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POLYMERIC RHEOLOGY MODIFIERS ✓

The present invention is related to acrylate-based, associative polymeric rheology modifiers.

Rheology modifiers are used generally to adjust or modify the rheological properties of aqueous compositions. Such properties include, without limitation, viscosity, flow rate, stability to viscosity change over time and the ability to suspend particles in such aqueous compositions. The particular type of modifier used will depend on the particular aqueous composition to be modified and on the particular end-use of that modified aqueous composition.

15 Examples of conventional rheology modifiers include thickeners such as cellulosic derivatives, polyvinyl alcohol, sodium polyacrylate and other water-soluble macromolecules, and copolymeric emulsions in which monomers with acid groups have been introduced onto the main chain.

Another class of rheology modifiers known to thicken aqueous compositions is one typically referred to as associative modifiers. Such associative modifiers are reported in U.S. Patent Nos. 4,743,698, 4,600,761, RE 33,156, 4,792,343, 4,384,096, 3,657,175, 5,102,936 and 5,294,692. As noted, these "alkali- swellable" thickeners become effective upon the addition of base, thereby raising the pH of the thickened composition to alkaline, but the thickeners do not thicken aqueous compositions having acidic pH. These types of thickeners also are believed to be incompatible in systems containing cationic ingredients.

Other rheology modifiers which are "activated" by the addition of acid to aqueous compositions which contain the modifiers also have been reported. As reported, emulsions are prepared via free-radical emulsion polymerization utilizing colloidal stabilizers. The emulsions are mixed with the composition to

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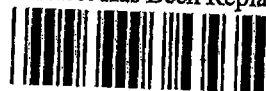
5 be thickened and then acid is added to the mix, thereby lowering the pH of the system to 6.5 to 0.5. These thickeners are reported to be effective at thickening certain acidic aqueous compositions, but are not effective at thickening aqueous compositions having basic pH.

It would be desirable to develop a polymeric rheology modifier which
10 advantageously may be used to thicken both acidic and basic compositions.

The present invention is directed to an acrylate-based polymeric rheology modifier which has been prepared by polymerizing together from about 5 to about 80 weight percent of an acrylate monomer (a) selected from the group consisting of a C₁-C₆ alkyl ester of acrylic acid and a C₁-C₆ alkyl
15 ester of methacrylic acid, from about 5 to about 80 weight percent of a monomer (b) selected from the group consisting of a vinyl-substituted heterocyclic compound containing at least one of a nitrogen or a sulfur atom, (meth)acrylamide, a mono- or di- (C₁-C₄)alkylamino (C₁-C₄)alkyl (meth)acrylate and a mono or di-(C₁-C₄)alkylamino (C₁-C₄)alkyl
20 (meth)acrylamide; and from about 0.1 to about 30 weight percent of an associative monomer (c).

The present invention relates to polymeric rheology modifiers (PRMs) which have been prepared by polymerizing together from about 5 to about 80 weight percent of an acrylate monomer (a) selected from the group consisting
25 of a C₁-C₆ alkyl ester of acrylic acid and a C₁-C₆ alkyl ester of methacrylic acid, from about 5 to about 80 weight percent of a monomer (b) selected from the group consisting of a vinyl-substituted heterocyclic compound containing at least one of a nitrogen or a sulfur atom, (meth)acrylamide, a mono- or di- (C₁-C₄)alkylamino (C₁-C₄)alkyl (meth)acrylate and a mono or di-(C₁-
30 C₄)alkylamino (C₁-C₄)alkyl (meth)acrylamide, and about 0.1 to about 30

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5 weight percent of an associative monomer (c), all percentages based on the total weight of monomer used to prepare the PRM. The PRMs may be used to thicken various compositions, including personal care compositions, adhesives, textile coatings, oil-well drilling fluids and the like.

The PRM may be incorporated into, for example, the personal care
10 composition in various forms, including powder, solution, dispersion and emulsion. Conventional methods of preparing acrylate-based polymers in the various forms are known readily by those skilled in the art of polymerization of acrylate-based polymers. Such methods include solution polymerization, precipitation polymerization and emulsion polymerization, for example. The
15 PRM may be used to thicken aqueous personal care products such as creams and lotions and hair care products such as conditioners, shampoos, hair fixatives, gels, mousses, sprays and dyes.

While use of the PRMs of the present invention particularly is advantageous to thicken aqueous personal care compositions, the PRMs also
20 may be used to thicken those personal care compositions which contain low amounts of water or no water. For instance, in personal care compositions where no water or very little water is present, the PRM may be dissolved or dispersed in solvents in which the PRM is soluble or dispersible and which conventionally are used in personal care compositions, and incorporated into
25 the non-aqueous composition. The PRM may be dissolved or dispersed in the solvent either prior to formulation, in which case a solution or dispersion is added to other ingredients, or the PRM may be added in the form of a solid with other formulation ingredients and the solvent, thereby producing the thickened composition.

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5 The acrylate monomers are selected from the group consisting of esters prepared from acrylic acid and C₁-C₆ alcohols, such as methyl, ethyl or propyl alcohol, and esters prepared from methacrylic acid and C₁-C₆ alcohols. Preferred acrylate monomers comprise C₂-C₆ alkyl esters of acrylic acid. Even more preferred, the acrylate monomer is ethyl acrylate. From about 5 to
10 about 80 weight percent of the acrylate monomer are used in preparing the composition of the present invention, preferably from about 15 to about 70 weight percent, and more preferably, from about 40 to about 70 weight percent of the acrylate monomer are used, all percents based on total weight of monomer used to prepare the polymer.

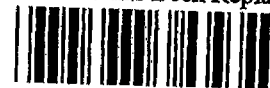
15 In addition to the acrylate ester, polymerized therewith is a monomer selected from the group consisting of a vinyl-substituted heterocyclic compound containing at least one of a nitrogen or a sulfur atom, (meth)acrylamide, a mono- or di- (C₁-C₄)alkylamino (C₁-C₄)alkyl (meth)acrylate, a mono or di-(C₁-C₄)alkylamino (C₁-C₄)alkyl (meth)acrylamide.
20 Exemplary monomers include N,N-dimethylamino ethyl methacrylate (DMAEMA), N,N-diethylamino ethyl acrylate, N,N-diethylamino ethyl methacrylate, N-t-butylamino ethyl acrylate, N-t-butylamino ethyl methacrylate, N,N-dimethylamino propyl acrylamide, N,N-dimethylamino propyl methacrylamide, N,N-diethylamino propyl acrylamide and N,N-
25 diethylamino propyl methacrylamide. From about 5 to about 80 weight percent of the monomer are used in preparing the modifiers of the present invention, preferably from about 10 to about 70 weight percent, and more preferably, from about 20 to about 60 weight percent of the monomer are used, all percents based on total weight of monomer used to prepare the
30 polymer.

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5 An associative monomer is used in amounts ranging from about 0.1 to about 30 weight percent, based on total weight of monomer used to prepare the polymer, in combination with the acrylate monomer and the monomer (b). The associative monomers preferably are used at levels ranging from about 0.1 to about 10 weight percent. Such monomers include
10 those disclosed in U.S. Patent Nos. 3,657,175, 4,384,096, 4,616,074, 4,743,698, 4,792,343, 5,011,978, 5,102,936, 5,294,692, Re. 33,156, the contents of all which are hereby incorporated herein as if set forth in their entirety, and an allyl ether of the formula $\text{CH}_2=\text{CR}'\text{CH}_2\text{OA}_m\text{B}_n\text{A}_p\text{R}$ where R' is hydrogen or methyl, A is propyleneoxy or butyleneoxy, B is ethyleneoxy, n is
15 zero or an integer, m and p are zero or an integer less than n, and R is a hydrophobic group of at least 8 carbon atoms. Preferred associative monomers include the urethane reaction products of a monoethylenically unsaturated isocyanate and non-ionic surfactants comprising $\text{C}_1\text{-C}_4$ alkoxy-terminated, block copolymers of 1,2-butylene oxide and 1,2-ethylene oxide,
20 as disclosed in U.S. Patent 5,294,692 (Barron et al.); an ethylenically unsaturated copolymerizable surfactant monomer obtained by condensing a nonionic surfactant with an α,β -ethylenically unsaturated carboxylic acid or the anhydride thereof, preferably a $\text{C}_3\text{-C}_4$ mono- or di-carboxylic acid or the anhydride thereof, more preferably a carboxylic acid or the anhydride thereof
25 selected from the group consisting of acrylic acid, methacrylic acid, crotonic acid, maleic acid, maleic anhydride, itaconic acid and itaconic anhydride, as disclosed in U.S. Patent 4,616,074 (Ruffner); a surfactant monomer selected from the urea reaction product of a monoethylenically unsaturated monoisocyanate with a nonionic surfactant having amine functionality as
30 disclosed in U.S. Patent 5,011,978 (Barron et al.); and a nonionic urethane

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5 monomer which is the urethane reaction product of a monohydric nonionic
surfactant with a monoethylenically unsaturated monoisocyanate, preferably
one lacking ester groups such as alpha, alpha-dimethyl-m-iso-propenyl
benzyl isocyanate as disclosed in U.S. Re. 33,156 (Shay et al.). Particularly
preferred are the ethylenically unsaturated copolymerizable surfactant
10 monomers obtained by condensing a nonionic surfactant with itaconic acid.
Methods for preparing such monomers are disclosed in detail in the various
patents incorporated herein above.

In addition to the required and preferred monomers discussed above,
monomers which provide cross-linking in the polymer also may be utilized in
15 relatively low amounts, up to about 2 weight percent, based on the total
weight of monomer used to prepare the polymer. When used, the cross-
linking monomers preferably are used at levels of from about 0.1 to about 1
weight percent. Cross-linking monomers include multi-vinyl-substituted
aromatic monomers, multi-vinyl-substituted alicyclic monomers, di-functional
20 esters of phthalic acid, di-functional esters of methacrylic acid, multi-functional
esters of acrylic acid, N-methylene-bis-acrylamide and multi-vinyl-substituted
aliphatic monomers such as dienes, trienes, and tetraenes. Exemplary cross-
linking monomers include divinylbenzene, trivinylbenzene, 1,2,4-
trivinylcyclohexane, 1,5-hexadiene, 1,5,9-decatriene, 1,9-decadiene, 1,5-
25 heptadiene, di-allyl phthalate, ethylene glycol dimethacrylate, polyethylene
glycol dimethacrylate, penta- and tetra-acrylates, triallyl pentaerythritol,
octaallyl sucrose, cycloparaffins, cycloolefins and N-methylene-bis-
acrylamide. The polyethylene glycol dimethacrylates are particularly
preferred for thickening in acid aqueous compositions, as they tend to
30 minimize turbidity.

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5 Preferred PRMs in emulsion form are prepared by forming an emulsion
utilizing single-stage emulsion polymerization techniques. Monomer, water,
free-radical initiator, surfactant in amounts effective to disperse the polymer in
the water upon polymerization of the monomers, and from about 0.5 to about 20
weight percent of an alcohol selected from the group consisting of a C₂-C₁₂
10 linear or branched monohydric alcohol and a non-polymeric polyhydric
alcohol, such as ethylene glycol, propylene glycol and glycerol, based on total
weight of the emulsion, are combined in a polymerization reactor and
maintained at a desired temperature and for a period of time which are
effective to polymerize the monomers, thereby forming a polymeric emulsion
15 comprising the copolymer of monomers (a) and (b), water, surfactant and
alcohol.

The contents of the polymerization vessel preferably are maintained
at a temperature and for a period of time effective to cause polymerization of
the monomers. Preferably the polymerization reaction is initiated at about 30
20 degrees centigrade, with the contents of the polymerization vessel attaining a
temperature of about 60 degrees centigrade. The reaction time will be from
about 1 to about 6 hours. One skilled in the art of emulsion polymerization will
be able to ascertain readily exactly what conditions of temperature and time
are required, as both are well within the knowledge of one skilled in the art.

25 Preferably, from about 1 to about 10 weight percent of the alcohol are
used and, more preferably, from about 1 to about 5 weight percent of the
alcohol are used, based on the total weight of the emulsion. If no alcohol, or
an insufficient amounts of the alcohol, is used in preparing the emulsion, the
resultant emulsion will not be stable to change in viscosity over time. It is
30 desirable to minimize the level of alcohol used. The maximum amount of

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5 alcohol used may be limited practically by factors such as cost, flammability and volatile organic compound environmental concerns. Other than those factors, amounts of alcohol in excess of 20 weight percent conceivably may used.

Where stable emulsions are required, it is essential that polymeric
10 colloidal stabilizers such as polyvinyl alcohol not be used during preparation of the emulsion via emulsion polymerization in any amount which materially alters the properties of the emulsion, particularly the emulsion stability. Preferably, no polymeric colloidal stabilizer is used during emulsion preparation. It was discovered surprisingly that use of such polymeric
15 colloidal stabilizers results in emulsions which are not stable to changes in viscosity or phase separation over time. Accordingly, the emulsions and rheology modifiers comprising the emulsions essentially are free and more preferably are free of polymeric colloidal stabilizers.

In water-based personal care compositions, the water is a vehicle for
20 application to some part of the body of some cosmetically-active agent (CAA) that will have a cosmetic effect of some type, whether such effect is a softening or cleansing or strengthening or body enhancing effect. The personal care compositions can also include combinations of CAAs of various types, coloring agents, fragrances, preservatives, and the like.

25 The polymeric rheology modifier may be incorporated into the personal care composition at the time the composition is formulated, or the PRM may be post-added to an already-formulated personal care composition. When incorporated during preparation of the personal care composition, the PRM may be combined with water or other solvent in which the PRM either is soluble or
30 dispersible, the CAA and other ingredients as needed and/or desired, for

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5 example emulsifiers. When used in the form of a stable emulsion, the emulsion
may be combined with the CAA and other ingredients upon formulation, or the
emulsion may be post-added and blended with compositions which have been
formulated previously.

10 Preferably, as little of the PRM as possible is used in preparing the
personal care compositions of the present invention, with the minimum amount
allowed being an amount effective to thicken the personal care composition.
The amount of PRM required to effectively thicken the personal care
composition will depend upon the particular polymer and particular personal
care composition. Typically, the thickened personal care composition will
15 contain from about 0.1 to about 10 dry weight percent of the PRM, based on the
total weight of the thickened personal care composition. Preferably, the
thickened personal care composition will contain from about 0.5 to about 5 dry
weight percent of the PRM.

20 The PRMs meet a number of needs of personal care product
formulators, such as compatibility with cationic ingredients, thickening
efficiency, clarity in gels, pH versatility (i.e., ability to thicken over wide acidic-
alkaline pH range), and tolerance to salts. A number of examples detailing
personal care compositions making use of the PRMs of the present invention
have been evaluated and are summarized below. These examples include
25 both hair care and skin care applications, as the PRMs appear to offer
benefits in both areas.

The following examples are set forth to exemplify the invention and
should not be used to limit the scope of the invention, which scope is set forth
in the claims appended hereto.

30 Two PRMs of the present invention were prepared via the emulsion

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5 polymerization methods described herein above. These PRMs are designated PRM 1B and 1C, respectively. The monomeric composition for each PRM is set forth in Table 1.

Table 1

<u>monomer</u> ⁽¹⁾	<u>1B</u>	<u>1C</u>
ethyl acrylate	57	60
dimethylaminoethyl methacrylate	38	37
associative monomer	5 ⁽²⁾	3 ⁽³⁾

10 (1) = All values are in weight percent, based on total weight of monomer used to prepare the PRM

(2) = ceteth-20 itaconate

(3) = ceteth-20 allyl ether

The thickening efficiency of each PRM was evaluated by measuring
15 viscosity build in prototype personal care formulations. Viscosity was measured using a Brookfield RVF heliopath viscometer at 10 rpm. All viscosity values are reported in units of centipoise (cps). Initial viscosity measurements were taken after the personal care compositions were formulated and allowed to equilibrate under ambient temperature and
20 pressure for 24 hours. Eight and/or seven day viscosities were measured 8 or 7 days, respectively, after the initial viscosity was measured. In all cases, viscosities of the formulations containing the PRM were compared to viscosities of a control which contained no PRM. The formulations evaluated are detailed in Formulations 1 through 9, below. Evaluations also were
25 conducted on model systems to determine stability to bleach, e.g., peroxide. Peroxide stability was determined by boiling a 1% solids, 6% H₂O₂ solution

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- 5 (pH adjusted to 3 using H_3PO_4) for a total of 20 hours. Results are reported as % H_2O_2 retained.

Results and Discussion

The PRMs are useful in a broad range of personal care products.

- 10 The examples shown here highlight their effectiveness at building viscosity in diverse formulations, including cationic alpha hydroxy acid (AHA) creams, antiperspirant lotions, hair conditioners, specialty shampoos, hair and skin gels, and hair dyes. All PRMs evaluated were effective at raising the viscosity of personal care prototypes in hair and skin care systems.

15

AHA creams

Performance of the PRMs was evaluated in the AHA cream set forth in Formulation 1. This emulsion is low in pH and contains cationic surfactants. The PRMs surprisingly exhibit both cationic compatibility and

- 20 acid thickening. Results are set forth in Table 2.

Table 2

PRM	pH	Initial viscosity (cps)	Day 8 viscosity (cps)	% of Day 8 control viscosity
1B	2.2	37,000	28,563	384
none ⁽¹⁾	2.1	10,344	7,438	100
1C	2.6	24,625	26,625	428
none ⁽²⁾	2.4	5,570	9,563	100

- 25 (1) and (2); PRM 1B was tested against one control, while PRM 1C was tested at a later time against a second, but similar, control independently of PRM 1B.

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- 5 As clearly is seen, the addition of only 0.5% solids PRM is effective at raising the viscosity of a cationic AHA cream in comparison to a cream without the PRM.

Antiperspirant lotion

- 10 Performance of each PRM was evaluated in the aqueous antiperspirant lotion set forth in Formulation 2. This low pH emulsion contains a high level of electrolyte as aluminum chlorohydrate. Therefore, the PRM exhibits salt tolerance as well as low pH viscosity build. Results are set forth in Table 3.

15

Table 3

PRM	pH	Day 7 viscosity (cps)	% of Day 7 control viscosity
1B	4.1	20,650	529
none ⁽¹⁾	4.0	3,900	100
1C	4.3	3,325	N/A
none ⁽²⁾	4.1	phase separation	N/A

- (1) and (2); PRM 1B was tested against one control, while PRM 1C was tested at a later time against a second, but similar, control independently of PRM 1B. Control⁽²⁾ formed an unstable emulsion, i.e. there was phase separation, which prevented determination of a viscosity for the control formulation.
- 20

- As is clearly seen, only 1.0% solids of the associative PRM is effective at significantly raising the viscosity of an aqueous, high salt antiperspirant lotion in comparison to a product without the PRM.
- 25

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5 Hair conditioner

PRM 1B was evaluated in the rinse-off hair conditioner set forth in Formulation 3. This formulation contains a high loading of both monomeric and polymeric conditioning agents. The unexpected, excellent viscosity build shown in Table 4 exhibits the surprising ability of the PRM to build viscosity of aqueous, cationic-rich formulations at a 2% solids level.

Table 4

PRM	pH	initial viscosity (cps)	% of control viscosity
1B	4.3	18,488	6,163
none	4.4	300	100

15 Shampoos

PRM was formulated into the conditioning shampoo prototype set forth in Formulation 4. As is seen in Table 5, PRM 1B was effective at building viscosity of the shampoo after mixing. Thus, the PRM is shown to be capable of boosting viscosity of highly concentrated surfactant systems.

20

Table 5

PRM	pH	initial viscosity	% of control viscosity
1B	6.0	54,000	1,278
none	6.0	4,225	100

Additional work was performed to demonstrate the ability of the PRMs to build viscosity of highly concentrated surfactant systems. The PRMs were post-added as a 20% polymer solids aqueous emulsion to three commercial shampoos which were purchased and evaluated. The shampoos include a mild baby shampoo available from Johnson & Johnson, Rave® moisturizing

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5 shampoo, available from Chesebrough-Pond's USA Company, and Prell® shampoo, available from Procter and Gamble. The ingredients in these products, as reported on the label, are listed in Formulations 5, 6 and 7. While the exact levels of use of the particular ingredients contained in the
 10 such ingredients used by those skilled in the art are conventional and known by those skilled in the art of formulating personal care compositions. As is seen in the results reported in Table 6, the PRMs are effective at building viscosity in all cases.

15

Table 6

formulation	formulation pH	PRM	Initial viscosity (cps)	% of control viscosity
Johnson's	7.8	1B	21,469	2,863
Johnson's	7.9	1C	17,375	2,317
Johnson's	6.6	none	750	100
Rave	8.9	1B	26,344	10,538
Rave	8.9	1C	17,938	7,175
Rave	5.66	none	250	100
Prell	7.9	1B	30,844	1,371
Prell	8.0	1C	18,781	835
Prell	6.6	none	2,250	100

Gels

Clarity is an important attribute for many hair and skin care gels.

20 Using existing thickener technology, low pH lotions or conditioning gels with adequate viscosity typically cannot be made clear because commercial clear thickeners are incompatible with the low pH and/or cationics, and emulsions are necessary. PRMs 1B and 1C were used to thicken two clear AHA gels, as set forth in Formulation 9, one of which contains an additional conditioner

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- 5 (polyquaternium-4) and one of which does not. The viscosity results, which are shown in Table 7, demonstrate that the PRMs efficiently build viscosity of clear gels.

Table 7

formulation	PRM	initial viscosity (cps)
+ conditioner	1B	23,344
- conditioner	1B	24,594
control ⁽¹⁾	none	<100
+ conditioner	1C	21,063
- conditioner	1C	20,594
control ⁽²⁾	none	< 250

- 10 (1) and (2); PRMs 1B and 1C were tested independent of one another against separate, but similar controls.

Hair Dyes

- 15 Hair dye systems typically are highly alkaline during use. The PRM is found to be effective at building the viscosity of a two component permanent hair dye after the two components are blended. This is true both when the polymer is delivered in the acidic developer or in the alkaline dye base. The results set forth in Table 8 clearly show PRM 1B is effective at boosting the
- 20 viscosity of the Nice 'n Easy ® commercial hair dye product available from Clairol Inc., the composition of which is set forth in Formulation 9.

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Table 8

PRM	Delivery	pH of blend	viscosity after blending
1B	polymer from developer	9.7	5,200
1B	polymer from dye base	9.7	4,713
none ⁽¹⁾		9.7	2,900
1C	polymer from developer	9.6	4,500
1C	polymer from dye base	9.6	3,250
none ⁽²⁾		9.6	1,900

(1) and (2;) PRMs 1B and 1C were tested independent of one another against separate controls.

10

Peroxide stability

Compatibility with hydrogen peroxide can be an important attribute in certain personal care applications, such as hair dyes. A standard screening test for peroxide compatibility is a 20 hour boil test. Peroxide concentration is measured before and after a 20 hour boil regime. Retention of more than 92% of the initial peroxide concentration is usually indicative of a product which will exhibit satisfactory shelf stability. PRM 1B was evaluated in such a test at 1% solids using 6% hydrogen peroxide, with the pH adjusted to 3 with H₃PO₄. The results are summarized in Table 9.

20

Table 9

PRM	% peroxide retained
1B	99

These results show that the PRM exhibits excellent peroxide compatibility.

The PRMs appear to show much promise in personal care applications. They build viscosity in the presence of such common personal care ingredients as cationics, acids, bases, salts and surfactants. Viscosities

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5 of such diverse hair and skin formulations as creams, lotions, antiperspirants, hair conditioners, specialty shampoos, mousses, hair and skin gels, and hair dyes are enhanced by relatively low concentrations of these polymers. Furthermore, behaviors of interest for personal care, such as pseudoplastic flow, are evident with these polymers.

10

Formulation 1

Cationic AHA Lotion

	<u>ingredient</u>	<u>wt. %</u>
15	PRM	0.50 (solids)
	propylene glycol	2.00
	Na ₄ EDTA (39%)	0.25
	octyl methoxycinnamate	4.00
	lapyrium chloride	0.50
20	steapyrium chloride	0.50
	cetearyl alcohol	2.00
	glyceryl stearate/glycerin	3.00
	cyclomethicone	4.00
	dimethicone	1.00
25	isopropyl myristate	2.00
	glycolic acid (70%)	4.29
	water	to 100%

Formulation 2

30

Aqueous Antiperspirant Lotion

	<u>ingredient</u>	<u>wt. %</u>
	PRM	1.00 (solids)
	propylene glycol	4.00
35	aluminum chlorohydrate (50%)	42.00
	glyceryl stearate/PEG-100 stearate	3.00
	cetearyl alcohol	0.75
	glyceryl stearate/glycerine	1.50
	cyclomethicone	2.00
40	water	to 100%

Formulation 3

Rinse-off Hair Conditioner

45

	<u>ingredient</u>	<u>wt. %</u>
	PRM	2.00 (solids)
	polyquaternium-10	0.50
	glycerin	2.00
50	laneth-15	1.00
	cetearyl alcohol	2.50

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5	mineral oil	2.00
	cetyl acetate/acetylated lanolin alcohol	1.00
	cetrimonium chloride (25%)	4.00
	citric acid (20%)	to pH 4
	water	to 100%

10

Formulation 4

Conditioning Shampoo

15	<u>ingredient</u>	<u>wt. %</u>
	PRM	2.00 (solids)
	polyquaternium-10	0.75
	sodium lauryl sulfate (29%)	17.00
	sodium laureth sulfate (26%)	13.00
20	cocamidopropyl betaine (35%)	2.50
	cocamide DEA	4.50
	ethylene glycol distearate	1.25
	steareth-20	0.30
	dimethicone	3.00
25	citric acid	to pH 6
	water	to 100%

Formulation 5

30

Johnson & Johnson Baby Shampoo

35	<u>ingredient</u>	<u>wt. %</u>
	PRM	2.50 (solids)
	Water	
	PEG-80 sorbitan laurate	
	Cocamidopropyl betaine	
	Sodium trideceth sulfate	
40	Glycerin	
	Lauroamphoglycinate	
	PEG-150 distearate	
	Sodium laureth-13 carboxylate	
	Fragrance	
45	Polyquaternium-10	
	Tetrasodium EDTA	
	Quaternium-15	
	Citric acid	
	D&C yellow #10	
50	D&C orange #4	

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Formulation 6
Rave® Moisturizing Shampoo

	<u>ingredient</u>	<u>wt. %</u>
	PRM	2.50 (solids)
10	Water	
	Sodium lauryl sulfate	
	Cocamidopropyl betaine	
	Sodium chloride	
	Polyquaternium-10	
15	Glycerin	
	Polyquaternium-7	
	Oleth-3 phosphate	
	Fragrance	
	BHT	
20	Tetrasodium EDTA	
	DMDM hydantoin	
	Iodopropynyl butyl carbamate	
	Red 33	
25	Yellow 5	

Formulation 7

Prell® Shampoo

30

	<u>ingredient</u>	<u>wt. %</u>
	PRM	2.50 (solids)
	Water	
	Ammonium laureth sulfate	
35	Ammonium lauryl sulfate	
	Cocamide DEA	
	Ammonium xylenesulfonate	
	Sodium phosphate	
	Fragrance	
40	Disodium phosphate	
	Sodium chloride	
	EDTA	
	Benzophenone-2	
	Methylchloroisothiazolinone	
45	Methylisothiazolinone	
	D&C Green No. 8	
	FD&C Blue No. 1	

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Formulation 8

Conditioning Gel

	<u>ingredient</u>	<u>wt. %</u>	<u>wt. %</u>	<u>wt. %</u>
10	glycolic acid (70%)	4.29	4.29	4.29
	PRM	3.00	3.00	---
	polyquaternium-4	0.50	---	---
	water	to 100%	to 100%	
	to 100%			

15

Formulation 9

Nice 'n Easy® Dye Ingredients
Natural Dark Brown #120

20

<u>ingredient</u>	<u>wt. %</u>
PRM	3.0 (solids)

25

Dye base

water

oleic acid

propylene glycol

isopropyl alcohol

30

nonoxynol-2

nonoxynol-4

ethoxydiglycol

ammonium hydroxide

cocamide DEA

35

PEG-8 hydrogenated tallow amine

sulfated castor oil

sodium sulfite

erythorbic acid

fragrance

40

EDTA

resorcinol

p-phenylenediamine

1-naphthol

N,N-bis(2-hydroxyethyl)-p-phenylenediamine sulfate

45

Developer

water

hydrogen peroxide

nonoxynol-9

50

nonoxynol-4

phosphoric acid

cetyl alcohol

stearyl alcohol

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5 **What is claimed:**

1. A polymeric rheology modifier, characterized in that the polymeric rheology modifier is prepared by polymerization of monomers selected from the group consisting of

- 10 from 5 to 80 weight percent of an acrylate monomer
 (a) selected from the group consisting of a C₁-C₆ alkyl ester of acrylic acid and a C₁-C₆ alkyl ester of methacrylic acid,
 from 5 to 80 weight percent of a monomer (b)
 selected from the group consisting of a vinyl-substituted
15 heterocyclic compound containing at least one of a nitrogen
 or sulfur atom, (meth)acrylamide, a mono- or di- (C₁-C₄)alkylamino (C₁-C₄)alkyl (meth)acrylate, a mono or di-(C₁-C₄)alkylamino (C₁-C₄)alkyl (meth)acrylamide; and
 from 0.1 to 30 weight percent of an associative monomer (c),
20 all percentages based on the total weight of monomer used to prepare the polymeric rheology modifier.

2. The polymeric rheology modifier of Claim 1 wherein said associative
 monomer (c) is selected from the group consisting of urethane reaction
25 products of a monoethylenically unsaturated isocyanate and non-ionic
 surfactants comprising C₁-C₄ alkoxy-terminated, block copolymers of 1,2-butylene oxide and 1,2-ethylene oxide, an ethylenically unsaturated copolymerizable surfactant monomer obtained by condensing a nonionic surfactant with an α,β -ethylenically unsaturated carboxylic acid or the
30 anhydride thereof, a surfactant monomer selected from the urea reaction

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5 product of a monoethylenically unsaturated monoisocyanate with a nonionic
surfactant having amine functionality, an allyl ether of the formula
 $\text{CH}_2=\text{CR}'\text{CH}_2\text{OA}_m\text{B}_n\text{A}_p\text{R}$ where R' is hydrogen or methyl, A is propyleneoxy or
butyleneoxy, B is ethyleneoxy, n is zero or an integer, m and p are zero or an
integer less than n, and R is a hydrophobic group of at least 8 carbon atoms,
10 and a nonionic urethane monomer which is the urethane reaction product of a
monohydric nonionic surfactant with a monoethylenically unsaturated
isocyanate.

3. The polymeric rheology modifier of Claim 1 prepared with from 0.1 to
15 10 weight percent of said associative monomer (c).

4. The polymeric rheology modifier of Claim 1 wherein the monomer (b)
is selected from the group consisting of N,N-dimethylamino ethyl
methacrylate, N,N-diethylamino ethyl acrylate, N,N-diethylamino ethyl
20 methacrylate, N-t-butylamino ethyl acrylate, N-t-butylamino ethyl
methacrylate, N,N-dimethylamino propyl acrylamide, N,N-dimethylamino
propyl methacrylamide, N,N-diethylamino propyl acrylamide and N,N-
diethylamino propyl methacrylamide.

25 5. The polymeric rheology modifier of Claim 5 wherein said associative
monomer (c) is selected from the group consisting of urethane reaction
products of a monoethylenically unsaturated isocyanate and non-ionic
surfactants comprising C₁-C₄ alkoxy-terminated, block copolymers of 1,2-
butylene oxide and 1,2-ethylene oxide, an ethylenically unsaturated
30 copolymerizable surfactant monomer obtained by condensing a nonionic

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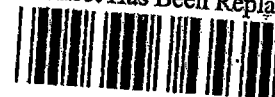




- 5 surfactant with an α,β -ethylenically unsaturated carboxylic acid or the anhydride thereof, a surfactant monomer selected from the urea reaction product of a monoethylenically unsaturated monoisocyanate with a nonionic surfactant having amine functionality, an allyl ether of the formula $\text{CH}_2=\text{CR}'\text{CH}_2\text{OA}_m\text{B}_n\text{A}_p\text{R}$ where R' is hydrogen or methyl, A is propyleneoxy or butyleneoxy, B is ethyleneoxy, n is zero or an integer, m and p are zero or an integer less than n, and R is a hydrophobic group of at least 8 carbon atoms, and a nonionic urethane monomer which is the urethane reaction product of a monohydric nonionic surfactant with a monoethylenically unsaturated isocyanate.
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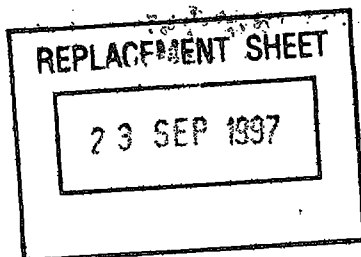
ABSTRACT OF THE INVENTION

The present invention relates to acrylate-based, associative polymeric rheology modifier prepared by polymerization of a C_1 - C_6 alkyl ester of acrylic acid and/or a C_1 - C_6 alkyl ester of methacrylic acid, a monomer chosen from a vinyl-substituted heterocyclic compound containing at least one of a nitrogen or sulfur atom, (meth)acrylamide, a mono- or di- (C_1 - C_4)alkylamino (C_1 - C_4)alkyl (meth)acrylate, a mono or di-(C_1 - C_4)alkylamino (C_1 - C_4)alkyl (meth)acrylamide, and an associative monomer.

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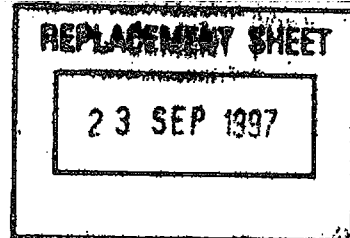
POLYMERIC RHEOLOGY MODIFIERS

The present invention is related to acrylate-based, associative polymeric rheology modifiers.

Rheology modifiers are used generally to adjust or modify the rheological properties of aqueous compositions. Such properties include, without limitation, viscosity, flow rate, stability to viscosity change over time and the ability to suspend particles in such aqueous compositions. The particular type of modifier used will depend on the particular aqueous composition to be modified and on the particular end-use of that modified aqueous composition. Examples of conventional rheology modifiers include thickeners such as cellulosic derivatives, polyvinyl alcohol, sodium polyacrylate and other water-soluble macromolecules, and copolymeric emulsions in which monomers with acid groups have been introduced onto the main chain.

Another class of rheology modifiers known to thicken aqueous compositions is one typically referred to as associative modifiers. Such associative modifiers are reported in U.S. Patent Nos. 4,743,698, 4,600,761, RE 33,156, 4,792,343, 4,384,096, 3,657,175, 5,102,936 and 5,294,692. As noted, these "alkali-swellable" thickeners become effective upon the addition of base, thereby raising the pH of the thickened composition to alkaline, but the thickeners do not thicken aqueous compositions having acidic pH. These types of thickeners also are believed to be incompatible in systems containing cationic ingredients.

Other rheology modifiers which are "activated" by the addition of acid to aqueous compositions which contain the modifiers also have been reported. As reported, emulsions are prepared via free-radical emulsion polymerization utilizing colloidal stabilizers. The emulsions are mixed with the composition to



be thickened and then acid is added to the mix, thereby lowering the pH of the system to 6.5 to 0.5. These thickeners are reported to be effective at thickening certain acidic aqueous compositions, but are not effective at thickening aqueous compositions having basic pH.

It would be desirable to develop a polymeric rheology modifier which advantageously may be used to thicken both acidic and basic compositions.

The present invention is directed to an acrylate-based polymeric rheology modifier which has been prepared by polymerizing together from about 5 to about 80 weight percent of an acrylate monomer (a) selected from the group consisting of a C_1 - C_6 alkyl ester of acrylic acid and a C_1 - C_6 alkyl ester of methacrylic acid, from about 5 to about 80 weight percent of a monomer (b) selected from the group consisting of a vinyl-substituted heterocyclic compound containing at least one of a nitrogen or a sulfur atom, (meth)acrylamide, a mono- or di- (C_1 - C_4)alkylamino (C_1 - C_4)alkyl (meth)acrylate and a mono or di- (C_1 - C_4)alkylamino (C_1 - C_4)alkyl (meth)acrylamide; and from about 0.1 to about 30 weight percent of an associative monomer (c).

The present invention relates to polymeric rheology modifiers (PRMs) which have been prepared by polymerizing together from about 5 to about 80 weight percent of an acrylate monomer (a) selected from the group consisting of a C_1 - C_6 alkyl ester of acrylic acid and a C_1 - C_6 alkyl ester of methacrylic acid, from about 5 to about 80 weight percent of a monomer (b) selected from the group consisting of a vinyl-substituted heterocyclic compound containing at least one of a nitrogen or a sulfur atom, (meth)acrylamide, a mono- or di- (C_1 - C_4)alkylamino (C_1 - C_4)alkyl (meth)acrylate and a mono or di- (C_1 - C_4)alkylamino (C_1 - C_4)alkyl (meth)acrylamide, and about 0.1 to about 30

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weight percent of an associative monomer (c), all percentages based on the total weight of monomer used to prepare the PRM. The PRMs may be used to thicken various compositions, including personal care compositions, adhesives, textile coatings, oil-well drilling fluids and the like.

The PRM may be incorporated into, for example, the personal care composition in various forms, including powder, solution, dispersion and emulsion. Conventional methods of preparing acrylate-based polymers in the various forms are known readily by those skilled in the art of polymerization of acrylate-based polymers. Such methods include solution polymerization, precipitation polymerization and emulsion polymerization, for example. The PRM may be used to thicken aqueous personal care products such as creams and lotions and hair care products such as conditioners, shampoos, hair fixatives, gels, mousses, sprays and dyes.

While use of the PRMs of the present invention particularly is advantageous to thicken aqueous personal care compositions, the PRMs also may be used to thicken those personal care compositions which contain low amounts of water or no water. For instance, in personal care compositions where no water or very little water is present, the PRM may be dissolved or dispersed in solvents in which the PRM is soluble or dispersible and which conventionally are used in personal care compositions, and incorporated into the non-aqueous composition. The PRM may be dissolved or dispersed in the solvent either prior to formulation, in which case a solution or dispersion is added to other ingredients, or the PRM may be added in the form of a solid with other formulation ingredients and the solvent, thereby producing the thickened composition.

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The acrylate monomers are selected from the group consisting of esters prepared from acrylic acid and C₁-C₆ alcohols, such as methyl, ethyl or propyl alcohol, and esters prepared from methacrylic acid and C₁-C₆ alcohols. Preferred acrylate monomers comprise C₂-C₆ alkyl esters of acrylic acid. Even more preferred, the acrylate monomer is ethyl acrylate. From about 5 to about 80 weight percent of the acrylate monomer are used in preparing the composition of the present invention, preferably from about 15 to about 70 weight percent, and more preferably, from about 40 to about 70 weight percent of the acrylate monomer are used, all percents based on total weight of monomer used to prepare the polymer.

In addition to the acrylate ester, polymerized therewith is a monomer selected from the group consisting of a vinyl-substituted heterocyclic compound containing at least one of a nitrogen or a sulfur atom, (meth)acrylamide, a mono- or di- (C₁-C₄)alkylamino (C₁-C₄)alkyl (meth)acrylate, a mono or di-(C₁-C₄)alkylamino (C₁-C₄)alkyl (meth)acrylamide. Exemplary monomers include N,N-dimethylamino ethyl methacrylate (DMAEMA), N,N-diethylamino ethyl acrylate, N,N-diethylamino ethyl methacrylate, N-t-butylamino ethyl acrylate, N-t-butylamino ethyl methacrylate, N,N-dimethylamino propyl acrylamide, N,N-dimethylamino propyl methacrylamide, N,N-diethylamino propyl acrylamide and N,N-diethylamino propyl methacrylamide. From about 5 to about 80 weight percent of the monomer are used in preparing the modifiers of the present invention, preferably from about 10 to about 70 weight percent, and more preferably, from about 20 to about 60 weight percent of the monomer are used, all percents based on total weight of monomer used to prepare the polymer.

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An associative monomer is used in amounts ranging from about 0.1 to about 30 weight percent, based on total weight of monomer used to prepare the polymer, in combination with the acrylate monomer and the monomer (b). The associative monomers preferably are used at levels ranging from about 0.1 to about 10 weight percent. Such monomers include those disclosed in U.S. Patent Nos. 3,657,175, 4,384,096, 4,616,074, 4,743,698, 4,792,343, 5,011,978, 5,102,936, 5,294,692, Re. 33,156, the contents of all which are hereby incorporated herein as if set forth in their entirety, and an allyl ether of the formula $\text{CH}_2=\text{CR}'\text{CH}_2\text{OA}_m\text{B}_n\text{A}_p\text{R}$ where R' is hydrogen or methyl, A is propyleneoxy or butyleneoxy, B is ethyleneoxy, n is zero or an integer, m and p are zero or an integer less than n, and R is a hydrophobic group of at least 8 carbon atoms. Preferred associative monomers include the urethane reaction products of a monoethylenically unsaturated isocyanate and non-ionic surfactants comprising C_1 - C_4 alkoxy-terminated, block copolymers of 1,2-butylene oxide and 1,2-ethylene oxide, as disclosed in U.S. Patent 5,294,692 (Barron et al.); an ethylenically unsaturated copolymerizable surfactant monomer obtained by condensing a nonionic surfactant with an α,β -ethylenically unsaturated carboxylic acid or the anhydride thereof, preferably a C_3 - C_4 mono- or di-carboxylic acid or the anhydride thereof, more preferably a carboxylic acid or the anhydride thereof selected from the group consisting of acrylic acid, methacrylic acid, crotonic acid, maleic acid, maleic anhydride, itaconic acid and itaconic anhydride, as disclosed in U.S. Patent 4,616,074 (Ruffner); a surfactant monomer selected from the urea reaction product of a monoethylenically unsaturated monoisocyanate with a nonionic surfactant having amine functionality as disclosed in U.S. Patent 5,011,978 (Barron et al.); and a nonionic urethane

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monomer which is the urethane reaction product of a monohydric nonionic surfactant with a monoethylenically unsaturated monoisocyanate, preferably one lacking ester groups such as alpha, alpha-dimethyl-m-iso-propenyl benzyl isocyanate as disclosed in U.S. Re. 33,156 (Shay et al.). Particularly preferred are the ethylenically unsaturated copolymerizable surfactant monomers obtained by condensing a nonionic surfactant with itaconic acid. Methods for preparing such monomers are disclosed in detail in the various patents incorporated herein above.

In addition to the required and preferred monomers discussed above, monomers which provide cross-linking in the polymer also may be utilized in relatively low amounts, up to about 2 weight percent, based on the total weight of monomer used to prepare the polymer. When used, the cross-linking monomers preferably are used at levels of from about 0.1 to about 1 weight percent. Cross-linking monomers include multi-vinyl-substituted aromatic monomers, multi-vinyl-substituted alicyclic monomers, di-functional esters of phthalic acid, di-functional esters of methacrylic acid, multi-functional esters of acrylic acid, N-methylene-bis-acrylamide and multi-vinyl-substituted aliphatic monomers such as dienes, trienes, and tetraenes. Exemplary cross-linking monomers include divinylbenzene, trivinylbenzene, 1,2,4-trivinylcyclohexane, 1,5-hexadiene, 1,5,9-decatriene, 1,9-decadiene, 1,5-heptadiene, di-allyl phthalate, ethylene glycol dimethacrylate, polyethylene glycol dimethacrylate, penta- and tetra-acrylates, triallyl pentaerythritol, octaallyl sucrose, cycloparaffins, cycloolefins and N-methylene-bis-acrylamide. The polyethylene glycol dimethacrylates are particularly preferred for thickening in acid aqueous compositions, as they tend to minimize turbidity.

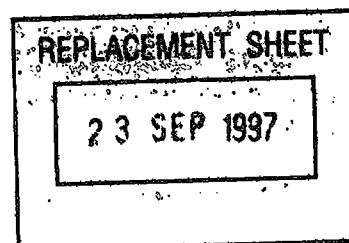
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Preferred PRMs in emulsion form are prepared by forming an emulsion utilizing single-stage emulsion polymerization techniques. Monomer, water, free-radical initiator, surfactant in amounts effective to disperse the polymer in the water upon polymerization of the monomers, and from about 0.5 to about 20 weight percent of an alcohol selected from the group consisting of a C_2 - C_{12} linear or branched monohydric alcohol and a non-polymeric polyhydric alcohol, such as ethylene glycol, propylene glycol and glycerol, based on total weight of the emulsion, are combined in a polymerization reactor and maintained at a desired temperature and for a period of time which are effective to polymerize the monomers, thereby forming a polymeric emulsion comprising the copolymer of monomers (a) and (b), water, surfactant and alcohol.

The contents of the polymerization vessel preferably are maintained at a temperature and for a period of time effective to cause polymerization of the monomers. Preferably the polymerization reaction is initiated at about 30 degrees centigrade, with the contents of the polymerization vessel attaining a temperature of about 60 degrees centigrade. The reaction time will be from about 1 to about 6 hours. One skilled in the art of emulsion polymerization will be able to ascertain readily exactly what conditions of temperature and time are required, as both are well within the knowledge of one skilled in the art.

Preferably, from about 1 to about 10 weight percent of the alcohol are used and, more preferably, from about 1 to about 5 weight percent of the alcohol are used, based on the total weight of the emulsion. If no alcohol, or an insufficient amounts of the alcohol, is used in preparing the emulsion, the resultant emulsion will not be stable to change in viscosity over time. It is desirable to minimize the level of alcohol used. The maximum amount of

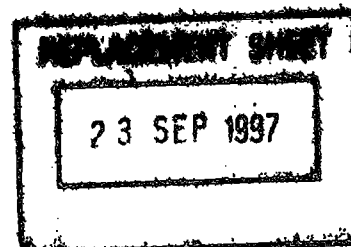


alcohol used may be limited practically by factors such as cost, flammability and volatile organic compound environmental concerns. Other than those factors, amounts of alcohol in excess of 20 weight percent conceivably may be used.

Where stable emulsions are required, it is essential that polymeric colloidal stabilizers such as polyvinyl alcohol not be used during preparation of the emulsion via emulsion polymerization in any amount which materially alters the properties of the emulsion, particularly the emulsion stability. Preferably, no polymeric colloidal stabilizer is used during emulsion preparation. It was discovered surprisingly that use of such polymeric colloidal stabilizers results in emulsions which are not stable to changes in viscosity or phase separation over time. Accordingly, the emulsions and rheology modifiers comprising the emulsions essentially are free and more preferably are free of polymeric colloidal stabilizers.

In water-based personal care compositions, the water is a vehicle for application to some part of the body of some cosmetically-active agent (CAA) that will have a cosmetic effect of some type, whether such effect is a softening or cleansing or strengthening or body enhancing effect. The personal care compositions can also include combinations of CAAs of various types, coloring agents, fragrances, preservatives, and the like.

The polymeric rheology modifier may be incorporated into the personal care composition at the time the composition is formulated, or the PRM may be post-added to an already-formulated personal care composition. When incorporated during preparation of the personal care composition, the PRM may be combined with water or other solvent in which the PRM either is soluble or dispersible, the CAA and other ingredients as needed and/or desired, for



example emulsifiers. When used in the form of a stable emulsion, the emulsion may be combined with the CAA and other ingredients upon formulation, or the emulsion may be post-added and blended with compositions which have been formulated previously.

Preferably, as little of the PRM as possible is used in preparing the personal care compositions of the present invention, with the minimum amount allowed being an amount effective to thicken the personal care composition. The amount of PRM required to effectively thicken the personal care composition will depend upon the particular polymer and particular personal care composition. Typically, the thickened personal care composition will contain from about 0.1 to about 10 dry weight percent of the PRM, based on the total weight of the thickened personal care composition. Preferably, the thickened personal care composition will contain from about 0.5 to about 5 dry weight percent of the PRM.

The PRMs meet a number of needs of personal care product formulators, such as compatibility with cationic ingredients, thickening efficiency, clarity in gels, pH versatility (i.e., ability to thicken over wide acidic-alkaline pH range), and tolerance to salts. A number of examples detailing personal care compositions making use of the PRMs of the present invention have been evaluated and are summarized below. These examples include both hair care and skin care applications, as the PRMs appear to offer benefits in both areas.

The following examples are set forth to exemplify the invention and should not be used to limit the scope of the invention, which scope is set forth in the claims appended hereto.

Two PRMs of the present invention were prepared via the emulsion

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polymerization methods described herein above. These PRMs are designated PRM 1B and 1C, respectively. The monomeric composition for each PRM is set forth in Table 1.

Table 1

<u>monomer</u> ⁽¹⁾	<u>1B</u>	<u>1C</u>
ethyl acrylate	57	60
dimethylaminoethyl methacrylate	38	37
associative monomer	5 ⁽²⁾	3 ⁽³⁾

(1) = All values are in weight percent, based on total weight of monomer used to prepare the PRM

(2) = ceteth-20 itaconate

(3) = ceteth-20 allyl ether

The thickening efficiency of each PRM was evaluated by measuring viscosity build in prototype personal care formulations. Viscosity was measured using a Brookfield RVF heliopath viscometer at 10 rpm. All viscosity values are reported in units of centipoise (cps). Initial viscosity measurements were taken after the personal care compositions were formulated and allowed to equilibrate under ambient temperature and pressure for 24 hours. Eight and/or seven day viscosities were measured 8 or 7 days, respectively, after the initial viscosity was measured. In all cases, viscosities of the formulations containing the PRM were compared to viscosities of a control which contained no PRM. The formulations evaluated are detailed in Formulations 1 through 9, below. Evaluations also were conducted on model systems to determine stability to bleach, e.g., peroxide. Peroxide stability was determined by boiling a 1% solids, 6% H₂O₂ solution

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(pH adjusted to 3 using H_3PO_4) for a total of 20 hours. Results are reported as % H_2O_2 retained.

Results and Discussion

The PRMs are useful in a broad range of personal care products. The examples shown here highlight their effectiveness at building viscosity in diverse formulations, including cationic alpha hydroxy acid (AHA) creams, antiperspirant lotions, hair conditioners, specialty shampoos, hair and skin gels, and hair dyes. All PRMs evaluated were effective at raising the viscosity of personal care prototypes in hair and skin care systems.

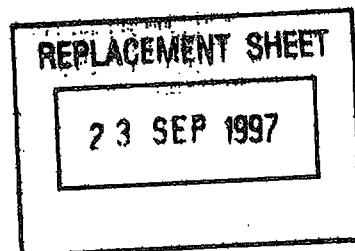
AHA creams

Performance of the PRMs was evaluated in the AHA cream set forth in Formulation 1. This emulsion is low in pH and contains cationic surfactants. The PRMs surprisingly exhibit both cationic compatibility and acid thickening. Results are set forth in Table 2.

Table 2

PRM	pH	Initial viscosity (cps)	Day 8 viscosity (cps)	% of Day 8 control viscosity
1B	2.2	37,000	28,563	384
none ⁽¹⁾	2.1	10,344	7,438	100
1C	2.6	24,625	26,625	428
none ⁽²⁾	2.4	5,570	9,563	100

(1) and (2); PRM 1B was tested against one control, while PRM 1C was tested at a later time against a second, but similar, control independently of PRM 1B.



As clearly is seen, the addition of only 0.5% solids PRM is effective at raising the viscosity of a cationic AHA cream in comparison to a cream without the PRM.

Antiperspirant lotion

Performance of each PRM was evaluated in the aqueous antiperspirant lotion set forth in Formulation 2. This low pH emulsion contains a high level of electrolyte as aluminum chlorohydrate. Therefore, the PRM exhibits salt tolerance as well as low pH viscosity build. Results are set forth in Table 3.

Table 3

PRM	pH	Day 7 viscosity (cps)	% of Day 7 control viscosity
1B	4.1	20,650	529
none ⁽¹⁾	4.0	3,900	100
1C	4.3	3,325	N/A
none ⁽²⁾	4.1	phase separation	N/A

(1) and (2); PRM 1B was tested against one control, while PRM 1C was tested at a later time against a second, but similar, control independently of PRM 1B. Control⁽²⁾ formed an unstable emulsion, i.e. there was phase separation, which prevented determination of a viscosity for the control formulation.

As is clearly seen, only 1.0% solids of the associative PRM is effective at significantly raising the viscosity of an aqueous, high salt antiperspirant lotion in comparison to a product without the PRM.

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Hair conditioner

PRM 1B was evaluated in the rinse-off hair conditioner set forth in Formulation 3. This formulation contains a high loading of both monomeric and polymeric conditioning agents. The unexpected, excellent viscosity build shown in Table 4 exhibits the surprising ability of the PRM to build viscosity of aqueous, cationic-rich formulations at a 2% solids level.

Table 4

PRM	pH	initial viscosity (cps)	% of control viscosity
1B	4.3	18,488	6,163
none	4.4	300	100

Shampoos

PRM was formulated into the conditioning shampoo prototype set forth in Formulation 4. As is seen in Table 5, PRM 1B was effective at building viscosity of the shampoo after mixing. Thus, the PRM is shown to be capable of boosting viscosity of highly concentrated surfactant systems.

Table 5

PRM	pH	initial viscosity	% of control viscosity
1B	6.0	54,000	1,278
none	6.0	4,225	100

Additional work was performed to demonstrate the ability of the PRMs to build viscosity of highly concentrated surfactant systems. The PRMs were post-added as a 20% polymer solids aqueous emulsion to three commercial shampoos which were purchased and evaluated. The shampoos include a mild baby shampoo available from Johnson & Johnson, Rave® moisturizing

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shampoo, available from Chesebrough-Pond's USA Company, and Prell® shampoo, available from Procter and Gamble. The ingredients in these products, as reported on the label, are listed in Formulations 5, 6 and 7. While the exact levels of use of the particular ingredients contained in the respective commercial shampoos may be proprietary, ranges of the levels of such ingredients used by those skilled in the art are conventional and known by those skilled in the art of formulating personal care compositions. As is seen in the results reported in Table 6, the PRMs are effective at building viscosity in all cases.

Table 6

formulation	formulation pH	PRM	initial viscosity (cps)	% of control viscosity
Johnson's	7.8	1B	21,469	2,863
Johnson's	7.9	1C	17,375	2,317
Johnson's	6.6	none	750	100
Rave	8.9	1B	26,344	10,538
Rave	8.9	1C	17,938	7,175
Rave	5.66	none	250	100
Prell	7.9	1B	30,844	1,371
Prell	8.0	1C	18,781	835
Prell	6.6	none	2,250	100

Gels

Clarity is an important attribute for many hair and skin care gels. Using existing thickener technology, low pH lotions or conditioning gels with adequate viscosity typically cannot be made clear because commercial clear thickeners are incompatible with the low pH and/or cationics, and emulsions are necessary. PRMs 1B and 1C were used to thicken two clear AHA gels, as set forth in Formulation 9, one of which contains an additional conditioner

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(polyquaternium-4) and one of which does not. The viscosity results, which are shown in Table 7, demonstrate that the PRMs efficiently build viscosity of clear gels.

Table 7

formulation	PRM	initial viscosity (cps)
+ conditioner	1B	23,344
- conditioner	1B	24,594
control ⁽¹⁾	none	<100
+ conditioner	1C	21,063
- conditioner	1C	20,594
control ⁽²⁾	none	< 250

(1) and (2); PRMs 1B and 1C were tested independent of one another against separate, but similar controls.

Hair Dyes

Hair dye systems typically are highly alkaline during use. The PRM is found to be effective at building the viscosity of a two component permanent hair dye after the two components are blended. This is true both when the polymer is delivered in the acidic developer or in the alkaline dye base. The results set forth in Table 8 clearly show PRM 1B is effective at boosting the viscosity of the Nice 'n Easy ® commercial hair dye product available from Clairol Inc., the composition of which is set forth in Formulation 9.

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Table 8

PRM	Delivery	pH of blend	viscosity after blending
1B	polymer from developer	9.7	5,200
1B	polymer from dye base	9.7	4,713
none ⁽¹⁾		9.7	2,900
1C	polymer from developer	9.6	4,500
1C	polymer from dye base	9.6	3,250
none ⁽²⁾		9.6	1,900

(1) and (2;) PRMs 1B and 1C were tested independent of one another against separate controls.

Peroxide stability

Compatibility with hydrogen peroxide can be an important attribute in certain personal care applications, such as hair dyes. A standard screening test for peroxide compatibility is a 20 hour boil test. Peroxide concentration is measured before and after a 20 hour boil regime. Retention of more than 92% of the initial peroxide concentration is usually indicative of a product which will exhibit satisfactory shelf stability. PRM 1B was evaluated in such a test at 1% solids using 6% hydrogen peroxide, with the pH adjusted to 3 with H_3PO_4 . The results are summarized in Table 9.

Table 9

PRM	% peroxide retained
1B	99

These results show that the PRM exhibits excellent peroxide compatibility.

The PRMs appear to show much promise in personal care applications. They build viscosity in the presence of such common personal care ingredients as cationics, acids, bases, salts and surfactants. Viscosities

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of such diverse hair and skin formulations as creams, lotions, antiperspirants, hair conditioners, specialty shampoos, mousses, hair and skin gels, and hair dyes are enhanced by relatively low concentrations of these polymers. Furthermore, behaviors of interest for personal care, such as pseudoplastic flow, are evident with these polymers.

Formulation 1

Cationic AHA Lotion

<u>ingredient</u>	<u>wt. %</u>
PRM	0.50 (solids)
propylene glycol	2.00
Na ₄ EDTA (39%)	0.25
octyl methoxycinnamate	4.00
lapyrium chloride	0.50
steapyrium chloride	0.50
cetearyl alcohol	2.00
glyceryl stearate/glycerin	3.00
cyclomethicone	4.00
dimethicone	1.00
isopropyl myristate	2.00
glycolic acid (70%)	4.29
water	to 100%

Formulation 2

Aqueous Antiperspirant Lotion

<u>ingredient</u>	<u>wt. %</u>
PRM	1.00 (solids)
propylene glycol	4.00
aluminum chlorohydrate (50%)	42.00
glyceryl stearate/PEG-100 stearate	3.00
cetearyl alcohol	0.75
glyceryl stearate/glycerine	1.50
cyclomethicone	2.00
water	to 100%

Formulation 3

Rinse-off Hair Conditioner

<u>ingredient</u>	<u>wt. %</u>
PRM	2.00 (solids)
polyquaternium-10	0.50
glycerin	2.00
laneth-15	1.00
cetearyl alcohol	2.50

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mineral oil	2.00
cetyl acetate/acetylated lanolin alcohol	1.00
cetrimonium chloride (25%)	4.00
citric acid (20%)	to pH 4
water	to 100%

Formulation 4

Conditioning Shampoo

<u>ingredient</u>	<u>wt. %</u>
PRM	2.00 (solids)
polyquaternium-10	0.75
sodium lauryl sulfate (29%)	17.00
sodium laureth sulfate (26%)	13.00
cocamidopropyl betaine (35%)	2.50
cocamide DEA	4.50
ethylene glycol distearate	1.25
steareth-20	0.30
dimethicone	3.00
citric acid	to pH 6
water	to 100%

Formulation 5

Johnson & Johnson Baby Shampoo

<u>ingredient</u>	<u>wt. %</u>
PRM	2.50 (solids)
Water	
PEG-80 sorbitan laurate	
Cocamidopropyl betaine	
Sodium trideceth sulfate	
Glycerin	
Lauroamphoglycinate	
PEG-150 distearate	
Sodium laureth-13 carboxylate	
Fragrance	
Polyquaternium-10	
Tetrasodium EDTA	
Quaternium-15	
Citric acid	
D&C yellow #10	
D&C orange #4	

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Rave® Moisturizing Shampoo

<u>ingredient</u>	<u>wt. %</u>
PRM	2.50 (solids)
Water	
Sodium lauryl sulfate	
Cocamidopropyl betaine	
Sodium chloride	
Polyquaternium-10	
Glycerin	
Polyquaternium-7	
Oleth-3 phosphate	
Fragrance	
BHT	
Tetrasodium EDTA	
DMDM hydantoin	
Iodopropynyl butyl carbamate	
Red 33	
Yellow 5	

Formulation 7

Prell® Shampoo

<u>ingredient</u>	<u>wt. %</u>
PRM	2.50 (solids)
Water	
Ammonium laureth sulfate	
Ammonium lauryl sulfate	
Cocamide DEA	
Ammonium xylenesulfonate	
Sodium phosphate	
Fragrance	
Disodium phosphate	
Sodium chloride	
EDTA	
Benzophenone-2	
Methylchloroisothiazolinone	
Methylisothiazolinone	
D&C Green No. 8	
FD&C Blue No.1	

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Formulation 8

Conditioning Gel

<u>ingredient</u>	<u>wt. %</u>	<u>wt. %</u>	<u>wt. %</u>
glycolic acid (70%)	4.29	4.29	4.29
PRM	3.00	3.00	---
polyquaternium-4	0.50	---	---
water	to 100%	to 100%	
to 100%			

Formulation 9

Nice 'n Easy® Dye Ingredients
Natural Dark Brown #120

<u>ingredient</u>	<u>wt. %</u>
PRM	3.0 (solids)

Dye base

water
oleic acid
propylene glycol
isopropyl alcohol
nonoxynol-2
nonoxynol-4
ethoxydiglycol
ammonium hydroxide
cocamide DEA
PEG-8 hydrogenated tallow amine
sulfated castor oil
sodium sulfite
erythorbic acid
fragrance
EDTA
resorcinol
p-phenylenediamine
1-naphthol
N,N-bis(2-hydroxyethyl)-p-phenylenediamine sulfate

Developer

water
hydrogen peroxide
nonoxynol-9
nonoxynol-4
phosphoric acid
cetyl alcohol
stearyl alcohol



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What is claimed:

1. A polymeric rheology modifier, characterized in that the polymeric rheology modifier is prepared by polymerization of monomers selected from the group consisting of

from 5 to 80 weight percent of an acrylate monomer

(a) selected from the group consisting of a C₁-C₆ alkyl ester of acrylic acid and a C₁-C₆ alkyl ester of methacrylic acid,

from 5 to 80 weight percent of a monomer (b)

selected from the group consisting of a vinyl-substituted heterocyclic compound containing at least one of a nitrogen or sulfur atom, (meth)acrylamide, a mono- or di-(C₁-C₄)alkylamino (C₁-C₄)alkyl (meth)acrylate, a mono or di-(C₁-C₄)alkylamino (C₁-C₄)alkyl (meth)acrylamide; and

from 0.1 to 30 weight percent of an associative monomer (c),

all percentages based on the total weight of monomer used to prepare the polymeric rheology modifier.

2. The polymeric rheology modifier of Claim 1 wherein said associative monomer (c) is selected from the group consisting of urethane reaction products of a monoethylenically unsaturated isocyanate and non-ionic surfactants comprising C₁-C₄ alkoxy-terminated, block copolymers of 1,2-butylene oxide and 1,2-ethylene oxide, an ethylenically unsaturated copolymerizable surfactant monomer obtained by condensing a nonionic surfactant with an α,β -ethylenically unsaturated carboxylic acid or the anhydride thereof, a surfactant monomer selected from the urea reaction



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product of a monoethylenically unsaturated monoisocyanate with a nonionic surfactant having amine functionality, an allyl ether of the formula $\text{CH}_2=\text{CR}'\text{CH}_2\text{OA}_m\text{B}_n\text{A}_p\text{R}$ where R' is hydrogen or methyl, A is propyleneoxy or butyleneoxy, B is ethyleneoxy, n is zero or an integer, m and p are zero or an integer less than n, and R is a hydrophobic group of at least 8 carbon atoms, and a nonionic urethane monomer which is the urethane reaction product of a monohydric nonionic surfactant with a monoethylenically unsaturated isocyanate.

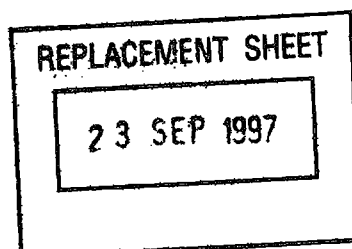
3. The polymeric rheology modifier of Claim 1 prepared with from 0.1 to 10 weight percent of said associative monomer (c).

4. The polymeric rheology modifier of Claim 1 wherein the monomer (b) is selected from the group consisting of N,N-dimethylamino ethyl methacrylate, N,N-diethylamino ethyl acrylate, N,N-diethylamino ethyl methacrylate, N-t-butylamino ethyl acrylate, N-t-butylamino ethyl methacrylate, N,N-dimethylamino propyl acrylamide, N,N-dimethylamino propyl methacrylamide, N,N-diethylamino propyl acrylamide and N,N-diethylamino propyl methacrylamide.

5. The polymeric rheology modifier of Claim 5 wherein said associative monomer (c) is selected from the group consisting of urethane reaction products of a monoethylenically unsaturated isocyanate and non-ionic surfactants comprising $\text{C}_1\text{-C}_4$ alkoxy-terminated, block copolymers of 1,2-butylene oxide and 1,2-ethylene oxide, an ethylenically unsaturated copolymerizable surfactant monomer obtained by condensing a nonionic



surfactant with an α,β -ethylenically unsaturated carboxylic acid or the anhydride thereof, a surfactant monomer selected from the urea reaction product of a monoethylenically unsaturated monoisocyanate with a nonionic surfactant having amine functionality, an allyl ether of the formula $\text{CH}_2=\text{CR}'\text{CH}_2\text{OA}_m\text{B}_n\text{A}_p\text{R}$ where R' is hydrogen or methyl, A is propyleneoxy or butyleneoxy, B is ethyleneoxy, n is zero or an integer, m and p are zero or an integer less than n, and R is a hydrophobic group of at least 8 carbon atoms, and a nonionic urethane monomer which is the urethane reaction product of a monohydric nonionic surfactant with a monoethylenically unsaturated isocyanate.



POLYMERIC RHEOLOGY MODIFIERS

ABSTRACT OF THE INVENTION

The present invention relates to acrylate-based, associative polymeric rheology modifier prepared by polymerization of a C₁-C₆ alkyl ester of acrylic acid and/or a C₁-C₆ alkyl ester of methacrylic acid, a monomer chosen from a vinyl-substituted heterocyclic compound containing at least one of a nitrogen or sulfur atom, (meth)acrylamide, a mono- or di- (C₁-C₄)alkylamino (C₁-C₄)alkyl (meth)acrylate, a mono or di-(C₁-C₄)alkylamino (C₁-C₄)alkyl (meth)acrylamide, and an associative monomer.

