

THIN METAL-OXIDE LAYER AS STABLE ELECTRON-INJECTING ELECTRODE FOR LIGHT EMITTING DIODES

FIELD OF THE INVENTION

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This invention relates generally to the field of light emitting diodes (LEDs). More particularly, this invention relates to organic light emitting diodes which employ an electron-injecting cathode comprising a thin layer of an oxide of a low work function metal. More specifically, the present invention relates to LEDs which comprise:

- 10 (a) a hole-injecting anode layer; (b) an electron-injecting cathode layer; and, (c) an emissive layer; wherein: (i) said emissive layer is interposed between said anode layer and said cathode layer; (ii) said emissive layer comprises an electroluminescent, semiconducting, organic material; (iii) said cathode layer comprises a layer of metal oxide having a thickness of from about 15 to about 200 Å; and, (iv) said metal oxide is selected
- 15 from the group consisting of alkali metal oxides, alkaline earth metal oxides, lanthanide metal oxides, and mixtures thereof.

BACKGROUND

- 20 Throughout this application, various publications, patents, and published patent applications are referred to by an identifying citation; full citations for these documents may be found at the end of the specification immediately preceding the claims. The disclosures of the publications, patents, and published patent specifications referenced in this application are hereby incorporated by reference into the present disclosure to more
- 25 fully describe the state of the art to which this invention pertains.

- Diodes and particularly light emitting diodes (LEDs) fabricated with conjugated organic polymer layers have attracted attention due to their potential for use in display technology (Burroughs *et al.*, 1990, Braun *et al.*, 1991). Among the promising materials for use as
- 30 active layers in polymer LEDs are poly(phenylene vinylene), PPV, and soluble derivatives of PPV such as poly(2-methoxy-5-(2'-ethyl-hexyloxy)-1,4-phenylene vinylene), MEH-PPV, a semiconducting polymer with an energy gap E_g of ~ 2.1 eV. This material is

described in more detail in Wudl *et al.*, 1993a. Another material described as useful in this application is poly(2,5-bis(cholestanoxo)-1,4-phenylene vinylene), BCHA-PPV, a semiconducting polymer with an energy gap E_g of ~ 2.2 eV. This material is described in more detail in Wudl *et al.*, 1993a, 1993b. Other suitable polymers include, for example, 5 the poly(3-alkylthiophenes) as described by Braun *et al.*, 1992 and related derivatives as described by Berggren *et al.*, 1992; poly(para-phenylene) as described by Grem *et al.*, 1992, and its soluble derivatives as described by Yang *et al.*, 1993; and polyquinoline as described by Parker, 1994a. Blends of conjugated semiconducting polymers with non-conjugated host or carrier polymers are also useful as the active layer in polymer 10 LEDs, as described by Zhang *et al.*, 1994b. Also useful are blends comprising two or more conjugated polymers, as described by Yu *et al.*, 1997. Generally, materials for use as active layers in polymer LEDs include semiconducting conjugated polymers, more specifically semiconducting conjugated polymers which exhibit photoluminescence, and still more specifically semiconducting conjugated polymers which exhibit 15 photoluminescence and which are soluble and processible from solution into uniform thin films.

In the field of organic polymer-based LEDs, it is common to employ a relatively high work function metal as the anode, which serves to inject holes into the otherwise filled π -band of 20 the semiconducting, electroluminescent polymer. Relatively low work function metals are preferred as the cathode material, which serves to inject electrons into the otherwise empty π^* -band of the semiconducting, electroluminescent polymer. The holes which are injected at the anode and the electrons which are injected at the cathode recombine radiatively within the active layer and light is emitted. Proposed criteria for suitable electrodes are 25 described in detail by Parker, 1994b.

Typical relatively high work function materials for use as anode materials include transparent conducting thin films of indium/tin-oxide (see, for example, Burroughs *et al.*, 1990, Braun *et al.*, 1991). Alternatively, thin films of polyaniline in the conducting 30 emeraldine salt form can be used (see, for example, Cao *et al.*, 1997 Gustafsson *et al.*, 1992., Yang *et al.*, 1994, 1995, and Yang, 1998). Thin films of indium/tin-oxide and thin films of polyaniline in the conducting emeraldine salt form are preferred because, as

transparent electrodes, both permit the emitted light from the LED to radiate from the device in useful levels.

5 Typical relatively low work function metals which are suitable for use as cathode materials are metals such as calcium, magnesium, and barium. Alkali metals tend to be too mobile and act to dope the emissive layer (*e.g.*, electroluminescent polymer), thereby causing shorts and unacceptably short device lifetimes. Alloys of these low work function metals, such as, for example, alloys of magnesium in silver and alloys of lithium in aluminum, are also known (see, for example, VanSlyke, 1991a, 1991b, Heeger *et al.*, 1995). The
10 thickness of the electron injection cathode layer typically ranges from about 200 to about 5000 Å (see, for example, VanSlyke, 1992, Friend *et al.*, 1993, Nakano *et al.*, 1994, Kido *et al.*, 1995). A lower limit of about 200 to about 500 Å is required in order to form a continuous film (full coverage) for a cathode layer (see, for example, Holmes *et al.*, 1996, Utsugi, 1998, Scott *et al.*, 1996; Parker *et al.*, 1994c). In addition to good coverage, thicker
15 cathode layers were believed to provide self-encapsulation to keep oxygen and water vapor away from the active parts of the device.

Unfortunately, although the use of low work function electrodes is required for efficient injection of electrons from the cathode and for satisfactory device performance, low work
20 function metals are typically unstable and readily react with oxygen and/or water vapor at room temperature and even more vigorously at elevated temperatures. Although alloying such low work function metals with more stable metals, such as, for example, aluminum or silver, has been used in attempts to improve environmental stability, the resulting cathodes remain unstable with respect to reaction with oxygen and/or water vapor during device
25 fabrication and processing.

Despite improvements in the construction of polymer LEDs, a persistent problem has been rapid decay of the device efficiency (and light output) during stress, especially at elevated temperature. There is thus a need for low work function cathodes for use as electron-
30 injecting contacts in organic (*e.g.*, polymer) LEDs which have improved stability with respect to reaction with oxygen and water vapor especially at elevated temperature, and hence longer device lifetimes.



The alkali metals, alkaline earth metals and lanthanide metals are low work function metals. Although highly reactive (for example, with respect to oxygen and water vapor), they are utilized as cathodes in polymer or organic light-emitting diodes (LEDs) because they function as excellent electron-injecting contacts.

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Applicants have discovered that cathodes comprising a thin layer of metal oxide (which metal oxide is selected from the group consisting of alkali metal oxides, alkaline earth metal oxides, lanthanide metal oxides, and mixtures thereof) yield LEDs which offer comparable or better initial performance (e.g., brightness and efficiency), as well as comparable or extended operating lives, as compared to similar LEDs which employ conventional (e.g., metal) cathodes.

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SUMMARY OF THE INVENTION

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One aspect of the present invention pertains to light-emitting diodes (LEDs) comprising: (a) a hole-injecting anode layer; (b) an electron-injecting cathode layer; and, (c) an emissive layer; wherein: (i) said emissive layer is interposed between said anode layer and said cathode layer; (ii) said emissive layer comprises an electroluminescent, semiconducting, organic material; (iii) said cathode layer comprises a layer of metal oxide having a thickness of from about 5 to about 200 Å; and, (iv) said metal oxide is selected from the group consisting of alkali metal oxides, alkaline earth metal oxides, lanthanide metal oxides, and mixtures thereof.

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In one embodiment, said metal oxide is selected from the group consisting of alkali metal oxides. In one embodiment, said metal oxide is selected from the group consisting of oxides of lithium, sodium, potassium, rubidium, and cesium. In one embodiment, said metal oxide is lithium oxide. In one embodiment, said metal oxide is selected from the group consisting of alkaline earth metal oxides. In one embodiment, said metal oxide is selected from the group consisting of oxides of magnesium, calcium, strontium, and barium. In one embodiment, said metal oxide is selected from the group consisting of oxides of magnesium and barium. In one embodiment, said metal oxide is selected from the group consisting of lanthanide metal oxides. In one embodiment, said metal oxide is selected from the group consisting of oxides of neodymium, samarium, and ytterbium.

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In one embodiment, said layer of metal oxide has a thickness of from about 10 to about 100 Å. In one embodiment, said layer of metal oxide has a thickness of from about 20 to about 60 Å.

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In one embodiment, said cathode layer further comprises a capping layer comprising aluminum, silver, or copper.

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In one embodiment, said electroluminescent, semiconducting, organic material is an electroluminescent, semiconducting, organic polymer. In one embodiment, said electroluminescent, semiconducting, organic material is selected from the group consisting of: poly(p-phenylene vinylene)s, poly(arylene vinylene)s, poly(p-phenylene)s, poly(arylene)s, and polyquinolines. In one embodiment, said electroluminescent, semiconducting, organic material is poly(2-(3,7-dimethyloctyloxy)-5-methoxy-1,4-phenylene vinylene).

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In one embodiment, said electroluminescent, semiconducting, organic material is an electroluminescent, semiconducting, organic non-polymeric material.

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BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a cross-sectional schematic view (not to scale) of a typical solid state LED of the present invention.

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Figure 2 is a graph of external quantum efficiency and light intensity (light output) versus bias voltage of LED devices having cathodes fabricated from 30 Å barium oxide and 30 Å barium metal.

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Figure 3 is a graph of the luminance and voltage at 25 mA versus time during continuous stress (8.3 mA/cm² at 85°C) of an LED device having a cathode fabricated from a 30 Å barium oxide layer, as compared to an otherwise identical device with a cathode fabricated from a 30 Å barium metal layer.

Figure 4 is a graph of the decay of the electroluminescence emission (luminance) as a function of continuous stress time (at 85°C and 8.3 mA/cm²) for LED devices having cathodes fabricated from a 30 Å barium oxide layer, a 2000 Å barium metal layer, and a 2000 Å calcium metal layer.

Figure 5 is a graph of luminance and voltage at 25 mA versus time during continuous stress (8.3 mA/cm² at 85°C) of LED devices having cathodes fabricated from a thin lithium oxide layer (7 Å and 10 Å), as compared to otherwise identical devices with cathodes fabricated from a lithium metal layer (10 Å).

DETAILED DESCRIPTION OF THE INVENTION

The present invention pertains to light-emitting diodes (LEDs) which comprise: (a) a hole-injecting anode layer; (b) an electron-injecting cathode layer; and, (c) an emissive layer; wherein: (i) said emissive layer is interposed between said anode layer and said cathode layer; (ii) said emissive layer comprises an electroluminescent, semiconducting, organic material; (iii) said cathode layer comprises a layer of metal oxide having a thickness of from about 15 to about 200 Å; and, (iv) said metal oxide is selected from the group consisting of alkali metal oxides, alkaline earth metal oxides, lanthanide metal oxides, and mixtures thereof.

A cross-sectional schematic view (not to scale) of a typical solid state LED of the present invention is illustrated in Figure 1. The typical LED comprises a transparent substrate (10), a transparent hole-injecting anode layer (11), an emissive layer (12), an electron-injecting cathode layer (13), and a capping layer (14). Typically, in operation, a power source (16) is connected to the anode layer (11) and the capping layer (14) via leads (17 and 17'), and emitted light (18) emerges from the transparent substrate (10).

The LEDs of the present invention are characterized by an electron-injecting cathode layer which comprises a thin layer of metal oxide, which metal oxide is selected from the group consisting of alkali metal oxides, alkaline earth metal oxides, lanthanide metal oxides, and mixtures thereof. In other respects, the LEDs of the present invention are similar to LEDs

known in the art which comprise an electroluminescent, semiconducting, organic material "sandwiched" between an anode layer and a cathode layer. Note that, by the terms "interposed" and "sandwiched," it is meant that the emissive layer is positioned between the anode layer and the cathode layer, and does not exclude the possibility that additional
5 intervening layers, such as those discussed below, may be positioned between the emissive layer and the anode layer, and between the emissive layer and the cathode layer.

Other layers which are known in the art to enhance the performance of the LED can also be incorporated, if desired. These include, for example, electron transport layers and/or hole
10 transport layers as described by Greenham *et al.*, 1993; Zhang *et al.*, 1994a; and Zhang *et al.*, 1998.

The LEDs of the present invention are also characterized by comparable or extended operating lives, as compared to similar LEDs which employ conventional (*e.g.*, metal)
15 cathodes. Applicants believe that the use of these metal oxides in the cathode layer acts to postpone the decay of efficiency and light output of the device. The LEDs of the present invention also offer comparable or better initial performance (*e.g.*, brightness and efficiency), as compared to similar LEDs which employ conventional (*e.g.*, metal) cathodes.

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Metal Oxide Electron-Injecting Cathode Layers

The LEDs of the present invention comprise an electron-injecting cathode layer, which cathode layer comprises a layer of metal oxide having a thickness of from about 15 to about
25 200 Å, which metal oxide is selected from the group consisting of alkali metal oxides, alkaline earth metal oxides, lanthanide metal oxides, and mixtures thereof. The metal oxide layer functions as a stable contact which efficiently injects electrons into the emissive layer.

The term "alkali metal" is used herein in the conventional sense to refer to elements of
30 Group IA of the periodic table. Preferred alkali metals include lithium (*i.e.*, Li), sodium (*i.e.*, Na), potassium (*i.e.*, K), rubidium (*i.e.*, Rb), and cesium (*i.e.*, Cs).

The term "alkali metal oxide" is used herein in the conventional sense to refer to compounds of one or more alkali metals and oxygen. For convenience, alkali metal oxides are referred to herein by the chemical formula of the corresponding simple oxide (e.g., Li_2O , Na_2O , K_2O , Rb_2O , and Cs_2O); however, this reference to the simple oxide is intended to encompass other oxides, including mixed oxides and non-stoichiometric oxides (e.g., Li_xO , Na_xO , K_xO , Rb_xO , and Cs_xO , where x is from about 0.1 to about 2).

The term "alkaline earth metal" is used herein in the conventional sense to refer to elements of Group IIA of the periodic table. Preferred alkaline earth metals include magnesium (i.e., Mg), calcium (i.e., Ca), strontium (i.e., Sr), and barium (i.e., Ba).

The term "alkaline earth metal oxide" is used herein in the conventional sense to refer to compounds of one or more alkaline earth metals and oxygen. For convenience, alkaline earth metal oxides are referred to herein by the chemical formula of the corresponding simple oxide (e.g., MgO , BaO , CaO , SrO , and BaO); however, this reference to the simple oxide is intended to encompass other oxides, including mixed oxides and non-stoichiometric oxides (e.g., Mg_xO , Ba_xO , Ca_xO , Sr_xO , and Ba_xO , where x is from about 0.1 to about 1).

The term "lanthanide metal" is used herein in the conventional sense to refer to elements of the lanthanide series of the periodic table, from cerium (i.e., Ce) through lutetium (i.e., Lu). Preferred lanthanide metals include samarium (i.e., Sm), Ytterbium (i.e., Yb), and neodymium (i.e., Nd).

The term "lanthanide metal oxide" is used herein in the conventional sense to refer to compounds of one or more lanthanide metals and oxygen. For convenience, lanthanide metal oxides are referred to herein by the chemical formula of the corresponding simple oxide of the +3 valency state (e.g., Sm_2O_3 , Yb_2O_3 , and Nd_2O_3); however, this reference to the simple oxide is intended to encompass other oxides, including mixed oxides and non-stoichiometric oxides (e.g., Sm_xO , Yb_xO , and Nd_xO , where x is from about 0.1 to about 1.5).

The metal oxide layer has a thickness of less than about 200 Å, typically from about 5 to about 200 Å.

- In one embodiment, the metal oxide layer has a thickness from about 5 to about 100 Å.
- 5 In one embodiment, the metal oxide layer has a thickness from about 10 to about 100 Å.
- In one embodiment, the metal oxide layer has a thickness from about 20 to about 100 Å.
- In one embodiment, the metal oxide layer has a thickness from about 30 to about 100 Å.
- In one embodiment, the metal oxide layer has a thickness from about 5 to about 80 Å.
- In one embodiment, the metal oxide layer has a thickness from about 10 to about 80 Å.
- 10 In one embodiment, the metal oxide layer has a thickness from about 20 to about 80 Å.
- In one embodiment, the metal oxide layer has a thickness from about 30 to about 80 Å.
- In one embodiment, the metal oxide layer has a thickness from about 5 to about 60 Å.
- In one embodiment, the metal oxide layer has a thickness from about 10 to about 60 Å.
- In one embodiment, the metal oxide layer has a thickness from about 20 to about 60 Å.
- 15 In one embodiment, the metal oxide layer has a thickness from about 30 to about 60 Å.

The thin layer of metal oxide can be fabricated using known deposition techniques, including, but not limited to, thermal deposition, vacuum evaporation, sputtering, and electron beam deposition, and using various starting materials, including, but not limited to,

20 pure metals, alloys, and metal oxides. In one embodiment, the metal oxide layer is formed by thermal deposition of the corresponding metal under a controlled pressure of oxygen. The thickness of the metal oxide layer can be controlled by the rate and time of evaporation/deposition. A typical rate of evaporation/deposition is about 0.2 to 1 Å per second.

25 Thin metal oxide layers with thickness below 100 Å form granules with diameters of several hundred Angstroms. In one embodiment, a capping layer of a high work function metal is deposited on top of the thin metal oxide layer to provide continuous electrical connection to isolated granules of the metal oxide and to provide a first level of

30 encapsulation. Thus, the surface of the emissive layer need not be completely covered by the metal oxide layer; uncovered surface is then contacted with the subsequent capping layer. Examples of suitable high work function (and high electrical conductivity) capping

metals include aluminum, silver, copper, and the like. The thickness of the capping layer is typically a few hundred Angstroms or greater, and often a few thousand Angstroms.

Typically, when thin metal oxide layers are used for enhanced electron injection, as in the
5 present invention, only one "turn-on" is observed in the current-voltage (I-V) curve at around 1.6 V, indicating minimal discontinuities in the thin layer of metal oxide. As can be seen from Figure 2, a thin barium oxide layer (as an electron-injection layer) shows a turn-on voltage similar to that obtained for a thin barium metal layer. This result indicates that the effective work function of a thin barium oxide layer is very close to that of barium
10 metal. Monolayer barium oxide has been used to provide a low work function surface for electron emission in cathode ray tubes (CRT) (see for example, Haas *et al.*, 1976, 1977, Tumareva *et al.*, 1989). The near equality of the work function of barium oxide and barium has been reported previously (for example, see Tumareva *et al.*, 1989). This observation was explained by the presence of a band of surface levels formed by the donor-type state
15 (Tumareva *et al.*, 1989). Alternatively, this observation was explained as resulting from the formation of an oxygen-vacancy lattice by oxygen diffusion into the substrate (Haas *et al.*, 1976, 1977).

As demonstrated in the Examples below, LEDs with cathode layers comprising a thin layer
20 of metal oxide exhibit performance (brightness, quantum efficiency, and stress life) comparable to, or better than, that of LEDs which employ conventional (*e.g.*, metal) cathodes. The high brightness and quantum efficiency may be due to excellent electron injection via the n-type semiconducting metal oxide layer. Alternatively, the thin metal oxide layer may act to significantly reduce doping of the emissive layer, both at room
25 temperature and elevated temperatures. (It is well known that doping quenches the electroluminescence of conjugated polymers: when thicker layers of low work function metal are used as the cathode, stress-induced doping causes the doping to extend deeper and deeper into polymer bulk during operation, thereby causing the efficiency and light output to drop during operation.)

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Emissive Layers

In the LEDs of the present invention, the emissive layer (also referred to as the active layer, the luminescent layer, or the electroluminescent layer) comprises an electroluminescent, semiconducting, organic material. In one embodiment, material is polymeric. In one embodiment, the material is non-polymeric.

In one embodiment, the electroluminescent, organic material is an electroluminescent, semiconducting, organic polymer, which polymer is a π -conjugated polymer or is a co-polymer which contains segments of π -conjugated moieties. Conjugated polymers are well known in the art (see, for example, Bredas *et al.*, 1991). Suitable examples of electroluminescent, semiconducting, organic polymers include, but are in no way limited to:

(i) poly(*p*-phenylene vinylene) and its derivatives substituted at various positions on the phenylene moiety;

(ii) poly(*p*-phenylene vinylene) and its derivatives substituted at various positions on the vinylene moiety;

(iii) poly(*p*-phenylene vinylene) and its derivatives substituted at various positions on the phenylene moiety and also substituted at various positions on the vinylene moiety;

(iv) poly(arylene vinylene), where the arylene may be such moieties as naphthalene, anthracene, furylene, thienylene, oxadiazole, and the like;

(v) derivatives of poly(arylene vinylene), where the arylene may be as in (iv) above, and additionally have substituents at various positions on the arylene;

(vi) derivatives of poly(arylene vinylene), where the arylene may be as in (iv) above, and additionally have substituents at various positions on the vinylene;

(vii) derivatives of poly(arylene vinylene), where the arylene may be as in (iv) above, and additionally have substituents at various positions on the arylene and substituents at various positions on the vinylene;

(viii) co-polymers of arylene vinylene oligomers, such as those in (iv), (v), (vi), and (vii) with non-conjugated oligomers;

(ix) poly(*p*-phenylene) and its derivatives substituted at various positions on the phenylene moiety, including ladder polymer derivatives such as poly(9,9-dialkyl fluorene) and the like;

5 (x) poly(arylenes) where the arylene may be such moieties as naphthalene, anthracene, furylene, thienylene, oxadiazole, and the like; and their derivatives substituted at various positions on the arylene moiety;

(xi) co-polymers of oligoarylenes such as those in (x) with non-conjugated oligomers;

(xii) polyquinoline and its derivatives;

10 (xiii) co-polymers of polyquinoline with *p*-phenylene substituted on the phenylene with, for example, alkyl or alkoxy groups to provide solubility;

(xiv) rigid rod polymers such as poly(*p*-phenylene-2,6-benzobisthiazole), poly(*p*-phenylene-2,6-benzobisoxazole), poly(*p*-phenylene-2,6-benzimidazole), and their derivatives;

15 and the like.

In one embodiment, the electroluminescent organic material is an electroluminescent, semiconducting, organic, non-polymeric material of low molecular weight, which material is conjugated or contains segments of π -conjugated moieties. By the term "low molecular weight," it is meant that the material has a molecular weight of from about 100 to about 5000. Such materials are well known in the art (see, for example, VanSlyke *et al.*, 1991a, 1991b, 1992). Suitable examples of electroluminescent, semiconducting, organic, non-polymeric materials include, but are in no way limited to:

- 25 (i) tris(8-hydroxyquinolinato) aluminum (Alq);
(ii) 1,3-bis(N,N-dimethylaminophenyl)-1,3,4-oxadiazole (OXD-8);
(iii) -oxo-bis(2-methyl-8-quinolinato)aluminum;
(iv) bis(2-methyl-8-hydroxyquinolinato) aluminum;
(v) bis(hydroxybenzoquinolinato) beryllium (BeQ₂);
(vi) bis(diphenylvinyl)biphenylene (DPVBI);
30 (vii) arylamine-substituted distyrylarylene (DSA amine);
and the like.

Other examples of electroluminescent, semiconducting, organic, non-polymeric materials include dye-doped materials, which comprise a matrix material such as those listed above, and a dye-dopant. Examples of dye-dopants include, but are in no way limited to:

- 5 (i) coumarin;
- (ii) quinacridone;
- (iii) rubrene;
- (iv) 4-dicyanomethylene-2-methyl-6-(p-dimethylaminostyryl)-4H-pyran (DCM1);
- (v) tetraphenylporphyrin (TPP);
- 10 (vi) indigo;
- and the like.

The emissive layer can typically be fabricated using any of the techniques known in the art, particularly those methods known in the art of organic and polymer LEDs, including, for
15 example, casting directly from solution, and casting of a polymer precursor followed by reaction (*e.g.*, by heating) to form the desired polymer. The emissive layer may also comprise other materials, such as carrier polymers and additives. Typically, the emissive layer has a thickness of about 800 to about 1500 Å, more preferably about 1000 Å.

20 Hole-Injecting Anode Layers

The emissive layer of an LED of the present invention is typically bounded on one surface by an anode layer (although an intervening layer may be interposed, as described above). In one embodiment, the anode layer is transparent or semi-transparent. When a substrate is
25 present, the anode layer is typically positioned between the substrate (*e.g.*, deposited on the substrate) and the emissive layer.

The anode layer is a conductive layer which serves as a hole-injecting layer and which comprises a material with work function greater than about 4.5 eV. Typical anode
30 materials include metals (such as aluminum, silver, platinum, gold, palladium, tungsten, indium, copper, iron, nickel, zinc, lead, and the like); metal oxides (such as lead oxide, tin oxide, indium/tin-oxide, and the like); graphite; doped inorganic semiconductors (such as silicon, germanium, gallium arsenide, and the like); and doped conducting polymers (such

as polyaniline, polypyrrole, polythiophene, and the like). When metals such as those listed above are used, the anode layer is typically sufficiently thin so as to be semi-transparent to the light emitted from the emissive layer. Metal oxides such as indium/tin-oxide and conducting polymers such as polyaniline and polypyrrole are typically semi-transparent in the visible portion of the spectrum.

The anode layer can typically be fabricated using any of the techniques known in the art for deposition of thin films, including, for example, vacuum evaporation, sputtering, electron beam deposition, or chemical vapor deposition, using for example, pure metals or alloys, or other film precursors. Typically, the anode layer has a thickness of about 300 to about 3000 Å.

Encapsulation

It is typically preferred to encapsulate the LEDs of the present invention to prevent long term degradation. Methods of encapsulation are well known in the art. For example, devices can be sealed between glass plates, or sealed between barrier polymer layers.

Examples

The present invention is illustrated in the following examples, which are not intended to limit the scope of the invention as set forth in the appended claims.

Example 1

Light emitting diodes were fabricated using poly(2-(3,7-dimethyloctyloxy)-5-methoxy-1,4-phenylenevinylene) (MDMO-PPV) as the electroluminescent, semiconducting, organic polymer in the emissive layer. MDMO-PPV films having a thickness of 1000 Å were used. Indium/tin oxide (ITO) was used as the anode. The device architecture was ITO/MDMO-PPV/cathode. Devices were fabricated using both ITO on glass as the substrate (from Applied Films Corporation, Boulder, CO, USA) and using ITO on plastic as the substrate (specifically, polyethylene terephthalate, PET, from Courtauld Performance

Films, Canoga Park, CA, USA). In both cases, ITO was the anode and the hole-injecting contact.

- 5 Cathodes were fabricated using a thin layer of barium oxide. The metal oxide film was fabricated on top of the MDMO-PPV layer using vacuum vapor deposition at pressures around 1×10^{-6} Torr (~ 0.13 mbar) yielding an active layer with area of 3 cm^2 . The deposition was monitored with a STM-100 thickness/rate meter (from Sycon Instruments, Inc., East Syracuse, NY, USA). Calibration of the actual thickness and thickness distribution in substrate position inside evaporator was made by measuring a 1500 Å
- 10 aluminum film using a surface profiler (Alpha-Step 500 Surface Profiler, from Tencor Instruments, San Jose, CA, USA). The thicknesses of the barium oxide layers (denoted BaO) were 15, 30, 45, and 60 Å. Immediately after barium oxide deposition, 3,000 to 10,000 Å of aluminum was deposited on top of the barium oxide layer.
- 15 For comparison, otherwise identical devices were fabricated using a thin layer of barium metal instead of barium oxide.

- For each of the devices, the current versus voltage curve, the light output versus voltage (I-V) curve, and the quantum efficiency were measured. The initial device performance data
- 20 are summarized in Table 1.

Table 1				
Initial Performance and Stress Life of LEDs with Cathodes Fabricated from a Layer of Barium Oxide in Comparison with Corresponding Barium Metal Layer				
Cathode	Thickness (Å)	Performance Before Stress		
		Quantum Efficiency (%)	Voltage (V)	Luminance (cd/m^2)
BaO	15	2.5	3.2	128
BaO	30	2.9	3.4	148
BaO	45	2.6	3.3	129
BaO	60	2.3	3.3	130
Ba	30	2.9	3.1	148

Figure 2 is a graph of external quantum efficiency and light intensity (light output) versus bias voltage of LED devices having cathodes fabricated from 30 Å barium oxide and 30 Å barium metal.

- 5 This example demonstrates that LEDs which employ a cathode using a thin layer of barium oxide emit light by electroluminescence, and that the quantum efficiency and stress life of the electroluminescence emission is comparable to that observed for otherwise identical LEDs with cathodes using a thin layer of barium metal.

10 Example 2

- Example 1 was repeated, but the emissive layer, MDMO-PPV (orange-red emission) was replaced by different electroluminescent, semiconducting, organic polymers which have narrower band gaps and different peak electroluminescence wavelengths. The polymers employed are described in Table 2 below (see Spreitzer *et al.*, 1998). The initial device performance data are summarized in Table 3 below.

Table 2 Electroluminescent Polymers	
$\lambda_{\max}$ (nm)	Electroluminescent Polymer
610	poly(2-(3,7-dimethyloctyloxy)-5-methoxy-1,4-phenylenevinylene (MDMO-PPV)
590	poly(2-(4'-(3,7-dimethyloctyloxy)phenyl)-p-phenylenevinylene) co(2-(3,7-dimethyloctyloxy)-5-methoxy-1,4-phenylenevinylene)
550	poly(2-(4'-(3,7-dimethyloctyloxy)phenyl)-p-phenylenevinylene) co(2-(3'-(3,7-dimethyloctyloxy)phenyl)-p-phenylenevinylene) co(2,5-bis(3,7-dimethyloctyloxy)-p-phenylenevinylene)

Table 3 Initial Performance and Stress Life of LEDs with Emissive Layers Fabricated from Different Electroluminescent, Semiconducting, Organic Polymers and Cathodes Fabricated from a Layer of Barium Oxide				
$\lambda_{\max}$ (nm)	BaO Thickness (Å)	Performance Before Stress*		
		Quantum Efficiency (%)	Voltage (V)	Luminance (cd/m ²)
610	15	2.5	3.2	128
590	15	2.3	4.0	380
550	15	5.1	4.9	1080

* at current density of 8.3 mA/cm².

This example demonstrates that cathodes fabricated using barium oxide can be used as effective electron-injecting electrodes for a variety of electroluminescent, semiconducting, organic polymers with different band-gaps, and thus different emission colors.

Example 3

Example 1 was repeated, but barium oxide was replaced by lithium oxide (denoted Li₂O), magnesium oxide (denoted MgO), samarium oxide (denoted Sm₂O₃) and ytterbium oxide (denoted Yb₂O₃). As in Example 1, devices with corresponding metal (Li, Mg, and Sm) as cathode were fabricated for comparison. Initial device performance data are summarized in Table 4.

Table 4 Initial Performance and Stress Life of LEDs with Cathodes Fabricated from a Layer of Lithium Oxide, Magnesium Oxide, Samarium Oxide, and Ytterbium Oxide in Comparison with Corresponding Metal Layer				
Cathode	Thickness (Å)	Performance Before Stress*		
		Quantum Efficiency (%)	Voltage (V)	Luminance (cd/m ²)
Li ₂ O	5	2.2	3.6	115
Li ₂ O	7	2.4	3.6	124
Li ₂ O	10	2.4	3.5	124
Li ₂ O	20	2.2	3.3	112
Li ₂ O	30	2.0	2.9	104
Li	10	2.3	3.7	117
MgO	40	1.3	3.6	64
Mg	200	0.007	3.9	0.3
Sm ₂ O ₃	30	1.7	3.5	90
Sm ₂ O ₃	45	1.2	3.5	63
Sm (15x15)	30	1.3	5.0	345**
Yb ₂ O ₃	30	1.5	3.6	64
Yb ₂ O ₃	200	0.5	3.8	24
Yb	200	1.5	3.7	76

* at 8.3 mA/cm².** at 35 mA/cm²

- 5 This example again demonstrates that polymer LEDs with cathodes fabricated using a thin layer of alkali metal oxide, alkaline earth metal oxide, or lanthanide metal oxide, emit light by electroluminescence, and that the initial performance is comparable to, if not better than, that observed for otherwise identical LEDs with cathodes fabricated from a thin layer of the corresponding metal.

10 Example 4

Several of the devices of Example 1 and Example 3 were encapsulated with a cover glass and UV curable epoxy (ELC-2500, from Electro-Lite Corporation, Danbury, CT, USA), stressed at a constant current of 25 mA (current density 8.33 mA/cm²) at 85°C in an oven

under ambient atmosphere. The light output was recorded by a photodiode placed 1 cm above each device as a function of stress time. Operating voltage changes were also recorded during stress.

- 5 The half-lives ($\tau_{1/2}$) of the encapsulated devices of Example 1 are shown in Table 5 below.

Table 5 Stress Life of LEDs with Cathodes Using A Barium Oxide Layer in Comparison with Corresponding Barium Metal Layer		
Cathode	Thickness (Å)	$\tau_{1/2}$ at 85°C at 8.3 mA/cm ² (h)
BaO	15	222
BaO	30	211
BaO	45	204
BaO	60	169
Ba	30	237

- 10 Figure 3 is a graph of the luminance and voltage at 25 mA versus time during continuous stress (8.3 mA/cm² at 85°C) of an LED device having a cathode fabricated from a 30 Å barium oxide layer, as compared to an otherwise identical device with a cathode fabricated from a 30 Å barium metal layer.

- 15 Figure 4 is a graph of the decay of the electroluminescence emission (luminance) as a function of continuous stress time (at 85°C and 8.3 mA/cm²) for LED devices having cathodes fabricated from a 30 Å barium oxide layer, a 2000 Å barium metal layer, and a 2000 Å calcium metal layer. The thin barium oxide cathode yielded significantly longer lifetime and much higher luminance at the same current density (*i.e.*, much high efficiency).

- 20 Figure 5 is a graph of luminance and voltage at 25 mA versus time during continuous stress (8.3 mA/cm² at 85°C) of LED devices having cathodes fabricated from a thin lithium oxide layer (7Å, and 10 Å), as compared to otherwise identical devices with cathodes fabricated from a lithium metal layer (10 Å).

This Example demonstrates that the stress life of LEDs with cathodes fabricated from a thin layer of alkali metal oxide, alkaline earth metal oxide, or lanthanide metal oxide, is comparable to, if not better than, that observed for otherwise identical LEDs with cathodes fabricated from the corresponding metal.

5

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CLAIMS

1. A light-emitting diode comprising:
 (a) a hole-injecting anode layer;
5 (b) an electron-injecting cathode layer; and,
 (c) an emissive layer;
 wherein:
 (i) said emissive layer is interposed between said anode layer and said cathode
layer;
10 (ii) said emissive layer comprises an electroluminescent, semiconducting,
organic material;
 (iii) said cathode layer comprises a layer of metal oxide having a thickness of
from about 5 to about 200 Å; and,
 (iv) said metal oxide is selected from the group consisting of alkali metal
15 oxides, alkaline earth metal oxides, lanthanide metal oxides, and mixtures thereof.
2. A light emitting diode according to claim 1, wherein said metal oxide is selected
from the group consisting of alkali metal oxides.
- 20 3. A light emitting diode according to claim 1, wherein said metal oxide is selected
from the group consisting of oxides of lithium, sodium, potassium, rubidium, and
cesium.
- 25 4. A light emitting diode according to claim 1, wherein said metal oxide is lithium
oxide.
5. A light emitting diode according to claim 1, wherein said metal oxide is selected
from the group consisting of alkaline earth metal oxides.
- 30 6. A light emitting diode according to claim 1, wherein said metal oxide is selected
from the group consisting of oxides of magnesium, calcium, strontium, and barium.



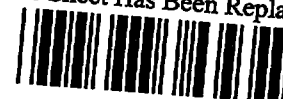
7. A light emitting diode according to claim 1, wherein said metal oxide is selected from the group consisting of oxides of magnesium and barium.
- 5 8. A light emitting diode according to claim 1, wherein said metal oxide is selected from the group consisting of lanthanide metal oxides.
9. A light emitting diode according to claim 1, wherein said metal oxide is selected from the group consisting of oxides of neodymium, samarium, and ytterbium.
- 10 10. A light emitting diode according to claim 2, wherein said layer of metal oxide has a thickness of from about 10 to about 100 Å.
11. A light emitting diode according to claim 2, wherein said layer of metal oxide has a thickness of from about 20 to about 60 Å.
- 15 12. A light emitting diode according to claim 5, wherein said layer of metal oxide has a thickness of from about 10 to about 100 Å.
13. A light emitting diode according to claim 5, wherein said layer of metal oxide has a thickness of from about 20 to about 60 Å.
- 20 14. A light emitting diode according to claim 8, wherein said layer of metal oxide has a thickness of from about 10 to about 100 Å.
- 25 15. A light emitting diode according to claim 8, wherein said layer of metal oxide has a thickness of from about 20 to about 60 Å.
16. A light emitting diode according to claim 2, wherein said cathode layer further comprises a capping layer comprising aluminum, silver, or copper.
- 30 17. A light emitting diode according to claim 5, wherein said cathode layer further comprises a capping layer comprising aluminum, silver, or copper.



18. A light emitting diode according to claim 8, wherein said cathode layer further comprises a capping layer comprising aluminum, silver, or copper.
- 5 19. A light emitting diode according to claim 2, wherein said electroluminescent, semiconducting, organic material is an electroluminescent, semiconducting, organic polymer.
- 10 20. A light emitting diode according to claim 5, wherein said electroluminescent, semiconducting, organic material is an electroluminescent, semiconducting, organic polymer.
- 15 21. A light emitting diode according to claim 8, wherein said electroluminescent, semiconducting, organic material is an electroluminescent, semiconducting, organic polymer.
22. A light emitting diode according to claim 2, wherein said electroluminescent, semiconducting, organic material is selected from the group consisting of: poly(p-phenylene vinylene)s, poly(arylene vinylene)s, poly(p-phenylene)s, poly(arylene)s, and polyquinolines.
- 20 23. A light emitting diode according to claim 5, wherein said electroluminescent, semiconducting, organic material is selected from the group consisting of: poly(p-phenylene vinylene)s, poly(arylene vinylene)s, poly(p-phenylene)s, poly(arylene)s, and polyquinolines.
- 25 24. A light emitting diode according to claim 8, wherein said electroluminescent, semiconducting, organic material is selected from the group consisting of: poly(p-phenylene vinylene)s, poly(arylene vinylene)s, poly(p-phenylene)s, poly(arylene)s, and polyquinolines.
- 30 25. A light emitting diode according to claim 2, wherein said electroluminescent, semiconducting, organic material is poly(2-(3,7-dimethyloctyloxy)-5-methoxy-1,4-phenylene vinylene).



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26. A light emitting diode according to claim 5, wherein said electroluminescent, semiconducting, organic material is poly(2-(3,7-dimethyloctyloxy)-5-methoxy-1,4-phenylene vinylene).
- 5
27. A light emitting diode according to claim 8, wherein said electroluminescent, semiconducting, organic material is poly(2-(3,7-dimethyloctyloxy)-5-methoxy-1,4-phenylene vinylene).
- 10
28. A light emitting diode according to claim 2, wherein said electroluminescent, semiconducting, organic material is an electroluminescent, semiconducting, organic non-polymeric material.
- 15
29. A light emitting diode according to claim 5, wherein said electroluminescent, semiconducting, organic material is an electroluminescent, semiconducting, organic non-polymeric material.
- 20
30. A light emitting diode according to claim 8, wherein said electroluminescent, semiconducting, organic material is an electroluminescent, semiconducting, organic non-polymeric material.

