumed for an additional 24 hours. All flasks then received a second dose of
15 the same salt with which they had been dosed previously. Shaking was again resumed for 24 hours, following which eight flasks were harvested (at time T₁), including one for each culture-medium-substrate combination. The broths from the
20 harvested flasks were submitted for assay of substrate and hydroxylated product.

Following an additional 24 hours of shaking, the remaining 20 flasks were harvested (at time T₂), including 10 methyl compactin salt samples and
25 10 compactin samples. The broths were submitted for appropriate substrate-product assay.



The results are shown below:

Culture	Medium	Compactin (ug/g)			Pravastatin (ug/g)		
		T₁	T₂	T₂	T₁	T₂	T₂
<i>A. autotrophica</i>	F7	8.4	0	0	474	475	503
	K28	4.6	0	0	470	575	512
<i>S. hirsuta</i>	F7	842	127	122	347	775	753
	K28	412	0	0	675	750	762
None (control)	F7	-	1857	-	-	0	-
	K28	-	1806	-	-	0	-

Culture	Medium	Methyl Compactin (ug/g)			Methyl Pravastatin (ug/g)		
		T₁	T₂	T₂	T₁	T₂	T₂
<i>A. autotrophica</i>	F7	0	0	0	57.9	56.4	55.4
	K28	0	0	0	59.8	62.4	66.7
<i>S. hirsuta</i>	F7	1.7	0	0	71.5	77.1	82.9
	K28	3.1	0	0	58.9	63.3	63.8
None (control)	F7	-	96.5	-	-	0	-
	K28	-	90.2	-	-	0	-



EXAMPLE 2

One frozen vial of *Saccharopolyspora hirsuta* subspecies *kobensis* (ATCC 20501) was thawed and
5 used to inoculate a 500 ml flask containing 100 ml of medium F4. The flask was placed on a shaker at approximately 280 rpm, at 25°C, and shaken for 72 hours.

10 Aliquots of the 72 hour broth were used to inoculate two 250 ml flasks containing 45 ml of medium K28. The two flasks were placed on a shaker and shaken at approximately 280 rpm at 25°C.

After 24 hours of shaking, methyl compactin salt was dissolved in water to provide a solution
15 which was filter-sterilized. A portion of this solution was added to one of the K28 flasks. Six hours later, an identical portion was added to the same flask. The second K28 flask also received a portion as did a third uninoculated K28 flask. All
20 three flasks were placed on the shaker and shaking resumed for 18 hours.

The first flask then received a third dose. Six hours later, the first flask received a fourth (and final) dose; the second flask received a
25 second (and final) dose; and the uninoculated flask received a second (and final) dose.

Following an additional 42 hours of shaking, the three flasks were harvested and all of the broths were submitted for assay of methyl compactin
30 and methyl pravastatin. The results are shown below:



<u>Sample</u>	<u>Methyl Compactin</u> <u>(ug/g)</u>	<u>Methyl pravastatin</u> <u>(ug/g)</u>
Flask 1	0	284.8
Flask 2	0	251.5
No-cells control	418.6	0

EXAMPLE 3

5 One frozen vial each of *Amycolata*
autotrophica (ATCC 35204), *Streptomyces*
californicus (ATCC 15436), *Amycolata*
hydrocarbonoxydans (ATCC 15104), *Amycolata*
mediterranei (ATCC 21411), *Amycolatopsis fastidiosa*
10 (ATCC 31181) and *Saccharopolyspora hirsuta*
subspecies kobensis (ATCC 20501) were thawed and
used to inoculate 500 ml germinator flasks
containing 100 ml of medium F7. All flasks were
placed on a shaker at approximately 280 rpm, at
15 25°C, and shaken for 72 hours.

10 ml aliquots from each germinator flask
were used to inoculate separate flasks each
containing 120 ml of medium K28. All flasks were
then returned to the shaker.

20 After 24 hours, 500 µg/ml of filter
sterilized compactin (acid form) was added to each
flask. Again the flasks were returned to the
shaker.

25 24 hours later, 15 ml aliquots were removed
from each flask and frozen for later assay (T₁). A
second 500 µg/ml dose of sterile acid-form
compactin was added to each flask and the flasks
were returned to the shaker.



24 hours after that, a second 15 ml aliquot sample was removed from each flask and frozen for later assay (T_2); and 24 hours after that, a final 15 ml aliquot was removed from each flask and
5 frozen for later assay (T_3).

All samples were thawed and submitted for assay. The results are shown in the tables below:

Culture	T_1		
	Compactin	Pravastatin	Conversion
<i>A. hydrocarbonoxydans</i>	439	0	-
<i>A. mediterranei</i>	21	142	28%
<i>A. fastidiosa</i>	376	0	-
<i>S. hirsuta</i>	40	201	40%
Controls:			
<i>A. autotrophica</i>	68	110	22%
<i>S. californicus</i>	0	75	15%

Culture	T_2		
	Compactin	Pravastatin	Conversion
<i>A. hydrocarbonoxydans</i>	767	0	-
<i>A. mediterranei</i>	15	282	28%
<i>A. fastidiosa</i>	191	0	-
<i>S. hirsuta</i>	19	399	40%
Controls:			
<i>A. autotrophica</i>	Trace	293	29%
<i>S. californicus</i>	0	167	17%



Culture	T ₃		
	Compactin	Pravastatin	Conversion
<i>A. hydrocarbonoxydans</i>	812	0	-
<i>A. mediterranei</i>	21	290	29%
<i>A. fastidiosa</i>	711	0	-
<i>S. hirsuta</i>	Trace	394	39%
Controls:			
<i>A. autotrophica</i>	3	276	28%
<i>S. californicus</i>	2	157	16%

EXAMPLE 4

5 46-hour cultures of *Saccharopolyspora*
hirsuta subspecies *kobensis* (ATCC 20501) (grown at
28°C) and *Amycolata autotrophica* (ATCC 35204)
(grown at 25°C) were used to inoculate flasks of
various media (50 ml media/250 ml flask). 5 ml of
10 innoculum were used per flask.

S. hirsuta was inoculated into two separate
flasks of each of the following media: F7, F46,
K28, M124, Mueller Hinton, trypticase soy broth
(TSB) and Y17. One flask of each medium was placed
15 on a shaker at approximately 280 rpm, at 25°C, and
another flask of each medium was placed on a shaker
at approximately 280 rpm, at 28°C. An additional
flask each of F7 medium and K28 medium was
inoculated and placed in a water bath shaker at
20 32°C.

A. autotrophica was inoculated into two
separate flasks each of F7 medium and K28 medium.
One inoculated flask of each medium was placed on a
shaker at approximately 280 rpm., at 25°C, and one



inoculated flask of each medium was placed on a shaker at approximately 280 rpm, at 28°C.

After 24 hours, a 500 µg/g dose of filter sterilized compactin (acid form) was added to each
5 flask. The flasks were returned to the shakers at the same temperature.

24 hours later, a 10 ml aliquot was removed from each flask and frozen for later assay (T₁). A
10 second 500 µg/g dose of sterile acid-form compactin was added to each flask and the flasks were again returned to the shakers at the same temperature.

After an additional 48 hours, a second 10 ml aliquot was taken (T₂). The T₁ samples were thawed and submitted with the T₂ samples for assay. The
15 results are shown in the table below:

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<i>S. hirsuta</i> Medium/Temp.°C	T ₁ (frozen and thawed)		
	Compactin	Pravastatin	Conversion
F7/25°	432	40	8%
F7/28°	154	168	34%
F7/32°	450	43	9%
F46/25°	516	10	2%
F46/28°	494	17	3%
K28/25°	179	147	29%
K28/28°	105	184	37%
K28/32°	211	100	20%
M124/25°	180	139	28%
M124/28°	103	191	38%
Mueller-Hinton/25°	496	38	8%
Mueller-Hinton/28°	402	43	9%
TSB/25°	358	74	15%
TSB/28°	211	163	33%
Y17/25°	605	0	-
Y17/28°	533	0	-
<i>A. autotrophica</i> (control) F7/25°	334	51	10%
<i>A. autotrophica</i> (control) F7/28°	111	108	22%
<i>A. autotrophica</i> (control) K28/25°	242	79	16%
<i>A. autotrophica</i> (control) K28/28°	60	127	25%



<i>S. hirsuta</i> Medium/Temp. °C	<i>T₂</i> (not frozen)		
	Compactin	Pravastatin	Conversion
F7/25°	65	452	45%
F7/28°	Trace	550	55%
F7/32°	Trace	570	57%
F46/25°	965	0	-
F46/28°	999	0	-
K28/25°	0	405	41%
K28/28°	0	405	41%
K28/32°	5	288	29%
M124/25°	233	323	32%
M124/28°	286	303	30%
Mueller-Hinton/25°	827	121	12%
Mueller-Hinton/28°	716	81	8%
TSB/25°	465	238	24%
TSB/28°	363	289	29%
Y17/25°	1086	0	-
Y17/28°	881	0	-
<i>A. autotrophica</i> (control) F7/25°	Trace	266	27%
<i>A. autotrophica</i> (control) F7/28°	0	220	22%
<i>A. autotrophica</i> K28/25°	2	266	27%
<i>A. autotrophica</i> (control) K28/28°	Trace	257	26%

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<i>S. hirsuta</i> Medium/Temp. °C	Ratio, T2 Pravastatin: all other products
F7/25°	19.0 : 1
F7/28°	13.2 : 1
F7/32°	13.3 : 1
F46/25°	0.3 : 1
F46/28°	0.4 : 1
K28/25°	15.7 : 1
K28/28°	10.0 : 1
K28/32°	10.1 : 1
M124/25°	8.4 : 1
M124/28°	9.2 : 1
Mueller-Hinton/25°	3.9 : 1
Mueller-Hinton/28°	4.6 : 1
TSB/25°	4.8 : 1
TSB/28°	9.6 : 1
Y17/25°	-
Y17/28°	-
<i>A. autotrophica</i> F7/25°	4.2 : 1
<i>A. autotrophica</i> (control) F7/28°	5.4 : 1
<i>A. autotrophica</i> (control) K28/25°	4.4 : 1
<i>A. autotrophica</i> (control) K28/28°	4.5 : 1



EXAMPLE 5

67-hour cultures of various microorganisms grown in F4 medium at 25°C were used to inoculate
5 250 ml flasks containing 50 ml of medium F7 and 250 ml flasks containing 50 ml of medium K28. 5 ml of inoculum were used per flask. The organisms used were *Cellulomonas cellulans* (ATCC 12830), *Oerskovia xanthineolytica* (ATCC 27402), *Promicromonospora*
10 *citrea* (ATCC 15908), *Saccharomonospora viridis* (ATCC 15736), *Saccharopolyspora hirsuta* (ATCC 27875), *Saccharothrix australensis* (ATCC 31497) and *Streptomyces halstedii* (ATCC 13449).
Saccharomonospora viridis and *S. hirsuta* (ATCC
15 27875) conversion flasks were placed on a shaker at approximately 280 rpm, at 28°C; the other cultures were placed on a shaker at approximately 280 rpm, at 25°C. A *Saccharopolysporoa hirsuta* subspecies *kobensis* (ATCC 20501) control was used for both
20 25°C and 28°C.

After 24 hours, a 500 µg/g dose of compactin was added to each flask.

24 hours later, a second 500 µg/g dose of compactin was added to each flask.

25 After 96 hours, a 15 ml aliquot was taken from each flask and frozen at -50°C for later assay.

The samples were thawed and submitted for assay. The results are shown in the table below:



Culture/ Medium	Temp.	Compactin	Pravastatin	Conversion	Ratio Prava- statin: by- products
<i>C. cellulans</i> /F7	25°C	1107	0	-	-
<i>C. cellulans</i> /K28	25°C	1072	0	-	-
<i>Oerskovia</i> <i>xanthineolyt.</i> /F7	25°C	1116	0	-	-
<i>Oerskovia</i> <i>xanthineolyt.</i> /K28	25°C	688	0	-	-
<i>Promicro.</i> <i>citrea</i> /F7	25°C	1119	0	-	-
<i>Promicro.</i> <i>citrea</i> /K28	25°C	1309	0	-	-
<i>Saccharo-</i> <i>thrix</i> <i>austral.</i> /F7	25°C	63	478	43%	3.1 : 1
<i>Saccharo-</i> <i>thrix</i> <i>austral.</i> /K28	25°C	0	465	42%	4.6 : 1
<i>Streptomyces</i> <i>halsted.</i> /F7	25°C	887	0	-	-
<i>Streptomyces</i> <i>halsted.</i> /K28	25°C	1114	0	-	-
<i>Saccopolysp.</i> <i>hirsuta</i> ATCC 20501/F7	25°C	180	532	48%	9.4 : 1



<i>Sacccpolysp.</i> <i>hirsuta</i> ATCC 20501/K28	25°C	73	511	46%	9.2 : 1
F7 blank	25°C	1281	0	-	-
K28 blank	25°C	1208	0	-	-
<i>Saccharomon.</i> <i>viridis</i> /F7	28°C	1213	0	-	-
<i>Saccharomon.</i> <i>viridis</i> /K28	28°C	1147	0	-	-
<i>Saccharopoly.</i> <i>hirsuta</i> ATCC 27875/F7	28°C	515	92	8%	1.6 : 1
<i>Saccharopoly.</i> <i>hirsuta</i> ATCC 27875/K28	28°C	410	194	18%	2.0 : 1
<i>Saccharopoly.</i> <i>hirsuta</i> ATCC 20501/F7	28°C	35	565	51%	12.8 : 1
<i>Saccharopoly</i> <i>hirsuta</i> ATCC 20501/K28	28°C	44	520	47%	8.7 : 1



EXAMPLE 6

Eight ATCC Mucorales fungi and one *Staurophoma* sp. ATCC 14288 were inoculated from well-grown slants into germinator flasks of medium F4 (100 ml F4/500 ml flasks) and placed on a slow shaker (about 200 rpm) at 25°C. The eight Mucorales fungi were *Absidia ramosa* (ATCC 11613), *Circinella muscae* (ATCC 16008), *Cunninghamella echinulata* var. *echinulata* (ATCC 36190), *Cunninghamella echinulata* var. *elegans* (ATCC 8688A), *Gilbertella persicaria* (ATCC 38591), *Rhizomucor miehei* (ATCC 26912), *Rhizopus oligosporus* (ATCC 22959) and *Rhizopus stolonifer* (ATCC 14037).

After 72 hours of shaking, the growth in all the germinator flasks looked good except for the *C. echinulata* var. *echinulata* broth which was one large solid fungal mass. This was discarded. The seven remaining Mucorales flasks, and the one *Staurophoma* flask, were each used to inoculate bioconversion flasks (containing 50 ml medium per 250 ml flasks) of medium F7 and of medium K28. 2 ml of inoculum were used per flask. All flasks were placed on a regular speed (about 280 rpm) shaker at 25°C.

After 24 hours, all flasks received 500 µg/ml dose of compactin, including two uninoculated flasks, one each of media F7 and K28. All flasks were returned to the shaker at 280 rpm and 25°C.

After an additional 24 hours, all flasks received a second 500 µg/ml dose of compactin and were then returned to the shaker.



Forty-eight hours later, samples of 15 to 20 ml were taken from each flask and assayed. The results were as follows:

5 *Gilbertella persicaria* F7 - compactin 621 $\mu\text{g/g}$; pravastatin 274 $\mu\text{g/g}$; pravastatin:by-products ratio 2.3:1; and

 K28 - compactin 822 $\mu\text{g/g}$; pravastatin 54 $\mu\text{g/g}$; pravastatin:by-products ratio 3.4:1.

10 Two of the other cultures showed, at best, trace levels of pravastatin (*Absidia* and *Rhizopus oligosporus*). All the others were negative for pravastatin.

EXAMPLE 7

15

Saccharopolyspora hirsuta subspecies *kobensis* (ATCC 20501) inocula that were two days, three days and four days old in F4 medium (100 ml F4 medium per 500 ml flask, each inoculated with a frozen vial) were used to inoculate bioconversion
20 flasks of medium K28 (50 ml K28 per 250 ml flask). Three flasks of medium K28 were inoculated from each germinator flask, using 5 ml of inoculum. In addition, inoculum from the three day old
25 germinator flask was used to inoculate K28 medium flasks in triplicate with different volumes of inoculum. Specifically, 0.25 ml, 1.0 ml, 2.5 ml, 7.5 ml and 10 ml volumes were used. All flasks were placed on a shaker at approximately 280 rpm,
30 and 28°C.

 After 24 hours, a 500 $\mu\text{g/ml}$ dose of compactin sodium salt was added to each flask.

 After an additional 24 hours, 1,000 $\mu\text{g/ml}$ compactin sodium salt dose was added to each flask.



Forty-eight hours later, 25 flasks were harvested and sent for assay. The results are as follows:

Age of Inoculum	Vol. and % Inoculum	Compactin $\mu\text{g/g}$ (Av. of 3)	Pravastatin $\mu\text{g/g}$ (Av. of 3)	Conversion
48 hours	5 ml (10%)	Trace	597	40%
72 hours	0.25 ml (0.5 %)	1084	138	9%
72 hours	1 ml (2%)	901	211	14%
72 hours	2.5 ml (5%)	224*	692	46%
72 hours	5 ml (10%)	Trace**	676**	45%
72 hours	7.5 ml (15%)	10	609	41%
72 hours	10 ml (20%)	Trace	694	46%
96 hours	5 ml (10%)	Trace	700	47%
no inoculum	-	1201	0	-

5

* Result of averaging one flask with 672 $\mu\text{g/g}$ compactin with two flasks showing trace amounts

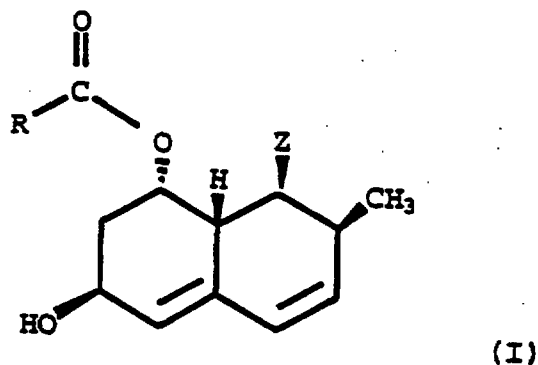
10 ** Average of two flasks; the possibly contaminated third flask was disregarded

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CLAIMS:

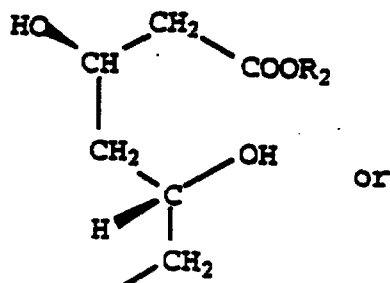
1. A method for the preparation of a compound of the formula I:



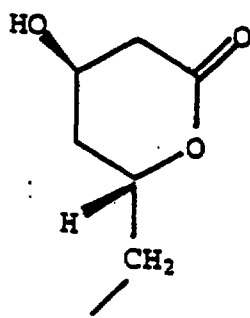
its partially or completely hydrogenated analog or a salt thereof, wherein

R is alkyl or aryl;

Z is the open chain moiety



the lactone



; and

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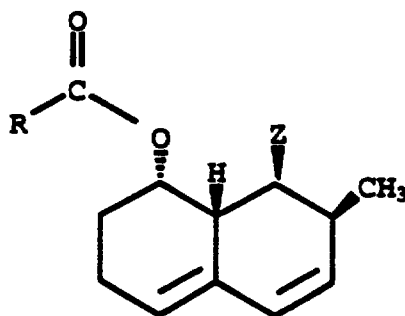


* C L M S *



R^2 is hydrogen, alkyl, ammonium, alkyl-ammonium or alkali metal;

comprising the step of contacting a compound of the formula II:



(II),

a partially or completely hydrogenated analog or a salt thereof, wherein R, Z and R^2 are as defined for formula I, with a microorganism, or with an enzyme derived from, or having the structure of an enzyme derived from, said microorganism, which is capable of catalyzing the hydroxylation of said compound of the formula II or salt thereof to yield said compound of the formula I or salt thereof, and effecting said hydroxylation;

where said microorganism is selected from the genera *Nocardia*, *Amycolata*, *Saccharopolyspora*, *Streptomyces*, *Amycolatopsis*, *Saccharothrix* or *Gilbertella*, provided that when the compound of formula II is compactin, the microorganism is not *Amycolata*, *Nocardia* or *Streptomyces*.

2. The method of claim 1, wherein Z is said open chain moiety.

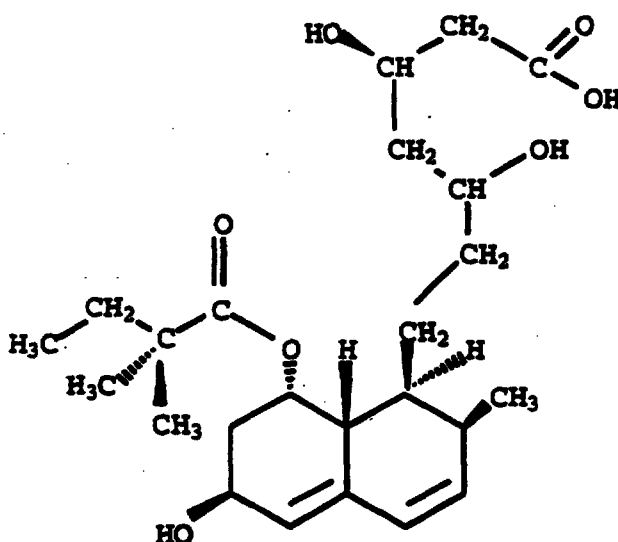
3. The method of claim 2, wherein R is alkyl.



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4. The method of claim 1, wherein a compound having the following formula:



or a partially or completely hydrogenated analog, or an alkali metal salt thereof, is prepared.

5. The method of claim 1, wherein the compound of formula II is contacted with a microorganism.

6. The method of claim 5, wherein said microorganism is *Amycolata autotrophica* ATCC 35204, *Streptomyces californicus* ATCC 15436, *Amycolatopsis mediterranei* ATCC 21411, *Saccharothrix australensis* ATCC 31497, *Gilbertella persicaria* ATCC 38591, *Saccharopolyspora hirsuta* ATCC 27875, *Saccharopolyspora hirsuta* ATCC 27876, *Saccharopolyspora hirsuta* ATCC 20501 or *Saccharopolyspora erythraea* ATCC 11635.



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7. The method of claim 6, wherein said microorganism is *Amycolata autotrophica* ATCC 35204 or *Saccharopolyspora hirsuta* ATCC 20501.

8. The method of claim 1, wherein the compound of formula II is dissolved in water or an alcohol before it is contacted with a microorganism or with an enzyme.

9. The method of claim 1, wherein the step of contacting a compound of the formula II with a microorganism or with an enzyme is conducted in an aqueous medium.

10. The method of claim 9, wherein about 0.5 to about 3 mg of a compound of the formula II is contained in each ml of aqueous medium.

11. The method of claim 9, wherein the pH of the aqueous medium is between about 6.0 and about 7.5.

12. The method of claim 9, wherein the temperature of the aqueous medium is between about 27 and 40°C.

13. The method of claim 12, wherein the temperature of the aqueous medium is between about 28 and 34°C.

14. The method of claim 1, wherein the step of contacting a compound of the formula II with a microorganism or with an enzyme continues for between about 2.5 and about 72 hours.



15. The method of claim 1, wherein Z is the lactone.

16. The method of claim 15, wherein the compound of the formula II is hydrolyzed before it is contacted with a microorganism or with an enzyme.

17. The method of claim 1, wherein said compound of the formula I is employed in the preparation of an HMG-CoA reductase inhibitor.

18. A method for the preparation of an HMG-CoA reductase inhibitor, comprising the step of hydroxylating a compound of the formula II, or salt thereof, according to the method of claim 1 to yield a compound of the formula I, or salt thereof.

19. Use of a compound, prepared according to the method of claim 1, for manufacturing a medicament for lowering or maintaining plasma cholesterol levels in a mammalian subject.

20. Use of a compound, prepared according to the method of Claim 1, effective for treating atherosclerosis, for manufacturing a medicament treating atherosclerosis in a mammalian subject.



REPLACED SHEETS		SG
☒ Description	: paged <u>2</u> to paged <u>—</u>	
☒ Claim(s)	: paged <u>36</u> to paged <u>40</u>	
☐ Drawing(s)	: paged <u>—</u> to paged <u>—</u>	
☐ Abstract	: paged <u>—</u> to paged <u>—</u>	

REPLACEMENT SHEETS		SG
☒ Description	: paged <u>—</u> to paged <u>35</u>	
☒ Claim(s)	: paged <u>36</u> to paged <u>40</u>	
☐ Drawing(s)	: paged <u>—</u> to paged <u>—</u>	
☒ Abstract	: paged <u>—</u> to paged <u>—</u>	
DATE OF RECEIPT		21 AUG 1996

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Abstract

**ENZYMATIC HYDROXYLATION PROCESS FOR THE PREPARATION
OF HMG-CoA REDUCTASE INHIBITORS
AND INTERMEDIATES THEREOF**

An enzymatic hydroxylation process for the preparation of compounds useful as HMG-CoA reductase inhibitors and/or as intermediates in the preparation of HMG-CoA reductase inhibitors uses a microorganism or an enzyme derived from, or having the structure of an enzyme derived from, said microorganism, which is capable of catalyzing the hydroxylation process.

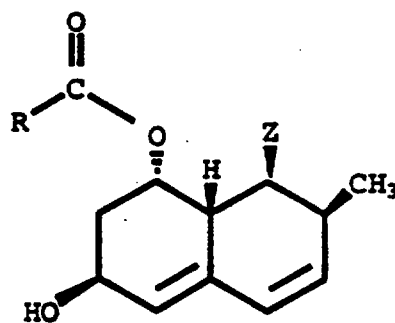
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ENZYMATIC HYDROXYLATION PROCESS FOR THE PREPARATION
OF HMG-CoA REDUCTASE INHIBITORS
AND INTERMEDIATES THEREOF

5 The present invention relates to an
enzymatic hydroxylation process for the preparation
of compounds useful as HMG-CoA reductase inhibitors
and/or as intermediates in the preparation of HMG-
10 CoA reductase inhibitors.

15 The present invention provides a method for
the preparation of a compound of the formula I:



(I)

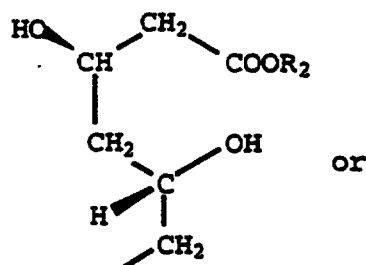
its partially or completely hydrogenated analogs or
a salt thereof, wherein

R is alkyl or aryl;

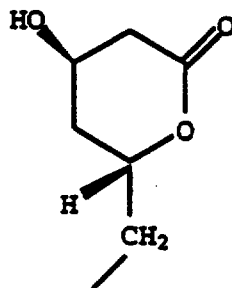
Z is the open chain moiety

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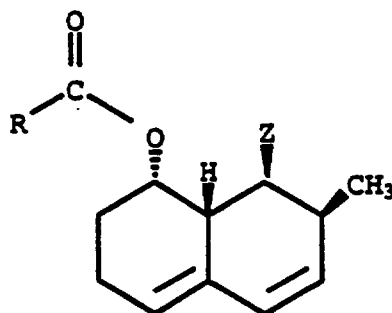
the lactone



; and

R^2 is hydrogen, alkyl, ammonium, alkyl-ammonium or alkali metal;

- 5 comprising the step of contacting a compound of the formula II:



(II),

- 10 a partially or completely hydrogenated analog or a salt thereof, wherein R, Z and R^2 are as defined for formula I, with a microorganism, or with an enzyme derived from, or having the structure of an

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enzyme derived from said microorganism, which is capable of catalyzing the hydroxylation of said compound of the formula II to yield said compound of the formula I, and effecting said hydroxylation;

5 where said microorganism is selected from the genera *Nocardia*, *Amycolata*, *Saccharopolyspora*, *Streptomyces*, *Amycolatopsis*, *Saccharothrix* or *Gilbertella*, provided that when the compound of formula II is compactin, the microorganism is not
10 *Amycolata*, *Nocardia* or *Streptomyces*.

The enzymatic hydroxylation process of the present invention provides an efficient means for obtaining compounds of the formula I, which may themselves exhibit HMG-CoA reductase inhibitory
15 activity, and/or which may be used as intermediates in the preparation of other HMG-CoA reductase inhibitors. Reduction or elimination of by-products may be achieved by employing the hydroxylation method of the present invention,
20 which method may also be conducted under mild reaction conditions.

The method of the present invention is
25 described further as follows.

Definitions

The terms "enzymatic process" or "enzymatic method" as used herein denote a process or method
30 employing an enzyme or microorganism.

The term "alkyl" as used herein, alone or as part of another group, denotes both straight and branched chain, optionally substituted hydrocarbons containing 1 to 12 carbons in the normal chain,

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preferably 1 to 6 carbons, such as methyl, ethyl, propyl, isopropyl, butyl, t-butyl, isobutyl, pentyl, hexyl, isohexyl, heptyl, 4,4-dimethylpentyl, octyl, 2,2,4-trimethyl-pentyl, 5 nonyl, decyl, undecyl, dodecyl, the various branched chain isomers thereof, and the like. Exemplary substituents may include one or more groups selected from the following: halo (especially chloro), trihalomethyl, alkoxy (for 10 example, where two alkoxy substituents form an acetal), aryl such as unsubstituted aryl (e.g., phenyl), alkyl-aryl or haloaryl, cycloalkyl such as unsubstituted cycloalkyl or alkyl-cycloalkyl, hydroxy or protected hydroxy, carboxyl, alkyloxy- 15 carbonyl, alkylamino, dialkylamino such as dimethylamino, alkylcarbonylamino such as acetylamino, amino, arylcarbonylamino, nitro, cyano, thiol, or alkylthio. Preferred alkyl substituents are hydroxy groups.

20 The term "alkenyl" as employed herein, alone or as part of another group, denotes optionally substituted straight or branched chain hydrocarbon groups as described above for alkyl, further containing at least one carbon to carbon double 25 bond.

The term "cycloalkyl" as employed herein, alone or as part of another group, denotes an optionally substituted, saturated homocyclic carbon ring system, preferably containing from 1 to 3 30 rings and from 3 to 12, preferably from 3 to 8, carbons per homocyclic ring, such as cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, cycloheptyl, cyclooctyl, cyclodecyl, cyclododecyl, and adamantyl. Exemplary optional substituents include

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one or more alkyl groups as described above, or one or more of those groups described above as alkyl substituents.

The term "aryl" as used herein denotes
5 monocyclic or bicyclic substituted or unsubstituted aromatic groups containing from 6 to 12 carbons in the ring portion, such as phenyl, biphenyl, naphthyl, substituted phenyl, substituted biphenyl or substituted naphthyl. Exemplary substituents
10 (preferably three or fewer) include one or more of the following groups: alkyl such as unsubstituted alkyl, haloalkyl or cycloalkylalkyl, halogen, alkoxy such as unsubstituted alkoxy or haloalkoxy, hydroxy, aryl such as phenyl or halophenyl, aryloxy
15 such as phenoxy, alkylcarbonyloxy or aroyloxy, allyl, cycloalkyl, alkylamino, dialkylamino, amido such as alkylcarbonylamino or arylcarbonylamino, amino, nitro, cyano, alkenyl, thiol, alkylcarbonyl, or arylcarbonyl, or methylenedioxy where the
20 methylene group may be substituted by lower alkyl group(s) (that is, alkyl groups as described above having 1 to 6 carbon atoms), arylalkenyl group(s), and/or alkylthio group(s).

The terms "halo" or "halogen" as used herein
25 denote chlorine, fluorine, bromine or iodine.

The term "salt(s)" as employed herein refers to acidic and/or basic salts formed with inorganic and/or organic bases. The nontoxic, pharmaceutically acceptable salts are preferred.
30 Exemplary pharmaceutically acceptable salts include those formed from cations such as sodium, potassium, aluminum, calcium, lithium, magnesium, zinc and tetramethylammonium as well as those salts formed from amines such as ammonia, ethylene-

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diamine, N-methylglucamine, lysine, arginine,
ornithine, choline, N,N'-dibenzylethylenediamine,
chloroprocaine, diethanolamine, procaine, N-
benzylphenethylamine, 1-p-chlorobenzyl-2-
5 pyrrolidine-1'-yl-methylbenzimidazole,
diethylamine, piperazine and tris(hydroxymethyl)-
aminomethane.

The term "pharmaceutically acceptable
cation" denotes a positive counterion forming a
10 pharmaceutically acceptable salt, such as those
described above.

The term "ATCC" as used herein refers to the
accession number of the American Type Culture
Collection, 12301 Parklawn Drive, Rockville,
15 Maryland 20852, an organism depository.

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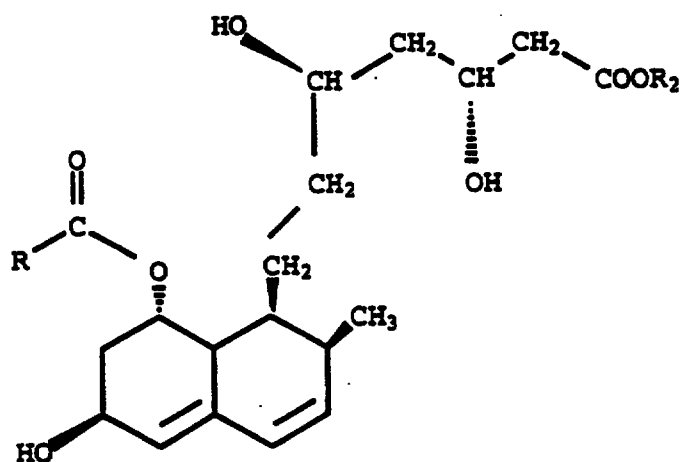
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Starting Materials

Compounds of the formula II to be employed in the hydroxylation method of the present invention may be obtained by methods known to the skilled artisan. Such compounds are disclosed, for example, in U.S. Patent No. 4,450,171.

Preferred Compounds

Compounds of the formula I having the following formula Ia are preferred:



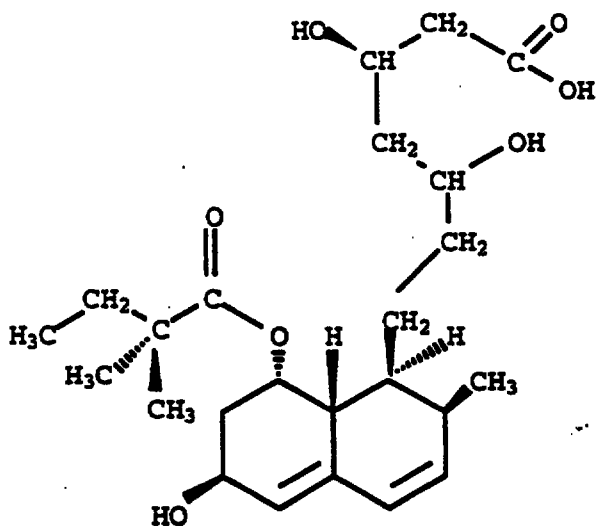
(Ia)

its partially or completely hydrogenated analogs or alkali metal salts thereof, wherein R is alkyl and R² is as defined in formula I.

A particularly preferred example is:

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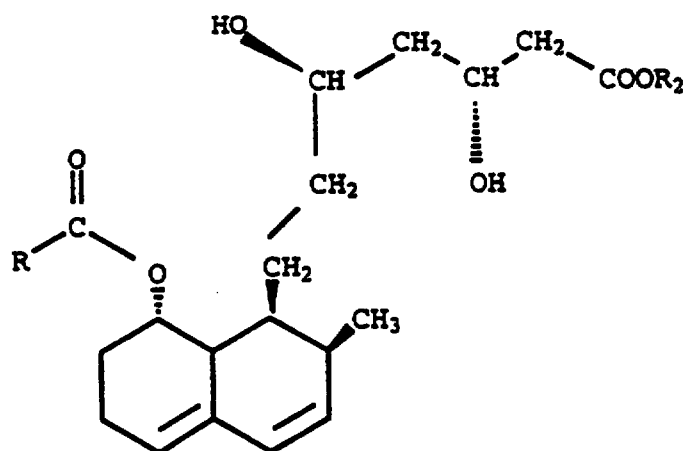


Methyl Pravastatin

its partially or completely hydrogenated analogs or alkali metal salts thereof.

5

Compounds of the formula II having the following formula IIa are preferred for use as the starting material:



(IIa)

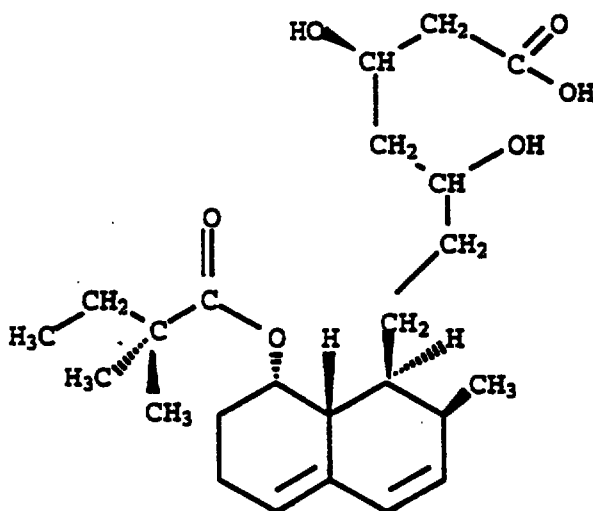
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its partially or completely hydrogenated analogs or alkali metal salts thereof, wherein R is alkyl and R² is as defined in formula II. A particularly preferred example is

5



Methyl Compactin

its partially or completely hydrogenated analogs or alkali metal salts thereof.

10

Compounds of the formula I, or any compound described herein, in which one or more of the double bonds is absent, may be obtained by hydrogenating the corresponding compound in which such bonds are present according to methods known to the skilled artisan.

15

Any of the products of the present method may be isolated and purified by known methodologies such as by filtering off cells or cellular materials where appropriate, extraction, crystallization, thin layer or column chromatography, high performance liquid chromatography and the like.

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The above structures shown for methyl compactin and methyl pravastatin are referred to herein as the acid form of these compounds. Where the carboxyl group of these compounds is in the alkali metal salt form, the compounds are referred to herein as the salt form.

As discussed below, the use of an aqueous medium is preferred in conducting the hydroxylation method of the present invention. It is therefore preferred to prepare, or to employ as starting materials, those compounds in which Z is the open chain moiety as defined above since such compounds are relatively more water soluble than the corresponding compounds in which Z is a lactone moiety. Compounds of the formula II in which Z is a lactone moiety may, for example, be hydrolyzed to the open chain form prior to use in the process of the present invention.

Enzymes and Microorganisms

The enzyme or microorganism employed in the method of the present invention may be any enzyme or microorganism, regardless of origin or purity, having the ability to catalyze the conversion as described herein. Genera of microorganisms suitable as sources of catalyzing enzymes include *Nocardia*, *Amycolata*, *Saccharopolyspora*, *Streptomyces*, *Amycolatopsis*, *Saccharothrix* or *Gilbertella*.

Exemplary species suitable for use in the present invention include *Amycolata autotrophica* such as ATCC 35204, *Streptomyces californicus* such as ATCC 15436, *Amycolatopsis mediterranei* such as ATCC 21411, *Saccharothrix australensis* such as ATCC

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31497, *Gilbertella persicaria* such as ATCC 38591, *Saccharopolyspora hirsuta* such as ATCC 27875, 27876 or 20501, *Saccharopolyspora erythraea* such as ATCC 11635, and the like. Particularly preferred are
5 *Amycolata autotrophica* such as ATCC 35204 and *Saccharopolyspora hirsuta* such as ATCC 20501.

With respect to the use of microorganisms, the method of the present invention may be carried out using any microbial cellular material having
10 the ability to catalyze the conversion as described herein. The cells may be used in the form of intact wet cells or dried cells such as lyophilized, spray-dried or heat-dried cells. Cells may also be used in the form of treated cell
15 material such as ruptured cells or cell extracts. The cells or cellular materials, such as isolated fungal mycelia, may be employed in the free state or immobilized on a support such as by physical adsorption or entrapment. One or more species of
20 microorganism may be employed when carrying out the instant process.

The method of the present invention may be carried out subsequent to the growth of the microorganism(s) employed, for example, by growing
25 the microorganism(s) either in the presence or absence of a compound of the formula II starting material, harvesting and, preferably, washing (e.g., with water) the microbial materials, and then contacting the microbial materials obtained
30 with the compound of the formula II starting material. The method of the present invention may also be carried out by *in situ* fermentation and reaction, that is, reaction in the presence of actively growing microorganisms.

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The reaction may be conducted under quiescent (static) conditions, or by employing agitation. Agitation, such as shake-flask culture or aeration and agitation, is preferably employed
5 when the compound of the formula II starting material is added to actively growing cultures. In such cases, an anti-foaming agent may be employed.

The growth of microorganisms may be achieved by the skilled artisan, for example, by the use of
10 an appropriate medium containing nutrients such as carbon and nitrogen sources and trace elements. Exemplary assimilable carbon sources include glucose, glycerol, maltose, dextrin, starch, lactose, sucrose, molasses, soybean oil, cotton
15 seed oil, etc. Exemplary assimilable nitrogen sources include soybean meal, peanut meal, cotton seed meal, fish meal, corn steep liquor, peptone, rice bran, meat extract, yeast, yeast extract, sodium nitrate, ammonium nitrate, ammonium sulfate,
20 etc. Inorganic salts such as sodium chloride, phosphates, calcium carbonate, etc., may be added to the culture medium. A minor amount of a metal salt or heavy metal may also be added.

The same or different media may be employed
25 at various stages of the growth of the microorganisms. Preferred media for the growth of microorganisms are those described in the examples herein, which media may be employed for the growth of any microorganism employed in the method of the
30 present invention.

Enzymes, when employed, are preferably derived from the aforementioned microorganisms, or they may be synthetically or otherwise prepared. For example, they may be derived from genetically

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engineered host cells. The use of the genetically engineered host cells themselves, or cells which have otherwise been modified, is also contemplated where such cells are capable of producing enzymes
5 having the structure of enzymes derived from the above recited genera of microorganisms.

Reaction Conditions

The method of the present invention may be
10 conducted in an aqueous medium, such as a buffered aqueous medium. The aqueous phase is conveniently water, preferably deionized water, or a suitable aqueous buffer solution, especially a phosphate buffer solution. Use of an aqueous medium is
15 preferred for the present hydroxylation method.

The reaction in the present invention may also be conducted in an organic medium or in a medium which is a mixture of an organic medium and an aqueous medium. Use of an organic or
20 organic/aqueous medium may enhance solubilization of the less water soluble compounds of the formula II starting materials, such as those where Z is a lactone moiety. Less water soluble starting materials may, for example, be dissolved in an
25 organic solvent such as methyl or ethyl alcohol, and the solution added to an aqueous medium for conversion. Liquids forming such organic media may be immiscible in water or, preferably, may be miscible in water. Exemplary organic media include
30 toluene, hexane, benzene, acetone, dimethylsulfoxide, cyclohexane, xylene, trichlorotrifluoroethane, alkanols such as methyl or ethyl alcohol or butanol, and the like.

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It is preferred that the starting material is dissolved, for example, in water or an alcohol, prior to addition to the reaction medium.

The reaction medium preferably contains
5 between about 0.5 to about 3 mg of a compound of the formula II starting compound per ml of liquid medium. The pH of the reaction medium is preferably between about 6.0 and about 7.5.

To carry out the hydroxylation reaction of
10 the present invention, water or an organic alcohol, for example, an alkanol such as methyl or ethyl alcohol, may be added. It is preferred to employ these materials in an amount providing a molar excess, preferably a large molar excess, based on
15 the compound of formula II starting material.

The amount of microbial cells added, where employed in the present process, is preferably an amount ranging from about 10 to about 1000 mg per mg of the compound of formula II starting material.
20 The amount of enzyme added, where employed in the present process, is preferably an amount ranging from about 1 to about 100 mg per mg of the compound of formula II starting material.

The reaction medium is preferably held at a
25 temperature between about 27 and 40°C, and is most preferably held between about 28 and about 34°C. The reaction time can be appropriately varied depending upon the amount of enzyme produced by the microbial cells, or used *per se*, and its specific
30 activity. Typical reaction times are between about 2.5 hours and about 72 hours. Reaction times may be reduced by increasing the reaction temperature and/or increasing the amount of enzyme added to the reaction solution.

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Preparation of HMG-CoA Reductase Inhibitors

HMG-CoA reductase (3-hydroxy-3-methylglutaryl coenzyme A reductase, EC 1.1.1.34) is a key enzyme in cholesterol biosynthesis. Inhibitors of this enzyme find utility as anticholesterolemic agents, that is, in lowering or maintaining plasma cholesterol levels. In addition to the treatment and prevention of hypercholesterolemia, HMG-CoA reductase inhibitors find utility in the treatment and prevention of atherosclerosis, hyperlipoproteinaemia, and/or hyperlipidemia.

Compounds of the formula II described above may themselves exhibit HMG-CoA reductase inhibiting activity (e.g., methyl compactin), and/or may be employed as intermediates in the preparation of other compounds having HMG-CoA reductase inhibiting activity. In the latter case, the present invention further provides a method wherein hydroxylation is conducted according to the above-described method of the present invention and, subsequently, the hydroxylated product so formed is employed in the preparation of an HMG-CoA reductase inhibitor (e.g., groups are deprotected, added or otherwise modified thereon). Preferably, the inhibitor so prepared has enhanced HMG-CoA reductase inhibiting activity relative to any such activity the hydroxylated product from which it is prepared may possess.

HMG-CoA reductase inhibitors obtained according to the method of the present invention may, for example, be administered to mammals, particularly humans, by modes and in dosages selected according to methods known to the skilled artisan.

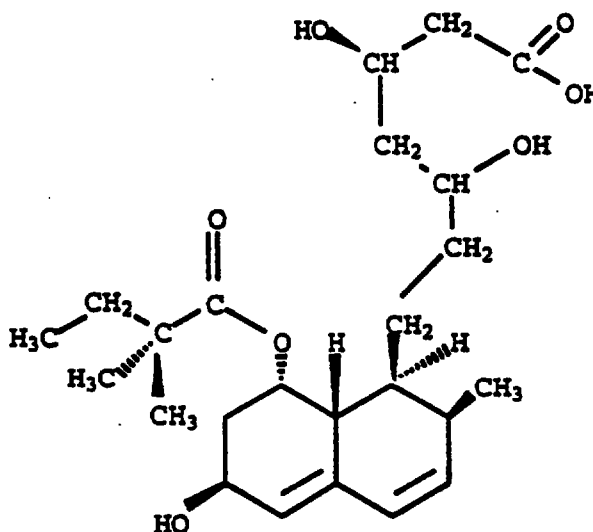
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A particularly preferred method for the preparation of an HMG-CoA reductase inhibitor of the present invention is that comprising the steps of:

- 5 (A) hydroxylating a compound of the formula
IIa, wherein R is $\text{H}_3\text{C}-\text{CH}_2-\text{C}(\text{H}_3)(\text{H}_3\text{C})-$ and R^2 is H, or

a salt thereof with *Amycolata autotrophica* (ATCC 35204) or *Saccharopolyspora hirsuta* subspecies *kobensis* (ATCC 20501) to yield methyl pravastatin
10 having the structure:



or the alkali metal, especially sodium or lithium,
15 salt thereof.

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The following Examples illustrate preferred embodiments of the present invention, and are not intended to limit the scope or spirit of the instant claims. The components of the media employed in these Examples are as follows.

<u>Medium</u>	<u>Media Compositions</u>
10 F7	malt extract 10 g/L, yeast extract 10 g/L, peptone 1 g/L, dextrose 20 g/L, distilled water to one liter, pH adjusted to 7.0 before sterilization by autoclaving
15 K28	Pharmamedia 25 g/L, cerelese 69 g/L, CaCO_3 9 g/L, K_2HPO_4 0.1 g/L, tap water to one liter, pH adjusted to 6.8 - 7.0 before sterilization by autoclaving
20 F4	tryptone 5 g/L, malt extract 3 g/L, glucose 10 g/L, yeast extract 3 g/L, distilled water to one liter, sterilize in autoclave
25 F46	glycerol 10 g/L, maltose 40 g/L, toasted Nutrisoy flour 30 g/L, peptone 10 g/L, spray dried corn steep liquor 10 g/L, $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ 0.5 g/L, tap water to one liter, pH adjusted to 5.3 before sterilization by autoclaving
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5	M124	cerelose 10 g/L, NZ Amine B 15 g/L, yeast extract 10 g/L, NaCl 5 g/L, CaCO ₃ 1 g/L, tap water to one liter, pH adjusted to 6.8 - 7.0 before sterilization by autoclaving
	Mueller-Hinton Broth (Difco brand)	beef infusion 300 g/L, casamino acids, technical 17.5 g/L, starch 1.5 g/L, suspended in one liter distilled or deionized water and heated to boiling to dissolve completely, final pH 7.4
15	Trypticase Soy Broth, BBL brand	trypticase peptone 17 g/L, phytonone peptone 3 g/L, NaCl 5 g/L, K ₂ HPO ₄ 2.5 g/L, dextrose 2.5 g/L, distilled water to one liter, sterilize in autoclave
20	Y17	yeast nitrogen base 6.7 g/L, glucose 50 g/L, Sigma adenine HCl 0.09 g/L, Sigma l-tyrosine 0.06 g/L, heat to boil and mix Difco casamino acids 2 g/L, agar 20 g/L, distilled water to one liter, sterilize in autoclave
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Example 1

One frozen vial of *Amycolata autotrophica* (ATCC 35204) and one frozen vial of *Saccharopolyspora hirsuta* subspecies *kobensis* (ATCC 20501) were thawed and used to inoculate separate 500 ml flasks containing 100 ml each of medium F4. Each flask was placed on a shaker at approximately 280 rpm, at 25°C, and shaken for 72 hours. Aliquots of 2.5 ml apiece of the 72 hour *A. autotrophica* culture broth were used to inoculate six 250 ml flasks containing 50 ml of medium F7 and six 250 ml flasks containing 50 ml of medium K28. Similarly, aliquots of 2.5 ml apiece of the 72 hour *S. hirsuta* culture broth were used to inoculate six 250 ml flasks containing 50 ml of medium F7 and six 250 ml flasks containing 50 ml of medium K28. Accordingly, there were 24 flasks in total. All 24 flasks were placed on a shaker and shaken at approximately 280 rpm at 25°C.

After 24 hours of shaking, 1 ml of filter-sterilized 5 mg/ml methyl compactin salt solution was added aseptically to three F7 flasks and three K28 flasks of each culture. (Salt solution was prepared from the lactone by dissolving the lactone in a minimal volume of ethanol, adding about 15 ml of water [which produces some precipitate], adjusting the pH to about 11.8, incubating in a 65°C waterbath until the precipitate dissolved, and carefully bringing the pH down to 7.5. Distilled water was added to bring the calculated concentration to 5 mg/ml.) Two new flasks were added at this point, as one uninoculated flask of each medium was dosed with the salt to control for non-biological modifications. These flasks

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henceforth were treated the same as the inoculated flasks.

Similarly, a sterile 500+ $\mu\text{g/ml}$ dose of compactin was added to three F7 and three K28
5 flasks of each culture. Two new flasks were added, as one uninoculated flask of each medium was also dosed with compactin. (The purpose of the inoculated compactin flasks was to serve as
positive controls, showing that hydroxylation of
10 compactin was occurring normally. The uninoculated flasks served as controls for possible nonbiological alterations of substrate.)

Shaking was resumed for an additional 24 hours. All flasks then received a second dose of
15 the same salt with which they had been dosed previously. Shaking was again resumed for 24 hours, following which eight flasks were harvested (at time T_1), including one for each culture-medium-substrate combination. The broths from the
20 harvested flasks were submitted for assay of substrate and hydroxylated product.

Following an additional 24 hours of shaking, the remaining 20 flasks were harvested (at time T_2), including 10 methyl compactin salt samples and
25 10 compactin samples. The broths were submitted for appropriate substrate-product assay.

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The results are shown below:

Culture	Medium	Compactin (ug/g)			Pravastatin (ug/g)		
		T₁	T₂	T₂	T₁	T₂	T₂
<i>A. autotrophica</i>	F7	8.4	0	0	474	475	503
	K28	4.6	0	0	470	575	512
<i>S. hirsuta</i>	F7	842	127	122	347	775	753
	K28	412	0	0	675	750	762
None (control)	F7	-	1857	-	-	0	-
	K28	-	1806	-	-	0	-

Culture	Medium	Methyl Compactin (ug/g)			Methyl Pravastatin (ug/g)		
		T₁	T₂	T₂	T₁	T₂	T₂
<i>A. autotrophica</i>	F7	0	0	0	57.9	56.4	55.4
	K28	0	0	0	59.8	62.4	66.7
<i>S. hirsuta</i>	F7	1.7	0	0	71.5	77.1	82.9
	K28	3.1	0	0	58.9	63.3	63.8
None (control)	F7	-	96.5	-	-	0	-
	K28	-	90.2	-	-	0	-

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EXAMPLE 2

One frozen vial of *Saccharopolyspora hirsuta* subspecies *kobensis* (ATCC 20501) was thawed and
5 used to inoculate a 500 ml flask containing 100 ml of medium F4. The flask was placed on a shaker at approximately 280 rpm, at 25°C, and shaken for 72 hours.

10 Aliquots of the 72 hour broth were used to inoculate two 250 ml flasks containing 45 ml of medium K28. The two flasks were placed on a shaker and shaken at approximately 280 rpm at 25°C.

15 After 24 hours of shaking, methyl compactin salt was dissolved in water to provide a solution which was filter-sterilized. A portion of this solution was added to one of the K28 flasks. Six hours later, an identical portion was added to the same flask. The second K28 flask also received a portion as did a third uninoculated K28 flask. All
20 three flasks were placed on the shaker and shaking resumed for 18 hours.

The first flask then received a third dose. Six hours later, the first flask received a fourth (and final) dose; the second flask received a
25 second (and final) dose; and the uninoculated flask received a second (and final) dose.

Following an additional 42 hours of shaking, the three flasks were harvested and all of the
30 broths were submitted for assay of methyl compactin and methyl pravastatin. The results are shown below:

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<u>Sample</u>	<u>Methyl Compactin</u> <u>($\mu\text{g/g}$)</u>	<u>Methyl pravastatin</u> <u>($\mu\text{g/g}$)</u>
Flask 1	0	284.8
Flask 2	0	251.5
No-cells control	418.6	0

EXAMPLE 3

5 One frozen vial each of *Amycolata*
autotrophica (ATCC 35204), *Streptomyces*
californicus (ATCC 15436), *Amycolata*
hydrocarbonoxydans (ATCC 15104), *Amycolata*
10 *mediterranei* (ATCC 21411), *Amycolatopsis fastidiosa*
(ATCC 31181) and *Saccharopolyspora hirsuta*
subspecies *kobensis* (ATCC 20501) were thawed and
used to inoculate 500 ml germinator flasks
containing 100 ml of medium F7. All flasks were
placed on a shaker at approximately 280 rpm, at
15 25°C, and shaken for 72 hours.

10 ml aliquots from each germinator flask
were used to inoculate separate flasks each
containing 120 ml of medium K28. All flasks were
then returned to the shaker.

20 After 24 hours, 500 $\mu\text{g/ml}$ of filter
sterilized compactin (acid form) was added to each
flask. Again the flasks were returned to the
shaker.

25 24 hours later, 15 ml aliquots were removed
from each flask and frozen for later assay (T_1). A
second 500 $\mu\text{g/ml}$ dose of sterile acid-form
compactin was added to each flask and the flasks
were returned to the shaker.

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24 hours after that, a second 15 ml aliquot sample was removed from each flask and frozen for later assay (T₂); and 24 hours after that, a final 15 ml aliquot was removed from each flask and frozen for later assay (T₃).

All samples were thawed and submitted for assay. The results are shown in the tables below:

Culture	T ₁		
	Compactin	Pravastatin	Conversion
<i>A.hydrocarbonoxydans</i>	439	0	-
<i>A.mediterranei</i>	21	142	28%
<i>A.fastidiosa</i>	376	0	-
<i>S.hirsuta</i>	40	201	40%
Controls:			
<i>A.autotrophica</i>	68	110	22%
<i>S.californicus</i>	0	75	15%

Culture	T ₂		
	Compactin	Pravastatin	Conversion
<i>A.hydrocarbonoxydans</i>	767	0	-
<i>A.mediterranei</i>	15	282	28%
<i>A.fastidiosa</i>	191	0	-
<i>S.hirsuta</i>	19	399	40%
Controls:			
<i>A.autotrophica</i>	Trace	293	29%
<i>S.californicus</i>	0	167	17%

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Culture	T ₃		
	Compactin	Pravastatin	Conversion
<i>A.hydrocarbonoxydans</i>	812	0	-
<i>A.mediterranei</i>	21	290	29%
<i>A.fastidiosa</i>	711	0	-
<i>S.hirsuta</i>	Trace	394	39%
Controls:			
<i>A.autotrophica</i>	3	276	28%
<i>S.californicus</i>	2	157	16%

EXAMPLE 4

5 46-hour cultures of *Saccharopolyspora*
hirsuta subspecies *kobensis* (ATCC 20501) (grown at
28°C) and *Amycolata autotrophica* (ATCC 35204)
(grown at 25°C) were used to inoculate flasks of
various media (50 ml media/250 ml flask). 5 ml of
10 innoculum were used per flask.

S. hirsuta was inoculated into two separate
flasks of each of the following media: F7, F46,
K28, M124, Mueller Hinton, trypticase soy broth
(TSB) and Y17. One flask of each medium was placed
15 on a shaker at approximately 280 rpm, at 25°C, and
another flask of each medium was placed on a shaker
at approximately 280 rpm, at 28°C. An additional
flask each of F7 medium and K28 medium was
inoculated and placed in a water bath shaker at
20 32°C.

A. autotrophica was inoculated into two
separate flasks each of F7 medium and K28 medium.
One inoculated flask of each medium was placed on a
shaker at approximately 280 rpm., at 25°C, and one

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inoculated flask of each medium was placed on a shaker at approximately 280 rpm, at 28°C.

After 24 hours, a 500 µg/g dose of filter sterilized compactin (acid form) was added to each flask. The flasks were returned to the shakers at the same temperature.

24 hours later, a 10 ml aliquot was removed from each flask and frozen for later assay (T₁). A second 500 µg/g dose of sterile acid-form compactin was added to each flask and the flasks were again returned to the shakers at the same temperature.

After an additional 48 hours, a second 10 ml aliquot was taken (T₂). The T₁ samples were thawed and submitted with the T₂ samples for assay. The results are shown in the table below:

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<i>S. hirsuta</i> Medium/Temp.°C	T ₁ (frozen and thawed)		
	Compactin	Pravastatin	Conversion
F7/25°	432	40	8%
F7/28°	154	168	34%
F7/32°	450	43	9%
F46/25°	516	10	2%
F46/28°	494	17	3%
K28/25°	179	147	29%
K28/28°	105	184	37%
K28/32°	211	100	20%
M124/25°	180	139	28%
M124/28°	103	191	38%
Mueller-Hinton/25°	496	38	8%
Mueller-Hinton/28°	402	43	9%
TSB/25°	358	74	15%
TSB/28°	211	163	33%
Y17/25°	605	0	-
Y17/28°	533	0	-
<i>A. autotrophica</i> (control) F7/25°	334	51	10%
<i>A. autotrophica</i> (control) F7/28°	111	108	22%
<i>A. autotrophica</i> (control) K28/25°	242	79	16%
<i>A. autotrophica</i> (control) K28/28°	60	127	25%

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<i>S. hirsuta</i> Medium/Temp. °C	T ₂ (not frozen)		
	Compactin	Pravastatin	Conversion
F7/25°	65	452	45%
F7/28°	Trace	550	55%
F7/32°	Trace	570	57%
F46/25°	965	0	-
F46/28°	999	0	-
K28/25°	0	405	41%
K28/28°	0	405	41%
K28/32°	5	288	29%
M124/25°	233	323	32%
M124/28°	286	303	30%
Mueller-Hinton/25°	827	121	12%
Mueller-Hinton/28°	716	81	8%
TSB/25°	465	238	24%
TSB/28°	363	289	29%
Y17/25°	1086	0	-
Y17/28°	881	0	-
<i>A. autotrophica</i> (control) F7/25°	Trace	266	27%
<i>A. autotrophica</i> (control) F7/28°	0	220	22%
<i>A. autotrophica</i> K28/25°	2	266	27%
<i>A. autotrophica</i> (control) K28/28°	Trace	257	26%

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<i>S. hirsuta</i> Medium/Temp. °C	Ratio, T2 Pravastatin: all other products
F7/25°	19.0 : 1
F7/28°	13.2 : 1
F7/32°	13.3 : 1
F46/25°	0.3 : 1
F46/28°	0.4 : 1
K28/25°	15.7 : 1
K28/28°	10.0 : 1
K28/32°	10.1 : 1
M124/25°	8.4 : 1
M124/28°	9.2 : 1
Mueller-Hinton/25°	3.9 : 1
Mueller-Hinton/28°	4.6 : 1
TSB/25°	4.8 : 1
TSB/28°	9.6 : 1
Y17/25°	-
Y17/28°	-
<i>A. autotrophica</i> F7/25°	4.2 : 1
<i>A. autotrophica</i> (control) F7/28°	5.4 : 1
<i>A. autotrophica</i> (control) K28/25°	4.4 : 1
<i>A. autotrophica</i> (control) K28/28°	4.5 : 1

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EXAMPLE 5

67-hour cultures of various microorganisms grown in F4 medium at 25°C were used to inoculate
5 250 ml flasks containing 50 ml of medium F7 and 250 ml flasks containing 50 ml of medium K28. 5 ml of inoculum were used per flask. The organisms used were *Cellulomonas cellulans* (ATCC 12830), *Oerskovia xanthineolytica* (ATCC 27402), *Promicromonospora*
10 *citrea* (ATCC 15908), *Saccharomonospora viridis* (ATCC 15736), *Saccharopolyspora hirsuta* (ATCC 27875), *Saccharothrix australensis* (ATCC 31497) and *Streptomyces halstedii* (ATCC 13449).
Saccharomonospora viridis and *S. hirsuta* (ATCC
15 27875) conversion flasks were placed on a shaker at approximately 280 rpm, at 28°C; the other cultures were placed on a shaker at approximately 280 rpm, at 25°C. A *Saccharopolysporoa hirsuta* subspecies *kobensis* (ATCC 20501) control was used for both
20 25°C and 28°C.

After 24 hours, a 500 µg/g dose of compactin was added to each flask.

24 hours later, a second 500 µg/g dose of compactin was added to each flask.

25 After 96 hours, a 15 ml aliquot was taken from each flask and frozen at -50°C for later assay.

The samples were thawed and submitted for assay. The results are shown in the table below:

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Culture/ Medium	Temp.	Compactin	Pravastatin	Conversion	Ratio Prava- statin: by- products
<i>C. cellulans</i> /F7	25°C	1107	0	-	-
<i>C. cellulans</i> /K28	25°C	1072	0	-	-
<i>Oerskovia</i> <i>xanthineolyt.</i> /F7	25°C	1116	0	-	-
<i>Oerskovia</i> <i>xanthineolyt.</i> /K28	25°C	688	0	-	-
<i>Promicro.</i> <i>citrea</i> /F7	25°C	1119	0	-	-
<i>Promicro.</i> <i>citrea</i> /K28	25°C	1309	0	-	-
<i>Saccharo-</i> <i>thrix</i> <i>austral.</i> /F7	25°C	63	478	43%	3.1 : 1
<i>Saccharo-</i> <i>thrix</i> <i>austral.</i> /K28	25°C	0	465	42%	4.6 : 1
<i>Streptomyces</i> <i>halsted.</i> /F7	25°C	887	0	-	-
<i>Streptomyces</i> <i>halsted.</i> /K28	25°C	1114	0	-	-
<i>Saccpolysp.</i> <i>hirsuta</i> ATCC 20501/F7	25°C	180	532	48%	9.4 : 1

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<i>Sacopolysp.</i> <i>hirsuta</i> ATCC 20501/K28	25°C	73	511	46%	9.2 : 1
F7 blank	25°C	1281	0	-	-
K28 blank	25°C	1208	0	-	-
<i>Saccharomon.</i> <i>viridis</i> /F7	28°C	1213	0	-	-
<i>Saccharomon.</i> <i>viridis</i> /K28	28°C	1147	0	-	-
<i>Saccharopoly.</i> <i>hirsuta</i> ATCC 27875/F7	28°C	515	92	8%	1.6 : 1
<i>Saccharopoly.</i> <i>hirsuta</i> ATCC 27875/K28	28°C	410	194	18%	2.0 : 1
<i>Saccharopoly.</i> <i>hirsuta</i> ATCC 20501/F7	28°C	35	565	51%	12.8 : 1
<i>Saccharopoly</i> <i>hirsuta</i> ATCC 20501/K28	28°C	44	520	47%	8.7 : 1

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EXAMPLE 6

Eight ATCC Mucorales fungi and one
5 *Staurophoma* sp. ATCC 14288 were inoculated from
well-grown slants into germinator flasks of medium
F4 (100 ml F4/500 ml flasks) and placed on a slow
shaker (about 200 rpm) at 25°C. The eight
Mucorales fungi were *Absidia ramosa* (ATCC 11613),
10 *Circinella muscae* (ATCC 16008), *Cunninghamella*
echinulata var. *echinulata* (ATCC 36190),
Cunninghamella echinulata var. *elegans* (ATCC
8688A), *Gilbertella persicaria* (ATCC 38591),
15 *Rhizomucor miehei* (ATCC 26912), *Rhizopus*
oligosporus (ATCC 22959) and *Rhizopus stolonifer*
(ATCC 14037).

After 72 hours of shaking, the growth in all
the germinator flasks looked good except for the *C.*
echinulata var. *echinulata* broth which was one
large solid fungal mass. This was discarded. The
20 seven remaining Mucorales flasks, and the one
Staurophoma flask, were each used to inoculate
bioconversion flasks (containing 50 ml medium per
250 ml flasks) of medium F7 and of medium K28. 2
ml of inoculum were used per flask. All flasks
25 were placed on a regular speed (about 280 rpm)
shaker at 25°C.

After 24 hours, all flasks received 500
µg/ml dose of compactin, including two uninoculated
flasks, one each of media F7 and K28. All flasks
30 were returned to the shaker at 280 rpm and 25°C.

After an additional 24 hours, all flasks
received a second 500 µg/ml dose of compactin and
were then returned to the shaker.

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Forty-eight hours later, samples of 15 to 20 ml were taken from each flask and assayed. The results were as follows:

Gilbertella persicaria F7 - compactin 621
5 µg/g; pravastatin 274 µg/g; pravastatin:by-products ratio 2.3:1; and

K28 - compactin 822 µg/g; pravastatin 54 µg/g; pravastatin:by-products ratio 3.4:1.

Two of the other cultures showed, at best,
10 trace levels of pravastatin (*Absidia* and *Rhizopus oligosporus*). All the others were negative for pravastatin.

EXAMPLE 7

15

Saccharopolyspora hirsuta subspecies
kobensis (ATCC 20501) inocula that were two days,
three days and four days old in F4 medium (100 ml
F4 medium per 500 ml flask, each inoculated with a
20 frozen vial) were used to inoculate bioconversion
flasks of medium K28 (50 ml K28 per 250 ml flask).
Three flasks of medium K28 were inoculated from
each germinator flask, using 5 ml of inoculum. In
addition, inoculum from the three day old
25 germinator flask was used to inoculate K28 medium
flasks in triplicate with different volumes of
inoculum. Specifically, 0.25 ml, 1.0 ml, 2.5 ml,
7.5 ml and 10 ml volumes were used. All flasks
were placed on a shaker at approximately 280 rpm,
30 and 28°C.

After 24 hours, a 500 µg/ml dose of compactin sodium salt was added to each flask.

After an additional 24 hours, 1,000 µg/ml compactin sodium salt dose was added to each flask.

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Forty-eight hours later, 25 flasks were harvested and sent for assay. The results are as follows:

Age of Inoculum	Vol. and % Inoculum	Compactin $\mu\text{g/g}$ (Av. of 3)	Pravastatin $\mu\text{g/g}$ (Av. of 3)	Conversion
48 hours	5 ml (10%)	Trace	597	40%
72 hours	0.25 ml (0.5 %)	1084	138	9%
72 hours	1 ml (2%)	901	211	14%
72 hours	2.5 ml (5%)	224*	692	46%
72 hours	5 ml (10%)	Trace**	676**	45%
72 hours	7.5 ml (15%)	10	609	41%
72 hours	10 ml (20%)	Trace	694	46%
96 hours	5 ml (10%)	Trace	700	47%
no inoculum	-	1201	0	-

5

* Result of averaging one flask with 672 $\mu\text{g/g}$ compactin with two flasks showing trace amounts

10 ** Average of two flasks; the possibly contaminated third flask was disregarded

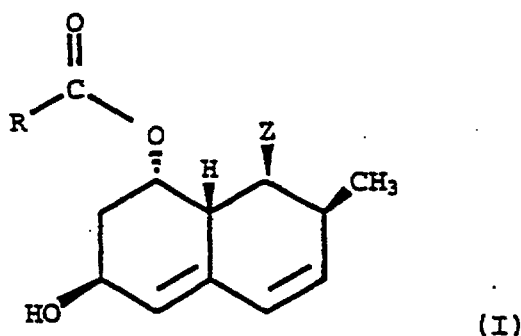
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CLAIMS:

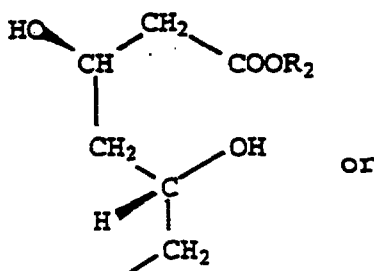
1. A method for the preparation of a compound of the formula I:



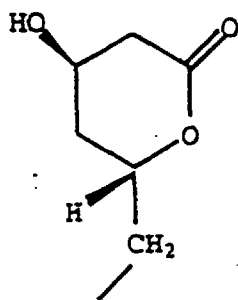
its partially or completely hydrogenated analog or a salt thereof, wherein

R is alkyl or aryl;

Z is the open chain moiety



the lactone



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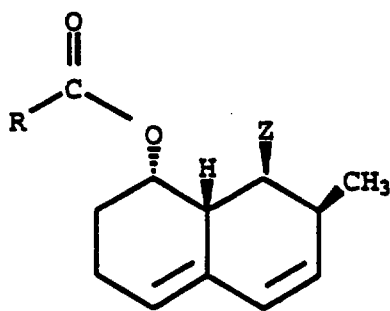


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R^2 is hydrogen, alkyl, ammonium, alkyl-ammonium or alkali metal;
comprising the step of contacting a compound of the formula II:



(II),

a partially or completely hydrogenated analog or a salt thereof, wherein R, Z and R^2 are as defined for formula I, with a microorganism, or with an enzyme derived from, or having the structure of an enzyme derived from, said microorganism, which is capable of catalyzing the hydroxylation of said compound of the formula II or salt thereof to yield said compound of the formula I or salt thereof, and effecting said hydroxylation;

where said microorganism is selected from the genera *Nocardia*, *Amycolata*, *Saccharopolyspora*, *Streptomyces*, *Amycolatopsis*, *Saccharothrix* or *Gilbertella*, provided that when the compound of formula II is compactin, the microorganism is not *Amycolata*, *Nocardia* or *Streptomyces*.

2. The method of claim 1, wherein Z is said open chain moiety.

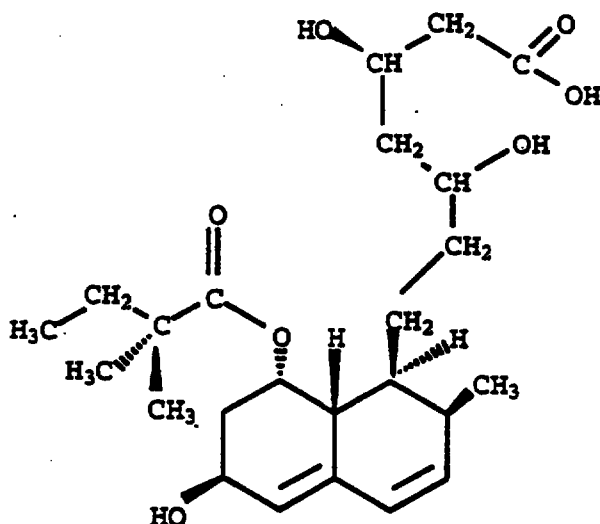
3. The method of claim 2, wherein R is alkyl.



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4. The method of claim 1, wherein a compound having the following formula:



or a partially or completely hydrogenated analog,
or an alkali metal salt thereof, is prepared.

5. The method of claim 1, wherein the compound of formula II is contacted with a microorganism.

6. The method of claim 5, wherein said microorganism is *Amycolata autotrophica* ATCC 35204, *Streptomyces californicus* ATCC 15436, *Amycolatopsis mediterranei* ATCC 21411, *Saccharothrix australensis* ATCC 31497, *Gilbertella persicaria* ATCC 38591, *Saccharopolyspora hirsuta* ATCC 27875, *Saccharopolyspora hirsuta* ATCC 27876, *Saccharopolyspora hirsuta* ATCC 20501 or *Saccharopolyspora erythraea* ATCC 11635.



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7. The method of claim 6, wherein said microorganism is *Amycolata autotrophica* ATCC 35204 or *Saccharopolyspora hirsuta* ATCC 20501.

8. The method of claim 1, wherein the compound of formula II is dissolved in water or an alcohol before it is contacted with a microorganism or with an enzyme.

9. The method of claim 1, wherein the step of contacting a compound of the formula II with a microorganism or with an enzyme is conducted in an aqueous medium.

10. The method of claim 9, wherein about 0.5 to about 3 mg of a compound of the formula II is contained in each ml of aqueous medium.

11. The method of claim 9, wherein the pH of the aqueous medium is between about 6.0 and about 7.5.

12. The method of claim 9, wherein the temperature of the aqueous medium is between about 27 and 40°C.

13. The method of claim 12, wherein the temperature of the aqueous medium is between about 28 and 34°C.

14. The method of claim 1, wherein the step of contacting a compound of the formula II with a microorganism or with an enzyme continues for between about 2.5 and about 72 hours.



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15. The method of claim 1, wherein Z is the lactone.

16. The method of claim 15, wherein the compound of the formula II is hydrolyzed before it is contacted with a microorganism or with an enzyme.

17. The method of claim 1, wherein said compound of the formula I is employed in the preparation of an HMG-CoA reductase inhibitor.

18. A method for the preparation of an HMG-CoA reductase inhibitor, comprising the step of hydroxylating a compound of the formula II, or salt thereof, according to the method of claim 1 to yield a compound of the formula I, or salt thereof.

19. Use of a compound, prepared according to the method of claim 1, for manufacturing a medicament for lowering or maintaining plasma cholesterol levels in a mammalian subject.

20. Use of a compound, prepared according to the method of Claim 1, effective for treating atherosclerosis, for manufacturing a medicament treating atherosclerosis in a mammalian subject.

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REPLACED SHEETS SG

☐ Description : paged to paged
☒ Claim(s) : paged 40 to paged
☐ Drawing(s) : paged to paged
☐ Abstract : paged to paged

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REPLACEMENT SHEETS SG

☐ Description : paged to paged
☒ Claim(s) : paged 40 to paged
☐ Drawing(s) : paged to paged
☐ Abstract : paged to paged

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15. The method of claim 1, wherein Z is the lactone.

16. The method of claim 15, wherein the compound of the formula II is hydrolyzed before it is contacted with a microorganism or with an enzyme.

17. The method of claim 1, wherein said compound of the formula I is employed in the preparation of an HMG-CoA reductase inhibitor.

18. A method for the preparation of an HMG-CoA reductase inhibitor, comprising the step of hydroxylating a compound of the formula II, or salt thereof, according to the method of claim 1 to yield a compound of the formula I, or salt thereof.

19. Use of a compound, prepared according to the method of claim 1, for manufacturing a medicament for lowering or maintaining plasma cholesterol levels in a mammalian subject.

20. Use of a compound, prepared according to the method of claim 1, for the manufacture of a medicament for treating atherosclerosis in a mammalian subject.

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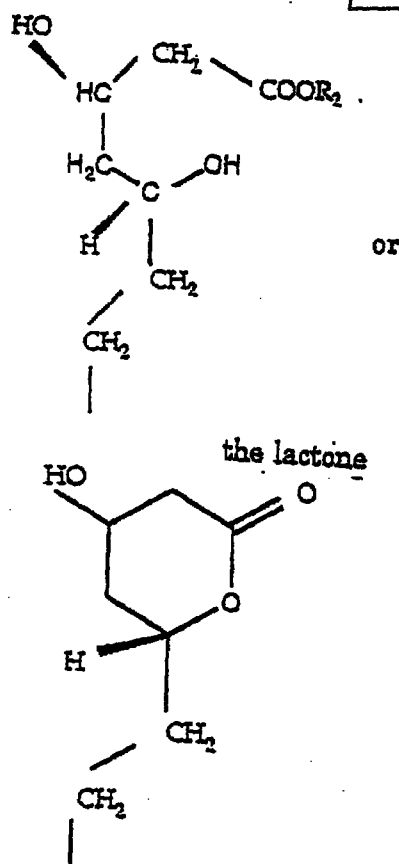


☒ Description : paged 2 to paged 40
☒ Claim(s) : paged 36 to paged 40
☐ Drawing(s) : paged 41 to paged 42
☐ Abstract : paged 43 to paged 44

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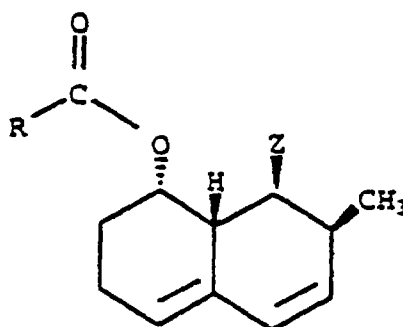
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R^2 is hydrogen, alkyl, ammonium, alkyl-ammonium or alkali metal;

5 comprising the step of contacting a compound of the formula II:



(II),

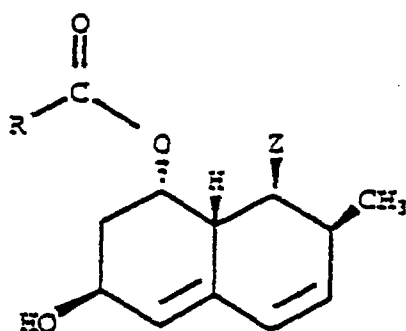
10 a partially or completely hydrogenated analog or a salt thereof, wherein R, Z and R^2 are as defined for formula I, with a microorganism, or with an enzyme derived from, or having the structure of an

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CLAIMS:

1. A method for the preparation of a compound of the formula I:

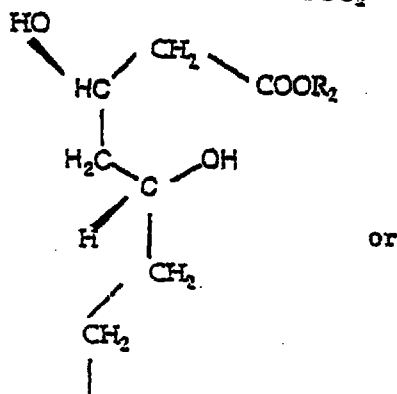


(I)

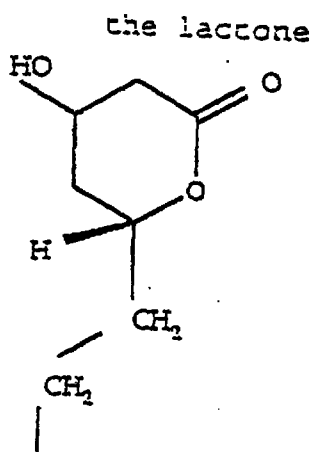
its partially or completely hydrogenated analog or a salt thereof, wherein

R is alkyl or aryl;

Z is the open chain moiety



or



the lactone

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15. The method of claim 1, wherein Z is the lactone.

16. The method of claim 15, wherein the compound of the formula II is hydrolyzed before it is contacted with a microorganism or with an enzyme.

17. The method of claim 1, wherein said compound of the formula I is employed in the preparation of an HMG-CoA reductase inhibitor.

18. A method for the preparation of an HMG-CoA reductase inhibitor, comprising the step of hydroxylating a compound of the formula II, or salt thereof, according to the method of claim 1 to yield a compound of the formula I, or salt thereof.

19. Use of a compound for the manufacture of a medicament, wherein said compound is prepared according to the method of claim 1, and wherein said medicament is useful for lowering or maintaining plasma cholesterol levels in a mammalian subject.

20. Use of a compound for the manufacture of a medicament, wherein said compound is prepared according to the method of claim 1, and wherein said medicament is useful for treating atherosclerosis in a mammalian subject.



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