

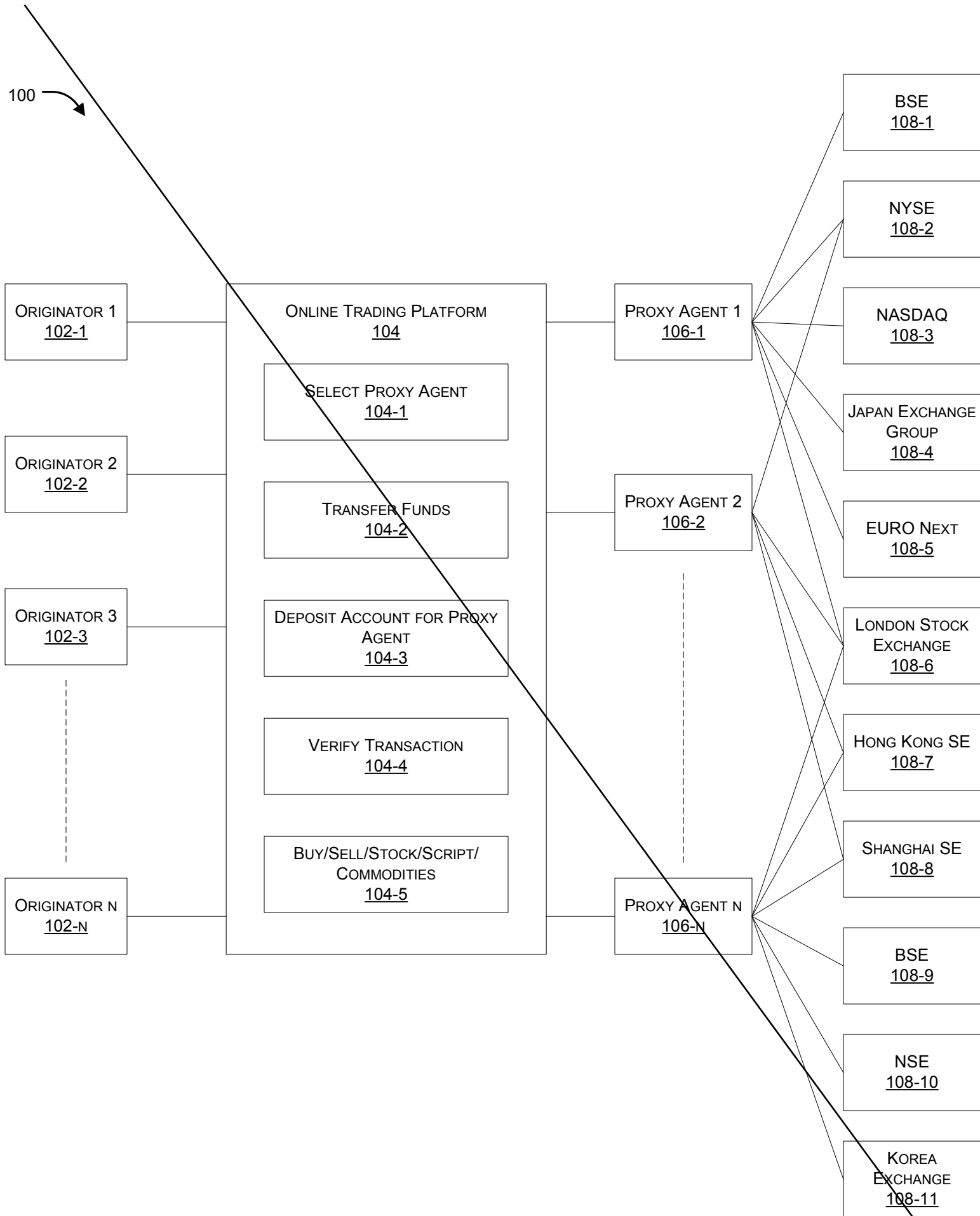


FIG. 1

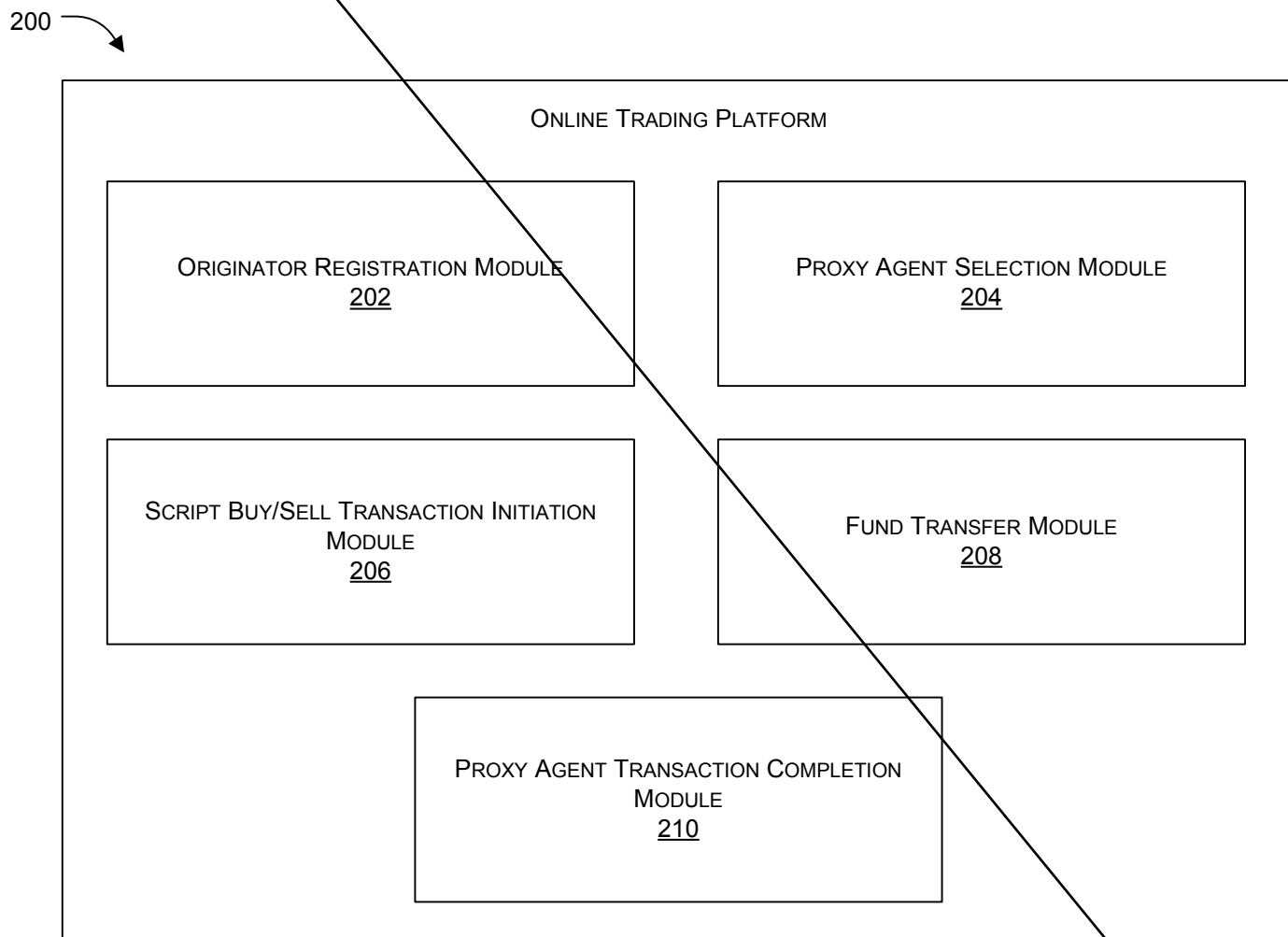


FIG. 2

300

ORIGINATOR INTERFACE

ORIGINATOR USERNAME:

INVESTMENT DETAILS

STOCK	PROXY AGENT	EXCHANGE	VOLUME	BUY PRICE(IN LOCAL CURRENCY)	CURRENT PRICE	PROFIT/LOSS
XYZ	P1	BSE	50	90	92	+100
ABC	P2	NYSE	100	55	57	+200
DEF	P3	NASDAQ	300	105	105	0
MNO	P1	KOREA EXCHANGE	50	101	107	+300
PQR	P2	EURO NEXT	200	105	92	-2600
OPQ	P3	SHANGHAI SE	100	25	18	-700
KLM	P1	NASDAQ	350	250	270	+7000
HIJ	P2	BSE	60	150	120	-1800
UVW	P3	NYSE	50	17	23	+300
GHI	P2	NYSE	160	190	180	-1600

FIG. 3

400

PROXY AGENT INTERFACE

PROXY USERNAME:

PORTFOLIO

STOCK	ORIGINATOR ID	EXCHANGE	VOLUME	BUY PRICE(IN LOCAL CURRENCY)	CURRENT PRICE	PROFIT/LOSS
XYZ	PQR_TRS	BSE	40	80	82	+80
ABC	JKR_TMN	NYSE	100	53	58	+500
DEF	PST_LMK	NASDAQ	300	100	100	0
MNO	MSN_RES	KOREA EXCHANGE	50	105	110	+250
PQR	YHM_IKL	EURO NEXT	200	92	84	-1600
OPQ	RDF_TRN	SHANGHAI SE	100	20	16	-400
KLM	CDS_GFH	NASDAQ	350	200	210	+3500
HIJ	MNB_BBS	BSE	60	140	130	-600
UVW	BBC_TRD	NYSE	50	18	24	+300
GHI	WEX_XYZ	NYSE	160	150	140	-1600

FIG. 4

500

PROXY AGENT SELECTION SCREEN

	DEPOSIT 504	RANK 506	SCORE 508	FEEDBACK 510	PREVIOUS RELATIONSHIP 510	RELEVANCY 514	MEMBER STOCK EXCHANGE 516
PA 1 502-1	10000	8.3	60	-	N	Y	SELECT ▼
PA 2 502-2	5000	6.4	80	-	Y	N	
PA 3 502-3	1000	7.0	75	-	Y	Y	▼
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
PA N 502-N	100	4.3	40	-	N	N	▼

NEW YORK STOCK EXCHANGE
LONDON STOCK EXCHANGE

FIG. 5A

550

PROXY AGENT SELECTION SCREEN

	SECURITY DEPOSIT <u>560</u>	NO. OF OBO <u>562</u>
LEVEL 1 <u>552</u>	10000	500
LEVEL 2 <u>554</u>	5000	500
LEVEL 3 <u>556</u>	1000	100
LEVEL 4 <u>558</u>	100	300

FIG. 5B

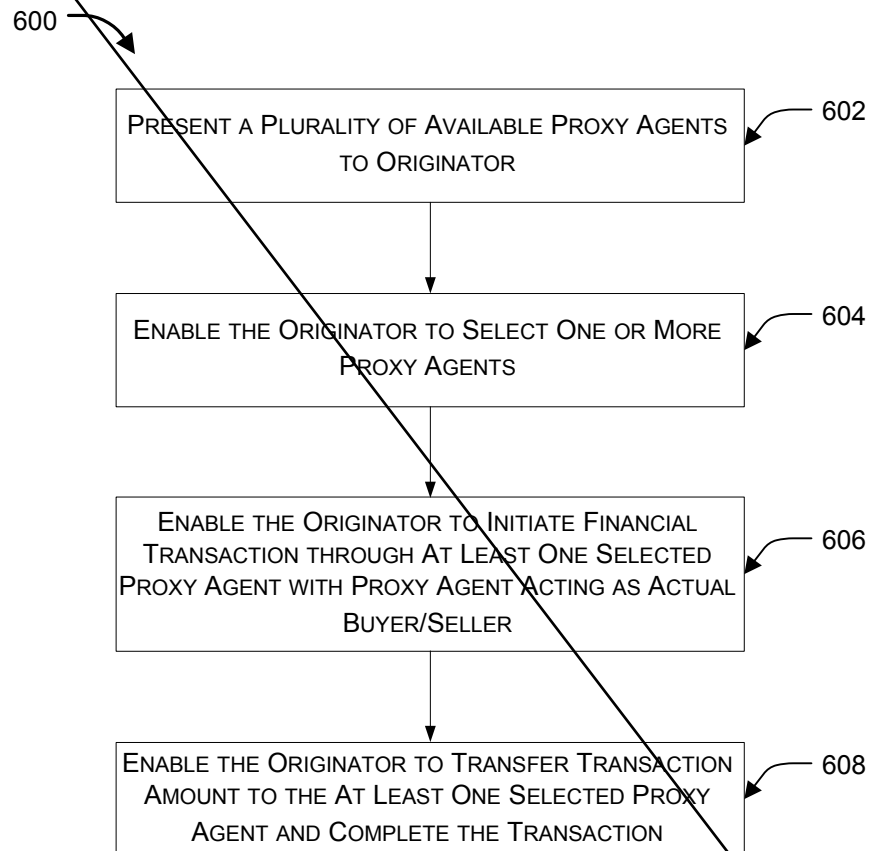


FIG. 6

100

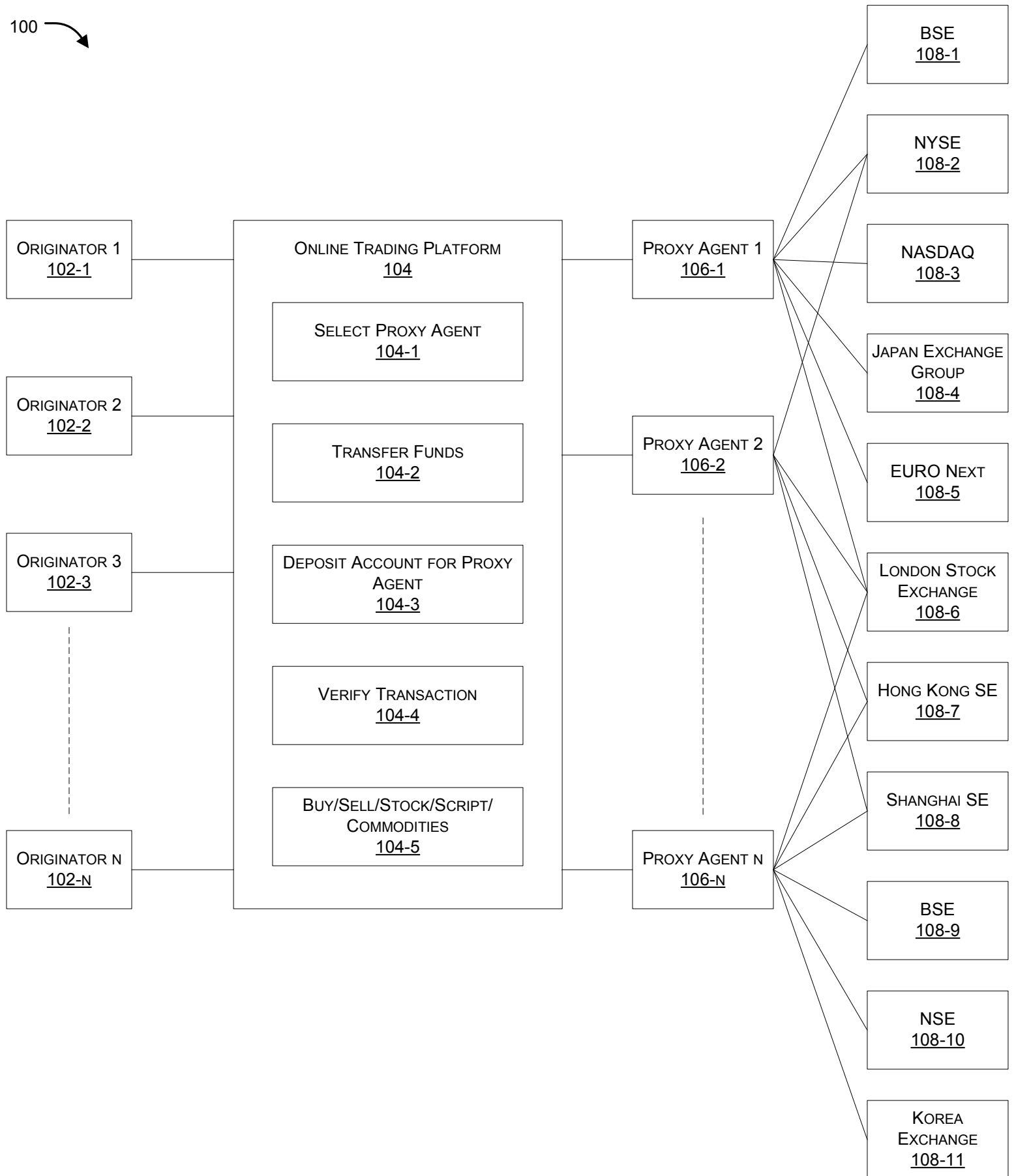


FIG. 1

200

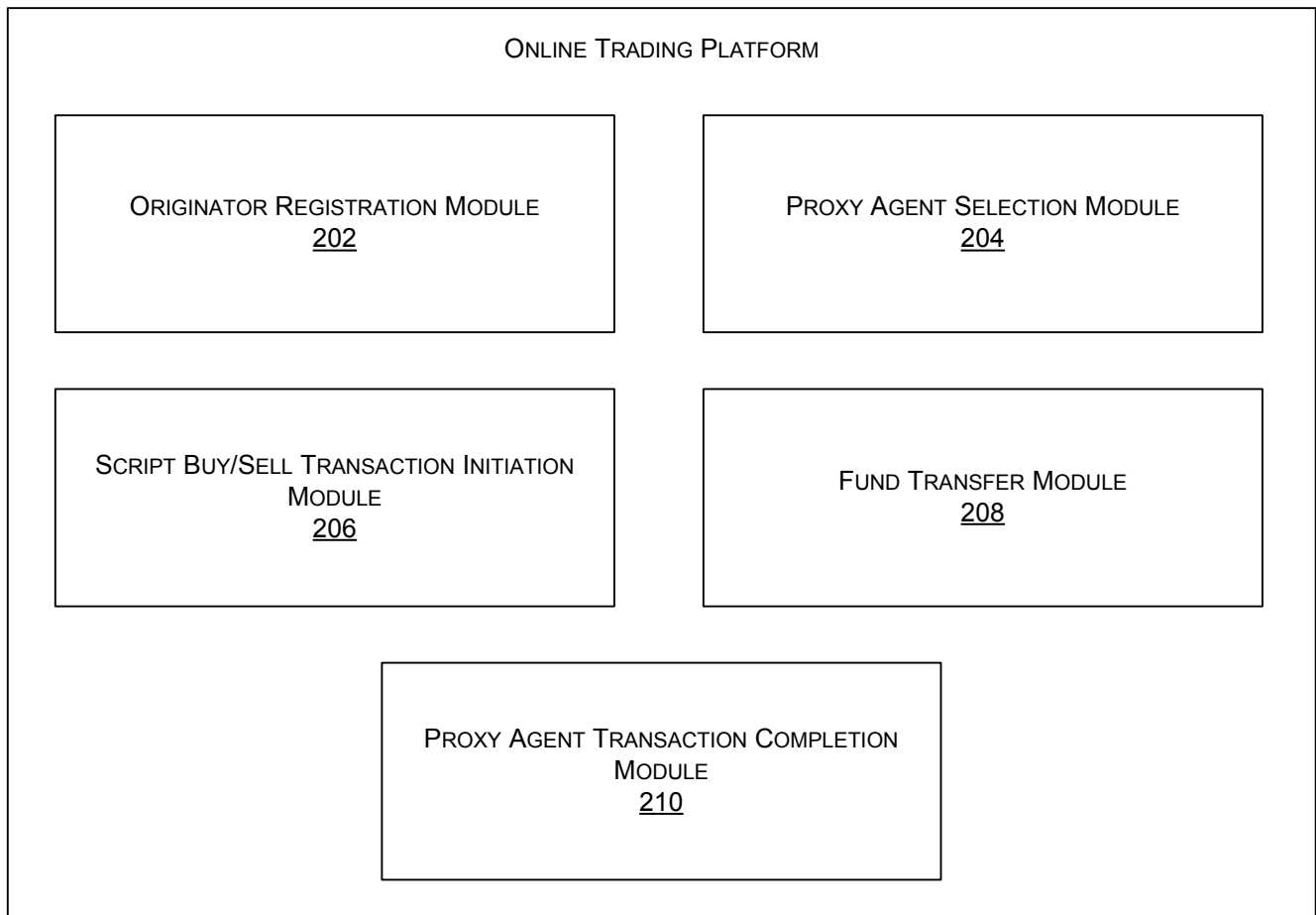


FIG. 2

300



ORIGINATOR INTERFACE

ORIGINATOR USERNAME:

INVESTMENT DETAILS

STOCK	PROXY AGENT	EXCHANGE	VOLUME	BUY PRICE(IN LOCAL CURRENCY)	CURRENT PRICE	PROFIT/LOSS
XYZ	P1	BSE	50	90	92	+100
ABC	P2	NYSE	100	55	57	+200
DEF	P3	NASDAQ	300	105	105	0
MNO	P1	KOREA EXCHANGE	50	101	107	+300
PQR	P2	EURO NEXT	200	105	92	-2600
OPQ	P3	SHANGHAI SE	100	25	18	-700
KLM	P1	NASDAQ	350	250	270	+7000
HIJ	P2	BSE	60	150	120	-1800
UVW	P3	NYSE	50	17	23	+300
GHI	P2	NYSE	160	190	180	-1600

FIG. 3

400 

PROXY AGENT INTERFACE

PROXY USERNAME:

VIEW CREDENTIALS/DETAILS

VIEW DEPOSIT ACCOUNT DETAILS

PORTFOLIO

STOCK	ORIGINATOR ID	EXCHANGE	VOLUME	BUY PRICE(IN LOCAL CURRENCY)	CURRENT PRICE	PROFIT/LOSS
XYZ	PQR_TRS	BSE	40	80	82	+80
ABC	JKR_TMN	NYSE	100	53	58	+500
DEF	PST_LMK	NASDAQ	300	100	100	0
MNO	MSN_RES	KOREA EXCHANGE	50	105	110	+250
PQR	YHM_IKL	EURO NEXT	200	92	84	-1600
OPQ	RDF_TRN	SHANGHAI SE	100	20	16	-400
KLM	CDS_GFH	NASDAQ	350	200	210	+3500
HIJ	MNB_BBS	BSE	60	140	130	-600
UVW	BBC_TRD	NYSE	50	18	24	+300
GHI	WEX_XYZ	NYSE	160	150	140	-1600

FIG. 4

500

PROXY AGENT SELECTION SCREEN

	DEPOSIT 504	RANK 506	SCORE 508	FEEDBACK 510	PREVIOUS RELATIONSHIP 510	RELEVANCY 514	MEMBER STOCK EXCHANGE 516
PA 1 502-1	10000	8.3	60	-	N	Y	SELECT ▼
PA 2 502-2	5000	6.4	80	-	Y	N	NEW YORK STOCK EXCHANGE LONDON STOCK EXCHANGE
PA 3 502-3	1000	7.0	75	-	Y	Y	▼
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
PA N 502-N	100	4.3	40	-	N	N	▼

FIG. 5A

550 

PROXY AGENT SELECTION SCREEN		
	SECURITY DEPOSIT <u>560</u>	NO. OF OBO <u>562</u>
LEVEL 1 <u>552</u>	10000	500
LEVEL 2 <u>554</u>	5000	500
LEVEL 3 <u>556</u>	1000	100
LEVEL 4 <u>558</u>	100	300

FIG. 5B

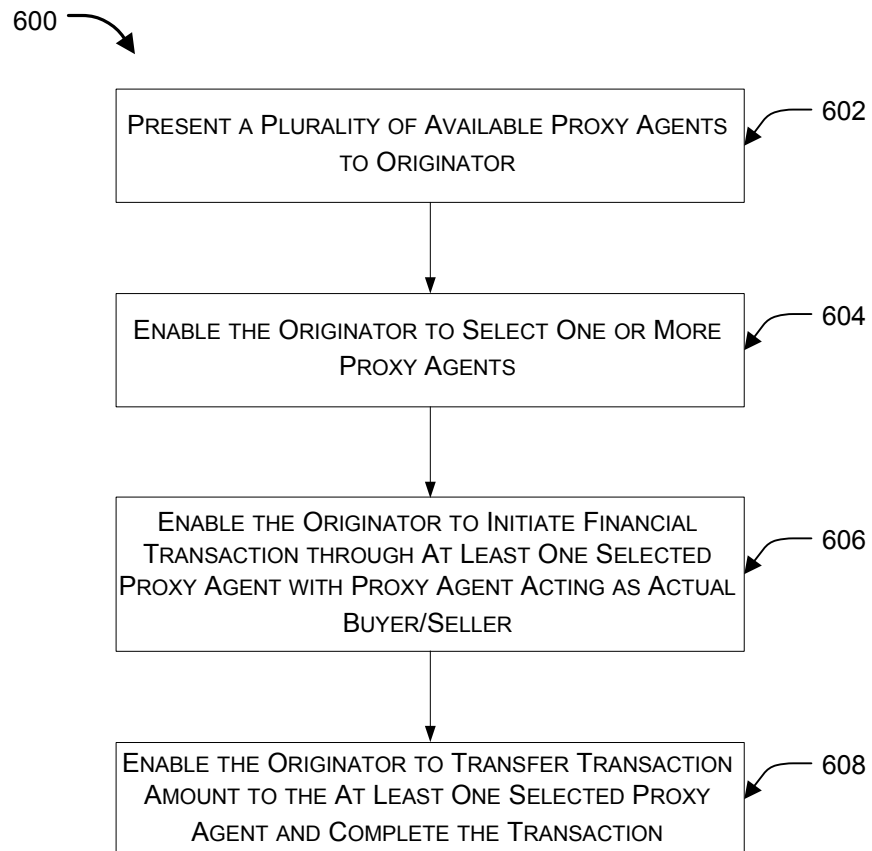


FIG. 6