

Security Marking Authentication Device**Field of invention**

The invention is in the field of the authentication of a document or item. It concerns a device for the visual authentication of the presence, on said document or item, of a circular polarizing security marking. Said device is based on a special light source comprising circular polarizing filters.

State of the Art

In the field of value document certification or identity control, authentication of the document is often required. Authentication is establishing or confirming something or someone as appertaining to a certain class, with the help of a determined feature (security element, marking) which is indicative of said appertaining.

The present invention discloses an authentication device, used to authenticate a document or item carrying a circular polarizing security marking, which can be printed or coated using an ink or coating composition comprising circular polarizing particles, or applying a laminate or film (foil) having circular polarizing properties.

Throughout the present description, the term "authentication device" designates a device which is used to authenticate a document or item comprising a circular polarizing security marking.

According to the invention, the circular polarizing properties are preferably embodied by a cholesteric liquid crystal polymer (CLCP). Such polymer selectively reflects

one of both circular polarized light components; that means that within a determined wavelength range, light having a determined circular polarization state (left- or right-handed, depending on the polymer) is predominantly reflected.

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Cholesteric liquid crystal materials are characterized by an internal helical supramolecular texture, which causes a periodic modulation of the refractive index, wherein the periodicity is comparable with the wavelength of visible
10 light. As a consequence, such materials act as optical diffraction gratings and reflect light of particular wavelengths, appearing colored to the eye. The helical sense of the texture (right or left) causes light of one circular polarization state to be predominantly reflected (cf. J. L.
15 Fergason, "Cholesteric Structure - I Optical Properties", in "Molecular Crystals", Vol 1, pp 293-307 (1966)). Cholesteric liquid crystal materials have been proposed as coloring means in US 3,766,061.

20 To achieve color properties which are stable in time and independent of the temperature, it is advantageous to "freeze" the cholesteric helical texture by chemical cross-linking, i.e. by polymerizing the liquid crystal material in the desired state, hereby obtaining a cholesteric liquid
25 crystal polymer (CLCP). Photo-polymerized cholesteric liquid crystal materials have been disclosed in GB2166755A. Pigments made of cholesteric liquid crystal polymer are disclosed in EP 0 601 483 A1; such pigments can be used in coating compositions and as a security marking. WO 94/22976
30 A1 and WO 95/08786 A1 are particularly directed to such coatings and security markings. Further documents relevant in this context are DE 44 18 490 A1, EP 0 685 749 A1, WO 97/30136 A1, US 6,597,426, EP 0 887 398 A1, US 6,570,648, WO

00/47694 A1, DE 199 22 158 A1, US 6,641,874, WO 2005/105473 A1, and WO 2006/063926 A1.

An authentication device for the machine-detection of security-markings comprising cholesteric liquid crystal material is disclosed in DE 102 11 310 A1. According to this document, the marking is illuminated using one or several spectrally restricted light sources, e.g. "color" light emitting diodes, and the light reflected by the marking is checked by a detecting unit for the presence of circular polarization. The device is not enabled, however, for the visual authentication of cholesteric liquid crystal markings.

According to the prior art, the visual authentication of a circular polarizing security marking on a document or item is performed under "ambient light" with the help of left- and right-circular polarizing filters, comparing the respective aspects of the marking as seen through the left- and through the right-circular polarizing filter, respectively. This method gives satisfactory results only as long as the illuminating light is of good spectral quality. In many circumstances the ambient light level is furthermore low (less than 50 lux, e.g. at night outdoors events, nocturnal identity controls, etc.), which does not facilitate the authentication operation.

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Summary of the invention

The present invention discloses an authentication device for the easy visual authentication of a document or item comprising a circular polarizing security marking.

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In an important aspect of the present invention, the authentication device comprises a special light source, able to emit left- and right- circular polarized light. This

light source is characterized in that it produces two beams of light which have opposite circular polarization handedness (i.e. a left-circular and a right-circular polarized beam) and which are separated, either in space or
5 in time.

The authentication device for the visual authentication of a document or item comprising a circular polarizing security marking comprises thus at least one light source, and at
10 least one circular polarizing filter, preferably selected from the group consisting of the left-circular and the right-circular fixed polarizing filters, and of the mechanical and the electro-optic variable circular polarizing filters, characterized in that said device emits
15 left- and right-circular polarized light for the illumination of said marking on said document.

In a first embodiment, two continuous, preferably adjacent beams of light of opposite circular polarization, having
20 about the same intensity, simultaneously illuminate zones of the document or item comprising the circular polarizing marking. In a preferred version of this embodiment, the said zones of the document are adjacent, so as to facilitate the comparison of the appearance of the marking under both types
25 of polarized light.

In the context of the present description, illuminated zones of the document refers to areas which are actually illuminated by the light beams, and not to featured areas
30 preexisting on the document.

In a second embodiment, a single beam of light of alternating opposite circular polarization, having about

constant intensity, is directed at the zone of the document or item comprising the circular polarizing marking.

In both cases, the visual appearance of the circular polarizing marking changes as a function of the polarization handedness of the incident light. The document or item can thus be authenticated by passing the light beam of the authentication device over the marking, respectively changing the polarization handedness of the light beam, and visually observing the appearance / change in appearance of the marking under each type of polarized light, if necessary comparing it to the appearance / change in appearance of a certified authentic marking under the same conditions.

A more quantitative assessment of the polarized light reflected by the marking may also be done with the help of an appropriate photoelectric detector (e.g. a photodiode) with the optional assistance of further optical elements (lenses, filters, etc...), and of a corresponding automated processing of the measured detector signal by a processing device.

Detailed description

The authentication device according to the present invention comprises a special light source that emits circular polarized light. The device comprises at least one circular polarization filter, and may in particular be a modified light pen, a modified flashlight, or a particularly designed authenticity validator device, so as to assure portable application.

An important aspect of the present invention consists in the use of a Light-Emitting Diode (LED) as a light source. White

and 'color'-LEDs are currently proposed by number of suppliers (Philips, Nichia, etc...) as high efficiency light sources for portable applications. White LEDs emit light all over the visible spectral domain (i.e. 400nm - 700nm).
5 'Color' -LEDs emit light in a particular, narrow wavelength range - about 50nm large - in the UV, visible or IR spectral domain.

LED efficiencies can be as high as 150 lumen/W of electric power, i.e. more than 10 times the efficiency of an
10 incandescent lamp. This results in a 10 times increased autonomy, compared to a light bulb, which is an essential advantage for portable application, where autonomy is required. Typical operating conditions for white LEDs in
15 flashlights and light torches vary from 20 mA at 3,2 to 3,6 V (for 25 to 30 cd light output) to up to 1A (for more than 100 lumen light output).

The choice of a LED in the present invention allows thus to
20 obtain an important advantage over the prior art, because i) the light source, and hence the illumination conditions of the marking, are precisely defined and remain the same for all authentications made with the device, and ii) the autonomy of the authentication device at continuous use can
25 be up to one week, compared to less than one day for an incandescent lamp, using a set of classic commercial AAA batteries.

In a first embodiment of the authentication device, with
30 reference to Fig. 1, a split right / left circular polarizing filter (FL, FR), composed of two semicircular filter plates, and disposed in front of a single white light source L1, is used to obtain two adjacent beams of light of

opposite circular polarization, simultaneously illuminating adjacent zones (M1, M2) of the marking (M). A positive lens or Fresnel lens (LE) may be optionally part of the authentication device. The lens is disposed between the polarization filters and the marking, at distances d_1 , d_2 , respectively, from the filters and the marking, so as to form an image of the filters in the plane of the marking. In this way, two neatly separated, adjacent zones of oppositely polarized illumination on the marking are obtained. The filters are disposed totally inside the authentication device, which comprises L1, FL/FR and LE, inside a same containment.

In a second embodiment of the authentication device, with reference to Fig. 2a, two white light sources (L1, L2), having left (FL) and right (FR) polarizing filters, respectively, are switched on and off in an alternating way, illuminating the marking (M) with alternating left and right circular polarized light.

The alternating circular polarization of the light, as known to the skilled person, can also be achieved in other ways, one of them being a mechanical changing of either handedness filters, or of filter handedness. This latter can, e.g. be accomplished by a rotating polarization filter component, i.e. a rotating $\lambda/4$ plate in front of a static linear polarizing filter, or by a rotating linear polarizing filter behind a static $\lambda/4$ plate. Mechanical polarization changing allows the use of a single light source, yielding the same, well-defined characteristics for both, the left- and the right- circular polarized illumination. This is particularly true in the case of a rotating filter component.

In a modification of the second embodiment, with reference to Fig. 2b, instead of a pair of left and right circular polarizing filters, a single electro-optic circular polarizer (EOCP), as described in DE 102 11 310, in
5 conjunction with a single white light source (L1) is used to generate the alternating left and right circular polarized illumination of the marking (M). The electro-optic circular polarizer (EOCP) is connected to an electric driver unit (DR) controlling the polarization state (left- or right-circular)
10 of the EOCP.

In still another modification of the second embodiment, applicable to both versions outlined above, and with reference to Fig. 2c, a photoelectric cell (photocell) , in
15 particular a color sensor (CS), is used to determine the intensity of the light reflected by the marking (M). The signal of the color sensor is treated by a microprocessor (μ P), which also switches on and off the light sources (L1, L2), respectively drives the electro-optic circular
20 polarizer (EOCP), and which evaluates the reflected light intensity and color as a function of the selected illumination conditions, as well as of predefined internally stored reference values, and puts out an authentication result at a display (D). The result can indicate either the
25 simple presence or absence of a circular polarizing material, or also give additional information about the color of said polarizing material.

In a further embodiment, with reference to Fig. 3a, a first
30 white light source (L1), having a left circular polarizing filter (FL), is disposed on the optical axis of the authentication device, and a plurality of (i.e. at least two) second white light sources (L2a, L2b,...), having right

circular polarizing filters (FR), are disposed around the said first light source (L1). The polarization filters may be embodied as concentric plates (FL, FR), and the order of the polarizing filters may also be reversed. An optional
5 lens may be present in the beam path, but preferably, each of the light sources (L1, L2a, L2b,...) has its own, individual lens for shaping its light output into a beam.

In operation, the first light source and the plurality of
10 second light sources are alternatively switched on and off, illuminating the marking with alternative beams of left and right circular polarized light of preferably the same light intensity. The present embodiment can, as outlined above, and with reference to Fig. 3b, furthermore be associated
15 with a photoelectric cell (photocell), in particular a color sensor (CS), a microprocessor (μ P), and a display (D), for the automated determination and evaluation of the intensity and color of the light reflected by the marking.

20 The light sources used in the embodiments outlined above can furthermore be chosen as spectrally selective light sources, such as 'color'-LEDs, emitting light in a particular, narrow wavelength range - about 50nm large - in the UV, visible or IR spectral domain, or laser diodes (LD) of determined
25 wavelengths.

In a fourth embodiment, with reference to Fig. 3a, a first white light source (L1) , having a first polarization filter (FL) , and a plurality of different second, spectrally
30 selective (color) light sources (L2a, L2b, ...) having second polarization filters (FR) , are used in conjunction with each other. In a variant of this embodiment, the first light source can also be a spectrally selective light source.

In still another embodiment, with reference to Fig. 4a, a plurality of first, different spectrally selective (color) light sources (LIa, LIb, ...) having first polarization filters (FR), and a plurality of second, different spectrally selective (color) light sources (L2a, L2b, ...) ,
5 having second polarization filters (FL), are used in conjunction with each other.

In operation, the light sources are alternatively switched
10 on and off, and the effect of illuminating the marking (M) with light of left- and right-circular polarization of different color can be visually judged. A lens (LE) may be optionally present, to focus the light onto the marking (M) . A further, unpolarized white light source (L3) may also be
15 provided to illuminate the marking under normal reading conditions.

In an alternative version of the embodiment, according to Fig. 4b, a simple photoelectric cell (photocell) (P) is used
20 to determine the intensity of the light reflected by the marking for the different colors and polarizations. The signal of the photocell is treated by a microprocessor (μ P) , which switches on and off the light sources (LIa, LIb..., L2a, L2b..., L3) , and which evaluates the reflected light
25 intensity as a function of the selected illumination conditions, as well as of predefined internally stored reference values, and which puts out an authentication result at a display (D).

30 Throughout this invention, the circular polarizing filters can also be combined with color filters, to select determined spectral domains.

The illumination strength on the marking (M) at working distance is preferably not less than 50 Lux, more preferably not less than 500 Lux. The working distance is noteworthy defined as the distance at which the authentication device
5 should be placed from the document or item to inspect the marking under optimal conditions. In embodiments using simultaneous illumination or adjacent beams, the working distance is the distance at which an image of the polarization filters is obtained in the plane of the marking.
10 The working distance is chosen, depending on the embodiment, between 1cm and 20cm, more preferably between 2cm and 10cm.

The authentication device may noteworthy also be laid out as an automated Yes/No validator comprising, in addition to the
15 polarized light source, a photocell (P), with optional filters, a processor (μ P), control switches and a display device (D), to put out the result of the automated authentication. A firmware embedded in the said microprocessor (μ P) provides the functionalities of
20 automatically: switching on/off the LEDs, reading out the signal from the photocell (P), processing and storing the read out signal values, deriving an authentication result, and displaying the authentication result.

25 The preferred embodiment of the authentication device is a small, lightweight, handy and robust device.

In a preferred embodiment, the authentication device has the form of an elongated cylinder or bar, preferably having a
30 length of the order of 10 cm. Inside the cylinder or bar, which preferably has a cross section of the order of 1 to 5 square centimeters, are disposed: the light sources, the filters, and optional further optical elements, together

with the battery serving as the power supply, and, if required, the control electronics and logic. The required switches and indicators, serving as the user interface, are disposed ergonomically at the back end and/or the outer
5 surface of the cylinder or bar; the light output being through its front end.

In a further preferred embodiment, the authentication device is made from a material of the group consisting of the
10 plastic materials, the stainless steels, aluminum and the aluminum alloys; preferably the whole device does not weigh more than 100g.

In still another preferred embodiment, the authentication
15 device has the form of a box, preferably of the order of 50x40x30mm, to be placed onto the marking on the document to be authenticated. The said box comprises two windows, allowing the human user to look at the marking under left and right circular polarized illumination, respectively.
20 Inside the box are disposed: the light sources, the filters and optional further optical elements, together with the battery serving as the power supply, and, if required, the control electronics and logic. The required switches and indicators serving as the user interface are disposed
25 ergonomically at the outer surface of the box, the light output illuminating the document is through windows facing the marking, corresponding to the said viewing windows.

The invention is now further illustrated with the help of
30 figures and exemplary embodiments.

Fig. 1: schematically depicts a authentication device according to the present invention, comprising a

single white light source (L1) and two semicircular left- and right-circular polarizing filters (FL, FR) , and an optional lens (LE) , to produce two adjacent zones (M1, M2) of simultaneous opposite circular polarized, continuous illumination on a marking (M) , for the assisted visual authentication of said marking.

- 10 Fig. 2: schematically depicts authentication devices according to the present invention, for the alternate illumination of a marking (M) with opposite circular polarized light:
- 15 a) using first and second white light sources (L1, L2), in conjunction with first and second left- and right-polarizing filters (FL, FR), for the assisted visual authentication of a marking (M);
 - 20 b) using a single white light source (L1), in conjunction with an electro-optic circular polarizer (EOCP) and a driver unit (DR), for the assisted visual authentication of a marking (M);
 - 25 c) using one of the embodiments a) or b) with an additional color sensor (CS), a microprocessor (μ P) and a display (D), for the automated authentication of a marking (M).

Fig. 3 schematically depicts an alternative authentication device according to the present invention, for the alternate illumination of a marking (M) with opposite circular polarized white light:

- 5 a) using a single first white light source (L1) ,
 having a first circular polarizing filter (FL) ,
 and a plurality of second white light sources
 (L2a, L2b, ...), having second circular
 polarizing filters (FR), disposed around the
 first light source (L1), for the assisted
 visual authentication of a marking (M);
- 10 b) using an additional color sensor (CS) , a
 microprocessor (μ P) and a display (D) , for the
 automated authentication of a marking (M) .

Fig. 4 schematically depicts an alternative
 authentication device according to the present
 invention, for the alternate illumination of a
 marking (M) with opposite circular polarized
 colored light:

- 15 a) using a plurality of first, different
 spectrally selective (color) light sources (LIa,
 LIb, ...) having first polarization filters
 (FR) , and a plurality of second, different
 spectrally selective (color) light sources (L2a,
 L2b, ...), having second polarization filters
 (FL), and optionally a third, unpolarized white
 light source (L3), and optionally a lens (LE),
 for the assisted visual authentication of a
 marking (M);
- 20 b) using an additional photocell (P), a
 microprocessor (μ P) and a display (D), for the
 automated authentication of a marking (M).

30 Fig. 5: shows an exploded view of a first embodiment of
 an authentication device according to the present
 invention: the device is a modified flashlight,
 comprising, in a cylindrical aluminum housing, a

lens (103) , semicircular left- and right-polarizing filters (102L, 102R) , a white light source (101) , an on-off switch (S) , as well as batteries (100) , the device producing two adjacent, continuous, opposite circular polarized beams of light on a document under test.

Fig. 6: shows an exploded view of a second embodiment of an authentication device according to the present invention: the device is a modified flashlight comprising, in a cylindrical aluminum housing, a first white light source (201a) having a first polarization filter (202a), surrounded by four second white light sources (201b) having second polarization filters (202b), the device producing alternate opposite circular polarized illumination on a document under test.

Fig. 7: shows a third embodiment of an authentication device according to the present invention, laid out as a validator box, comprising two separate, but similar units for viewing a marking (M) under left- and right- circular polarized light:

a) shows a schematic cross-section through a unit of the validator box, comprising a white light source (101), a circular polarizing filter (102) , and a Fresnel lens (103);

b) shows an exploded view of the validator box, illustrating the position of the switch (S) and of the battery (100);

c) shows the shape of the validator box, illustrating a possible design.

Exemplary embodiments

With reference to Fig. 5, in a first embodiment, used for the assisted visual authentication, light is provided by a LED (101) (a white LED, B5B-430-JB, Roithner, Vienna) and focused onto the marking by a lens (103) (304. OM.3 plastic lens, diameter 16.5 mm, f.l. +30mm). Between the LED and the lens are disposed two semicircular circular-polarizing filters (102L, 102R) of opposite handedness (left and right, respectively). The distance between the filters and the lens was chosen such that an image of the filters is formed on the opposite side of the lens at 5 cm distance, where the item or document carrying the marking is positioned for inspection. This renders visible the separation line between the simultaneously illuminated areas of opposite polarization, which are in this way properly defined. A mask can additionally be placed on the filters so as to project a logo or a text, instead of just a plain beam. The whole device was embodied in an aluminium cylinder, 80mm long and 14mm in diameter. In addition to its convenience and compactness, this device offers the advantage that the filters are concealed inside a device, which otherwise looks like a normal light pen, hence, an outside observer does not necessarily realize what kind of special properties of the item or document are being checked.

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The use of an LED light source provides the device with an important autonomy, the battery is a simple AAA battery such as sold on the market.

30 With reference to Fig. 6, in a second embodiment, also used for the assisted visual authentication, light is provided by five LEDs (201) (Roithner Vienna) a first one (a white LED, B5B-430-JB) located on the optical axis, the other four (a

blue LED B5B-437-IX 470nm, a green LED B5B-433-20 572 nm, a red LED B5B-435-TL 625 nm and IR LED LED850-04VP 850 nm) in a tight ring around the said first LED. The first LED is provided with a circular polarizing filter of right handedness (202) and the other four LEDs are provided with each a circular polarizing filter of left handedness (i.e. opposite to the first one) (203) . The inner and outer diodes are alternatively switched on and off at regular time intervals, such that the item under inspection is alternately illuminated with left polarized and right polarized light. The number and the arrangement of the diodes in the device are not critical, as long as the two sets illuminate the area of interest with similar intensities. The whole device was embodied in an aluminium cylinder, 100mm long and 18mm in diameter.

With reference to Fig. 7a, in a third embodiment having the form of a validator box, also used for the assisted visual authentication of a document or item, two different regions of the document or item under inspection are illuminated by separate LEDs through circular polarizing filters of opposite polarization (one region through an R-, the other through an L-polarizing filter) . Each region is visually inspected through the same polarizing filter used for polarizing the illumination, and a magnifying lens (Fresnel lens) is provided to enhance the visibility of details on the document or item. This embodiment is particularly useful if the marking on the document or item displays only a small polarization effect, or if the marking or some details of it are of very small dimensions. The validator box was embodied in a plastic casing of about 50 x 35 x 25 mm dimensions. Fig. 7b shows an exploded view of the complete validator box, and Fig. 7c a view of the box as seen from the outside.

The authentication device of the present invention can be used for the authentication of currency, value papers, vouchers, identity documents, access documents, event
5 tickets, transportation tickets, tear-tapes, product labels, or packaging materials.

A method of visual authentication of a document or item comprising a circular polarizing security marking is
10 characterized by the steps of:

- a) illuminating said marking on said document using a device according to the present invention, emitting left- and right-circular polarized light;
- b) visually judging the light reflected by the said
15 marking, hereby authenticating the document or item.

In a variant of the method, preferably adjacent zones of the document or item comprising the circular polarizing marking are simultaneously illuminated by two beams of light of
20 opposite circular polarization.

In another variant of the method, the document or item comprising the circular polarizing marking is illuminated by a single light beam of alternating circular polarization.

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In still another variant of the method, the light source is selected from the group consisting of the white Light-Emitting Diodes (white-LEDs) and the 'color' Light-Emitting Diode (color-LEDs).

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A method of automated authentication of a document or item comprising a circular polarizing security marking, using a device according to the present invention, emitting left-

and right-circular polarized light, wherein the device additionally comprises a photoelectric cell chosen from the group consisting of the photocells and the color sensors, a microprocessor (μ P), and a display (D), is characterized by

5 the automated steps of:

- a) switching on/off the light sources by the microprocessor (μ P);
- b) reading out the signal from the photocell (P) or the color sensor (SC) into the microprocessor (μ P);
- 10 c) processing and storing the read out signal values in the microprocessor (μ P);
- d) deriving an authentication result on the basis of a pre-established criterion stored in the microprocessor (μ P);
- 15 e) putting out the authentication result on the display (D).

The examples and figures given in the present description are illustrative only and shall not be construed as limiting
20 the scope of the invention in any way.

Claims

1. Authentication device for the visual authentication of a document or item comprising a circular polarizing security marking, said device comprising at least one light source, and at least one circular polarizing filter, characterized in that said device emits left- and right-circular polarized light for the illumination of the said marking on said document, wherein two beams of light of the opposite circular polarizations are produced to simultaneously illuminate a document or item comprising a circular polarizing marking, preferably in adjacent zones, thereby allowing a user to visually judge the light reflected by said marking and authenticate said document or item.
2. Authentication device according to claim 1, characterized in that the circular polarizing filter is selected from the group consisting of the left-circular and the right-circular fixed polarizing filters, and of the mechanical and the electro-optic variable circular polarizing filters.
3. Authentication device according to claim 1 or 2, characterized in that the light source is selected from the group consisting of the white Light-Emitting Diodes (white-LEDs) and the 'color' Light-Emitting Diode (color-LEDs).
4. Authentication device according to one of claims 1 to 3, characterized in that a positive lens or Fresnel lens is used to concentrate the light of the light source onto the document or item.
5. Authentication device according to one of claims 1 to 4, characterized in that the illumination strength at

working distance on the marking (M) is not less than 50 Lux, more preferably not less than 500 Lux.

6. Authentication device according to one of claims 1 to 5, characterized in that the authentication device is made from a material of the group consisting of the plastic materials, the stainless steels, aluminum and the aluminum alloys.
7. Authentication device according to one of claims 1 to 6, characterized in that the authentication device has the shape of a cylinder or a bar.
8. Authentication device according to one of claims 1 to 7, characterized in that the device additionally comprises a photoelectric cell chosen from the group consisting of the photocells and the color sensors, a microprocessor (μP), and a display (D), for the automated determination and evaluation of the intensity and color of the light reflected by the marking.
9. Use of an authentication device according to one of claims 1 to 8 for the authentication of currency, value papers, vouchers, identity documents, access documents, event tickets, transportation tickets, tear-tapes, product labels, or packaging materials.
10. Method of visual authentication of a document or item comprising a circular polarizing security marking, said method being characterized by the steps of:
 - a) illuminating said marking on said document using a device according to one of the claims 1 to 8, emitting left- and right-circular polarized light;

b) visually judging the light reflected by the said marking, hereby authenticating the document or item.

- 5 11. Method according to claim 10, wherein the light source is selected from the group consisting of the white Light-Emitting Diodes (white-LEDs) and the 'color' Light-Emitting Diode (color-LEDs).