

METHOD FOR LOCATING PHYSICAL SECTORS IN A FIXED BLOCK ARCHITECTURE EMBEDDED SERVO DISK FILE

This invention relates to A multiple track data storage disk, a method of formatting and a data recording disk file.

Background of the Invention

All disk files require some means of determining the radial position of the READ-WRITE heads over the disks so that the heads can be accurately positioned over any desired track. Typically this is accomplished by placing servo information on one or more of the disk surfaces for reading by magnetic or optical heads. Some disk files, known as dedicated servo files, contain servo information only on a dedicated surface of one disk in the disk stack. In contrast, some modern files, known as sector servo files, store the servo information interspersed with the data on each disk surface. This latter approach represents the direction in which the technology is progressing and is preferred because it can be implemented at low cost and without additional componentry beyond that required to store the data and further because it provides the servo information at the data surface being accessed, thereby eliminating many mechanical and thermal sources of track misregistration. Fixed block architecture (FBA) is a common configuration used to format both dedicated servo disk files and sector servo disk files. In an FBA formatted disk file, each disk track is divided into a number of equal-sized sectors, and each sector is divided into regions containing servo information, identification information (ID), and data.

A typical FBA sector servo sector format according to the prior art is illustrated in Fig. 1A. The sector is divided into three regions: servo and recovery region 10, ID region 11 and data region 12. Generally, servo and recovery region 10 contains overhead associated with



sectoring, as well as servo information for sector servo files. Also, this region marks the beginning of the sector. Specifically, servo region 10 contains WRITE-to-READ recovery (W-R) and speed compensation field 13, which is used to switch the data channel from WRITE to READ and accommodate spindle speed variations; address mark (AM) field 14; and servo position field 15 containing a position-error-signal (PES). Data region 12 contains the user data, as well as overhead fields. READ-to-WRITE recovery (R-W) and speed field 19 performs a function like that of W-R and speed field 13. Voltage controlled oscillator sync (VCO) field 20 is used to synchronize the read clock with the read data. Data field 21 contains the user data and associated error checking and correction (ECC) information. ID region 11 is used by the disk data controller (DDC) to identify the physical sector number. It contains R-W and speed field 16, VCO synch field 17 and identification/error handling (ID/EH) field 18, which contains the identification information including the logical sector number.

A typical FBA dedicated servo sector format according to the prior art is illustrated in Fig. 1B. The data disk sector shown is divided into two regions: ID and recovery region 11' and data region 12'. ID and recovery region 11' contains substantially the same information as servo and recovery region 10 and ID region 11 of Fig. 1A, with the exception that servo position field 15 and R-W and speed field 16 are removed. In a dedicated servo scheme, servo position field 15 is not needed because the position information is contained on a separate surface; R-W and speed field 16 is not needed because address mark field 14' can be written whenever the succeeding VCO synch field 17' and ID/EH field 18' are written. Data region 12' contains substantially the same information as data region 12 of Fig. 1A.

ID regions 11 and ID and recovery region 11' of the prior art FBA sector formats perform two important functions. First, they uniquely identify the logical sector number of the sector in which they are located. Second, they indicate whether the sector is good or bad (that is, whether the sector can be successfully written to and read from). Unfortunately, in both the dedicated servo and sector servo scheme, the

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ID region requires a great deal of disk space to perform its functions. Since the disk uses the information in the ID region to perform a logical-to-physical sector conversion in order to locate the physical position on the disk corresponding to the requested logical sector, the ID region must be large enough to avoid misidentifying sectors. Furthermore, since a phase synchronous clock is typically used to read the ID/EH field, the VCO field is required; this field is typically much larger than the ID/EH field. Finally, in the sector servo scheme, due to the region transition an R-W and speed field is required to switch the data channel from READ to WRITE and accommodate spindle speed variations.

In sum, due to overhead and accuracy requirements, the ID region of the prior art FBA sector accounts for a substantial portion of the overall sector length (typically about 4%), a portion that could otherwise be used for data storage. However, the importance of its two functions has hampered efforts to eliminate it from the FBA sector.

One approach that has been used to eliminate the ID region is to encode the ID information into the data field. This eliminates the overhead associated with synching (fields 16 and 17), and allows the ECC to provide error checking for the ID information. However, it does not eliminate or reduce the actual ID information as contained in ID/EH field 18. Also, it adds a minimum of one sector time to the latency during WRITE, since the disk file controller cannot determine the good/bad sector relationship until it has read at least one sector. Further, this scheme is not well suited to compact and low-cost disk files, where the error correction time is typically quite long, since it means a revolution may be lost in the case of a sector where any bit is bad in the entire field containing the combined ID and data. Thus, while the approach of combining the ID and data regions reduces the disk space required for ID information, this approach introduces performance penalties that restrict its usefulness.

It is therefore an object of the present invention to overcome the above drawbacks of the prior art.

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SUMMARY OF THE INVENTION

According to one aspect of the present invention there is disclosed in a fixed block architecture embedded servo disk drive having a data recording disk with radially spaced tracks and circumferentially spaced physical sectors that include servo regions and data regions but no identification regions for identifying the data regions in the physical sectors and no identifiers for bad or spare physical sectors, and a head capable of reading information from the data recording disk, a method for locating a physical sector so that data may be read or written in the data region of the located physical sector, comprising the steps of:

- providing on the disk a start of track indicating mark and in the servo regions a start-of-servo-region indicating mark;

- receiving a requested logical sector location identifier;

- referencing the requested logical sector location identifier into a sector mapping table having entries which map out bad physical sectors or spare physical sectors from the data recording disk;

- identifying an entry in the sector mapping table corresponding to the logical sector location identifier;

- computing, based on the identified entry and the logical sector location identifier, a physical sector location identifier;

- moving the head to the track containing the physical sector corresponding to the computed physical sector location identifier;

- reading the start of track indicating mark;

- counting the start-of-servo-region indicating marks in successive servo regions to locate the physical sector corresponding to the computed physical sector location identifier, whereby data may be read or written in the data region of the located physical sector without use of identification regions on the disk for identifying the data regions in the physical sectors.



According to another aspect of the present invention there is disclosed in a fixed block architecture embedded servo disk drive having (a) a data recording disk with radially spaced tracks and circumferentially spaced physical sectors that have servo regions and data regions but no identification regions for identifying the data regions in the physical sectors and no identifiers for bad or spare physical sectors, (b) a magnetoresistive read head for reading information from the servo regions and the data regions, and (c) a write head not radially aligned with the magnetoresistive read head for writing data in the data regions, a method for locating a physical sector so that data may be written in the data region of the located physical sector, comprising the steps of:

providing on the disk a start of track indicating mark and in the servo regions of the physical sectors a start-of-servo-region indicating mark;

receiving a requested logical sector location identifier;

referencing the requested logical sector location identifier into a sector mapping table having entries which map out bad or spare sectors from the data recording disk;

identifying an entry in the sector mapping table corresponding to the logical sector location identifier;

computing, based on the identified entry and the logical sector location identifier, a physical sector location identifier;

moving the magnetoresistive read head to the track containing the physical sector corresponding to the computed physical sector location identifier;

reading the start of track indicating mark with the magnetoresistive read head;

counting the start-of-servo-region indicating marks in successive servo regions with the magnetoresistive read head to locate the physical sector corresponding to the computed physical sector location identifier; and

thereafter, without radially jogging the write head, writing data in the data region of the located physical sector with the write head; whereby the physical sector is located and data is written in its data region without reading identification regions on the disk for identifying the data regions in the physical sectors and without reading identifiers for bad or



The foregoing and other object, features and advantages of the invention will be apparent from the following more particular description of the preferred embodiment of the invention, as illustrated in the accompanying drawings.

Brief Description of the Drawings

Fig. 1A is a schematic diagram illustrating a fixed block architecture sector servo sector format according to the prior art.

Fig. 1B is a schematic diagram illustrating a fixed block architecture dedicated servo sector format according to the prior art.

Fig. 2A is a schematic diagram illustrating a fixed block architecture sector servo sector format according to the present invention.

Fig. 2B is a schematic diagram illustrating a fixed block architecture dedicated servo sector format according to the present invention.

Fig. 3 is a table illustrating a bad sector list, a logical-to-physical mapping, and a look-up table according to the present invention.

Fig. 4 is a C language procedure illustrating an algorithm for creating a look-up table according to the present invention.

Fig. 5 is a flowchart illustrating an algorithm for performing logical-to-physical sector conversion according to the present invention.

Detailed Description of the Invention

IA. NO-ID FBA SECTOR SERVO SECTOR FORMAT

Fig. 2A illustrates in schematic diagram form an FBA sector servo sector according to the present invention. Servo and recovery region 30 includes W-R and speed field 33, AM field 34 and position field 35. Position field 35 itself includes track number subfield 36, EH subfield



37 and PES subfield 38. Data region 32 includes R-W and speed field 39, VCO synch field 40 and data field 41.

In operation, fields 33, 34, 39, 40 and 41 provide substantially the same functions as fields 13, 14, 19, 20 and 21 shown in prior art Fig. 1A and described previously. Fields 16, 17 and 18 from prior art Fig. 1A are eliminated.

Position field 35 is expanded over position field 15 of the prior art, as shown in the lower portion of Fig. 2A. According to the preferred embodiment of the present invention, the full track number corresponding to the track on which the sector is located is recorded in track number subfield 36. EH subfield 37 is provided as an adjunct to the full track number to ensure that the track number has been read correctly. Finally, the actual position-error-signal is encoded in PES subfield 38. This subfield contains substantially the same information as position field 15 of prior art Fig. 1A.

It is to be noted that both the full track number and the EH information may be recorded according to any of a variety of techniques well known to those skilled in the art of data recording disk sector formats. For instance, a gray code may be used to record the full track number because it allows the full track number to be accurately read when the disk head is off-track. The EH information may implement any of a variety of schemes well known to those skilled in the art, including error checking and correction (ECC) codes and cyclic redundancy check (CRC) codes. According to the preferred embodiment of the present invention, EH subfield 37 is implemented using CRC, an error-check-only code. CRC is chosen because it is easy to compute and because it requires a small number of bits (roughly 16). Since CRC bits will not form a gray code, EH subfield 27 is implemented in a code that is accurately readable only when the head is on track. This implementation has no adverse impact on the present invention, since an absolutely accurate reading of the track number subfield is required only when the head is already on-track.

The no-id sector format of the present invention provides several space saving advantages over the prior art. The track number need not

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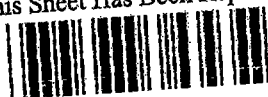


be redundantly encoded in an ID region but instead is communicated to the DDC by the servo system at runtime. Track number subfield 36 and EH subfield 37 are substantially shorter than ID region 11 shown in prior art Fig. 1A, since the FBA format of the present invention is able to use CRC rather than other forms of ECC and since the maximum track number is one or two orders of magnitude smaller than the maximum sector number encoded in prior art ID/EH field 18. Since fewer bits are required to represent the track number, this quantity may be recorded at lower density, so that track number subfield 36 and EH subfield 37 can be read without a phase synchronous clock; therefore VCO synch field 17 is not required. Finally, since no separate ID region is set up, R-W and speed field 16 is eliminated.

As discussed above, the preferred embodiment of the present invention contemplates the use of a full track number in track number subfield 36 in order to provide absolute identification of the track number for READ and WRITE operations. However, it is to be understood that the track number may be encoded according to a variety of alternative schemes. For instance, PES subfield 38 may itself assume the form of a pattern which is unique over a range of tracks, and may be used to construct the LSBs of the track number. Thus, if the PES pattern repeats every four tracks, it may be used to provide the two LSBs of the track number. Or the MSBs of the track number may be omitted altogether, and the servo electronics depended on to determine which half (or quarter, or eighth) of the disk the head is positioned over.

The application of the present invention to sector servo disk files has been described with reference to the sector servo sector format shown in Fig. 2A. However, it should be noted that the present invention may be applied to any sector servo format in which the track number may be encoded in the servo and recovery region. For example, instead of a fixed spacial relationship between the servo regions and data regions, a sector servo format may provide servo information at other than fixed intervals with respect to data, such as within the data region itself. Such a scheme is disclosed in US Patent application

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serial number 07/466,195, filed January 1, 1990. In this case, the fields in Fig. 2A may be repositioned such that servo and recovery region 30 is split into separate recovery and servo regions with the recovery region containing fields 33 and 34 and the servo region containing field 35. The sector number and track number may then be determined in the same manner as with the sector format shown in Fig. 2A.

IB. NO-ID FBA DEDICATED SERVO SECTOR FORMAT

Fig. 2B illustrates in schematic diagram form an FBA data disk dedicated servo sector according to the present invention. Track ID and recovery region 30' is produced by combining aspects of prior art ID and recovery region 11' with the full track number. However, it contains no position error information analogous to that found in PES subfield 38. Track ID and recovery region 30' includes W-R and speed field 33', AM field 34', and track field 35'. Track field 35' itself includes track number subfield 36' and EH subfield 37'. Data region 32' assumes the same form as data region 32 in the sector servo format.

In operation, fields 33', 34', 39, 40', and 41' provide substantially the same functions as fields 13', 14', 19', 20', and 21' shown in prior art Fig. 1B and described previously. Fields 17' and 18' from prior art Fig 1B are eliminated. Track number subfield 36' and EH subfield 37' are identical to fields 36 and 37 in Fig. 2A. The full track number is therefore provided in the same manner as for the sector servo format, including the alternative encodement schemes discussed in section IA. As with the sector servo format, the track number field must be accurately readable only when the head is on-track, since it is used only during read and write operations.

While Fig. 2B and the above description have focused on a dedicated servo data disk having the sector format of the present invention, it is to be noted that this sector format may also be applied to the dedicated servo disk itself. That is, track field 35' may be encoded on the dedicated servo disk. This configuration is not the



preferred embodiment, as it requires more rigorous constraints on the system mechanics. However, it has the advantage of lower overhead since field 35' is not placed on the data surface, and thus may provide an attractive alternative that is within the scope and spirit of the present invention.

Dedicated servo systems record the head positioning information on a single magnetic recording surface, separate from the data surface(s). However, it is to be understood that there are many other types of servo systems, which for the purposes of the present invention function similarly to the dedicated servo system. These include systems such as: optical recording continuous groove systems, where the tracking information is provided by grooves in the substrate; buried servos, whether magnetically, capacitively, or otherwise encoded; optical tracking servos, where the servo information is optically encoded on a data or servo surface; and baseplate reference servo systems, where the positioning information is provided by some external reference such as an optical encoder or a stepping motor. Finally, it is worthy of note that the present invention may be readily applied to systems combining aspects of sector servo and dedicated servo.

II. LOGICAL-TO-PHYSICAL SECTOR CONVERSION

According to the prior art, physical sectors are accessed by counting actual sectors. Physical sector 0 refers to sector 0, head 0, track 0. The number increases by counting sectors around a track, then switching heads, then tracks.

According to the present invention, physical sectors are accessed by counting start-of-sector indicating marks after a start-of-track indicating mark. A start of track indicating mark is encoded in a preselected track to provide a reference point from which to count sectors. A start-of-sector indicating mark is encoded in each sector. In operation, a requested physical sector is accessed by detecting the start-of-track indicating mark and then counting start-of-sector indicating marks until the appropriate sector is reached.



In the preferred embodiment of the present invention, the start of track indicating mark takes the form of a unique bit called an index mark, recorded in a preselected track number subfield 36. AM field 34 of each sector is used as the start-of-sector indicating mark. The servo system then counts AM fields after an index mark to locate a requested sector. No additional overhead is incurred, since the servo system must locate every AM field in order to read the PES subfield. Also, the scheme requires only a small counter, since the number of sectors per track is typically less than 64, and since the sector count is reset each time an index mark is detected.

There are a number of variations on the embodiment that serve to improve error tolerance where AM field 34 is missed due to a disk defect. First, the physical sector number may be encoded into track number field 36. Second, an open loop timer may be used to provide a timeout error if an address mark is not detected within a given time interval. Third, position fields may be counted in addition to AM fields.

III. LOOK-UP TABLE

Prior art disk file implementations handle bad sectors via the sector ID region. According to the present invention in which the ID region has been eliminated, bad sectors are identified and mapped out of the data recording disk file during logical-to-physical sector conversion using a look-up table. The table is created at format time, and consists of entries describing clusters of bad sectors (where a cluster is defined as a sequential group of physical bad sectors) by starting location and quantity. In operation, a requested logical sector location identifier is referenced into the table, and a corresponding entry is found describing an offset to the physical sector location identifier.

More specifically, at format time, the data recording disk file generates a list of physical sector location identifiers for the bad sectors in the disk file. This list may be generated using any of the

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various techniques known in the art for locating bad sectors. The list is held in RAM and is used to construct the look-up table. Fig. 3 illustrates in tabular form an example of a bad sector list, a logical-to-physical mapping and a look-up table according to the preferred embodiment of the present invention. The bad sector list indicates that physical sectors 3, 5, 6, 9, 10, 11 and 13 were found to be bad at format time. The logical-to-physical mapping shows the desired mapping to be achieved by the look-up table. Logical sector 1 will be found at physical sector 1; logical sector 2 will map to physical sector 2; logical sector 3 will map to physical sector 4 since physical sector 3 is defective, etc.

The look-up table shows the result of compressing the bad sector list in accordance with the present invention. Starting at logical sector 3, there is a cluster of 1 bad sector; thus, sectors 3 and beyond must be offset by 1 to map into the correct physical sector. Starting at logical sector 4, there is a cluster of 2 bad sectors; thus, sectors 4 and beyond must be offset by a total of 3 to map into the correct physical sector. Starting at logical sector 6, there is a cluster of 3 bad sectors; thus, sectors 6 and beyond must be offset by a total of 6 to map into the correct physical sector. Finally, starting at logical sector 7, there is a cluster of 1 bad sector; thus, sectors 7 and beyond must be offset by a total of 7 to map into the correct physical sector.

It is to be noted that the look-up table may be stored either on the boot track of the disk or in some non-volatile semiconductor memory in the data recording disk file, such as EEPROM, FRAM, or PROM. The first column of the table must be wide enough to hold the largest physical sector number (24 bits is sufficient in most cases). The second column must be wide enough and the table long enough to hold the maximum number of bad sector clusters likely to be encountered (16 bits is sufficient in most cases).

Fig. 4 illustrates in algorithmic form a procedure for creating a look-up table according to the preferred embodiment of the present invention. The look-up table generation algorithm (shown in C programming language) processes the bad sector list as follows. First,

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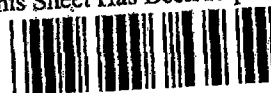
the list of bad sectors is converted into the compact table representation. Then the first "while" loop computes the offset for the first block by determining its size (i.e. the number of contiguous bad sectors). Next, the second "while" loop performs the same operation for all the remaining blocks, determining each offset by adding the number of sectors in the block to the total number of bad sectors prior to the block. Finally, the look-up table is adjusted to contain an odd number of entries, for ease of access by a binary search look-up routine. Of course, many other search types are possible, the use of which would obviate this final step. Also, it is worthy of note that the look-up table generation algorithm may be executed against the entire bad sector list, or, where storage or processing constraints arise, may be executed in a piecewise fashion, so that each block of bad sectors is processed as it is located.

Fig. 5 illustrates in flowchart form an algorithm for performing logical-to-physical sector conversion according to the preferred embodiment of the present invention. A READ or WRITE request, including a logical sector location identifier, is generated within the disk file. A look-up table containing bad sector information and indices as described above is accessed and searched for the largest logical sector entry less than or equal to the requested logical sector. In the event no entry is found, the physical sector location identifier is equal to the logical sector location identifier, and the requested logical sector is accessed by computing head, track and sector number directly from the logical sector location identifier according to any of a variety of techniques well known to those skilled in the art.

If an entry is found in the look-up table, the corresponding offset is extracted from the look-up table and added to the logical sector location identifier of the requested logical sector. This sum, representing the physical sector location identifier, is used to compute the head, track and sector number corresponding to the requested logical sector.

In some implementations (e.g. SCSI), it may be desirable to allow for the relocation of bad sectors without resorting to a complete device

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format operation. This feature is implemented in conjunction with the present invention by allocating a set of sectors as spares prior to an initial format operation. The spares are treated as though they are bad sectors for purposes of constructing the logical-to-physical mapping table. The spare sectors are then distinguished from bad sectors, for instance by means of a flag in the mapping table or a separate list. Relocation of a bad sector is accomplished by logically substituting one of the spare sectors in its place, shifting all the intervening sectors by one logical sector location, updating the spare sector list to reflect the use of the spare sector, and re-constructing the logical-to-physical mapping table to reflect all the above changes.

A look-up table constructed according to the preferred embodiment of the present invention as described above has the advantageous effect of placing most of the computational overhead into building the table during the format operation, leaving a simple computation to support logical-to-physical mappings during seek operations. It is to be noted that the preferred embodiment look-up table approach is maximally effective when the total number of bad sectors in the data recording disk file is small, since this keeps the overall table size small and thus keeps the worst-case binary search of the table short. For example, a disk file having 240K sectors and .1% bad sectors would require a table having less than 1000 entries. The look-up table, in cooperation with the above-described algorithm, provides a scheme that efficiently catalogues bad sectors and enables rapid calculation of the correct physical sector corresponding to a requested logical sector.

Furthermore, the No-ID scheme of the present invention is particularly well suited for use with disk files having different heads for reading and writing, such as magneto-resistive read heads, where the heads must be moved to slightly different radial positions for reading and writing (a practice known as micro-jog). With prior art fixed block architectures, writing a data field requires prior reading of the ID field. However, it is not possible to complete a micro-jog between the two fields, so a revolution is lost in performing the micro-jog. The



No-ID format of the present invention eliminates this problem since it has no ID field to be read.

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CLAIMS:

1. In a fixed block architecture embedded servo disk drive having a data recording disk with radially spaced tracks and circumferentially spaced physical sectors that include servo regions and data regions but no identification regions for identifying the data regions in the physical sectors and no identifiers for bad or spare physical sectors, and a head capable of reading information from the data recording disk, a method for locating a physical sector so that data may be read or written in the data region of the located physical sector, comprising the steps of:

providing on the disk a start of track indicating mark and in the servo regions a start-of-servo-region indicating mark;

receiving a requested logical sector location identifier;

referencing the requested logical sector location identifier into a sector mapping table having entries which map out bad physical sectors or spare physical sectors from the data recording disk;

identifying an entry in the sector mapping table corresponding to the logical sector location identifier;

computing, based on the identified entry and the logical sector location identifier, a physical sector location identifier;

moving the head to the track containing the physical sector corresponding to the computed physical sector location identifier;

reading the start of track indicating mark;

counting the start-of-servo-region indicating marks in successive servo regions to locate the physical sector corresponding to the computed physical sector location identifier, whereby data may be read or written in the data region of the located physical sector without use of identification regions-on the disk for identifying the data regions in the physical sectors.

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2. A method as recited in claim 1, wherein the identified entry includes a first field which identifies a first sector of a group of mapped logical sectors and a second field which identifies an offset representing the physical sector mapping for the mapped logical sectors.

3. A method as recited in claim 2, wherein the computing step includes adding a value in the offset field to the logical sector location identifier.

4. A method as recited in claim 2, wherein the physical sector corresponding to the first sector identified in the first field is a first bad sector in a group of contiguous bad sectors, and wherein the offset identified in the second field represents the number of contiguous bad sectors in the group.

5. In a fixed block architecture embedded servo disk drive having (a) a data recording disk with radially spaced tracks and circumferentially spaced physical sectors that have servo regions and data regions but no identification regions for identifying the data regions in the physical sectors and no identifiers for bad or spare physical sectors, (b) a magnetoresistive read head for reading information from the servo regions and the data regions, and (c) a write head not radially aligned with the magnetoresistive read head for writing data in the data regions, a method for locating a physical sector so that data may be written in the data region of the located physical sector, comprising the steps of:

providing on the disk a start of track indicating mark and in the servo regions of the physical sectors a start-of-servo-region indicating mark;

receiving a requested logical sector location identifier;

referencing the requested logical sector location identifier into a sector mapping table having entries which map out bad or spare sectors from the data recording disk;

identifying an entry in the sector mapping table corresponding to the logical sector location identifier;

computing, based on the identified entry and the logical sector location identifier, a physical sector location identifier;

moving the magnetoresistive read head to the track containing the physical sector corresponding to the computed physical sector location identifier;

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reading the start of track indicating mark with the magnetoresistive read head;

counting the start-of-servo-region indicating marks in successive servo regions with the magnetoresistive read head to locate the physical sector corresponding to the computed physical sector location identifier; and

thereafter, without radially jogging the write head, writing data in the data region of the located physical sector with the write head; whereby the physical sector is located and data is written in its data region without reading identification regions on the disk for identifying the data regions in the physical sectors and without reading identifiers for bad or spare physical sectors.

6. A method as recited in claim 5 wherein the step of reading the start of track indicating mark comprises reading an index mark in one of the servo regions.



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