



166166

02 MAY 2002

1



G00002

PROCESS FOR THE MANUFACTURE OF AMORPHOUS PRODUCTS BY MEANS OF CONVECTION DRYING

The invention relates to a rapid and readily reproducible process for producing dry, amorphous products which, besides biologically active, in particular therapeutically active, material, contain substance mixtures
5 for stabilization by means of convection drying. The invention also relates to the amorphous, microscopically homogeneous products which are obtained by this process, are in the form of powders and have a uniform geometric, in particular spherical, shape. The invention furthermore
10 relates to the use of substance mixtures for stabilizing biologically active material, in particular proteins by means of spray drying.

It is well known that proteins, especially human
15 proteins, can be stabilized in solid preparations by a large number of substances, preferably by sugars or combinations of sugars and amino acids.

Various processes and formulations for producing dry,
20 biologically or therapeutically active materials are described. By dry materials are meant substances and substance mixtures which have a residual moisture content not exceeding 8% (g/g), preferably not exceeding 4% (g/g), particularly preferably not exceeding 2%. Freeze-drying
25 processes are widely used for producing such formulations [F. Franks, Cryo Lett. 11, 93-110, (1990); M. J. Pikal, Biopharm. 3 (9), 26-30 (1990); M. Ilora, Pharm. Research 8 (3), 285-291 (1992); F. Franks, Jap. J. Freezing Drying 38, 15-16, (1992)] but have the specific disadvantages of freeze-
30 drying. They consume large amounts of energy, require the use of refrigerants, some of which are environmentally harmful (frigens), and are time-consuming because it is often necessary to remove relatively large volumes of ice by sublimation. The freezing step necessary for freeze-drying

may be destabilizing for a large number of substances, especially for proteins. This process is therefore not applicable at all to some biological materials.

5 Alternatives to freeze drying for producing dry protein preparations are processes which dry the material by using heat and/or vacuum [F. Franks, R. M. H. Hatley: Stability and Stabilization of Enzymes; Eds. W. J. J. von den Teel, A. Harder, R. M. Butlaar, Elsevier Sci. Publ. 1993, pp. 10 45 - 54; B. Roser, Biopharm, 4 (9), 47-53 (1991); J. F. Carpenter, J. H. Crowe, Cryobiol. 25, 459 - 470 (1988)]. Examples which may be mentioned in this connection are vacuum drying with or without use of elevated temperature, spray-drying processes in a wide variety of 15 modifications, including combined use of vacuum and spraying techniques, and drum drying and other thin-film drying processes.

J. F. Carpenter, J. H. Crowe, Biochemistry 28, 3916- 20 3922 (1989); K. Tanaka, T. Taluda, K. Miyajima, Chem. Pharm. Bull. 39 (5), 10-94 (1991), DE 35 20 228, EP 0 229 810, WO 91/18091, EP 0 383 569 and US 5,290,765 describe preparations which contain sugars or sugar-like substances. However, the production of dry, carbohydrate-containing preparations by 25 means of freeze or vacuum drying, especially of sugar preparations, is associated with disadvantages in the state of the art. These include, inter alia, high energy consumption for drying to an acceptable residual moisture content, extended process times at low drying temperatures, 30 formation of highly viscous, water-containing masses (called „rubber“) or glassy melts whose glass transition temperatures are below room temperature. The disadvantages described above significantly influence the stability of biological materials in such preparations.

35

It is also evident from the literature cited above that preparations suitable for stabilizing proteins should have glassy, that is to say amorphous, structures whose glass transition temperature is above the intended storage

temperature. The glass transition temperature (T_g) is the temperature at which an amorphous or partially crystalline solid is converted from the glassy state into the fluid or viscous state and vice versa. This involves drastic changes in the viscosity and, associated therewith, in the diffusion coefficient and the kinetic mobility of the biological materials. Physical characteristics such as hardness and modulus of elasticity are changed, as are the thermodynamic functions of volume, enthalpy and entropy. The glass transition temperature of, for example, a sugar-containing composition and its residual water content are physically linked together in such a way that increasing residual amounts of water lead to declining glass transition temperatures and vice versa. It is thus possible to infer from measurement of the glass transition temperature, for example by differential scanning calorimetry (DSC), whether a preparation has a residual water content suitable for stabilization or, as stated above, a drying process is successful or not. Besides determining the glass transition temperature by means of DSC, the presence of amorphous structures can also be proved by means of X-ray diffraction investigations, optical and electron microscopic examinations.

It was therefore desirable to provide fully amorphous ancillary substance structures for biological or pharmaceutically active materials so that the embedded biological materials can also be kept stable at room temperature and over a long period. The ancillary substance structures should have a low residual moisture content which can be adjusted intentionally, and have a glass transition temperature which is as high as possible.

WO 97/15288 describes a process for stabilizing biological materials by means of drying processes without freezing, with which partially amorphous ancillary substance structures are obtained. The drying was carried out as vacuum drying (at slightly elevated temperatures $< 50^\circ\text{C}$), although inhomogeneous products are obtained.

WO 96/32096 describes the production of a homogeneous, dispersible powder, which contains a human protein, carbohydrates and/or amino acids and other ancillary substances, for inhalation by means of spray drying. However, it has emerged that amorphous products are not obtained in any of the examples.

EP 0 682 944 A1 describes lyophilisates with pharmaceutically acceptable ancillary substances, consisting of a phase with the protein in amorphous mannitol and a second phase with crystalline alanine. However, these formulations cannot stabilize the biological materials sufficiently well over a lengthy period.

15

The invention was based on the object of developing a mild, flexible, readily reproducible, rapid and economic drying process for embedding biological materials, especially human proteins, and of finding stabilizing matrices suitable for this process. It is intended that it be possible with this process to produce fully amorphous and homogeneous products which allow stabilization of the biological materials over a long period.

25

The object of the invention, of providing an efficient process for producing amorphous preparations which contain biological materials, is achieved by means of convection drying, in particular by means of spray drying, under the conditions stated in the following paragraph and with the substance mixtures stated in the following paragraph.

30

The invention relates in particular to a process for producing dry, amorphous products which, besides biological material, in particular therapeutically active material, contain substance mixtures for stabilization, which is characterized in that a solution or suspension of biological material and a substance mixture consisting of (a) a carbohydrate and at least one zwitterion with polar or apolar radical or derivatives thereof, and/or (b) at least two zwitterions with polar or apolar radicals or derivatives thereof, and/or (c) at least one zwitterion with

35

polar or apolar radical or a plurality of zwitterions with polar or apolar radicals or derivatives thereof, is produced and dried by means of convection drying with adjustment of a relative moisture content of < 70%, preferably < 40%, in particular < 20%, in the stationary phase at an inlet air temperature of 50 - 300°C, preferably < 200°C. This manner of drying proves to be particularly advantageous in relation to the stability of the biological materials in the formulations and ensures yields > 90%.

10

The zwitterions with polar or apolar radicals which are preferably employed are amino carboxylic acids. The substance mixtures employed of group (a) comprise, preferably, mono-, oligo-, polysaccharides, arginine, aspartic acid, citrulline, glutamic acid, histidine, lysine, acetylphenylalanine ethyl ester, alanine, cysteine, glycine, isoleucine, leucine, methionine, phenylalanine, tryptophan, valine and/or derivatives thereof. The substance mixtures of group (b) comprise, preferably, arginine, aspartic acid, citrulline, glutamic acid, histidine, lysine, acetylphenylalanine ethyl ester, alanine, cysteine, glycine, isoleucine, leucine, methionine, phenylalanine, tryptophan, valine and/or derivatives thereof. The zwitterions of group (c) are preferably employed in the form of their salts. Preferably used are the salts of arginine, aspartic acid, citrulline, glutamic acid, histidine, lysine, acetylphenylalanine ethyl ester, alanine, cysteine, glycine, isoleucine, leucine, methionine, phenylalanine, tryptophan, valine and/or derivatives thereof.

30

Biologically active materials for the purpose of the invention are one or more substances of the groups of proteins, peptides, glycoproteins, lipoproteins, enzymes, coenzymes, antibodies, antibody fragments, virus constituents, cells and cell constituents, vaccines, DNA, RNA, biological therapeutic and diagnostic agents or derivatives thereof.

35

It is possible where appropriate to add to the solution or suspension of biological material and the substance mixture of groups (a) and/or (b) and/or (c) conventional ancillary substances from the groups of buffers, surfactants, antioxidants, isotonicizing agents, preservatives.

It is possible by spray drying with the features of the process according to the invention to modify carbohydrates, amino acids or derivatives thereof which can be dried with difficulty in such a way that they can be dried, or to mix carbohydrates, amino acids or derivatives thereof with a substance or a substance mixture which increase the Tg so that drying becomes possible and the resulting ancillary substance structure is amorphous and is outstandingly suitable for embedding biological materials. Vacuum drying of comparable formulas results in lower glass transition temperatures.

It has been found that in the case of carbohydrates, especially sugars such as, for example, sucrose, fructose, which indeed form amorphous structures after spraying from aqueous or organic solution into a hot air bed, but have low glass transition temperatures ($< 20^{\circ}\text{C}$) and therefore result in yields which are extremely economically unfavourable on drying, and have low stability on storage, the latter in particular in relation to the retention of amorphous structures, the Tg is increased so much ($\geq 20^{\circ}\text{C}$) by addition of zwitterions or derivatives thereof that they can be dried in good yield, and the amorphous structure of the carbohydrates or of the complete formulation is retained or stabilized.

It has furthermore emerged, surprisingly, that it is possible by adding zwitterions or derivatives thereof to obtain carbohydrates such as, for example, mannitol, after the spraying from aqueous or organic solution into a hot air bed, in an amorphous structure as is necessary for stabilizing biological materials. At the same time, good yields are achieved therein. This result is surprising since

no amorphous structures are obtained when the process is carried out without addition of zwitterions.

On the other hand, it has been found that even
5 zwitterions or derivatives thereof which, although they can be dried after spraying from aqueous or organic solution into a hot air bed, are not obtained in amorphous form from this, are obtained in a fully amorphous form on addition of carbohydrates or derivatives thereof.

10

Amorphous structures are also obtained when
zwitterions or derivatives thereof which, although they can be dried after spraying from aqueous or organic solution into a hot air bed, are not obtained in a fully amorphous form
15 from this, can be converted by suitable admixtures of one or more zwitterions into a fully amorphous form. To produce the amorphous structure it is unnecessary to choose only combinations of zwitterions with polar radicals and apolar radicals; on the contrary it is also possible to employ
20 combinations of zwitterions with only polar or only apolar radicals.

It has further emerged that one or more zwitterions with polar or apolar radicals or derivatives thereof which,
25 although they can be spray-dried, are not obtained in amorphous form, can be converted by specific adjustment of the pH of the solution or suspension before the convection drying into the amorphous state, preferably by adjusting to a pH of 7.0 - 7.5. A specific adjustment of the pH of the
30 solution before the spray drying can also be worthwhile in those cases (variants a and b) where, although amorphous structures are obtained, the stability of the protein in the amorphous structure is to be further improved or the amorphous structure of the sprayed products is to be further
35 increased. Such an adjustment of the pH may also be necessary for physiological reasons.

The skilled person is able, by suitable combination of the variants mentioned, to increase significantly further

the effects already achieved. The teaching of the patent allows him to select a zwitterion with polar or apolar radical so that the dried substance mixture has a raised glass transition temperature and/or an amorphous structure by comparison with a substance mixture without a corresponding addition.

The products obtained with the process according to the invention are amorphous and microscopically homogeneous powders in a particle size range from 0.0005 mm to 1 mm, preferably from 0.001 mm to 0.1 mm. The process according to the invention allows preferably spherical particles to be obtained in a particle size range which is adjustable and can be reproduced surprisingly well. The resulting products have a glass transition temperature $\geq 20^{\circ}\text{C}$, preferably $\geq 40^{\circ}\text{C}$, and a residual moisture content $< 8\%$ (g/g), preferably $< 4\%$ (g/g). The amorphous structure is furthermore retained over storage times of at least 12 months. Compared with lyophilisates, the products have an apparent density which is higher by at least a factor of 1.15 (15%) than lyophilisates and, compared with products from freeze or vacuum drying, have significantly lower crystalline contents for the same formulation.

The amorphous products, which preferably contain proteins as biological materials, are produced according to the invention by convection drying, in particular by spray drying or spray granulation, by producing a solution or suspension of the biological material and the substance mixture, and carrying out the convection drying at an inlet air temperature of $50 - 300^{\circ}\text{C}$, preferably $< 200^{\circ}\text{C}$, it having emerged that the relative moisture content in the stationary phase must be adjusted to $< 70\%$, preferably $< 40\%$, in order to obtain a defined product moisture content of $< 4\%$. The process according to the invention thus permits the required residual moisture content in the final products to be adjusted intentionally.

It is possible according to the invention for the convection drying to take place by means of fluidized drying, lift air drying or flight drying. Spray or fluidized bed drying is particularly preferably used according to the invention.

In the spray drying, the material to be dried is sprayed in a manner known per se as solution or suspension through nozzles or by means of a rapidly rotating atomizer disc to give a mist of droplets at the upper end of a wide cylindrical container. The resulting mist of droplets is mixed with hot air or an inert gas, which are passed around the atomizing zone into the dryer. If a solution is atomized under otherwise identical conditions by means of a two-component nozzle or disc, although the particle size distribution of the spray embedding is narrower with disc atomization than with nozzle atomization, it is shifted into the coarser region.

Suitable atomizing devices are swirl pressure nozzles, pneumatic nozzles (two-component/three-component nozzles) or centrifugal atomizers. Although pneumatic nozzles require the greatest energy consumption for atomization per kg of liquid, these nozzles are particularly suitable because of their flexibility, for example for achieving particular particle size ranges or particular particle shapes. The process can also be carried out by means of the use of a three-component nozzle technique. It is possible thereby to atomize two liquids simultaneously.

30

This makes two variants of the atomization possible:

Variant 1

The two liquids are fed separately and, shortly before the atomization, mixed and then atomized. This variant is preferably used when the mixtures of two components are stable for only a short time in one liquid phase.

Variant 2

The two liquids are fed separately, atomized separately and mixed before entering the nozzle orifice. This variant can be used for two immiscible solutions or where the stability of the components is ensured only in the solid state.

It was possible to use these specific types of atomization for the invention just as well as classical two-component atomization.

A process according to the invention is optimal with an inlet air temperature in the range between 100°C and 180°C. Since there is also a risk of decomposition of the spray embedding as the inlet air temperature increases, higher temperatures $\geq 200^\circ\text{C}$ are normally not productive but can certainly be considered for specific applications. It is surprising that the stability of the biological materials in the formulations is very good although the hot air used according to the invention for the drying preferably has a temperature of $> 100^\circ\text{C}$.

The spraying of the solution after the drying results in preferentially spherical particles in an adjustable and reproducible particle size range. Spherical shapes with favourable flow properties and a particular particle size range are precisely those particularly advantageous for many types of pharmaceutical application.

The drying times with the process according to the invention are not more than one minute.

It is also possible according to the invention to produce granules by spraying the solution of biological material and substance mixture onto a carrier with a particle size range from 0.010 mm to 10 mm, preferably 0.1 to 1 mm.

It is thus possible with the process according to the invention, by using the stated substance mixtures, to improve

crucially the drying of carbohydrate-containing compositions. The formulations according to the invention contain as main component a carbohydrate, preferably sugar, and one or more zwitterions with polar or apolar radicals or derivatives thereof, with the glass transition temperature of the sugar being distinctly increased by this zwitterion addition.

Formulations in which the main component are zwitterions with polar or apolar radicals or derivatives thereof can be converted into stable amorphous forms by addition of carbohydrates.

It is also possible as an alternative to the carbohydrates to use zwitterions with polar or apolar radicals or derivatives thereof or mixtures thereof. These formulations can be composed of at least 2 zwitterions or derivatives thereof with polar radicals, at least 2 zwitterions or derivatives thereof with apolar radicals or of at least one zwitterion with polar and apolar radical.

Amorphous and dry formulations can also be obtained by specific adjustment of the pH of the solution of zwitterions with polar or apolar radicals or derivatives thereof, alone or in combination.

Substance mixtures which can be preferably employed according to the invention consist of at least two substances selected from sucrose, L-arginine, L-phenylalanine, L-aspartic acid, L-isoleucine and derivatives thereof.

Besides the compositions indicated in the examples, the following substance mixtures have also proved particularly suitable as matrix for the formation of sprayed products according to the invention:

Mixture (formula) 1:

Sucrose, L-arginine and L-phenylalanine,

Mixture (formula) 2:

L-Arginine, L-aspartic acid and L-isoleucine,

Mixture (formula) 3:

5 L-Arginine and L-phenylalanine,

Mixture (formula) 4:

L-Arginine, L-phenylalanine and L-aspartic acid.

The invention also relates to the use of the
10 amorphous products produced according to the invention for
producing diagnostic or therapeutic compositions by further
processing them where appropriate with conventional ancillary
substances and excipients.

15

Example 1

The carbohydrate and the amino acid (AA) were
dissolved in water at RT. Adjustment of the pH may be
necessary depending on the biological material used. This
20 solution is then spray dried.

It is evident from the following table that, for
example, sucrose or fructose cannot be dried in economically
good yields because of the low glass transition temperature
25 (1.1 and 1.5), whereas the solutions with AA addition result
after the drying as fine-particle dry powders in good yields
(1.2 - 1.4 and 1.6). It is further evident from the table
that carbohydrates such as, for example, mannitol, which
after the drying normally do not form amorphous structures
30 and result in economically favourable yields (1.7), on
addition of zwitterions or derivatives thereof form an
amorphous structure and result in good yields as are
necessary for the stabilization of biological
materials (1.8).

Carbohydrates with addition of zwitterions with polar/apolar radicals

Example	Carbo- hydrate mg/ml	Amino acid mg/ml	Residual water content %	Glass transition temperature °C Crystal structure State of aggregation
(1.1)	<u>Sucrose</u> 275	<u>L-Arginine</u> 0	2.8	<20°C X-ray amorphous tacky mass
(1.2)*	<u>Sucrose</u> 100	<u>L-Arginine</u> 5	2.4	≥20°C X-ray amorphous Powder
(1.3)	<u>Sucrose</u> 100	<u>L-Phenyl- alanine</u> 5	2.8	≥20°C X-ray amorphous Powder
(1.4)	100	20	2.5	≥20°C X-ray amorphous Powder
(1.5)	<u>Fructose</u> 275	<u>L-Phenyl- alanine</u> 0	2.3	<20°C X-ray amorphous tacky mass
(1.6)	100	5	4.0	≥20°C X-ray amorphous Powder
(1.7)	Mannitol 55	<u>L-Arginine/ L-phenyl- alanine</u> 0	0.4	- crystalline powder
(1.8)*	100	10/ 10	2.5	≥20°C X-ray amorphous Powder

5 * pH adjusted to 7.3 ± 0.2

Example 2

10 The amino acid and the carbohydrate were dissolved in water at RT and spray dried. It is evident from the following table that the pure AA results in a crystalline crystal

structure (2.1 and 2.4), but amorphous structures are obtained on addition of carbohydrate (2.2, 2.3, 2.5).

5 Zwitterions with polar/apolar radicals on addition of carbohydrates

Example	Amino acid mg/ml	Carbo- hydrate mg/ml	Residual water content %	Glass transition temperature °C Crystal structure State of aggregation
	<u>L-Arginine</u>	<u>Methyl- hydroxy- propyl- cellulose</u> 2910		
(2.1)	55	0	10.2	- crystalline powder
(2.2)	20	4	7.2	≥20°C X-ray amorphous powder
	<u>L-Arginine</u>	<u>Sucrose</u>		
(2.3)	20	4	6.4	≥20°C X-ray amorphous powder
	<u>L-Phenyl- alanine</u>	<u>Sucrose</u>		
(2.4)	55	0	0.4	- crystalline powder
(2.5)	20	4	4.6	≥20°C X-ray amorphous powder

Example 3

The amino acids were dissolved in water at RT and spray dried. It is evident from the table that the pure AA results in a crystalline structure (3.1-3.4), but amorphous structures are obtained on addition of a second AA (3.5-3.8). In Examples 3.9-3.11, the Tg values of vacuum dryings are indicated. The Tg values on vacuum drying of comparable formulas are distinctly below the values in the process according to the invention.

Zwitterions with polar/apolar radicals on further addition of zwitterions with polar/apolar radicals

Example	Amino acid mg/ml	Amino acid mg/ml	Residual water content %	Glass transition temperature °C Crystal structure State of aggregation
(3.1)	<u>L-Arginine</u> 55	0	10.2	- crystalline powder
(3.2)	<u>L-Isoleucine</u> 21.7	0	0.4	- crystalline powder
(3.3)	<u>L-Phenyl- alanine</u> 55	0	0.4	- crystalline powder
(3.4)	<u>L-Aspartic acid</u> 6.7	0	0.3	- crystalline powder
(3.5)	<u>L-Phenyl- alanine</u> 20	<u>L-Isoleucine</u> 4	1.2	≥20°C X-ray amorphous powder
	<u>L-Arginine</u>	<u>L-Phenyl-</u>		

(3.6)	20	<u>alanine</u>	4	5.7	$\geq 20^{\circ}\text{C}$ X-ray amorphous powder
	<u>L-Arginine</u>	<u>L-Aspartic acid</u>			
(3.7)	4	20	5.5	$\geq 20^{\circ}\text{C}$ X-ray amorphous powder	
	<u>L-Arginine</u>	<u>L-Aspartic acid/L- Isoleucine</u>			
(3.8)	40	22 10	4.2	$\geq 20^{\circ}\text{C}$ X-ray amorphous powder	

Vacuum drying

Example	Amino acid mg/ml	Amino acid mg/ml	Residual water content %	Glass transition temperature $^{\circ}\text{C}$
	<u>L-Arginine</u>	<u>L-Phenyl- alanine</u>		
3.9	33.4	7.9	9.9	1.3
3.10	34.8	6.6	10.7	0.0
3.11	35.8	5.6	10.0	1.3

5

Example 4

The amino acid was dissolved in water at RT and spray dried. It is evident from the following table that an X-ray-amorphous structure is formed by specific adjustment of the pH (4.1 and 4.3), otherwise only a crystalline structure is obtained (4.2 and 4.4).

Zwitterions with polar/apolar radicals

Example	Amino acid mg/ml	Amino acid mg/ml	Residual water content %	Glass transition temperature °C Crystal structure State of aggregation
(4.1)	<u>L-Arginine</u> 55 pH 7.2 adjusted with H ₃ PO ₄	0	5.1	≥20°C X-ray amorphous Powder
(4.2)	<u>L-Arginine</u> 55	0	10.2	- crystalline powder
(4.3)	<u>L-Aspartic acid</u> 8.3 pH 7.2 adjusted with NaOH	0	0.5	≥20°C X-ray amorphous Powder
(4.4)	<u>L-Aspartic acid</u> 6.7	0	0.3	- crystalline powder

Example 5

The carbohydrate, the AA and other ancillary substances were dissolved in water at RT, adjusted to pH 7.3 ± 0.2 and spray dried.

The following table shows optimized active drug formulas according to the process according to the invention (5.2-5.6). A placebo formula (5.1) was used for comparison. No decomposition products were shown by any of the formulas after the drying, it being possible to detect high molecular weight (HMW) aggregates and EPO dimers not exceeding 0.2% in formulas 5.2-5.6. This value is not exceeded even after storage for 3 months (shown by Example 5.3).

02 MAY 2002

By contrast, a formula not according to the invention shows a very high content of high molecular weight (HMW) aggregates and EPO dimers even immediately after the drying (5.7).

5

Example	EPO U/ Carbohydrate mg/ml	Amino acids/ ancillary substance mg/ml	Residual water content %	Glass transition temperature °C Crystal structure State of aggregation
	- Sucrose	L-Arginine/ L-Phenyl- alanine/ Tween 20		
(5.1)	- 100	20/ 20/ 0.2	2.8	$\geq 20^{\circ}\text{C}$ X-ray amorphous powder
	EPO/sucrose	L-Arginine/ L-phenyl- alanine/ Tween 20		
(5.2)	7000/ 50	10/ 10/ -	3.5	$\geq 20^{\circ}\text{C}$ X-ray amorphous powder
(5.3)	7010/ 50	10/ 10/ 0.1	2.4	$\geq 20^{\circ}\text{C}$ X-ray amorphous powder
	<u>EPO/</u> <u>Sucrose</u>	<u>L-Arginine/</u> <u>L-phenyl-</u> <u>alanine/</u> <u>Tween 20</u>		
(5.4)	14020/ 100	20/ 20/ 0.2	2.9	$\geq 20^{\circ}\text{C}$ X-ray amorphous powder
	<u>EPO/</u> -	<u>L-Arginine/</u> <u>L-aspartic</u> <u>acid/</u> <u>L-iso-</u> <u>leucine/</u> <u>Tween 20</u>		
(5.5)	5010/ -	30/ 10/ 10/ 0.1	4.3	$\geq 20^{\circ}\text{C}$ X-ray amorphous powder
	<u>EPO/</u> -	<u>L-Arginine/</u> <u>L-aspartic</u> <u>acid/</u> <u>L-phenyl-</u> <u>alanine/</u> <u>Tween 20</u>		
(5.6)	5010/ -	30/ 10/ 10/ 0.1	4.4	$\geq 20^{\circ}\text{C}$ X-ray amorphous powder

Storage stability of Example 5.3 in refrigerator, at RT and 40°C for 3 months

	HMW aggregates			EPO dimers		
	Fridge (4-6°C)	RT (20-22°C)	40°C	Fridge (4-6°C)	RT (20-22°C)	40°C
5.3						
Initial		<0.1%			<0.1%	
After 2 months	<0.1%	<0.1%	<0.1%	<0.1%	<0.1%	<0.1%
After 3 months	<0.1%	<0.1%	<0.1%	<0.1%	<0.1%	0.1%

5

Content of HMW aggregates and EPO dimers in a formula not according to the invention immediately after drying:

Example	EPO U/ carbo- hydrate mg/ml EPO/ lactose	Residual water content %	HMW aggregates %	EPO dimers %
(5.7)	7010/ 50	3.4	9	2

10

Example 6

The following Examples 6 to 12 illustrate the effect of the chosen conditions in the process according to the invention on the final products. The following formulas 1 to 15 4 were investigated:

Mixture (formula) 1:

Sucrose, L-arginine and L-phenylalanine (5:1:1)

20 Mixture (formula) 2:

L-Arginine, L-aspartic acid and L-isoleucine (3:1:1)

Mixture (formula) 3:

L-Arginine and L-phenylalanine (1:1)

Mixture (formula) 4:

5 L-Arginine, L-phenylalanine and L-aspartic acid (3:1:1)

Spray drying of substance mixtures 1 to 4:

Starting materials	Composition of the solution			
Mixture	1	2	3	4
Sucrose	50 mg/ml			
L-Arginine	10 mg/ml	30 mg/ml	20 mg/ml	30 mg/ml
L-Phenylalanine	10 mg/ml		20 mg/ml	10 mg/ml
L-Aspartic acid		10 mg/ml		10 mg/ml
L-Isoleucine		10 mg/ml		

10

Example 7

Spray drying with different inlet air temperatures

The four mixtures were spray dried at three different inlet air temperatures, namely at 100°C, 140°C and 180°C. A very important parameter in the spray drying is the rel. moisture content in the stationary phase of the spray drying. The stationary phase is regarded as being the drying section where the process of drying the sprayed particles is complete and the maximum temperature stress on the dried sprayed particles is reached. The relative moisture content in the stationary phase determines the moisture content of the product after the drying. The relative moisture content to be chosen in this case depends on the composition of the formulation. The relative moisture content in the stationary phase for the four mixtures was chosen according to the invention to be very low at less than 40% (specifically about 10% in this case). This established whether spray drying of

mixtures 1 - 4 is still in fact possible. Spray drying of the four mixtures was possible satisfactorily under the abovementioned conditions. In all cases, fine-powder spray embeddings (SE) were obtained in good yield ($> 90\%$). There were no deposits on the tower cone and the pipelines, and discharge of the products was possible satisfactorily despite the low dust/air ratios ($\ll 50 \text{ g/Nm}^3 \text{ (STP)}$).

Relative moisture content 10%

10

Inlet air temperature	Rel. moisture content, stationary phase	Water vaporization rate	Solid (from 7% strength solution)
°C	%	Approx. g/h	approx. g/h
60	10	100	7.5
100	10	600	45.2
140	10	1000	75.3
180	10	1400	105.4

Example 8

Tests on the spray embedding products (SE)

15 a) pH, density, water content, osmolality

Mixture	Inlet air temperature	SE water content	PH Initial solution / SE	Density Initial solution/ SE	Osmolality Initial solution/ SE
	°C	%		g/cm ³	mOsm/kg
Mixture 1	100	3.2	7.4/7.44	1.025/ 1.023	270/272
Mixture 1	140	3.0	7.4/7.44	1.025/ 1.022	270/270
Mixture 1	180	3.0	7.4/7.43	1.025/ 1.020	270/273
Mixture 2	100	3.9	7.4/7.44	1.017/ 1.021	292/270
Mixture 2	180	2.6	7.4/7.44	1.017/ 1.022	292/279
Mixture 3	100	2.4	7.4/7.43	1.013/ 1.010	216/213

Mixture	180	2.7	7.4/7.43	1.013/	216/212
3				1.011	
Mixture	100	3.6	7.4/7.38	1.018/	274/254
4				1.023	
Mixture	180	2.7	7.4/7.37	1.018/	274/254
4				1.023	

The spray embeddings dissolved in water gave identical values for the pH, the density and the osmolality as for the initial solution. The water content of the spray embeddings decreased slightly as the inlet air temperature increased, although the rel. moisture content in the stationary phase was kept constant. In particular, mixture 1 showed an almost constant water content at all inlet air temperatures.

10

b) Crystal structure

Irrespective of the inlet air temperatures, all the spray embeddings are X-ray amorphous by comparison with the initial mixtures.

15

c) Electron micrographs

The electron micrographs of the spray embeddings from mixture 1 show that the SE particles are in the form of almost ideal complete spheres, with the surface changing from a texture resembling a golf ball to a smooth appearance with increasing inlet air temperature. To demonstrate that complete spheres are present, the spray embeddings were ground. The completely spherical shape can be deduced unambiguously from the fragments. The mode of atomization, nozzle or disc atomization, has no effect whatsoever on the shape of the SE particles.

25

30 d) Particle size distribution

Mixture 1 was used to demonstrate that the particle size distribution remains approximately constant at different spraying rates.

Inlet air temperature	Rel. moisture content, stationary phase	Spraying rate	Particle size d10/d50/d90	Particle size RRSB-d'
°C	%	g/h	µm	µm
100	10	640.0	0.8/3.7/9.7	5.7
140	10	1035.0	0.6/5.3/12.6	6.6
180	10	1455.0	0.7/4.0/11.9	6.3

It was also established that virtually identical particle size distributions are obtained with the same inlet
5 air temperature and approximately the same spraying rate.

Inlet air temperature	Rel. moisture content, stationary phase	Spraying rate	Particle size d10/d50/d90	Particle size RRSB-d'
°C	%	G/h	µm	µm
100	10	566.0	0.7/4.0/10.5	5.8
100	10	640.0	0.8/3.7/9.7	5.7
140	10	1067.5	0.8/4.0/11.6	6.2
140	10	1035.0	0.6/5.3/12.6	6.6

Example 9

10 Measurement of the glass transition temperature (T_g) by
differential scanning calorimetry (DSC)

To determine the glass transitions of the dried samples, and the crystallization and melting peaks, a DSC 7
15 apparatus from Perkin-Elmer (Überlingen) with CCA 7 low-
temperature control with liquid nitrogen (Messer, Griesheim)
and a TAC 7/DX signal transducer was used. The weights of the
samples were between 5 and 20 mg, weighed into aluminium
crucibles (Perkin-Elmer) previously weighed using an
20 autobalance AD4 microbalance (Perkin-Elmer). The crucibles

were then tightly closed with a lid (Cover, Perkin-Elmer) using a universal closure press (Perkin-Elmer), placed in the measurement cell flushed with nitrogen and measured at a heating rate of 10°C/min.

5

The Tg of mixture 1 were determined by means of DSC. With a water content < 4%, the respective Tg were advantageously far above room temperature, which means that the mixtures are very suitable for stabilizing biological material, in particular human proteins.

10

Inlet air temperature	SE water content	Tg
°C	%	°C
100	3.2	57.6
140	3.0	58.8
100	3.8	57.0
140	7.5	28.2

Example 10

15

Differing rel. moisture content in the stationary state

It is shown with mixture 1 how far the rel. moisture content of the stationary phase influences, with conditions which are otherwise constant, the product moisture content and thus the Tg.

20

Inlet air temperature	Rel. moisture content, stationary phase	Water content	Tg
°C	%	%	°C
140	4	1.5	67.7
140	10	3.0	58.8
140	25	5.9	31.7
140	33	6.5	29.1
140	36	7.5	28.2

Example 11

- 5 Effect of the initial concentration of the solution on the spray embedding

An increase in the initial concentration of the solution is possible in principle up to the solubility limits
 10 determined by the ancillary substances and results in almost the same physical properties of the spray embeddings as from a 7% strength solution. This is illustrated by the following table based on mixture 1.

15

Inlet air temperature	Concentration of the solution	Tg/water content pH	Particle size d10/d50/d90	Particle size RRSB-d'
°C	%	°C/%	µm	µm
100	15	57.3/3.6/7.5	0.8/4.6/12.9	6.7
100	15	52.8/3.4/7.44	0.7/4.6/10.8	6.0
100	7	57.6/3.2/7.38	0.8/3.7/9.7	5.7

Example 12

a) Testing of various atomization units (two-component nozzle, atomizer disc) using mixture 1

5

The use of different atomization units has effects on the particle size distributions of the spray embeddings.

Inlet air tempera- ture	Atomi- zation unit	Atomi- zation pressure or speed of rotation	Particle size d10/d50/ d90	Particle size RRSB-d'	Gradient of the line in the RRSB grid
°C	µm	Bar/rpm	µm	µm	1
100	two-comp. nozzle	3 bar	0.8/3.7/ 9.7	5.7	1.018
140	two-comp. nozzle	3 bar	0.6/5.3/ 12.6	6.6	1.052
180	two-comp. nozzle	3 bar	0.7/4.0/ 11.9	6.3	0.994
100	disc	max.	1.7/11.9/ 23.3	15.3	1.238

10 Disc atomization is unsuitable for pulmonary uses of
the SE products, which require particle sizes < 10 µm. In
addition, the flexibility of the two-component nozzle is
considerably greater than that of a disc for shifting
particle size ranges. A disadvantage is that on sterile
15 operation with two-component atomization it is necessary for
the atomization medium to be sterilized by filtration. The
other physical parameters of the SE products do not depend on
the mode of atomization, appearance included. Atomization
media which can be employed are the known media such as
20 compressed air or inert gases such as, for example, noble
gases (neon, argon etc.) or carbon dioxide.

b) Different nozzle combinations

The specific nozzle combinations (three-component nozzles) are used for feeding and atomizing two liquids simultaneously.

The spray embeddings obtained with both variants of the three-component nozzle had physical parameters agreeing with those of the spray embeddings obtained from the two-component atomization. Even the Tg and the X-ray-amorphous form were identical.

Example 13

15 Reproducibility with identical/different batch sizes

The process is reproducible with identical or different batch sizes as shown by the example of mixture 1. The SE products obtained in all cases have physical parameters of the spray embeddings which are within very narrow limits. It is thus possible to apply the results of the trial batches directly to larger batches, and hence a process ready for production is available.

Inlet air tempera- ture °C	Batch size Items	Crystal structure	Particle size d10/d50/d90 µm	Particle size RRSB-d' µm	DSC Tg/ water content °C/%
100	1000	X-ray amorphous	0.8/3.7/ 9.7	5.7	57.6/3.2
140	1000	X-ray amorphous	0.6/5.3/ 12.6	6.6	58.8/3.0
180	1000	X-ray amorphous	0.7/4.0/ 11.9	6.3	57.0/3.0
140	2000	X-ray amorphous	0.8/4.0/ 11.6	6.2	58.8/2.8
100	300	X-ray amorphous	/.7/4.0/ 10.5	5.8	57.0/3.2

Patent Claims

1. Process for producing dry, amorphous products which, besides biologically active material, in particular therapeutically active material, contain substance mixtures for stabilization, characterized in that a solution or suspension of biological material and a substance mixture consisting of
- (a) a carbohydrate and at least one zwitterion with polar or apolar radical or derivatives thereof, and/or
 - (b) at least two zwitterions with polar or apolar radicals or derivatives thereof, and/or
 - (c) at least one zwitterion with polar or apolar radical or a plurality of zwitterions with polar or apolar radicals or derivatives thereof,
- is produced and dried by means of convection drying with adjustment of a relative moisture content of < 70% in the stationary phase at an inlet air temperature of 50 - 300°C.
2. Process according to Claim 1, characterized in that the solution or suspension prepared according to variant (c) is adjusted to a specific pH, preferably a pH of 7.0 - 7.5, before the convection drying.
3. Process according to Claim 1 or 2, characterized in that amino carboxylic acids are employed as zwitterions with polar or apolar radicals.
4. Process according to any of Claims 1 to 3, characterized in that substance mixtures are employed of group (a) which comprises mono-, oligo-, polysaccharides, arginine, aspartic acid, citrulline, glutamic acid, histidine, lysine, acetylphenylalanine ethyl ester, alanine, cysteine, glycine, isoleucine, leucine, methionine, phenylalanine, tryptophan, valine and/or derivatives thereof.
5. Process according to any of Claims 1 to 3, characterized in that substance mixtures are employed of group (b) which comprises arginine, aspartic acid,

citrulline, glutamic acid, histidine, lysine, acetylphenylalanine ethyl ester, alanine, cysteine, glycine, isoleucine, leucine, methionine, phenylalanine, tryptophan, valine and/or derivatives thereof.

5

6. Process according to any of Claims 1 to 3, characterized in that substance mixtures are employed of group (c), which contain the salts of the zwitterions.

10 7. Process according to Claim 6, characterized in that substance mixtures are employed of group (c) which comprises the salts of arginine, aspartic acid, citrulline, glutamic acid, histidine, lysine, acetylphenylalanine ethyl ester, alanine, cysteine, glycine, isoleucine, leucine, methionine,
15 phenylalanine, tryptophan, valine and/or derivatives thereof.

8. Process according to any of Claims 1 to 7, characterized in that one or more substances of the groups of proteins, peptides, glycoproteins, lipoproteins, enzymes,
20 coenzymes, antibodies, antibody fragments, virus constituents, cells and cell constituents, vaccines, DNA, RNA, biological therapeutic and diagnostic agents or derivatives thereof are employed as biological material.

25 9. Process according to any of Claims 1 to 8, characterized in that conventional ancillary substances from the groups of buffers, surfactants, antioxidants, isotonicizing agents, preservatives are added to the solution.

30

10. Process according to any of Claims 1 to 9, characterized in that the drying takes place by fluidized drying, lift air or flight drying.

35 11. Process according to any of Claims 1 to 9, characterized in that the drying takes place by spray or fluidized bed drying.

12. Amorphous, microscopically homogeneous products containing a biologically active material and a substance mixture for stabilization, with a glass transition temperature $\geq 20^{\circ}\text{C}$ and a residual moisture content $< 8\%$ (g/g) obtained according to any of Claims 1 to 11.

13. Amorphous products according to Claim 12, characterized in that they have a glass transition temperature $\geq 40^{\circ}\text{C}$ and a residual moisture content $< 4\%$ (g/g).

14. Amorphous products according to Claim 12 or 13, characterized in that they are in the form of powders in a particle size range from 0.0005 mm to 1 mm, preferably 0.001 to 0.1 mm.

15. Use of amorphous products of any of Claims 12 to 14 for producing diagnostic or therapeutic compositions.

16. Use of substance mixtures consisting of

- (a) a carbohydrate and at least one zwitterion with polar or apolar radical or derivatives thereof, and/or
- (b) at least two zwitterions with polar or apolar radicals or derivatives thereof, and/or
- (c) at least one salt of a zwitterion with polar or apolar radical or a plurality of salts of a plurality of zwitterions with polar or apolar radicals or derivatives thereof,

for stabilizing biologically active, in particular therapeutically active material by means of spray drying with adjustment to a relative moisture content of $< 70\%$ in the stationary phase at an inlet air temperature of $50 - 300^{\circ}\text{C}$.

17. Process according to Claim 1, substantially as hereinbefore described with particular reference to any of Examples 1 to 11.

PROCESS FOR THE MANUFACTURE OF AMORPHOUS PRODUCTS BY MEANS
OF CONVECTION DRYING

Abstract

The invention relates to a rapid and readily
reproducible process for producing dry, amorphous products
5 which, besides biologically active, in particular
therapeutically active, material, contain substance mixtures
for stabilization by means of convection drying. The
invention also relates to the amorphous, microscopically
homogeneous products which are obtained by this process, are
10 in the form of powders and have a uniform geometric, in
particular spherical, shape. The invention furthermore
relates to the use of substance mixtures for stabilizing
biologically active material, in particular proteins by means
of spray drying.