

METHOD AND APPARATUS FOR TIME DIVISION DUPLEX PILOT SIGNAL GENERATION

BACKGROUND OF THE INVENTION

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I. Field of the Invention

The present invention relates to communication systems, particularly to a method and apparatus for performing handoff from a code division multiple access system to an alternative technology system.

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II. Description of the Related Art

In a code division multiple access (CDMA) cellular telephone system or personal communications system, a common frequency band is used for communication with all base stations in a system. The common frequency band allows simultaneous communication between a mobile unit and more than one base station. Signals occupying the common frequency band are discriminated at the receiving station through the spread spectrum CDMA waveform properties based on the use of a high rate pseudonoise (PN) code. The high rate PN code is used to modulate signals transmitted from the base stations and the mobile units. Transmitter stations using different PN codes or PN codes that are offset in time produce signals that can be separately received at the receiving station. The high rate PN modulation also allows the receiving station to receive a signal from a single transmitting station where the signal has traveled over several distinct propagation paths.

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In an exemplary CDMA system, each base station transmits a pilot signal having a common PN spreading code that is offset in code phase from the pilot signal of other base stations. During system operation, the mobile unit is provided with a list of code phase offsets corresponding to neighboring base stations surrounding the base station through which communication is established. The mobile unit is equipped with a searching receiver or element that allows the mobile unit to track the signal strength of the pilot signal from a group of base stations including the neighboring base stations.

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A method and a system for providing a communication link with the mobile unit through more than one base station during the handoff process are disclosed in U.S. Patent No. 5,267,261, issued November 30, 1993, entitled "MOBILE STATION ASSISTED SOFT HANDOFF IN A CDMA CELLULAR COMMUNICATIONS SYSTEM," assigned to the assignee of the present

invention. Using this system, communication between the mobile unit and the end user is uninterrupted by the eventual handoff from an original base station to a subsequent base station. This type of handoff may be considered a "soft" handoff in that communication with the subsequent base station is established before communication with the original base station is terminated. When the mobile unit is in communication with two base stations, a single signal for the end user is created from the signals from each base station by a cellular or personal communication system controller.

Mobile unit assisted soft handoff operates based on the pilot signal strength of several sets of base stations as measured by the mobile unit. The Active Set is the set of base stations through which active communication is established. The Neighbor Set is a set of base stations surrounding an active base station comprising base stations that have a high probability of having a pilot signal strength of sufficient level to establish communication. The Candidate Set is a set of base stations having a pilot signal strength of sufficient level to establish communication.

When communications are initially established, a mobile unit communicates through a first base station and the Active Set contains only the first base station. The mobile unit monitors the pilot signal strength of the base stations of the Active Set, the Candidate Set, and the Neighbor Set. When a pilot signal of a base station in the Neighbor Set exceeds a predetermined threshold level, the base station is added to the Candidate Set and removed from the Neighbor Set at the mobile unit. The mobile unit communicates a message to the first base station identifying the new base station. A cellular or personal communication system controller decides whether to establish communication between the new base station and the mobile unit. Should the cellular or personal communication system controller decide to do so, the cellular or personal communication system controller sends a message to the new base station with identifying information about the mobile unit and a command to establish communications therewith. A message is also transmitted to the mobile unit through the first base station. The message identifies a new Active Set that includes the first and the new base stations. The mobile unit searches for the new base station transmitted information signal and communication is established with the new base station without termination of communication through the first base station. This process can continue with additional base stations.

When the mobile unit is communicating through multiple base stations, it continues to monitor the signal strength of the base stations of

the Active Set, the Candidate Set, and the Neighbor Set. Should the signal strength corresponding to a base station of the Active Set drop below a predetermined threshold for a predetermined period of time, the mobile unit generates and transmits a message to report the event. The cellular or personal communication system controller receives this message through at least one of the base stations with which the mobile unit is communicating. The cellular or personal communication system controller may decide to terminate communications through the base station having a weak pilot signal strength.

The cellular or personal communication system controller upon deciding to terminate communications through a base station generates a message identifying a new Active Set of base stations. The new Active Set does not contain the base station through which communication is to be terminated. The base stations through which communication is established send a message to the mobile unit. The cellular or personal communication system controller also communicates information to the base station to terminate communications with the mobile unit. The mobile unit communications are thus routed only through base stations identified in the new Active Set.

Because the mobile unit is communicating with the end user through at least one base station at all times throughout the soft handoff processes, no interruption in communications occurs between the mobile unit and the end user. A soft handoff provides significant benefits in its inherent "make before break" handoff technique over conventional "break before make" techniques employed in other cellular communication systems.

New CDMA systems are typically initially deployed in areas with existing FM or other technology systems. The initial deployment of the CDMA system may be gradual and cover only a portion of an operating area covered by the original existing system. In such a case, as a mobile unit communicating in CDMA mode drives from the coverage area of the CDMA system to a portion of the system without CDMA coverage, a handoff from the CDMA system to the original system is needed in order to facilitate continuous communication. The process of mobile unit assisted soft handoff as described above is not possible between the CDMA system and the original system. The handoff from the CDMA system to the original system must be performed as a "break before make" hard handoff. When performing a hard handoff it is especially important to ensure that the handoff will be successful because a failed hard handoff typically results in a dropped call.

It is therefore the object of the present invention to provide a method and apparatus for performing handoff from a CDMA system to a system employing a different technology.

5 It is therefore a further object of the present invention to provide an inexpensive and reliable means of detecting entry into the coverage area of an alternate system.

SUMMARY OF THE INVENTION

10 The present invention defines a method and apparatus for performing handoff to a second independent system from a code division multiple access (CDMA) system using a pilot signal. A set of base stations form a border between CDMA operation and operation in the second system. The border base stations themselves operate only on the second
15 system technology and are incapable of reception and demodulation of CDMA signals. A pilot signal is transmitted from the set of border base stations. As a mobile unit operating in the CDMA system approaches a border base station, it receives the pilot signal from the border base station. Just as the mobile unit would do upon reception of a pilot signal
20 corresponding to a CDMA base station, the mobile unit reports the reception of the border base station's pilot signal to a system controller through the base stations with which it is currently in communication. The system controller is aware that the pilot signal corresponds to a base station incapable of CDMA operation. The system controller can communicate
25 with the system controller of the second system and negotiate the availability of resources for the mobile unit in the second system. The CDMA system controller can forward the resource information corresponding to the second system to the mobile unit and order the mobile unit to handoff to the second system. The mobile unit then performs a hard
30 handoff to the second system.

The pilot signal in the border base station in the present system is produced by a simple box which can be easily and cheaply installed in existing base stations. The pilot box requires only power to operate. The pilot box may also have a connection to a system controller for monitoring
35 operation, configuration, and fault detection. The pilot box may also transmit a synchronization signal. The pilot box uses the pilot signal from surrounding base station to obtain system time in a time division duplex manner.

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BRIEF DESCRIPTION OF THE DRAWINGS

The features, objects, and advantages of the present invention will become more apparent from the detailed description set forth below when taken in conjunction with the drawings in which like reference characters identify correspondingly throughout and wherein:

5 FIG. 1 illustrates an exemplary base station coverage area structure;

FIG. 2 illustrates an exemplary base station coverage area structure comprising the present invention;

FIG. 3 illustrates an exemplary embodiment of the pilot box configuration;

10 FIG. 4 is an exemplary block diagram showing the time acquisition circuitry; and

FIG. 5 is an exemplary block diagram showing the time acquisition circuitry and message reception circuitry.

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DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

FIG. 1 illustrates an exemplary base station coverage area structure. In such an exemplary structure, hexagonal base station coverage areas abut one another in a symmetrically tiled arrangement. Each mobile unit is located within the coverage area of one of the base stations. For example, mobile unit 10 is located within the coverage area of base station 20. In a code division multiple access (CDMA) cellular or personal communication telephone system, a common frequency band is used for communication with all base stations in a system allowing simultaneously communication between a mobile unit and more than one base station. Mobile unit 10 is located very close to base station 20 and therefore receives a strong signal from base station 20 and relatively weak signals from surrounding base stations. However mobile unit 30 is located in the coverage area of base station 40 but is close to the coverage area of base stations 100 and 110. Mobile unit 30 receives a relatively weak signal from base station 40 and similarly sized signals from base stations 100 and 110. If each of base stations 40, 100, and 110 are capable of CDMA operation, mobile unit 30 might be in soft handoff with base stations 40, 100, and 110.

The exemplary base station coverage area structures illustrated in FIG. 1 and FIG. 2 are highly idealized. In the actual cellular or personal communication environment, base station coverage areas may vary in size and in shape. Base station coverage areas may tend to overlap with coverage area boundaries defining coverage area shapes different from the ideal

hexagon shape. Furthermore, base stations may also be sectorized such as into three sectors, as is well known in the art. However base stations with lesser or greater numbers of sectors are envisioned. In a CDMA system each base station or sector of a sectorized base station transmits an identifying pilot signal.

Base station 60 of FIG. 1 represents an idealized three sectorized base station. Base station 60 has three sectors, each of which covers more than 120 degrees of the base station coverage area. Sector 50, having a coverage area indicated by the unbroken lines 55, overlaps the coverage area of sector 70, having a coverage area indicated by the coarse dashed lines 75. Sector 50 also overlaps the sector 80, having a coverage area as indicated by the fine dashed lines 85. For example, location 90 as indicated by the X is located in both the coverage area of sector 50 and sector 70.

In general a base station is sectorized to reduce the total interference power to and from mobile units located within the coverage area of the base station while increasing the number of mobile units that can communicate through the base station. For example, sector 80 would not transmit a signal intended for a mobile unit at location 90 and thus no mobile unit located in sector 80 is significantly interfered with by the communication of a mobile unit at location 90 with base station 60. For a mobile unit positioned at location 90, the total interference has contributions from sectors 50 and 70 and from base stations 20 and 120. A mobile unit at location 90 may simultaneously be in soft handoff with base stations 20 and 120 and sectors 50 and 70.

A method for providing communication with a mobile unit through more than one base station during the handoff process is disclosed in U.S. Patent No. 5,267,261 as described above. This type of handoff may be considered a "soft" handoff in that communication with the subsequent base station is established before communication with the original base station is terminated.

New CDMA systems are typically initially deployed in areas with existing FM or other technology systems. The initial deployment of the CDMA system may be gradual and cover only a portion of an operating area covered by the original existing system. For example FIG. 2 shows a system where coverage areas C1_A - C1_S have base stations that are capable of CDMA operation. A typical deployment of a new CDMA system places the higher capacity CDMA capable coverage areas C1_A - C1_S in high traffic areas such as a downtown area of a city. The lower traffic areas such as more suburban areas which can be supported by the lower capacity original system may not

initially have CDMA capabilities. Coverage areas C2_A - C2_R have original system base stations which are incapable of CDMA traffic channel communication.

5 To accommodate the CDMA system, a portion of the spectrum used by the original system is reserved for CDMA operation. The reservation of a portion of the spectrum implies that the base stations corresponding to coverage areas C1_A - C1_S do not use the reserved spectrum for communications using the original technology. Likewise base stations corresponding to border coverage areas C2_A - C2_R cannot use the reserved
10 CDMA spectrum for communication using the original technology because of mutual interference with the CDMA system.

In a typical deployment, the base stations within coverage areas C1_A - C1_S may also be capable of communication using the original technology. Thus a mobile unit with an established original technology call
15 in coverage areas C2_A - C2_R can continue to communicate as it moves into coverage areas C1_A - C1_S without changing to CDMA operation. The base stations within coverage areas C1_A - C1_S can support the call in the original technology following a standard handoff procedure used by the original technology system as controlled by original system controller 200. (Typically
20 the original technology will use hard handoff techniques for all handoffs within the system.) However, when a mobile unit such as mobile unit 100 of FIG. 2 initiates a CDMA call and during the call exits coverage areas C1_A - C1_S, a hard handoff from the CDMA system to the original technology system is required to sustain uninterrupted communication.

25 The process of mobile unit assisted soft handoff as described above is not possible between the CDMA system and the original system. The handoff from the CDMA system to the original system must be performed as a "break before make" hard handoff. When performing a hard handoff, it is especially important to ensure that the handoff will be successful because a
30 failed hard handoff typically results in a dropped call.

Border 170, indicated as a thickened black line in FIG. 2, represents the boundary between the CDMA capable base stations corresponding to coverage areas C1_A - C1_S and the original system operation only base stations corresponding to adjacent coverage areas C2_A - C2_R. In FIG. 2, mobile
35 unit 100 initiates a CDMA call with base station 120 of coverage area C1_A and then moves in the direction indicated by arrow 180. Mobile unit 100 performs a soft handoff between base station 120 and base station 150 of coverage area C1_F as directed by CDMA system controller 202. As mobile unit 100 enters coverage area C1_p, it is likely to be in soft handoff with base

station 150, base station 160 of coverage $C1_p$, and base station 140 of coverage area $C1_Q$. As mobile unit 100 crosses border 170 and enters coverage area $C2_A$, a hard handoff to base station 130 and to operation in the original technology is performed. The present invention is an inexpensive and reliable method of ensuring that mobile unit 100 is securely within coverage area $C2_A$ and within range of base station 130 before a hard handoff is performed.

As described above, a mobile unit participating in an active CDMA call continually scans the incoming signal in search of pilot signals from neighboring base stations. If the mobile unit finds a pilot signal of a nearby base station of qualifying level, the mobile unit sends a message to CDMA system controller 202 indicating the detection of the signal. The present invention makes use of this existing process to facilitate hard handoff to the original system.

In the present invention, a simple time division duplex "pilot box" is added to the original technology only base stations which are located in border coverage areas such as adjacent coverage areas $C2_A - C2_R$ of FIG. 2. The pilot box produces a pilot signal which in the preferred embodiment is the same as the pilot signal transmitted from the CDMA capable base stations where each base station transmits the pilot signal at a time offset unique to it.

FIG. 3 shows a preferred embodiment of the pilot box configuration. The pilot channel contains no data and therefore the pilot data input of pilot box 350 is all zeros. The Walsh function for the pilot channel is the Walsh zero function which is also all zeroes. Summer 310 sums the two zero sequences. (The function of summer 310 is exceeding trivial and is shown in FIG. 3 for explanatory purposes. In an actual implementation, summer 310 may not be included and the pilot channel sequence summed with the Walsh function could be implemented with a simple ground potential or logical '0' level.) The pilot sequence output of summer 310 is input into summer 336 such that the I channel short code pseudonoise (PN) sequence from I channel short code generator 332 is impressed upon the pilot sequence. The pilot sequence output of summer 310 is also input into summer 338 such that the Q channel short code pseudonoise (PN) sequence from Q channel short code generator 334 is impressed upon the pilot sequence. As noted above, in the preferred embodiment the I and Q channel short codes are the same for every base station in the system but offset in time from one another. To properly align the time offset, the pilot box needs the universal time input acquired as described below. The output of

summers 336 and 338 are filtered by baseband filters 340 and 342 respectively. Baseband filters 340 and 342 may also set the gain of the signal path. In typical implementations the pilot signal is transmitted at a higher gain level than other signals.

5 The output of baseband filter 340 is summed with any other optional I channel signals by summer 344 as explained in further detail below. Summer 344 is optional and is not needed if no other signals are provided. The output of summer 344 then modulated with a cosine wave by mixer 320. The output of baseband filter 342 is summed with any other
10 optional Q channel signals by summer 346 as explained in further detail below. Again, summer 344 is optional and is not needed if no other signals are provided. The output of summer 346 is then modulated with a sine wave by mixer 322. The outputs of mixers 320 and 322 are summed by summer 324. The output of summer 324 is provided to upconverter and
15 power amplifier 326 where the signal is upconverted to the carrier frequency and amplified. The output of upconverter and power amplifier 326 is the output of pilot box 350 which is transmitted from the antenna of the base station within which pilot box 350 is installed.

During CDMA system operation, the mobile unit is provided with a
20 list of short code phase offsets corresponding to neighboring base stations surrounding the base station through which communication is established. This list may include the offset of the pilot signal of a base station in a border coverage area if the mobile unit is in close proximity to the border between CDMA operation and the original technology only operation. The searching
25 element in the mobile unit tracks the signal strength of the pilot signals from the Neighbor Set in the same manner as described above without regard to the fact that some of the Neighbor Set members may be original technology only base stations.

When a pilot signal of a border base station in the Neighbor Set
30 exceeds a qualifying level, the corresponding base station is added to the Candidate Set and removed from the Neighbor Set at the mobile unit. Referring again to FIG. 2, the pilot signal from base station 130 is detected by mobile unit 100 as it approaches the border of coverage area C2A. Mobile unit 100 communicates a message through the base station or base stations
35 with which it is in communication (most likely base stations 140 and 160) to CDMA system controller 202 identifying base station 130. System controller 202 recognizes that base station 130 has no CDMA capability and thus triggers a hard handoff process.

The actual hard handoff can result from a variety of stimuli. In other words, once system controller 202 has received the message from mobile unit 100 indicating reception of the pilot signal from base station 130, system controller 202 may use any of a variety of methods to choose when, and if, to handoff to the alternative system. System controller 202 may use a timer method to determine when to handoff. Alternatively system controller 202 may base handoff on signal strength measurements, or on the use of position location techniques. But in any case, if resources are available, original system controller 200 supplies information needed for handoff (e.g. the channel information for FM or channel and slot assignment for TDMA) to CDMA system controller 202. Original system controller 200 also notifies base station 130 to prepare for the handoff of mobile unit 100. CDMA system controller 202 sends the channel information to mobile unit 100 through each base station with which mobile unit 100 is in communication. Mobile unit 100 receives the message and stops communicating through the CDMA base stations and begins operation in the original system mode with base station 130. Communication continues in the original system technology uninterrupted by the hard handoff.

If a mobile unit located in one of the border coverage areas $C2_A - C2_R$ is initially powered up, the mobile unit may first seek to find a CDMA pilot signal. The mobile unit finds the pilot box signal and then attempts to find a system information bearing synchronization channel signal, i.e., a sync signal. In the preferred embodiment, the pilot box does not transmit a sync signal and the mobile unit being unable to detect the sync signal after some time defaults to operation in the original system mode.

Pilot box 350 of FIG. 3 may therefore also contain synchronization (sync) signal generation capability. The purpose of the sync channel in the preferred embodiment of a CDMA system is to allow mobile units within the coverage area of the corresponding base station to acquire initial time synchronization and system information such as the protocol revisions serviceable by the base station. When power is first applied to a mobile unit, it first seeks to find a pilot signal. Having found a pilot signal it seeks a corresponding sync channel. The sync signal provides information to the mobile unit indicating the minimum protocol revision level supported by the base station. Only mobile units having the minimum protocol revision level or a greater revision number may access the system.

In the second embodiment of the present invention the pilot box comprises circuitry to produce a sync channel shown as sync channel option box 300 of FIG. 3. The sync channel bits are first convolutionally encoded by

convolutional encoder 302 to produce data symbols. The data symbols are repeated in symbol repetition 304. The repeated symbols are block interleaved by block interleaver 306. The interleaved data is modulated with a Walsh function sequence by summer 308. In the preferred
5 embodiment 64 Walsh sequences are available and the sync channel is modulated with Walsh function 32 which is 32 zeroes (0's) followed by 32 ones (1's.) The output of summer 308 is input into summer 312 such that the I channel short code from I channel short code generator 328 is impressed upon the pilot sequence. The pilot sequence output of
10 summer 308 is also input into summer 314 such that the Q channel short code from Q channel short code generator 330 is impressed upon the pilot sequence. In the preferred embodiment the I and Q channel short codes are the same as the sequences used to modulate the pilot sequence. The output of summers 312 and 314 are filtered by baseband filters 316 and 318
15 respectively. Baseband filters 316 and 318 may also set the gain of the signal path. The output of baseband filters 316 and 318 are input into summer 344 and 346 respectfully and from there follow the same path as the pilot sequence to the transmit antenna.

Sync channel option box 300 can be used to prevent a stranded mobile
20 unit in the event that the mobile unit does not switch into original system operation automatically if it is unable to acquire a sync signal. The sync channel bits in sync channel option box 300 can be set such that the minimum protocol revision level supported is set to the maximum value such that each and every mobile unit has a revision number lower than the
25 minimum required. Thus upon powering up within the coverage area of a base station comprising a pilot box with the sync channel option, the mobile unit first acquires the pilot signal then acquires the sync channel and then examines the information from the sync channel which indicates that the mobile unit is unable to communicate with this base station in CDMA mode
30 because its protocol revision is too old. The mobile unit then switches to the original technology mode of operation and is able to initiate or receive a call in that mode.

In yet another embodiment, pilot box 350 may comprise a connection to either original system controller 200 or CDMA system controller 202 (as
35 shown by dashed lines in Fig. 2.) The connection would be a minimal data rate connection which monitors the condition of the operation pilot box 350 and possibly allows parameters to be set within pilot box 350. One of the major functions of such a connection would be to monitor for faults within

pilot box 350 to facilitate the rapid detection and corrections of any fault condition which may arise.

The system in FIG. 2 assumes that the border base stations corresponding to coverage areas $C2_A$ - $C2_R$ are single sectored base stations. In a standard situation, this generalization is probably true. The border base stations are typically located in more suburban areas where base station sectorization is not needed to accommodate the anticipated traffic load. However, as shown in FIG. 1, it is common that some base stations within a system are sectorized. In such a case, each sector of the original technology only base stations having a coverage area abutting the border outputs a pilot signal. Those sectors within the border base station which do not have coverage areas abutting the border would not need to transmit a pilot signal. In the most basic implementation, each sector of each border base station which abuts the border would have its own pilot box. However in an alternative preferred embodiment, a single pilot box would be provided for each base station even if the base station has multiple sectors abutting the border. In such a case multiple sectors of the same base station transmit the same pilot signal. When the mobile unit transmits a message indicating reception of the common pilot signal the system must use other methods to determine which sector of the base station the mobile unit is approaching. For example, the system is aware of the base station or base station sector with which the mobile unit is currently communicating and thus has some idea of the physical location of the mobile unit.

In yet an alternative embodiment, to implement a very highly reliable system, two separate pilot boxes could be installed in each base station or sector. Each pilot box would transmit a pilot signal at the nominal offset assigned to that sector or base station. However one of the pilot box outputs is delayed from the other by a fixed amount. The fixed amount should be small with respect to the standard offset between neighboring base station such that the system maps the undelayed and delayed offset to the same base station. The fixed amount should be large enough to prevent interference of the delayed and undelayed pilot signals due to the multipath effects of the system. In this way the reliability of the system is increased in that if one pilot box fails, the other continues to provide the pilot signal for detection by the mobile unit.

It is apparent from the discussion above and FIG. 3 that the pilot box requires a universal time sync input to align the I and Q channel short code generators to the appropriate pilot offset. Because in the preferred embodiment each base station transmits the same pilot sequence at a unique

offset and the offset in the pilot sequence used to discriminate and identify base station pilot signals, the pilot generation process has no meaning unless it is time aligned in relation to the other base stations in the system. The pilot box in FIG. 3 is an inexpensive item. On the other hand, the circuitry
5 used to produce the universal time input can be expensive and may require an additional antenna at each the base station if a Global Positioning System (GPS) is used.

Note, however, even though the pilot sequence must be time aligned with respect to other pilot signals transmitted in the system, it is not
10 imperative that the pilot signal be aligned with fine precision. The terrestrial environment provides natural and unknown path delay as the signal travels from the base station to the mobile units. Therefore the mobile units search a window of time offsets surrounding the nominal receive time of a pilot signal when attempting to determine the signal
15 strength of the pilot signals corresponding to the Neighbor Set of base stations. Thus the precision with which the pilot signal from the pilot box needs to be aligned corresponds only to that precision required to ensure that the pilot signal reaches a mobile unit within the window of time in which the mobile unit searches for the pilot signal.

20 Upon noticing that the pilot signal need not be generated with fine precision, it becomes advantageous to find a manner of eliminating the expensive and bulky GPS circuitry. One manner of doing so is by the implementation of a time-division-duplex, time tracking circuitry shown in FIG. 4. Note that just as a mobile unit once having acquired a pilot signal
25 from the base station is able to track the pilot signal as the delay between the mobile unit and the base station changes, a base station can track the pilot signal of neighboring base stations.

For example, in FIG. 2 note that base station 140 transmits a continuous pilot signal for use in the CDMA system and is equipped with a
30 GPS receiver or other equipment which provides base station 140 with accurate absolute time. Base station 145 is equipped with a time division duplex (TDD) pilot box of the present invention. Distance 135 and the corresponding delay between base station 140 and base station 145 are measurable quantities and can be determined in a calibration procedure at
35 the time of deployment.

FIG. 4 illustrates the time division duplex pilot technique of the present invention. As noted above each base station in a CDMA system transmits a pilot sequence using a common frequency band. Therefore for base station 145 to track the pilot sequence of base station 140 in order to

acquire system time for use in creation of its own pilot signal, base station 145 must transmit and receive on the same frequency band. However, constructing equipment which is able to simultaneously receive and to transmit on the same frequency band with sufficient isolation to
5 operate is a difficult task. The process of creating the pilot signal can be simplified by taking note of some of the characteristics of system operation.

Note that when a mobile unit is searching for the pilot signal of members of the Neighbor Set of base stations, the mobile unit searches sequentially through a set of corresponding pilot sequence offsets. If a pilot
10 sequence at a given offset is not encountered, the corresponding base station remains as a member of the Neighbor Set of base stations and another search at the corresponding offset is made at some later time by the mobile unit. Thus if a base station were to temporarily terminate transmission of its pilot signal, for mobile units newly approaching the coverage area of that base
15 station a delay in perception of that base station's pilot signal may result. However ultimately the pilot signal would be acquired by the mobile units when the base station resumed transmission of the pilot signal.

Also note that as described above, the pilot signal of the base station does not need to be precisely aligned with system time. Thus a small time
20 offset error in the pilot signal from a base station are naturally tracked by the same mechanism in the mobile unit uses to track changes in the pilot signal due to path delays in the terrestrial environment.

Thus the pilot generation method and apparatus of the present invention contemplates the use of a time division duplex (TDD) scheme in
25 which a border base station comprising a TDD pilot generation box alternates long periods of transmission of the pilot signal with short periods of reception of neighboring base station's pilot signals. FIG. 4 illustrates a block diagram in accordance with the present invention. Antenna 400 provides for reception and transmission of CDMA pilot signals. TDD switch 402 is
30 used to connect antenna 400 to pilot generator 414 during times of transmission and to searcher and demodulator 404 during times of reception. Searcher and demodulator 404 tracks the pilot signal of at least one neighboring base station. As noted above, searcher and demodulator 404 needs the PN offset corresponding to the neighboring base
35 station and it needs to know the terrain delay so that it can adjust for the delay. Searcher and demodulator 404 outputs a signal indicative of absolute time to comparator 406. Comparator 406 compares the measured absolute time with the time sync input currently being generated by stable oscillator 412. During the time when TDD switch 402 is connected to

searcher and demodulator 404 and reception of the pilot signal is occurring, second TDD switch 408 connects the error signal output of comparator 406 to a control input of stable oscillator 412. Capacitor 410 holds the error signal when second TDD switch 408 opens during periods of transmission. The
5 aligned output of stable oscillator 412 drives the universal time input of pilot generator 414. Pilot generator 414 supplies the pilot signal to antenna 400 through TDD switch 402.

Note also that base station 145 could easily obtain time by tracking system time from a plurality of base stations. For example, in the ideal
10 hexagon configuration base station 145 would receive similarly sized signals from both base station 140 and base station 155. Base station 145 could easily track the pilot signal received from base station 155 as well as base station 140 and filter together the two absolute time indications to generate the input to comparator 406. If the TDD pilot box is configured to track only one pilot
15 signal, it should track the pilot signal it receives with the minimum amount of delay.

In some system configurations the TDD pilot box may need to initially or continually demodulated a synchronization channel from the neighboring base stations to obtain an indication of absolute time.

Also note that in a multisector base station or a base station in a
20 system where two CDMA frequency bands are allocated, only one sector or one of the frequency bands needs to be used to acquire absolute time which can then be used to drive a plurality of different pilot generation circuitry. Each sector transmitting at the frequency on which the pilot signal from the
25 neighboring base station is to be received may need to stop transmitting during reception of the neighboring pilot signal. This technique may be especially beneficial in those instances where one of the sectors receives only weak pilot signals from neighboring base stations.

Note that the ratio of the time spent transmitting a pilot signal to the
30 time spent receiving a pilot signal can be high, such as on the order of 50 to 1. Note that at initial deployment, the TDD pilot box may need to remain in receive mode for some extended period of time to initially acquire the pilot signal. However, once having acquired the signal, the TDD feature can be used to track the pilot signal in the steady state. Also note that it may be
35 advantageous to randomly or pseudo-randomly dither in time the reception times to avoid any beating pattern which might occur with any periodic operation within the mobile units.

It may be possible to align the TDD boundaries with other system operation boundaries to conserve signal processing power. For example, if

the TDD boundaries are aligned with frame boundaries within the transmitted signal, a single processor interrupt may be used.

In some systems, some mobile units may operate in a slotted mode whereby the mobile units are intermittently available for communication with the base station. The base stations are aware of the slotted mode operation and only attempt to contact the mobile unit during those "active time slots" when the mobile unit is monitoring for messages. If the TDD pilot box is assigned a set of active slots in the same manner that a mobile unit is assigned a set of active slots, the TDD pilot box can monitor for incoming messages intended for it during those time slots. The active time slots can be aligned to the TDD pilot reception times thus the active time slots serve a dual purpose. The TDD pilot box could use the active time slots as a short message service mechanism to receive messages from the neighboring base station as forward from the CDMA or original system controller. FIG. 5 shows a TDD pilot box comprising demodulation and message decoding 416 which monitors for incoming messages from the base station supplying the pilot signal. Messages which might be transmitted to the TDD pilot box include operation, administration, and maintenance (OA&M) messages. Further information on slotted mode can be found in U.S. Patent No. 5,293,287, entitled "APPARATUS AND METHOD FOR REDUCING POWER CONSUMPTION IN A MOBILE COMMUNICATION RECEIVER," issued February 21, 1995, assigned to the assignee of the present invention, and incorporated herein by this reference.

In the '287 patent, each mobile unit is assigned a mobile unit identification number. Upon registering with the system the mobile unit transfers information to the system controller including the mobile unit identification number. From the mobile unit identification number and the time of day, both the system controller and the mobile unit can independent determine a pseudo-random active slot time set during which the mobile unit monitors for pages. The base station only attempts to send messages to the mobile unit during the active time slots. In this case, the TDD pilot box can be assigned an analogous mobile identification number based on which an active set of time slots can be determined both by the system controller (or base station) and the TDD pilot box such as by using a hash function. The active slots are also used as the pilot reception times.

There are many obvious variations to the present invention as presented including simple architectural changes such as replacing TDD switch 402 with a simple isolation circuit. The previous description of the preferred embodiments is provided to enable any person skilled in the art to

make or use the present invention. The various modifications to these embodiments will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other embodiments without the use of the inventive faculty. Thus, the present invention is not
5 intended to be limited to the embodiments shown herein but is to be accorded the widest scope consistent with the principles and novel features disclosed herein.

WE CLAIM:



CLAIMS

1. In a system for providing communication with at least one mobile unit, said system comprising a first plurality of base stations providing code division multiple access (CDMA) communication, wherein said first plurality of base stations are clustered physically together, said system further comprising a second plurality of base stations providing communication using an alternative access communication technique wherein said second plurality of base stations surrounds said first plurality of base stations thereby creating a border set of base stations wherein said border set of base stations is a subset of said second plurality of base stations wherein each base station in said border set has a coverage area with a contiguous boundary with a coverage area corresponding to a base station of said first plurality of base stations, a method for providing handoff from said CDMA communication to said alternative access communication technique comprising the steps of:
 - transmitting from each base station in said first plurality of base stations an identifying pilot signal and CDMA call signals in a first frequency band;
 - receiving intermittently at each base station in said border set of base stations an identifying pilot signal transmitted by one of said first plurality of base stations;
 - determining from said received identifying pilot signal an estimate of absolute system time;
 - transmitting intermittently from each base station in said border set of base stations an identifying pilot signal aligned to said estimate of absolute time in said first frequency band and alternative access communication technique call signals in a different frequency band wherein each base station in said border set of base stations receives said identifying pilot signal only during those time when transmission of said identifying pilot signal has been paused;
 - measuring at a first mobile unit a pilot signal strength of each of said identifying pilot signals corresponding to a neighboring set of base stations wherein said neighboring set of base stations comprises base stations having coverage areas in proximity to said first mobile unit;
 - receiving at said first mobile unit a first pilot signal corresponding to a target base station wherein said target base station is a member of said border set of base stations and wherein said target base station is a member of said neighboring set of base stations;



38 transmitting a message from said first mobile unit to a CDMA system
controller via at least one base station in said first plurality of base stations
40 wherein said message indicates receipt of said first pilot signal; and
initiating by said CDMA system controller a handoff procedure for
42 said first mobile unit with an alternative access technique system controller.

2. The method of Claim 1 further comprising the steps of:
2 communicating from said alternative access technique system
controller to said CDMA system controller information for operation in said
4 alternative access communication technique for said first mobile unit; and
communicating said information for operation in said alternative
6 access communication technique to said first mobile unit via at least one
base station in said first plurality of base stations.

3. The method of Claim 1 further comprising the step of transmitting
2 from each base station in said border set of base stations a synchronization
channel signal in said first frequency band.

4. The method of Claim 3 wherein said synchronization channel
2 signal comprises an indication of a minimum revision level supported by
said base station and wherein said indication denotes a revision level
4 incompatible with said first mobile unit.

5. The method of Claim 1 wherein each base station in said first
2 plurality of base stations which comprises multiple sectors transmits a
different identifying pilot signal from each of said multiple sectors and
4 wherein each base station in said border set of base stations which comprises
multiple sectors transmits an identical identifying pilot signal in each of said
6 multiple sectors having a contiguous coverage area boundary with a
coverage area corresponding to a base station of said first plurality of base
8 stations.

6. The method of Claim 1 wherein one of said base stations in said
2 border set of base stations which comprises multiple sectors having a
contiguous boundary with a coverage area corresponding to a base station of
4 said first plurality of base stations and wherein said one of said base stations
in said border set of base stations determines only one estimate of absolute
6 system time.



7. The method of Claim 1 wherein said step of receiving
2 intermittently has a random pattern.

8. The method of Claim 1 wherein said step of receiving
2 intermittently has a pseudo-random pattern.

9. The method of Claim 1 wherein said step of determining from said
2 received identifying pilot signal an estimate of absolute system time
comprises the steps of:

4 receiving a terrain time delay input indicative of a transmission time
delay from said base station corresponding to said received identifying pilot
6 signal and said receiving base station;

8 demodulating said received identifying pilot signal to determine a
current estimate of absolute time;

10 comparing said estimate of absolute time with said current estimate of
absolute time to generate an error signal; and

12 adjusting said received identifying pilot signal according to said error
signal.

10. The method of Claim 1 wherein each of said identifying pilot
2 signals comprises an identical series of pseudo-noise code chips and wherein
a time offset is used to distinguish between each of said identifying pilot
4 signals and wherein said estimate of absolute time is used to align said
identical series of pseudo-noise code chips transmitted from said each base
6 station in said border set of base stations with relation to said identical series
of pseudo-noise code chips transmitted from said first set of base stations.

11. A cellular communication system for providing communication
2 with at least one mobile unit, said system comprising:

4 a first plurality of base stations clustered physically together for
providing code division multiple access (CDMA) communication signals
wherein each base station of said first plurality of base stations transmits an
6 identifying pilot signal; and

8 a border set of base stations wherein said border set of base stations is a
subset of a second plurality of base stations for providing communication
using an alternative access communication technique wherein said second
10 plurality of base stations surrounds said cluster of said first plurality of base
stations and wherein each base station in said border set has a coverage area
12 with a contiguous boundary with a coverage area corresponding to a base



station of said first plurality of base stations and wherein each base station of
14 said border set comprises:

searcher and demodulator and filter circuitry for
16 intermittently demodulating and pausing at least one of said
identifying pilot signals transmitted by each base station of
18 said first plurality of base stations to obtain an indication of a
universal time; and

20 a pilot generator for providing a transmit pilot signal
during time when said searcher and demodulator and filter
22 circuitry are paused.

12. The cellular communication system of Claim 11 wherein said
2 pilot generator for providing a transmit pilot signal apparatus comprises:

I channel short code generator means for receiving said universal
4 time and creating an I channel spreading sequence at a time offset;

a first baseband filter for receiving said I channel spreading sequence
6 and providing a filtered I channel spreading sequence;

a first mixer for modulating said filtered I channel spreading sequence
8 and producing a modulated I output signal;

Q channel short code generator means for receiving said universal
10 time and creating a Q channel spreading sequence at said time offset;

a second baseband filter for receiving said Q channel spreading
12 sequence and providing a filtered Q channel spreading sequence;

a second mixer for modulating said filtered Q channel spreading
14 sequence and producing a modulated Q output signal;

a summer for summing said modulated I output signal and said
16 modulated Q output signal to produce a summed modulated signal; and

upconversion and amplification means for receiving said summed
18 modulated signal and producing said transmit pilot signal.

13. The cellular communication system of Claim 11 wherein at least
2 one base station of said first plurality of base stations comprises multiple
sectors and wherein each sector of said at least one base station transmits a
4 unique one of said identifying pilot signal and wherein at least one base
station of said border set comprises multiple sectors and wherein each sector
6 of said at least one base station of said border set transmits an identical one
of said transmit pilot signal.



14. The cellular communication system of Claim 11 wherein at least
2 one base station of said border set comprises a second pilot generator for
providing a second transmit pilot signal having a time offset shifted from
4 said time offset of said pilot generator.

15. The cellular communication system of Claim 11 wherein said
2 searcher and demodulator and filter circuitry further comprises:

4 a demodulator for combining a calibration delay constant and a
current pilot signal offset measurement to produce a current indication of
absolute time;

6 a comparator for comparing said indication of absolute time with said
universal time to produce an error signal; and

8 a variable time generation circuit for adjusting said universal time in
accordance with said error signal.

16. In a system for providing communication with at least one
2 mobile unit, said system comprising a first plurality of base stations
providing code division multiple access (CDMA) communication, wherein
4 said first plurality of base stations are clustered physically together, said
system further comprising a second plurality of base stations providing
6 communication using an alternative access communication technique
wherein said second plurality of base stations surrounds said first plurality
8 of base stations thereby creating a border set of base stations wherein said
border set of base stations is a subset of said second plurality of base stations
10 wherein each base station in said border set has a coverage area with a
contiguous boundary with a coverage area corresponding to a base station of
12 said first plurality of base stations, a method for providing handoff from said
CDMA communication to said alternative access communication technique
14 comprising the steps of:

16 transmitting from each base station in said first plurality of base
stations an identifying pilot signal and CDMA call signals in a first frequency
band;

18 receiving intermittently at each base station in said border set of base
stations an identifying pilot signal transmitted by one of said first plurality of
20 base stations;

22 determining from said received identifying pilot signal an estimate of
absolute system time; and

24 transmitting intermittently from each base station in said border set of
base stations an identifying pilot signal aligned to said estimate of absolute



time in said first frequency band and alternative access communication
26 technique call signals in a different frequency band wherein each base station
in said border set of base stations receives said identifying pilot signal only
28 during those time when transmission of said identifying pilot signal has
been paused.

17. The method of Claim 16 wherein one of said base stations in said
2 border set of base stations which comprises multiple sectors having a
contiguous boundary with a coverage area corresponding to a base station of
4 said first plurality of base stations and wherein said one of said base stations
in said border set of base stations determines only one estimate of absolute
6 system time.

18. The method of Claim 16 wherein said step of receiving
2 intermittently has a random pattern.

19. The method of Claim 16 wherein said step of receiving
2 intermittently has a pseudo-random pattern.

20. The method of Claim 16 wherein said step of determining from
2 said received identifying pilot signal an estimate of absolute system time
comprises the steps of:

4 receiving a terrain time delay input indicative of a transmission time
delay from said base station corresponding to said received identifying pilot
6 signal and said receiving base station;

8 demodulating said received identifying pilot signal to determine a
current estimate of absolute time;

10 comparing said estimate of absolute time with said current estimate of
absolute time to generate an error signal; and

12 adjusting said received identifying pilot signal according to said error
signal.

21. The method of Claim 16 wherein said step of receiving
2 intermittently has a deterministic pattern based on said estimate of absolute
time and an identifying number assigned to each base station in said border
4 set of base stations.

22. The method of Claim 16 further comprising the step of receiving
2 at at least one base station in said border set of base stations a message signal



- transmitted by one of said first plurality of base stations while receiving said
- 4 identifying pilot signal transmitted by one of said first plurality of base stations.

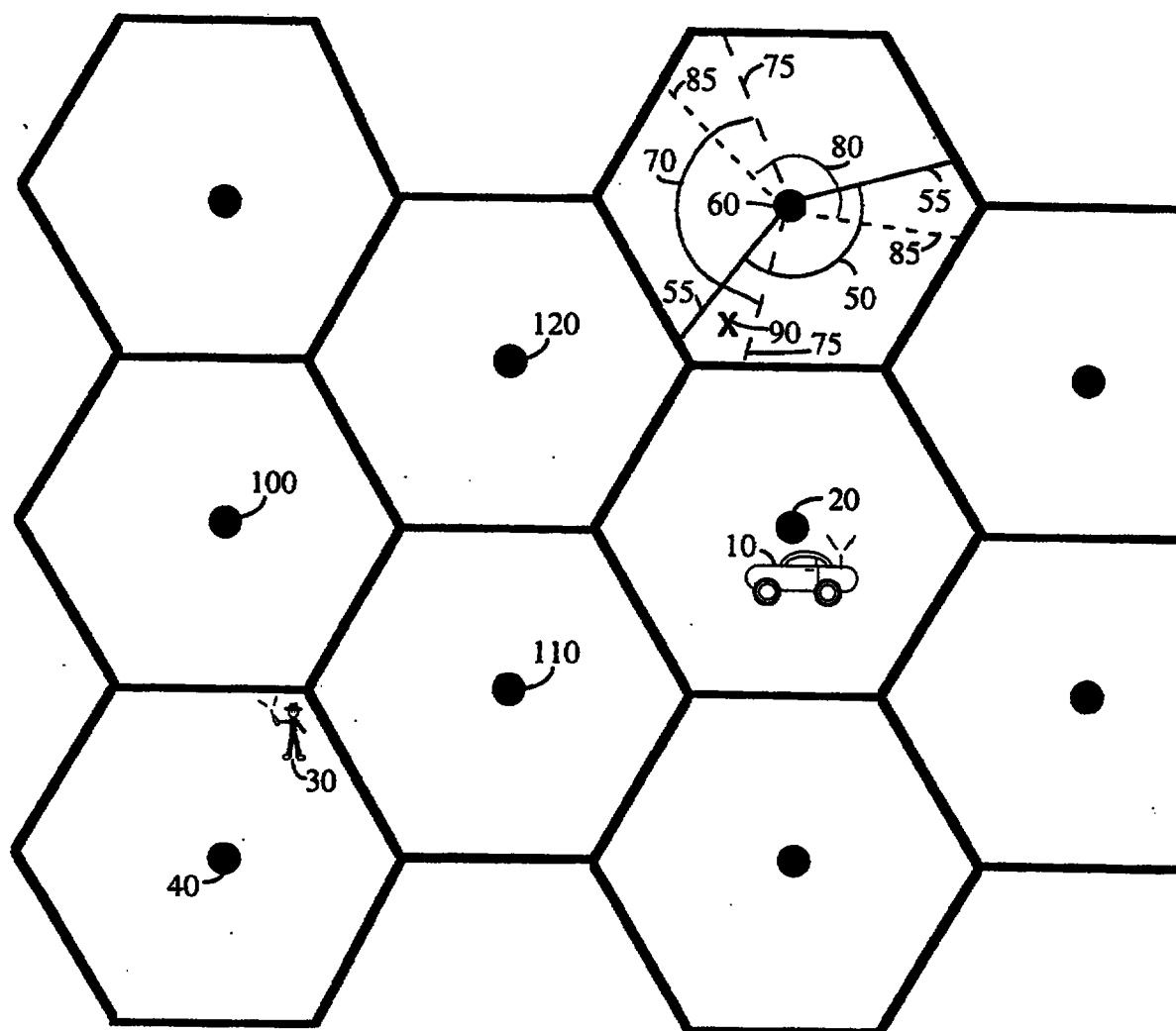
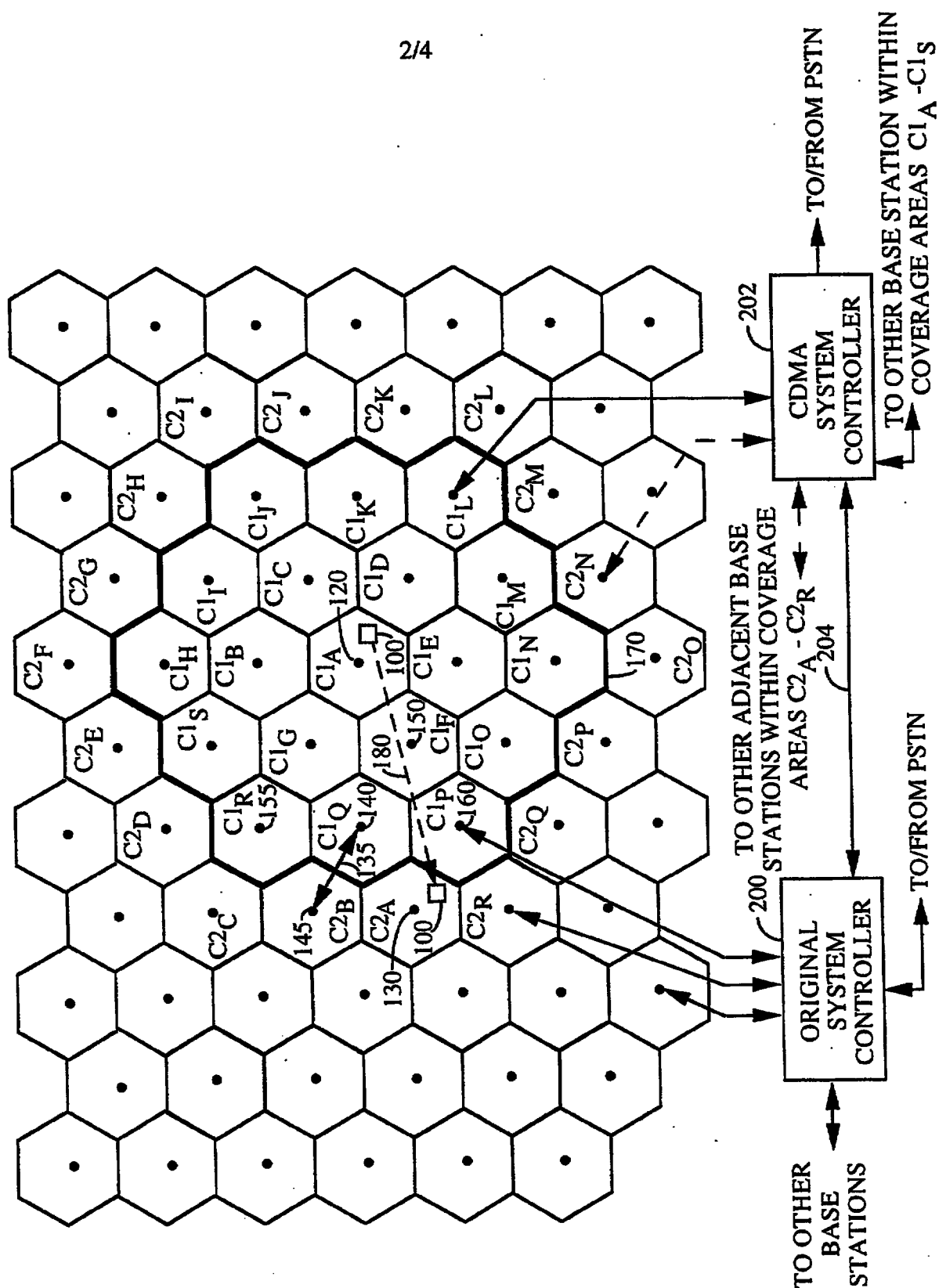
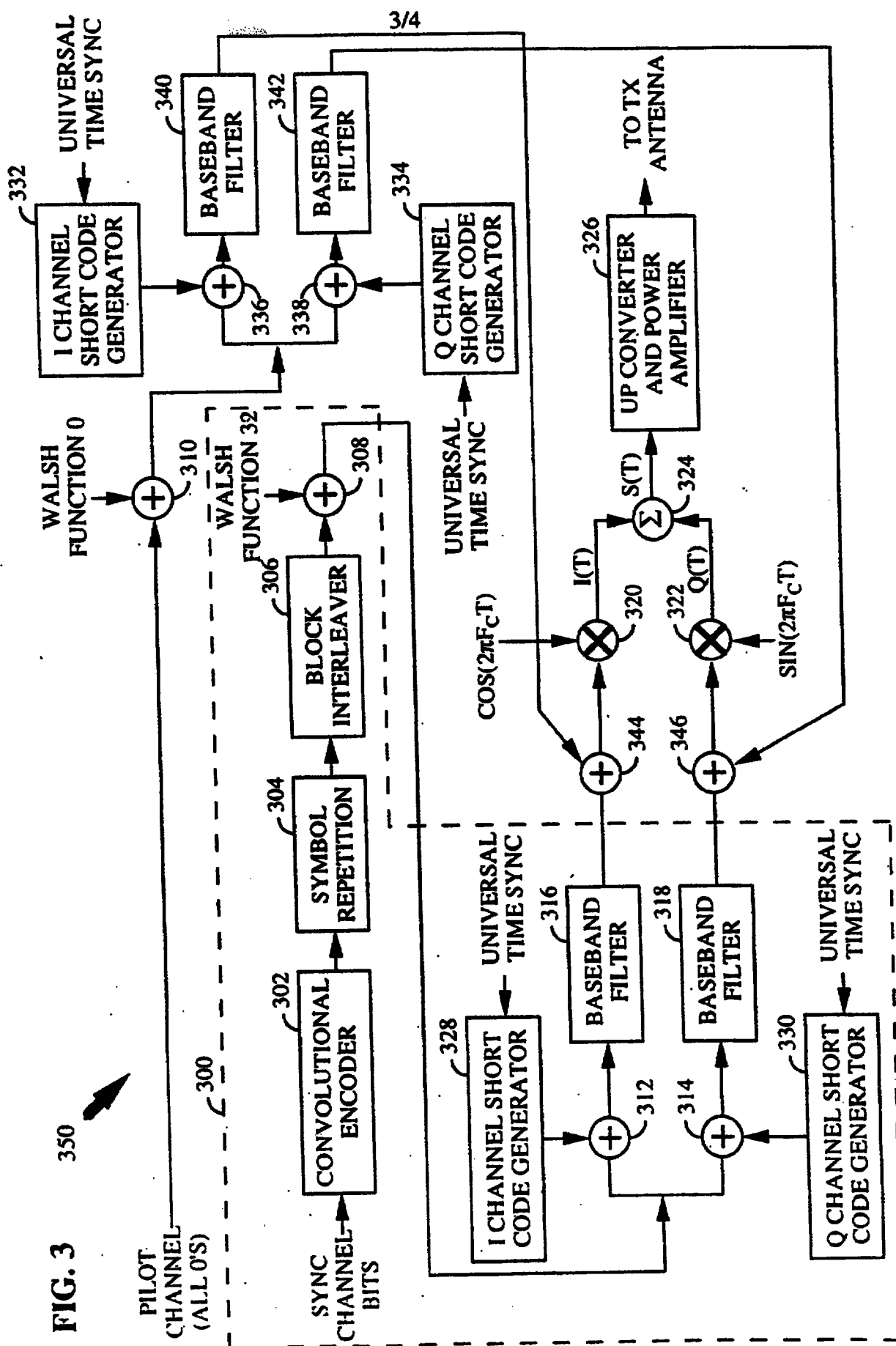


FIG. 1





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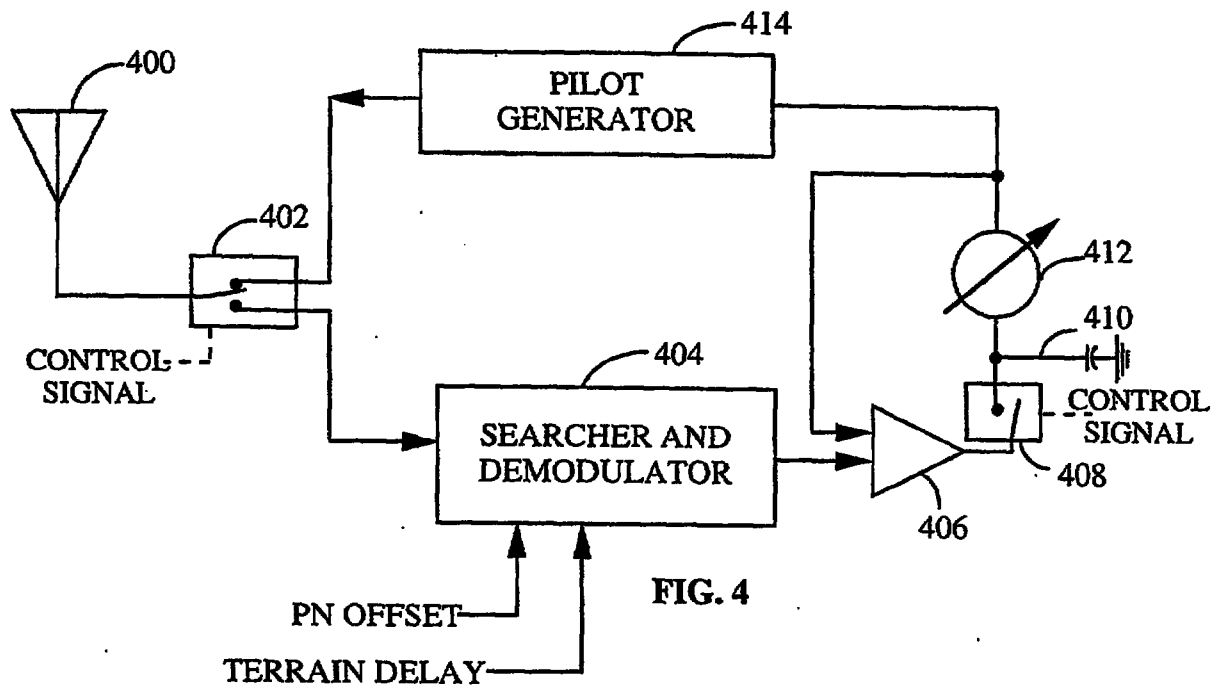


FIG. 4

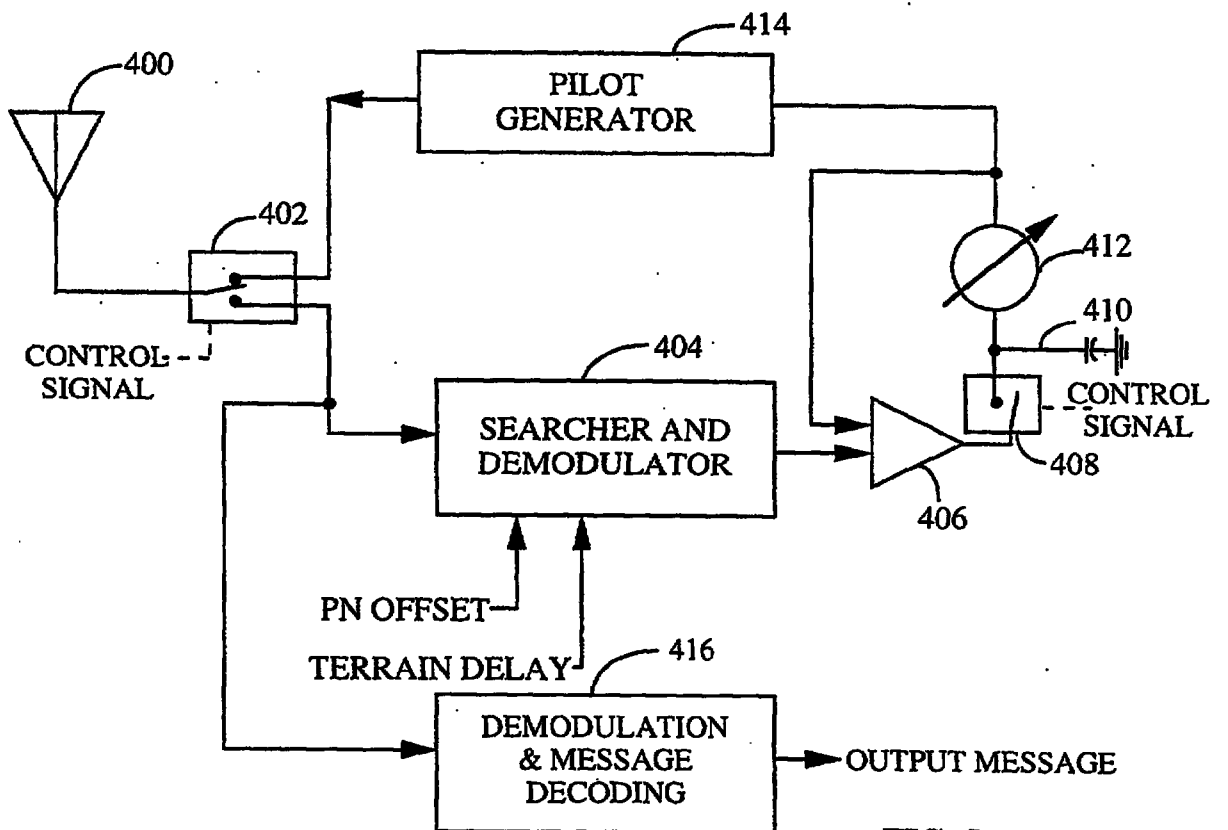
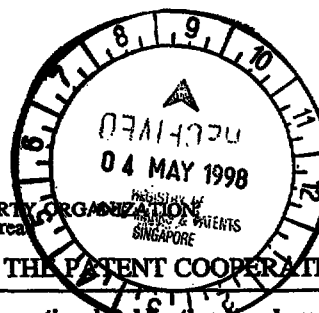


FIG. 5



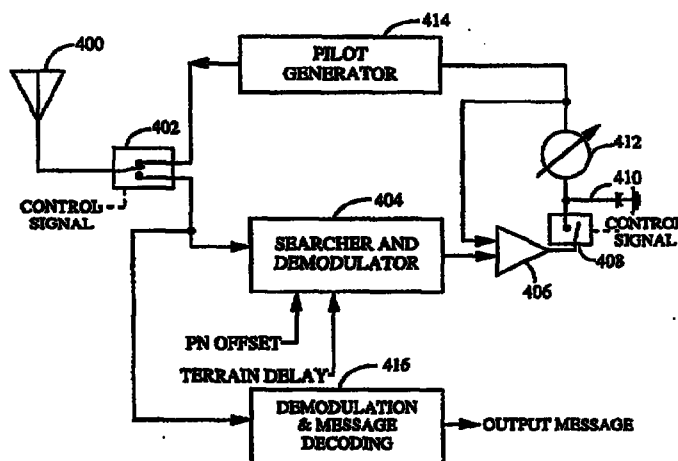
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(21) International Application Number: PCT/US96/13009 (22) International Filing Date: 7 August 1996 (07.08.96) (30) Priority Data: 515,501 15 August 1995 (15.08.95) ✓ US (71) Applicant: QUALCOMM INCORPORATED [US/US]; 6455 Lusk Boulevard, San Diego, CA 92121 (US). ✓ (72) Inventors: WEAVER, Lindsay, A., Jr.; 1162 Cherryvale Road, Boulder, CO 80303 (US). BAYLEY, Gwain; 5124 Hilda Road, San Diego, CA 92110 (US). TIEDEMANN, Edward, G., Jr.; 4350 Bromfield Avenue, San Diego, CA 92122 (US). GILHOUSEN, Klein, S.; 6474 Jackson Creek Road, Bozeman, MT 59715 (US). (74) Agent: MILLER, Russell, B.; Qualcomm Incorporated, 6455 Lusk Boulevard, San Diego, CA 92121 (US).	(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	

(54) Title: **METHOD AND APPARATUS FOR TIME DIVISION DUPLEX PILOT SIGNAL GENERATION**



(57) Abstract

A method and apparatus for providing a reliable means to perform handoff from a code division multiple access (CDMA) system using a pilot signal to an alternative access technique system. Simple pilot box circuitry (350) is added to a set of border base stations (C2A-C2R). The border base stations are base stations (C2A-C2R) which operate only in the alternative access technique and which have coverage areas which are contiguous with coverage areas of CDMA operation base stations (C1H-C1S). The mobile unit (10) monitors for the pilot signal from the border base stations (C2A-C2R) in the same manner as it monitors for pilot signals from the CDMA operation base stations (C1A-C1S). When the mobile unit (10) detects the pilot signal corresponding to a border base station (C2A-C2R), it notifies a system controller (202) in accordance with standard operation. The system controller (202) is aware that the pilot signal corresponds to a border base station and thus triggers a hard handoff process to the alternative access technique system in response thereto. The border base stations (C2A-C2R) use a time division duplex technique to monitor a pilot and synchronization sequence from a neighboring one of the CDMA operation base stations to obtain an estimate of absolute system time.