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(21) International Application Number: PC/EP97/02806 (22) International Filing Date: 30 May 1997 (30.05.97) (30) Priority Data: 96109115.4 7 June 1996 (07.06.96) EP (34) Countries for which the regional or international application was filed: DE et al. (71) Applicant: F. HOFFMANN-LA ROCHE AG [CH/CH]; Gren- zacherstrasse 124, CH-4070 Basle (CH). (72) Inventors: DHINGRA, Urvashi, Hooda; 82 Beech Street, Nut- ley, NJ 07110 (US). SHIRAI, Haruyoshi; 5-18-74, Ichi- nomiya Samukawa-cho, Kouza-gun, Kanagawa-ken (JP). TAKEHANA, Yuki; C-101 Kaga-Haitsu, 1001-9, Dai- giri, Fujisawa-shi, Kanagawa-ken (JP). WOVKULICH, Peter, Michael; 124 Rhoda Avenue, Nutley, NJ 07110 (US). YABUKI, Nami; 3-21-20, Takada, Chigasaki-shi, Kanagawa-ken (JP). (74) Agent: GROSSNER, Lutz; Grenzacherstrasse 124, CH-4070 Basle (CH).		(81) Designated States: AU, BR, CA, CN, CZ, HU, IL, JP, KR, MX, NO, NZ, PL, RU, SG, TR, YU, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report.
(54) Title: DIBENZO-OXAZEPINE AND -DIOXEPINE DERIVATIVES AND THEIR USE AS ANTI-TUMOR AGENTS (57) Abstract Compounds of formula (I), wherein R¹ and R² are independently hydrogen, unsubstituted lower alkyl or lower alkyl substituted by lower alkoxy or lower alkyl thio, or acyl which is unsubstituted or substituted by one or more of lower alkyl, lower alkyl substituted by halogen, and lower alkoxy; X is CO or CHO; Y is CO or CH₂; and Z is O or NH, and epimers and enantiomers thereof, or the physiologically usable salts thereof are useful as anti-tumor agents. They can be prepared by fermentation of <i>Aspergillus</i> sp. and, optionally, subsequent modification of functional groups. (1)		