

As originally filed

Process for production of ionically crosslinked polymer microspheres

The present invention relates to a process for the production of microspheres comprising an ionically crosslinkable polymer and to a system for carrying out the process.

In this application the term ionically crosslinkable polymer refers to a soluble polyionic polymer that is capable to instantaneously form a sparingly or insoluble gel on contact with a gelling solution comprising divalent, multivalent or polyvalent ions having charges opposite to those of the ionically crosslinkable polymer.

Polyionic polymers that may be employed in the present application comprise polyanionic and polycationic polymers of natural or synthetic origin.

In a first embodiment the polyionic polymers are natural or synthetic polyanions which can be crosslinked by di-, multi- or polyvalent cations. Natural polyanions are e.g. polysaccharides comprising carboxylic acid or sulfate groups (e.g. alginic acid, some forms of carrageenan, gellan gum, pectins, cellulose sulphate, and dextran sulphate). Synthetic polyanions are e.g. poly (meth)acrylic acid, polystyrene sulfonate and copolymers thereof, or polymers of the group of polyphosphazenes.

In a further embodiment, the crosslinkable polymer is a natural or synthetic polycation which can be crosslinked by multi- or polyvalent anions. Natural polycations are e.g. amino functionalized polysaccharides like chitosan, amino-

5 dextran, or polypeptides like protamine. Synthetic polycations are e.g. poly
(allylamine hydrochloride), poly(ethylen imine), poly (diallyldimethylammonium
chloride) and polyamide-polyamine-epichlorhydrine.

10 A particularly preferred group of ionically crosslinkable polyanionic polymers are
anionic
polysaccharides which are copolymers of monosaccharides comprising a
carboxylic acid group, herein referred to as “anionic polysaccharides”. Anionic
polysaccharides have found widespread application in formulation techniques. A
particularly useful characteristic of many anionic polysaccharides is their ability to
15 be readily soluble as free acids and/or salts of monovalent cations while forming
strong gels on contact with divalent or polyvalent cations. Within the present
application, anionic polysaccharides which instantaneously form gels by reaction
with divalent or polyvalent cations, are called “ionically crosslinkable anionic
polysaccharides”.

20 Alginic acid is a naturally occurring unbranched binary copolymer of guluronic
acid (G) and its C-5 epimer mannuronic acid (M). It has been found that the G- and
M-units are joined together in a blockwise fashion. The salts of alginic acids are
generally named alginates.

25 Alginates are extracted in large amounts from brown seaweed. The proportions of
G and M in the polymer, and the distribution of G and M blocks in the polymer,
depends on the source of the alginate (Cf. Carbohydrates in Europe 1996, 14, 6-
31).

30 In most applications alginate gel formation is achieved with calcium ions.
However, alginate form gels with most di- and multivalent cations. Monovalent
cations and Mg^{2+} ions do not induce gelation while ions like Ba^{2+} and Sr^{2+} will
produce stronger alginate gels than Ca^{2+} . The gel strength is dependent upon the
guluronic acid content and also on the average number of G-units in the G-blocks.

- 5 Crosslinked alginates are used, for example, as rheology control additives, as wound dressings or for immobilizing materials such as plant cells, mammalian cells, yeasts, bacteria, vaccines or food products. Alginate gel formation is achieved with calcium ions in most applications.
- 10 A number of different methods for the immobilization of biomaterials in alginate beads have been developed. A commonly used way to form alginate gel beads is by adding an alginate solution dropwise to a solution of gelling ions, for example calcium chloride. The droplet size will determine the size of the spheres. A syringe needle has been used for the formation of alginate droplets. However, reduction in
- 15 bead size is limited by the syringe needle diameter and the viscosity of the solution. As a result, beads with a diameter of less than 1 mm are difficult to produce. Reduction in bead size has been attempted by air jets impinging on the needle (Miyawaki et al., Agric. Biol. Chem. 1980, 44, 2865), electrostatic pulses (EP 0 167 690 B1) or vibrating needles (Hulst et al., J. Chem. Technol. Biotechnol. 1985,
- 20 35B, 198).

There is a demand for microspheres with a mean diameter of about 10 μm , since stable non-sedimenting suspensions may be prepared comprising microspheres of this size, and because microspheres having a diameter of 10 μm or less may be

25 taken up by cells allowing a more efficient drug release inside cells (D. T. O'Hagan, J. Anat. 189, 1996, 477-482).

Fine droplets of an alginate solution may be generated by using a spray head, as disclosed, for example, in US 5,387,522 and US 6,465,226. Alginate particles

30 having a diameter of about 200 μm to about 300 μm have been obtained by the above method.

Ca-alginate microspheres may also be obtained by using emulsification methods. Poncelet, et al., Appl. Microbiol. Biotechnol 1995, 43, 644 have described the

35 production of alginate microspheres by emulsification/internal gelation of alginate sol dispersed within vegetable oil. Gelation was initiated within the alginate sol by

5 reduction in pH releasing calcium from an insoluble complex. Alginate microspheres with mean diameters ranging from 50 μm to 1000 μm were obtained.

Even finer alginate microspheres having a diameter of about 10 μm have been obtained by further optimizing the effects of various operational and formulation
10 factors in the emulsification technique (D. Lemoine, et al., International Journal of Pharmaceutics 1998, 176, 9).

Emulsification techniques for the generation of alginate microspheres are using oils and/or organic solvents. Sensitive biomolecules (proteins, enzymes) may be
15 incompatible with oils and/or organic solvents. Removal of oils and/or organic solvents from alginate microspheres is a tedious and incomplete process.

Therefore, there is a need for a process for the manufacture of microspheres made from a crosslinkable anionic polysaccharide having a size of about 3 – 20 μm and
20 being devoid of traces of oils and/or organic solvents.

Surprisingly, it has been found that microspheres of crosslinked anionic polysaccharides having a diameter of about 3 to 20 μm which are completely free of oils and/or organic solvents may be obtained by generating fine liquid aerosol
25 droplets from a solution of a water soluble anionic polysaccharide into a stream of a gas and subsequently introducing the stream of gas comprising these droplets into a gelling solution comprising gel-forming cations.

Therefore, in one aspect, the invention relates to a process for preparing
30 microspheres comprising an ionically crosslinked polymer, the process comprising:
(a) producing liquid aerosol droplets (13) from a solution (3) comprising an ionically crosslinkable polyionic polymer into a continuous gas stream by using an ultrasonic nebulizer;
(b) transferring the gas stream into a gelling solution (10) comprising di-, multi- or
35 polyvalent ions, whereby crosslinked polymer microspheres (14) are formed,
(c) separating the microspheres from the gelling solution, and

- 5 (d) optionally, filtering the microspheres through a screen.

In another aspect, the invention relates to a system for preparing microspheres comprising an ionically crosslinked polymer, the system comprising

- (a) an ultra sound generator (1) situated in a nebulizing chamber (2) which is filled
10 with a solution (3) comprising an ionically crosslinkable polymer;
(b) a radiator coil (4) attached to the nebulizing chamber;
(c) optionally, means (6) for keeping the gas-fluid level (5) in the nebulizing chamber (2) at a predetermined constant level;
(d) a gas inlet (7) attached to the nebulizing chamber (2)
15 (e) a vessel for the gelling solution (9), equipped with agitation means (11); and
(f) a transfer tubing (8) attached to the nebulizing chamber, connecting nebulizing chamber and vessel, wherein the tubing is adapted to submerge into the gelling solution (10).

- 20 The agitation means (11) are selected from tools which are known from the formation of dispersions or emulsions. Preferred agitation means is ultrasound.

Short description of the drawings:

- Fig. 1 is a schematic picture of the system for the production of microspheres
25 according to the invention.

Fig. 2 is a size distribution of alginate microspheres manufactured by the process of the invention.

- Fig. 3 is a picture of alginate microspheres manufactured according to the process of the invention wherein the diameter of selected microspheres has been
30 determined.

- Key part of the system is an ultrasonic nebulizer. A device as being used in air conditioning systems for air moistening may be used. A suitable nebulizer is, for example, the air moistening device SCA 1000, manufactured by Stulz GmbH, D-
35 22457 Hamburg.

- 5 Preferably, the radiator coil (4) is connected to means for keeping the temperature of the solution to be nebulized at a predetermined range.

Preferred temperature ranges of the solution to be nebulized are of from 15 to 50 °C, in particular of from 25 to 35 °C.

- 10 It is preferred to dip the tubing connecting nebulizing chamber (2) and vessel (9) as deep as possible into the bath of the gelling solution (10) to allow the aerosol droplets (13) comprising crosslinkable polymer to interact with the di-, multi- or polyvalent counterions of the gelling solution to form crosslinked micorspheres (14).
- 15 It is further preferred that the lower part of the tubing which submerges into the gelling solution comprises dispenser holes (12).

As mentioned above, the process of the invention may be carried out with different ionically crosslinkable polymers.

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Preferred crosslinkable natural polyanions are selected from the group consisting of an alginic acid, a carrageenan, a cellulose sulphate, a dextran sulphate, a gellan, a pectin and water soluble salts thereof. Most preferred anionic polysaccharide is alginic acid or a water soluble salt thereof.

- 25 Particularly preferred crosslinkable natural polyanions are Na^+ -, K^+ -, NH_4^+ -, and Mg^{2+} - salts of alginic acid and Na^+ -, K^+ -, and NH_4^+ - salts of gellan, carrageenan and cellulose sulphate.

- Preferably, the liquid to be nebulized (3) comprises the crosslinkable natural polyanion in a concentration of from 0.1 % to 5.0 % by weight, particularly of from 0.75 % to 1.5 % by weight.
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A particularly preferred liquid to be nebulized comprises of from 0.75 % to 1.5 % by weight low viscosity sodium alginate.

- 35 Preferred crosslinkable synthetic polyanions are selected from the group consisting of linear or branched polyacrylic acid, poly (meth)acrylic acid, polystyrene

5 sulfonate, polyanions of the group of polyphosphazenes, and copolymers and water soluble salts thereof.

Preferred crosslinkable natural polycations are selected from the group consisting of amino functionalized polysaccharides like chitosan, amino-dextran, polypeptides
10 like protamine and water soluble salts thereof.

Preferred crosslinkable synthetic polycations are selected from the group consisting of poly allylamine, poly(ethylen imine), poly (diallyldimethylammonium chloride), polyamide-polyamine-epichlorhydrine, (amino-)dextrans, polypeptides and water
15 soluble salts thereof.

The stream of gas may be generated by pressurized air. However, other gases, in particular inert gases, for example nitrogen or argon, are also well suited. The gas should be purified before usage.
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The gelling solution comprises a salt of a gel-forming di- or multivalent cation or anion, polyvalent cation or anion, e.g. water soluble salts of polycations or polyanions, depending on the nature of the crosslinkable polymer.

25 The gelling solution for natural or synthetic polyanions comprises a salt of a gel-forming di-, multi- or polyvalent cation in a concentration of from 0.1 % by weight up to saturated solutions.

Preferred concentrations of the salt comprising a gel-forming di- or multivalent cation are 0.5 to 5 % by weight. Gel-forming di- or multivalent cations are, for
30 example, Pb^{2+} , Be^{2+} , Ca^{2+} , Ba^{2+} , Sr^{2+} , Zn^{2+} , Cu^{2+} , Mn^{2+} , Co^{2+} , Fe^{2+} , Fe^{3+} , Al^{3+} and Sn^{4+} .

In the case of the formation of alginate microspheres by the present process, it is preferred to apply a gel-forming cation selected from the group consisting of Ba^{2+} ,
35 Sr^{2+} , and Ca^{2+} .

Most preferred cation for crosslinking alginate is Ca^{2+} .

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Gel-forming polyvalent cations are, for example, poly(allylamine hydrochloride), poly(ethylene imine), poly(diallyldimethylammonium chloride), polyamide-polyamine-epichlorhydrine, chitosan, amino-dextran, and protamine sulfate.

- 10 The gelling solution for natural or synthetic polycations comprises a salt of a gel-forming multi- or polyvalent anion in a concentration of from 0.1 % by weight up to saturated solutions.

- 15 Gel-forming multivalent anions are, for example, phosphate, sulfate, citrate, oxalate, borate. Gel-forming polyvalent anions are, e.g., poly (meth)acrylic acid, polystyrene sulfonate, dextran sulfate.

- It is understood that the gelling solution has to be adapted according to the gelling characteristics of a specific crosslinkable polymer. A person skilled in the art
20 knows how to select suitable divalent or polyvalent cations or anions.

- The gelling solution is preferably essentially aqueous, but may comprise up to 25 % by weight, preferably 0 to 10 % by weight of one or more cosolvents. Suitable cosolvents include alcohols, for example, ethanol, isopropanol, glycols, and
25 glycerin; esters, for example, ethyl acetate; or amides, for example, dimethyl formamide.

- Preferably, the gelling solution comprises up to 1.0 % by weight, in particular of from 0.05 to 0.15 % by weight of a surfactant. Suitable surfactants are, for
30 example, polyoxyethylene-sorbitans (e.g., TWEEN®), polyoxyethylated glycol monoethers, or surfactants comprising a block copolymer of ethylene oxide and/or propylene oxide (e.g. poloxamers or poloxamines). A particularly preferred surfactant is poly(oxyethylene)20-sorbitane monolaureate (TWEEN® 20).

- 5 The gelling solution may additionally comprise polyelectrolytes which stabilize the crosslinked microspheres by a surface coating.

The alginate microspheres prepared by the method described in the invention are the first microspheres in the low micrometer range which are produced without
10 using an emulsion method. Thus, no oil or non polar organic solvent is needed which might interfere with biomolecules or living cells. Therefore, no subsequent tedious purification steps are required to remove any residual oil or non polar organic solvent.

- 15 Small alginate microspheres can be added to solutions (e.g. juice, medicinal drops) without sedimentation. Thus, a homogenous suspension of a drug entrapped in an alginate microsphere can be prepared.

Additionally, as mentioned above, microspheres with a mean diameter below 10 μm can be taken up by a cell which allows a more efficient drug release inside
20 cells.

Furthermore, the size distribution of the microspheres produced by the present process is very narrow and reproducible (generally about 2 to 15 μm , with an average diameter of about 8 μm) compared to other methods described in literature.
25 Preferably, $\geq 95\%$ of the microspheres produced by the present process have a diameter of from 3 to 20 μm . This narrow size distribution of the alginate microspheres guarantee a more homogeneous loading and release of drugs or biomolecules.

- 30 For a controlled drug release it is very important to have a constant release rate to avoid over or under dosing. To achieve this, a tight control of the surface to volume ratio of the microspheres is necessary. The surface to volume ratio is determined by the size distribution. Thus, a narrow size distribution results in a reproducible surface to volume ratio and finally a controlled drug release.

5 Example: Preparation of Alginate Microspheres

A 1% wt. solution of sodium alginate (Sigma, from brown algae macrocystis pyrifera (kelp), low viscosity) in ultra pure water is filled into the nebulizing chamber (2) of a system according to Fig. 1. The temperature of the radiator coil (4) is adjusted to maintain a temperature of from 25 to 30 °C in the nebulizing chamber. The transfer tubing (8) is dipped into an ultra sound bath (35 kHz) which is filled with 1500 ml of a gelling solution of 5 % by weight of CaCl₂ and 0.1 % by weight of TWEEN 20 (Poly(oxyethylene)20-sorbitane monolaureate) in water. The pressured air is adjusted to produce a slight stream of air bubbles through the CaCl₂ bath. Then, the ultra sound generator is turned on for 30 min. The precipitation bath turns turbid which indicates the formation of alginate spheres. To remove very large particles which are generated by condensation of aerosol droplets on the walls of the transfer tube, the alginate - CaCl₂ mixture is filtered through a 50 µm screen cloth.

The alginate microspheres are separated from the CaCl₂ bath by centrifugation (10 min, 1000 x g) and decanting of the supernatant. The size of the alginate microspheres was determined to be between 5 and 10 µm by microscopy imaging (see Fig. 3).

The size distribution of the alginate microspheres is determined with a laser scattering particle size distribution analyzer (LA-910 from Horiba, Ltd. Kyoto, Japan). A refractive index of 1.35 is used for the alginate microspheres. More than 90% of the spheres are in a range of 5 to 13 µm with the average at 8 µm (Fig. 2).

5 **Claims:**

1. A process for preparing microspheres comprising an ionically crosslinked polymer, the process comprising:
 - (a) producing liquid aerosol droplets (13) from a solution (3) comprising an
 - 10 ionically crosslinkable polyionic polymer into a continuous gas stream by using an ultrasonic nebulizer;
 - (b) transferring the gas stream into a gelling solution (10) comprising di-, multi- or polyvalent ions, whereby crosslinked polymer microspheres (14) are formed,
 - (c) separating the microspheres from the gelling solution, and
 - 15 (d) optionally, filtering the microspheres through a screen.
2. The process according to claim 1, wherein the ionically crosslinkable polymer is a polyanionic polymer and wherein the gelling solution comprises a polyvalent cation.
- 20 3. The process according to claim 2, wherein the polyvalent cation of the gelling solution is selected from the group consisting of poly (allylamine hydrochloride), poly(ethylene imine), poly(diallyldimethylammonium chloride), polyamide-polyamine-epichlorhydrine, chitosan, amino-dextran, and protamine sulfate.
- 25 4. The process according to claim 1, wherein the ionically crosslinkable polymer is a polyanionic polymer and wherein the gelling solution comprises di-, multi- or polyvalent cations.
- 30 5. The process according to claim 4, wherein the polyanionic polymer is selected from the group consisting of anionic polysaccharides, a linear or branched polyacrylic acid, and polystyrene sulfonate.
- 35 6. The process according to claim 5, wherein the anionic polysaccharide is selected from the group consisting of an alginic acid, a carrageenan, a cellulose sulphate, a dextran sulphate, a gellan, a pectin and water soluble salts thereof.

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7. The process according to claim 6, wherein the anionic polysaccharide is an alginic acid or a water soluble salt thereof.

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8. The process according to any one of claims 4 to 7, wherein, in step (a), the polyanionic polymer is present in a concentration of from 0.1 % to 5.0 % by weight.

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9. The process according to any one of claims 4 to 8, wherein the ion of the gelling solution is a metal cation selected from the group consisting of Pb^{2+} , Cu^{2+} , Ba^{2+} , Sr^{2+} , Cd^{2+} , Ca^{2+} , Zn^{2+} , Co^{2+} , and Ni^{2+} .

10. The process according to claim 9, wherein the metal cation of the gelling solution is selected from the group consisting of Ba^{2+} , Sr^{2+} , and Ca^{2+} .

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11. The process according to claim 10, wherein the metal cation of the gelling solution is Ca^{2+} .

12. The process according to any one of claims 1 to 11, wherein the gelling solution additionally comprises up to 1 % by weight of a surfactant.

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13. The process according to claim 12, wherein the surfactant is present in an amount of from 0.02 to 1.0 % by weight, preferably of from 0.05 to 0.15 % by weight.

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14. The process according to claim 12 or 13, wherein the surfactant is selected from the group consisting of polyoxyethylene-sorbitans and surfactants comprising a block copolymer of ethylene oxide and/or propylene oxide.

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15. The process according to any one of the claims 1 to 14, wherein the temperature of the solution of the ionically crosslinkable polyionic polymer

5 according to step (a) is kept within a temperature of from 15 to 50 °C, preferably within 25 to 35 °C.

16. The process according to claim 4, wherein, in step (a), the solution comprises of from 0.75 % to 1.5 % by weight low viscosity sodium alginate, wherein the
10 cation is Ca^{2+} ; and wherein the gelling solution comprises of from 0.05 % to 0.15 % by weight of poly(oxyethylene)20-sorbitane monolaureate.

17. A system for preparing microspheres comprising an ionically crosslinked polymer, the system comprising

- 15 (a) an ultra sound generator (1) situated in a nebulizing chamber (2) which is filled with a solution (3) comprising an ionically crosslinkable polymer;
(b) a radiator coil (4) attached to the nebulizing chamber;
(c) optionally, means (6) for keeping the gas-fluid level (5) in the nebulizing chamber (2) at a predetermined constant level;
20 (d) a gas inlet (7) attached to the nebulizing chamber (2)
(e) a vessel for the gelling solution (9), equipped with agitation means (11); and
(f) a transfer tubing (8) attached to the nebulizing chamber, connecting nebulizing chamber and vessel, wherein the tubing is adapted to submerge into the gelling solution (10).