

DESCRIPTION
FRACTIONATED NOVOLAK RESIN AND PHOTORESIST
COMPOSITION THEREFROM

5 BACKGROUND OF THE INVENTION

 The present invention relates to a process for producing a fractionated film forming novolak resin having consistent molecular weight (no substantial depolymerization) and high lithographic performance in light sensitive compositions, and for using such a novolak resin in light-sensitive compositions.

10 The present invention also relates to a process for making a superior quality light-sensitive composition useful as a positive-working photoresist. Further, the present invention relates to a process for coating substrates with these light-sensitive compositions, as well as the process of coating, imaging and developing these light-sensitive mixtures on such substrates.

15 Photoresist compositions are used in microlithography processes for making miniaturized electronic components, such as in the fabrication of computer chips and integrated circuits. Generally, in these processes, a thin coating of a film of a photoresist composition is first applied to a substrate material, such as silicon wafers used for making integrated circuits. The coated substrate is then baked to

20 evaporate any solvent in the photoresist composition and to fix the coating onto the substrate. The baked coated surface of the substrate is next subjected to an image-wise exposure to radiation.

 This radiation exposure causes a chemical transformation in the exposed areas of the coated surface. Visible light, ultraviolet (UV) light, electron beam and

25 X-ray radiant energy are radiation types commonly used today in microlithographic processes. After this image-wise exposure, the coated substrate is treated with a developer solution to dissolve and remove either the radiation-exposed or the unexposed areas of the coated surface of the substrate.

 Novolak resins are frequently used a polymeric binder in liquid photoresist

30 formulations. These resins are typically produced by conducting a condensation reaction between formaldehyde and one or more multi-substituted phenols, in the

presence of an acid catalyst, such as oxalic acid, maleic acid, or maleic anhydride.

In producing sophisticated semiconductor devices, it has become increasingly important to provide a film forming novolak resin of superior quality in terms of dissolution rate, better binding properties with a diazonaphthoquinone, and heat resistance.

There are two types of photoresist compositions, negative-working and positive-working. When negative-working photoresist compositions are exposed image-wise to radiation, the areas of the resist composition exposed to the radiation become less soluble to a developer solution (e.g. a cross-linking reaction occurs) while the unexposed areas of the photoresist coating remain relatively soluble to such a solution. Thus, treatment of an exposed negative-working resist with a developer causes removal of the non-exposed areas of the photoresist coating and the creation of a negative image in the coating. Thereby uncovering a desired portion of the underlying substrate surface on which the photoresist composition was deposited.

On the other hand, when positive-working photoresist compositions are exposed image-wise to radiation, those areas of the photoresist composition exposed to the radiation become more soluble to the developer solution (e.g. a rearrangement reaction occurs) while those areas not exposed remain relatively insoluble to the developer solution. Thus, treatment of an exposed positive-working photoresist with the developer causes removal of the exposed areas of the coating and the creation of a positive image in the photoresist coating. Again, a desired portion of the underlying substrate surface is uncovered.

After this development operation, the now partially unprotected substrate may be treated with a substrate-etchant solution or plasma gases and the like. The etchant solution or plasma gases etch that portion of the substrate where the photoresist coating was removed during development. The areas of the substrate where the photoresist coating still remains are protected and, thus, an etched pattern is created in the substrate material which corresponds to the photomask used for the image-wise exposure of the radiation. Later, the remaining areas of the photoresist coating may be removed during a stripping operation, leaving a

clean etched substrate surface. In some instances, it is desirable to heat treat the remaining photoresist layer, after the development step and before the etching step, to increase its adhesion to the underlying substrate and its resistance to etching solutions.

5 Positive working photoresist compositions are currently favored over negative working resists because the former generally have better resolution capabilities and pattern transfer characteristics. Photoresist resolution is defined as the smallest feature which the resist composition can transfer from the photomask to the substrate with a high degree of image edge acuity after exposure and
10 development. In many manufacturing applications today, resist resolution on the order of less than one micron are necessary. In addition, it is almost always desirable that the developed photoresist wall profiles be near vertical relative to the substrate. Such demarcations between developed and undeveloped areas of the resist coating translate into accurate pattern transfer of the mask image onto the
15 substrate.

DESCRIPTION OF THE PRIOR ART

 In the recent years there has been significant progress in novolak resin synthesis and fractionation. It has been reported that under vigorous synthetic
20 conditions the structure of novolak resin changes, especially when high concentration of acid catalyst and high temperature is used, Rahman et al, "Rearrangement of Novolak Resin", presented at SPIE conference, 1994; Khadim et al "The Nature and Degree of Substitution Patterns in Novolaks by Carbon-13 NMR Spectroscopy", presented at SPIE conference, 1993. In a typical novolak
25 reaction, a reactor is charged with phenolic compounds, an acid catalyst such as oxalic acid, maleic acid, p-toluene sulphonic acid or any mineral acid, and heated to about 95 to 100°C. Formaldehyde is slowly added and the mixture is heated at reflux for about 6 hours. At the end of the condensation period, the reactor is converted to distillation, and the temperature is raised to about 200°C. At this
30 point vacuum is slowly drawn, the temperature is raised to about 220°C, and the pressure is reduced to below about 20 mm Hg. After the volatiles have been

distilled off, the vacuum is released and the molten resin collected and allowed to cool. During the course of the above resin synthesis sequence, samples were taken at various temperatures and inspected by GPC (Gel Permeation Chromatography). It was found that there was a decrease of the weight average molecular weight (all
5 molecular weights are weight average unless otherwise specified) of the polymer, especially in the temperature range between about 160-190°C (Rahman et al, "The Effect of Lewis Bases on the Molecular Weight of Novolak Resins", presented at Ellenville Conference, 1994). The molecular weight decrease (partial depolymerization) was not observed unless the phenolic compounds are extremely
10 pure. If the phenolic compounds contain a trace amount of a Lewis Base, such as a nitrogen base, the molecular weight decrease during the distillation process was not observed. In U.S. Patent No. 5,476,750, assigned to the same assignee as the subject application and incorporated herein by reference, an improved process is disclosed to control molecular weight (avoid substantial depolymerization) by
15 adjusting the amount of Lewis Base in the phenolic compounds before or after the condensation reaction. It was disclosed that during the purification process of such phenolic compounds using an ion exchange resin, distillation, and/or a solvent extraction process, to remove metal ions, the minor amount of Lewis Base present was also removed. Due to the absence of this base, the novolak resin was partially
20 depolymerized during the manufacturing process. The physical properties of the depolymerized resin changed due to degradation, and it was not useful for photoresist compositions. This problem can be substantially avoided by adjusting the level of Lewis Base before or after the condensation step of the novolak resin manufacturing process.

25 In copending U.S. patent application Serial No. 366,634, filed on December 30, 1994, assigned to the same assignee as the subject application and incorporated herein by reference, an improved process is disclosed for isolating a novolak resin at a temperature less than about 140°C by using subsurface forced steam distillation to avoid high temperature molecular weight breakdown of the
30 resin. (Rahman et al, "Isolation of Novolak Resin at Low Temperature", presented at SPIE Conference, 1996). It is known that a film forming novolak resin can be

made by the condensation reaction of a mixture of phenolic monomers with an aldehyde source. Such novolak resin synthesis processes are disclosed in U.S. Patent No. 5,346,799, incorporated herein by reference.

5 SUMMARY OF THE INVENTION

The present novolak resin synthesis process isolates novolak resin fractions from the phenol formaldehyde condensation product without multiple high temperature distillations, which can lead to partial depolymerization of the novolak resin condensation polymer. This can be accomplished by dissolving the novolak
10 resin in a water soluble organic polar solvent, such as acetone, dimethylformamide (DMF), dimethylsulfoxide, tetrahydrofuran (THF), ethylene glycol or a C₁ - C₃ alkyl alcohol, such as ethanol, propanol or, preferably, methanol. Water, preferably deionized (DI) water, is also added, preferably at a ratio of water soluble organic polar solvent:water of from 1:10 to 10:1; thereby precipitating the novolak resin
15 condensation product. The remaining solution is then separated from the precipitate, such as by suction or by siphoning off the remaining solution which contains unreacted phenols, low molecular weight novolak resin, and useless monomers and oligomers. To the novolak resin precipitate are added, preferably with stirring, additional water soluble organic polar solvent and water, preferably
20 DI water, preferably at a water soluble organic polar solvent:water ratio of 1:10 to 10:1. The novolak resin again precipitates and the remaining low molecular weight resin, phenols, and oligomers and monomers stay in the remaining solution. The remaining solution is separated, such as by suction or by siphoning off the remaining solution. The high molecular weight novolak resin precipitate is then
25 dissolved in a photoresist solvent, and any remaining water and organic polar solvent are removed, such as by distillation at low temperature under vacuum.

The photoresist solvent may comprise propylene glycol methyl ether acetate, 3-methoxy-3-methyl butanol, ethylene glycol monomethyl ether, ethylene glycol monoethyl ether, diethylene glycol monoethyl ether, ethylene glycol
30 monoethyl ether acetate, ethylene glycol monomethyl acetate, methyl ethyl ketone or a monooxymonocarboxylic acid ester, such as methyl oxyacetate, ethyl

oxyacetate, butyl oxyacetate, methyl methoxyacetate, ethyl methoxyacetate, butyl methoxyacetate, methyl ethoxyacetate, ethyl ethoxyacetate, ethoxy ethyl propionate, methyl 3-oxypropionate, ethyl 3-oxypropionate, methyl 3-methoxypropionate, ethyl 3-methoxypropionate, methyl 2-oxypropionate, ethyl 2-oxypropionate, ethyl 2-hydroxypropionate (ethyl lactate), ethyl 3-hydroxypropionate, propyl 2-oxypropionate, methyl 2-ethoxypropionate, or propyl 2-methoxy propionate, or mixtures of one or more of these solvents.

The present invention relates to a process for performing a fractionation of a novolak resin condensation product to provide a film forming novolak resin having high lithographic performance in light-sensitive compositions. The invention also relates to a process for producing a photoresist composition containing such a novolak resin. The invention further relates to a process for producing semiconductor devices using such photoresists containing such a novolak resin, photoresist solvent(s), and a photosensitizer.

Film forming novolak resins having a consistent molecular weight (no substantial depolymerization) and high lithographic performance may be obtained by condensing formaldehyde having a very low level of metal ions with one or more phenolic compounds, such as m-cresol, p-cresol, 2,4- and 2,5-dimethylphenol, 3,5-dimethylphenol, or 2,3,5-trimethylphenol, having a very low level of metal ions. The condensation reaction is preferably carried out in the presence of an acid catalyst, such as oxalic acid, maleic acid, maleic anhydride, p-toluene sulphonic acid or sulfuric acid.

In the process of the present invention a novolak resin having a very consistent molecular weight with superior lithographic performance in photoresist compositions is obtained. The present invention provides a process for producing a film forming novolak resin, which process comprises:

- a) condensing (reacting) formaldehyde with one or more phenolic compounds, in the presence of an acid catalyst and thereby producing a novolak resin polymeric condensation product;
- b) adding a water soluble organic polar solvent and water, preferably DI water, to the novolak resin reaction mixture, at a ratio of water soluble

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5 organic polar solvent:water of 1:10 to 10:1, preferably 2:8 to 8:2, more preferably 3:7 to 7:3 and most preferably 4:6 to 6:4, and thereby precipitating the novolak resin polymeric condensation product, and then removing, such as by decanting or by suction, the remaining solution of step b), which comprises a solution of unreacted phenolic compounds, low molecular weight novolak resin, and useless monomer and oligomers;

10 c) adding a water soluble organic polar solvent and water to the remaining solution of step b), in the ratio of water soluble organic polar solvent:water ratio of 1:10 to 10:1, preferably 2:8 to 8:2, more preferably 3:7 to 7:3, and most preferably 4:6 to 6:4, thereby again precipitating the novolak resin polymeric condensation product, and then removing, such as by decanting or by suction, the remaining solution of step c), which comprises a solution of low molecular weight novolak resin, and novolak monomer and oligomers;

15 d) dissolving the novolak resin polymeric condensation product precipitate in a photoresist solvent and thereby forming a solution, then removing any remaining water and water soluble organic polar solvent, such as by distilling the solution of novolak resin polymeric condensation product under vacuum and low temperature, and thereby producing a film forming, fractionated novolak resin solution.

The present invention further provides a process for producing a positive photoresist composition having superior lithographic performance. The subject process comprises:

25 a) condensing formaldehyde with one or more phenolic compounds, in the presence of an acid catalyst and thereby producing a novolak resin condensation product;

30 b) adding a water soluble organic polar solvent and water, preferably DI water, to the novolak resin reaction mixture, at a ratio of water soluble organic polar solvent:water of 1:10 to 10:1, preferably 2:8 to 8:2, more preferably 3:7 to 7:3, and most preferably 4:6 to 6:4, thereby precipitating the novolak resin polymeric condensation product, and then removing, such

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as by decanting or by suction, the remaining solution of b), which comprises a solution of unreacted phenolic compounds, low molecular weight novolak resin, and useless novolak monomer and oligomers;

- 5 c) adding a water soluble organic polar solvent and water to the remaining solution of step b), in the ratio of water soluble organic polar solvent:water of 1:10 to 10:1, preferably 2:8 to 8:2, more preferably 3:7 to 7:3, and most preferably 4:6 to 6:4, and thereby again precipitating the novolak resin polymeric condensation product, and then removing, such as by decanting or by suction, the remaining solution of step c), which comprises a solution of low molecular weight novolak resin, and novolak monomer and oligomers;
- 10 d) dissolving the novolak resin polymeric condensation product precipitate of step c) in a photoresist solvent and thereby forming a solution, then removing any remaining water and water soluble organic polar solvent, such as by distilling the solution of novolak resin polymeric condensation product under vacuum and low temperature, and thereby producing a film forming, fractionated novolak resin solution; and
- 15 e) providing an admixture of: 1) a photosensitive component in an amount sufficient to photosensitize a photoresist composition; 2) the film forming novolak resin solution from step e); and 3) additional photoresist solvent, and thereby forming a photoresist composition.
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The invention further provides a method for producing a semiconductor device by producing a photo-image on a substrate by coating a suitable substrate with a positive working photoresist composition. The subject process comprises:

- 25 a) condensing formaldehyde with one or more phenolic compounds, in the presence of an acid catalyst, and thereby producing a novolak resin polymeric condensation product;
- b) adding a water soluble organic polar solvent and water, preferably DI water, to the novolak resin reaction mixture, at a ratio of water soluble organic polar solvent:water of 1:10 to 10:1, preferably 2:8 to 8:2, more preferably 3:7 to 7:3 and most preferably 4:6 to 6:4, and thereby
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precipitating the novolak resin polymeric condensation product, and then removing, such as by decanting or by suction, the remaining solution of step b), which comprises a solution of unreacted phenolic compounds, low molecular weight novolak resin, and useless monomer and oligomers;

- 5 c) adding a water soluble organic polar solvent and water to the remaining solution of step b), in the ratio of water soluble organic polar solvent:water ratio of 1:10 to 10:1, preferably 2:8 to 8:2, more preferably 3:7 to 7:3, and most preferably 4:6 to 6:4, and thereby again precipitating the novolak resin polymeric condensation product, and then removing, such as by decanting
10 or by suction, the remaining solution of step c), which comprises a solution of low molecular weight novolak resin, and novolak monomer and oligomers;
- d) dissolving the novolak resin polymeric condensation product precipitate of step c) in a photoresist solvent, then removing any remaining water and
15 water soluble organic polar solvent, such as by distilling the solution of the novolak resin polymeric condensation product under vacuum and low temperature, and thereby producing a film forming, fractionated novolak resin solution;
- e) providing an admixture of: 1) a photosensitive component in an amount
20 sufficient to photosensitize a photoresist composition; 2) the film forming novolak resin from step d); and 3) additional photoresist solvent, and thereby forming a photoresist composition;
- f) coating a suitable substrate with the photoresist composition of step e);
- g) heat treating the coated substrate of step f) until substantially all of the
25 photoresist solvent is removed; image-wise exposing the photosensitive composition and removing the image-wise exposed areas of such composition with a suitable developer, such as an aqueous alkaline developer. Optionally one may also perform a baking of the substrate either immediately before or after the removing step.
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DESCRIPTION OF THE PREFERRED EMBODIMENTS

Novolak resins have been commonly used in the art of photoresist manufacture as exemplified by "Chemistry and Application of Phenolic Resins", Knop A. And Scheib, W.; Springer Verlag, New York, 1979 in Chapter 4. Similarly, o-quinone diazides are well known to the skilled artisan as demonstrated by "Light Sensitive Systems", Kosar, J.; John Wiley & Sons, New York, 1965 Chapter 7.4. However, the instant invention has found that the use of particular resins isolated without high temperature distillation, as opposed to those taught in the prior art, produces a photoresist having a superior resolution and depth of focus.

Optional ingredients for the photoresist compositions of the present invention include colorants, dyes, anti-striation agents, leveling agents, plasticizers, adhesion promoters, speed enhancers, solvents and such surfactants as non-ionic surfactants, which may be added to the solution of novolak resin, sensitizer and solvent before the photoresist composition is coated onto a substrate. Examples of dye additives that may be used together with the photoresist compositions of the present invention include Methyl Violet 2B (C.I. No. 42535), Crystal Violet (C.I. 42555), Malachite Green (C.I. No. 42000), Victoria Blue B (C.I. No. 44045) and Neutral Red (C.I. No. 50040) at one to ten percent weight levels, based on the combined weight of novolak and sensitizer. The dye additives help provide increased resolution by inhibiting back scattering of light off the substrate.

Anti-striation agents may be used at up to about a five percent weight level, based on the combined weight of novolak and sensitizer. Plasticizers which may be used include, for example, phosphoric acid tri-(beta-chloroethyl)-ester; stearic acid; dicamphor; polypropylene; acetal resins; phenoxy resins; and alkyl resins, at about one to ten percent weight levels, based on the combined weight of novolak and sensitizer. The plasticizer additives improve the coating properties of the material and enable the application of a film that is smooth and of uniform thickness to the substrate.

Adhesion promoters which may be used include, for example, beta-(3,4-epoxy-cyclohexyl)-ethyltrimethoxysilane; p-methyl-disilane-methyl methacrylate;

vinyl trichlorosilane; and gamma-amino-propyl triethoxysilane, up to about a 4 percent weight level, based on the combined weight of novolak and sensitizer. Development speed enhancers that may be used include, for example, picric acid, nicotinic acid or nitrocinnamic acid up to about a 20 percent weight level, based on
5 the combined weight of novolak and sensitizer. These enhancers tend to increase the solubility of the photoresist coating in both the exposed and unexposed areas, and thus they are used in applications when speed of development is the overriding consideration even though some degree of contrast may be sacrificed; i.e., while the exposed areas of the photoresist coating will be dissolved more quickly by the
10 developer, the speed enhancers will also cause a larger loss of photoresist coating from the unexposed areas.

The solvents may be present in the overall composition in an amount of up to 95% by weight of the solids in the composition. Solvents, of course are substantially removed after coating of the photoresist solution on a substrate and
15 subsequent drying. Non-ionic surfactants that may be used include, for example, nonylphenoxy poly(ethyleneoxy) ethanol; octylphenoxy ethanol at up to about 10% weight levels, based on the combined weight of novolak and sensitizer.

The prepared photoresist solution, can be applied to a substrate by any conventional method used in the photoresist art, including dipping, spraying,
20 whirling and spin coating. When spin coating, for example, the resist solution can be adjusted with respect to the percentage of solids content, in order to provide coating of the desired thickness, given the type of spinning equipment utilized and the amount of time allowed for the spinning process. Suitable substrates include silicon, aluminum, polymeric resins, silicon dioxide, doped silicon dioxide, silicon
25 nitride, tantalum, copper, polysilicon, ceramics, aluminum/copper mixtures; gallium arsenide and other such Group III/V compounds.

The photoresist coatings produced by the described procedure are particularly suitable for application to thermally grown silicon/silicon dioxide-coated wafers, such as are utilized in the production of microprocessors and other
30 miniaturized integrated circuit components. An aluminum/aluminum oxide wafer can also be used. The substrate may also comprise various polymeric resins,

especially transparent polymers such as polyesters. The substrate may have an adhesion promoted layer of a suitable composition, such as one containing hexa-alkyl disilazane, preferably hexamethyl disilazane (HMDS).

5 The photoresist composition solution is then coated onto the substrate, and the substrate is treated at a temperature from about 70°C to about 110°C for from about 30 seconds to about 180 seconds on a hot plate or for from about 15 to about 90 minutes in a convection oven. This temperature treatment is selected in order to reduce the concentration of residual solvents in the photoresist, while not causing substantial thermal degradation of the photosensitizer. In general, one
10 desires to minimize the concentration of solvents and this first temperature treatment is conducted until substantially all of the solvents have evaporated and a thin coating of photoresist composition, on the order of one micron in thickness, remains on the substrate. In a preferred embodiment the temperature is from about 85°C to about 95°C. The treatment is conducted until the rate of change of
15 solvent removal becomes relatively insignificant. The temperature and time selection depends on the photoresist properties desired by the user, as well as the equipment used and commercially desired coating times. The coated substrate can then be exposed to actinic radiation, e.g., ultraviolet radiation, at a wavelength of from about 300 nm to about 450 nm, x-ray, electron beam, ion beam or laser
20 radiation, in any desired pattern, produced by use of suitable masks, negatives, stencils, templates, etc.

The photoresist is then optionally subjected to a post exposure second baking or heat treatment, either before or after development. The heating temperatures may range from about 90°C to about 120°C, more preferably from
25 about 100°C to about 110°C. The heating may be conducted for from about 30 seconds to about 2 minutes, more preferably from about 60 seconds to about 90 seconds on a hot plate or about 30 to about 45 minutes by convection oven.

The exposed photoresist-coated substrates are developed to remove the image-wise exposed areas by immersion in an alkaline developing solution or
30 developed by a spray development process. The solution is preferably agitated, for example, by nitrogen burst agitation. The substrates are allowed to remain in the

developer until all, or substantially all, of the photoresist coating has dissolved from the exposed areas. Developers may include aqueous solutions of ammonium or alkali metal hydroxides. One preferred hydroxide is tetramethyl ammonium hydroxide. After removal of the coated wafers from the developing solution, one
5 may conduct an optional post-development heat treatment or bake to increase the coating's adhesion and chemical resistance to etching solutions and other substances. The post-development heat treatment can comprise the oven baking of the coating and substrate below the coating's softening point. In industrial applications, particularly in the manufacture of microcircuitry units on
10 silicon/silicon dioxide-type substrates, the developed substrates may be treated with a buffered, hydrofluoric acid base etching solution. The photoresist compositions of the present invention are resistant to acid-base etching solutions and provide effective protection for the unexposed photoresist-coating areas of the substrate.

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The following specific examples will provide detailed illustrations of the methods of producing and utilizing compositions of the present invention. These examples are not intended, however, to limit or restrict the scope of the invention in any way and should not be construed as providing conditions, parameters or
20 values which must be utilized exclusively in order to practice the present invention.

Example 1

300 grams of phenolic compounds consisting of 130.3 grams of m-cresol, 104.25 grams of p-cresol, and 65.5 grams of 2,3,5-trimethyl phenol were
25 transferred to a four necked flask equipped with a condenser, a thermometer, and a dropping funnel. 0.9 gram of oxalic acid was added and the flask was heated to 95°C. 148.4 grams of formaldehyde (molar ratio of phenols/formaldehyde 1/0.69) was added dropwise over one hour. The reaction was allowed to continue for 6 hours at 95°C. The reaction mixture was then distilled, initially at atmospheric
30 pressure and then vacuum was applied to reach a final temperature 200°C and a

pressure of 30 mm Hg. The molten resin was collected in a tray, and 260 grams of solid novolak resin having an RMW of 8.8 was obtained.

200 grams of the solid novolak resin was dissolved in 633 grams of methanol to make 24% (by weight) solution. 231.7 grams of DI water (27.8%, by weight, of the batch) was added with stirring over a period of ten minutes. Stirring was stopped and a white precipitate settled to the bottom of the flask. The liquid layer on top was sucked out and discarded. The white precipitate was redissolved in 316.6 grams of methanol, and 115.8 grams of DI water was added with stirring. Stirring was stopped and a white precipitate settled to the bottom of the flask. The liquid layer on top was removed and discarded, and the white precipitate was dissolved in ethyl lactate. The residual methanol and water were removed by vacuum distillation at 75 °C and 20 mm Hg pressure. GPC molecular weight and PD (polydispersity= MW_w/MW_n , where MW_w is molecular weight based on weight average and MW_n is molecular weight based on number average) were measured and the results are shown in Table 1 below:

Table 1

<u>Fractions</u>	<u>GPC MW</u>	<u>PD</u>
Parent Resin	2992	3.6
First Precipitation	4400	4.0
Second Precipitation	4876	3.7
Low MW Fraction Discarded	955	1.7

Example 2

20 A 50 gram photoresist test sample was prepared according to the following formulation:

NK-280 (a proprietary 2,1,5- diazonaphthoquinone

sulfonyl chloride based sensitizer from Nippon Zeon Co) 2.02 gm

NK-240 (a proprietary 2,1,4-diazonaphthoquinone

25 sulfonyl chloride based sensitizer from Nippon Zeon Co.) 0.84 gm

Novolak Resin fraction from example 1(as solid) 6.80 gm

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	Pyrogallol from Aldrich Co.	0.1630 gm
	BI26X-SA (a proprietary speed enhancer from Nippon Zeon Co.)	1.203 gm
	KP-341, a striation free surfactant	
	from Shinetsue Chem. Co. (2% in Ethyl Lactate)	0.004 gm
5	Ethyl Lactate	33.147 gm
	n-Butyl Acetate	5.849 gm

The photoresist resist sample was coated on an (HMDS) primed silicon wafer to 1.083 μ m film thickness, and a soft bake at 90°C for 60 seconds on an SVG® 8100 I-line hot plate was used. The exposure matrix was printed on the coated wafers using a 0.54 NA NIKON® i-line stepper and a NIKON® resolution reticle. The exposed wafers were PEB (post exposure baked) at 110°C for 70 seconds on a in line hot plate. The wafers were then developed using AZ® 300 MIF TMAH (tetramethyl ammonium hydroxide - 2.38%) developer. The developed wafers were examined using a HITACHI® S-400 SEM (scanning electron microscope). A nominal dose (Dose to Print, "DTP") was measured at the best focus, the dose required to precisely replicate a given feature. Resolution and depth of focus (DOF) were measured and are shown in Table 2 below.

Table 2

<u>Example #</u>	<u>Resin From:</u>	<u>DTP</u>	<u>Resolution</u>	<u>DOF</u>
2	Example 1	225	0.30 μ m	(-1.2/.0) μ m
4	Example 3	170	0.30 μ m	(-1.0/.2) μ m
5	Examples 1 and 3	190	0.30 μ m	(-1.2/0.2) μ m
7	Example 6	170	0.30 μ m	(-1.0/0.2) μ m

Example 3

Example 1 was repeated and 202 gram of solid resin of RMW 8.5 was dissolved in 639.67 grams of methanol to make 24 % solution. The resin was fractionated as in example 1 and the fractions obtained are shown in Table 3 below:

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Table 3

<u>Fractions</u>	<u>GPC MW_w</u>	<u>PD</u>
Parent Resin	2227	2.5
First Precipitation	2750	2.5
Second Precipitation	2983	2.7
Low MW Fraction Discarded	1055	1.8

Example 4

5 A 150 gram photoresist test sample was prepared according to the following formulation:

	NK-280 (a proprietary 2,1,5- diazonaphthoquinone sulfonfyl chloride based sensitizer from Nippon Zeon Co)	26.07 gm
10	NK-240 (a proprietary 2,1,4-diazonaphthoquinone sulfonfyl chloride based sensitizer from Nippon Zeon Co.)	2.51gm
	Novolak Resin fraction from example 3 (as solid)	20.313 gm
	Pyrogallol from Aldrich Co.	0.49 gm
	BI26X-SA (a proprietary speed enhancer from Nippon Zeon Co.)	3.61 gm
	KP-341, a striation free surfactant	
15	from Shinetsue Chem. Co. (2% in Ethyl Lactate)	0.012 gm
	Ethyl Lactate	99.44 gm
	n-Butyl Acetate	17.55 gm

20 The photoresist resist sample was coated on an HMDS primed silicon wafer to a 1.083 μ m film thickness, and a soft bake at 90°C for 60 seconds on an SVG® 8100 I-line hot plate was used. The exposure matrix was printed on the coated wafers using a 0.54 NA NIKON® i-line stepper and a NIKON® resolution reticle. The exposed wafers were post exposure baked at 110°C for 70 seconds on a in line hot plate. The wafers were then developed using AZ® 300 MIF TMAH

25 (2.38%) developer. The developed wafers were examined using a HITACHI® S-400 SEM. A nominal dose (DTP) was measured at the best focus, the dose

required to precisely replicate a given feature. Resolution and depth of focus (DOF) were measured and were shown in Table 2 above .

Example 5

5 One part of the photoresist composition of example 2 and 5 parts of the photoresist of example 4 were mixed and the photoresist resist sample was coated on an HMDS primed silicon wafer to a 1.083 μ m film thickness. A soft bake at 90°C for 60 seconds on an SVG® 8100 I-line hot plate was used. The exposure matrix was printed on the coated wafers using a 0.54 NA NIKON® i-line stepper and a NIKON® resolution reticle. The exposed wafers were post exposure baked at 110°C for 70 seconds on a in line hot plate. The wafers were then developed using AZ® 300 MIF TMAH (2.38%) developer. The developed wafers were examined using a HITACHI® S-400 SEM. A nominal dose (DTP) was measured at the best focus, the dose required to precisely replicate a given feature. Resolution and depth of focus (DOF) were measured and were shown in Table 2 above .

Example 6

20 Example 1 was repeated and 70 gram of a solid novolak resin of RMW 8.8 was dissolved in 221.67 grams of methanol to make 24 % solution. The novolak resin solution was fractionated as in example 1 and the fractions are shown in Table 4 below:

Table 4

<u>Fractions</u>	<u>GPC MW_w</u>	<u>PD</u>
Parent Resin	3000	3.0
First Precipitation	4344	2.9
Second Precipitation	4523	2.7
Low MW Fraction Discarded	1015	1.5

Example 7

A 50 gram photoresist test sample was prepared according to the following formulation:

5	NK-280 (a proprietary 2,1,5- diazonaphthoquinone	
	sulfonyl chloride based sensitizer from Nippon Zeon Co)	2.02 gm
	NK-240 (a proprietary 2,1,4-diazonaphthoquinone	
	sulfonyl chloride based sensitizer from Nippon Zeon Co.)	0.84gm
	Novolak Resin fraction from example 6 (as solid)	6.8 gm
	Pyrogallol from Aldrich Co.	0.163 gm
10	BI26X-SA (a proprietary speed enhancer from Nippon Zeon Co.)	1.20 gm
	KP-341, a striation free surfactant	
	from Shinetsue Chem. Co. (2% in Ethyl Lactate)	0.04 gm
	Ethyl Lactate	33.147 gm
	n-Butyl Acetate	5.85gm

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The photoresist resist sample was coated on an HMDS primed silicon wafer to a 1.083 μ m film thickness, and a soft bake at 90°C for 60 seconds on an SVG® 8100 I-line hot plate was used. The exposure matrix was printed on the coated wafers using a 0.54 NA NIKON® i-line stepper and a NIKON® resolution reticle. The exposed wafers were post exposure baked at 110°C for 70 seconds on a in line hot plate. The wafers were then developed using AZ® 300 MIF TMAH (2.38%) developer. The developed wafers were examined using a HITACHI® S-400 SEM. A nominal dose (DTP) was measured at the best focus, the dose required to precisely replicate a given feature. Resolution and depth of focus (DOF) were measured and were shown in Table 2 above.



WHAT WE DESIRE TO CLAIM IS:

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1. A method for producing a film forming novolak resin which comprises:
 - a) condensing formaldehyde with one or more phenolic compounds, in the presence of an acid catalyst, and thereby producing a novolak resin polymeric condensation product;
 - b) adding a water soluble organic polar solvent and water to the novolak resin reaction mixture, thereby precipitating the novolak resin polymer condensation product, and then removing the remaining solution;
 - c) adding a water soluble organic polar solvent and water to the remaining solution of step b), thereby again precipitating the novolak resin polymeric condensation product, and then removing the remaining solution of step c);
 - d) dissolving the novolak resin polymeric condensation product precipitate of step c) in a photoresist solvent and thereby forming a solution, then removing any remaining water and water soluble organic polar solvent, and thereby producing a film forming, fractionated novolak resin solution.
2. The method of claim 1 where in said acid catalyst is oxalic acid, maleic acid, maleic anhydride, sulfuric acid or p-toluene sulphonic acid.
3. The method of claim 1 wherein the water soluble organic polar solvent is acetone or a C₁-C₃ alkyl alcohol.
4. The method of claim 1 wherein the photoresist solvent is a monooxymonocarboxylic acid ester.
5. A method for producing a positive photoresist composition having superior lithographic performance comprising:
 - a) condensing formaldehyde with one or more phenolic compounds, in the presence of an acid catalyst and thereby producing a novolak resin polymeric condensation product;

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- b) adding a water soluble organic polar solvent and water to the novolak resin reaction mixture, thereby precipitating the novolak resin polymeric condensation product, and then removing the remaining solution;
 - c) adding a water soluble organic polar solvent and water to the remaining solution of step b), and thereby again precipitating the novolak resin condensation product, and removing the remaining solution of step c);
 - d) dissolving the novolak resin polymeric condensation product in a photoresist and thereby forming a solution, then removing any remaining water and water soluble organic polar solvent, thereby producing a film forming, fractionated novolak resin solution; and
 - e) providing an admixture of: 1) a photosensitive component in an amount sufficient to photosensitize a photoresist composition; 2) the film forming novolak resin from step d); and 3) additional photoresist solvent, and thereby forming a photoresist composition.
6. The method of claim 5 where in said acid catalyst is oxalic acid, maleic acid, maleic anhydride, sulfuric acid, or p-toluene sulphonic acid.
7. The method of claim 5 wherein the water soluble organic polar solvent is acetone or a C₁-C₃ alkyl alcohol.
8. The method of claim 5 wherein the photoresist solvent is a monooxymonocarboxylic acid ester.
9. A method for producing a semiconductor device by producing a photo-image on a substrate by coating a suitable substrate with a positive working photoresist composition comprising:
- a) condensing formaldehyde with one or more phenolic compounds, in the presence of an acid catalyst, and thereby producing a novolak resin polymeric condensation product;

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- b) adding a water soluble organic polar solvent and water to the novolak resin reaction mixture, thereby precipitating the novolak resin polymeric condensation product, and then removing the remaining solution;
- c) adding a water soluble organic polar solvent and water to the remaining solution of step b), and then removing the remaining solution of step c);
- d) dissolving the novolak resin polymeric condensation product precipitate of step c) in a photoresist solvent, then removing any remaining water and water soluble organic polar solvent, and thereby producing a film forming, fractionated novolak resin solution;
- e) providing an admixture of: 1) a photosensitive component in an amount sufficient to photosensitize a photoresist composition; 2) the film forming novolak resin solution from step d); and 3) additional photoresist solvent, and thereby forming a photoresist composition;
- f) coating a suitable substrate with the photoresist composition of step e);
- g) heat treating the coated substrate of step f) until substantially all of the photoresist solvent is removed; image-wise exposing the photosensitive composition and removing the image-wise exposed areas of such composition with a suitable developer.

10. The method of claim 9 wherein the acid catalyst is oxalic acid, maleic acid, maleic anhydride, sulfuric acid, or p-toluene sulphonic acid.

11. The method of claim 9 wherein the water soluble organic polar solvent is acetone or a C₁-C₃ alkyl alcohol.

12. The method of claim 9 wherein the photoresist solvent is a monooxymonocarboxylic acid ester.

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