

5 **METHODS AND COMPOSITIONS FOR PREVENTING AND TREATING**
 CHRONOLOGICAL AGING IN HUMAN SKIN

Related Applications

 This application is based in part on copending provisional applications
60/040,594, filed 25 February 1997, and 60/042,976, filed 7 April 1997, the
10 disclosures of which are incorporated herein by reference.

Background of the Invention

1. Field of the Invention.

 This invention relates to methods and compositions, especially those comprising
retinoids, preferably topically applied, which are useful for improving keratinocyte and
15 fibroblast proliferation, decreasing matrix metalloproteinase (MMP) expression, and
improving collagen synthesis in elderly skin, thus providing as an effect the
rejuvenation of aged skin.

2. The state of the art.

 As far as mammals go, humans are essentially hairless; that is, most of the skin of
20 the human body can be seen without interference from hair. The skin is thus exposed
to whatever insults (natural and man-made) the environment harbors. Since it was first
understood that the sun caused erythema, people have taken measures to avoid its
"harmful rays." A century ago, in Elizabethan England, it was the fashion to avoid the
sun at all costs. Yet the skin of those Elizabethans still wrinkled and displayed other
25 signs of chronological aging.

 Human skin is a complex organ which extends over the entire body. There are
different types of skin at different portions of the body; for example, facial skin is
different from that of the scalp, and even the skin on the front (palm) of the hand is



different than that on the back of the hand. Although the type of skin can vary over a person's body, skin is generally composed of two main layers of tissue. The epidermis or cuticle, the outermost layer, is composed of superficial layers (from the outside in: *stratum corneum*, *stratum lucidum*, and *stratum granulosum*) and deep layers (*stratum spinosum* and *stratum basale*). The dermis, *cutis vera*, or the true skin, is composed of a papillary layer above and a reticular layer below.

Since ancient times, a variety of substances have been applied to the skin to improve its appearance, generally by affecting the outermost layer of the skin, or to treat a skin ailment, generally by affecting the true skin. More recently, efforts have been made to rejuvenate the skin and reclaim the elasticity and suppleness lost from exposure to sunlight (UV radiation) and weather.

There is a difference between the physiology of chronologically-aged or intrinsically-aged skin (old skin) in comparison with that of photoaged skin (*i.e.*, skin that appears old due to damage from solar UV irradiation). Old skin typically maintains a smooth and unblemished appearance, in comparison with the leathery, blotchy, and often deep wrinkling of photoaged skin. The epidermis of old skin is typically thinner than normal, whereas that of photoaged aged skin is typically thicker than normal (acanthotic) and atrophies over time. Photoaged skin typically has a large Grenz zone (a wide band of eosinophilic material just beneath the epidermis, and collagen formation and structures indicative of wound healing) which is absent from chronologically-aged skin. *See also* N.A. Fenske and C.W. Lober, "Structural and functional changes of normal aging skin," *J. Am. Acad. Dermatol.*, 15:571-585 (1986).

Kligman *et al.*, in EP-A2-0 379,367 describe a method for the treatment or prevention of intrinsically aged skin with retinoids. Kligman *et al.* tested all *trans*-retinoic acid (as Retin-A[®] cream) on albino hairless mice and on 5 elderly caucasian women; only clinical observations were made of the women before and after the study, and only one biopsy was reported taken and this occurred six months into the treatment (*i.e.*, there is no disclosure in this publication of a reference biopsy taken from the biopsied subject before treatment or from an early period of treatment).

U.S. Pat. Nos. 3,932,665 and 4,934,114 disclose the use of retinal (Vitamin A aldehyde), for the treatment of acne and for the treatment of skin keratoses,

respectively; see also U.S. Pat. No. 3,060,229. Retinal and its derivatives have also been suggested as useful in the treatment of such conditions as wrinkles, warts, psoriasis, eczema, dandruff, and the like (see EP-A2-0 391 033). There is also evidence to indicate that tretinoin (all *trans* retinoic acid) improves the appearance of photoaged skin. Albert M. Kligman, "Current Status of Topical Tretinoin in the Treatment of Photoaged Skin," *Drugs & Aging*, 2(1):7-13 (1992); and Chas. N. Ellis et al., "Tretinoin: Its Use in Repair of Photodamage," and A.S. Zelickson et al., "Topical Tretinoin in Photoaging: An Ultrastructural Study," both in *Journal of Cutaneous Aging & Cosmetic Dermatology*, Vol. 1, No. 1, p. 33-40 and 41-47 (1988).

Burger *et al.*, in US 5,665,367, describes compositions for topical application to the skin that contain naringenin and/or quercetin, and a retinoid. The compositions are described as useful for treating many unrelated skin conditions, such as wrinkles, acne, skin lightening, and age spots. The action of their composition on human skin is described with respect to an enzyme (transglutaminase) important to the formation of the cell envelope and thus to the epidermis. In contrast, the present invention is directed to changes in the dermis and the proliferation of beneficial dermal cells and structures.

Summary of the Invention

The primary invention is the discovery of a method for rejuvenating human skin. As used with respect to the description and claiming of this invention, "rejuvenating" includes the steps of simultaneously preventing collagen degradation and stimulating the formation of new collagen in aged human skin. The invention is based on biopsies of treated and untreated sun-protected human skin from aged (80+ year old) volunteers compared with biopsies of sun-protected skin from younger individuals. In comparison with the skin from younger people, aged skin is thinner, has fewer cells in the epidermis (keratinocytes) and dermis (fibroblasts), has less dense and more disorganized connective tissue, has higher levels of cJun kinase activity and matrix metalloproteinases (MMPs), and has reduced levels of ERK activity, cyclin D₂, and Types I and III procollagen.

In summary, we have found as one invention that aged human skin can be rejuvenated by the topical administration of one or more compounds in amounts effective to inhibit collagen degradation and to promote procollagen synthesis, the application preferably being performed on a regular basis. A preferred class of compounds that perform both functions are retinoids, especially retinol and all *trans*-retinoic acid.

Aged human skin can be benefitted by enhancing procollagen synthesis. We have found as another invention that procollagen levels can be increased in aged human skin by the preferably regular application to the skin of effective amounts of a retinoid; in preferred embodiments, the treatment also includes inhibition of collagen degradation by the use of an MMP inhibitor.

In addition to treating and/or preventing chronological aging of the skin, our discovery that effective amounts of a retinoid applied to the skin can increase procollagen synthesis provides another invention in the prevention (prophylaxis) of skin ulcers. By increasing the collagen content of the skin, the form, strength, and function of the skin is enhanced. Increased procollagen synthesis, and thus an increase in collagen content of the skin, mitigates the loss of strength and support due to reduced, degraded collagen, and so improved procollagen synthesis is expected to diminish the occurrence and/or severity of skin ulcers.

In connection with the foregoing, we have found as yet other inventions that keratinocytes and fibroblasts, each beneficial to the integrity of the skin, are each increased in number by the topical application of a retinoid, again applied preferably on a regular basis. Fibroblasts are trophic to the epidermis; under normal conditions they secrete a number of growth factors (*e.g.*, FGF, IGF, and KGF, among others) and produce procollagen that enters the dermal matrix and become structural collagen.

Yet another invention is decreasing cJUN activity and/or reducing the amount of c-Jun protein present in the skin, and increasing ERK activity, both in aged skin, by the topical application of an effective amount of a retinoid to the aged skin.

In additional embodiments, prophylaxis and treatment of dermal chronoaging are each enhanced by applying along with the active ingredient at least one additional

compound selected from: a sunscreen for at least one of UVA1, UVA2, and UVB; an antioxidant; an MMP (matrix metalloproteinase) inhibitor; and mixtures thereof.

Brief Description of the Figures

Fig. 1 depicts our representation of certain ERK and SAP pathways in an idealized skin cell.

Fig. 2 depicts depicts the fibroblast density (2A), dermal connective tissue features (2B), epidermal thickness (2C), and keratinocyte density (2D) in all of the age populations we studied via biopsy.

Fig. 3 depicts the depicts the relative growth potential (measured as the number of cell colonies per biopsy) of human keratinocytes (3A) and fibroblasts (3B) in various age populations we studied.

Fig. 4 depicts the relative activity of three MMPs (MMP-1 in 4A; MMP-9 in 4B, and MMP-2 in 4C) found in each of the age populations we studied.

Fig. 5 depicts the differences in the expression of interstitial collagenase *in vivo* between young and old skin.

Fig. 6 depicts the differences in the expression of Type I procollagen *in vivo* between young and old skin.

Fig. 7 depicts the differences in ERK activity *in vivo* between young and old skin.

Fig. 8 depicts the differences in the expression of phosphorylated (activated) ERK *in vivo* between young and old skin.

Fig. 9 depicts the differences in cyclin D₂ expression *in vivo* between young and old skin.

Fig. 10 depicts the differences in cJUN kinase activity *in vivo* between young and old skin.

Fig. 11 depicts the change in fibroblast density (11A), dermal connective tissue features (11B), epidermal thickness (11C), and keratinocyte density (11D) in elderly (80+ years old) skin upon application of a retinoid (1% retinol; one application, occluded, and examined seven days later).



Fig. 12 depicts the effect of retinoid (retinol) treatment on the growth of human keratinocytes and fibroblasts cells cultured *ex vivo* from biopsy samples.

Fig. 13 shows stained cross-sections and closeups from the skin of a young individual (13A and 13B), an elderly individual (13C and 13D), and the same elderly individual (13E and 13F) after a single application of 1% retinol (occluded, left for seven days, then biopsied).

Fig. 14 depicts the effect on activities of three MMP enzymes (MMPs 1, 2, and 9; analogous to Fig. 4) after a single application of retinol to elderly skin as determined by biopsy.

Fig. 15 depicts the retinoic acid-mediated induction of Type I collagen synthesis in cultured human skin fibroblasts.

Fig. 16 depicts the *in vivo* induction of Type III procollagen $\alpha 1(\text{III})$ mRNA caused by retinol administration to elderly skin.

Fig. 17 depicts the *in vivo* induction ERK activity caused by retinol administration to elderly skin.

Fig. 18 is a photomicrograph showing in stained sectioned biopsies of elderly skin that, seven days after a single application of 1% retinol, c-Jun protein is decreased and the amounts of Types I and III procollagen in the skin are increased.

Description of Preferred Embodiments of the Invention

Fig. 1 depicts certain degradative pathways that effect the functioning of an idealized skin cell based on our findings. The particularly important causes of chronological aging of human skin likely vary among a population of elderly humans, including such factors as diet, genetics, and environment. In general, though, we believe that chronological skin aging is due to activation of the stress-activated pathways (SAPs) and a repression of the mitogen-activated pathways (ERK). Contrary to conventional wisdom, in comparison with our inventions relating to photoaging of human skin, we have found that chronoaging and photoaging of human skin have a similar molecular pathophysiology. ERK mediates the actions of growth factors necessary for healthy skin. Interference with ERK can lead to thinning of

chronologically-aged skin because of reduced number of cells in the epidermis and dermis. Almost conversely, SAPs activate factors (*e.g.*, c-Jun) that promote both inhibition of procollagen synthesis and degradation of mature collagen, and thereby lead to reduced form, strength, and function of skin. Chronological aging of skin might be expected to include some interference with ERK and/or some activation of the SAPs: we have found that both events occur in chronologically-aged human skin. As shown in Fig. 1, the idealized skin cell 101 has a cell membrane 103 across which various compounds pass or at which they interact with the cell via receptors at the cell's surface. One group of inputs indicated by 105 activates the ERK pathway, which actuates ERK by phosphorylation. Activated ERK induces cyclin D₂ formation 107 in the cell nucleus, with the result that growth of the cell is promoted. The other group of inputs is indicated by 109, which activates the stress-activated pathway, which leads to increases in cJUN kinase activity. Once activated (again by phosphorylation), c-Jun becomes a component of AP-1, which leads to MMP formation 111 and export of MMPs from the cell, with the result being degradation of collagen in the dermal matrix. Matrix metalloproteinases (MMPs) include collagenases, gelatinases, and other enzymes naturally occurring in human skin that degrade extracellular matrix molecules, such as collagen. While not desirous of being constrained to a particular theory, we believe that increased cJUN kinase activity interferes with the synthesis of procollagen (soluble collagen precursor) which is then exported (113, with reference to Fig. 1) from the cell into the matrix to become structural collagen (insoluble collagen). We believe that activated cJUN inhibits one or more steps in the synthesis of procollagen, as shown in the figure.

Our invention is generally directed to the topical administration, preferably on a regular basis, of an amount of a retinoid, preferably retinol or retinoic acid, to the skin of an elderly person in amounts effective to induce the proliferation of at least one of keratinocytes and fibroblasts, to reduce the expression of MMPs, to stimulate the synthesis of procollagen in the elderly person's skin back to normal levels, and/or to increase the level of activated ERK and to reduce the activity of cJUN kinase and to reduce the level of c-Jun protein in elderly skin.

Analysis of Elderly Skin.

We have found that there is an age-associated decrease in the number of keratinocytes and fibroblasts as determined from comparisons of replicate 4-mm punch biopsies obtained from the sun-protected skin of 40 individuals, ranging from 18 to over 80 years of age. Keratinocytes are the principal cell of which the epidermis is composed. The cells of the epidermis arise by differentiation of basal keratinocytes, some of which differentiate through successive overlying layers to become the *stratum corneum* (outermost layer of the skin). Figs. 2A, 2B, 2C, and 2D represent morphometric data based on the entire population study we conducted. The biopsies from our volunteer population evidenced mean decreases of 27% (keratinocytes) and 39% (fibroblasts) when the youngest age group (18-29 years old) was compared with the oldest age group (80+ years old) ($p < 0.1$ for both cell types), as shown in Figs. 2A and 2D; we also note that age-associated changes in the skin are seen as a decrease in fibroblasts above age 30 and another decrease above age 80 (Fig. 2A). Our population study also evidenced an increase in the number of undesirable dermal connective tissue features above age 60 (Fig. 2B) and a decrease in epidermal thickness above age 60 (Fig. 2C). These data show there is an age-associated increase in connective tissue disorganization and/or degeneration (2.25-fold increase in oldest group compared with youngest group; $p < 0.05$), as shown in Fig. 2B. The disorganization and degeneration of the connective tissues were measured by microscopic histological examination of biopsied skin tissue obtained from these elderly subjects and compared with the histology of skin biopsied from young individuals.

These findings are further confirmed by our discovery of age-associated decreases in keratinocytes (54% decrease) and fibroblasts (50% decrease) growth, determined as the number of cell colonies in culture from the biopsied tissue, compared between the same two age groups ($p < 0.1$ for both cell types), as shown in Fig. 3.

As shown in Fig. 4, we have now also discovered an age-related increase in the relative activities of MMP-1, MMP-2, and MMP-9 when these groups of young and old individuals are compared (respectively, mean increases of 40%, 82%, and 53%,

and respectively $p < 0.01$, $p < 0.001$, and $p < 0.01$). These results were determined using replicate 4-mm punch biopsies from our 40 volunteer subjects.

As shown in Fig. 5, our measurements of interstitial collagenase from the biopsied skin of 16 individuals in each of the two groups (young and old) revealed that the relative expression of the collagenase protein was present in elderly skin in amounts nearly double those found in younger subjects.

Fig. 6 depicts our analysis to determine the presence of Type I procollagen in *in vivo* samples of unexposed skin taken from each of the two groups of individuals tested (young and old). We have found that about twice as much Type I procollagen is expressed in unexposed (sun-protected) young skin than in unexposed elderly skin.

Fig. 7 shows our findings for the steady state activity of ERK in skin biopsies from our volunteers, both old (over 80+ years old) and young (19-29 years old), generally according to methods as described herein. The histogram in Fig. 7 shows that the relative activity of ERK in the skin of elderly volunteers is almost half of its level of activity in the skin of younger people.

Reduced ERK activity could result from reduced ERK levels or reduced ERK activation in aged skin, or from a combination of both. Therefore, we tested fifteen volunteers to determine the relative amounts of ERK present in skin, in both total and activated (phosphorylated) forms; the activated form stimulates cell growth. As shown in Fig. 8, elderly subjects had essentially the same amount of total ERK in their skin as subjects half a century younger, but had significantly less of the active, phosphorylated form of ERK. Accordingly, we have found that the reduced activity of ERK in elderly skin is not due to a reduction in the total amount of ERK, but rather to the low concentration of the activated form.

In the ERK pathway shown in Fig. 1, ERK induces cyclin D_2 , which is required for cell growth. Again, interference with this ERK effector would be expected to lead to retarded cell growth and repair, and so the effects of aging on the skin would be promoted. Fig. 9 depicts our results analyzing twelve young subjects and eleven elderly subjects for expression of cyclin D_2 in normally covered (sun-protected) skin. The histogram of Fig. 9 shows that the amount of cyclin D_2 expressed in

chronologically-aged skin is significantly reduced in comparison with its expression in young skin.

We tested fifteen subjects in two age groups, young subjects (19-29) and elderly subjects (80+) to determine the degree of activation of stress-activated protein kinase ("SAPK") in each group; SAPK activity is measured by the phosphorylation of c-Jun protein. Fig. 10 shows that *in vivo* samples of unexposed skin from the young subjects had about 25% of the relative cJUN kinase activity than did unexposed skin from elderly subjects. From these results, one would then expect elevated levels of activated c-Jun in the skin of the elderly to lead to increased MMP activity.

Retinoid Treatment of Aged Skin

Returning again to Fig. 1, activation of SAP (stress-activated pathways 109) promotes the degradation of skin collagen through the production of MMPs including collagenase and the 92 kDa gelatinase. The SAP can be activated or up-regulated by UV radiation (as described in our copending application 08/588,771, filed Jan. 16, 1996, and provisional applications 60/048,520, filed June 4, 1997, and 60/057,976, filed Sep. 5, 1997, all related to photoaging of human skin, the disclosures of which are incorporated herein by reference), tumor necrosis factors (*e.g.*, TNF- α), interleukins (*e.g.*, IL-1 α), and other stresses. As described in the just noted '771 application and '520 and '976 provisional applications, AP-1 induces MMPs, enzymes that degrade collagen. While we have shown in the above-referenced photoaging patent applications that we can interfere with UV-induced production of collagenases, there are additional pathways involved with chronological aging, and so pathways effecting chronoaging of the skin (*e.g.*, the ERK pathway) are not necessarily intended to be effected when a patient is treated for photoaging of the skin (for example, using a compound that only inhibits the formation of MMPs will not necessarily effect the ERK pathway).

To investigate the treatment of chronologically-aged skin, 17 subjects having an age of at least 80 years old were given one topical treatment with 1% retinol or with vehicle alone, the test area was occluded for seven days, and the test area was then biopsied. The vehicle was composed of a mixture of ethanol and polyethylene glycol

in a 70:30 volumetric ratio. In comparing vehicle-treated skin with untreated skin from individuals of the same elderly age range, there were no statistically significant differences in any of the parameters described with reference to Fig. 2, namely the number of fibroblasts and keratinocytes, the epidermal thickness, and undesirable dermal connective tissue features. In comparing retinol-treated skin with vehicle-treated skin from the same individuals, as shown in Fig. 11, there were increased numbers of keratinocytes (11A) and fibroblasts (11D) per section in the retinol-treated skin (273% ($p < 0.001$) and 30% ($p < 0.05$) mean increases, respectively). In addition, retinoid treatment increased the epidermal thickness substantially (11C). Due to the short duration of the retinoid (retinol) treatment, little change in the number of detrimental dermal connective tissue features (11B) was apparent.

Figs. 12A and 12B demonstrate the effects of 7-day *in vivo* retinol treatment on *ex vivo* growth of keratinocytes and fibroblasts extracted from biopsies of sun-protected skin taken from our individual volunteers over age 80. That is, an elderly volunteer was treated with retinol (one application of 1% retinol, occluded for seven days), the treated area was biopsied, and the keratinocytes and fibroblasts from the biopsy were cultured *ex vivo*. This retinol treatment of the cells *in vivo* resulted in a substantial increase in the *ex vivo* growth of both cell types. In particular, keratinocytes growth increased by about 30% (12A) while fibroblast growth increased by about 200% (12B), with $p < 0.05$ for both. Accordingly, the topical application of a retinoid to aged skin would be expected to increase the number of keratinocytes and/or the number of fibroblasts in the skin.

The graphic results of our novel treatments are shown in Fig. 13. Figs. 13A and 13B are photomicrographs showing the histology appearance of sun-protected skin of a 22-year old individual, the portion in Fig. 13B being an enlarged view of the boxed area in Fig. 13A. As shown therein, the skin is composed of the epidermis E overlying the dermis D. Part of the adhesion between the epidermis and the dermis is facilitated by a large interfacial area between the epidermis and the dermis. This interface is defined by the rete pegs or ridges R that extend down from the epidermis into the dermis and by the dermal papillae P that extend up from the dermis into the epidermis. These pegs and papillae create the folds seen in the cross-section of

Fig. 13A and increase the interfacial surface area between the two layers of the skin. The section at the bottom (Fig. 13B) shows a more detailed view of the dermis which contains few cells C and is mostly collagen L. As seen in this section from a younger individual, the collagen in the dermis is relatively dense and of a uniform structure.

5 Figs. 13C and 13D show the histology of vehicle-treated sun-protected skin of an 86-year old individual. As seen in Fig. 13C, the epidermis is thinner in aged skin and there are essentially no rete pegs and essentially no dermal papillae. The detailed view in Fig. 13D shows that the dermis of aged skin generally has fewer cells and has collagen that is less dense and more unevenly distributed than that found in younger individuals. The thinner epidermis and decreased interfacial surface area between the epidermis and dermis tend to cause elderly people to have a higher incidence of bruising and ulcerous conditions, such as Bateman's purpura.

10 Figs. 13E and 13F depict retinol-treated, sun-protected skin from the same individual from whom the biopsy shown in Figs. 13C and 13D was taken, after 7 days having been treated as described previously (one application of retinol). The changes to the skin shown are quite remarkable and unexpected. The epidermis thickened, the interfacial surface area increased as evidenced by the presence of new rete pegs and dermal papillae, and as shown in the detailed view the dermal collagen became denser and more regular in its appearance. Thus, the topical application of an effective amount of a retinoid acts to increase the thickness of the epidermis (*e.g.*, normalize with respect to young skin), to promote the formation of rete pegs and dermal papillae, and to increase the amount, density, and regularity of the collagen in the dermis. These changes reverse the apparent histological changes seen in aged skin and help to prevent bruising, tearing, ulceration, and similar trauma in aged skin that does not occur in young skin.

25 We also used the biopsies from the aforementioned volunteers to determine the effect of the same retinoid treatment on the relative activity of the same collagenase enzymes studied for the results shown in Fig. 4. Figs. 14A, 14B, and 14C depict the average MMP activity levels (for collagenase MMP-1 and gelatinases MMP-9 and MMP-2, respectively) after treatment of elderly individuals with retinol. As shown in Fig. 14, seven days after a single retinol treatment, the activities of MMP-1 and

MMP-9 were both decreased (48% and 39% decreases, respectively, with $p < 0.001$ for both enzymes); no significant change in the activity of MMP-2 was noted. The enzyme activities levels were significantly reduced for MMPs 1 and 9, as compared with a control treatment of the vehicle only. (In Figs. 14A-14C, the number of subjects for each figure was 10; "*" indicates $p < 0.5$ versus the 18-29 year old values, "**" indicates $p < 0.1$, and "****" indicates $p < 0.001$.)

Using the foregoing and analogous techniques, using samples from seven volunteers over 80 years old and cultured fibroblasts from biopsy samples of their unexposed (sun-protected) skin, we found that a retinoic acid concentration of 0.25 $\mu\text{g/ml}$ increased the relative expression of collagen three fold from that of untreated cells, and 0.5 and 1.0 $\mu\text{g/ml}$ generally increased the biosynthesis of collagen in these cultured cells five-fold from untreated cells, as shown in Fig. 15.

Seven 80+ year old individuals were treated clinically with 1% retinol cream, applied once to sun-protected skin, covered with a patch, and left undisturbed for seven days. Biopsies of these treated areas under the patch revealed that Type III procollagen mRNA had increased in these individuals' skin about 2.5 times from that of control (vehicle-treated) areas treated by the same method (single application and covered for seven days). These results are shown in Fig. 16.

Using the same technique as previously described for retinoid treatment (1% retinol applied to sun-protected skin, occluded, and examined seven days later), we treated and then tested three of our 80+ year old volunteers to determine the effect of retinoid treatment on ERK activity. The results of biopsies from these individuals show that after the treatment ERK activity *in vivo* was more than doubled when compared with a vehicle-treated and biopsied area from the same volunteers. These results are shown in Fig. 17 (in which the control, vehicle-treated skin, was normalized to a value of one).

Additional sectioned and stained biopsies from our oldest (80+) group of volunteers after retinoid treatment are depicted in Fig. 18. As noted above, AP-1 induces MMP formation and is formed by the heterodimerization of c-Jun and c-Fos proteins. Fig. 18A shows that after one week of retinoid (retinol, "ROL") treatment, the c-Jun level in elderly skin (c-Jun being stained red) is significantly reduced (almost

absent) when compared with a biopsied section from vehicle-treated skin. Thus, our invention appears to inhibit c-Jun formation and thereby inhibit the formation of AP-1 and the resulting MMPs. Figs. 18B and 18C show that the levels of Types I and III procollagen (stained red) are enhanced in retinoid treated skin. (The apparently lower density of procollagen in the dermis in Fig. 18C is an artifact of the section made; there is clearly a higher level of staining in the retinol treated section than in the vehicle treated section.)

Accordingly, in one embodiment the invention comprises a method of rejuvenating aged skin by the application of an effective, non-toxic amount of a retinoid for an effective period of time. The effective period of time is generally daily, preferably with only one application/administration of the composition each day. Preferred treatment and maintenance regimes use an effective amount of about 0.4% retinoid, although higher doses can be used where warranted. Retinol is the preferred retinoid.

In another embodiment, the invention provides a method of inducing *in vivo* keratinocyte and/or fibroblast proliferation by the topical administration of an effective, non-toxic amount of a retinoid (preferably retinol or all *trans* retinoic acid) for an effective period of time. Again, treatment is preferably daily, once or twice, with the amount of retinoid preferably being about 0.1% to about 1.0%.

In yet another embodiment, the invention reduces and/or inhibits MMP-1 and/or MMP-9 expression in elderly skin, by the topical administration of an effective amount of a retinoid for an effective period of time; again the preferred retinoids are retinoid and all *trans* retinoic acid. Again, treatment is preferably daily, once or twice, with the amount of retinoid preferably being about 0.1% to about 1.0%.

As noted above, the decrease in keratinocytes and fibroblasts, and the increase in MMP expression, can be viewed as an age-related condition without resort to insults such as sun damage. This invention thus provides a prophylaxis against a detrimental change in any of these age-related parameters, as well as providing a treatment to ameliorate these detrimental etiological changes induced by aging.

In another aspect, this invention is directed to improving, or stimulating, the synthesis of Types I and III procollagen in an elderly person's skin. We have found

there is a significant reduction in Types I and III procollagen synthesis in sun-protected skin of many (at least 50% of) aged individuals and that this condition can be treated by the topical application of a retinoid. Procollagen is a protein synthesized by skin fibroblast cells and then secreted into the extracellular medium, where it is converted by naturally occurring enzymes into collagen. Reduced procollagen synthesis in an elderly person's skin is manifest as a reduced presence of procollagen protein in both the upper dermis (extracellular) and in fibroblasts throughout the dermis, and can be determined (e.g.) by immunohistochemistry.

Taken together, our investigation of the control pathways shown in Fig. 1 indicate that chronological aging of skin can be caused by deactivation of ERK and/or by activation of the SAPs. In fact, we have found that both events occur in elderly skin. The results depicted in Figs. 7-9 show that the sun-protected skin of elderly persons (which skin has generally not been exposed to the sun on a chronic basis) has reduced amounts of active ERK and a reduced amount of cyclin D₂, which lead to a reduction in cell growth. We have also shown in elderly skin there is a reduction in the amount of Types I and III procollagen synthesized (see Fig. 6). If there is less cell growth in the dermis, then the epidermal covering is also likely to be compromised. Our results on tests of various signalling components of the ERK pathway important for fostering growth in human skin indicate that chronological aging in human skin is characterized by a reduced activation of at least two components of the pathway that promotes cell growth. As skin ages, reduced activation of ERK and reduced production of cyclin D₂ lead to reduced cell growth that results, ultimately, in aged skin. Our results shown in Figs. 15-17 prove the *in vivo* effectiveness of retinoid treatment in increasing the activity level of ERK and the production Types I and III procollagen.

On the other side (degradation of the dermis as opposed to creation of new dermis), the results depicted in Figs. 4, 5, and 10 show that the unexposed skin of elderly persons has increased cJUN kinase and MMP activities. The up-regulation of the degradative MMP enzymes and the down-regulation of procollagen synthesis result in a deficiency of collagen, causing skin aging and impaired repair of aged skin. An increase in the pathway activity that causes an increased rate of breakdown of the skin (such as though MMP-mediated degradation of the dermal matrix and inhibition of

procollagen synthesis) concomitant with a decrease in the pathway activity that promotes cell growth (such as a decrease in ERK activity) both contribute to chronoaging of human skin. Our methods for preventing and rejuvenating chronologically-aged skin, while tested on unexposed, sun-protected skin, often with occlusion of the site treated, are applicable to treating chronoaging of the skin over the entire body, including the face and hands. Taken with the teachings of the aforementioned patent and provisional applications directed to photoaging, daily application of a retinoid to the skin will ameliorate the effects of natural aging as well as the sun's exacerbating effects on natural aging of the skin.

Thus, while not desirous of being constrained to a particular theory of function, we believe that the results shown, for example, in Figs. 13E and 13F are due, at least in part, to some combination of improved ERK activation, decreased amounts of c-Jun, and increased amounts of Types I and/or III procollagen. Using the same technique described for retinoid treatment (1% retinol applied to sun-protected skin, occluded, and examined seven days later), we tested three of our 80+ year old volunteers. The results of biopsies from these individuals show that after the treatment ERK activity *in vivo* was more than doubled, as shown in Fig. 13 (in which the control, vehicle-treated skin, was normalized to a value of one).

Retinoids are one class of MMP inhibitors. The inhibitors of MMPs can act directly on the MMPs and/or on the transcription factors AP-1 and NF- κ B by which MMPs are produced naturally. Aspirin and E5510 (described by Fujimori, T., et al., Jpn J Pharmacol (1991) 55(1):81-91) inhibit NF- κ B activation. Retinoids such as those disclosed in U.S. Pat. No. 4,877,805 and the dissociating retinoids that are specific for AP-1 antagonism (such as those described by Fanjul, et al. in Nature (1994) 372:104-110), glucocorticoids, and Vitamin D₃ target AP-1. Compounds for enhancing the therapeutic effect of Vitamin D₃ are described in copending application serial number 08/832,865 (J. Voorhees *et al.*, "Method for Assessing 1,25(OH)₂D₃ Activity in Skin and for Enhancing the Therapeutic Use of 1,25(OH)₂D₃"), filed April 4, 1997, the disclosure of which is incorporated herein by reference. Other retinoids, besides retinol, include natural and synthetic analogs of vitamin A (retinol), vitamin A aldehyde (retinal), vitamin A acid (retinoic acid (RA)), including all-*trans*, 9-*cis*, and

13-*cis* retinoic acid), etretinate, and others as described in EP-A2-0 379367, US 4,887,805, and US 4,888,342 (the disclosures of which are all incorporated herein by reference). Various synthetic retinoids and compounds having retinoid activity are expected to be useful in this invention, to the extent that they exhibit retinoid activity *in vivo*, and such are described in various patents assigned on their face to Allergan Inc., such as in the following U.S. Patents, numbered: 5,514,825; 5,698,700; 5,696,162; 5,688,957; 5,677,451; 5,677,323; 5,677,320; 5,675,033; 5,675,024; 5,672,710; 5,688,175; 5,663,367; 5,663,357; 5,663,347; 5,648,514; 5,648,503; 5,618,943; 5,618,931; 5,618,836; 5,605,915; 5,602,130. Still other compounds described as having retinoid activity are described in other U.S. Patents, numbered: 5,648,563; 5,648,385; 5,618,839; 5,559,248; 5,616,712; 5,616,597; 5,602,135; 5,599,819; 5,556,996; 5,534,516; 5,516,904; 5,498,755; 5,470,999; 5,468,879; 5,455,265; 5,451,605; 5,343,173; 5,426,118; 5,414,007; 5,407,937; 5,399,586; 5,399,561; 5,391,753; and the like, the disclosures of all of the foregoing and following patents and literature references hereby incorporated herein by reference.

MMPs are also inhibited by BB2284 (described by Gearing, A.J.H. et al., *Nature* (1994) 370:555-557), GI129471 (described by McGeehan G.M., et al., *Nature* (1994) 370:558-561), and TIMPs (tissue inhibitors of metalloproteinases, which inhibit vertebrate collagenases and other metalloproteinases, including gelatinase and stromelysin). Still other compounds useful for the present invention include hydroxamate and hydroxy-urea derivatives, such as Galardin, Batimastat, and Marimastat, and those disclosed in EP-A1-0 558635 and EP-A1-0 558648 (disclosed therein as useful for inhibiting MMPs in the treatment of, among other etiologies, skin ulcers, skin cancer, and epidermolysis bullosa). Retinoids have been reported by Goldsmith, L.A. (*Physiology, Biochemistry, and Molecular Biology of the Skin*, 2nd. Ed. (New York: Oxford Univ. Press, 1991), Chpt. 17) to cause an increase in steady state levels of TIMP mRNA that would suggest transcriptional control; although, based on our discoveries, we have found this is not true in human skin *in vivo*. Still other inhibitors of MMPs that can be applied topically and are useful in practicing the claimed invention include the tetracyclines and derivatives thereof, such as minocycline, roliteracycline, chlortetracycline, methacycline, oxytetracycline,

doxycycline, demeclocycline, and the various salts thereof. Because of possible allergic or sensitization reactions, the topical administration of tetracyclines should be monitored carefully for such untoward reactions. Other MMP inhibitors include genistein and quercetin (as described in US 5637703, US 5665367, and
5 FR-A-2,671,724, the disclosures of which are incorporated herein by reference) and related compounds, as well as other antioxidants such as NAC (N-acetyl cysteine), green tea extract, and others.

The effective amount of the active ingredient applied to the skin is preferably in the range of about 0.001-5 wt.%, more preferably about 0.01-2 wt.%, still more
0 preferably 0.1-1 wt.% of the total weight of the composition. Compositions are formulated to provide preferably about 5 $\mu\text{g}/\text{cm}^2$ skin when applied. For example, a preferred composition for use in this invention is Retin-A[®] retinoic acid gel and cream (available from Ortho Pharmaceuticals for the treatment of acne vulgaris), in strengths of from 0.01% to 0.1%; the vehicle preferably includes, depending upon the particular
15 formulation, at least one of butylated hydroxytoluene, alcohol (denatured with *t*-butyl alcohol and brucine sulfate), stearic acid, isopropyl myristate, polyoxyl 40 stearate, stearyl alcohol, and the like, and compatible mixtures thereof.

We tested fifteen subjects in two age groups, young subjects (19-29 years old) and elderly subjects (over 80 years old) to determine the degree of activation of the
20 SAP kinase in each group; SAP kinase activity is measured by the phosphorylation of c-Jun. Fig. 10 shows that *in vivo* samples of unexposed skin from the young subjects had about 25% of the relative cJUN kinase activity than did unexposed skin from elderly subjects. From these results, one would then expect correspondingly elevated levels of AP-1 and MMPs in the skin of elderly.

It has been found unexpectedly that topical treatment of elderly, chronoaged skin with a retinoid results in a restitution of intracellular procollagen protein levels similar to those observed in young individuals (such as those aged 40 and younger). In particular, we have found that a single application of 1% retinol to chronologically-
25 aged skin, covered with an air-permeable adhesive bandage, and examined seven days later, resulted in procollagen protein levels comparable to those found in sun-protected
30 skin of significantly younger individuals (e.g., under age 40). It would be more

preferable for elderly persons to apply the retinoid once or twice daily to maintain a therapeutic regimen, although the agent could be applied on a less frequent but preferably regular basis (e.g., every other day, or once weekly). It may also be desirable for the skin to be occluded from environmental insults, particularly sources of UV light, detergents and other harsh chemicals, and the like. Accordingly, it would be beneficial to add to the retinoid composition a UV sunscreen, an antioxidant, and the like.

The stimulation of procollagen production is an important factor in maintaining the integrity of chronologically-aged skin. Aged skin is thin and fragile due, in part, to reduced collagen content and reduced collagen fiber organization. Stimulation of procollagen synthesis by retinoids, and its subsequent conversion to collagen, would be expected to reduce the fragility, increase the thickness, and improve the appearance of aged skin. Accordingly, this invention provides methods for increasing procollagen concentrations, both intra- and extracellularly, and so also to improving collagen concentrations, all in chronologically-aged skin. Additionally, as shown herein, MMP levels are increased in elderly skin compared to those found in the skin of younger persons. Improved production of procollagen would be frustrated if the resulting collagen were degraded in the skin, and so treatment of elderly skin with both a retinoid and an MMP inhibitor is important for achieving the desired benefits of improved procollagen biosynthesis. In fact, we have found in skin not having a reduced level of collagen, treatment with a retinoid does not elevate the collagen levels above normal; hence, our invention shows that application of a retinoid can restore collagen levels to their desired baseline value. Thus, our inventive treatment with a retinoid both increases the fibroblasts' production of (pro)collagen and interferes with the MMP activities that cause epidermal thinning.

Although retinol is the preferred compound for topical administration, effective derivatives of retinol that would be expected to be useful for practicing this invention include retinal, retinoic acid (including all *trans*, 9-*cis*, and 13-*cis* isomers) and derivatives thereof (such as 7,8-didehydroretinoic acid), and others as described by Kligman *et al.*, referred to above, the disclosure of which is incorporated herein by

reference, including cosmetically acceptable salts, esters, reverse esters, and ethers thereof, conjugates thereof, and mixtures thereof.

The compositions described herein formulated on a commercial basis can include various conventional colorants, fragrances, thickeners (such as xanthan gum),
5 preservatives, humectants, emollients, demulcents, surfactants, dispersants, penetration enhancers, and the like can be added to provide additional benefits and improve the feel and/or appearance of the topical preparation. Likewise, the composition can be formulated as a cream, lotion, ointment, soap or body wash, shampoo, or a mask.

The foregoing description and following methods are meant to be illustrative of
10 the invention and not limiting. Various changes, modifications, and additions may become apparent to the skilled artisan upon a perusal of this specification, and such are meant to be within the scope and spirit of the invention as defined by the claims.

Methods Used in the Examples

The references noted in this section are incorporated herein by reference.

15 Histology and morphometry. Replicate 4-mm punch biopsies were obtained from buttock skin of each individual. Formalin-fixed tissue pieces were sectioned, stained with hematoxylin and eosin, randomized and blinded. The sections were examined using an Olympus BX40 microscope in conjunction with a Sony DCX-151 high-
20 resolution camera. Blocked areas 200 μ m on a side were isolated using NIH Imager software and epidermal height was assessed at four sites (25 μ m apart) in each of two such areas. The same two blocked areas were used for epithelial cell counts. The number of interstitial cell nuclei (i.e., nuclei below the dermo-epidermal juncture, not associated with capillaries) over the entire histological section was determined as a measure of dermal cellularity. The same blinded sections were scored for connective
25 tissue fiber spacing, thickness, degree of disorganization and depth of disorganization, using a scale of 1-9 for each parameter.

Preparation of skin supernatants for biochemical analysis. Skin samples were ground by mortar and pestle under liquid nitrogen, and homogenized in a Dounce tissue grinder in buffer containing 10 mM Hepes, 1 mM EDTA, 5 mM EGTA, 10 mM
30 MgCl_2 , 50 mM glycerophosphate, 5 mM NaVO_4 , 2 mM DTT, 0.5 mM PMSF,

10 µg/ml aprotinin, 10 µg/ml leupeptin, and 10 µg/ml pepstatin, and 0.5% NP-40. Homogenates were centrifuged at 14,000 g for 15 min., and supernatants were collected and used for biochemical determinations as described herein.

Ex vivo cell growth. Biopsies were minced into small fragments (approximately 15 fragments per tissue piece) and the tissue fragments transferred to plastic cell culture flasks. Culture medium consisted of Dulbecco's Modified Minimal Essential Medium of Eagle with Earle's salts, non-essential amino acids and 10% fetal bovine serum. Tissue fragments were incubated at 37° C and 5% CO₂/95% air for up to one month. Each fragment was scored for whether keratinocytes and/or fibroblasts grew out of the tissue and from this, the percentage of fragments from which keratinocytes and fibroblasts were isolated was determined according to the method of Varani, J., *et al.*, *J. Clin. Invest.*, 96, 1747-1756 (1994).

Matrix metalloproteinase assays. Tissue pieces were frozen in liquid nitrogen immediately after biopsy, homogenized in 20 mM Tris HCl (pH 7.6) plus 5 mM CaCl₂, and centrifuged at 3000 x g for 10 minutes to remove particulates. Ability to release soluble radioactive fragments from 3H-labeled fibrillar Type I collagen (described by Fisher, G.J., *et al.*, *Nature*, 379, 335-339 (1996) and Hu, C-L, *et al.*, *Analytic. Biochem.*, 88, 638-643 (1978)) was used as a measure of collagenolytic activity. Tissue extracts were incubated for 3 hours with 1 mM aminophenyl mercuric acetate (APMA) to convert the inactive form of the matrix metalloproteinase into an active form. Subsequently, 0.2 µCi of collagen substrate (NEN-DuPont, Boston, MA) was incubated for 24 hours with 50 µl of tissue extract. At the end of the 24-hour incubation period, the samples were centrifuged at 12,000 x g for 10 minutes to pellet the intact protein. Radioactivity remaining in the supernatant fluid was then measured and from this, the percentage of substrate hydrolyzed was determined.

Gelatin zymography (Varani *et al.*, *op. cit.*) was used to assess MMP-2 (72-kD gelatinase; gelatinase A) and MMP9 (92-kD gelatinase; gelatinase B) activity. Tissue extracts were electrophoresed in an 8.5% SDS-polyacrylamide gel containing 1 mg/ml of gelatin. After electrophoresis, the SDS was removed by three sequential washes in 1% Triton X-100. The first two washes were for 20 minutes each and the last was overnight. Quantitation of hydrolysis zone width was done by laser densitometry.

ERK phosphorylation and activity assays. ERK1 and ERK2 in skin supernatants were immunoprecipitated with antibodies from Santa Cruz Biotechnology Inc. and assayed for enzymatic activity using myelin basic protein as substrate, as described by J.D. Weber *et al.* ("Sustained activation of extracellular-signal regulated kinase I (ERK1) is required for the continued expression of cyclin D1 in G1 phase," *Biochem. J.*, 326:61-68 (1997)). Total and phosphorylated ERK1 and ERK2 in supernatants were determined by Western analysis, using antibodies from New England Biolabs Inc. (Beverly, MA).

c-Jun kinase activity assay. c-Jun activity in skin supernatants was determined by solid phase kinase assays (as described, *e.g.*, by M. Hibi *et al.*, "Identification of an oncoprotein and UV-responsive protein kinase that binds and potentiates the c-Jun activation domain," *Genes Dev.*, 7:2135-2148 (1993)).

Northern analysis of RNA. Total RNA (*e.g.*, for c-Jun, procollagen $\alpha 1$ (III)) was isolated from skin samples by guanidinium hydrochloride lysis and ultracentrifugation (as described by G.J. Fisher *et al.*, "Cellular, immunologic and biochemical characterization of topical retinoic acid-treated human skin," *J. Investig. Dermatol.*, 96:699-707 (1991)). Northern analysis of total RNA (40 μ g/lane) with randomly primed 32 P labelled cDNA probes for the particular mRNA to be determined were performed as described by G.J. Fisher *et al.* (in "All *trans* retinoic acid induces cellular retinol-binding protein in human skin in vivo," *J. Investig. Dermatol.*, 105:80-86 (1995)). Type III procollagen mRNA was determined using reverse transcriptase polymerase chain reaction.

Western analysis of proteins. Jun proteins, cyclin D₂ (both antibodies from Santa Cruz Biotechnology Inc.), and phosphorylated c-Jun (antibody from New England Biolabs Inc.) were detected in nuclear extracts from human skin by Western analysis as described by G.J. Fisher *et al.* (in "Immunological identification and functional quantitation of retinoic acid and retinoid X receptor proteins in human skin," *J. Biol. Chem.*, 269:20629-20635 (1994)).

Immunoreactive proteins were visualized by enhanced chemiluminescence detection and quantified by laser densitometry, or by enhanced chemifluorescence detection and quantified by a Storm imager (Molecular Dynamics, Palo Alto, CA).



What is claimed is:

1 1. A method of rejuvenating chronologically-aged skin, comprising the
2 application of an effective, non-toxic amount of at least one active ingredient that
3 inhibits MMPs and promotes procollagen synthesis.

1 2. The method of claim 1, wherein the active ingredient is a retinoid that both
2 inhibits MMPs and promotes procollagen synthesis.

1 3. The method of claim 2, wherein the retinoid is selected from retinol,
2 retinal, retinoic acid, a retinoic acid salt, a derivative or analog thereof, or a mixture
3 thereof.

1 4. The method of claim 3, wherein the retinoid is retinol or retinoic acid.

1 5. The method of claim 3, wherein the skin is sun-protected skin.

1 6. The method of claim 1, wherein the MMP inhibitor is selected from
2 aspirin, E5510, glucocorticoids, Vitamin D₃, GI12947, TIMPs, hydroxamates and
3 hydroxy-urea derivatives, and tetracyclines and derivatives thereof, and the various
4 salts thereof.

1 7. A method of improving keratinocyte and/or fibroblast proliferation in
2 chronologically-aged skin, comprising the application of an effective, non-toxic amount
3 of a retinoid to the skin.

1 8. The method of claim 7, wherein the skin is sun-protected skin.



1 9. A method of preventing chronologically-aged skin, comprising providing a
2 topically administerable, non-toxic amount of a retinoid in a cosmetically suitable
3 vehicle and applying said retinoid to the skin at least once weekly in an amount
4 effective to normalize procollagen synthesis and inhibit collagen degradation.

1 10. The method of claim 9, wherein the skin is sun-protected skin.

1 11. The method of claim 9, wherein the retinoid is selected from retinol,
2 retinal, retinoic acid, a retinoic acid salt, a derivative or analog thereof, or a mixture
3 thereof.

1 12. The method of claim 11, wherein the retinoid is retinol.

1 13. The method of claim 9, wherein the retinoid is applied daily.

1 14. A method for normalizing the production of procollagen in chronologically-
2 aged skin, comprising the steps of providing a topically administerable, non-toxic
3 retinoid and administering said retinoid to the skin in an amount effective to normalize
4 the production of procollagen.

1 15. The method of claim 14, wherein the retinoid is retinol.

1 16. The method of claim 14, wherein the retinoid is applied on a regular basis.

1 17. The method of claim 16, wherein the retinoid is applied daily.



1 18. The method of claim 14, further comprising the step of simultaneously
2 inhibiting the degradation of collagen, said method further comprising the steps of
3 providing a topically administerable, non-toxic amount of an MMP inhibitor, and
4 administering an effective amount of said MMP inhibitor to chronologically-aged skin
5 on a regular basis.

1 19. The method of claim 18, wherein the MMP inhibitor is selected from
2 aspirin, E5510, glucocorticoids, Vitamin D₃, GI12947, TIMPs, hydroxamates and
3 hydroxy-urea derivatives, and tetracyclines and derivatives thereof, and the various
4 salts thereof, and compatible mixtures thereof.

1 20. The method of claim 18, wherein said retinoid and said MMP inhibitor are
2 present in a single, topically administered formulation.

1 21. The method of claim 18, wherein the chronologically-aged skin is
2 sun-protected skin.

1 22. A method for improving the activity level of ERK in aged human skin
2 which comprises the topical application of an effective, non-toxic amount of a retinoid
3 to said skin.

1 23. The method of claim 22, wherein the retinoid is retinoid or retinoic acid.

1 24. A method for reducing the level of c-Jun protein and/or the activity of
2 cJUN kinase in aged human skin which comprises the topical application of an
3 effective, non-toxic amount of a retinoid to said skin.

1 25. The method of claim 22, wherein the retinoid is retinoid or retinoic acid.

