

## PROCESSING HYDROXY-CARBOXYLIC ACIDS TO POLYMERS

[0001] This application incorporates by reference the full disclosure of the following co-pending provisional application: USSN 61/816,664, filed April 26, 2013 and the co-pending provisional application: USSN 61/941,771 filed February 19, 2014.

### BACKGROUND OF THE INVENTION

[0002] Many potential lignocellulosic feedstocks are available today, including agricultural residues, woody biomass, municipal waste, oilseeds/cakes and seaweed, to name a few. At present, these materials are often under-utilized, being used, for example, as animal feed, biocompost materials, burned in a co-generation facility or even landfilled.

[0003] Lignocellulosic biomass includes crystalline cellulose fibrils embedded in a hemicellulose matrix, surrounded by lignin. This produces a compact matrix that is difficult to access by enzymes and other chemical, biochemical and/or biological processes. Cellulosic biomass materials (*e.g.*, biomass material from which the lignin has been removed) is more accessible to enzymes and other conversion processes, but even so, naturally-occurring cellulosic materials often have low yields (relative to theoretical yields) when contacted with hydrolyzing enzymes. Lignocellulosic biomass is even more recalcitrant to enzyme attack. Furthermore, each type of lignocellulosic biomass has its own specific composition of cellulose, hemicellulose and lignin.

### SUMMARY

[0004] Generally, this disclosure relates to methods and processes for converting a material, such as a biomass feedstock, *e.g.*, cellulosic, starchy or lignocellulosic materials, to useful products, for example, hydroxy-carboxylic acids (*e.g.*, alpha, beta, gamma and delta hydroxy-carboxylic acids) and derivatives of hydroxy-carboxylic acid(*e.g.*, esters). Then, in turn, the hydroxy-carboxylic acid is polymerized to poly hydroxy-carboxylic acids. Such hydroxy-carboxylic acids can be poly-hydroxy-carboxylic acids, *e.g.* di-, tri-, tetra-, penta-,

hexa- hepta- and octa-hydroxy carboxylic acids. The poly-hydroxy-carboxylic acid can be substituted with other groups, *e.g.*, alkyl groups. The carbon chain of the carboxylic acid may be straight chained, branched, cyclic, or alicyclic.

**[0005]** Among the important products that can be produced from lignocellulosic biomass are hydroxy-carboxylic acids. These acids, *e.g.*, the alpha, beta and gamma hydroxyl substituted acids can be polymerized to produce important polymers. The methyl substituted alpha acid, D-lactic acid and/or L-lactic acid, is commercially produced and polymerized to polylactic acid. This polymer is an important in that it can be produced in forms such that it can be spun into fibers, extruded into solid products and other products that require complex polymer processing. Since polylactic acid and the other polymers of alpha, beta, gamma and delta hydroxy-carboxylic acids are biodegradable they offer interest target polymers for the bioprocessing industry. The most prevalent process to produce polylactic acid involves converting of the lactic acid to an oligomer, depolymerizing to obtain lactide dimer and polymerizing that molecule. There is still a need for processing that involves a more direct synthesis of the polymerized product without isolation of intermediates.

**[0006]** A method of making a high molecular weight polymer or copolymer *e.g.*, from oligomer, the method comprising evaporating water as it is formed during condensation of a hydroxy-carboxylic acid polymer *e.g.* an oligomer or a polymer, as it traverses a surface of a thin film polymerization/devolatilization device. Where the hydroxy carboxylic acid has stereocenters, the stereocenters can be maintained during the polymerization process. In some instances, the hydroxy-carboxylic acid is an aliphatic hydroxy-carboxylic. For instance, D-lactic acid and/or L-lactic acid become polymers of D-lactic acid and/or polymers of L-lactic acid respectively, with little loss of stereo integrity. It is understood that the process described herein preserves the stereochemistry where the biochemistry and chemical processes preserve the stereochemistry. If both the D and L isomer monomer is listed it is understood that the D isomer monomer will become a D polymer and the L isomer monomer will become a D polymer. When a hydroxy-carboxylic acid is listed without its stereochemistry it is understood that D, L, meso, and/or mixtures are assumed.

**[0007]** In another embodiment, a copolymer of a hydroxy-carboxylic polymer can be a copolymer of the hydroxy-carboxylic acid monomers and other

compatible monomers. The copolymer can be a copolymer from different hydroxy-carboxylic acid monomers, *e.g.*, a mixture of lactic acid and another 3-hydroxy butyric acid. The copolymer can also be obtained from copolymerizing oligomers of different hydroxy-carboxylic acids.

**[0008]** In a particular embodiment, a method of making poly aliphatic hydroxy-carboxylic acids by the conversion of a crude aliphatic hydroxy-carboxylic monomer to a poly aliphatic hydroxy-carboxylic acids, comprising the steps of:

- a) providing a source of monomer as aliphatic hydroxy-carboxylic acid in a hydroxylic medium;
- b) concentrating the aliphatic hydroxy-carboxylic acid in the hydroxylic medium by evaporating a substantial portion of the hydroxylic medium to form a concentrated acid solution;
- c) oligomerizing the aliphatic hydroxy-carboxylic acid to obtain an aliphatic hydroxy-carboxylic acid oligomer with a degree of oligomerization from about 5 to about 50;
- d) adding a polymerization catalyst to the hydroxyl-carboxylic acid oligomer;
- e) polymerizing the aliphatic hydroxy-carboxylic acid and aliphatic hydroxy-carboxylic acid oligomer to obtain a poly aliphatic hydroxy-carboxylic acid with a degree of polymerization of about 35 to about 500;
- f) transferring the poly aliphatic hydroxy-carboxylic acid to a thin film polymerization/ devolatilization device to obtain a degree of polymerization of about 300 to about 20,000;
- g) isolating the poly aliphatic hydroxy-carboxylic acid and

wherein for steps d, e, f, and g cyclic dimers derived from the aliphatic hydroxy-carboxylic acid are less than 10 weight percent based on the total mass of the aliphatic hydroxy-carboxylic acids monomers, oligomers and polymers, The thin film polymerization/devolatilization device is configured such that fluid polymer is conveyed to the device such that the film of the fluid polymer is less than 1 cm thick and provides a means for volatilizing the water formed in the reaction and other volatile components. The temperature of the thin film evaporator and polymerization/devolatilization device are from 100 to 240° C and the pressure of

the device is from 0.000014 to 50 kPa. A full vacuum may be used in the evaporator device. Pressures can be *e.g.*, less than 0.01 torr, alternatively less than 0.001 torr and optionally less than 0.0001 torr.

**[0009]** The polymerization steps c, e, and f are three polymerization stages, 1, 2 and 3, of polymerization of the aliphatic hydroxy-carboxylic acids.

**[00010]** The thin film evaporator or thin film polymerization/devolatilization device are also a convenient place to add other components to the poly aliphatic hydroxy-carboxylic acids. These other components can include other monomers including the aliphatic hydroxy-carboxylic acids, homologues of the aliphatic hydroxy-carboxylic acids, diols, dicarboxylic acids, alcohol amines, diamines and similar reactive species. Reactive components such as peroxides, glycidyl acrylates, epoxides and the like can also be added at this stage in the process.

**[00011]** An extruder also can be in fluid contact or fluid communications with the thin film evaporator and/or thin film polymerization/devolatilization device and can be used to recycle the polymer and/or to provide the means to process the poly hydroxy-carboxylic acid to the isolation portion of the process. The extruder is also a convenient device to add other components and reactives listed above and discussed below, especially if they would be volatilized in the thin film polymerization/ devolatilization device. In one aspect, the disclosure relates to a method for making a product including treating a reduced recalcitrance biomass (*e.g.*, lignocellulosic or cellulosic material) with one or more enzymes and/or organisms to produce a hydroxyl-carboxylic acid (*e.g.*, an alpha, beta, gamma or delta hydroxyl-carboxylic acid) and converting the hydroxyl-carboxylic acid to the product.

**[00012]** Optionally, the feedstock is pretreated with at least one method selected from irradiation (*e.g.*, with an electron beam), heat treatment, sonication, oxidation, pyrolysis and steam explosion, for example, to reduce the recalcitrance lignocellulosic or cellulosic material. In one implementation of the method, the hydroxyl-carboxylic acid is converted chemically, for example, by converting D-lactic acid and/or L-lactic acid to esters by treating with an alcohol and an acid catalyst. Other methods of chemically converting that can be utilized include polymerization, isomerization, esterification, oxidation, reduction, disproportionation and combinations of these. Some examples of hydroxy-carboxylic acids that can be produced and then further converted include glycolic

acid, lactic acid, malic acid, citric acid, and tartaric acid ( di substituted), 3-hydroxybutyric acid (beta substituted), 4-hydroxybutyric acid (gamma substituted), 3 hydroxyvaleric acid (beta substituted), gluconic acid (tetra substituted at alpha, beta, gamma, and delta carbons with an additional hydroxy at the epsilon carbon).

**[00013]** In some other implementation, the lignocellulosic or cellulosic material is treated with one of more enzymes to release one or more sugars; for example, to release glucose, xylose, sucrose, maltose, lactose, mannose, galactose, arabinose, fructose, dimers of these such as cellobiose, heterodimers of these such as sucrose, oligomers of these, and mixtures of these. Optionally, treating can further include (*e.g.*, subsequently to releasing sugars) utilizing (*e.g.*, by contacting with the sugars and/or biomass) one or more organisms to produce the hydroxyl-carboxylic acid. For example, the sugars can be fermented by a sugar fermenting organism to the hydroxyl acid. Sugars that are released from the biomass can be purified (*e.g.*, prior to fermenting) by, for example, a method selected from electrodialysis, distillation, centrifugation, filtration, cation exchange chromatography and combinations of these in any order.

**[00014]** In some implementation, converting comprises polymerizing the hydroxyl-carboxylic acid to a polymer in successive steps of increasing conversion as measured by degree of polymerization. The degree of polymerization is based on the number average molecular weight,  $M_n$ . The polymerization can be in a melt (*e.g.*, without a solvent and above the melting point of the polymer), can be in a solid state, or can be in a solution (*e.g.*, with an added solvent).

**[00015]** Optionally, when the polymerization method is direct condensation, the polymerization can include utilizing coupling agents and/or chain extenders to increase the molecular weight of the polymer. For example, the coupling agents and/or chain extenders can include triphosgene, carbonyl diimidazole, dicyclohexylcarbodiimide, diisocyanate, acid chlorides, acid anhydrides, epoxides, thiirane, oxazoline, orthoester, and mixtures of these. Alternatively, the polymer can have a co monomer which is a polycarboxylic acid or polyols or a combination of these.

**[00016]** Optionally, polymerizations can be done utilizing catalysts and/or promoters. The catalyst can be added after a desired degree of polymerization is

obtained. For example, protonic acids and Lewis acids may be used. Examples of the acids include sulfonic acids,  $\text{H}_3\text{PO}_4$ ,  $\text{H}_2\text{SO}_4$ , sulfonic acids, e, g, methane sulfonic acid, p-toluene sulfonic acid, Nafion® NR 50  $\text{H}^+$  form From DuPont, Wilmington DE ( sulfonic acid supported/bonded to a polymer that optionally may have a tetrafluorethylene backbone) , acids supported on or bonded onto polymers, metals, Mg, Al, Ti, Zn, Sn, metal oxides,  $\text{TiO}_2$ ,  $\text{ZnO}$ ,  $\text{GeO}_2$ ,  $\text{ZrO}_2$ ,  $\text{SnO}$ ,  $\text{SnO}_2$ ,  $\text{Sb}_2\text{O}_3$ , metal halides,  $\text{ZnCl}_2$ ,  $\text{SnCl}_2$ ,  $\text{AlCl}_3$ ,  $\text{SnCl}_4$ ,  $\text{Mn}(\text{AcO})_2$ ,  $\text{Fe}_2(\text{LA})_3$ ,  $\text{Co}(\text{AcO})_2$ ,  $\text{Ni}(\text{AcO})_2$ ,  $\text{Cu}(\text{OA})_2$ ,  $\text{Zn}(\text{LA})_2$ ,  $\text{Y}(\text{OA})_3$ ,  $\text{Al}(i\text{-PrO})_3$ ,  $\text{Ti}(\text{BuO})_4$ ,  $\text{TiO}(\text{acac})_2$ ,  $(\text{Bu})_2\text{SnO}$ , tin octoate, solvates of any of these and mixtures of these can be used. For instance, p-toluene sulfonic acid and tin octoate or tin chloride may be used together.

**[00017]** The polymerizations can be done at a temperature between about 100 and about 260 °C, such as between about 110 and about 240 °C or between about 120 and about 200 °C. Optionally, at least a portion of the polymerizations can be performed under vacuum (*e.g.*, between about 0.005 to 300kPa).

**[00018]** After the polymerization has reached the desired molecular weight, it may be necessary to deactivate and/or remove the catalyst from the polymer. The catalyst can be reacted with a variety of compounds, including, silica, functionalized silica, alumina, clays, functionalized clays, amines, carboxylic acids, phosphites, acetic anhydride, functionalized polymers, EDTA and similar chelating agents.

**[00019]** While not being bound by theory for those catalysts like the tin systems, if the added compound can occupy multiple sites on the tin it can be rendered inactive for polymerization (and depolymerization). For example, a compound like EDTA can occupy several sites in the coordination sphere of the tin and, in turn, interfere with the catalytic sites in the coordination sphere. Alternatively, the added compound can be of sufficient size and the catalyst can adhere to its surface, such that the absorbed catalyst may be filtered from the polymer. Those added compounds such as silica may have sufficient acidic/basic properties that the silica adsorbs the catalyst and is filterable.

**[00020]** In the implementations wherein polymers are made from the D-lactic acid and/or L-lactic acid or other hydroxy-carboxylic acids, the methods can further include blending the polymer with a second polymer. For example, a second polymer can include polyglycols, polyvinyl acetate, polyolefins, styrenic

resins, polyacetals, poly (meth) acrylates, polycarbonate, polybutylene succinate, elastomers, polyurethanes, natural rubber, polybutadiene, neoprene, silicone, and combinations of these. This blending is intended to create a physical blend of polymers. The blending may be accomplished in the thin film polymerization/devolatilization device by adding the second polymer into the recycle loop or utilizing the optional extruder. After the blending is complete, crosslinking means may be done to crosslink the polymers with crosslinking additives or crosslinking processes described below. Peroxides may be added to facilitate crosslinking.

**[00021]** In other implementations wherein polymers are made from the hydroxy-carboxylic acid a co-monomer can be co-polymerized with the hydroxy-carboxylic acid. For example, the co-monomer can include elastomeric units, lactones, glycolic acid, carbonates, morpholinediones, epoxides, 1,4-benzodioxepin-2,5-(3H)-dione glycosalicylide, 1,4- benzodioxepin-2,5-(3H, 3-methyl)-dione lactosalicylide, dibenzo-1,5 dioxacin-6-12-dione disalicylide, morpholine-2,5-dione, 1,4-dioxane-2,5-dione glycolide, oxepane-2-one  $\epsilon$ -caprolactone, 1,3-dioxane-2-one trimethylene carbonate, 2,2-dimethyltrimethylene carbonate, 1,5-dioxepane-2-one, 1,4-dioxane-2-one p-dioxanone, gamma-butyrolactone, beta-butyrolactone, beta-me-delta-valerolactone, 1,4-dioxane-2,3-dione ethylene oxalate, 3-[benzyloxycarbonyl methyl]-1,4-dioxane-2,5-dione, ethylene oxide, propylene oxide, 5,5'(oxepane-2-one), 2,4,7,9-tetraoxa-spiro[5,5]undecane-3,8-dione Spiro-bis-dimethylene carbonate and mixtures of these.

**[00022]** The polymers of poly hydroxy-carboxylic acids are polyesters. These polymers may be modified by adding or aromatic alcohols and/or or aromatic carboxylic acids during one of the steps in the polymerization process. An optional place to add these alcohols and/or carboxylic acids are at the processing by the thin film polymerization/devolatilization device. An optional combination of alcohols and carboxylic acids, are to add nearly equimolar amounts of diols and dicarboxylic acids to the poly hydroxy-carboxylic acids. By holding the ratio of diols to dicarboxylic acids nearly equimolar the resultant higher molecular weight polymer will have properties similar to the parent poly hydroxy-carboxylic acids, but with the advantages of the higher molecular weight of the

polymer. Optionally, the ratio of aliphatic or aromatic diols and/or aliphatic or aromatic carboxylic diacids is from 0.95 to 1.05; or 0.975 to 1.025.

**[00023]** Tri substituted polyols and/or trisubstituted carboxylic acids may also be added to the poly hydroxy-carboxylic acid to obtain a crosslinked material. The mole ratio of these trisubstituted additives must be less than 10 mole percent, optionally, less than 5 mole percent, or further optionally, less than 2 mole percent based on the total number of monomer units of the poly hydroxy-carboxylic acids. These tri substituted can be processed/added to the polymer melt in the thin film polymerization/ devolatilization device or can be processed/added to the polymer melt in the extruder.

**[00024]** The diol and dicarboxylic acids and the triol and/or trisubstituted carboxylic acids may be added at any of the steps c, e and f described above.

**[00025]** In general, to achieve high molecular weights of the poly hydroxy-carboxylic acid the total moles of alcohol groups and carboxylic acid groups should be controlled close to equimolar amounts of alcohol and carboxylic acid groups. The difference from equimolar should be more than 0.90 to no more than 1.10 mole ratio of alcohol to carboxylic acid. Additionally, the ratio is more than 0.95 to no more than 1.05 and, optionally, more than 0.98 to no more than 1.02. The calculation of alcohol and carboxylic acid should include any di and tri substituted compounds described above.

**[00026]** When the di and/or trisubstituted reactants are included in the polymerization steps 1, 2 and 3 or especially steps 2 and 3, the resultant polymer can be a block polymer with blocks of the poly hydroxy-carboxylic acids units separated by the added di and/or trisubstituted monomers.

**[00027]** The diol/triol and diacid/triacid additives can be controlled based on the monomer units of the poly hydroxy-carboxylic acid. In one embodiment, the total moles of the diol/triol and diacid/triacid additives can be less than 10 mole percent of the monomer units of the poly hydroxy-carboxylic acids. For instance, for the monomer D-lactic acid and/or L-lactic acid the Mn molecular weight is divided by 72 to determine the number of monomer units. The 72 is obtained from the 90 molecular weight of D-lactic acid and/or L-lactic acid less that water (molecular weight 18) which is a byproduct of each condensation step.

**[00028]** In any implementation wherein polymers are made, the method can further include branching and/or cross linking the polymer. For example, the

polymers can be treated with a cross linking agent including 5,5'-bis(oxepane-2-one)(bis-  $\epsilon$ -caprolactone)), spiro-bis-dimethylene carbonate, peroxides, dicumyl peroxide, benzoyl peroxide, unsaturated alcohols, hydroxyethyl methacrylate, 2-butene-1,4-diol, unsaturated anhydrides, maleic anhydride, saturated epoxides, glycidyl methacrylate, irradiation and combinations of these. Optionally, a molecule (*e.g.*, a polymer) can be grafted to the polymer. For example, grafting can be done treating the polymer with irradiation, peroxide, crossing agents, oxidants, heating or any method that can generate a cation, anion, or radical on the polymer.

**[00029]** In any implementation wherein polymers are processed, processing can include injection molding, blow molding, spinning into fibers and thermoforming.

**[00030]** In any implementation wherein polymers are made, the polymers can be combined with fillers (*e.g.*, by extrusion and/or compression molding). For example, some fillers that can be used include silicates, layered silicates, polymer and organically modified layered silicate, synthetic mica, carbon, carbon fibers, glass fibers, boric acid, talc, montmorillonite, clay, starch, corn starch, wheat starch, cellulose fibers, paper, rayon, non-woven fibers, wood flours, whiskers of potassium titanate, whiskers of aluminum borate, 4,4'-thiodiphenol, glycerol and mixtures of these.

**[00031]** In any implementation wherein polymers are processed, the polymers can be combined with a dye and/or a fragrance. For example, dyes that can be used include blue 3, blue 356, brown 1, orange 29, violet 26, violet 93, yellow 42, yellow 54, yellow 82 and combinations of these. Examples of fragrances include wood, evergreen, redwood, peppermint, cherry, strawberry, peach, lime, spearmint, cinnamon, anise, basil, bergamot, black pepper, camphor, chamomile, citronella, eucalyptus, pine, fir, geranium, ginger, grapefruit, jasmine, juniper berry, lavender, lemon, mandarin, marjoram, musk, myrrh, orange, patchouli, rose, rosemary, sage, sandalwood, tea tree, thyme, wintergreen, ylang ylang, vanilla, new car or mixtures of these fragrances. Fragrances can be used in any amount, for example, between about 0.005 % by weight and about 20 % by weight (*e.g.*, between about 0.1 % and about 5 wt. %, between about 0.25 wt. % and about 2.5 %).

[00032] In any implementation wherein polymers are processed, the polymer can be blended with a plasticizer. For example, plasticizers include triacetin, tributyl citrate, polyethylene glycol, GRINDSTED® SOFT-N-SAFE (from Danisco, DuPont, Wilmington DE, diethyl bishydroxymethyl malonate and mixtures of these.

[00033] In any of the implementations wherein polymers are made, the polymers can be processed or further processed by shaping, molding, carving, extruding and/or assembling the polymer into the product.

[00034] In another aspect, the disclosure relates to products made by the methods discussed above. For example, the products include a converted hydroxyl-carboxylic acid wherein the hydroxyl-carboxylic acid is produced by the fermentation of biomass derived sugars (*e.g.*, glycolic acid, D-lactic acid and/or L-lactic acid, D-malic acid, L-malic, citric acid and D-tartaric acid, L-tartaric acid and meso-tartaric acid). The biomass includes cellulosic and lignocellulosic materials and these can release sugars by acidic or enzymatic saccharification. In addition, the biomass can be treated, *e.g.*, by irradiation.

[00035] The products, for example, include polymers, including one or more hydroxyl acids in the polymer backbone and optionally non-hydroxyl-carboxylic acids in the polymer backbone. Optionally the polymers can be cross-linked or graft co-polymers. Optionally the polymer can be, blended with a second polymer, blended with a plasticizer, blended with an elastomer, blended with a fragrance, blended with a dye, blended with a pigment, blended with a filler or blended with a combination of these.

[00036] Some of the products described herein, for example, D-lactic acid and/or L-lactic acid, can be produced by chemical methods. However, fermentative methods can be much more efficient, providing high biomass conversion, selective conversion and high production rates. In particular, fermentative methods can produce D or L isomers of hydroxyl-carboxylic acids (*e.g.*, lactic acid) at chiral purity of near 100% or mixtures of these isomers, whereas the chemical methods typically produce racemic mixtures of the D and L isomers. When a hydroxy-carboxylic acid is listed without its stereochemistry it is understood that D, L, meso, and/or mixtures are assumed.

[00037] The methods describe herein are also advantageous in that the starting materials (*e.g.*, sugars) can be completely derived from biomass (*e.g.*, cellulosic and lignocellulosic materials). In addition, some of the products described herein such as polymers of hydroxyl-carboxylic acid s (*e.g.*, poly lactic acid) are compostable, biodegradable and/or recyclable. Therefore, the methods described herein can provide useful materials and products from renewable sources (*e.g.*, biomass) wherein the products themselves can be re-utilized or simply safely returned to the environment.

[00038] For example, some products that can be made by the methods, systems or equipment described herein include personal care items, tissues, towels, diapers, green packaging, compostable pots, consumer electronics, laptop casings, mobile phone casings, appliances, food packaging, disposable packaging, food containers, drink bottles, garbage bags, waste compostable bags, mulch films, controlled release matrices, controlled release containers, containers for fertilizers, containers for pesticides, containers for herbicides, containers for nutrients, containers for pharmaceuticals, containers for flavoring agents, containers for foods, shopping bags, general purpose film, high heat film, heat seal layer, surface coating, disposable tableware, plates, cups, forks, knives, spoons, sporks, bowls, automotive parts, panels, fabrics, under hood covers, carpet fibers, clothing fibers, fibers for garments, fibers for sportswear, fibers for footwear, surgical sutures, implants, scaffolding and drug delivery systems.

[00039] Other features and advantages of the disclosure will be apparent from the following detailed description, and from the claims.

## DESCRIPTION OF THE DRAWING

[00040] The foregoing will be apparent from the following more particular description of example embodiments of the disclosure, as illustrated in the accompanying. The drawings are not necessarily to scale, emphasis instead being placed upon illustrating embodiments of the present disclosure.

[00041] FIG. 1 shows a generalized scheme for the polymerization process with the thin film polymerization/devolatilization device.

[00042] FIG. 2a shows a schematic of a polymerization unit that has an example of a thin film polymerization/devolatilization device and an extruder.

[00043] FIG. 2b shows a cutaway of the thin film polymerization/devolatilization device with the sloped surface upon which the molten polymer flows.

[00044] FIG. 3 is a flow diagram showing processes for manufacturing products from a biomass feedstock.

[00045] FIG. 4 is a schematic showing some possible chemical pathways for producing poly lactic acid.

[00046] FIG. 5 is a plot of lactic acid production in a 1.2 L Bioreactor.

[00047] FIG. 6 is a plot of lactic acid production in a 20 L Bioreactor.

[00048] FIG. 7 is a plot of GPC data for poly lactic acid.

[00049] FIG. 8 shows the chemical structures of some exemplary hydroxyl acids.

[00050] FIG. 9a shows a small scale polymerization unit that has an example of a laboratory-scale thin film polymerization/devolatilization device.

[00051] FIG. 9b shows a cutaway of the thin film polymerization/devolatilization device with the sloped surface upon which the molten polymer flows.

[00052] FIG. 10 shows a schematic of a shell and tube heat exchanger with molten flow of polymer down the inside surface of the tubes.

## DETAILED DESCRIPTION

[00053] Using the equipment, methods and systems described herein, cellulosic and lignocellulosic feedstock materials, for example, that can be sourced from biomass (*e.g.*, plant biomass, animal biomass, paper, and municipal waste biomass) and that are often readily available but difficult to process, can be turned into useful products such as sugars and hydroxy-carboxylic acids. Included are equipment, methods and systems to chemically convert the hydroxy-carboxylic acids products produced from the biomass to a secondary product such as polymers (*e.g.*, poly hydroxy-carboxylic acid) and polymer derivatives (*e.g.*, composites, elastomers and co-polymers).

[00054] Hydroxy-carboxylic acids can undergo condensation reactions to obtain polyester polymers. Since the hydroxy-carboxylic acids and the polymeric products are derived from biomass they are a renewable product.

[00055] In one embodiment, the method of making a high molecular weight polymer or copolymer from oligomer, the method comprising evaporating water as it is formed during condensation of an hydroxy-carboxylic acid oligomer *e.g.* an aliphatic hydroxy carboxylic acid, as it traverses a surface of a thin film evaporator. Water, as a coproduct of the condensation, needs to be removed from the high molecular weight polymer or copolymer, to maximize the conversion to higher molecular weight materials and minimize the undesirable reverse reaction where the water adds back and releases a monomer unit, dimer unit or oligomer of the hydroxyl-carboxylic acid.

[00056] In another embodiment, the thin film evaporator unit operation is described in more detail and the polymerization process is denoted in three steps or stages for the conversion to high molecular weight polymers. Fig. 1 shows a schematic of the polymerization process with the three polymerization steps indicated. A recycle loop which takes the product of the thin film evaporator/thin film polymerization/devolatilization device and recycle to the input of the thin film evaporator/thin film polymerization/devolatilization device. The thin film evaporator/thin film polymerization/devolatilization device product stream may be split between recycle and sending a portion of the product stream to product collection.

[00057] First, excess water is removed from the hydroxyl- carboxylic acid. As the acid is derived from biomass processing, it is likely in an aqueous solvent. The condensation process to make the polyester produces water and thus, the excess water must be removed. The removal may be done in a batch or continuous process, with or without vacuum and at temperatures to achieve effective water removal rates. Some condensation to form ester bonds can occur during step 1 and low molecular weight oligomers may be formed.

[00058] After excess water is removed, further heating and, optional processing with vacuum to remove more water. At this stage in the process, the conversion of the hydroxy-carboxylic acid results in a degree of oligomerization is about 5 to about 50 based on the number average molecular weight of the oligomer/polymer.

[00059] A polymerization catalyst is added to the oligomer/polymer system. The candidate polymer catalyst(s) is described above and further described below. The catalyst may be added by any convenient means. For instance, the

catalyst components can be dissolved/dispersed into the hydroxy-carboxylic acid and added to the oligomer/polymer with degree of oligomerization of about 5 to 50.

**[00060]** With the catalyst present more conversion of the oligomer/polymer mixture occurs to obtain a degree of polymerization of about 35 to about 500. This is achieved by the catalytic action of the catalysts added and a combination of more heating and higher vacuums.

**[00061]** Next the thin film polymerization/devolatilization device is utilized to obtain polymers with a degree of polymerization of about 300 to about 20,000. The thin film has a thickness of less than 1 cm, optionally less than 0.5 cm, or further less than 0.25 cm, additional less than 0.1 cm.

**[00062]** Throughout the process and when the catalyst is added cyclic dimers derived from the hydroxy-carboxylic acid are less than 10 weight percent based on the total mass of the hydroxy-carboxylic acids monomers, oligomers and polymers (and copolymers). The thin film polymerization/devolatilization device is configured such that fluid polymer is conveyed to the device such that the film of the fluid polymer is less than 1 cm thick and the device provides a means for volatilizing the water formed in the reaction and other volatile components. The polymerization type is characterized as polymerization in the melt phase. The thin/film polymerization/devolatilization device and the thin film evaporator describe herein are similar in that they accomplish the same function. When the hydroxy-carboxylic acid is D-lactic acid and/or L-lactic acid, the cyclic dimer is denoted as lactide, although lactide can more generally refer these cyclic dimers. For lactic acid, the lactide is 3,6-dimethyl-1,4-dioxane-2,5-dione, with no stereochemistry denoted.

**[00063]** Optionally, the hydroxy-carboxylic cyclic dimers are less than 5 weight percent based on the total mass of the hydroxy-carboxylic monomers, oligomers and polymers (copolymers). In another embodiment, the hydroxy-carboxylic cyclic dimers are less than 2.5 based on the total mass of the hydroxy-carboxylic acids monomers, oligomers and polymers weight percent.

**[00064]** The hydroxylic medium can be water; mixtures of water and compatible solvents such as methanol, ethanol; and low molecular weight alcohols such as methanol, ethanol, n-propanol, iso-propanol, n-butanol, iso-butanol, and similar alcohols.

[00065] The temperature of the thin film polymerization/ devolatilization device is from 100 to 260° C. Optionally, the temperature of the thin film polymerization/ devolatilization device is from 120 to 240° C. Additionally, the temperature is between 140 and 220 °C.

[00066] The thin film polymerization/devolatilization device can operate at high vacuum with pressures of 0.0001 torr and lower. The thin film polymerization/ devolatilization device can operate *e.g.* at 0.001 torr or lower; or 0.01 torr or lower. During some stages of operation the operating pressures can be considered low and medium vacuum; 760 to 25 torr and 25 to 0.001 torr, respectively. The device may operate at a pressure of 100 to 0.0001 torr, or alternatively, 50 to 0.001 torr, or optionally, 25 to 0.001 torr.

[00067] The thin film polymerization/devolatilization device can optionally include a recycle loop in which the melt polymerization product is recycled to the entrance point of the thin film polymerization/ devolatilization device. It can also be coupled to an extrusion device. The melt polymerization product can be processed from the thin film polymerization/devolatilization device to an extruder which can pass the product to finished product area. Alternately, the flow of the output of the extruder may be directed back to the thin film polymerization/devolatilization device. The flow may be split between the product and the recycle. The extruder system is a convenient location to incorporate additives into the melt polymerization product for recycle and subsequent reaction or for blending into the polymer stream prior to transferring to the product finishing area. The additives were described above and below. The additive addition includes compounds that react into the polymer, react on the polymer or physically mix with the polymer.

[00068] FIG. 2a is a schematic of a polymerization system to polymerize hydroxy carboxylic acid. The thin film evaporator or thin film polymerization/ devolatilization device (200), an (optional) extruder (202) for product isolation or recycle back to the thin film evaporator or thin film polymerization/ devolatilization device, a heated recycle loop (204), a heated condenser (206), cooled condenser (208) for condensing water and other volatile components, a collection vessel (210) a fluid transfer unit (212) to remove condensed water and volatile components {this effluent may be taken to a another unit operation to recover the useful volatile components for recycle back to Step 1 }, and a product

isolation device (214). The thin film evaporator or thin film polymerization/devolatilization device comprises Step 3 in the process description discussed above. The fluid transfer unit is shown as a pump.

[00069] FIG. 2 is a cutaway of the thin film polymerization/devolatilization device. The angled rectangular piece (250) is the optionally heated surface where the molten polymer flows. The incoming molten polymer stream (252) flows onto the surface and is shown as an ellipse (258) of flowing polymer flowing to the exit of the device at (254). The volatiles are removed at (256).

[00070] The internals of the thin film evaporator or thin film polymerization/devolatilization device can be in different configurations, but must be configured to assure that the polymer fluid flows in a thin film through the device. This is to facilitate volatilization of the water that is in the polymer fluid or is formed by a condensation reaction. For instance, the surface may be slanted at an angle relative to the straight sides of the device. The surface may be separately heated such that the surface is 0 to 40 °C hotter than the polymer fluid. With this heated surface it can be heated to up to 300 °C, as much as 40 °C higher than the overall temperature of the device.

[00071] The thickness of the polymer fluid flowing along the thin film part of the device is less than 1 cm, optionally less than 0.5 cm or alternately less than 0.25 cm.

[00072] The thin film evaporator and thin film polymerization/devolatilization device are similar in function. Other similar devices similar in function should be considered to have the same function as these. Descriptively, these include wiped film evaporators, short path evaporator, a shell and tube heat exchanger and the like. For each of these evaporator configuration a distributor may be used to assure distribution of the thin film. The limitation that they must be able to operate at the conditions described above.

[00073] Optionally, the catalyst may be removed from the molten polymer. Removing catalyst may be accomplished just prior to, during, or after the thin film evaporator/thin film polymerization/devolatilization device. The catalyst may be filtered from the molten polymer by using a filtration system similar to a screen pack. Since the molten polymer is flowing around the thin film evaporator/thin film polymerization/devolatilization device, a filtration system can be added.

**[00074]** To facilitate the catalyst removal a neutralization or chelation chemical may be added. Candidate compounds include phosphites, anhydrides, poly carboxylic acids, polyamines, hydrazides, EDTA( and similar compounds) and the like. These neutralization and/or chelation compounds can be insoluble in the molten polymer leading to facile filtration. Poly carboxylic acids include poly acrylic acids and poly methacrylic acids. The latter can be in a both a random, block, and graft polymer configuration. The amines include ethylene diamine, oligomers of ethylene diamine and other similar polyamines such as methyl bis -3-amino, propane.

**[00075]** Another option to remove the catalyst includes adding solid materials to the polymer melt. Examples of added materials include silica, alumina, aluminosilicates, clays, diatomaceous earth, polymers and like solid materials . Each of these can be optionally functionalized to react/bind with the catalyst. When the catalyst binds/bonds to these structures it can be filtered from the polymer.

**[00076]** The (co)polymer product is isolated when the desired conversion/physical properties are achieved. The product can be conveyed to a product collection /isolation area. Optionally, a final devolatilization step may be performed just prior to product isolation. Types of equipment to isolate the (co)polymer product can include rotoform pastillation system and similar systems in which the product is cooled to obtain a product in a useable form.

**[00077]** Assessment when the product can be sent to product isolation can include taking samples and measuring important parameters such as molecular weight and polydispersity. Optionally, a continuous measurement can be used such as including an in-line viscometer which can measure intrinsic viscosity.

**[00078]** The thin film evaporator and thin film polymerization/devolatilization device can be made of any normally used metals for chemical processing equipment. Since the hydroxy-carboxylic acids can be corrosive the thin film evaporator may be clad or coated with corrosive resistant metals such as tantalum, alloys such as Hastelloy™, a trademarked alloy from Haynes International, and the like. It can also be coated with inert high temperature polymeric coatings such as Teflon™ from DuPont, Wilmington De. The corrosivity of the hydroxy-carboxylic acid system may not be surprising since the pKa of lactic acid is more than 0.8 less than acetic acid. Also, water

undoubtedly hydrates the acid and the acid end of the polymer. When those waters of hydration are removed the acidity can be much higher, since it is not leveled by the waters of hydration.

[00079] FIG. 9a is a schematic of a pilot-scale polymerization system to polymerize hydroxy carboxylic acid. The thin film evaporator or thin film polymerization/ devolatilization device (900), a heated riser (902), a cooled condenser (904) for condensing water and other volatile components, a collection vessel (906) a fluid transfer unit (908) to recycle the polymer fluid shown as a pump. The connecting tubing is not shown for clarity. The output of the pump (916) is connected to inlet (910), the device output (912) is connected to the inlet of the pump (914). The product isolation section is not shown. Internal in the thin film polymerization/ devolatilization device is a slanted surface. The polymer fluid is flowed to the inlet with the configured such that the polymer fluid flows onto the slanted surface. This slanted surface may be separately heated as described above.

[00080] FIG. 9b is a cutaway of the thin film polymerization/ devolatilization device. The angled rectangular piece (950) is the optionally heated surface where the molten polymer flows. The incoming molten polymer stream (952) flows onto the surface and is shown as a trapezoid (956) of flowing polymer flowing to the exit of the device at (954).

[00081] An alternate thin film evaporator/devolatilization can be a shell and tube heat exchanger. A schematic is shown in FIG. 10. The shell (1002) and tube (1008) with only one of the tubes labeled. The molten polymer flow (1006) is depicted is entering the left side of each tube, but could also a distributor could be present that would distribute the polymer flow to the inside surface of the tubes to assure the thin film thickness of less than 1 cm is obtained. The collection of the polymer flow is at 1004.

[00082] To facilitate removal of water a stripping gas may be included in the system especially toward the exit of the thin film evaporator or thin film polymerization devolatilization device. The capacity of the vacuum must accommodate the use of stripping gas.

[00083] Additives can be added just prior, during or after the thin film evaporator or thin film polymerization/ devolatilization device. These additives can include but are not limited to polymers for blending, reactive polymers,

reactive monomers, other condensation monomers, catalyst stabilization agents, anti-oxidants peroxides for crosslinking polymer chains. These additives are more fully described below.

## BIOMASS PROCESSING TO PRODUCE HYDROXY-CARBOXYLIC ACIDS

[00084] The hydroxy-carboxylic acid is prepared from biomass. For example, lignocellulosic materials include different combinations of cellulose, hemicellulose and lignin. Cellulose is a linear polymer of glucose. Hemicellulose is any of several heteropolymers, such as xylan, glucuronoxylan, arabinoxylans and xyloglucan. The primary sugar monomer present (*e.g.*, present in the largest concentration) in hemicellulose is xylose, although other monomers such as mannose, galactose, rhamnose, arabinose and glucose are present. Although all lignins show variation in their composition, they have been described as an amorphous dendritic network polymer of phenyl propene units. The amounts of cellulose, hemicellulose and lignin in a specific biomass material depend on the source of the biomass material. For example, wood-derived biomass can be about 38-49% cellulose, 7-26% hemicellulose and 23-34% lignin depending on the type. Grasses typically are 33-38% cellulose, 24-32% hemicellulose and 17-22% lignin. Clearly lignocellulosic biomass constitutes a large class of substrates.

[00085] Enzymes and biomass-destroying organisms that break down biomass, such as the cellulose, hemicellulose and/or the lignin portions of the biomass as described above, contain or manufacture various cellulolytic enzymes (cellulases), ligninases, xylanases, hemicellulases or various small molecule biomass-destroying metabolites. A cellulosic substrate is initially hydrolyzed by endoglucanases at random locations producing oligomeric intermediates. These intermediates are then substrates for exo-splitting glucanases such as cellobiohydrolase to produce cellobiose from the ends of the cellulose polymer. Cellobiose is a water-soluble 1,4-linked dimer of glucose. Finally, cellobiase cleaves cellobiose to yield glucose. In the case of hemicellulose, a xylanase (*e.g.*, hemicellulase) acts on this biopolymer and releases xylose as one of the possible products.

[00086] FIG. 3 is a flow diagram showing processes for manufacturing is a flow diagram showing processes for manufacturing hydroxyl-carboxylic acid s

from a feedstock (*e.g.*, cellulosic or lignocellulosic materials). In an initial step (310) the method includes optionally mechanically treating a cellulosic and/or lignocellulosic feedstock, for example, to comminute/size reduce the feedstock. Before and/or after this treatment, the feedstock can be treated with another physical treatment (312), for example, irradiation, heat treatment, sonication, steam explosion, oxidation, pyrolysis or combinations of these, to reduce or further reduce its recalcitrance. A sugar solution *e.g.*, including glucose and/or xylose, is formed by saccharifying the feedstock (314). The saccharification can be, for example, accomplished efficiently by the addition of one or more enzymes, *e.g.*, cellulases and/or xylanases (311) and/or one or more acids. A product or several products can be derived from the sugar solution, for example, by fermentation to a hydroxyl-carboxylic acid (316). Following fermentation, the fermentation product (*e.g.*, or products, or a subset of the fermentation products) can be purified or they can be further processed, for example, polymerized and/or isolated (324). Optionally, the sugar solution is a mixture of sugars and the organism selectively ferments only one of the sugars. The fermentation of only one of the sugars in a mixture can be advantageous as described in International App. No. PCT/US2014/021813 filed March 07, 2014, the entire disclosure of which is incorporated herein by reference. If desired, the steps of measuring lignin content (318) and setting or adjusting process parameters based on this measurement (320) can be performed at various stages of the process, for example, as described in U.S. Patent 8,415,122, issued April 9, 2013 the entire disclosure of which is incorporated herein by reference. Optionally, enzymes (*e.g.*, in addition to cellulases and xylanases) can be added in step (114), for example, a glucose isomerase can be used to isomerize glucose to fructose. Some relevant uses of isomerase are discussed in International Application No. PCT/US12/71093, filed on December 20, 2012, published as WO 2013/096700 the entire disclosure of which is incorporated herein by reference.

**[00087]** In some embodiments the liquids after saccharification and/or fermentation can be treated to remove solids, for example, by centrifugation, filtration, screening, or rotary vacuum filtration. For example, some methods and equipment that can be used during or after saccharification are disclosed in U.S. Application Serial No. 13/932,814 filed on July 1, 2013, published as US 2014/0004573; and International App. No. PCT/US2014/021584, filed on March

7, 2014, the entire disclosures of which are incorporated herein by reference. In addition other separation techniques can be used on the liquids, for example, to remove ions and de-colorize. For example, chromatography, simulated moving bed chromatograph and electrodialysis can be used to purify any of the solutions and or suspensions described herein. Some of these methods are discussed in International App. No. PCT/US2014/021638, filed on March 7, 2014, and International App. No. PCT/US2014/021815, filed on March 7, 2014, the entire disclosures of which are incorporated herein by reference. Solids that are removed during the processing can be utilized for energy co-generation, for example, as discussed in International App. No. PCT/US2014/021634, filed on March 7, 2014, the entire disclosure of which is herein incorporated by reference. Optionally, the sugars released from biomass as describe in FIG. 3, for example, glucose, xylose, sucrose, maltose, lactose, mannose, galactose, arabinose, homodimers and heterodimers of these (*e.g.*, cellobiose, sucrose), trimers, oligomers and mixtures of these, can be fermented to hydroxyl-carboxylic acids such as alpha, beta or gamma hydroxyl acids (*e.g.*, lactic acid). In some embodiments the saccharification and fermentation are done simultaneously, for example, using the thermophilic organism such as *Bacillus coagulans* MXL-9 as described by S.L. Walton in J. Ind. Microbiol. Biotechnol. (2012) pg. 823-830.

**[00088]** Hydroxy-carboxylic acids that can be produced by the methods, systems, and equipment described herein include, for example, of alpha, beta, gamma and delta hydroxy-carboxylic acids. FIG. 8 shows the chemical structures of some hydroxyl acids. That is, if there is only one hydroxyl group it can be at any of the alpha, beta, gamma or delta carbon atoms in the carbon chain. The carbon chain may be a straight chain, branched or cyclic system. The hydroxy carboxylic acid may also include fatty acids of carbon chain lengths of 10 to 22 with the hydroxy substituent at the alpha, beta, gamma, or delta carbon.

**[00089]** The hydroxy-carboxylic acids include those with multiple hydroxy substituents, or in alternative description a poly hydroxy substituted carboxylic acid. Such hydroxy-carboxylic acids can be poly-hydroxy-carboxylic acid, *e.g.* di-, tri-, tetra-, penta-, hexa- hepta- and octa-hydroxy substituted carboxylic acid. The carbon chain of the carboxylic acid may be straight chained, branched, cyclic, or alicyclic. Examples of this are tartaric acid and its isomers, dihydroxy-

3-methylpentanoic acid, 3,4-dihydroxymandelic acid, gluconic acid, glucuronic acid and the like.

[00090] For example, glycolic acid, lactic acid (*e.g.*, D, L or mixtures of D and L), malic acid (*e.g.*, D, L or mixtures of D and L), citric acid, tartaric acid (*e.g.*, D, L or mixtures of D and L), carmine, cyclobutylol, 3-dehydroquinic acid, diethyl tartrate, 2,3-dihydroxy-3-methylpentanoic acid, 3,4-dihydroxymandelic acid, glyceric acid, homocitric acid, homoisocitric acid, beta-hydroxy beta-methylbutyric acid, 4-hydroxy-4-methylpentanoic acid, hydroxybutyric acid, 2-hydroxybutyric acid, beta-hydroxybutyric acid, gamma-hydroxybutyric acid, alpha-hydroxyglutaric acid, 5-hydroxyindoleacetic acid, 3-hydroxyisobutyric acid, 3-hydroxypentanoic acid, 3-hydroxypropionic acid, hydroxypyruvic acid, gamma-hydroxyvaleric acid, isocitric acid, isopropylmalic acid, kynurenic acid, mandelic acid, mevalonic acid, monatin, myriocin, pantoic acid, pantoic acid, prephenic acid, shikimic acid, tartronic acid, threonic acid, tropic acid, vanillylmandelic acid, xanthurenic acid and mixtures of these. For those hydroxyl acids listed all of the stereo isomers are included in the list. For instance, tartaric acid includes, the D, L, and meso isomers and mixtures thereof.

## PREPARATION OF HYDROXY-CARBOXYLIC ACID

[00091] Organisms can utilize a variety of metabolic pathways to convert the sugars to hydroxy-carboxylic acid, and some organisms selectively only can use specific pathways. A well-studied example is lactic acid. Some organisms are homofermentative while others are heterofermentative. For example, some pathways are described in Journal of Biotechnology 156 (2011) 286-301. The pathway typically utilized by organisms fermenting glucose is the glycolytic pathway. Five carbon sugars, such as xylose, can utilize the heterofermentative phosphoketolase (PK) pathway. The PK pathway converts two of the 5 carbons in xylose to acetic acid on the remaining 3 to lactic acid (through pyruvate). Another possible pathway for five carbon sugars is the pentose phosphate (PP)/glycolytic pathway that only produces lactic acid.

[00092] Several organisms can be utilized to ferment the biomass derived sugars to lactic acid. The organisms can be, for example, lactic acid bacteria and fungi. Some specific examples include *Rhizopus arrhizus*, *Rhizopus oryzae*, (*e.g.*, NRRL-395, ATCC 52311, NRRL 395, CBS 147.22, CBS 128.08, CBS 539.80,

CBS 328.47, CBS 127.08, CBS 321.35, CBS 396.95, CBS 112.07, CBS 127.08, CBS 264.28), *Enterococcus faecalis* (e.g. RKY1), *Lactobacillus rhamnosus* (e.g. ATCC 10863, ATCC 7469, CECT-288, NRRL B-445), *Lactobacillus helveticus* (e.g. ATCC 15009, R211), *Lactobacillus bulgaricus* (e.g. NRRL B-548, ATCC 8001, PTCC 1332), *Lactobacillus casei* (e.g. NRRL B-441), *Lactobacillus plantarum* (e.g. ATCC 21028, TISTR No. 543, NCIMB 8826), *Lactobacillus pentosus* (e.g. ATCC 8041), *Lactobacillus amylophilus* (e.g. GV6), *Lactobacillus delbrueckii* (e.g. NCIMB 8130, TISTR No. 326, Ue-3, NRRL-B445, IFO 3202, ATCC 9649), *Lactococcus lactis* ssp. *lactis* (e.g. IFO 12007), *Lactobacillus paracasei* No. 8, *Lactobacillus amylovorus* (ATCC 33620), *Lactobacillus* sp. (e.g. RKY2), *Lactobacillus coryniformis* ssp. *torquens* (e.g. ATCC 25600, B-4390), *Rhizopus* sp. (e.g. MK-96-1196), *Enterococcus casseliflavus*, *Lactococcus lactis* (TISTR No. 1401), *Lactobacillus casei* (TISTR No. 390), *Lactobacillus thermophiles*, *Bacillus coagulans* (e.g., MXL-9, 36D1, P4-102B), *Enterococcus mundtii* (e.g., QU 25), *Lactobacillus delbrueckii*, *Acremonium cellulose*, *Lactobacillus bifermentans*, *Corynebacterium glutamicum*, *L. acetotolerans*, *L. acidifarinae*, *L. acidipiscis*, *L. acidophilus*, *L. agilis*, *L. algidus*, *L. alimentarius*, *L. amylolyticus*, *L. amylophilus*, *L. amylophilus*, *L. amylophilus*, *L. amylovorus*, *L. animalis*, *L. antri*, *L. apodemi*, *L. aviarius*, *L. bifermentans*, *L. brevis* (e.g., B-4527), *L. buchneri*, *L. camelliae*, *L. casei*, *L. cateniformis*, *L. ceti*, *L. coleohominis*, *L. collinoides*, *L. composti*, *L. concavus*, *L. coryniformis*, *L. crispatus*, *L. crustorum*, *L. curvatus*, *L. delbrueckii* subsp. *Delbrueckii* (e.g., NRRL B-763, ATCC 9649), *L. delbrueckii* subsp. *bulgaricus*, *L. delbrueckii* subsp. *lactis* (e.g., B-4525), *L. dextrinicus*, *L. diolivorans*, *L. equi*, *L. equigenersi*, *L. farraginis*, *L. farciminis*, *L. fermentum*, *L. fornicalis*, *L. fructivorans*, *L. frumenti*, *L. fuchuensis*, *L. gallinarum*, *L. gasseri*, *L. gastricus*, *L. ghanensis*, *L. graninis*, *L. hammesii*, *L. hamsteri*, *L. harbinensis*, *L. hayakitensis*, *L. helveticus*, *L. hilgardii*, *L. homohiochii*, *L. iners*, *L. ingluviei*, *L. intestinalis*, *L. jensenii*, *L. johnsonii*, *L. kalixensis*, *L. kefiranofermentans*, *L. kefir*, *L. kimchii*, *L. kitasatonis*, *L. kunkeei*, *L. leichmannii*, *L. lindneri*, *L. malefermentans*, *L. mali*, *L. manihotivorans*, *L. mindensis*, *L. mucosae*, *L. murinus*, *L. nagelii*, *L. namurensis*, *L. nantensis*, *L. oligofermentans*, *L. oris*, *L. panis*, *L. pantheris*, *L. parabrevis*, *L. parabuchneri*, *L. paracollinoides*, *L. parafarraginis*, *L. parakefir*, *L. paralimentarius*, *L. paraplantarum*, *L. pentosus*, *L. perolens*, *L. plantarum* (e.g., ATCC 8014), *L.*

*pontis*, *L. psittaci*, *L. rennini*, *L. reuteri*, *L. rhamnosus*, *L. rima*, *L. rogosae*, *L. rossiae*, *L. ruminis*, *L. saerimneri*, *L. sakei*, *L. salivarius*, *L. sanfranciscensis*, *L. satsumensis*, *L. secaliphilus*, *L. sharpeae*, *L. siliginis*, *L. spicheri*, *L. suebicus*, *L. thailandensis*, *L. ultunensis*, *L. vaccinostercus*, *L. vaginalis*, *L. versmoldensis*, *L. vini*, *L. vitulinus*, *L. zaeae*, *L. zymae*, and *Pediococcus pentosaceus* (ATCC 25745).

**[00093]** Alternatively, the microorganism used for converting sugars to hydroxy-carboxylic acids, including lactic acid, *Lactobacillus casei*, *Lactobacillus rhamnosus*, *Lactobacillus delbrueckii subspecies delbrueckii*, *Lactobacillus plantarum*, *Lactobacillus coryniformis subspecies torquens*, *Lactobacillus pentosus*, *Lactobacillus brevis*, *Pediococcus pentosaceus*, *Rhizopus oryzae*, *Enterococcus faecalis*, *Lactobacillus helveticus*, *Lactobacillus bulgaricus*, *Lactobacillus casei*, *Lactobacillus amylophilus* and mixtures thereof

**[00094]** Using the methods, equipment and systems described herein, either D or L isomers of lactic acid at an optical purity of near 100% (e.g., at least about 80%, at least about 85%, at least about 90%, at least about 95%, at least about 99%) can be produced. Optionally mixtures of the isomers can be produced in any ratio, for example, from 0% optical purity of any isomer up to 100% optical purity of any isomer. for example, the species *Lactobacillus delbrueckii* (NRRL-B445) is reported to produce a mixture of D and L isomers, *Lactobacillus rhamnosus* (CECT-28) is reported to produce the L isomer while *Lactobacillus delbrueckii* (IF 3202) is reported to produce the D isomer (Wang et al. in Bioresource Technology, June, 2010). As a further example, organisms that predominantly produce the L(+)-isomer are *L. amylophilus*, *L. bavaricus*, *L. casei*, *L. maltaromicus* and *L. salivarius*, while *L. delbrueckii*, *L. jensenii* and *L. acidophilus* produce the D(-)-isomer or mixtures of both.

**[00095]** Genetically modified organisms can also be utilized. For example, genetically modified organisms (e.g., lactobacillus, *Escherichia coli*) that are modified to express either L-Lactate dehydrogenase or D-lactate dehydrogenase to produce more L-Lactic acid or D-Lactic acid respectively. In additions some yeasts and *Escherichia coli* have been genetically modified to produce lactic acid from glucose and/or xylose.

**[00096]** Co-cultures of organisms, for example, chosen from organisms as describe herein, can be used in the fermentations of sugars to hydroxy-carboxylic

acid in any combination. For example, two or more bacteria, yeasts and/or fungi can be combined with one or more sugars (*e.g.*, glucose and/or xylose) where the organisms ferment the sugars together, selectively and/or sequentially.

Optionally, one organism is added first and the fermentation proceed for a time, for example, until it stops fermenting one or more of the sugars, and then a second organism can be added to further ferment the same sugar or ferment a different sugar. Co-cultures can also be utilized, for example, to tune in a desirable racemic mixture of D and L lactic acid by combining a D-fermenting and L-fermenting organism in an appropriate ratio to form the targeted racemic mixture.

[00097] In some embodiments, fermentations utilizing *Lactobacillus* is preferred. For example, the fermentation of biomass derived glucose by *Lactobacillus* can be very efficient (*e.g.*, fast, selective and with high conversion). In other embodiments the production of lactic acid using filamentous fungi is preferred. For example, *Rhizopus* species can ferment glucose aerobically to lactic acid. In addition, some fungi (*e.g.* *R. oryzae* and *R. arrhizus*) produce amylases so that the direct fermentation of starches can accomplished without adding external amylases. Finally some fungi (*e.g.*, *R. oryzae*) can ferment xylose as well as glucose where most *lactobacillus* are not efficient in fermenting pentose sugars.

[00098] In some embodiments some bio-additives (*e.g.*, media components) can be added during the fermentation. for example, bio-additives that can be utilized include yeast extract, rice bran, wheat bran, corn steep liquor, black strap molasses, casein hydrolyzate, vegetable extracts, corn steep solid, ram horn waste, peptides, peptone (*e.g.*, bacto-peptone, polypeptone), pharmamedia, flower (*e.g.*, wheat flour, soybean flour, cottonseed flour), malt extract, beef extract, tryptone,  $K_2HPO_4$ ,  $KH_2PO_4$ ,  $Na_2HPO_4$ ,  $NaH_2PO_4$ ,  $(NH_4)_2PO_4$ ,  $NH_4OH$ ,  $NH_4NO_3$ , urea, ammonium citrate, nitrilotriacetic acid,  $MnSO_4 \cdot 5H_2O$ ,  $MgSO_4 \cdot 7H_2O$ ,  $CaCl_2 \cdot 2H_2O$ ,  $FeSO_4 \cdot 7H_2O$ , B-vitamins (*e.g.*, thiamine, riboflavin, niacin, niacinamide, pantothenic acid, pyridoxine, pyridoxal, pyridoxamine, pyridoxine hydrochloride, biotin, folic acid), amino acids, sodium-L-glutamate,  $Na_2EDTA$ , sodium acetate,  $ZnSO_4 \cdot 7H_2O$ , ammonium molybdate tetrahydrate,  $CuCl_2$ ,  $CoCl_2$  and  $CaCO_3$ . Addition of protease can also be beneficial during the fermentation. Optionally surfactants such as Tween 80 and antibiotics such as Chloramphenicol

can also be beneficial. Additional carbon sources, for example, glucose, xylose and other sugars. Antifoaming compounds such as Antifoam 204 can also be utilized.

**[00099]** In some embodiments the fermentation can take from about 8 hours to several days. For example, some batch fermentations can take from about 1 to about 20 days (*e.g.*, about 1-10 days, about 3-6 days, about 8 hours to 48 hours, about 8 hours to 24 hours).

**[00100]** In some embodiments the temperature during the fermentation is controlled. for example, the temperature can be controlled between about 20 ° C and 50 ° C (*e.g.*, between about 25 and 40 ° C, between about 30 and 40 ° C, between about 35 and 40 ° C). In some case thermophilic organisms are utilized that operate efficiently above about 50 ° C, for example, between about 50 ° C and 100 ° C (*e.g.*, between about 50-90 ° C, between about 50 to 80 ° C, between about 50 to 70 ° C).

**[00101]** In some embodiments the pH is controlled, for example, by the addition of an acid or a base. The pH can be optionally controlled to be close to neutral (*e.g.*, between about 4-8, between about 5-7, between about 5-6). Acids, for example, can be protic acids such as sulfuric, phosphoric, nitric, hydrochloride and acetic acids. Bases, for example, can include metal hydroxides (*e.g.*, sodium and potassium hydroxide), ammonium hydroxide, and calcium carbonate. Phosphate and other buffers can also be utilized.

**[00102]** Fermentation methods include, for example, batch, fed batch, repeated batch or continuous reactors. Often batch methods can produce higher concentrations of lactic acids, while continuous methods can lead to higher productivities.

**[00103]** Fed batch methods can include adding media components and substrate (*e.g.*, sugars from biomass) as they are depleted. Optionally products, intermediates, side products and/or waste products can be removed as they are produced. In addition solvent (*e.g.*, water) can be added or removed to maintain the optimal amount for the fermentation.

**[00104]** Options include cell-recycling. For example, using a hollow fiber membrane to separate cells from media components and products after fermentation is complete. The cells can then be re-utilized in repeated batches. In other optional methods the cells can be supported, for example, as described in

US Application serial No. 13/293,971, filed on Nov. 10, 2011 and US patent No. 8,377,668, issued Feb 19, 2013 the entire disclosures of which are herein incorporated by reference.

**[000105]** The fermentation broth can be neutralized using calcium carbonate or calcium hydroxide which can form calcium lactate. Calcium lactate is soluble in water (*e.g.*, about 7.9 g/100mL). The calcium lactate broth can then be filtered to remove cells and other insoluble materials. In addition the broth can be treated with a decolorizing agent. for example, the broth can be filtered through carbon. The broth is then concentrated, *e.g.*, by evaporation of the water optionally under vacuum and/or mild heating, and can be crystallized or precipitated. Acidification, for example, with sulfuric acid, releases the lactic acid back into solution which can be separated (*e.g.*, filtered) from the insoluble calcium salts, *e.g.*, calcium sulfate. Addition of calcium carbonate during the fermentation can also serve as a way to reduce product inhibition since the calcium lactate is not inhibitory or causes less product inhibition.

**[000106]** Optionally, reactive distillation can also be used to purify D-lactic acid and/or L-lactic acid. For example, methylation of D-lactic acid and/or L-lactic acid provides the methyl ester which can be distilled to pure ester which can then be hydrolyzed to the acid and methanol that can be recycled. Esterification to other esters can also be used to facilitate the separation. For example, reactions with alcohols to the ethyl, propyl, butyl, hexyl, octyl or even esters with more than eight carbons can be formed and then extracted in a solvent or distilled.

**[000107]** Other alternative D-lactic acid and/or L-lactic acid separation technologies include adsorption, for example, on activated carbon, polyvinylpyridine, zeolite molecular sieves and ion exchange resins such as basic resins. Other methods include ultrafiltration and electrodialysis.

**[000108]** Precipitation or crystallization of calcium lactate by the addition of organic solvents is another method for purification. For example, alcohols (*e.g.*, ethanol, propanol, butanol, hexanol), ketones (*e.g.*, acetone) can be utilized for this purpose.

**[000109]** Similar methods can be utilized for the preparation of other hydroxy-carboxylic acids. For example, the fermentative methods and procedures can be applicable for any of the hydroxy-carboxylic acids described herein.

## POLYMERIZATION OF HYDROXY-CARBOXYLIC ACID

[000110] Hydroxy-carboxylic acid prepared as described herein can undergo ester condensation to form dimers (*e.g.*, linear and cyclic), trimers, oligomers and polymers. When the poly hydroxy-carboxylic acid is polylactic acid (PLA) it is therefore a polyester of condensed lactic acid. PLA can be further processed (*e.g.*, grafted, treated, or copolymerized to form side chains including ionizable groups). Both D, L isomers of PLA can form polymers and/or they can be copolymerized. The properties of the polymer depend on the amounts of the D and L lactic acid incorporated in the structure, as will be discussed further on.

[000111] The polymers of hydroxy-carboxylic acids are based on polyester methods. The balance between the hydroxy and the carboxylic acid component preferably are close to equimolar. For instance, the mole ratio limit of hydroxy/carboxylic groups can be in the range of 0.9 to 1.1, alternatively, 0.95 to 1.05, optionally, 0.98 to 1.02 or further 0.99 to 1.01. If a hydroxy carboxylic acid has an unequal amount of hydroxy substituents relative to the carboxylic acid group like malic acid, than the appropriate amount of extra diol can be included to obtain a high molecular weight polymer, if desired.

[000112] The polymerization of the PLA is done by the methods described above.

[000113] One method for production of high molecular weight PLA is by coupling PLA, for example, made as described above, using chain coupling agents. for example, hydroxyl-terminated PLA can be synthesized by the condensation of D-lactic acid and/or L-lactic acid in the presence of small amounts of multifunctional hydroxyl compounds such as , ethylene glycol, propylene glycol, 1,3-propanediol, 1,2-cyclohexanediol, 2-butene-1,4-diol, glycerol, 1,4-butanediol, 1,6-hexanediol. Alternatively, carboxyl-terminated PLA can be achieved by the condensation of D-lactic acid and/or L-lactic acid in the presence of small amounts of multifunctional carboxylic acids such as maleic, succinic, adipic, itaconic and malonic acid. Aromatic diacids are also candidates.

[000114] These diols and diacids are additives and can be added to the melt stream just prior to flowing the melt stream to the thin film evaporator or the thin film polymerization/devolatilization device. In order to preserve the condensation parameters of polymer the diol and diacid need to be nearly

equimolar. If the alcohol to acid mole ratio departs too much from one, then terminated polymers and/or oligomers will be obtained. Thus, the mole ratio of these diols and diacids is from 0.95 to 1.05 or optionally 0.975 to 1.025. Also, to preserve the polymer properties attributed to the poly hydroxy-carboxylic acid the dilution of the monomers with the diols and diacids should be minimal. So optionally, the mole ratio of the sum of the aliphatic or aromatic dicarboxylic acid and the aliphatic or aromatic diol to the hydroxy-carboxylic acid monomer of the poly hydroxy-carboxylic acid is 0.1 or less. Alternatively the limitation is 0.05 or less.

**[000115]** The timely addition of oligomers of dialcohols or alpha, omega diacids can lead to a block polymer. This is especially true if the dialcohols and diacids are added utilizing the thin film evaporator or the thin film polymerization/devolatilization device. Examples of oligomers of dialcohols include low molecular weight polyethylene glycol, 1,2- and 1,3 propanediol, 1,4-butanediol and the like.

**[000116]** Inclusion of small quantities of trisubstituted alcohols and triacids can also lead to beneficial crosslinking of the poly hydroxy carboxylic acid. Amounts of less than 5 wt. %, alternately less than 2.5 wt. % or optionally less than 1 wt. % may be used to produce a minimally branched polymer.

**[000117]** Other additives include chain extending agents that can have heterofunctional groups that couple either on the carboxylic acid end group of the PLA or the hydroxyl end group, for example, 6-hydroxycapric acid, mandelic acid, 4-hydroxybenzoic acid, 4-acetoxybenzoic acid.

**[000118]** Esterification promotion agents can also be combined with D-lactic acid and/or L-lactic acid to increase the molecular weight of PLA. For example, ester promotion agents include phosgene, diphosgene, triphosgene dicyclohexylcarbodiimide and carbonyldiimidazole. Some potentially undesirable side products can be produced by this method adding purification steps to the process. After final purification, the product can be very clean, free of catalysts and low molecular weight impurities.

**[000119]** The polymer molecular weights can also be increase by the addition of chain extending agents such as (di)isocyanates, acid chlorides, anhydrides, epoxides, thiirane and oxazoline and orthoester.

**[000120]** Catalysts and promoters that can optionally be used include Protonic acids such as  $\text{H}_3\text{PO}_4$ ,  $\text{H}_2\text{SO}_4$ , methane sulfonic acid, p-toluene sulfonic acid, Nafion-II<sup>+</sup> ( sulfonic acid supported/bonded to a polymer that optionally may have a tetrafluorethylene backbone), metal catalysts, for example, include Mg, Al, Ti, Zn, Sn. Some metal oxides that can optionally catalyze the reaction include  $\text{TiO}_2$ ,  $\text{ZnO}$ ,  $\text{GeO}_2$ ,  $\text{ZrO}_2$ ,  $\text{SnO}$ ,  $\text{SnO}_2$ ,  $\text{Sb}_2\text{O}_3$ . Metal halides, for example, that can be beneficial include  $\text{ZnCl}_2$ ,  $\text{SnCl}_2$ ,  $\text{SnCl}_4$ . Other metal containing catalysts that can optionally be used include  $\text{Mn}(\text{AcO})_2$ ,  $\text{Fe}_2(\text{LA})_3$ ,  $\text{Co}(\text{AcO})_2$ ,  $\text{Ni}(\text{AcO})_2$ ,  $\text{Cu}(\text{OA})_2$ ,  $\text{Zn}(\text{LA})_2$ ,  $\text{Y}(\text{OA})_3$ ,  $\text{Al}(i\text{-PrO})_3$ ,  $\text{Ti}(\text{BuO})_4$ ,  $\text{TiO}(\text{acac})_2$ ,  $(\text{Bu})_2\text{SnO}$ . Combinations and mixtures of the above catalysts can also be used. For example, two or more catalysts can be added at one time or sequentially as the polymerization progresses. The catalysts can also be removed, replenished and or regenerated during the course of the polymerization are for repeated polymerizations. Some preferred combinations include protonic acids and one of the metal containing catalysts, for example,  $\text{SnCl}_2$ /p-toluenesulfonic acid. These catalysts are normally added between steps 2 and 3 of the polymerization process described above.

**[000121]** During the step 1 of the polymerization process, for example, especially at the beginning of the polymerization when the concentration of lactic acid is high and water is being formed at a rapid rate, the lactic acid/water azeotropic mixture can be condensed and made to pass through molecular sieves to dehydrate the lactic acid which is then returned to the reaction vessel.

**[000122]** Copolymers can be produced by adding monomers other than lactic acid during the azeotropic condensation reaction. For example, any of the multifunctional hydroxyl, carboxylic compounds or the heterofunctional compounds that can be used as coupling agents for low molecular weight PLA can also be used as co-monomers in the azeotropic condensation reaction.

**[000123]** In addition to homopolymer, copolymerization with other cyclic monomers and non-cyclic monomers such as glycolide, caprolactone, valerolactone, dioxypenone, trimethyl carbonate, 1,4- benzodioxepin-2,5-(3H)-dione glycosalicylide, 1,4- benzodioxepin-2,5-(3H, 3-methyl)-dione Lactosalicylide, dibenzo-1,5 dioxacin-6-12-dione disalicylide, morpholine-2,5-dione, 1,4-dioxane-2,5-dione glycolide, oxepane-2-one  $\epsilon$ -caprolactone, 1,3-dioxane-2-one trimethylene carbonate, 2,2-dimethyltrimethylene carbonate, 1,5-

dioxepane-2-one, 1,4-dioxane-2-one p-dioxanone, gamma-butyrolactone, beta-butyrolactone, beta-mc-delta-valerolactone, 1,4-dioxane-2,3-dione ethylene oxalate, 3-[benzyloxycarbonyl methyl]-1,4-dioxane-2,5-dione, ethylene oxide, propylene oxide, 5,5'(oxepane-2-one), 2,4,7,9-tetraoxa-spiro[5,5]undecane-3,8-dione Spiro-bis-dimethylene carbonate can produce co-polymers. Copolymers can also be produced by adding monomers such as the multifunctional hydroxyl, carboxylic compounds or the heterofunctional compounds that can be used as coupling agents for low molecular weight PLA.

[000124] Copolymers may also be formed with other hydroxy-carboxylic acids. The comonomers include 3-hydroxyvalerate, 4-hydroxybutyrate which are gamma and delta hydroxy-carboxylic acids, respectively. Another monomer which can be copolymerized is 3-hydroxybutyrate (beta substituted). These monomers can be copolymerized or blended as polymers with a polylactic acid polymer to form a polymer blend.

[000125] In addition to chemical method, D-lactic acid and/or L-lactic acid can be polymerized by LA-polymerizing enzymes and organisms.

#### **POLY HYDROXYL-CARBOXYLIC STEROCHEMISTRY**

[000126] Mechanical and thermal properties of the homopolymer of hydroxyl-carboxylic acid are largely determined by the molecular weight and stereochemical composition of the backbone. For lactic acid the stereochemical composition of the backbone can be controlled by the choice and ratios of monomers; D-Lactic acid, L-Lactic acid or alternatively D-Lactide, L-Lactide or meso-Lactide. This stereochemical control allows the formation of random or block stereocopolymers. The molecular weight of the polymers can be controlled, for example, as discussed above. The ability to control the stereochemical architecture allows, for example, precise control over the speed and degree of crystallinity, the mechanical properties, and the melting point and glass transition temperatures of the material.

[000127] The degree of crystallinity of PLA influences the hydrolytic stability of the polymer, and therefore, the biodegradability of the polymer. For example, highly crystalline PLA can take from months to years to degrade, while amorphous samples can be degraded in a few weeks to a few months. This behavior is due in part to the impermeability of the crystalline regions of PLA.

Table 1 shows some of the thermal properties of some PLA of similarly treated samples. The information in Table 1 comes from Poly(lactic Acid: PLA Biopolymer Technology and Applications, Lee Tin Sin, A. R. Rahmat, W. A. Rahman; Dec 31, 2012. The percent crystallinity can be calculated by using data from the table and applying the equation.

$$\% \chi_c = \frac{(\Delta H_m - \Delta H_c)}{93} 100$$

[000128] Where  $\Delta H_m$  is the melting enthalpy in J/g,  $\Delta H_c$  is the crystallization enthalpy in J/g and 93 is the crystallization enthalpy of a totally crystalline PLA sample in J/g.

[000129] As can be calculated from the data in the table, the crystallinity is directly proportional to the molecular weight of the pure L or pure D stereopolymer. The DL stereoisomer (*e.g.*, an atactic polymer) is amorphous.

Table 1: Thermal properties of PLA

| Isomer type | $M_n \times 10^{-3}$ | $M_w/M_n$ | $T_g$ (°C) | $T_m$ (°C) | $\Delta H$ (J/g) | $T_c$ (°C) | $\Delta H_c$ (J/g) |
|-------------|----------------------|-----------|------------|------------|------------------|------------|--------------------|
| L           | 4.7                  | 1.09      | 45.6       | 157.8      | 55.5             | 98.3       | 47.8               |
| DL          | 4.3                  | 1.30      | 44.7       | —          | —                | —          | —                  |
| L           | 7.0                  | 1.09      | 67.9       | 159.9      | 58.8             | 108.3      | 48.3               |
| DL          | 7.3                  | 1.16      | 44.1       | —          | —                | —          | —                  |
| D           | 13.8                 | 1.19      | 65.7       | 170.3      | 67.0             | 107.6      | 52.4               |
| L           | 34.0                 | 1.12      | 66.8       | 173.3      | 64.7             | 110.3      | 48.1               |
| D           | 16.5                 | 1.29      | 69.1       | 173.5      | 64.6             | 109.0      | 51.6               |
| L           | 16.8                 | 1.32      | 58.6       | 173.3      | 61.4             | 105.0      | 38.1               |

[000130] Calculated crystallinities are in order top to bottom: 8.2%, 0%, 11.3%, 0%, 15.7%, 13.8%, 14.0% and 25%.

[000131] The thermal treatment of samples, for example, rates of melting, recrystallization, and annealing history, can in part determine the amount of crystallization. Therefore, comparisons of the thermal, chemical and mechanical properties of PLS polymers should generally be most meaningful for polymers with a similar thermal history.

[000132] The pure L-PLA or D-PLA has a higher tensile strength and low elongation and consequently has a higher modulus than DL-PLA. Values for L-PLA vary greatly depending on how the material is made *e.g.*, tensile strengths of 30 to almost 400 MPa, and tensile modulus between 1.7 to about 4.5 GPa.

## POLY HYDROXY-CARBOXYLIC ACID COPOLYMERS, CROSSLINKING AND GRAFTING

[000133] Variation of the poly hydroxy-carboxylic acids by the formation of copolymers as discussed above also has a very large influence on the properties, for example, by disrupting and decreasing the crystallinity and modulating the glass transition temperatures. For example, polymers with increased flexibility, improved hydrophilicity, better degradability, better biocompatibility, better tensile strengths, improved elongations properties can be produced.

[000134] The thin film polymerization/devolatilization device can be the process where these co-monomers can be added to the melt polymer.

[000135] The co-monomers to produce copolymers with poly hydroxy-carboxylic acids. In most cases, the improvements are correlated with a decrease in the glass transition temperature. A few monomers can increase the glass transition temperature of poly hydroxyl-carboxylic acid. For example, lactones of salicylic acids can have homopolymer glass transition temperatures between about 70 and 110° C and be copolymerize with the hydroxyl-carboxylic acid.

[000136] Morpholinediones, which are half alpha-hydroxy-carboxylic acids and half alpha-amino acids co-polymerize with lactide to give high molecular weight random co-polymers with lower glass transition temperatures (*e.g.*, following the Flory-Fox equation). For example, morpholinediones made up of glycine and lactic acid (6-methyl-2,5-morpholinedione) when copolymerized with lactide can give a polymer with glass transition temperatures of 109 and 71 ° C for a 50 and 75 mol% lactic acid respectively in the polymer. Morpholinediones have been synthesized using glycolic acid or lactic acid and most of the alpha amino acids (*e.g.*, glycine, alanine, aspartic acid, lysine, cysteine, valine and leucine). In addition to lowering the glass transition temperature and improving mechanical properties, the use of functional amino acids in the synthesis of morpholinediones is an effective way of incorporating functional pendent groups into the polymer.

[000137] As an example, copolymers of glycolide and lactide can be useful as biocompatible surgical sutures due to increased flexibility and hydrophilicity. The higher melting point of 228 ° C and T<sub>g</sub> of 37 ° C for polyglycolic acid can produce a range of amorphous co-polymers with lower glass transition temperatures than poly lactic acid. Another copolymerization example is copolymerization with ε-caprolactone which can yield tough polymers with

properties ranging from ridged plastics to elastomeric rubbers and with tensile strengths ranging from 80 to 7000 psi, and elongations over 400%. Co-polymers of beta-methyl-gamma-valerolactone have been reported to produce rubber-like properties. Co-polymers with polyethers such as poly(ethylene oxide), poly(propylene oxide) and poly(tetramethylene oxide) are biodegradable, biocompatible and flexible polymers.

**[000138]** Some additional useful monomers that can be copolymerized with lactide include 1,4-benzodioxepin-2,3(H)-dione glycosalicylide; 1,3-benzodioxepin-2,5-(3H, 3-methyl)-dione Lactosalicylide; dibenzo-1,5-dioxacin-6,12-dione disalicylide; morpholine-2,5-dione, 1,4-dioxane-2,5-dione, glycolide; oxepane-2-one trimethylene carbonate; 2,2-dimethyltrimethylene carbonate; 1,5-dioxepane-2-one; 1,4-dioxane-2-one p-dioxanone; gamma-butyrolactone; beta-butyrolactone; beta-methyl-delta-valerolactone; beta-methyl-gamma-valerolactone; 1,4-dioxane-2,3-dione ethylene oxalate; 3[(benzyloxyacarbonyl)methyl]-1,4-dioxane-2,5-dione; ethylene oxide; propylene oxide, 5,5'-(oxepane-2-one) and 2,4,7,9-tetraoxa-spiro[5,5] undecane-3,8-dione Spiro-bis-dimethylene carbonate.

**[000139]** Hydroxy-carboxylic acids polymers and co-polymers can be modified by crosslinking additives. Crosslinking can affect the thermal and rheological properties without necessarily deteriorating the mechanical properties. For example, 0.2 mol % 5,5'-bis(oxepane-2-one)(bis-  $\epsilon$ -caprolactone)) and 0.1-0.2 mol% spiro-bis-dimethylene carbonate cross linking. Free radical hydrogen abstraction reactions and subsequent polymer radical recombination is an effective way of inducing crosslinks into a polymer. Radicals can be generated, for example, by high energy electron beam and other irradiation (*e.g.*, between about 0.01 Mrad and 15 Mrad, *e.g.*, between about 0.01-5 Mrad, between about 0.1-5 Mrad, between about 1-5 Mrad). For example, irradiation methods and equipment are described in detail below.

**[000140]** Alternatively or in addition, peroxide additives, such as organic peroxides are effective radical producing and cross linking agents. For example, peroxides that can be used include hydrogen peroxide, dicumyl peroxide; benzoyl peroxide; 2,5-Dimethyl-2,5-di(tert-butylperoxy)hexane; tert-butylperoxy 2-ethylhexyl carbonate; tert-amyl peroxy-2-ethylhexanoate; 1,1-di(tert-amylperoxy)cyclohexane; tert-amyl peroxyneodecanoate; tert-amyl

peroxybenzoate; tert-amylperoxy 2-ethylhexyl carbonate; tert-amyl peroxyacetate; 2,5-dimethyl-2,5-di(2-ethylhexanoylperoxy)hexane; tert-butyl peroxy-2-ethylhexanoate; 1,1-di(tert-butylperoxy)cyclohexane; tert-butyl peroxyneodecanoate; tert-butyl peroxyneohexanoate; tert-butyl peroxydiethylacetate; 1,1-di(tert-butylperoxy)-3,3,5-trimethylcyclohexane; 3,6,9-triethyl-3,6,9-trimethyl-1,4,7-triperoxonane; di(3,5,5-trimethylhexanoyl) peroxide; tert-butyl peroxyisobutyrate; tert-butyl peroxy-3,5,5-trimethylhexanoate; di-tert-butyl peroxide; tert-butylperoxy isopropyl carbonate; tert-butyl peroxybenzoate; 2,2-di(tert-butylperoxy)butane; di(2-ethylhexyl) peroxydicarbonate; di(2-ethylhexyl) peroxydicarbonate; tert-butyl peroxyacetate; tert-butyl cumyl peroxide; tert-amylhydroperoxide; 1,1,3,3-tetramethylbutyl hydroperoxide, and mixtures of these. The effective amounts can vary, for example, depending on the peroxide, crosslinking reaction conditions and the desired properties (*e.g.*, amount of crosslinking). For example, crosslinking agents can be added from between about 0.01- 10 wt.% (*e.g.*, about 0.1-10 wt.%, about 0.01-5wt.%, about 0.1-1wt.%, about 1-8 wt.%, about 4-6 wt.%). For example, peroxides such as 5.25 wt.% dicumyl peroxide and 0.1% benzoyl peroxide are effective radical producing and cross linking agents for poly hydroxy-carboxylic acids and poly hydroxy-carboxylic acids derivatives. The peroxide crosslinking agents can be added to polymers as solids, liquids or solutions, for example, in water or organic solvents such as mineral spirits. In addition radical stabilizers can be utilized.

**[000141]** Crosslinking can also be effectively accomplished by the incorporation of unsaturation in the polymer chain either by: initiation with unsaturated alcohols such as hydroxyethyl methacrylate or 2-butene-1,4-diol; the post reaction with unsaturated anhydrides such as maleic anhydride to transform the hydroxyl chain end; or copolymerization with unsaturated epoxides such as glycidyl methacrylate.

**[000142]** In addition to cross linking, grafting of functional groups and polymers to a hydroxy-carboxylic acid polymer or co-polymer is an effective method of modifying the polymer properties. For example, radicals can be formed as described above and a monomer, functionalizing polymer or small molecule. For example, irradiation or treatment with a peroxide and then

quenching with a functional group containing an unsaturated bond can effectively functionalize the poly hydroxy-carboxylic acid backbone.

**[000143]** The thin film polymerization/devolatilization device can be the process where these crosslinking and/or the grafting component may be added to the melt polymer.

## **POLY HYDROXY-CARBOXYLIC ACIDS BLENDING**

**[000144]** Poly hydroxy-carboxylic acids can be blended with other polymers as miscible or immiscible compositions. For immiscible blends the composition can be one with the minor component (*e.g.*, below about 30 wt. %) as small (*e.g.*, micron or sub-micron) domains in the major component. When one component is about 30 to 70 wt. % the blend forms a co-continuous morphology (*e.g.*, lamellar, hexagon phases or amorphous continuous phases). The polymers to be blended with the poly hydroxy-carboxylic acids may be random, linear copolymer, diblock, graft, star, and branched polymers.

**[000145]** Blending can be accomplished by melt mixing above the glass transition temperature of the amorphous polymer components. The thin film evaporator and thin film polymerization/devolatilization device can be used for blending the polymers. Also, screw extruders (*e.g.*, single screw extruders, co-rotating twin screw extruders, counter rotating twin screw extruders) can be useful for this. For hydroxy-carboxylic acid polymers and co-polymers temperatures below about 200 ° C can be used to avoid thermal degradation (*e.g.* below about 180 ° C).

**[000146]** Polyethylene oxide (PEO) and polypropylene oxide (PPO) can be blended with poly hydroxy-carboxylic acids. Lower molecular weight glycols (300-1000 Mw) are miscible with poly hydroxy-carboxylic acids while PPO becomes immiscible at higher molecular weight. These polymers, especially PEO, can be used to increase the water transmission and bio-degradation rate of poly hydroxy-carboxylic acids. They can also be used as polymeric plasticizers to lower the modulus and increase flexibility of poly hydroxy-carboxylic acids. High molecular weight PEG (20,000) is miscible in poly hydroxy-carboxylic acids up to about 50%, but above that level the PEG crystallizes, reducing the ductility of the blend.

**[000147]** Polyvinyl acetate (PVA) is miscible with poly hydroxy-carboxylic acids in most concentrations. For PVA and poly lactic acid blends only one T<sub>g</sub> is observed at all blend ratios, with a constant decrease to about 37 ° C at 100% PVA. Low levels of PVA (5-10%) increase the tensile strength and % elongation of poly hydroxy-carboxylic acids while significantly reducing the rate of weight loss during bio-degradation.

**[000148]** Blends of poly hydroxy-carboxylic acids and polyolefins (polypropylene and polyethylene) result in incompatible systems with poor physical properties due to the poor interfacial compatibility and high interfacial energy. However, the interfacial energy can be lowered, for example, by the addition of third component compatibilizers, such as glycidyl methacrylate grafted polyethylene. (irradiation would probably work) Polystyrene and high impact polystyrene resins are also non-polar and blends with poly hydroxy-carboxylic acids are generally not compatible.

**[000149]** Poly hydroxy-carboxylic acids and acetals can be blended making compositions with useful properties. For example, these blends have good, high transparency.

**[000150]** In general, poly hydroxy-carboxylic acids are miscible with polymethyl methacrylate and many other acrylates and copolymers of (meth)acrylates. Drawn films of polymethyl methacrylate/poly hydroxy-carboxylic acids blends can be transparent and have high elongation.

**[000151]** Polycarbonate can be combined with poly hydroxy-carboxylic acids up to about a 50 wt. % composition of polycarbonate. The compositions have high heat resistance, flame resistance and toughness and have applications, for example, in consumer electronics such as laptops. About 50 wt. % polycarbonate, the processing temperatures approach the degradation temperature of poly hydroxy-carboxylic acids.

**[000152]** Acrylonitrile butadiene styrene (ABS) can be blended with poly hydroxy-carboxylic acids although the polymers are not miscible. This combination less brittle material than poly hydroxy-carboxylic acids and provides a way to toughen poly hydroxy-carboxylic acids.

**[000153]** Poly(propylene carbonate) can be blended with poly hydroxy-carboxylic acids providing a biodegradable composite since both polymers are biodegradable.

[000154] Poly hydroxy-carboxylic acids can also be blended with poly(butylene succinate). Blends can impart thermal stability and impact strength to the poly hydroxy-carboxylic acids.

[000155] PEG, poly propylene glycol, poly(vinyl acetate), anhydrides (*e.g.*, maleic anhydride) and fatty acid esters have been added as plasticizers and/or compatibilizers.

[000156] Blending can also be accomplished with the application of irradiation, including irradiation and quenching. For example, irradiation or irradiation and quenching as described herein applied to biomass can be applied to the irradiation of poly hydroxy-carboxylic acids and poly hydroxy-carboxylic acids copolymers for any purpose, for example, before, after and/or during blending. This treatment can aid in the processing, for example, making the polymers more compatible and/or making/breaking bonds within the polymer and/or blended additive (*e.g.*, polymer, plasticizer). For example, between about 0.1 Mrad and 150 Mrad followed by quenching of the radicals by the addition of fluids or gases (*e.g.*, oxygen, nitrous oxide, ammonia, liquids), using pressure, heat, and/or the addition of radical scavengers. Quenching of biomass that has been irradiated is described in U.S. Pat. No. 8,083,906 to Medoff, the entire disclosure of which is incorporated herein by reference, and the equipment and processes described therein can be applied to poly hydroxy-carboxylic acids and poly hydroxy-carboxylic acids derivatives. Irradiation and extruding or conveying of the poly hydroxy-carboxylic acids or poly hydroxy-carboxylic acids copolymers can also be utilized, for example, as described for the treatment of biomass in US Application Serial No. 13/099,151 filed on May 2, 2011 published as US Application US 2011-0262985 the entire disclosure of which is incorporated herein by reference.

#### **POLY HYDROXY-CARBOXYLIC ACID COMPOSITES**

[000157] Poly hydroxy-carboxylic acids polymers, co-polymers and blends can be combined with synthetic and/or natural materials. For example, poly hydroxy-carboxylic acids and any poly hydroxy-carboxylic acids derivative (*e.g.*, poly hydroxy-carboxylic acids copolymers, poly hydroxy-carboxylic acids blends, grafted poly hydroxy-carboxylic acids, cross-linked poly hydroxy-carboxylic acids) can be combined with synthetic and natural fibers. For example, protein, starch, cellulose, plant fibers (*e.g.*, abaca, leaf, skin, bark, kenaf fibers), inorganic

fillers, flax, talc, glass, mica, saponite and carbon fibers. This can provide a material with, for example, improved mechanical properties (*e.g.*, toughness, harness, strength) and improved barrier properties (*e.g.*, lower permeability to water and/or gasses).

[000158] Nano composites can also be made by dispersing inorganic or organic nanoparticles into either a thermoplastic or thermoset polymer. Nanoparticles can be spherical, polyhedral, two dimensional nanofibers or disc-like nanoparticles. For example, colloidal or microcrystalline silica, alumina or metal oxides (*e.g.*, TiO<sub>2</sub>); carbon nanotubes; clay platelets.

[000159] Composites can be prepared similarly to polymer blends, for example, utilizing screw extrusion and/or injection molding. Irradiation as described herein can also be applied to the composites, during, after or before their formation. For example, irradiation of the polymer and combination with the synthetic and/or natural materials, or irradiation of the synthetic and/or natural materials and combination with the polymer, or irradiation of both the polymer and synthetic and/or natural material and then combining, or irradiating the composite after it has been combined, with or without further processing.

## **POLY HYDROXY-CARBOXYLIC ACIDS WITH PLASTICIZERS AND ELASTOMERS**

[000160] In addition to the blends previously discussed, poly hydroxy-carboxylic acids and poly hydroxy-carboxylic acids derivatives can be combined with plasticizers.

[000161] For example, as described in *J. Appl. Polym. Sci.* 66: 1507–1513, 1997, poly lactic acid can be blended with monomeric and oligomeric plasticizers in order to enhance its flexibility and thereby overcome its inherent brittleness. Monomeric plasticizers, such as tributyl citrate, and diethyl bishydroxymethyl malonate, DBM, can drastically decreased the  $T_g$  of PLA. Increasing the molecular weight of the plasticizers by synthesizing oligoesters and oligoesteramides can result in blends with  $T_g$  depressions slightly smaller than with the monomeric plasticizers. The compatibility with poly hydroxy-carboxylic acids can be dependent on the molecular weight of the oligomers and on the presence of polar groups (*e.g.*, amide groups, hydroxyl groups, ketones, esters) that can interact with the poly hydroxy-carboxylic acids chains. The materials can

retain a high flexibility and morphological stability over long periods of time, for example, when formed into films.

**[000162]** Citrate esters can also be used as plasticizers with poly hydroxy-carboxylic acids. Films can be extruded, for example, using a single or twin-screw extruder with plasticizer contents (citrate esters or others described herein) of between about 1 and 40 wt. % (*e.g.*, about 5-30 wt. %, about 5-25 wt. %, about 5-15 wt. %). Plasticizers such as citrate esters can be effective in reducing the glass transition temperature and improving the elongation at break. The plasticizing efficiency can be higher for the intermediate-molecular-weight plasticizers. The addition of plasticizers can modulate the enzymatic degradation of poly hydroxy-carboxylic acids. For example, lower-molecular-weight citrates can increase the enzymatic degradation rate of poly hydroxy-carboxylic acids and the higher-molecular-weight citrates can decreased the degradation rate as compared with that of unplasticized poly hydroxy-carboxylic acids.

**[000163]** Preparation of poly hydroxy-carboxylic acids /elastomer blends can also be prepared by melt blending technique, for example, as described in the *Journal of Elastomers and Plastics*, January 3, 2013; poly hydroxy-carboxylic acids and biodegradable elastomer can be melt blended and molded in an injection molding machine. The melting temperature can decrease as the amount of elastomer increases. Additionally, the presence of elastomer can modulate the crystallinity of poly hydroxy-carboxylic acids, for example, increasing the crystallinity by between about 1 and 30 % (*e.g.*, between about 1 to 20 %, between about 5 and 15%). The complex viscosity and storage modulus of poly hydroxy-carboxylic acids melt can decrease upon addition of elastomer. The elongation at break can increase as the content of elastomer increased while Young's modulus and tensile strength often decrease due to the addition of elastomer.

**[000164]** It has been observed that the cold crystallization temperature of the blends decreased as the weight fraction of elastomer increased as well as the onset temperature of cold crystallization also shifted to lower temperature. For example, as reported in the *Journal of Polymer Research*, February 2012, 19:9818. In non-isothermal crystallization experiments, the crystallinity of poly lactic acid increased with a decrease in the heating and cooling rate. The melt crystallization of for example, poly lactic acid appeared in the low cooling rate

(1, 5 and 7.5 ° C/min). The presence of small amounts of elastomer can also increase the crystallinity of poly lactic acid. The DSC thermogram at ramp of 10 °C/min showed the maximum crystallinity of poly lactic acid is 36.95% with 20 wt. % elastomer contents in blends. In isothermal crystallization, the cold crystallization rate increased with increasing crystallization temperature in the blends. The Avrami analysis showed that the cold crystallization was in two stages process and it was clearly seen at low temperature. The Avrami exponent (n) at first stage was varying from 1.59 to 2 which described a one-dimensional crystallization growth with homogeneous nucleation, whereas at second stage was varying from 2.09 to 2.71 which described the transitional mechanism to three dimensional crystallization growth with heterogeneous nucleation mechanism. The equilibrium melting point of poly lactic acid was also evaluated at 176 °C.

**[000165]** Some examples of elastomers that can be combined with PLA include: Elastomer NPEL001, Polyurethane elastomers (5-10%), Functionalized polyolefin elastomers, Blendex® (*e.g.*, 415, 360, 338), PARALOID™ KM 334, BTA 753, EXL 3691A, 2314, Ecoflex® Supersoft Silicone Bionolle® 3001, Pellethane® 2102-75A, Kraton® FG 1901X, Hytrel® 3078, and mixtures of these. Mixtures with any other elastomer, for example, as described herein can also be used.

**[000166]** Some examples of plasticizers that can be combined with poly hydroxy-carboxylic acids include: Triacetin, Glycerol triacetate, Tributyl citrate, Polyethylene glycol, GRINDSTED® SOFT-N-SAFE (Acetic acid ester of monoglycerides) made from fully hydrogenated castor oil and combinations of these. Mixtures with other plasticizers, for example, as described herein can also be used.

**[000167]** The main characteristic of elastomer materials is the high elongation and flexibility or elasticity of these materials, against its breaking or cracking.

**[000168]** Depending on the distribution and degree of the chemical bonds of the polymers, elastomeric materials can have properties or characteristics similar to thermosets or thermoplastics, so elastomeric materials can be classified into: Thermoset Elastomers (*e.g.*, do not melt when heated) and Thermoplastic Elastomers (*e.g.*, melt when heated). Some properties of elastomer materials: cannot melt, before melting they pass into a gaseous state; swell in the presence

of certain solvents; are generally insoluble; are flexible and elastic; lower creep resistance than the thermoplastic materials.

**[000169]** Examples of applications of elastomer materials describe herein are: possible substitutes or replacements for natural rubber(*e.g.*, material used in the manufacture of gaskets, shoe heels) ; possible substitutes or replacements for polyurethanes (*e.g.*, for use in the textile industry for the manufacture of elastic clothing, for use as foam, and for use in making wheels); possible substitutes or replacements for polybutadiene (*e.g.*, elastomer material used on the wheels or tires of vehicles); possible substitutes or replacements for neoprene (*e.g.*, used for the manufacture of wetsuits , wire insulation, industrial belts); possible substitutes or replacements for silicone (*e.g.*, pacifiers, medical prostheses, lubricants). In addition, the materials described herein can be used as substitutes for polyurethane and silicon adhesives.

#### **FLAVORS, FRAGRANCES AND COLORS**

**[000170]** Any of the products and/or intermediates described herein, for example, poly hydroxy-carboxylic acids, poly hydroxy-carboxylic acids derivatives (*e.g.*, poly hydroxy-carboxylic acids copolymers, poly hydroxy-carboxylic acids composites, cross-linked poly hydroxy-carboxylic acids, grafted poly hydroxy-carboxylic acids, poly hydroxy-carboxylic acids blends or any other poly hydroxy-carboxylic acids containing material prepared as described herein) can also be combined with flavors, fragrances colors and/or mixtures of these. For example, any one or more of (optionally along with flavors, fragrances and/or colors) sugars, organic acids, fuels, polyols, such as sugar alcohols, biomass, fibers and composites, hydroxyl-carboxylic acids, lactic acid, poly hydroxy-carboxylic acids, poly hydroxy-carboxylic acids derivatives can be combined with (*e.g.*, formulated, mixed or reacted) or used to make other products. For example, one or more such product can be used to make soaps, detergents, candies, drinks (*e.g.*, cola, wine, beer, liquors such as gin or vodka, sports drinks, coffees, teas), pharmaceuticals, adhesives, sheets (*e.g.*, woven, none woven, filters, tissues) and/or composites (*e.g.*, boards). For example, one or more such products can be combined with herbs, flowers, petals, spices, vitamins, potpourri, or candles. For example, the formulated, mixed or reacted combinations can have flavors/fragrances of grapefruit, orange, apple, raspberry,

banana, lettuce, celery, cinnamon, vanilla, peppermint, mint, onion, garlic, pepper, saffron, ginger, milk, wine, beer, tea, lean beef, fish, clams, olive oil, coconut fat, pork fat, butter fat, beef bouillon, legume, potatoes, marmalade, ham, coffee and cheeses.

**[000171]** Flavors, fragrances and colors can be added in any amount, such as between about 0.01 wt.% to about 30 wt.%, *e.g.*, between about 0.05 to about 10, between about 0.1 to about 5, or between about 0.25 wt.% to about 2.5 wt.%. These can be formulated, mixed and or reacted (*e.g.*, with any one of more product or intermediate described herein) by any means and in any order or sequence (*e.g.*, agitated, mixed, emulsified, gelled, infused, heated, sonicated, and/or suspended). Fillers, binders, emulsifier, antioxidants can also be utilized, for example, protein gels, starches and silica.

**[000172]** The flavors, fragrances and colors can be natural and/or synthetic materials. These materials can be one or more of a compound, a composition or mixtures of these (*e.g.*, a formulated or natural composition of several compounds). Optionally, the flavors, fragrances, antioxidants and colors can be derived biologically, for example, from a fermentation process (*e.g.*, fermentation of saccharified materials as described herein). Alternatively, or additionally these flavors, fragrances and colors can be harvested from a whole organism (*e.g.*, plant, fungus, animal, bacteria or yeast) or a part of an organism. The organism can be collected and or extracted to provide color, flavors, fragrances and/or antioxidant by any means including utilizing the methods, systems and equipment described herein, hot water extraction, chemical extraction (*e.g.*, solvent or reactive extraction including acids and bases), mechanical extraction (*e.g.*, pressing, comminuting, filtering), utilizing an enzyme, utilizing a bacteria such as to break down a starting material, and combinations of these methods. The compounds can be derived by a chemical reaction, for example, the combination of a sugar (*e.g.*, as produced as described herein) with an amino acid (Maillard reaction). The flavor, fragrance, antioxidant and/or color can be an intermediate and or product produced by the methods, equipment or systems described herein, for example, and ester and a lignin derived product.

**[000173]** Some examples of flavor, fragrances or colors are polyphenols. Polyphenols are pigments responsible for the red, purple and blue colors of many fruits, vegetables, cereal grains, and flowers. Polyphenols also can have

antioxidant properties and often have a bitter taste. The antioxidant properties make these important preservatives. One class of polyphenols are the flavonoids, such as anthocyanins, flavonols, flavan-3-ols, flavones, flavanones and flavonoids. Other phenolic compounds that can be used include phenolic acids and their esters, such as chlorogenic acid and polymeric tannins.

**[000174]** Inorganic compounds, minerals or organic compounds can be used, for example, titanium dioxide, cadmium yellow (*E.g.*, CdS), cadmium orange (*e.g.*, CdS with some Se), alizarin crimson (*e.g.*, synthetic or non-synthetic rose madder), ultramarine (*e.g.*, synthetic ultramarine, natural ultramarine, synthetic ultramarine violet), cobalt blue, cobalt yellow, cobalt green, viridian (*e.g.*, hydrated chromium(III)oxide), chalcophylite, conichalcite, cornubite, cornwallite and lironite.

**[000175]** Some flavors and fragrances that can be utilized include ACALEA TBHQ, ACET C-6, ALLYL AMYL GLYCOLATE, ALPHA TERPINEOL, AMBRETTOLIDE, AMBRINOL 95, ANDRANE, APHERMATE, APPLELIDE, BACDANOL®, BERGAMAL, BETA IONONE EPOXIDE, BETA NAPHTHYL ISO-BUTYL ETHER, BICYCLONONALACTONE, BORNAFIX®, CANTHOXAL, CASHMERAN®, CASHMERAN® VELVET, CASSIFIX®, CEDRAFIX, CEDRAMBER®, CEDRYL ACETATE, CELESTOLIDE, CINNAMALVA, CITRAL DIMETHYL ACETATE, CITROLATE™, CITRONELLOL 700, CITRONELLOL 950, CITRONELLOL COEUR, CITRONELLYL ACETATE, CITRONELLYL ACETATE PURE, CITRONELLYL FORMATE, CLARYCET, CLONAL, CONIFERAN, CONIFERAN PURE, CORTEX ALDEHYDE 50% PEOMOSA, CYCLABUTE, CYCLACET®, CYCLAPROP®, CYCLEMAX™, CYCLOHEXYL ETHYL ACETATE, DAMASCOL, DELTA DAMASCONE, DIHYDRO CYCLACET, DIHYDRO MYRCENOL, DIHYDRO TERPINEOL, DIHYDRO TERPINYL ACETATE, DIMETHYL CYCLORMOL, DIMETHYL OCTANOL PQ, DIMYRCETOL, DIOLA, DIPENTENE, DULCINYL® RECRYSTALLIZED, ETHYL-3-PHENYL GLYCIDATE, FLEURAMONE, FLEURANIL, FLORAL SUPER, FLORALZONE, FLORIFFOL, FRAISTONE, FRUCTONE, GALAXOLIDE® 50, GALAXOLIDE® 50 BB, GALAXOLIDE® 50 IPM, GALAXOLIDE® UNDILUTED, GALBASCON, GERALDEHYDE, GERANIOL 5020, GERANIOL 600 TYPE, GERANIOL 950, GERANIOL 980

(PURE), GERANIOL CFT COEUR, GERANIOL COEUR, GERANYL ACETATE COEUR, GERANYL ACETATE, PURE, GERANYL FORMATE, GRISALVA, GUAIYL ACETATE, IELIONAL™, IHERBAC, HERBALIME™, HEXADECANOLIDE, HEXALON, HEXENYL SALICYLATE CIS 3-, HYACINTH BODY, HYACINTH BODY NO. 3, HYDRATROPIC ALDEHYDE.DMA, HYDROXYOL, INDOLAROME, INTRELEVEN ALDEHYDE, INTRELEVEN ALDEHYDE SPECIAL, IONONE ALPHA, IONONE BETA, ISO CYCLO CITRAL, ISO CYCLO GERANIOL, ISO E SUPER®, ISOBUTYL QUINOLINE, JASMAL,, JESSEMAL®, KHARISMAL®, KHARISMAL® SUPER, KHUSINIL,, KOAVONE®, KOHINOOL®, LIFFAROME™, LIMOXAL, LINDENOL™, LYRAL®, LYRAME SUPER, MANDARIN ALD 10% TRI ETH, CITR, MARITIMA, MCK CHINESE, MEIJIFF™, MELAFLEUR, MELOZONE, METHYL ANTHRANILATE, METHYL IONONE ALPHA EXTRA, METHYL IONONE GAMMA A, METHYL IONONE GAMMA COEUR, METHYL IONONE GAMMA PURE, METHYL LAVENDER KETONE, MONTAVERDI®, MUGUESIA, MUGUET ALDEHYDE 50, MUSK Z4, MYRAC ALDEHYDE, MYRCENYL ACETATE, NECTARATE™, NEROL 900, NERYL ACETATE, OCIMENE, OCTACETAL, ORANGE FLOWER ETHER, ORIVONE,, ORRINIFF 25%, OXASPIRANE, OZOFLEUR, PAMPLEFLEUR®, PEOMOSA, PHENOXANOL®, PICONIA, PRECYCLEMONE B, PRENYL ACETATE, PRISMANTOL, RESEDA BODY, ROSALVA, ROSAMUSK, SANJINOL, SANTALIFF™, SYVERTAL, TERPINEOL, TERPINOLENE 20, TERPINOLENE 90 PQ, TERPINOLENE RECT., TERPINYL ACETATE, TERPINYL ACETATE JAX, TETRAHYDRO, MUGUOL®, TETRAHYDRO MYRCENOL, TETRAMERAN, TIMBERSILK™, TOBACAROL, TRIMOFIX® O TT, TRIPLAL®, TRISAMBER®, VANORIS, VERDOX™, VERDOX™ HC, VERTENEX®, VERTENEX® HC, VERTOFIX® COEUR, VERTOLIFF, VERTOLIFF ISO, VIOLIFF, VIVALDIE, ZENOLIDE, ABS INDIA 75 PCT MIGLYOL, ABS MOROCCO 50 PCT DPG, ABS MOROCCO 50 PCT TEC, ABSOLUTE FRENCH, ABSOLUTE INDIA, ABSOLUTE MD 50 PCT BB, ABSOLUTE MOROCCO, CONCENTRATE PG, TINCTURE 20 PCT, AMBERGRIS, AMBRETTE ABSOLUTE, AMBRETTE SEED OIL, ARMOISE OIL 70 PCT

THUYONE, BASIL ABSOLUTE GRAND VERT, BASIL GRAND VERT ABS MD, BASIL OIL GRAND VERT, BASIL OIL VERVEINA, BASIL OIL VIETNAM, BAY OIL TERPENELESS, BEESWAX ABS N G, BEESWAX ABSOLUTE, BENZOIN RESINOID SIAM, BENZOIN RESINOID SIAM 50 PCT DPG, BENZOIN RESINOID SIAM 50 PCT PG, BENZOIN RESINOID SIAM 70.5 PCT TEC, BLACKCURRANT BUD ABS 65 PCT PG, BLACKCURRANT BUD ABS MD 37 PCT TEC, BLACKCURRANT BUD ABS MIGLYOL, BLACKCURRANT BUD ABSOLUTE BURGUNDY, BOIS DE ROSE OIL, BRAN ABSOLUTE, BRAN RESINOID, BROOM ABSOLUTE ITALY, CARDAMOM GUATEMALA CO2 EXTRACT, CARDAMOM OIL GUATEMALA, CARDAMOM OIL INDIA, CARROT HEART, CASSIE ABSOLUTE EGYPT, CASSIE ABSOLUTE MD 50 PCT IPM, CASTOREUM ABS 90 PCT TEC, CASTOREUM ABS C 50 PCT MIGLYOL, CASTOREUM ABSOLUTE, CASTOREUM RESINOID, CASTOREUM RESINOID 50 PCT DPG, CEDROL CEDRENE, CEDRUS ATLANTICA OIL REDIST, CHAMOMILE OIL ROMAN, CHAMOMILE OIL WILD, CHAMOMILE OIL WILD LOW LIMONENE, CINNAMON BARK OIL CEYLAN, CISTE ABSOLUTE, CISTE ABSOLUTE COLORLESS, CITRONELLA OIL ASIA IRON FREE, CIVET ABS 75 PCT PG, CIVET ABSOLUTE, CIVET TINCTURE 10 PCT, CLARY SAGE ABS FRENCH DECOL, CLARY SAGE ABSOLUTE FRENCH, CLARY SAGE C'LESS 50 PCT PG, CLARY SAGE OIL FRENCH, COPAIBA BALSAM, COPAIBA BALSAM OIL, CORIANDER SEED OIL, CYPRESS OIL, CYPRESS OIL ORGANIC, DAVANA OIL, GALBANOL, GALBANUM ABSOLUTE COLORLESS, GALBANUM OIL, GALBANUM RESINOID, GALBANUM RESINOID 50 PCT DPG, GALBANUM RESINOID HERCOLYN BHT, GALBANUM RESINOID TEC BHT, GENTIANE ABSOLUTE MD 20 PCT BB, GENTIANE CONCRETE, GERANIUM ABS EGYPT MD, GERANIUM ABSOLUTE EGYPT, GERANIUM OIL CHINA, GERANIUM OIL EGYPT, GINGER OIL 624, GINGER OIL RECTIFIED SOLUBLE, GUAIAACWOOD HEART, HAY ABS MD 50 PCT BB, HAY ABSOLUTE, HAY ABSOLUTE MD 50 PCT TEC, HEALINGWOOD, HYSSOP OIL ORGANIC, IMMORTELLE ABS YUGO MD 50 PCT TEC, IMMORTELLE ABSOLUTE SPAIN, IMMORTELLE ABSOLUTE YUGO, JASMIN ABS INDIA MD, JASMIN ABSOLUTE

EGYPT, JASMIN ABSOLUTE INDIA, ASMIN ABSOLUTE MOROCCO, JASMIN ABSOLUTE SAMBAC, JONQUILLE ABS MD 20 PCT BB, JONQUILLE ABSOLUTE France, JUNIPER BERRY OIL FLG, JUNIPER BERRY OIL RECTIFIED SOLUBLE, LABDANUM RESINOID 50 PCT TEC, LABDANUM RESINOID BB, LABDANUM RESINOID MD, LABDANUM RESINOID MD 50 PCT BB, LAVANDIN ABSOLUTE H, LAVANDIN ABSOLUTE MD, LAVANDIN OIL ABRIAL ORGANIC, LAVANDIN OIL GROSSO ORGANIC, LAVANDIN OIL SUPER, LAVENDER ABSOLUTE H, LAVENDER ABSOLUTE MD, LAVENDER OIL COUMARIN FREE, LAVENDER OIL COUMARIN FREE ORGANIC, LAVENDER OIL MAILLETTE ORGANIC, LAVENDER OIL MT, MACE ABSOLUTE BB, MAGNOLIA FLOWER OIL LOW METHYL EUGENOL, MAGNOLIA FLOWER OIL, MAGNOLIA FLOWER OIL MD, MAGNOLIA LEAF OIL, MANDARIN OIL MD, MANDARIN OIL MD BHT, MATE ABSOLUTE BB, MOSS TREE ABSOLUTE MD TEX IFRA 43, MOSS-OAK ABS MD TEC IFRA 43, MOSS-OAK ABSOLUTE IFRA 43, MOSS-TREE ABSOLUTE MD IPM IFRA 43, MYRRH RESINOID BB, MYRRH RESINOID MD, MYRRH RESINOID TEC, MYRTLE OIL IRON FREE, MYRTLE OIL TUNISIA RECTIFIED, NARCISSE ABS MD 20 PCT BB, NARCISSE ABSOLUTE FRENCH, NEROLI OIL TUNISIA, NUTMEG OIL TERPENELESS, OEILLET ABSOLUTE, OLIBANUM RESINOID, OLIBANUM RESINOID BB, OLIBANUM RESINOID DPG, OLIBANUM RESINOID EXTRA 50 PCT DPG, OLIBANUM RESINOID MD, OLIBANUM RESINOID MD 50 PCT DPG, OLIBANUM RESINOID TEC, OPOPONAX RESINOID TEC, ORANGE BIGARADE OIL MD BHT, ORANGE BIGARADE OIL MD SCFC, ORANGE FLOWER ABSOLUTE TUNISIA, ORANGE FLOWER WATER ABSOLUTE TUNISIA, ORANGE LEAF ABSOLUTE, ORANGE LEAF WATER ABSOLUTE TUNISIA, ORRIS ABSOLUTE ITALY, ORRIS CONCRETE 15 PCT IRONE, ORRIS CONCRETE 8 PCT IRONE, ORRIS NATURAL 15 PCT IRONE 4095C, ORRIS NATURAL 8 PCT IRONE 2942C, ORRIS RESINOID, OSMANTHUS ABSOLUTE, OSMANTHUS ABSOLUTE MD 50 PCT BB, PATCHOULI HEART N°3, PATCHOULI OIL INDONESIA, PATCHOULI OIL INDONESIA IRON FREE, PATCHOULI OIL INDONESIA MD, PATCHOULI OIL REDIST, PENNYROYAL HEART, PEPPERMINT

ABSOLUTE MD, PETITGRAIN BIGARADE OIL TUNISIA, PETITGRAIN CITRONNIER OIL, PETITGRAIN OIL PARAGUAY TERPENELESS, PETITGRAIN OIL TERPENELESS STAB, PIMENTO BERRY OIL, PIMENTO LEAF OIL, RHODINOL EX GERANIUM CHINA, ROSE ABS BULGARIAN LOW METHYL EUGENOL, ROSE ABS MOROCCO LOW METHYL EUGENOL, ROSE ABS TURKISH LOW METHYL EUGENOL, ROSE ABSOLUTE, ROSE ABSOLUTE BULGARIAN, ROSE ABSOLUTE DAMASCENA, ROSE ABSOLUTE MD, ROSE ABSOLUTE MOROCCO, ROSE ABSOLUTE TURKISH, ROSE OIL BULGARIAN, ROSE OIL DAMASCENA LOW METHYL EUGENOL, ROSE OIL TURKISH, ROSEMARY OIL CAMPHOR ORGANIC, ROSEMARY OIL TUNISIA, SANDALWOOD OIL INDIA, SANDALWOOD OIL INDIA RECTIFIED, SANTALOL, SCHINUS MOLLE OIL, ST JOHN BREAD TINCTURE 10 PCT, STYRAX RESINOID, STYRAX RESINOID, TAGETE OIL, TEA TREE HEART, TONKA BEAN ABS 50 PCT SOLVENTS, TONKA BEAN ABSOLUTE, TUBEROSE ABSOLUTE INDIA, VETIVER HEART EXTRA, VETIVER OIL HAITI, VETIVER OIL HAITI MD, VETIVER OIL JAVA, VETIVER OIL JAVA MD, VIOLET LEAF ABSOLUTE EGYPT, VIOLET LEAF ABSOLUTE EGYPT DECOL, VIOLET LEAF ABSOLUTE FRENCH, VIOLET LEAF ABSOLUTE MD 50 PCT BB, WORMWOOD OIL TERPENELESS, YLANG EXTRA OIL, YLANG III OIL and combinations of these.

**[000176]** The colorants can be among those listed in the Color Index International by the Society of Dyers and Colourists. Colorants include dyes and pigments and include those commonly used for coloring textiles, paints, inks and inkjet inks. Some colorants that can be utilized include carotenoids, arylide yellows, diarylide yellows,  $\beta$ -naphthols, naphthols, benzimidazolones, disazo condensation pigments, pyrazolones, nickel azo yellow, phthalocyanines, quinacridones, perylenes and perinones, isoindolinone and isoindoline pigments, triarylcarbonium pigments, diketopyrrolo-pyrrole pigments, thioindigoids. Carotenoids include *e.g.*, alpha-carotene, beta-carotene, gamma-carotene, lycopene, lutein and astaxanthin Annatto extract, Dehydrated beets (beet powder), Canthaxanthin, Caramel,  $\beta$ -Apo-8'-carotenal, Cochineal extract, Carmine, Sodium copper chlorophyllin, Toasted partially defatted cooked

cottonseed flour, Ferrous gluconate, Ferrous lactate, Grape color extract, Grape skin extract (enocianina), Carrot oil, Paprika, Paprika oleoresin, Mica-based pearlescent pigments, Riboflavin, Saffron, Titanium dioxide, carbon black, self-dispersed carbon, Tomato lycopene extract; tomato lycopene concentrate, Turmeric, Turmeric oleoresin, FD&C Blue No. 1, FD&C Blue No. 2, FD&C Green No. 3, Orange B, Citrus Red No. 2, FD&C Red No. 3, FD&C Red No. 40, FD&C Yellow No. 5, FD&C Yellow No. 6, Alumina (dried aluminum hydroxide), Calcium carbonate, Potassium sodium copper chlorophyllin (chlorophyllin-copper complex), Dihydroxyacetone, Bismuth oxychloride, Ferric ammonium ferrocyanide, Ferric ferrocyanide, Chromium hydroxide green, Chromium oxide greens, Guanine, Pyrophyllite, Talc, Aluminum powder, Bronze powder, Copper powder, Zinc oxide, D&C Blue No. 4, D&C Green No. 5, D&C Green No. 6, D&C Green No. 8, D&C Orange No. 4, D&C Orange No. 5, D&C Orange No. 10, D&C Orange No. 11, FD&C Red No. 4, D&C Red No. 6, D&C Red No. 7, D&C Red No. 17, D&C Red No. 21, D&C Red No. 22, D&C Red No. 27, D&C Red No. 28, D&C Red No. 30, D&C Red No. 31, D&C Red No. 33, D&C Red No. 34, D&C Red No. 36, D&C Red No. 39, D&C Violet No. 2, D&C Yellow No. 7, Ext. D&C Yellow No. 7, D&C Yellow No. 8, D&C Yellow No. 10, D&C Yellow No. 11, D&C Black No. 2, D&C Black No. 3 (3), D&C Brown No. 1, Ext. D&C, Chromium-cobalt-aluminum oxide, Ferric ammonium citrate, Pyrogallol, Logwood extract, 1,4-Bis[(2-hydroxy-ethyl)amino]-9,10-anthracenedione bis(2-propenoic)ester copolymers, 1,4-Bis [(2-methylphenyl)amino] -9,10-anthracenedione, 1,4-Bis[4- (2-methacryloxyethyl) phenylamino] anthraquinone copolymers, Carbazole violet, Chlorophyllin-copper complex, Chromium-cobalt-aluminum oxide,, C.I. Vat Orange 1, 2-[[2,5-Diethoxy- 4-[(4-methylphenyl)thiol] phenyl]azo] -1,3,5-benzenetriol, 16,23-Dihydrodinaphtho [2,3-a:2',3'-i] naphth [2',3':6,7] indolo [2,3-c] carbazole-5,10,15,17,22,24-hexone, N,N'-(9,10-Dihydro- 9,10-dioxo- 1,5-anthracenediyl) bisbenzamide, 7,16-Dichloro- 6,15-dihydro- 5,9,14,18-anthrazinetetrone, 16,17-Dimethoxydinaphtho (1,2,3-cd:3',2',1'-lm) perylene-5,10-dione, Poly(hydroxyethyl methacrylate) -dye copolymers(3), Reactive Black 5, Reactive Blue 21, Reactive Orange 78, Reactive Yellow 15, Reactive Blue No. 19, Reactive Blue No. 4, C.I. Reactive Red 11, C.I. Reactive Yellow 86, C.I. Reactive Blue 163, C.I. Reactive Red 180, 4-[(2,4-dimethylphenyl)azo]- 2,4-

dihydro- 5-methyl-2-phenyl- 3H-pyrazol-3-one (solvent Yellow 18), 6-Ethoxy-2-(6-ethoxy-3-oxobenzo[b] thien-2(3H)- ylidene) benzo[b]thiophen- 3(2H)-one, Phthalocyanine green, Vinyl alcohol/methyl methacrylate-dye reaction products, C.I. Reactive Red 180, C.I. Reactive Black 5, C.I. Reactive Orange 78, C.I. Reactive Yellow 15, C.I. Reactive Blue 21, Disodium 1-amino-4-[[4-[(2-bromo-1-oxoallyl)amino]-2-sulphonatophenyl]amino]-9,10-dihydro-9,10-dioxoanthracene-2-sulphonate (Reactive Blue 69), D&C Blue No. 9, [Phthalocyaninato(2-)] copper and mixtures of these.

**[000177]** For example, a fragrance, *e.g.*, natural wood fragrance, can be compounded into the resin used to make the composite. In some implementations, the fragrance is compounded directly into the resin as an oil. For example, the oil can be compounded into the resin using a roll mill, *e.g.*, a Banbury® mixer or an extruder, *e.g.*, a twin-screw extruder with counter-rotating screws. An example of a Banbury® mixer is the F-Series Banbury® mixer, manufactured by Farrel, Ansonia, CT. An example of a twin-screw extruder is the WP ZSK 50 MEGACOMPOUNDER™, manufactured by Coperion, Stuttgart, Germany. After compounding, the scented resin can be added to the fibrous material and extruded or molded. Alternatively, master batches of fragrance-filled resins are available commercially from International Flavors and Fragrances, under the trade name POLYIFF™. In some embodiments, the amount of fragrance in the composite is between about 0.005 % by weight and about 10 % by weight, *e.g.*, between about 0.1 % and about 5 % or 0.25 % and about 2.5 %. Other natural wood fragrances include evergreen or redwood. Other fragrances include peppermint, cherry, strawberry, peach, lime, spearmint, cinnamon, anise, basil, bergamot, black pepper, camphor, chamomile, citronella, eucalyptus, pine, fir, geranium, ginger, grapefruit, jasmine, juniper berry, lavender, lemon, mandarin, marjoram, musk, myrrh, orange, patchouli, rose, rosemary, sage, sandalwood, tea tree, thyme, wintergreen, ylang ylang, vanilla, new car or mixtures of these fragrances. In some embodiments, the amount of fragrance in the fibrous material-fragrance combination is between about 0.005 % by weight and about 20 % by weight, *e.g.*, between about 0.1 % and about 5 % or 0.25 % and about 2.5 %. Even other fragrances and methods are described U.S. Patent 8,074,910 issued December 13, 2011, the entire disclosure of which incorporated herein by reference.

## **USES OF POLY HYDROXY-CARBOXYLIC ACID AND POLY HYDROXY-CARBOXYLIC ACID COPOLYMERS**

**[000178]** Some uses of poly lactic acid and poly lactic acid containing materials include: personal care items (*e.g.*, tissues, towels, diapers), green packaging, garden (compostable pots), consumer electronics (*e.g.*, laptop and mobile phone casings), appliances, food packaging, disposable packaging (*e.g.*, food containers and drink bottles), garbage bags (*e.g.*, waste compostable bags), mulch films, controlled release matrices and containers (*e.g.*, for fertilizers, pesticides, herbicides, nutrients, pharmaceuticals, flavoring agents, foods), shopping bags, general purpose film, high heat film, heat seal layer, surface coating, disposable tableware (*e.g.*, plates, cups, forks, knives, spoons, sporks, bowls), automotive parts (*e.g.*, panels, fabrics, under hood covers), carpet fibers, clothing fibers (*e.g.*, for garments, sportswear, footwear), biomedical applications (*e.g.*, surgical sutures, implants, scaffolding, drug delivery systems, dialysis equipment) and engineering plastics.

**[000179]** Other uses/industrial sectors that can benefit from the use of poly lactic acid and poly lactic acid derivatives (*e.g.*, elastomers) include IT and software, Electronics, geoscience (*e.g.*, oil and gas), engineering, aerospace (*e.g.*, arm rests, seats, panels), telecommunications (*e.g.*, headsets), chemical manufacturing, transportation such as automotive (*e.g.*, dashboards, panels, tires, wheels), materials and steel, consumer packaged goods, wires and cables.

## **OTHER ADVANTAGES OF POLY HYDROXY-CARBOXYLIC ACID AND POLY HYDROXY-CARBOXYLIC ACID COPOLYMERS**

**[000180]** Poly hydroxy-carboxylic acids are bio-based and can be composted, recycled, used as a fuel (incinerated). Some of the degradation reactions include thermal degradation, hydrolytic degradation and biotic degradations.

**[000181]** For example, poly lactic acid can be thermally degraded. For example, at high temperatures (*e.g.*, between about 200-300 °C, about 230-260 °C). The reactions involved in the thermal degradation of poly lactic acid can follow different mechanisms such as thermo-hydrolysis, zipper-like depolymerization (*e.g.*, in the presence of residual catalysts), thermo-oxidative degradation. Trans-esterification reactions can also operate on the polymer causing bond breaking and/or bond making.

**[000182]** Poly hydroxy-carboxylic acid also can undergo hydrolytic degradation. Hydrolytic degradation includes chain scission producing shorter polymers, oligomers and eventually the monomer, hydroxylic-carboxylic acid can be released. Hydrolysis can be associated with thermal and biotic degradation. For the example of poly lactic acid, the process can be effected by various parameters such as the poly lactic acid structure, its molecular weight and distribution, its morphology (*e.g.*, crystallinity), the shape of the sample (*e.g.*, isolated thin samples or comminuted samples can degrade faster), the thermal and mechanical history (*e.g.*, processing) and the hydrolysis conditions (*e.g.*, temperature, agitation, comminution). The hydrolysis of poly lactic acid starts with a water uptake phase, followed by hydrolytic splitting of the ester bonds. The amorphous parts of the polyesters can be hydrolyzed faster than the crystalline regions because of the higher water uptake and mobility of chain segments in these regions. In a second stage, the crystalline regions of poly lactic acid are hydrolyzed.

**[000183]** Poly hydroxy-carboxylic acid can also undergo biotic degradation. This degradation can occur for example, in a mammalian body, and has useful implications for degradable stitching and can have detrimental implications to other surgical implants. Enzymes, such as proteinase K and pronase can be utilized.

**[000184]** During composting, poly hydroxy-carboxylic acid can go through several degradation stages. For example, an initial stage can occur due to exposure to moisture wherein the degradation is abiotic and the poly hydroxy-carboxylic acid degrades by hydrolysis. This stage can be accelerated by the presence of acids and bases and elevated temperatures. The first stage can lead to embrittlement of the polymer which can facilitate the diffusion of poly hydroxy-carboxylic acid out of the bulk polymers. The oligomers can then be attacked by micro-organisms. Organisms can degrade the oligomers and D-lactic acid and/or L-lactic acid, leading to CO<sub>2</sub> and water. Time for this degradation is on the order of about one to a few years depending on the factors previously mentioned. The degradation time is several orders of magnitude faster than typical petroleum based plastic such as polyethylene (*e.g.*, on the order of hundreds of years).

**[000185]** Poly hydroxy-carboxylic acid may be recycled. For example, the poly lactic acid can be hydrolyzed to D-lactic acid and/or L-lactic acid, purified and

re-polymerized. Unlike other recyclable plastics such as polyethylene terephthalate and high density polyethylene, PLA poly lactic acid does not need to be down-graded to make a product of diminished value (*e.g.*, from a bottle to decking or carpet). Poly lactic acid can be in theory recycled indefinitely. Optionally, poly lactic acid can be re-used and downgraded for several generations and then converted to lactic acid and re-polymerized.

[000186] Poly hydroxy-carboxylic acid can also be used as a fuel, for example, for energy production. Poly lactic acid can have high heat content *e.g.*, up to about 8400 BTU. Incineration of pure poly lactic acid only releases carbon dioxide and water. Combinations with other ingredients typically amount to less than 1ppm of non poly lactic acid residuals (*e.g.*, ash). Thus the combustion of poly lactic acid is cleaner than other renewable fuels, *e.g.* wood.

[000187] Poly hydroxy-carboxylic acid can have high gloss, high transparency, high clarity, high stiffness, can be UV stable, Non-allergenic, high flavor and aroma barrier properties, easy to blend, easy to mold, easy to shape, easy to emboss, easy to print on, lightweight, compostable.

[000188] Poly hydroxy-carboxylic acid can also be printed on for example, by lithographic, ink-jet printing, laser printing, fixed type printing, roller printing. Some poly hydroxy-carboxylic acid can also be written on, for example, using a pen.

[000189] Processing as described herein can also include irradiation. For example, irradiation with between about 1 and 150 Mrad radiation (*e.g.*, for example, any range as described herein) can improve the compostability and recyclability of poly hydroxy-carboxylic acid and poly hydroxy-carboxylic acid containing materials.

#### **ADDITION OF CATALYST DEACTIVATING AGENT AND SIMILAR ADDITIVES**

[000190] The polymerization of hydroxy-carboxylic acids is most often done with a catalyst. This catalyst can persist in the final polymer product and as such can be able to catalyze a reverse reaction when water is present, or possibly other deleterious chemistries. The catalyst can be deactivated by addition of deactivating agents such as anhydrides, phosphite, antioxidants or multifunctional carboxylic acids. The most effective multifunctional carboxylic acids are those

where two carboxylic acids are not more than about six carbon atoms apart wherein then counted carbon atoms include the carbonyl carbon. Examples of polycarboxylic acids include dicarboxylic acids such as tartaric acid, succinic acid, malic acid, fumaric acid, and adipic acid and where appropriate the stereoisomers. Another type of multifunctional carboxylic acid includes polycarboxylic acid which includes oligomers and polymers containing three or more carboxylic acid groups and a molecular weight of greater than about 500. A particularly preferred polycarboxylic acids includes polyacrylic acid. Without being bound by theory, the carboxylic acids near to each may be such that they can chelate the catalyst and leave it in a state where its catalytic sites have been occupied, thus reducing the negative reactions.

**[000191]** Polymers of poly carboxylic acid can include polyacrylic acids and especially poly (meth)acrylic acids. The latter has the advantage that it may render the complexed catalyst more soluble in the polymer. It should be noted that the poly (meth)acrylic and poly acrylic acids must be included as discreet polymers and not as the (meth)acrylic acid. These poly (meth) acrylic acids have pendant carboxylic acids, not carboxylic acids that become part of the polyester chain.

**[000192]** Phosphite antioxidants include Tris(2,4-ditert-butylphenyl)phosphite and other tri substituted phosphites. These may also be used to deactivate the catalysts.

**[000193]** Compounds like ethylenediaminetetraacetic acid, commonly abbreviated as EDTA may also be used to complex with and/or deactivate the catalyst. Compounds related to EDTA may also be used, such as N-(hydroxyethyl)-ethylenediamine-triacetic acid, diethylene triamine pentaacetic acid, and nitrilotriacetic acid.

**[000194]** Anhydrides include acetic anhydride, pivaloyl anhydride, maleic anhydride, succinic anhydride. When anhydrides are used 2 to 60 molar equivalents based on the moles of catalysts can be used.

**[000195]** These additives for the poly hydroxy-carboxylic acids can easily be added just prior, during or directly after the polymer is processed in the thin film evaporator or thin film polymerization/devolatilization device. They can be described as stabilizers.

[000196] Compounds that are solids are also candidates to deactivate the catalysts. Not only can these bind/bond to the catalyst they can be of such a size that the filtration of the catalyst from the polymer is improved. These include hydroxylic medium, and similar compounds.

[000197] Combinations of these additives may also be used. For instance anhydrides may be used with the solids may be used. For example, acetic anhydride and alumina may be used to deactivate the catalyst and facilitate removal by filtration.

[000198] The catalyst may be removed after complexation/reaction with/ binding /bonding to the catalysts deactivating systems described above. The removal can be just prior to/during/after the thin film evaporator system described above.

#### **RADIATION TREATMENT**

[000199] The feedstock (*e.g.*, cellulosic, lignocellulosic, and combinations of these) that can produce precursors to hydroxy-carboxylic acids can be treated with electron bombardment to modify its structure, for example, to reduce its recalcitrance or cross link the structures. Such treatment can, for example, reduce the average molecular weight of the feedstock, change the crystalline structure of the feedstock, and/or increase the surface area and/or porosity of the feedstock. Radiation can be by, for example, electron beam, ion beam, 100 nm to 28 nm ultraviolet (UV) light, gamma or X-ray radiation. Radiation treatments and systems for treatments are discussed in U.S. Patent 8,142,620, and U.S. Patent Application Series No. 12/417, 731, the entire disclosures of which are incorporated herein by reference.

[000200] Each form of radiation ionizes the biomass via particular interactions, as determined by the energy of the radiation. Heavy charged particles primarily ionize matter via Coulomb scattering; furthermore, these interactions produce energetic electrons that may further ionize matter. Alpha particles are identical to the nucleus of a helium atom and are produced by the alpha decay of various radioactive nuclei, such as isotopes of bismuth, polonium, astatine, radon, francium, radium, several actinides, such as actinium, thorium, uranium, neptunium, curium, californium, americium, and plutonium. Electrons

interact via Coulomb scattering and bremsstrahlung radiation produced by changes in the velocity of electrons.

**[000201]** When particles are utilized, they can be neutral (uncharged), positively charged or negatively charged. When charged, the charged particles can bear a single positive or negative charge, or multiple charges, *e.g.*, one, two, three or even four or more charges. In instances in which chain scission is desired to change the molecular structure of the carbohydrate containing material, positively charged particles may be desirable, in part, due to their acidic nature. When particles are utilized, the particles can have the mass of a resting electron, or greater, *e.g.*, 500, 1000, 1500, or 2000 or more times the mass of a resting electron. For example, the particles can have a mass of from about 1 atomic unit to about 150 atomic units, *e.g.*, from about 1 atomic unit to about 50 atomic units, or from about 1 to about 25, *e.g.*, 1, 2, 3, 4, 5, 10, 12 or 15 atomic units.

**[000202]** Gamma radiation has the advantage of a significant penetration depth into a variety of material in the sample.

In embodiments in which the irradiating is performed with electromagnetic radiation, the electromagnetic radiation can have, *e.g.*, energy per photon (in electron volts) of greater than  $10^2$  eV, *e.g.*, greater than  $10^3$ ,  $10^4$ ,  $10^5$ ,  $10^6$ , or even greater than  $10^7$  eV. In some embodiments, the electromagnetic radiation has energy per photon of between  $10^4$  and  $10^7$ , *e.g.*, between  $10^5$  and  $10^6$  eV. The electromagnetic radiation can have a frequency of, *e.g.*, greater than  $10^{16}$  Hz, greater than  $10^{17}$  Hz,  $10^{18}$ ,  $10^{19}$ ,  $10^{20}$ , or even greater than  $10^{21}$  Hz. In some embodiments, the electromagnetic radiation has a frequency of between  $10^{18}$  and  $10^{22}$  Hz, *e.g.*, between  $10^{19}$  to  $10^{21}$  Hz.

**[000203]** Electron bombardment may be performed using an electron beam device that has a nominal energy of less than 10 MeV, *e.g.*, less than 7 MeV, less than 5 MeV, or less than 2 MeV, *e.g.*, from about 0.5 to 1.5 MeV, from about 0.8 to 1.8 MeV, or from about 0.7 to 1 MeV. In some implementations the nominal energy is about 500 to 800 keV.

**[000204]** The electron beam may have a relatively high total beam power (the combined beam power of all accelerating heads, or, if multiple accelerators are used, of all accelerators and all heads), *e.g.*, at least 25 kW, *e.g.*, at least 30, 40, 50, 60, 65, 70, 80, 100, 125, or 150, 250, 300 kW. In some cases, the power is even as high as 500 kW, 750 kW, or even 1000 kW or more. In some cases the

electron beam has a beam power of 1200 kW or more, *e.g.*, 1400, 1600, 1800, or even 3000 kW. The electron beam may have a total beam power of 25 to 3000 kW. Alternatively, the electron beam may have a total beam power of 75 to 1500 kW. Optionally, the electron beam may have a total beam power of 100 to 1000 kW. Alternatively, the electron beam may have a total beam power of 100 to 400 kW.

**[000205]** This high total beam power is usually achieved by utilizing multiple accelerating heads. For example, the electron beam device may include two, four, or more accelerating heads. The use of multiple heads, each of which has a relatively low beam power, prevents excessive temperature rise in the material, thereby preventing burning of the material, and also increases the uniformity of the dose through the thickness of the layer of material.

**[000206]** It is generally preferred that the bed of biomass material has a relatively uniform thickness. In some embodiments the thickness is less than about 1 inch (*e.g.*, less than about 0.75 inches, less than about 0.5 inches, less than about 0.25 inches, less than about 0.1 inches, between about 0.1 and 1 inch, between about 0.2 and 0.3 inches).

**[000207]** It is desirable to treat the material as quickly as possible. In general, it is preferred that treatment be performed at a dose rate of greater than about 0.25 Mrad per second, *e.g.*, greater than about 0.5, 0.75, 1, 1.5, 2, 5, 7, 10, 12, 15, or even greater than about 20 Mrad per second, *e.g.*, about 0.25 to 30 Mrad per second. Alternately, the treatment is performed at a dose rate of 0.5 to 20 Mrad per second. Optionally, the treatment is performed at a dose rate of 0.75 to 15 Mrad per second. Alternately, the treatment is performed at a dose rate of 1 to 5 Mrad per second. Optionally, the treatment is performed at a dose rate of 1-3 Mrad per second or alternatively 1-2 Mrad per second. Higher dose rates allow a higher throughput for a target (*e.g.*, the desired) dose. Higher dose rates generally require higher line speeds, to avoid thermal decomposition of the material. In one implementation, the accelerator is set for 3 MeV, 50 mA beam current, and the line speed is 24 feet/minute, for a sample thickness of about 20 mm (*e.g.*, comminuted corn cob material with a bulk density of 0.5 g/cm<sup>3</sup>).

**[000208]** In some embodiments, electron bombardment is performed until the material receives a total dose of at least 0.1 Mrad, 0.25 Mrad, 1 Mrad, 5 Mrad, *e.g.*, at least 10, 20, 30 or at least 40 Mrad. In some embodiments, the

treatment is performed until the material receives a dose of from about 10 Mrad to about 50 Mrad, *e.g.*, from about 20 Mrad to about 40 Mrad, or from about 25 Mrad to about 30 Mrad. In some implementations, a total dose of 25 to 35 Mrad is preferred, applied ideally over a couple of passes, *e.g.*, at 5 Mrad/pass with each pass being applied for about one second. Cooling methods, systems and equipment can be used before, during, after and in between radiations, for example, utilizing a cooling screw conveyor and/or a cooled vibratory conveyor.

[000209] Using multiple heads as discussed above, the material can be treated in multiple passes, for example, two passes at 10 to 20 Mrad/pass, *e.g.*, 12 to 18 Mrad/pass, separated by a few seconds of cool-down, or three passes of 7 to 12 Mrad/pass, *e.g.*, 5 to 20 Mrad/pass, 10 to 40 Mrad/pass, 9 to 11 Mrad/pass. As discussed herein, treating the material with several relatively low doses, rather than one high dose, tends to prevent overheating of the material and also increases dose uniformity through the thickness of the material. In some implementations, the material is stirred or otherwise mixed during or after each pass and then smoothed into a uniform layer again before the next pass, to further enhance treatment uniformity.

[000210] In some embodiments, electrons are accelerated to, for example, a speed of greater than 75 percent of the speed of light, *e.g.*, greater than 85, 90, 95, or 99 percent of the speed of light.

[000211] In some embodiments, any processing described herein occurs on lignocellulosic material that remains dry as acquired or that has been dried, *e.g.*, using heat and/or reduced pressure. For example, in some embodiments, the cellulosic and/or lignocellulosic material has less than about 25 wt. % retained water, measured at 25°C and at fifty percent relative humidity (*e.g.*, less than about 20 wt.%, less than about 15 wt.%, less than about 14 wt.%, less than about 13 wt.%, less than about 12 wt.%, less than about 10 wt.%, less than about 9 wt.%, less than about 8 wt.%, less than about 7 wt.%, less than about 6 wt.%, less than about 5 wt.%, less than about 4 wt.%, less than about 3 wt.%, less than about 2 wt.%, less than about 1 wt.%, or less than about 0.5 wt.%).

[000212] In some embodiments, two or more ionizing sources can be used, such as two or more electron sources. For example, samples can be treated, in any order, with a beam of electrons, followed by gamma radiation and UV light having wavelengths from about 100 nm to about 280 nm. In some embodiments,

samples are treated with three ionizing radiation sources, such as a beam of electrons, gamma radiation, and energetic UV light. The biomass is conveyed through the treatment zone where it can be bombarded with electrons.

**[000213]** It may be advantageous to repeat the treatment to more thoroughly reduce the recalcitrance of the biomass and/or further modify the biomass. In particular, the process parameters can be adjusted after a first (*e.g.*, second, third, fourth or more) pass depending on the recalcitrance of the material. In some embodiments, a conveyor can be used which includes a circular system where the biomass is conveyed multiple times through the various processes described above. In some other embodiments, multiple treatment devices (*e.g.*, electron beam generators) are used to treat the biomass multiple (*e.g.*, 2, 3, 4 or more) times. In yet other embodiments, a single electron beam generator may be the source of multiple beams (*e.g.*, 2, 3, 4 or more beams) that can be used for treatment of the biomass.

**[000214]** The effectiveness in changing the molecular/supermolecular structure and/or reducing the recalcitrance of the carbohydrate-containing biomass depends on the electron energy used and the dose applied, while exposure time depends on the power and dose. In some embodiments, the dose rate and total dose are adjusted so as not to destroy (*e.g.*, char or burn) the biomass material. For example, the carbohydrates should not be damaged in the processing so that they can be released from the biomass intact, *e.g.* as monomeric sugars.

**[000215]** In some embodiments, the treatment (with any electron source or a combination of sources) is performed until the material receives a dose of at least about 0.05 Mrad, *e.g.*, at least about 0.1, 0.25, 0.5, 0.75, 1.0, 2.5, 5.0, 7.5, 10.0, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90, 100, 125, 150, 175, or 200 Mrad. In some embodiments, the treatment is performed until the material receives a dose of between 0.1-100 Mrad, 1-200, 5-200, 10-200, 5-150, 50-150 Mrad, 5-100, 5-50, 5-40, 10-50, 10-75, 15-50, 20-35 Mrad.

**[000216]** In some embodiments, relatively low doses of radiation are utilized, *e.g.*, to increase the molecular weight of a cellulosic or lignocellulosic material (with any radiation source or a combination of sources described herein). For example, a dose of at least about 0.05 Mrad, *e.g.*, at least about 0.1 Mrad or at least about 0.25, 0.5, 0.75, 1.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, or at least about 5.0

Mrad. In some embodiments, the irradiation is performed until the material receives a dose of between 0.1Mrad and 2.0 Mrad, *e.g.*, between 0.5rad and 4.0 Mrad or between 1.0 Mrad and 3.0 Mrad.

**[000217]** It also can be desirable to irradiate from multiple directions, simultaneously or sequentially, in order to achieve a desired degree of penetration of radiation into the material. For example, depending on the density and moisture content of the material, such as wood, and the type of radiation source used (*e.g.*, gamma or electron beam), the maximum penetration of radiation into the material may be only about 0.75 inch. In such a cases, a thicker section (up to 1.5 inch) can be irradiated by first irradiating the material from one side, and then turning the material over and irradiating from the other side. Irradiation from multiple directions can be particularly useful with electron beam radiation, which irradiates faster than gamma radiation but typically does not achieve as great a penetration depth.

## **RADIATION SOURCES**

**[000218]** The type of radiation determines the kinds of radiation sources used as well as the radiation devices and associated equipment. The methods, systems and equipment described herein, for example, for treating materials with radiation, can utilized sources as described herein as well as any other useful source.

**[000219]** Sources of gamma rays include radioactive nuclei, such as isotopes of cobalt, calcium, technetium, chromium, gallium, indium, iodine, iron, krypton, samarium, selenium, sodium, thallium, and xenon.

**[000220]** Sources of X-rays include electron beam collision with metal targets, such as tungsten or molybdenum or alloys, or compact light sources, such as those produced commercially by Lyncean.

**[000221]** Alpha particles are identical to the nucleus of a helium atom and are produced by the alpha decay of various radioactive nuclei, such as isotopes of bismuth, polonium, astatine, radon, francium, radium, several actinides, such as actinium, thorium, uranium, neptunium, curium, californium, americium, and plutonium.

**[000222]** Sources for ultraviolet radiation include deuterium or cadmium lamps.

[000223] Sources for infrared radiation include sapphire, zinc, or selenide window ceramic lamps.

[000224] Sources for microwaves include klystrons, Slevin type RF sources, or atom beam sources that employ hydrogen, oxygen, or nitrogen gases.

[000225] Accelerators used to accelerate the particles can be electrostatic DC, electrodynamic DC, RF linear, magnetic induction linear or continuous wave. For example, cyclotron type accelerators are available from IBA, Belgium, such as the RHODOTRON<sup>TM</sup> system, while DC type accelerators are available from RDI, now IBA Industrial, such as the DYNAMITRON®. Ions and ion accelerators are discussed in Introductory Nuclear Physics, Kenneth S. Krane, John Wiley & Sons, Inc. (1988), Krsto Prelec, FIZIKA B 6 (1997) 4, 177–206, , Chu, William T., “Overview of Light-Ion Beam Therapy”, Columbus-Ohio, ICRU-IAEA Meeting, 18-20 March 2006, , Iwata, Y. et al., “Alternating-Phase-Focused IH-DTL for Heavy-Ion Medical Accelerators”, Proceedings of EPAC 2006, Edinburgh, Scotland, , and Leitner, C.M. et al., “Status of the Superconducting ECR Ion Source Venus”, Proceedings of EPAC 2000, Vienna, Austria

[000226] Electrons may be produced by radioactive nuclei that undergo beta decay, such as isotopes of iodine, cesium, technetium, and iridium. Alternatively, an electron gun can be used as an electron source via thermionic emission and accelerated through an accelerating potential. An electron gun generates electrons, which are then accelerated through a large potential (*e.g.*, greater than about 500 thousand, greater than about 1 million, greater than about 2 million, greater than about 5 million, greater than about 6 million, greater than about 7 million, greater than about 8 million, greater than about 9 million, or even greater than 10 million volts) and then scanned magnetically in the x-y plane, where the electrons are initially accelerated in the z direction down the accelerator tube and extracted through a foil window. Scanning the electron beams is useful for increasing the irradiation surface when irradiating materials, *e.g.*, a biomass, that is conveyed through the scanned beam. Scanning the electron beam also distributes the thermal load homogenously on the window and helps reduce the foil window rupture due to local heating by the electron beam. Window foil rupture is a cause of significant down-time due to subsequent necessary repairs and re-starting the electron gun.

[000227] Various other irradiating devices may be used in the methods disclosed herein, including field ionization sources, electrostatic ion separators, field ionization generators, thermionic emission sources, microwave discharge ion sources, recirculating or static accelerators, dynamic linear accelerators, van de Graaff accelerators, and folded tandem accelerators. Such devices are disclosed, for example, in U.S. Pat. No. 7,931,784 to Medoff, the complete disclosure of which is incorporated herein by reference.

[000228] A beam of electrons can be used as the radiation source. A beam of electrons has the advantages of high dose rates (*e.g.*, 1, 5, or even 10 Mrad per second), high throughput, less containment, and less confinement equipment. Electron beams can also have high electrical efficiency (*e.g.*, 80%), allowing for lower energy usage relative to other radiation methods, which can translate into a lower cost of operation and lower greenhouse gas emissions corresponding to the smaller amount of energy used. Electron beams can be generated, *e.g.*, by electrostatic generators, cascade generators, transformer generators, low energy accelerators with a scanning system, low energy accelerators with a linear cathode, linear accelerators, and pulsed accelerators.

[000229] Electrons can also be more efficient at causing changes in the molecular structure of carbohydrate-containing materials, for example, by the mechanism of chain scission. In addition, electrons having energies of 0.5-10 MeV can penetrate low density materials, such as the biomass materials described herein, *e.g.*, materials having a bulk density of less than  $0.5 \text{ g/cm}^3$ , and a depth of 0.3-10 cm. Electrons as an ionizing radiation source can be useful, *e.g.*, for relatively thin piles, layers or beds of materials, *e.g.*, less than about 0.5 inch, *e.g.*, less than about 0.4 inch, 0.3 inch, 0.25 inch, or less than about 0.1 inch. In some embodiments, the energy of each electron of the electron beam is from about 0.3 MeV to about 2.0 MeV (million electron volts), *e.g.*, from about 0.5 MeV to about 1.5 MeV, or from about 0.7 MeV to about 1.25 MeV. Methods of irradiating materials are discussed in U.S. Pat. App. Pub. 2012/0100577 A1, filed October 18, 2011, the entire disclosure of which is herein incorporated by reference.

[000230] Electron beam irradiation devices may be procured commercially from Ion Beam Applications, Louvain-la-Neuve, Belgium, NIIV Corporation, Japan or the Titan Corporation, San Diego, CA. Typical electron energies can be

0.5 MeV, 1 MeV, 2 MeV, 4.5 MeV, 7.5 MeV, or 10 MeV. Typical electron beam irradiation device power can be 1 kW, 5 kW, 10 kW, 20 kW, 50 kW, 60 kW, 70 kW, 80 kW, 90 kW, 100 kW, 125 kW, 150 kW, 175 kW, 200 kW, 250 kW, 300 kW, 350 kW, 400 kW, 450 kW, 500 kW, 600 kW, 700 kW, 800 kW, 900 kW or even 1000 kW.

**[000231]** Tradeoffs in considering electron beam irradiation device power specifications include cost to operate, capital costs, depreciation, and device footprint. Tradeoffs in considering exposure dose levels of electron beam irradiation would be energy costs and environment, safety, and health (ESH) concerns. Typically, generators are housed in a vault, *e.g.*, of lead or concrete, especially for production from X-rays that are generated in the process. Tradeoffs in considering electron energies include energy costs.

**[000232]** The electron beam irradiation device can produce either a fixed beam or a scanning beam. A scanning beam may be advantageous with large scan sweep length and high scan speeds, as this would effectively replace a large, fixed beam width. Further, available sweep widths of 0.5 m, 1 m, 2 m or more are available. The scanning beam is preferred in most embodiments describe herein because of the larger scan width and reduced possibility of local heating and failure of the windows.

## **ELECTRON GUNS – WINDOWS**

**[000233]** The extraction system for an electron accelerator can include two window foils. The cooling gas in the two foil window extraction system can be a purge gas or a mixture, for example, air, or a pure gas. In one embodiment the gas is an inert gas such as nitrogen, argon, helium and or carbon dioxide. It is preferred to use a gas rather than a liquid since energy losses to the electron beam are minimized. Mixtures of pure gas can also be used, either pre-mixed or mixed in line prior to impinging on the windows or in the space between the windows. The cooling gas can be cooled, for example, by using a heat exchange system (*e.g.*, a chiller) and/or by using boil off from a condensed gas (*e.g.*, liquid nitrogen, liquid helium). Window foils are described in PCT/US2013/64332 filed October 10, 2013 the full disclosure of which is incorporated by reference herein.

## ELECTRON GUNS – BEAM STOPS

[000234] In some embodiments the systems and methods include a beam stop (*e.g.*, a shutter). For example, the beam stop can be used to quickly stop or reduce the irradiation of material without powering down the electron beam device. Alternatively the beam stop can be used while powering up the electron beam, *e.g.*, the beam stop can stop the electron beam until a beam current of a desired level is achieved. The beam stop can be placed between the primary foil window and a secondary foil window. For example, the beam stop can be mounted so that it is movable, that is, so that it can be moved into and out of the beam path. Even partial coverage of the beam can be used, for example, to control the dose of irradiation. The beam stop can be mounted to the floor, to a conveyor for the biomass, to a wall, to the radiation device (*e.g.*, at the scan horn), or to any structural support. Preferably the beam stop is fixed in relation to the scan horn so that the beam can be effectively controlled by the beam stop. The beam stop can incorporate a hinge, a rail, wheels, slots, or other means allowing for its operation in moving into and out of the beam. The beam stop can be made of any material that will stop at least 5% of the electrons, *e.g.*, at least 10%, 20%, 30%, 40%, 50%, 60%, 70%, at least 80%, 85%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or even about 100% of the electrons.

[000235] The beam stop can be made of a metal including, but not limited to, stainless steel, lead, iron, molybdenum, silver, gold, titanium, aluminum, tin, or alloys of these, or laminates (layered materials) made with such metals (*e.g.*, metal-coated ceramic, metal-coated polymer, metal-coated composite, multilayered metal materials).

[000236] The beam stop can be cooled, for example, with a cooling fluid such as an aqueous solution or a gas. The beam stop can be partially or completely hollow, for example, with cavities. Interior spaces of the beam stop can be used for cooling fluids and gases. The beam stop can be of any shape, including flat, curved, round, oval, square, rectangular, beveled and wedged shapes.

[000237] The beam stop can have perforations so as to allow some electrons through, thus controlling (*e.g.*, reducing) the levels of radiation across the whole area of the window, or in specific regions of the window. The beam stop can be a mesh formed, for example, from fibers or wires. Multiple beam stops can be

used, together or independently, to control the irradiation. The beam stop can be remotely controlled, *e.g.*, by radio signal or hard wired to a motor for moving the beam into or out of position.

### **BEAM DUMPS**

**[000238]** The embodiments disclosed herein can also include a beam dump when utilizing a radiation treatment. A beam dump's purpose is to safely absorb a beam of charged particles. Like a beam stop, a beam dump can be used to block the beam of charged particles. However, a beam dump is much more robust than a beam stop, and is intended to block the full power of the electron beam for an extended period of time. They are often used to block the beam as the accelerator is powering up.

**[000239]** Beam dumps are also designed to accommodate the heat generated by such beams, and are usually made from materials such as copper, aluminum, carbon, beryllium, tungsten, or mercury. Beam dumps can be cooled, for example, using a cooling fluid that can be in thermal contact with the beam dump.

### **HEATING AND THROUGHPUT DURING RADIATION TREATMENT**

**[000240]** Several processes can occur in biomass when electrons from an electron beam interact with matter in inelastic collisions. For example, ionization of the material, chain scission of polymers in the material, cross linking of polymers in the material, oxidation of the material, generation of X-rays ("Bremsstrahlung") and vibrational excitation of molecules (*e.g.*, phonon generation). Without being bound to a particular mechanism, the reduction in recalcitrance can be due to several of these inelastic collision effects, for example, ionization, chain scission of polymers, oxidation and phonon generation. Some of the effects (*e.g.*, especially X-ray generation), necessitate shielding and engineering barriers, for example, enclosing the irradiation processes in a concrete (or other radiation opaque material) vault. Another effect of irradiation, vibrational excitation, is equivalent to heating up the sample. Heating the sample by irradiation can help in recalcitrance reduction, but excessive heating can destroy the material, as will be explained below.

**[000241]** The adiabatic temperature rise ( $\Delta T$ ) from adsorption of ionizing radiation is given by the equation:  $\Delta T = D/C_p$  : where D is the average dose in kGy,  $C_p$  is the heat capacity in J/g °C, and  $\Delta T$  is the change in temperature in degrees Celsius. A typical dry biomass material will have a heat capacity close to 2. Wet biomass will have a higher heat capacity dependent on the amount of water since the heat capacity of water is very high ( 4.19 J/g °C). Metals have much lower heat capacities, for example, 304 stainless steel has a heat capacity of 0.5 J/g °C. The estimated temperature change due to the instant adsorption of radiation in a biomass and stainless steel for various doses of radiation is shown in Table 1.

Table 1: Calculated Temperature increase for biomass and stainless steel.

| Dose (Mrad) | Estimated Biomass<br>$\Delta T$ (°C) | Steel $\Delta T$ (°C) |
|-------------|--------------------------------------|-----------------------|
| 10          | 50                                   | 200                   |
| 50          | 250, decomposition                   | 1000                  |
| 100         | 500,decomposition                    | 2000                  |
| 150         | 750,decomposition                    | 3000                  |
| 200         | 1000, decomposition.                 | 4000                  |

**[000242]** High temperatures can destroy and or modify the biopolymers in biomass so that the polymers (*e.g.*, cellulose) are unsuitable for further processing. A biomass subjected to high temperatures can become dark, sticky and give off odors indicating decomposition. The stickiness can even make the material hard to convey. The odors can be unpleasant and be a safety issue. In fact, keeping the biomass below about 200 °C has been found to be beneficial in the processes described herein (*e.g.*, below about 190 °C, below about 180 °C, below about 170 °C, below about 160 °C, below about 150 °C, below about 140 °C, below about 130 °C, below about 120 °C, below about 110 °C, between about 60 °C and 180 °C, between about 60 °C and 160 °C, between about 60 °C and 150 °C, between about 60 °C and 140 °C, between about 60 °C and 130 °C, between about 60 °C and 120 °C, between about 80 °C and 180 °C, between about 100 °C and 180 °C, between about 120 °C and 180 °C, between about 140

°C and 180 °C, between about 160 °C and 180 °C, between about 100 °C and 140 °C, between about 80 °C and 120 °C).

**[000243]** It has been found that irradiation above about 10 Mrad is desirable for the processes described herein (*e.g.*, reduction of recalcitrance). A high throughput is also desirable so that the irradiation does not become a bottle neck in processing the biomass. The treatment is governed by a Dose rate equation:  $M = FP/D \cdot \text{time}$ , where M is the mass of irradiated material (kg), F is the fraction of power that is adsorbed (unit less), P is the emitted power ( $\text{kW} = \text{Voltage in MeV} \times \text{Current in mA}$ ), time is the treatment time (sec) and D is the adsorbed dose (kGy). In an exemplary process where the fraction of adsorbed power is fixed, the Power emitted is constant and a set dosage is desired, the throughput (*e.g.*, M, the biomass processed) can be increased by increasing the irradiation time. However, increasing the irradiation time without allowing the material to cool, can excessively heat the material as exemplified by the calculations shown above. Since biomass has a low thermal conductivity (less than about  $0.1 \text{ Wm}^{-1}\text{K}^{-1}$ ), heat dissipation is slow, unlike, for example, metals (greater than about  $10 \text{ Wm}^{-1}\text{K}^{-1}$ ) which can dissipate energy quickly as long as there is a heat sink to transfer the energy to.

## BIOMASS MATERIALS

**[000244]** Lignocellulosic materials include, but are not limited to, wood (*e.g.*, softwood, Pine softwood, Softwood, Softwood barks, Softwood stems, Spruce softwood, Hardwood, Willow Hardwood, aspen hardwood, Birch Hardwood, Hardwood barks, Hardwood stems, pine cones, pine needles), particle board, chemical pulps, mechanical pulps, paper, waste paper, forestry wastes (*e.g.*, sawdust, aspen wood, wood chips, leaves), grasses, (*e.g.*, switchgrass, miscanthus, cord grass, reed canary grass, coastal Bermuda grass), grain residues, (*e.g.*, rice hulls, oat hulls, wheat chaff, barley hulls), agricultural waste (*e.g.*, silage, canola straw, wheat straw, barley straw, oat straw, rice straw, jute, hemp, flax, bamboo, sisal, abaca, corn cobs, corn stover, soybean stover, corn fiber, alfalfa, hay, coconut hair, nut shells, palm and coconut oil byproducts), cotton, cotton seed hairs, flax, sugar processing residues (*e.g.*, bagasse, beet pulp, agave bagasse), , algae, seaweed, manure ( *e.g.*, Solid cattle manure, Swine waste),

sewage, carrot processing waste, molasses spent wash, alfalfa biver and mixtures of any of these.

[000245] In some cases, the lignocellulosic material includes corncobs. Ground or hammermilled corncobs can be spread in a layer of relatively uniform thickness for irradiation, and after irradiation are easy to disperse in the medium for further processing. To facilitate harvest and collection, in some cases the entire corn plant is used, including the corn stalk, corn kernels, and in some cases even the root system of the plant.

[000246] Advantageously, no additional nutrients (other than a nitrogen source, *e.g.*, urea or ammonia) are required during fermentation of corncobs or cellulosic or lignocellulosic materials containing significant amounts of corncobs.

[000247] Corncobs, before and after comminution, are also easier to convey and disperse, and have a lesser tendency to form explosive mixtures in air than other cellulosic or lignocellulosic materials such as hay and grasses.

[000248] Cellulosic materials include, for example, paper, paper products, paper waste, paper pulp, pigmented papers, loaded papers, coated papers, filled papers, magazines, printed matter (*e.g.*, books, catalogs, manuals, labels, calendars, greeting cards, brochures, prospectuses, newsprint), printer paper, polycoated paper, card stock, cardboard, paperboard, materials having a high  $\alpha$ -cellulose content such as cotton, and mixtures of any of these. For example, paper products as described in U.S. App. No. 13/396,365 ("Magazine Feedstocks" by Medoff *et al.*, filed February 14, 2012), the full disclosure of which is incorporated herein by reference.

[000249] Cellulosic materials can also include lignocellulosic materials which have been partially or fully de-lignified.

[000250] In some instances other biomass materials can be utilized, for example, starchy materials. Starchy materials include starch itself, *e.g.*, corn starch, wheat starch, potato starch or rice starch, a derivative of starch, or a material that includes starch, such as an edible food product or a crop. For example, the starchy material can be arracacha, buckwheat, banana, barley, cassava, kudzu, oca, sago, sorghum, regular household potatoes, sweet potato, taro, yams, or one or more beans, such as favas, lentils or peas. Blends of any two or more starchy materials are also starchy materials. Mixtures of starchy, cellulosic and or lignocellulosic materials can also be used. For example, a

biomass can be an entire plant, a part of a plant or different parts of a plant, *e.g.*, a wheat plant, cotton plant, a corn plant, rice plant or a tree. The starchy materials can be treated by any of the methods described herein.

[000251] Microbial materials include, but are not limited to, any naturally occurring or genetically modified microorganism or organism that contains or is capable of providing a source of carbohydrates (*e.g.*, cellulose), for example, protists, *e.g.*, animal protists (*e.g.*, protozoa such as flagellates, amoeboids, ciliates, and sporozoa) and plant protists (*e.g.*, algae such as alveolates, chlorarachniophytes, cryptomonads, euglenids, glaucophytes, haptophytes, red algae, stramenopiles, and viridiaeplantae). Other examples include seaweed, plankton (*e.g.*, macroplankton, mesoplankton, microplankton, nanoplankton, picoplankton, and femtoplankton), phytoplankton, bacteria (*e.g.*, gram positive bacteria, gram negative bacteria, and extremophiles), yeast and/or mixtures of these. In some instances, microbial biomass can be obtained from natural sources, *e.g.*, the ocean, lakes, bodies of water, *e.g.*, salt water or fresh water, or on land. Alternatively or in addition, microbial biomass can be obtained from culture systems, *e.g.*, large scale dry and wet culture and fermentation systems. In other embodiments, the biomass materials, such as cellulosic, starchy and lignocellulosic feedstock materials, can be obtained from transgenic microorganisms and plants that have been modified with respect to a wild type variety. Such modifications may be, for example, through the iterative steps of selection and breeding to obtain desired traits in a plant. Furthermore, the plants can have had genetic material removed, modified, silenced and/or added with respect to the wild type variety. For example, genetically modified plants can be produced by recombinant DNA methods, where genetic modifications include introducing or modifying specific genes from parental varieties, or, for example, by using transgenic breeding wherein a specific gene or genes are introduced to a plant from a different species of plant and/or bacteria. Another way to create genetic variation is through mutation breeding wherein new alleles are artificially created from endogenous genes. The artificial genes can be created by a variety of ways including treating the plant or seeds with, for example, chemical mutagens (*e.g.*, using alkylating agents, epoxides, alkaloids, peroxides, formaldehyde), irradiation (*e.g.*, X-rays, gamma rays, neutrons, beta particles, alpha particles, protons, deuterons, UV radiation) and temperature shocking or

other external stressing and subsequent selection techniques. Other methods of providing modified genes is through error prone PCR and DNA shuffling followed by insertion of the desired modified DNA into the desired plant or seed. Methods of introducing the desired genetic variation in the seed or plant include, for example, the use of a bacterial carrier, biolistics, calcium phosphate precipitation, electroporation, gene splicing, gene silencing, lipofection, microinjection and viral carriers. Additional genetically modified materials have been described in U.S. Application Serial No 13/396,369 filed February 14, 2012 the full disclosure of which is incorporated herein by reference. Any of the methods described herein can be practiced with mixtures of any biomass materials described herein.

#### **BIOMASS MATERIAL PREPARATION – MECHANICAL TREATMENTS**

**[000252]** The biomass can be in a dry form, for example, with less than about 35% moisture content (*e.g.*, less than about 20 %, less than about 15 %, less than about 10 % less than about 5 %, less than about 4%, less than about 3 %, less than about 2 % or even less than about 1 %). The biomass can also be delivered in a wet state, for example, as a wet solid, a slurry or a suspension with at least about 10 wt.% solids (*e.g.*, at least about 20 wt.%, at least about 30 wt. %, at least about 40 wt.%, at least about 50 wt.%, at least about 60 wt.%, at least about 70 wt.%).

**[000253]** The processes disclosed herein can utilize low bulk density materials, for example, cellulosic or lignocellulosic feedstocks that have been physically pretreated to have a bulk density of less than about 0.75 g/cm<sup>3</sup>, *e.g.*, less than about 0.7, 0.65, 0.60, 0.50, 0.35, 0.25, 0.20, 0.15, 0.10, 0.05 or less, *e.g.*, less than about 0.025 g/cm<sup>3</sup>. Bulk density is determined using ASTM D1895B. Briefly, the method involves filling a measuring cylinder of known volume with a sample and obtaining a weight of the sample. The bulk density is calculated by dividing the weight of the sample in grams by the known volume of the cylinder in cubic centimeters. If desired, low bulk density materials can be densified, for example, by methods described in U.S. Pat. No. 7,971,809 to Medoff, the full disclosure of which is hereby incorporated by reference.

**[000254]** In some cases, the pre-treatment processing includes screening of the biomass material. Screening can be through a mesh or perforated plate with a desired opening size, for example, less than about 6.35 mm (1/4 inch, 0.25 inch), (*e.g.*, less than about 3.18 mm (1/8 inch, 0.125 inch), less than about 1.59 mm (1/16 inch, 0.0625 inch), is less than about 0.79 mm (1/32 inch, 0.03125 inch), *e.g.*, less than about 0.51 mm (1/50 inch, 0.02000 inch), less than about 0.40 mm (1/64 inch, 0.015625 inch), less than about 0.23 mm (0.009 inch), less than about 0.20 mm (1/128 inch, 0.0078125 inch), less than about 0.18 mm (0.007 inch), less than about 0.13 mm (0.005 inch), or even less than about 0.10 mm (1/256 inch, 0.00390625 inch)). In one configuration the desired biomass falls through the perforations or screen and thus biomass larger than the perforations or screen are not irradiated. These larger materials can be re-processed, for example, by comminuting, or they can simply be removed from processing. In another configuration material that is larger than the perforations is irradiated and the smaller material is removed by the screening process or recycled. In this kind of a configuration, the conveyor itself (for example, a part of the conveyor) can be perforated or made with a mesh. For example, in one particular embodiment the biomass material may be wet and the perforations or mesh allow water to drain away from the biomass before irradiation.

**[000255]** Screening of material can also be by a manual method, for example, by an operator or mechanoid (*e.g.*, a robot equipped with a color, reflectivity or other sensor) that removes unwanted material. Screening can also be by magnetic screening wherein a magnet is disposed near the conveyed material and the magnetic material is removed magnetically.

**[000256]** Optional pre-treatment processing can include heating the material. For example, a portion of a conveyor conveying the biomass or other material can be sent through a heated zone. The heated zone can be created, for example, by IR radiation, microwaves, combustion (*e.g.*, gas, coal, oil, biomass), resistive heating and/or inductive coils. The heat can be applied from at least one side or more than one side, can be continuous or periodic and can be for only a portion of the material or all the material. For example, a portion of the conveying trough can be heated by use of a heating jacket. Heating can be, for example, for the purpose of drying the material. In the case of drying the material, this can also be facilitated, with or without heating, by the movement of

a gas (*e.g.*, air, oxygen, nitrogen, He, CO<sub>2</sub>, Argon) over and/or through the biomass as it is being conveyed.

**[000257]** Optionally, pre-treatment processing can include cooling the material. Cooling material is described in U.S. Pat. No. 7,900,857 to Medoff, the disclosure of which is incorporated herein by reference. For example, cooling can be by supplying a cooling fluid, for example, water (*e.g.*, with glycerol), or nitrogen (*e.g.*, liquid nitrogen) to the bottom of the conveying trough. Alternatively, a cooling gas, for example, chilled nitrogen can be blown over the biomass materials or under the conveying system.

**[000258]** Another optional pre-treatment processing method can include adding a material to the biomass or other feedstocks. The additional material can be added by, for example, by showering, sprinkling and or pouring the material onto the biomass as it is conveyed. Materials that can be added include, for example, metals, ceramics and/or ions as described in U.S. Pat. App. Pub. 2010/0105119 A1 (filed October 26, 2009) and U.S. Pat. App. Pub. 2010/0159569 A1 (filed December 16, 2009), the entire disclosures of which are incorporated herein by reference. Optional materials that can be added include acids and bases. Other materials that can be added are oxidants (*e.g.*, peroxides, chlorates), polymers, polymerizable monomers (*e.g.*, containing unsaturated bonds), water, catalysts, enzymes and/or organisms. Materials can be added, for example, in pure form, as a solution in a solvent (*e.g.*, water or an organic solvent) and/or as a solution. In some cases the solvent is volatile and can be made to evaporate *e.g.*, by heating and/or blowing gas as previously described. The added material may form a uniform coating on the biomass or be a homogeneous mixture of different components (*e.g.*, biomass and additional material). The added material can modulate the subsequent irradiation step by increasing the efficiency of the irradiation, damping the irradiation or changing the effect of the irradiation (*e.g.*, from electron beams to X-rays or heat). The method may have no impact on the irradiation but may be useful for further downstream processing. The added material may help in conveying the material, for example, by lowering dust levels.

**[000259]** Biomass can be delivered to a conveyor (*e.g.*, vibratory conveyors used in the vaults herein described) by a belt conveyor, a pneumatic conveyor, a screw conveyor, a hopper, a pipe, manually or by a combination of these. The

biomass can, for example, be dropped, poured and/or placed onto the conveyor by any of these methods. In some embodiments the material is delivered to the conveyor using an enclosed material distribution system to help maintain a low oxygen atmosphere and/or control dust and fines. Lofted or air suspended biomass fines and dust are undesirable because these can form an explosion hazard or damage the window foils of an electron gun (if such a device is used for treating the material).

**[000260]** The material can be leveled to form a uniform thickness between about 0.0312 and 5 inches (*e.g.*, between about 0.0625 and 2.000 inches, between about 0.125 and 1 inches, between about 0.125 and 0.5 inches, between about 0.3 and 0.9 inches, between about 0.2 and 0.5 inches between about 0.25 and 1.0 inches, between about 0.25 and 0.5 inches, 0.100 +/- 0.025 inches, 0.150 +/- 0.025 inches, 0.200 +/- 0.025 inches, 0.250 +/- 0.025 inches, 0.300 +/- 0.025 inches, 0.350 +/- 0.025 inches, 0.400 +/- 0.025 inches, 0.450 +/- 0.025 inches, 0.500 +/- 0.025 inches, 0.550 +/- 0.025 inches, 0.600 +/- 0.025 inches, 0.700 +/- 0.025 inches, 0.750 +/- 0.025 inches, 0.800 +/- 0.025 inches, 0.850 +/- 0.025 inches, 0.900 +/- 0.025 inches, 0.900 +/- 0.025 inches).

**[000261]** Generally, it is preferred to convey the material as quickly as possible through the electron beam to maximize throughput. For example, the material can be conveyed at rates of at least 1 ft./min, *e.g.*, at least 2 ft./min, at least 3 ft./min, at least 4 ft./min, at least 5 ft./min, at least 10 ft./min, at least 15 ft./min, 20, 25, 30, 35, 40, 45, 50 ft./min. The rate of conveying is related to the beam current, for example, for a ¼ inch thick biomass and 100 mA, the conveyor can move at about 20 ft./min to provide a useful irradiation dosage, at 50 mA the conveyor can move at about 10 ft./min to provide approximately the same irradiation dosage.

**[000262]** After the biomass material has been conveyed through the radiation zone, optional post-treatment processing can be done. The optional post-treatment processing can, for example, be a process described with respect to the pre-irradiation processing. For example, the biomass can be screened, heated, cooled, and/or combined with bio-additives. Uniquely to post-irradiation, quenching of the radicals can occur, for example, quenching of radicals by the addition of fluids or gases (*e.g.*, oxygen, nitrous oxide, ammonia, liquids), using pressure, heat, and/or the addition of radical scavengers. For example, the

biomass can be conveyed out of the enclosed conveyor and exposed to a gas (*e.g.*, oxygen) where it is quenched, forming carboxylated groups. In one embodiment the biomass is exposed during irradiation to the reactive gas or fluid. Quenching of biomass that has been irradiated is described in U.S. Pat. No. 8,083,906 to Medoff, the entire disclosure of which is incorporated herein by reference.

**[000263]** If desired, one or more mechanical treatments can be used in addition to irradiation to further reduce the recalcitrance of the carbohydrate-containing material. These processes can be applied before, during and or after irradiation.

**[000264]** In some cases, the mechanical treatment may include an initial preparation of the feedstock as received, *e.g.*, size reduction of materials, such as by comminution, *e.g.*, cutting, grinding, shearing, pulverizing or chopping. For example, in some cases, loose feedstock (*e.g.*, recycled paper, starchy materials, or switchgrass) is prepared by shearing or shredding. Mechanical treatment may reduce the bulk density of the carbohydrate-containing material, increase the surface area of the carbohydrate-containing material and/or decrease one or more dimensions of the carbohydrate-containing material.

**[000265]** Alternatively, or in addition, the feedstock material can be treated with another treatment, for example, chemical treatments, such as with an acid (HCl, H<sub>2</sub>SO<sub>4</sub>, H<sub>3</sub>PO<sub>4</sub>), a base (*e.g.*, KOH and NaOH), a chemical oxidant (*e.g.*, peroxides, chlorates, ozone), irradiation, steam explosion, pyrolysis, heat treatment, sonication, oxidation, chemical treatment. The treatments can be in any order and in any sequence and combinations. For example, the feedstock material can first be physically treated by one or more treatment methods, *e.g.*, chemical treatment including and in combination with acid hydrolysis (*e.g.*, utilizing HCl, H<sub>2</sub>SO<sub>4</sub>, H<sub>3</sub>PO<sub>4</sub>), radiation, heat treatment, sonication, oxidation, pyrolysis or steam explosion, and then mechanically treated. This sequence can be advantageous since materials treated by one or more of the other treatments, *e.g.*, irradiation or pyrolysis, tend to be more brittle and, therefore, it may be easier to further change the structure of the material by mechanical treatment. As another example, a feedstock material can be conveyed through ionizing radiation using a conveyor as described herein and then mechanically treated. Chemical treatment can remove some or all of the lignin (for example, chemical pulping) and can partially or completely hydrolyze the material. The methods also can be

used with pre-hydrolyzed material. The methods also can be used with material that has not been pre hydrolyzed. The methods can be used with mixtures of hydrolyzed and non-hydrolyzed materials, for example, with about 50% or more non-hydrolyzed material, with about 60% or more non- hydrolyzed material, with about 70% or more non-hydrolyzed material, with about 80% or more non-hydrolyzed material or even with 90% or more non-hydrolyzed material.

[000266] In addition to size reduction, which can be performed initially and/or later in processing, mechanical treatment can also be advantageous for “opening up,” “stressing,” breaking or shattering the carbohydrate-containing materials, making the cellulose of the materials more susceptible to chain scission and/or disruption of crystalline structure during the physical treatment.

[000267] Methods of mechanically treating the carbohydrate-containing material include, for example, milling or grinding. Milling may be performed using, for example, a hammer mill, ball mill, colloid mill, conical or cone mill, disk mill, edge mill, Wiley mill, grist mill or other mill. Grinding may be performed using, for example, a cutting/impact type grinder. Some exemplary grinders include stone grinders, pin grinders, coffee grinders, and burr grinders. Grinding or milling may be provided, for example, by a reciprocating pin or other element, as is the case in a pin mill. Other mechanical treatment methods include mechanical ripping or tearing, other methods that apply pressure to the fibers, and air attrition milling. Suitable mechanical treatments further include any other technique that continues the disruption of the internal structure of the material that was initiated by the previous processing steps.

[000268] Mechanical feed preparation systems can be configured to produce streams with specific characteristics such as, for example, specific maximum sizes, specific length-to-width, or specific surface areas ratios. Physical preparation can increase the rate of reactions, improve the movement of material on a conveyor, improve the irradiation profile of the material, improve the radiation uniformity of the material, or reduce the processing time required by opening up the materials and making them more accessible to processes and/or reagents, such as reagents in a solution.

[000269] The bulk density of feedstocks can be controlled (*e.g.*, increased). In some situations, it can be desirable to prepare a low bulk density material, *e.g.*, by densifying the material (*e.g.*, densification can make it easier and less costly to

transport to another site) and then reverting the material to a lower bulk density state (*e.g.*, after transport). The material can be densified, for example, from less than about 0.2 g/cc to more than about 0.9 g/cc (*e.g.*, less than about 0.3 to more than about 0.5 g/cc, less than about 0.3 to more than about 0.9 g/cc, less than about 0.5 to more than about 0.9 g/cc, less than about 0.3 to more than about 0.8 g/cc, less than about 0.2 to more than about 0.5 g/cc). For example, the material can be densified by the methods and equipment disclosed in U.S. Pat. No. 7,932,065 to Medoff and International Publication No. WO 2008/073186 (which was filed October 26, 2007, was published in English, and which designated the United States), the full disclosures of which are incorporated herein by reference. Densified materials can be processed by any of the methods described herein, or any material processed by any of the methods described herein can be subsequently densified.

**[000270]** In some embodiments, the material to be processed is in the form of a fibrous material that includes fibers provided by shearing a fiber source. For example, the shearing can be performed with a rotary knife cutter.

**[000271]** For example, a fiber source, *e.g.*, that is recalcitrant or that has had its recalcitrance level reduced, can be sheared, *e.g.*, in a rotary knife cutter, to provide a first fibrous material. The first fibrous material is passed through a first screen, *e.g.*, having an average opening size of 1.59 mm or less (1/16 inch, 0.0625 inch), provide a second fibrous material. If desired, the fiber source can be cut prior to the shearing, *e.g.*, with a shredder. For example, when a paper is used as the fiber source, the paper can be first cut into strips that are, *e.g.*, 1/4- to 1/2-inch wide, using a shredder, *e.g.*, a counter-rotating screw shredder, such as those manufactured by Munson (Utica, N.Y.). As an alternative to shredding, the paper can be reduced in size by cutting to a desired size using a guillotine cutter. For example, the guillotine cutter can be used to cut the paper into sheets that are, *e.g.*, 10 inches wide by 12 inches long.

**[000272]** In some embodiments, the shearing of the fiber source and the passing of the resulting first fibrous material through a first screen are performed concurrently. The shearing and the passing can also be performed in a batch-type process.

**[000273]** For example, a rotary knife cutter can be used to concurrently shear the fiber source and screen the first fibrous material. A rotary knife cutter

includes a hopper that can be loaded with a shredded fiber source prepared by shredding a fiber source.

[000274] In some implementations, the feedstock is physically treated prior to saccharification and/or fermentation. Physical treatment processes can include one or more of any of those described herein, such as mechanical treatment, chemical treatment, irradiation, heat treatment, sonication, oxidation, pyrolysis or steam explosion. Treatment methods can be used in combinations of two, three, four, or even all of these technologies (in any order). When more than one treatment method is used, the methods can be applied at the same time or at different times. Other processes that change a molecular structure of a biomass feedstock may also be used, alone or in combination with the processes disclosed herein.

[000275] Mechanical treatments that may be used, and the characteristics of the mechanically treated carbohydrate-containing materials, are described in further detail in U.S. Pat. App. Pub. 2012/0100577 A1, filed October 18, 2011, the full disclosure of which is hereby incorporated herein by reference.

[000276] The mechanical treatments described herein can also be applied to processing of hydroxyl-carboxylic polymers and hydroxyl-carboxylic polymers based materials.

#### **SONICATION, PYROLYSIS, OXIDATION, STEAM EXPLOSION**

[000277] If desired, one or more sonication, pyrolysis, oxidative, or steam explosion processes can be used instead of or in addition to irradiation to reduce or further reduce the recalcitrance of the carbohydrate-containing material. For example, these processes can be applied before, during and or after irradiation. These processes are described in detail in U.S. Pat. No. 7,932,065 to Medoff, the full disclosure of which is incorporated herein by reference.

## HEAT TREATMENT OF BIOMASS

[000278] Alternately, or in addition to the biomass may be heat treated for up to twelve hours at temperatures ranging from about 90°C to about 160°C. Optionally, this heat treatment step is performed after biomass has been irradiated with an electron beam. The amount of time for the heat treatment is up to 9 hours, alternately up to 6 hours, optionally up to 4 hours and further up to about 2 hours. The treatment time can be up to as little as 30 minutes when the mass may be effectively heated.

[000279] The heat treatment can be performed 90°C to about 160°C or, optionally, at 100 to 150 or, alternatively, at 120 to 140 °C. The biomass is suspended in water such that the biomass content is 10 to 75 wt. % in water. In the case of the biomass being the irradiated biomass water is added and the heat treatment performed.

[000280] The heat treatment is performed in an aqueous suspension or mixture of the biomass. The amount of biomass is 10 to 90 wt. % of the total mixture, alternatively 20 to 70 wt. % or optionally 25 to 50 wt. %. The irradiated biomass may have minimal water content so water must be added prior to the heat treatment.

[000281] Since at temperatures above 100 °C there will be pressure vessel required to accommodate the pressure due to the vaporized of water. The process for the heat treatment may be batch, continuous, semi-continuous or other reactor configurations. The continuous reactor configuration may be a tubular reactor and may include device(s) within the tube which will facilitate heat transfer and mixing/suspension of the biomass. These tubular devices may include a one or more static mixers. The heat may also be put into the system by direct injection of steam.

## USE OF TREATED BIOMASS MATERIAL

[000282] Using the methods described herein, a starting biomass material (*e.g.*, plant biomass, animal biomass, paper, and municipal waste biomass) can be used as feedstock to produce useful intermediates and products such as organic acids, salts of organic acids, hydroxyl-carboxylic acids, (for instance, lactic acid), acid anhydrides, esters of organic acids and fuels, *e.g.*, fuels for internal combustion

engines or feedstocks for fuel cells. Systems and processes are described herein that can use as feedstock cellulosic and/or lignocellulosic materials that are readily available, but often can be difficult to process, *e.g.*, municipal waste streams and waste paper streams, such as streams that include newspaper, kraft paper, corrugated paper or mixtures of these.

[000283] In order to convert the feedstock to a form that can be readily processed, the glucan- or xylan-containing cellulose in the feedstock can be hydrolyzed to low molecular weight carbohydrates, such as sugars, by a saccharifying agent, *e.g.*, an enzyme or acid, a process referred to as saccharification. The low molecular weight carbohydrates can then be used, for example, in an existing manufacturing plant, such as a single cell protein plant, an enzyme manufacturing plant, or a fuel plant, *e.g.*, an ethanol manufacturing facility.

[000284] The feedstock can be hydrolyzed using an enzyme, *e.g.*, by combining the materials and the enzyme in a solvent, *e.g.*, in an aqueous solution.

[000285] Alternatively, the enzymes can be supplied by organisms that break down biomass, such as the cellulose and/or the lignin portions of the biomass, contain or manufacture various cellulolytic enzymes (cellulases), ligninases or various small molecule biomass-degrading metabolites. These enzymes may be a complex of enzymes that act synergistically to degrade crystalline cellulose or the lignin portions of biomass. Examples of cellulolytic enzymes include: endoglucanases, cellobiohydrolases, and cellobiases (beta-glucosidases).

[000286] During saccharification a cellulosic substrate can be initially hydrolyzed by endoglucanases at random locations producing oligomeric intermediates. These intermediates are then substrates for exo-splitting glucanases such as cellobiohydrolase to produce cellobiose from the ends of the cellulose polymer. Cellobiose is a water-soluble 1,4-linked dimer of glucose. Finally, cellobiase cleaves cellobiose to yield glucose. The efficiency (*e.g.*, time to hydrolyze and/or completeness of hydrolysis) of this process depends on the recalcitrance of the cellulosic material.

## LIGNIN DERIVED PRODUCTS

[000287] The spent biomass (*e.g.*, spent lignocellulosic material) from lignocellulosic processing by the methods described are expected to have a high

lignin content and in addition to being useful for producing energy through combustion in a Co-Generation plant, may have uses as other valuable products. The spent biomass can be a by-product from the process of producing the hydroxyl-carboxylic acid monomer. For example, the lignin can be used as captured as a plastic, or it can be synthetically upgraded to other plastics. In some instances, it can also be converted to lignosulfonates, which can be utilized as binders, dispersants, emulsifiers or as sequestrants.

**[000288]** When used as a binder, the lignin or a lignosulfonate can, *e.g.*, be utilized in coal briquettes, in ceramics, for binding carbon black, for binding fertilizers and herbicides, as a dust suppressant, in the making of plywood and particle board, for binding animal feeds, as a binder for fiberglass, as a binder in linoleum paste and as a soil stabilizer.

**[000289]** As a dispersant, the lignin or lignosulfonates can be used, *e.g.*, concrete mixes, clay and ceramics, dyes and pigments, leather tanning and in gypsum board.

**[000290]** As an emulsifier, the lignin or lignosulfonates can be used, *e.g.*, in asphalt, pigments and dyes, pesticides and wax emulsions.

**[000291]** As a sequesterant, the lignin or lignosulfonates can be used, *e.g.*, in micro-nutrient systems, cleaning compounds and water treatment systems, *e.g.*, for boiler and cooling systems.

**[000292]** For energy production lignin generally has a higher energy content than holocellulose (cellulose and hemicellulose) since it contains more carbon than homocellulose. For example, dry lignin can have an energy content of between about 11,000 and 12,500 BTU per pound, compared to 7,000 and 8,000 BTU per pound of holocellulose. As such, lignin can be densified and converted into briquettes and pellets for burning. For example, the lignin can be converted into pellets by any method described herein. For a slower burning pellet or briquette, the lignin can be crosslinked, such as applying a radiation dose of between about 0.5 Mrad and 5 Mrad. Crosslinking can make a slower burning form factor. The form factor, such as a pellet or briquette, can be converted to a "synthetic coal" or charcoal by pyrolyzing in the absence of air, *e.g.*, at between 400 and 950 °C. Prior to pyrolyzing, it can be desirable to crosslink the lignin to maintain structural integrity.

[000293] Co-generation using spent biomass is described in International App. No. PCT/US2014/021634 filed March 7, 2014, the entire disclosure therein is herein incorporated by reference.

[000294] Lignin derived products can also be combined with poly hydroxycarboxylic acid and poly hydroxycarboxylic acid derived products. (*e.g.*, poly hydroxycarboxylic acid that has been produced as described herein). For example, lignin and lignin derived products can be blended, grafted to or otherwise combined and/or mixed with poly hydroxycarboxylic acid. The lignin can, for example, be useful for strengthening, plasticizing or otherwise modifying the poly hydroxycarboxylic acid.

### SACCHARIFICATION

[000295] In order to convert the feedstock to a form that can be readily processed, the glucan- or xylan-containing cellulose in the feedstock can be hydrolyzed to low molecular weight carbohydrates, such as sugars, by a saccharifying agent, *e.g.*, an enzyme or acid, a process referred to as saccharification. The low molecular weight carbohydrates can then be used, for example, in an existing manufacturing plant, such as a single cell protein plant, an enzyme manufacturing plant, or a fuel plant, *e.g.*, an ethanol manufacturing facility.

[000296] The feedstock can be hydrolyzed using an enzyme, *e.g.*, by combining the materials and the enzyme in a solvent, *e.g.*, in an aqueous solution.

[000297] Alternatively, the enzymes can be supplied by organisms that break down biomass, such as the cellulose and/or the lignin portions of the biomass, contain or manufacture various cellulolytic enzymes (cellulases), ligninases or various small molecule biomass-degrading metabolites. These enzymes may be a complex of enzymes that act synergistically to degrade crystalline cellulose or the lignin portions of biomass. Examples of cellulolytic enzymes include: endoglucanases, cellobiohydrolases, and cellobiases (beta-glucosidases).

[000298] During saccharification a cellulosic substrate can be initially hydrolyzed by endoglucanases at random locations producing oligomeric intermediates. These intermediates are then substrates for exo-splitting glucanases such as cellobiohydrolase to produce cellobiose from the ends of the

cellulose polymer. Cellobiose is a water-soluble 1,4-linked dimer of glucose. Finally, cellobiase cleaves cellobiose to yield glucose. The efficiency (*e.g.*, time to hydrolyze and/or completeness of hydrolysis) of this process depends on the recalcitrance of the cellulosic material.

[000299] Therefore, the treated biomass materials can be saccharified, generally by combining the material and a cellulase enzyme in a fluid medium, *e.g.*, an aqueous solution. In some cases, the material is boiled, steeped, or cooked in hot water prior to saccharification, as described in U.S. Pat. App. Pub. 2012/0100577 A1 by Medoff and Masterman, published on April 26, 2012, the entire contents of which are incorporated herein.

[000300] The saccharification may be done by inoculating a raw sugar mixture produced by saccharifying a reduced recalcitrance lignocellulosic material to produce a hydroxy-carboxylic acid. The hydroxy-carboxylic acid can be selected from the group glycolic acid, D-lactic acid, L-lactic acid, D-malic acid, L-malic, citric acid and D-tartaric acid, L-tartaric acid, and meso-tartaric acid. The raw sugar mixture can be the reduced recalcitrance lignocellulosic material which was processed by irradiating the lignocellulosic material with an electron beam.

[000301] The saccharification process can be partially or completely performed in a tank (*e.g.*, a tank having a volume of at least 4000, 40,000, or 500,000 L) in a manufacturing plant, and/or can be partially or completely performed in transit, *e.g.*, in a rail car, tanker truck, or in a supertanker or the hold of a ship. The time required for complete saccharification will depend on the process conditions and the carbohydrate-containing material and enzyme used. If saccharification is performed in a manufacturing plant under controlled conditions, the cellulose may be substantially entirely converted to sugar, *e.g.*, glucose in about 12-96 hours. If saccharification is performed partially or completely in transit, saccharification may take longer.

[000302] It is generally preferred that the tank contents be mixed during saccharification, *e.g.*, using jet mixing as described in International App. No. PCT/US2010/035331, filed May 18, 2010, which was published in English as WO 2010/135380 and designated the United States, the full disclosure of which is incorporated by reference herein.

**[000303]** The addition of surfactants can enhance the rate of saccharification. Examples of surfactants include non-ionic surfactants, such as a Tween® 20 or Tween® 80 polyethylene glycol surfactants, ionic surfactants, or amphoteric surfactants.

**[000304]** It is generally preferred that the concentration of the sugar solution resulting from saccharification be relatively high, *e.g.*, greater than 40%, or greater than 50, 60, 70, 80, 90 or even greater than 95% by weight. Water may be removed, *e.g.*, by evaporation, to increase the concentration of the sugar solution. This reduces the volume to be shipped, and also inhibits microbial growth in the solution.

**[000305]** Alternatively, sugar solutions of lower concentrations may be used, in which case it may be desirable to add an antimicrobial additive, *e.g.*, a broad spectrum antibiotic, in a low concentration, *e.g.*, 50 to 150 ppm. Other suitable antibiotics include amphotericin B, ampicillin, chloramphenicol, ciprofloxacin, gentamicin, hygromycin B, kanamycin, neomycin, penicillin, puromycin, streptomycin. Antibiotics will inhibit growth of microorganisms during transport and storage, and can be used at appropriate concentrations, *e.g.*, between 15 and 1000 ppm by weight, *e.g.*, between 25 and 500 ppm, or between 50 and 150 ppm. If desired, an antibiotic can be included even if the sugar concentration is relatively high. Alternatively, other bio-additives with anti-microbial or preservative properties may be used. Preferably the antimicrobial additive(s) are food-grade.

**[000306]** A relatively high concentration solution can be obtained by limiting the amount of water added to the carbohydrate-containing material with the enzyme. The concentration can be controlled, *e.g.*, by controlling how much saccharification takes place. For example, concentration can be increased by adding more carbohydrate-containing material to the solution. In order to keep the sugar that is being produced in solution, a surfactant can be added, *e.g.*, one of those discussed above. Solubility can also be increased by increasing the temperature of the solution. For example, the solution can be maintained at a temperature of 40-50°C, 60-80°C, or even higher.

## SACCHARIFYING AGENTS

**[000307]** Suitable cellulolytic enzymes include cellulases from species in the genera *Bacillus*, *Coprinus*, *Myceliophthora*, *Cephalosporium*, *Scytalidium*, *Penicillium*, *Aspergillus*, *Pseudomonas*, *Humicola*, *Fusarium*, *Thielavia*, *Acremonium*, *Chrysosporium* and *Trichoderma*, especially those produced by a strain selected from the species *Aspergillus* (see, *e.g.*, EP Pub. No. 0 458 162), *Humicola insolens* (reclassified as *Scytalidium thermophilum*, see, *e.g.*, U.S. Pat. No. 4,435,307), *Coprinus cinereus*, *Fusarium oxysporum*, *Myceliophthora thermophila*, *Meripilus giganteus*, *Thielavia terrestris*, *Acremonium sp.* (including, but not limited to, *A. persicinum*, *A. acremonium*, *A. brachypenium*, *A. dichromosporum*, *A. obclavatum*, *A. pinkertoniae*, *A. roseogriseum*, *A. incoloratum*, and *A. furatum*). Preferred strains include *Humicola insolens* DSM 1800, *Fusarium oxysporum* DSM 2672, *Myceliophthora thermophila* CBS 117.65, *Cephalosporium sp.* RYM-202, *Acremonium sp.* CBS 478.94, *Acremonium sp.* CBS 265.95, *Acremonium persicinum* CBS 169.65, *Acremonium acremonium* AHU 9519, *Cephalosporium sp.* CBS 535.71, *Acremonium brachypenium* CBS 866.73, *Acremonium dichromosporum* CBS 683.73, *Acremonium obclavatum* CBS 311.74, *Acremonium pinkertoniae* CBS 157.70, *Acremonium roseogriseum* CBS 134.56, *Acremonium incoloratum* CBS 146.62, and *Acremonium furatum* CBS 299.70H. Cellulolytic enzymes may also be obtained from *Chrysosporium*, preferably a strain of *Chrysosporium lucknowense*. Additional strains that can be used include, but are not limited to, *Trichoderma* (particularly *T. viride*, *T. reesei*, and *T. koningii*), alkalophilic *Bacillus* (see, for example, U.S. Pat. No. 3,844,890 and EP Pub. No. 0 458 162), and *Streptomyces* (see, *e.g.*, EP Pub. No. 0 458 162).

**[000308]** In addition to or in combination to enzymes, acids, bases and other chemicals (*e.g.*, oxidants) can be utilized to saccharify lignocellulosic and cellulosic materials. These can be used in any combination or sequence (*e.g.*, before, after and/or during addition of an enzyme). For example, strong mineral acids can be utilized (*e.g.* HCl, H<sub>2</sub>SO<sub>4</sub>, H<sub>3</sub>PO<sub>4</sub>) and strong bases (*e.g.*, NaOH, KOH).

**[000309]** In the processes described herein, for example, after saccharification, sugars (*e.g.*, glucose and xylose) can be isolated. For example, sugars can be

isolated by precipitation, crystallization, chromatography (*e.g.*, simulated moving bed chromatography, high pressure chromatography), centrifugation, extraction, any other isolation method known in the art, and combinations thereof.

## FERMENTATION

[000310] Yeast and *Zymomonas* bacteria, for example, can be used for fermentation or conversion of sugar(s) to alcohol(s). Other microorganisms are discussed below. The optimum pH for fermentations is about pH 4 to 7. For example, the optimum pH for yeast is from about pH 4 to 5, while the optimum pH for *Zymomonas* is from about pH 5 to 6. Typical fermentation times are about 24 to 168 hours (*e.g.*, 24 to 96 hrs.) with temperatures in the range of 20°C to 40°C (*e.g.*, 26°C to 40°C), however thermophilic microorganisms prefer higher temperatures.

[000311] In some embodiments, *e.g.*, when anaerobic organisms are used, at least a portion of the fermentation is conducted in the absence of oxygen, *e.g.*, under a blanket of an inert gas such as N<sub>2</sub>, Ar, He, CO<sub>2</sub> or mixtures thereof. Additionally, the mixture may have a constant purge of an inert gas flowing through the tank during part of or all of the fermentation. In some cases, anaerobic condition, can be achieved or maintained by carbon dioxide production during the fermentation and no additional inert gas is needed.

[000312] In some embodiments, all or a portion of the fermentation process can be interrupted before the low molecular weight sugar is completely converted to a product (*e.g.*, ethanol). The intermediate fermentation products include sugar and carbohydrates in high concentrations. The sugars and carbohydrates can be isolated via any means known in the art. These intermediate fermentation products can be used in preparation of food for human or animal consumption. Additionally or alternatively, the intermediate fermentation products can be ground to a fine particle size in a stainless-steel laboratory mill to produce a flour-like substance. Jet mixing may be used during fermentation, and in some cases saccharification and fermentation are performed in the same tank.

[000313] Nutrients for the microorganisms may be added during saccharification and/or fermentation, for example, the food-based nutrient packages described in U.S. Pat. App. Pub. 2012/0052536, filed July 15, 2011, the complete disclosure of which is incorporated herein by reference.

[000314] “Fermentation” includes the methods and products that are disclosed in International App. No. PCT/US2012/071093 filed December 20, 2012 and International App. No. PCT/US2012/071097 filed December 12, 2012, the contents of both of which are incorporated by reference herein in their entirety.

[000315] Mobile fermenters can be utilized, as described in International App. No. PCT/US2007/074028 (which was filed July 20, 2007, was published in English as WO 2008/011598 and designated the United States) and has a U.S. issued Patent No. 8,318,453, the contents of which are incorporated herein in its entirety. Similarly, the saccharification equipment can be mobile. Further, saccharification and/or fermentation may be performed in part or entirely during transit.

## FERMENTATION AGENTS

[000316] The microorganism(s) used in fermentation can be naturally-occurring microorganisms and/or engineered microorganisms. For example, the microorganism can be a bacterium (including, but not limited to, *e.g.*, a cellulolytic bacterium), a fungus, (including, but not limited to, *e.g.*, a yeast), a plant, a protist, *e.g.*, a protozoa or a fungus-like protest (including, but not limited to, *e.g.*, a slime mold), or an alga. When the organisms are compatible, mixtures of organisms can be utilized.

[000317] Suitable fermenting microorganisms have the ability to convert carbohydrates, such as glucose, fructose, xylose, arabinose, mannose, galactose, oligosaccharides or polysaccharides into fermentation products. Fermenting microorganisms include strains of the genus *Saccharomyces* spp. (including, but not limited to, *S. cerevisiae* (baker’s yeast), *S. distaticus*, *S. uvarum*), the genus *Kluyveromyces*, (including, but not limited to, *K. marxianus*, *K. fragilis*), the genus *Candida* (including, but not limited to, *C. pseudotropicalis*, and *C. brassicae*), *Pichia stipitis* (a relative of *Candida shehatae*), the genus *Clavispora* (including, but not limited to, *C. lusitaniae* and *C. opuntiae*), the genus *Pachysolen* (including, but not limited to, *P. tannophilus*), the genus *Bretanomyces* (including, but not limited to, *e.g.*, *B. clausenii* (Philippidis, G. P., 1996, Cellulose bioconversion technology, in Handbook on Bioethanol: Production and Utilization, Wyman, C.E., ed., Taylor & Francis, Washington, DC, 179-212)). Other suitable microorganisms include, for example, *Zymomonas*

*mobilis*, *Clostridium* spp. (including, but not limited to, *C. thermocellum* (Philippidis, 1996, *supra*), *C. saccharobutylaceticum*, *C. tyrobutyricum*, *C. saccharobutylicum*, *C. Puniceum*, *C. beijerinckii*, and *C. acetobutylicum*), *Moniliella* spp. (including but not limited to *M. pollinis*, *M. tomentosa*, *M. madida*, *M. nigrescens*, *M. oedocephali*, *M. megachiliensis*), *Yarrowia lipolytica*, *Aureobasidium* sp., *Trichosporonoides* sp., *Trigonopsis variabilis*, *Trichosporon* sp., *Moniliellaacetoabutans* sp., *Typhula variabilis*, *Candida magnoliae*, *Ustilaginomycetes* sp., *Pseudozyma tsukubaensis*, yeast species of genera *Zygosaccharomyces*, *Debaryomyces*, *Hansenula* and *Pichia*, and fungi of the dematioid genus *Torula* (e.g., *T. corallina*).

**[000318]** Many such microbial strains are publicly available, either commercially or through depositories such as the ATCC (American Type Culture Collection, Manassas, Virginia, USA), the NRRL (Agricultural Research Service Culture Collection, Peoria, Illinois, USA), or the DSMZ (Deutsche Sammlung von Mikroorganismen und Zellkulturen GmbH, Braunschweig, Germany), to name a few.

**[000319]** Commercially available yeasts include, for example, Red Star®/Lesaffre Ethanol Red (available from Red Star/Lesaffre, USA), FALI® (available from Fleischmann's Yeast, a division of Burns Philip Food Inc., USA), SUPERSTART® (available from Alltech, now Lalemand), GERT STRAND® (available from Gert Strand AB, Sweden) and FERMOL® (available from DSM Specialties).

## DISTILLATION

**[000320]** After fermentation, the resulting fluids can be distilled using, for example, a "beer column" to separate ethanol and other alcohols from the majority of water and residual solids. The vapor exiting the beer column can be, e.g., 35% by weight ethanol and can be fed to a rectification column. A mixture of nearly azeotropic (92.5%) ethanol and water from the rectification column can be purified to pure (99.5%) ethanol using vapor-phase molecular sieves. The beer column bottoms can be sent to the first effect of a three-effect evaporator. The rectification column reflux condenser can provide heat for this first effect. After the first effect, solids can be separated using a centrifuge and dried in a rotary dryer. A portion (25%) of the centrifuge effluent can be recycled to

fermentation and the rest sent to the second and third evaporator effects. Most of the evaporator condensate can be returned to the process as fairly clean condensate with a small portion split off to waste water treatment to prevent build-up of low-boiling compounds.

### CONVEYING SYSTEMS

[000321] Various conveying systems can be used to convey the feedstock materials, for example, to a vault and under an electron beam in a vault. Exemplary conveyors are belt conveyors, pneumatic conveyors, screw conveyors, carts, trains, trains or carts on rails, elevators, front loaders, backhoes, cranes, various scrapers and shovels, trucks, and throwing devices can be used. For example, vibratory conveyors can be used in various processes described herein, for example, as disclosed in International App. No. PCT/US2013/064332 filed Oct. 10, 2013, the entire disclosure of which is herein incorporated by reference.

### OTHER EMBODIMENTS

[000322] Any material, processes or processed materials described herein can be used to make products and/or intermediates such as composites, fillers, binders, plastic additives, adsorbents and controlled release agents. The methods can include densification, for example, by applying pressure and heat to the materials. for example, composites can be made by combining fibrous materials with a resin or polymer (*e.g.*, PLA). For example, radiation cross-linkable resin (*e.g.*, a thermoplastic resin, PLA, and/or PLA derivatives) can be combined with a fibrous material to provide a fibrous material/cross-linkable resin combination. Such materials can be, for example, useful as building materials, protective sheets, containers and other structural materials (*e.g.*, molded and/or extruded products). Absorbents can be, for example, in the form of pellets, chips, fibers and/or sheets. Adsorbents can be used, for example, as pet bedding, packaging material or in pollution control systems. Controlled release matrices can also be the form of, for example, pellets, chips, fibers and or sheets. The controlled release matrices can, for example, be used to release drugs, biocides, fragrances. For example, composites, absorbents and control release agents and their uses are described in International Application No. PCT/US2006/010648, filed March 23,

2006, and U.S. Patent No. 8,074,910 filed November 22, 2011, the entire disclosures of which are herein incorporated by reference.

**[000323]** In some instances the biomass material is treated at a first level to reduce recalcitrance, *e.g.*, utilizing accelerated electrons, to selectively release one or more sugars (*e.g.*, xylose). The biomass can then be treated to a second level to release one or more other sugars (*e.g.*, glucose). Optionally the biomass can be dried between treatments. The treatments can include applying chemical and biochemical treatments to release the sugars. For example, a biomass material can be treated to a level of less than about 20 Mrad (*e.g.*, less than about 15 Mrad, less than about 10 Mrad, less than about 5 Mrad, less than about 2 Mrad) and then treated with a solution of sulfuric acid, containing less than 10% sulfuric acid (*e.g.*, less than about 9%, less than about 8%, less than about 7%, less than about 6%, less than about 5%, less than about 4%, less than about 3%, less than about 2%, less than about 1%, less than about 0.75%, less than about 0.50 %, less than about 0.25%) to release xylose. Xylose, for example, that is released into solution, can be separated from solids and optionally the solids washed with a solvent/solution (*e.g.*, with water and/or acidified water).

**[000324]** Optionally, the Solids can be dried, for example, in air and/or under vacuum optionally with heating (*e.g.*, below about 150 ° C, below about 120 ° C) to a water content below about 25 wt. % (below about 20 wt. %, below about 15 wt. %, below about 10 wt. %, below about 5 wt. %). The solids can then be treated with a level of less than about 30 Mrad (*e.g.*, less than about 25 Mrad, less than about 20 Mrad, less than about 15 Mrad, less than about 10 Mrad, less than about 5 Mrad, less than about 1 Mrad or even not at all) and then treated with an enzyme (*e.g.*, a cellulase) to release glucose. The glucose (*e.g.*, glucose in solution) can be separated from the remaining solids. The solids can then be further processed, for example, utilized to make energy or other products (*e.g.*, lignin derived products).

## EXAMPLES

### L- Lactic acid Production from Saccharified Corncob in *Lactobacillus* species.

#### *Material and Methods*

#### Lactic acid producing strains tested:

The Lactic acid producing stains that were tested are listed in Table 2

Table 2: Lactic acid producing strains tested

|            |                                                         |
|------------|---------------------------------------------------------|
| NRRL B-441 | <i>Lactobacillus casei</i>                              |
| NRRL B-445 | <i>Lactobacillus rhamnosus</i>                          |
| NRRL B-763 | <i>Lactobacillus delbrueckii subspecies delbrueckii</i> |
| ATCC 8014  | <i>Lactobacillus plantarum</i>                          |
| ATCC 9649  | <i>Lactobacillus delbrueckii subspecies delbrueckii</i> |
| B-4525     | <i>Lactobacillus delbrueckii subspecies lactis</i>      |
| B-4390     | <i>Lactobacillus corniformis subspecies torquens</i>    |
| B-227      | <i>Lactobacillus pentosus</i>                           |
| B-4527     | <i>Lactobacillus brevis</i>                             |
| ATCC 25745 | <i>Pediococcus pentosaceus</i>                          |
| NRRL 395   | <i>Rhizopus oryzae</i>                                  |
| CBS 112.07 | <i>Rhizopus oryzae</i>                                  |
| CBS 127.08 | <i>Rhizopus oryzae</i>                                  |
| CBS 396.95 | <i>Rhizopus oryzae</i>                                  |

#### Seed Culture

**[000325]** Cells from a frozen (-80 °C) cell bank were cultivated in propagation medium (**BD Difco™ Lactobacilli MRS Broth**) at 37 °C, with 150 rpm stirring for 20 hours. This seed culture was transferred to a 1.2L (or optionally a 20L) bioreactors charged with media as describe below.

### Main Culture Media

[000326] All media included saccharified corncob that had been hammer milled and irradiated with about 35 Mrad of electron beam irradiation. For example, saccharified corn cob can be prepared as described in International App. No. PCT/US2014/021796 filed March 7, 2014, the entire disclosure of which is herein incorporated by reference.

[000327] Experiments with various additional media components were also conducted using *lactobacillus casei* NRRL B-44 as the lactic acid producing organism. A 1.2L bioreactor with 0.7 L of culture volume was used. A 1 % of 20-hour-cultured seed of *lactobacillus casei* NRRL B-441 was inoculated. No aeration was utilized. The temperature was maintained at about 37 °C. Antifoam 204 was also added (0.1%, 1ml/L) at the beginning of the fermentation.

[000328] The experiments are summarized in Table 3. The media components; initial glucose concentration, nitrogen sources, yeast extract concentration, calcium carbonate, metals and inoculum size, were tested for lactic acid yield or lactic acid production rate. In addition to media components, the physical conditions; temperature, agitation, autoclave time and heating (no-autoclave) were tested for lactic acid yield. For these media components and physical reaction conditions the ranges tested, the preferred ranges for producing some lactic acid and the most preferred ranges are indicated in Table 3.

Table 3 L-Lactic acid production in bioreactor with B-441

| Media Component               | Test Parameter            | Range-Tested                                               | Range-                           | Range Optiona  |
|-------------------------------|---------------------------|------------------------------------------------------------|----------------------------------|----------------|
| Initial glucose concentration | Lactic acid concentration | 33-85 g/L                                                  | 33-75 g/L                        | 33 – 52 g/L    |
| Nitrogen Sources Tested       | Lactic acid concentration | Yeast extract, Malt extract, Corn steep, Tryptone, Peptone | Yeast extract, Tryptone, Peptone | Yeast extract  |
| Yeast Extract <sup>c</sup>    | Lactic acid concentration | 0-10 g/L                                                   | 2.5-10 g/L                       | 2.5 g/L        |
| Calcium carbonate             | Lactic acid concentration | 0-7 wt.%/vol.%                                             | 3 – 7 % wt.%/vol.%               | 5 wt.%/vol.%   |
| Metal Solutions               | Lactic acid concentration | With or without metals                                     | With or without metals           | Without metals |

|                                                                                                      |                             |                                  |                                  |                           |
|------------------------------------------------------------------------------------------------------|-----------------------------|----------------------------------|----------------------------------|---------------------------|
| Minor components: sodium acetate polysorbate 80, dipotassium hydrogen phosphate, triammonium citrate | Lactic acid concentration   | With or without minor components | With or without minor components | Without minor components  |
| Inoculum Size                                                                                        | Lactic acid production rate | 0.1-5 vol. %                     | 1 – 5 vol. %                     | 1 vol. %                  |
| <b>Physical Condition</b>                                                                            | <b>Test Parameter</b>       | <b>Range-Tested</b>              | <b>Range-optiona</b>             | <b>Range –alternative</b> |
| Temperature                                                                                          | Lactic acid concentration   | 27-47 ° C                        | 27- 42 ° C                       | 33-37 ° C                 |
| Agitation (in 1.2L reactor)                                                                          | Lactic acid concentration   | 50-400 rpm                       | 50-400 rpm                       | 100-300 rpm               |
| Autoclave Time                                                                                       | Lactic acid concentration   | 25min-145min                     | 25min-145min                     | 25 min                    |
| Heating (no autoclave)                                                                               | Lactic acid concentration   | 50-70° C                         | 50-70° C                         | 50 – 70 ° C               |

<sup>P</sup>Ranges produced a yield of at least 80% based on added sugars.

<sup>b</sup>Optional ranges produced close to 100% lactic acid (*e.g.*, between about 90 and 100%, between about 95 and 100%). <sup>c</sup>Fluka brand yeast extract was used.

[000329] The optional ranges were utilized for subsequent tests and the media is referred to as the optional media and the physical conditions are referred to optional physical conditions herein.

### **Results with saccharified corn cob**

[000330] A 1.2 L bioreactor charged with 0.7 L of media (Saccharified corncob, 2.5 g/L yeast extract). The media and bioreactor vessel were autoclaved for 25 min and no additional heating was used for sterilization. In addition a 20L bioreactor was charged with 10 L of media. For sterilization the media was stirred at 200 rpm while heating at 80 ° C for 10 min. When the media was cool (about 37 ° C) the bioreactors were inoculated with 1 vol. % of 20-hour-culture. The fermentations were conducted under the physical conditions (37 °C, 200 rpm stirring). No aeration was utilized. The pH remained between 5 and 6 using 5% (wt. %/vol throughout the fermentation. The temperature was maintained at about

37 °C. Antifoam 204 was also added (0.1 vol. %) at the beginning of the fermentation. Several *Lactobacillus casei* strains were tested (NRRL B-441, NRRL B-445, NRRL B-763 and ATCC 8014).

[000331] A plot of the sugar consumption and lactic acid production for the NRRL B-441 strain is shown in the 1.2 L bioreactor is shown in FIG. 7. After two days all of the glucose was consumed, while xylose was not consumed. Fructose and cellobiose were also consumed. Lactic acid was produced at a concentration of about 42 g/L. The consumed glucose, fructose and cellobiose (total 42 g/L) were about to same as produced lactic acid.

[000332] Similar data from results of the fermentation using the NRRL B-441 strain in the 20L bioreactor are shown in FIG. 8. Glucose was completely consumed while xylose was not significantly consumed. Lactic acid was produced at a final concentration of about 47-48 g/L.

[000333] Enantiomer analysis is summarized for all strains tested in Table 4. *Lactobacillus casei* (NRRL-B-441) and *L. rhamnosus* (B-445) produced more than 96 % L-lactic acid. *L. delbrueckii sub. Delbrueckii* (B-763) showed over 99% of the D-Lactic acid. The *L. plantarum* (ATCC 8014) showed an approximate equal mixture of each enantiomer.

**Table 4: Ratio of L and D-Lactic Acid for Various Fermenting Organisms**

| Strain                                         | L-Lactic Acid | D-Lactic Acid |
|------------------------------------------------|---------------|---------------|
| <i>L. casei</i> (B-441)                        | 96.1          | 3.9           |
| <i>L. rhamnosus</i> (B-445)                    | 98.3          | 1.7           |
| <i>L. delbrueckii sub. Delbrueckii</i> (B-763) | 0.6           | 99.4          |
| <i>L. plantarum</i> (ATCC 8014)                | 52.8          | 47.2          |

#### **Comparison Example: Polymerization of lactic acid**

[000334] A 250ml three-necked flask was equipped with a mechanical stirrer and a condenser that was connected with a vacuum system through a cold trap. 100 grams of 90 wt.% aqueous L-lactic acid was dehydrated at 150°C, first at atmospheric pressure for 2 hours, then at a reduced pressure of 90mmHg for 2 hours, and finally under a pressure of 20mmHg for another 4 hours. A clear viscous liquid of oligo (L-lactic acid) was formed quantitatively.

[000335] 400mg (0.4 wt. %) of both tin(II) chloride dihydrate and *para*-toluene sulfonic acid was added to the mixture and further heated to 180°C for 5 hours at 8mmHg. With the reaction proceeding, the system became more viscous gradually. The reaction mixture was cooled down and then further heated at 150°C in a vacuum oven another 19 hours.

[000336] Samples were taken from the reaction mixture after 2 hours (A), 5 hours (B) and 24 hours (C) and the molecular weight was calculated using GPC using polystyrene standards in THF. FIG. 9 is a plot of GPC data for samples A, B and C.

|   | Reaction time (hours) | Molecular Weight, $M_n$ | Molecular Weight, $M_w$ | Degree of Polymerization | Retention Time |
|---|-----------------------|-------------------------|-------------------------|--------------------------|----------------|
| A | 2                     | 4430                    | 9630                    | 62                       | 20.3           |
| B | 5                     | 7350                    | 18100                   | 102                      | 19.3           |
| C | 24                    | 18800                   | 37500                   | 261                      | 18.3           |

[000337] Note that after 24 hours the degree of polymerization is ~250 units, far below the polymerization conversion achieved in the inventive process which uses a thin film polymerization/devolatilization device.

[000338] Other than in the examples herein, or unless otherwise expressly specified, all of the numerical ranges, amounts, values and percentages, such as those for amounts of materials, elemental contents, times and temperatures of reaction, ratios of amounts, and others, in the following portion of the specification and attached claims may be read as if prefaced by the word “about” even though the term “about” may not expressly appear with the value, amount, or range. Accordingly, unless indicated to the contrary, the numerical parameters set forth in the following specification and attached claims are approximations that may vary depending upon the desired properties sought to be obtained by the present invention. At the very least, and not as an attempt to limit the application of the doctrine of equivalents to the scope of the claims, each numerical

parameter should at least be construed in light of the number of reported significant digits and by applying ordinary rounding techniques.

**[000339]** Notwithstanding that the numerical ranges and parameters setting forth the broad scope of the invention are approximations, the numerical values set forth in the specific examples are reported as precisely as possible. Any numerical value, however, inherently contains error necessarily resulting from the standard deviation found in its underlying respective testing measurements. Furthermore, when numerical ranges are set forth herein, these ranges are inclusive of the recited range end points (i.e., end points may be used). When percentages by weight are used herein, the numerical values reported are relative to the total weight.

**[000340]** Also, it should be understood that any numerical range recited herein is intended to include all sub-ranges subsumed therein. For example, a range of “1 to 10” is intended to include all sub-ranges between (and including) the recited minimum value of 1 and the recited maximum value of 10, that is, having a minimum value equal to or greater than 1 and a maximum value of equal to or less than 10. The terms “one,” “a,” or “an” as used herein are intended to include “at least one” or “one or more,” unless otherwise indicated.

**[000341]** Any patent, publication, or other disclosure material, in whole or in part, that is said to be incorporated by reference herein is incorporated herein only to the extent that the incorporated material does not conflict with existing definitions, statements, or other disclosure material set forth in this disclosure. As such, and to the extent necessary, the disclosure as explicitly set forth herein supersedes any conflicting material incorporated herein by reference. Any material, or portion thereof, that is said to be incorporated by reference herein, but which conflicts with existing definitions, statements, or other disclosure material set forth herein will only be incorporated to the extent that no conflict arises between that incorporated material and the existing disclosure material.

**[000342]** While this invention has been particularly shown and described with references to most preferred embodiments thereof, it will be understood by those skilled in the art that various changes in form and details may be made therein without departing from the scope of the invention encompassed by the appended claims.

## CLAIMS

1. A method of making a polymer or copolymer, the method comprising:  
  
irradiating a biomass to reduce the recalcitrance of the biomass;  
  
saccharifying the biomass to form a saccharified biomass comprising glucose and xylose;  
  
selectively fermenting the glucose, while the xylose is not consumed, to produce a hydroxy-carboxylic acid;  
  
producing a poly hydroxy-carboxylic acid from the hydroxy-carboxylic acid;  
  
transferring the poly hydroxy-carboxylic acid to a surface of a thin film evaporator;  
  
evaporating water as it is formed during condensation of a hydroxy-carboxylic acid polymer as it traverses the surface of the thin film evaporator.
2. The method of claim 1, where the thin film evaporator comprises a thin film polymerization/devolatilization device.
3. The method of claim 2, where an extruder is in fluid communication with the thin film polymerization/devolatilization device and the effluent of the extruder is the poly hydroxy-carboxylic acid polymer or effluent of the extruder is recycled to the thin film evaporator.
4. The method of claim 3, where the extruder is a twin screw extruder.
5. The method of any one of claims 1-4 wherein the hydroxy-carboxylic acid is selected from the monomer group consisting of glycolic acid, D-lactic acid and/or L-lactic acid, D-malic acid, L-malic acid, citric acid, L-tartaric acid and D-tartaric acid and mixtures thereof.
6. The method of claim 5, wherein the hydroxy-carboxylic acid is D-lactic acid and/or L-lactic acid.
7. The method of any one of claims 1-6, where at least a part of the thin film evaporator operates at a temperature of 100 to 260°C; and/or

where at least a part of the thin film evaporator operates at a pressure of 0.0133 Pa [0.0001 torr] or lower.

8. The method of any one of the claims 2-7, where prior to transferring the poly hydroxy-carboxylic acid to a thin film polymerization/devolatilization device or during operation of the thin film polymerization/ devolatilization device a catalyst deactivator and/or stabilizer agent is added.

9. The method of any one of claims 2-8, where an aliphatic or aromatic dicarboxylic acid and an aliphatic or aromatic diol is added just prior to transferring the poly hydroxy-carboxylic acid to the thin film polymerization/devolatilization device.

10. The method of claim 9, where the mole ratio of the aliphatic or aromatic dicarboxylic acid to the aliphatic or aromatic diol is 0.95:1 to 1.05:1, and/or

where the mole ratio of the sum of the aliphatic or aromatic dicarboxylic acid and the aliphatic or aromatic diol to the hydroxy-carboxylic acid monomer of the poly hydroxy-carboxylic acid is 0.1 or less, or where the mole ratio of the sum of the aliphatic or aromatic dicarboxylic acid and the aliphatic or aromatic diol to the hydroxy-carboxylic acid monomer of the poly hydroxy-carboxylic acid 0.05 or less.

11. The method of any one of the claims 1-10, where a polymerization catalyst is added to the poly hydroxy-carboxylic acid and the polymerization catalyst is selected from the group consisting of protonic acids, such as  $\text{H}_3\text{PO}_4$  and  $\text{H}_2\text{SO}_4$ , methane sulfonic acid, p-toluene sulfonic acid, polymerically supported sulfonic acid, metals, such as Mg, Al, Ti, Zn, Sn, and tin powder, metal oxides, such as  $\text{TiO}_2$ ,  $\text{ZnO}$ ,  $\text{GeO}_2$ ,  $\text{ZrO}_2$ ,  $\text{SnO}$ ,  $\text{SnO}_2$ , and  $\text{Sb}_2\text{O}_3$ , metal halides,  $\text{ZnCl}_2$ ,  $\text{SnCl}_2$ ,  $\text{SnCl}_4$ ,  $\text{Mn}(\text{AcO})_2$ ,  $\text{Fe}_2(\text{LA})_3$ ,  $\text{Co}(\text{AcO})_2$ ,  $\text{Ni}(\text{AcO})_2$ ,  $\text{Cu}(\text{OA})_2$ ,  $\text{Zn}(\text{LA})_2$ ,  $\text{Y}(\text{OA})_3$ ,  $\text{Al}(\text{i-PrO})_3$ ,  $\text{Ti}(\text{BuO})_4$ ,  $\text{TiO}(\text{acac})_2$ ,  $(\text{Bu})_2\text{SnO}$ ,  $\text{Sn}(\text{II})\text{octoate}$ , Li carbonate, Zn diacetate dehydrate, Ti tetraisopropoxide, potassium carbonate, solvates of any of these and mixtures of any of these.

12. The method of any one of claims 2-7, where at least a part of the thin film polymerization/ devolatilization device operates at a pressure of less than 0.133Pa [0.001 torr]; or

where at least a part of the thin film polymerization/ devolatilization device operates at a pressure of less than 1.33 Pa [0.01 torr]; or

where at least a part of the thin film polymerization/ devolatilization device operates at a pressure of less than 13.3 Pa [0.1 torr]; or

where at least a part of the thin film polymerization/ devolatilization device operates at a pressure of less than 133 Pa [1 torr].

13. The method of any one of claims 1-12, further comprising branching or cross linking the poly hydroxy-carboxylic acid.

14. The method of claim 13, wherein a branching or cross linking agent is utilized to cross link the poly hydroxy-carboxylic acid and the cross-linking agent selected from the group consisting of 5,5'-bis(oxepane-2-one)(bis-  $\epsilon$  - caprolactone)), spiro-bis-dimethylene carbonate, peroxides, dicumyl peroxide, benzoyl peroxide, unsaturated alcohols, hydroxyethyl methacrylate, 2-butene-1,4-diol, unsaturated anhydrides, maleic anhydride, saturated epoxides, glycidyl methacrylate, irradiation and combinations of these.

15. The method of any one of claims 1-14, wherein the poly hydroxy-carboxylic acid is blended with a second polymer either when the poly hydroxy-carboxylic acid is transferred to the thin film polymerization/devolatilization device or after the thin film polymerization/devolatilization device.

16. The method of claim 15, wherein the second polymer is selected from the group consisting of polyglycols, polyvinyl acetate, polyolefins, styrenic resins, polyacetals, poly(meth)acrylates, polycarbonate, polybutylene succinate, polyesters, polyurethanes, natural rubber, polybutadiene, neoprene, silicone, other poly hydroxy carboxylic acids, and combinations of these.

17. The method of any one of claims 1-16, comprising combining the poly hydroxy-carboxylic acid with fillers.

18. The method of claim 17, wherein the filler is selected from the group consisting of silicates, layered silicates, polymer and organically modified layered silicate, synthetic mica, carbon, carbon fibers, glass fibers, boric acid,

talc, montmorillonite, clay, starch, corn starch, wheat starch, cellulose fibers, paper, rayon, non-woven fibers, wood flours, whiskers of potassium titanate, whiskers of aluminum borate, 4,4'-thiodiphenol, glycerol and mixtures of these.

19. The method of any one of claims 1-18, further comprising combining the poly hydroxyl-carboxylic acid with a dye or pigment.

20. The method of claim 19, wherein the dye is selected from the group consisting of blue 3, blue 356, brown 1, orange 29, violet 26, violet 93, yellow 42, yellow 54, yellow 82 and combinations of these.

21. The method of any one of claims 1-20, further comprising combining the poly hydroxy-carboxylic acid with a fragrance.

22. The method of claim 21, wherein the fragrance is selected from the group consisting of wood, evergreen, redwood, peppermint, cherry, strawberry, peach, lime, spearmint, cinnamon, anise, basil, bergamot, black pepper, camphor, chamomile, citronella, eucalyptus, pine, fir, geranium, ginger, grapefruit, jasmine, juniper berry, lavender, lemon, mandarin, marjoram, musk, myrrh, orange, patchouli, rose, rosemary, sage, sandalwood, tea tree, thyme, wintergreen, ylang ylang, vanilla, new car or mixtures of these fragrances.

23. The method claim 21 or 22, wherein the fragrances are combined with the poly hydroxy-carboxylic acid in an amount between 0.005 % by weight and 20 % by weight.

24. The method of any one of claims 1-23, wherein the poly hydroxy-carboxylic acid can be blended with a plasticizer.

25. The method of claims 24, wherein plasticizer is selected from the group consisting of triacetin, tributyl citrate, polyethylene glycol, acetic ester of monoglyceride, diethyl bishydroxymethyl malonate and mixtures of these.

26. The method of claim 8, where the stabilizer agent is selected from the group consisting of anhydrides, phosphites, polycarboxylic acids, polyamines, silica, functionalized silica, alumina, aluminosilicates, clays, functionalized clays and mixtures of these.

27. The method of claim 26, where the polycarboxylic acid is a poly methacrylic acid.
28. The method of claim 8 and claims 26-27, comprising removing the deactivated/stabilized catalyst prior to, during or after the thin film polymerization/ devolatilization device.
29. The method of any one of claim 5 and claims 26-28, comprising removing the deactivated/stabilized catalyst by a filtration device.
30. The method of claim 29, where the filtration device is in fluid communication with the thin film polymerization/ devolatilization device.
31. The method of claim 1 or 2, wherein the hydroxy-carboxylic acid is selected from the monomer group consisting of glycolic acid, D-lactic acid and/or L-lactic acid, beta-hydroxy beta-methylbutyric acid, 4-hydroxy-4-methylpentanoic acid, hydroxybutyric acid, 2-hydroxybutyric acid, beta-hydroxybutyric acid, gamma-hydroxybutyric acid 3-hydroxyisobutyric acid, 3-hydroxypentanoic acid, 3-hydroxypropionic acid alpha, beta, gamma or delta-hydroxyvaleric acid and mixtures thereof.