

**SYSTEM AND METHOD FOR MAPPING THE FUNCTIONAL NERVES INNERVATING
THE WALL OF ARTERIES, 3-D MAPPING AND CATHETERS FOR SAME**

[0001] Throughout this application, various publications are referenced. Disclosures of these
5 publications in their entireties are hereby incorporated by reference into this application in order to
more fully describe the state of the art to which this invention pertains.

FIELD OF THE INVENTION

[0002] This invention relates to a system and method for accurate and precise location and
identification of areas innervated with sympathetic and parasympathetic related nerves on an arterial
10 wall during and after an energy delivery process. This invention also relates to catheter systems
specifically designed for use in renal nerve mapping and ablation.

BACKGROUND OF THE INVENTION

[0003] Congestive heart failure, hypertension, diabetes, and chronic renal failure have many
different initial causes; however, all follow a common pathway in their progression to end-stage
15 diseases. The common pathway is renal sympathetic nerve hyperactivity. Renal sympathetic nerves
serve as the signal input pathway to higher sympathetic centers located in the spinal cord and brain
via afferent renal nerve activity, increasing systemic sympathetic tone; meanwhile, through efferent
activity, renal nerves and arteries participate in sympathetic hyperactivity in response to signals from
the brain, further increasing systemic sympathetic tone (Dibona and Kopp, 1977). Sympathetic
20 activation can initially be beneficial but eventually becomes maladaptive. In a state of sympathetic
hyperactivity, a number of pathological events take place: abnormalities of hormonal secretion such
as increased catecholamine, renin and angiotensin II levels, increased blood pressure due to
peripheral vascular constriction and/or water and sodium retention, renal failure due to impaired
glomerular filtration and nephron loss, cardiac dysfunction and heart failure due to left ventricular
25 hypertrophy and myocyte loss, stroke, and even diabetes. Therefore, modulation (reduction/removal)
of this increased sympathetic activity can slow or prevent the progression of these diseases. Recently,
renal nerve denervation using high radio frequencies has become a recognized method to treat drug
resistant hypertension (Esler et al., 2010 and Krum et al., 2009) and glucose metabolism abnormality
(Mahfoud, 2011). However, certain methodologies by which renal nerve ablation or denervations
30 are performed are either primitive, or are conducted in a manner whereby the medical professional
operates with undue uncertainty respecting the location of the renal nerves critical in the disease
pathway. The present invention seeks to rectify certain of these problems.

Renal Sympathetic Nerve Hyperactivity and Hypertension

[0004] Renal sympathetic nerve hyperactivity's contribution to the development and
35 perpetuation of hypertension has been systematically investigated. This connection has been
explored due in large part to the fact that, despite the availability of various pharmaceutical products

and combination pharmaceutical products, and resources to assist patients' lifestyle changes, the rate of treatment of hypertension has remained surprisingly low. In particular, approximately 1/3 of hypertensive patients are not fully responsive to even optimized drug therapy and the measured blood pressure range amongst this cohort remains abnormal. This manifestation is called drug resistant hypertension. In approximately half of hypertensive patients, blood pressure remains higher than accepted treatment target levels. Amongst these patents with "essential" hypertension (i.e. persistent and pathological high blood pressure for which no specific cause can be found), it has been suggested that underlying pathophysiologies which are non-responsive to current treatment regimens exist. Further, it has been noted in such patients that efferent sympathetic renal nerve outflow stimulates renin release, increases tubular sodium reabsorption, and reduces renal blood flow, while afferent nerve signals from the kidney modulate central sympathetic outflow and thereby contribute to regulation of sodium and water metabolism, vascular tone/resistance and blood pressure.

[0005] Various data have confirmed the positive effects of renal nerve blocking on decreasing hypertension; data have further confirmed the connection between increased sympathetic nervous system activity and hypertension. In particular, studies have shown renal dysfunction as a mechanism of increased sympathetic nervous system activity leading to hypertension (Campese, 2002; Ye, 2002), that blocking renal nerve activity controls hypertension in animals with chronic renal insufficiency (Campese, 1995), and that surgical renal denervation performed to eliminate intractable pain in patients with polycystic kidney disease also eliminates hypertension (Valente 2001). Additional studies have identified increased noradrenaline spillover into the renal vein as the culprit in essential hypertension (Esler et al., 1990), and have shown that denervation by nephrectomy eliminates hypertension in humans on dialysis with severe hypertension refractory to multi-drug therapy (Converse, 1992). Renal denervation has also been shown to delay or prevent the development of many experimental forms of hypertension in animals (e.g. spontaneously hypertensive rats (SHR), stroke prone SHR, New Zealand SHR, borderline hypertensive rats (BHR), Goldblatt 1K, 1C (rat), Goldblatt 2K, 2C (rat), aortic coarctation (dogs), aortic nerve transection (rat), DOCA-NaCL (rat, pig), Angiotensin II (rat, rabbit), fat feeding – obesity (dog), renal wrap (rat))(DiBona and Kopp, 1997).

[0006] Certain previous efforts at decreasing refractory hypertension focused on a therapeutic drug approach, and in particular, the local administration of nerve blocking agents, such as local anesthetics, ketamine, tricyclic antidepressants, or neurotoxins, at the site of the nerve(s).

[0007] Studies performed in canines demonstrated proof-of-concept with regard to such a therapeutic drug approach. In one study, a total of eleven (11) dogs that had micro-embolization performed to induce acute heart failure were utilized to gather data; eight (8) dogs were treated with a renal nerve block created by injecting 10 ml of bupivacaine (Marcaine®) inside the Gerota's fascia,

while three (3) served as controls. Urine output, as measured every fifteen (15) minutes, significantly increased in the bupivacaine-treated animals as compared with controls, and both natriuresis and diuresis were observed, confirming the physiologic basis for an antihypertensive effect. The same results were found in six (6) other dogs with micro-embolization resulting in chronic heart failure (Vigilance 2005).

Renal Sympathetic Nerve Hyperactivity, Insulin Sensitivity and Glucose Metabolism

[0008] Renal nerve hyperactivity is also posited to play a role in insulin sensitivity and glucose metabolism. Specifically, an increase in noradrenaline release accompanying renal nerve hyperactivity results in reduced blood flow, which in turn is associated with reduced glucose uptake.

This indicates an impaired ability of cells to transport glucose across their membranes. Renal nerve hyperactivity is related to a neurally mediated reduction in the number of open capillaries, so that there is an increased distance that insulin must travel to reach the cell membrane from the intravascular compartment. Insulin-mediated increases in muscle perfusion are reduced by approximately 30% in insulin-resistant states. Consequently there is a direct relationship between muscle sympathetic nerve activity and insulin resistance, and an inverse relationship between insulin resistance and the number of open capillaries. (Mahfoud, et al., 2011). Renal sympathetic nerve hyperactivity is thus associated with certain aspects of diabetes mellitus and/or metabolic syndrome; sympathetic hyperactivity induces insulin resistance and hyperinsulinemia, which in turn produces additional sympathetic activation. Studies have been performed evaluating the effects of renal denervation on diabetic criteria.

[0009] A study by Mahfoud et al. (2011) tested the effect of renal denervation on patients who had type 2 diabetes mellitus, as well as high blood pressure of ≥ 160 mm Hg (or ≥ 150 mm Hg for patients with type 2 diabetes mellitus) despite being treated with at least 3 anti-hypertensive drugs (including 1 diuretic). At baseline and at follow-up visits taking place at one (1) and three (3) months after the procedure, blood chemistry, and fasting glucose, insulin, C peptide, and HbA1c were measured, while an oral glucose tolerance test (OGTT) was performed at baseline and after 3 months. Plasma glucose concentration was assessed with the glucose-oxidase method, while plasma insulin and C-peptide concentrations were measured by a chemiluminescent assay. Three months after denervation, diabetic indicators had substantially improved. At baseline, 13 patients in the treatment group had insulin levels ≥ 20 μ IU/mL. Treatment decreased this number by 77% (n=10), with no changes in the control group. Insulin sensitivity also increased significantly after renal denervation. In 34 patients (test group, n = 25; control group, n = 9), the OGTT at baseline revealed 8 patients with impaired fasting glycemia, 18 patients with impaired glucose tolerance, and 8 patients with diabetes mellitus. After the procedure, 7 of 25 patients showed improvement in OGTT. The number of patients diagnosed with diabetes mellitus on the basis of OGTT was reduced by 12% (n=3); and

the number of patients with normal glucose tolerance increased by 16% (n=4). Patients in the control group had no significant changes in glucose or insulin metabolism during follow-up.

[0010] The Mahfoud et al. study thus conclusively demonstrated that the renal sympathetic nervous system is an important regulator of insulin resistance and shows that renal nerve ablation substantially improves insulin sensitivity and glucose metabolism.

Renal Nerve Ablation Test Studies

[0011] During 1950s, surgical sympathectomy was utilized in humans as a treatment for severe hypertension before the availability of antihypertensive medicine (Smithwick and Thompson, 1953). However, such surgical renal denervation was extremely invasive and involved a major surgical procedure; therefore, it had great limitations in clinical practice (DiBona, 2003).

[0012] Recently, endovascular catheter technologies have been preferably utilized to create selective denervation in the human kidney. The renal nerves primarily lay outside the vessel tunica media, within the renal artery adventitial space. Consequently, radiofrequency energy, laser energy, high intensive focused ultrasound and alcohol can be delivered to renal artery walls, and cryoablative techniques likewise utilized on renal artery walls, via the renal artery lumen, to ablate sympathetic renal nerves.

[0013] The first human study of renal nerve ablation by catheter methodologies took place on hypertensive patient test subjects in 2009. Patient test subjects were enrolled whose standing blood pressure (SBP) was more than or equal to 160 mmHg despite the patient being on more than three anti-hypertensive medications (including diuretics), or who had a confirmed intolerance to anti-hypertensive medications (Krum et al., 2009). In this study of forty-five (45) patients overall baseline patient blood pressure consisted of (mmHg) of $177/101 \pm 20/15$. Among enrolled patients, 89% of patients responded to renal denervation therapy and observed a reduction in blood pressure.

[0014] In order to assess whether renal denervation was effectively performed, after renal nerve ablation, renal noradrenaline spillover was measured to determine the success of the sympathetic denervation. Blood pressure was measured at baseline, and at 1 month, 3 months, 6 months, 9 months, and 12 months after the procedure. At each time point, decreases in both systolic and diastolic pressure were registered, with decreases continuing with the passage of time. Post-procedure, an overall decrease in total body noradrenaline spillover of 28% ($p=0.043$) was shown amongst the 45 test subjects, of which approximately one third was attributable to the renal sympathetic denervation. Treatment was delivered without complication in 43/45 patients, with no chronic vascular complications.

Current Protocols in Renal Denervation

[0015] After the Krum et al. study, there have been established certain accepted methodologies for performing renal nerve ablation through catheter means, though said

methodologies comprise some variation. Typically, renal nerve ablation comprises catheter-based methods in which a patient is administered four (4) to six (6) two-minute radio frequency (RF) treatments per renal artery, with the radio frequency being generated by a radio frequency (RF) generator, which is automated, low-power, and has built-in safety algorithms. The radio frequencies, usually of 5-8 watts, are administered by catheter in the renal artery through movement of the catheter distal to the aorta to proximal to the aorta with application of the radio frequencies in spaced increments of 5 mm or more.

[0016] In the aforementioned Mahfoud et al. diabetes study, the following specific ablation protocol was followed: a treatment catheter was introduced into each renal artery by use of a renal double curve or left internal mammary artery guiding catheter; radiofrequency ablations lasting up to 2 minutes each were applied with low power of 8 watts to obtain up to 6 ablations separated both longitudinally and rotationally within each renal artery. Treatments were delivered from the first distal main renal artery bifurcation to the ostium. Catheter tip impedance and temperature were constantly monitored, and radiofrequency energy delivery was regulated according to a predetermined algorithm.

[0017] Endovascular catheter procedures such as those enumerated above are intended to preserve blood flow and minimize endothelial injury, while focal ablations spaced along the renal vessel allow for rapid healing. The resultant nerve ablation simultaneously diminishes the renal contribution to systemic sympathetic activation and the efferent effects of sympathetic activation of the kidney while offering a clinically durable result.

[0018] Functionally, the optimized goal of ablation of the renal arteries is to selectively disable the renal sympathetic (both afferent and efferent) nerves without impairing sympathetic signaling to other organs, and to precisely deliver energies to the locations in which renal sympathetic nerves are distributed in order to denervate the nerves. At present, renal nerve ablation is done in a “blind” fashion – that is, before the ablation radiofrequency is delivered, the physician who performs the procedure does not know where the renal sympathetic nerves are distributed so that the whole length of renal artery is ablated; furthermore, whether renal nerves have really been ablated or not can only be confirmed by measuring a secondary effect – i.e. norepinephrine spillover, after completion of the procedure. At present, approximately 89% of patients respond to renal denervation treatment (Krum et al., 2009 and Esler et al. 2010). However, these data were determined by measurements of patient’s blood pressure to confirm the efficacy of renal denervation at least one month after the procedure. In some cases, treatment failures may be due to regeneration of renal nerves (Esler et al., Lancet 2010, p. 1908), while in others, treatment failures may be due to failure to correctly target and sufficiently complete ablation of the renal nerves. Therefore, methods to precisely detect where renal nerve distribution occurs along the renal arteries, so that ablation targets

can be provide to physicians, and to monitor clinically relevant indices (such as blood pressure, heart rate and muscle sympathetic nerve activity) to assess whether efficient ablations are delivered, are urgently needed. As above discussed, renal afferent and efferent nerve system serves as a common pathway for sympathetic hyperactivity, therefore stimulation of renal nerve can cause increases in blood pressure and changes in heart rate. Changes in heart rate can be either increased due to direct stimulation of sympathetic nerves, or decreased blood pressure due to an indirect reflex regulation via baroreflex.

[0019] An improved methodology would involve a renal nerve mapping approach by which individual segments of the renal artery are stimulated by a low power electrical current while blood pressure, heart rate and muscle sympathetic nerve activity were measured. If measurable changes in blood pressure, heart rate and muscle sympathetic nerve activity are detected, such as increases in blood pressure or changes in heart rate or decreases in muscle sympathetic nerve activity, there is a reasonable expectation that ablation at that site should be performed so as to destroy nerve fibers in more precise way, and consequently, improve the clinical measures desired. These improved renal nerve mapping and catheterization technologies would seek to minimize unnecessary ablation in the types of denervation procedures described, guide operators to perform renal ablation procedures, and to optimize clinical outcomes of renal nerve ablation for treatment of hypertension, heart failure, renal failure and diabetes.

Anatomical Mapping and Targeting in Renal Nerve Ablation

[0020] Anatomically, the nerves carrying fibers running to or from the kidney are derived from the celiac plexus (a/k/a the solar plexus) and its subdivisions, lumbar splanchnic nerves, and the intermesenteric plexus (DiBona and Kopp, 1997, p. 79). The celiac plexus consists of the suprarenal ganglion (i.e. the aorticorenal ganglion), the celiac ganglion, and the major splanchnic nerves. The celiac ganglion receives contributions from the thoracic sympathetic trunk (thoracic splanchnic nerves), and the vagus nerves (DiBona and Kopp, 1997, p. 79). The anatomy of renal nerve distribution and elements of renal nerves have been demonstrated by recent work. Mompeo et al studied twelve human cadavers (six males and six females), age range 73 to 94 years. Twenty-four kidneys were examined. They found that the fibers of the neural network were mainly located on the superior (95.83%) and inferior (91.66%) surfaces of the renal artery and these were sparsely interconnected by diagonal fibers. The celiac and aorticorenal ganglia were fused as a single mass in 15 of the 24 kidneys (62.5%). The aorticorenal ganglion was connected to the inferior renal ganglion by one to three thick neural bands that crossed over the anterior surface of the medial third of the renal artery. The inferior renal ganglion was located over the inferior surface of the renal arteries and connected to the posterior renal ganglion. The inferior ganglion joined with the posterior ganglion to form a single ganglionic mass in three of the 24 kidneys (12.5%). The inferior renal ganglion received

fibers from the sympathetic lumbar chain, intermesenteric nerves, and superior mesenteric ganglion. A fiber-ganglionic mass was found to encircle the medial third of the main hilarrenal arteries in all 24 kidneys. This mass comprised the celiac ganglion (100% of kidneys), the aorticorenal ganglion (100%), the inferior renal ganglion (95.83), and the posterior renal ganglion (70.83%). Short and
 5 thick nerve fiber strands connected the individual ganglia to form this mass. A complete ring of nervous tissue surrounded the artery in seven of the 24 kidneys (29.16%) (Mompeo B et al., 2016). Importantly, using immunohistochemical staining with specific markers Wouter et al demonstrated that sympathetic, parasympathetic and afferent nerves contributed for 73.5%, 17.9% and 8.7% of the total cross-sectional nerve area, respectively, based total of 3372 nerve segments obtained from 8
 10 arteries of 7 human cadavers (Wouter et al., 2016) These anatomy and histology data provided solid basis for renal mapping strategy and ablation therapy.

[0021] The suprarenal ganglion gives off many branches toward the adrenal gland, some of which course along the adrenal artery to the perivascular neural bundles around the renal artery entering the renal hilus; other branches enter the kidney outside the renal hilar region. The major
 15 splanchnic nerve en route to the celiac ganglion gives off branches to the kidney at a point beyond the suprarenal ganglion. The celiac ganglion gives off branches to the kidney that run in the perivascular neural bundles around the renal artery entering the renal hilus (DiBona and Kopp, 1997, p. 79).

[0022] The lumbar and thoracic splanchnic nerves are derived from the thoracic and lumbar paravertebral sympathetic trunk, respectively. They provide renal innervation via branches that go
 20 to the celiac ganglion but also via branches that go to the perivascular neural bundles around the renal artery entering the renal hilus (DiBona and Kopp, 1997, p. 79).

[0023] The intermesenteric plexus, containing the superior mesenteric ganglion, receives contributions from the lumbar splanchnic nerves and gives off branches that often accompany the ovarian or testicular artery before reaching the kidney (DiBona and Kopp, 1997, p. 79). The renal
 25 nerves enter the hilus of the kidney in association with the renal artery and vein (DiBona and Kopp, 1997, p. 81). They are subsequently distributed along the renal arterial vascular segments in the renal cortex and outer medulla, including the interlobar, arcuate, and interlobular arteries and the afferent and efferent glomerular arterioles (DiBona and Kopp, 1997, p. 81).

[0024] While the renal nerve architecture is of paramount consideration before ablation can
 30 take place, individual renal architecture must be carefully considered before catheterization for denervation can be contemplated. As noted with respect to the Krum et al./Esler et al. studies, eligibility for catheterization was determined in part by an assessment of renal artery anatomy, renal artery stenosis, prior renal stenting or angioplasty, and dual renal arteries. Not only is aberrant or unusual renal architecture an impediment to catheterization in and of itself, but normal variation in
 35 renal architecture may prove challenging, especially when an off-label catheter system (i.e. a catheter

not specifically designed for renal artery ablation per se) is used. The risks of renal catheterization with sub-optimal catheter systems may include the rupture of renal arteries due to coarse or jagged manipulation of such catheter tips through delicate tissue, rupture of and/or damage to the artery wall or renal artery endothelium due to excessive ablation energy applied, and dissection of the artery.

- 5 Therefore, catheter systems specially designed for renal architecture and common aberrations in renal architecture are desirable, in order that a large spectrum of the eligible refractory patient population be treated.

Catheter Systems

- [0025] Certain catheter systems designed for coronary artery systems are similar to those
10 which may be used in renal nerve ablation; in particular, ablative catheter systems designed for coronary artery use which are tailored to remedy tachycardia may be used for renal nerve ablation procedures. As such, these systems typically contain electrodes which are designed to assess the pre-existing electric current in the cardiac tissue through which the catheter electrodes are being passed. In contrast, ideal catheter systems for renal denervation would optimally be engineered with dual
15 functions: to map renal nerve distribution and stimulate renal nerve activity by providing electrical stimulation so that a physician operator may assess in real-time patient physiological changes occurring as a result of said electrical stimulation and renal denervation. However, such catheters have not previously been developed.

- [0026] Known catheter systems often possess multiple functionalities for cardiac uses.
20 Certain notable catheter systems on the market include the following:

A) Medtronic Achieve™ electrophysiology mapping catheter

- [0027] This catheter is normally used for assessment of pulmonary vein isolation when treating paroxysmal atrial fibrillation. It is used in conjunction with Medtronic's Arctic Front cryoablation system. The Achieve™ Mapping Catheter has a distal mapping section with a circular
25 loop which is available in two loop diameters (15 mm and 20 mm). It is deployed through the Arctic Front guidewire lumen, allowing for a single transseptal puncture. The catheter features eight evenly spaced electrodes on a loop, enabling physicians to map electrical conduction between the left atrium and pulmonary veins. Additionally, the catheter allows for assessment of pulmonary vein potential both before and after cryoablation and also helps physicians assess time-to-effect during
30 cryoablation. Its specifications are as follows:

- i. 3.3 Fr, 1.1 mm (0.043") catheter shaft size
- ii. 165 cm in total length; 146 cm in usable length
- iii. Two loop sizes: 15 mm and 20 mm
- iv. Two electrode spacings: 4 mm and 6 mm
- 35 v. Eight 1 mm electrodes

- vi. Catheter is compatible with minimum ID of 3.8 Fr, 1.3 mm (0.049")

B) Northwestern University/University of Illinois at Urbana-Champaign All-in-One Cardiac EP Mapping and Ablation Catheter

[0028] This catheter is a combination catheter utilized to perform cardiac electrophysiological mapping and ablations. The balloon catheter includes temperature, pressure, and EKG sensors, and an LED that can ablate cardiac tissue. The catheter is based on a "pop-out" design of interconnects, and the concept of stretchable electronics. In this design, all necessary medical devices are imprinted on a section of a standard endocardial balloon catheter (a thin, flexible tube) where the wall is thinner than the rest; this section is slightly recessed from the rest of the catheter's surface. In this recessed section, the sensitive devices and actuators are protected during the catheter's trip through the body to the heart. Once the catheter reaches the heart, the catheter is inflated, and the thin section expands significantly so that the electronics are exposed and in contact with the heart.

[0029] When the catheter is in place, the individual devices can perform their specific tasks as needed. The pressure sensor determines the pressure on the heart; the EKG sensor monitors the heart's condition during the procedure; the LED sheds light for imaging and also provides the energy for ablation therapy to ablate tissue (in this case, typically tachycardia-inducing tissue); and the temperature sensor controls the temperature so as not to damage other healthy tissue. The entire system is designed to operate reliably without any changes in properties as the balloon inflates and deflates.

[0030] The system is designed to deliver critical high-quality information, such as temperature, mechanical force, blood flow and electrograms to the surgical team in real time.

C) Medtronic Arctic Front®

[0031] The Arctic Front® is an FDA-approved cryoballoon ablation system. The balloon is delivered via the accompanying FlexCath® Steerable Sheath; liquid coolant is pumped in using the CryoConsole control unit. The unit is normally used to treat paroxysmal atrial fibrillation. Its specifications are as follows:

- i. Two balloon diameters: 23 mm and 28 mm
- ii. Double balloon safety system
- iii. Bi-directional deflection (45 degrees maximum)
- iv. Compatible with 12F FlexCath® Steerable Sheath
- v. 102 cm working length

D) Diagnostic Products Lasso Circular Mapping Catheter

[0032] The LASSO 2515 Variable Circular Mapping Catheter features a variable loop which adjusts to fit veins sized between 25 and 15 mm.

E) Ardian Symplicity® Catheter System

[0033] The current catheter system utilized for renal ablation, comprising both an ablation catheter and radio frequency generator, i.e. the Symplicity® Catheter System, is specially designed by Ardian Inc. (Mountain View, CA, USA). However, the Symplicity® catheter does not possess mapping functions and ablation is its only function; and secondly, such catheter systems (as well as angioplasty and distal protection devices for angioplasty) were designed for coronary and carotid artery systems – hence, these systems would be used “off-label” for renal nerve ablation and denervation to treat hypertension, heart failure, renal failure and diabetes.

[0034] The fact that some cases of hypertension are resistant to treatment by pure pharmacological means has reignited the use of invasive techniques in treating these cases. Historically, surgical renal denervation was the prominent treatment for severe cases of hypertension prior to the introduction of orally administered anti-hypertensive drugs (Smithwick and Thompson, 1953). This type of conventional surgery was, however, extremely invasive and involved a major surgical procedure which greatly limits its practicality (DiBona, 2003). At least two clinical studies have, to a certain extent, provided support to the use of minimally invasive catheter-based radiofrequency (RF) renal nerve ablation in the treatment of resistant hypertension (Krum et al., 2009; Esler et al., 2009). Patients with hypertension resistant to the available anti-hypertensive drugs were selected for these studies and this interventional procedure demonstrated a 89% clinical success rate in lowering their blood pressure in a small and very selective patient population.

[0035] While there is growing interest in using such minimal invasive interventional techniques for treatment of hypertension, all systems on the market, including the Ardian Symplicity® Catheter System, are not optimally designed for this purpose. There are apparent shortcomings, even in the Ardian Symplicity® Catheter System, that limit the certainty of the interventional outcome.

[0036] An important aspect not considered in the current interventional systems and techniques, is the precision and accuracy in locating and delivering an effective dose of energy to a suitable ablation spot in the arterial wall. The current commonly accepted procedures for performing renal nerve ablation via catheters typically consists the steps of administering to the arterial wall 4 to 6 ablations, each made by 2 minutes of RF energies and spaced both longitudinally and rotationally along the inner wall of each renal artery. The ablations had to be delivered “blindly” in this helical manner because the exact location of the nerves innervating the renal artery with respect to the ablation catheter is unknown before and during the delivery of the ablation energy. An inaccurately directed dose of energy not only causes unnecessary damage to healthy tissues and non-sympathetic

nerves but more importantly could not provide the promised solution for hypertension which the interventional procedure was intended for. In fact, in certain clinical settings other than the two published studies, the responder rate of the current “blind” type of interventional procedure could go as low as 50% (Medical devices: pg 1-2, February 22, 2012).

5 **[0037]** Theoretically, precise nerve ablation in the wall of an artery could be achieved by mapping the location of the nerves innervating the arterial wall prior to delivery of the dose of energy. By monitoring physiological parameters associated with the autonomic nervous systems such as the blood pressure, heart rate and muscle activity while a stimulus is delivered to a selected location on the arterial wall, the presence of autonomic nerves in the immediate vicinity of this location will be
10 reflected from the changes in the monitored physiological parameters (Wang, US 2011/0306851 A1).

[0038] Further, the sympathetic and parasympathetic nerves of the autonomic nervous system often exert opposite effects in the human body including their control on blood pressure and heart rate. While ablation of the sympathetic nerves innervating the arterial walls will relieve hypertension, there is an equally possible chance that other tissues such as parasympathetic nerves are ablated in
15 the “blind” type of interventional procedure. The result for decreasing or removal of nerve activity blindly may worsen the hypertension as could be inferred from several animal studies (Ueda et al., 1967; Beacham and Kunze, 1969; Aars and Akre, 1970; Ma and Ho, 1990; Lu et al. 1995).

[0039] The cause of failure in the current treatment was attributed to regeneration of the nerves after the ablation (Esler et al., 2010) and may also be related to both the inability to deliver
20 the dose of energy to the targeted nerve and an insufficient dose of energy delivered for effective ablation. At present, the success of renal denervation is only assessed by the measurement of a secondary effect known as norepinephrine spillover at least days after the interventional procedure (Krum et al., 2009) and lack a method for immediate post-procedural assessment. In order to improve the success rate of the interventional procedure, it is important to not only locate suitable ablation
25 spots on the arterial wall, but also ensure that the energy is precisely and accurately delivered to a targeted nerve during the ablation process, and confirm immediately after the ablation that the dosage of energy delivered has effectively ablated the targeted nerve.

[0040] In response to the shortcomings of the current system and methods for nerve ablation, the present invention introduces improvements by providing a system and methods for accurate and
30 precise location of suitable ablation spots on a renal arterial wall; ensuring sufficient ablation energy is accurately directed into a targeted nerve and to conduct immediate post-procedural assessment of nerve ablation. A catheter system optimal for renal nerve mapping is also provided by this invention.

SUMMARY OF THE INVENTION

[0041] It was with the preceding needs in mind that the present invention was developed.
35 Embodiments of the disclosure are directed to catheters, system and method for accurate and precise

location of areas innervated with nerves on an arterial wall; ensuring sufficient energy is accurately directed into a targeted nerve to elicit a desired response such as stimulation and ablation; and to conduct immediate post-procedural assessment of a sufficient nerve ablation. Further, the embodiments of the disclosure are also directed to provide an interface for clear representation of the location and type of nerves that are innervating the location being probed on the arterial wall.

[0042] The present invention provides a method for identifying the presence of functional sympathetic and parasympathetic nerves innervating the arterial walls in a human body with respect to the location of a dose of energy. The method comprises one or more of the steps of preparing a baseline of one or more of physiological parameters prior to the delivery of a dose of energy to the arterial wall; delivering a dose of energy to the arterial wall; detecting the physiological changes as a result of the delivered energy; rating the change based on a set of empirically pre-determined values; and determining if the area where the energy was delivered lies in the vicinity of functioning sympathetic or parasympathetic nerves based on the ratings.

[0043] In one embodiment, said method is used for locating suitable nerve ablation sites relevant to baroreflex including both sympathetic and parasympathetic systems in arterial walls prior to a nerve ablation procedure. In certain embodiments, the nerve ablation procedure is for denervation of the renal artery. In another embodiment, the method is used for ensuring the accurate delivery of ablation energy to a targeted nerve in the arterial wall during a nerve ablation process. In a further embodiment, the method is used for immediate post-procedural assessment of the nerve ablation process to ensure that the targeted nerve has been ablated by the energy delivered in a nerve ablation procedure.

[0044] In certain embodiments, the energy is delivered to the arterial wall at dosage suitable for nerve stimulation. In other embodiments, the energy is delivered to the arterial wall at a dosage suitable for nerve ablation.

[0045] In one embodiment, the physiological parameters comprise blood pressure, heart rate, levels of biochemicals such as epinephrine, norepinephrine, renin-angiotensin II and vasopressin, cardiac electrical activity, muscle activity, skeletal nerve activity, action potential of cells or other measurable reactions as a result of these physiological changes such as pupil response, electromyogram and vascular constriction. In one embodiment, the measurable reactions as a result of these physiological changes further includes the rate of change of one or more selected from blood pressure, heart rate, levels of biochemicals such as epinephrine, norepinephrine, renin-angiotensin II and vasopressin, cardiac electrical activity, muscle activity, skeletal nerve activity, action potential of cells. In another embodiment, the physiological changes in positive or negative direction can be used as readout to identify a nerve. In a further embodiment, a parameter calculated from a

mathematical model using one or more of the physiological parameters is used for evaluating whether a site is innervated with a nerve.

[0046] In some embodiments, an area on the arterial wall that, upon stimulation, causes increase in blood pressure and heart rate is considered as innervated with sympathetic nerves while, in contrary, an area on the arterial wall that, upon stimulation, causes decrease in blood pressure and heart rate is considered as innervated with parasympathetic nerves.

[0047] In an embodiment, the energy for ablation is considered to be delivered accurately to a targeted nerve innervating the arterial wall when the physiological parameters deviate significantly from the baseline during the ablation process.

[0048] In one embodiment, the nerve ablation procedure is considered to be successful when an area, confirmed to be innervated with nerves with said method before the delivery of ablation energy, no longer leads to changes in the physiological parameters such as blood pressure and heart rate when stimulation energy is delivered to this spot

[0049] The present invention also provides a system for locating and identifying nerves innervating an arterial wall. The system comprises one or more devices capable of delivering a dose of energy to an arterial wall; one or more sensors to receive signals of physiological parameters; one or more devices for analysis of signals from the sensors; and one or more indicators or panels capable of displaying the results of the analysis.

[0050] In one embodiment, the dose of energy delivered by the energy delivery device can be controlled to achieve either nerve stimulation or nerve ablation. In another embodiment, two separate devices are used to carry out nerve stimulation and nerve ablation independently.

[0051] In another embodiment, the energy delivered is one or more of electrical, mechanical, ultrasonic, radiation, optical and thermal energies.

[0052] In some embodiments, said sensors detect physiological parameters which comprise blood pressure, heart rate, levels of biochemicals such as epinephrine, norepinephrine, renin-angiotensin II and vasopressin, cardiac electrical activity, muscle activity, skeletal nerve activity, action potential of cells and other measurable reactions as a result of the above such as pupil response, electromyogram and vascular constriction. In certain embodiments, the signals corresponding to the physiological parameters are detected with commercially available technologies known in the field.

[0053] In another embodiment, the device for digital analysis of the physiological signals is a microcontroller or computer.

[0054] In one embodiment, the analyzed results are displayed using different colored indicators. An area innervated with sympathetic nerves is represented with a green indicator and an area innervated with parasympathetic nerves is represented with a red indicator. In another embodiment, the analyzed data are displayed on a digital viewing panel.

[0055] In one embodiment, the set of indicators or panels may be built into devices in the system such as the energy delivery device. In certain embodiments, the set of indicators or panels may exist as a separate entity in the system.

[0056] The present invention also provides for specially-designed catheters with a distal end (i.e. the catheter tip) in shapes customized to renal architecture, possessing one or more electrodes to map renal nerve distribution, to perform renal ablations, to perform post-ablation assessment and to perform angiography. In certain embodiments, the electrodes of such catheters are sequentially spaced along the length of the catheter tip, where the electrode faces make contact with segmented portions of the renal artery lumen. In certain embodiments, the tip of the catheter is steerable and has a single electrode for emitting radio frequency energy. In certain embodiments, the shape of the catheter tip is a single helix wherein the coil of the helix is either round or flat in shape. In other embodiments, the catheter tip is a double helix wherein the coils of the helices are either round or flat in shape. In further embodiments, the catheter tip may comprise a balloon around which is wrapped a helical coil, wherein spaced along the length of the helical coil are electrodes; alternately, the catheter tip may comprise a balloon around which is an umbrella component encapsulating the balloon, and wherein spaced along the umbrella component are electrodes. In variations of both embodiments, the coil or umbrella component may be either round or flat in shape; consequently the electrodes spaced along the length of the coil or umbrella may be round or flat in shape, depending upon the underlying shape of the coil or umbrella.

[0057] In further embodiments, the catheter tip may comprise an umbrella shape or frame with a closed end, or umbrella with an open end.

[0058] In certain embodiments, the above catheter tips may be introduced into the arterial architecture to perform the functions of a stent.

[0059] In one embodiment, the diameter of these catheter tips may vary from 0.5mm to 10 mm; the length of the catheter tips may vary from 20 mm to 80 mm; the diameters of coil may vary from 3.0 mm to 7.5 mm; the distances between each coil may vary from 4 mm to 6 mm; and the fully uncoiled lengths of the coils may vary from 31 mm to 471 mm.

[0060] The electrodes of the catheters may be activated independently of one another or can be activated in any combination to emit electrical stimulation or radiofrequency energy. The electrodes each have dual functions of delivering electrical stimulation or radiofrequency energy. Electrical stimulation is used to identify and map segments of renal artery lumen beneath which lie renal nerves of importance. Said identification and mapping is accomplished through the monitoring of a physiological response or responses to the applied electrical stimulation, such as changes in blood pressure response and heart rate or muscle sympathetic nerve activity (Schlaich et al., NEJM 2009), or renal norepinephrine spillover (Esler et al. 2009, and Schlaich et al., J HTN. 2009), wherein

changes in physiological response indicate the presence of an underlying sympathetic nerve distribution in the vicinity of the activated electrode. In another embodiment, individual electrodes of the catheters may be activated in physician operator-selected combinations in order to assess maximal physiological response, and the consequent locations of underlying renal nerves. The electrodes of the catheters are able to emit not just electrical current of sufficient strength to stimulate renal nerve, but thermal energy such as radiofrequency energy to ablate underlying renal nerve tissue based on renal nerve mapping results. In other embodiments, separate electrodes of the catheters can be selectively activated to emit ablative energy such as high radiofrequency energy wherein the choice of the activated electrodes is based upon the results of the mapping of the nerves. In further embodiments, based on the mapping of the renal nerves, ablative techniques using other types of ablative energy such as laser energy, high intensive focused ultrasound or cryoablative techniques can be utilized on renal artery walls to ablate the sympathetic renal nerves.

[0061] In certain embodiments, these catheters are interchangeably used with existing radiofrequency generators which are presently utilized with existing cardiac catheter systems.

[0062] In one embodiment, the aforementioned catheter systems may be utilized with any variety of acceptable catheter guidewire previously inserted into the patient's body to guide the catheter tip to the desired location. They may also be used with devices and other instruments that may be used to facilitate the passage of like devices within the cardiovascular and renal vascular systems, such as sheaths and dilators. When required, the aforementioned catheter systems may also be utilized with a puller wire to position the catheter tip.

[0063] The present invention also provides methods of using the catheters described herein to map renal nerve distribution, comprising the steps of using electrical stimulation while monitoring changes in physiological responses, such as blood pressure and heart rate, to map renal nerve distribution and identify ablation spots within renal arteries for ideal denervation of renal nerves. These methods comprise activating the independent electrodes of the described catheters to emit an electrical charge to stimulate the underlying renal nerve while monitoring physiological responses such as blood pressure and heart rate; the presence of changes in physiological response indicate the presence of an underlying sympathetic nerve in the vicinity of the activated electrode and a superior location for ablation. An agglomeration of mapping data may take the form of a clinically useful guide respecting renal nerve distribution to assist clinicians in performing ablation.

BRIEF DESCRIPTION OF THE FIGURES

[0064] **Figure 1** is a schematic of a system of the present invention for locating and identifying functional nerves innervating the wall of an artery. The system comprises device (101) for delivery of energy to the arterial wall; power source (102) for powering device (101); sensor (103)

for detecting signals of physiological parameters; device (104) for analyzing the data from sensor (103); and indicator (105) to display the results from device (104).

[0065] **Figure 2** is a schematic diagram depicting the steps in an embodiment of the method to determine whether functioning sympathetic or parasympathetic nerves are in the vicinity of a dose of energy delivered to the arterial wall. The graphs illustrate possible recorded physiological signals.

[0066] **Figure 3A** shows an elevational view of the distal portion (catheter tip) of a single helix ablation catheter according to one embodiment of the present invention wherein electrodes (301) are placed at 90° intervals along the helix length, wherein the helical coil (303) itself is round, and wherein “L” designates the length of the distal portion, “l” designates the length of one turn of a single coil, “d” designates diameter of catheter tip and “D” designates diameter of the helical coil.

[0067] **Figure 3B** shows the distribution of electrodes (301) in a single complete coil in the helix of the ablation catheter shown in Figure 3A.

[0068] **Figure 3C** shows an end-on view of the distal portion of a single helix ablation catheter according to the embodiment shown in Figure 3A from the delivery direction of the lead, displaying only the first turn of the coil with electrodes (301).

[0069] **Figure 3D** shows an elevational view of the distal portion of a single helix ablation catheter according to an embodiment of the present invention wherein electrodes (305) are placed at 120° intervals along the helix length, and wherein the helical coil (307) itself is round.

[0070] **Figure 3E** shows the distribution of electrodes (305) in a single complete coil in the helix of the ablation catheter shown in Figure 3D.

[0071] **Figure 3F** shows an end-on view of the distal portion of a single helix ablation catheter according to the embodiment shown in Figure 3D from the delivery direction of the lead, displaying only the first turn of the coil with electrodes (305).

[0072] **Figure 3G** shows an elevational view of the distal portion of a single helix ablation catheter according to an embodiment of the present invention wherein electrodes (309) are placed at 90° intervals along the helix length, and wherein the helical coil (311) itself is flattened.

[0073] **Figure 3H** shows the distribution of electrodes (309) in a single complete coil in the helix of the ablation catheter shown in Figure 3G.

[0074] **Figure 3I** shows an elevational view of the distal portion of a single helix ablation catheter according to the embodiment of the present invention wherein electrodes (313) are placed at 120° intervals along the helix length, and wherein the helical coil (315) itself is flattened.

[0075] **Figure 3J** shows the distribution of electrodes (313) in a single complete coil in the helix of the ablation catheter shown in Figure 3I.

[0076] **Figure 4A** shows an elevational view of a distal portion of a double helix ablation catheter according to an embodiment of the present invention wherein electrodes (417) are placed at

90° intervals along the length of each separate helix, wherein the helical coils (419) are round, and wherein “L” designates the length of the distal portion, and “I” designates the length of one turn of each helical coil.

[0077] **Figure 4B** shows an end-on view of the distal portion of a double-helix ablation catheter according to the embodiment shown in Figure 4A from the delivery direction of the lead, displaying only the first turn of each coil with electrodes (417).

[0078] **Figure 4C** shows an elevational view of a distal portion of a double helix ablation catheter according to an embodiment of the present invention wherein electrodes (421) are spaced at 120° intervals along the length of each separate helix, wherein the helical coils (423) are round, and wherein “L” designates the length of the distal portion, and “I” designates the length of one turn of each helical coil.

[0079] **Figure 4D** shows an end-on view of the distal portion of a double-helix ablation catheter according to the embodiment shown in Figure 4C from the delivery direction of the lead, displaying only the first turn of each coil with electrodes (421).

[0080] **Figure 4E** shows an elevational view of the distal portion of a double helix ablation catheter according to an embodiment of the present invention wherein electrodes (425) are spaced at 90° intervals along the length of each separate helix, and wherein the helical coils (427) are flat.

[0081] **Figure 4F** shows an elevational view of the distal portion of a double helix ablation catheter according to an embodiment of the present invention wherein electrodes (429) are spaced at 120° intervals along the length of each separate helix, and wherein the helical coils (431) are flat.

[0082] **Figure 5A** shows an elevational view of a distal portion of a balloon ablation catheter according to an embodiment of the present invention, wherein the balloon (533) is inflated, and wherein electrodes (535) are evenly spaced at intervals along a helical coil (537) which is round in shape and wrapped around the balloon.

[0083] **Figure 5B** shows an elevational view of a distal portion of a balloon ablation catheter according to an embodiment of the present invention incorporating an umbrella-like component (539) encapsulating the balloon (541), wherein the balloon is inflated, and wherein electrodes (543) are spaced at intervals along the umbrella encapsulating the balloon.

[0084] **Figure 6A** shows an elevational view of a distal portion of an ablation catheter according to an embodiment of the present invention incorporating a closed-end umbrella like frame (645) wherein electrodes (647) are spaced at intervals along the umbrella like frame.

[0085] **Figure 6B** shows an end-on view of the distal portion of an ablation catheter according to the embodiment like shown in Figure 6A from the delivery direction of the lead.

[0086] **Figure 6C** shows an elevational view of a distal portion of an ablation catheter according to an embodiment of the present invention incorporating an open-end umbrella like frame (649) wherein electrodes (651) are spaced at intervals along the umbrella frame.

[0087] **Figure 6D** shows an end-on view of the distal portion of an ablation catheter from the delivery direction of the lead.

[0088] **Figure 7A** shows an elevational view of a distal portion of an ablation catheter according to an embodiment of the present invention wherein a single electrode (755) is located at a steerable catheter tip (753).

[0089] **Figure 7B** shows an end-on view of the distal portion of an ablation catheter according to the embodiment shown in Figure 7A from the delivery direction of the lead, displaying the electrode (755).

[0090] **Figure 8** shows the experimental setup for acute pig experiments used in nerve mapping experiments.

[0091] **Figure 9A** shows the Maximal and Minimal Effects of Left Renal Artery Stimulation on Arterial Systolic Pressure (ASP). Shown is arterial systolic pressure (ASP, as measured in mmHg) after an electrical stimulation in the left renal artery (LRA); baseline measures, as well maximal and minimal responses after the stimulation are shown.

[0092] **Figure 9B** shows the Maximal and Minimal Effects of Left Renal Artery Stimulation on Arterial Diastolic Pressure (ADP). Shown is arterial diastolic pressure (ADP, as measured in mmHg) after an electrical stimulation in the left renal artery (LRA); baseline measures, as well as maximal and minimal responses after the stimulation are shown.

[0093] **Figure 9C** shows the Maximal and Minimal Effects of Left Renal Artery Stimulation on Mean Arterial Pressure (MAP). Shown is mean arterial pressure (MAP, as measured in mmHG) after an electrical stimulation in the left renal artery (LRA); baseline measures, as well as maximal and minimal responses after the stimulation are shown.

[0094] **Figure 9D** shows the Maximal and Minimal Effects of Left Renal Artery Stimulation on Heart Rate (HR). Shown are maximal and minimal changes in heart rate after left renal artery (LRA) electrical stimulation; baseline measures, as well as maximal and minimal heart rates after the stimulation are shown.

[0095] **Figure 10A** shows the Maximal and Minimal Effects of Right Renal Artery Stimulation on Arterial Systolic Pressure (ASP). Shown is arterial systolic pressure (ASP, as measured in mmHg) after stimulation in the right renal artery (RRA); baseline measures, as well maximal and minimal responses after an electrical stimulation are shown.

[0096] **Figure 10B** shows the Maximal and Minimal Effects of Right Renal Artery Stimulation on Arterial Diastolic Pressure (ADP). Shown is arterial diastolic pressure (ADP, as

measured in mmHg) after an electrical stimulation in the right renal artery (RRA); baseline measures, as well as maximal and minimal responses after the stimulation are shown.

[0097] **Figure 10C** shows the mean arterial pressure (MAP, as measured in mmHg) after an electrical stimulation in the right renal artery (RRA); baseline measures, as well as maximal and minimal responses after the stimulation are shown.

[0098] **Figure 10D** shows the Maximal and Minimal Effects of Right Renal Artery Stimulation on Heart Rate (HR). Shown are maximal and minimal changes in heart rate after right renal artery (RRA) electrical stimulation; baseline measures, as well as maximal and minimal heart rates after the stimulation are shown.

[0099] **Figure 11** shows the decreases in heart rate once intra-renal artery stimulations were applied to certain locations of renal artery.

[0100] **Figure 12A** shows the Changes in Arterial Systolic Pressure (ASP) during Four Separated Renal Ablation in Left Renal Artery. Shown are the changes in arterial systolic pressure (ASP, as measured in mmHg) during four separate renal ablations in the left renal artery (LRA).

[0101] **Figure 12B** shows the Changes in Arterial Diastolic Pressure (ADP) during Four Separated Renal Ablation in Left Renal Artery. Shown are changes in arterial diastolic pressure (ADP, as measured in mmHg) during four separate renal ablations in the left renal artery (LRA).

[0102] **Figure 12C** shows the Changes in Mean Arterial Pressure (MAP) during Four Separated Renal Ablation in Left Renal Artery. Shown are changes in mean arterial pressure (MAP, as measured in mmHg) during four separate renal ablations in the left renal artery (LRA).

[0103] **Figure 12D** shows the Changes in Heart Rate (HR) during Four Separated Renal Ablation in Left Renal Artery. Shown are changes in heart rate during four separate renal ablations in the left renal artery (LRA).

[0104] **Figure 13A** shows the Changes in Arterial Systolic Pressure (ASP) during Four Separated Renal Ablation in Right Renal Artery. Shown are changes in arterial systolic pressure (ASP, as measured in mmHg) during four separate renal ablations in the right renal artery (RRA).

[0105] **Figure 13B** shows the Changes in Arterial Diastolic Pressure (ADP) during Four Separated Renal Ablation in Right Renal Artery. Shown are changes in arterial diastolic pressure (ADP, as measured in mmHg) during four separate renal ablations in the right renal artery (RRA).

[0106] **Figure 13C** shows the Changes in Mean Arterial Pressure (MAP) during Four Separated Renal Ablation in Right Renal Artery. Shown are changes in mean arterial pressure (MAP, as measured in mmHg) during four separate renal ablations in the right renal artery (RRA).

[0107] **Figure 13D** shows the Changes in Heart Rate (HR) during Four Separated Renal Ablation in Right Renal Artery. Shown are changes in heart rate during four separate renal ablations in the right renal artery (RRA).

[0108] **Figure 14** shows the experimental setup for the chronic renal nerve ablation experiments.

[0109] **Figure 15** shows the histology map scheme for renal artery sections taken from sacrificed animals.

5 [0110] **Figure 16** shows the systolic blood pressure changes as a result of electrical stimulation at various locations (RA: Renal Artery; AA: Abdominal Aorta).

[0111] **Figure 17A** shows the ablation scheme of a full length ablation in an embodiment of this invention; each gray circle on the renal artery represents an ablation site.

10 [0112] **Figure 17B** shows the ablation scheme of a proximal ablation in an embodiment of this invention; each gray circle on the renal artery represents an ablation site.

[0113] **Figure 18A** shows the reduction in blood pressure as a result of full length ablation in an embodiment of this invention.

[0114] **Figure 18B** shows the reduction in blood pressure as a result of proximal ablation in an embodiment of this invention.

15 [0115] **Figure 19A** shows the distribution of the length of the left main renal arteries (mRA) in a Chinese sample population. The average length of the left mRAs was 28.46 ± 12.09 mm. From 1mm to 70mm, the length was divided into 14 sections with an interval of 5mm. People with left main renal arteries having 25-30mm in length constituted the largest group (18.6%) in this population.

20 [0116] **Figure 19B** shows the distribution of the length of the right main renal arteries (mRA) in a Chinese sample population. The average length of the right mRAs was 35.94 ± 15.57 mm. From 1mm to 70mm, the length was divided into 14 sections with an interval of 5mm. The length of right mRA was relatively diversified compared with the left mRAs. People with right main renal arteries having 40-45mm in length constituted the largest group (16.4%) in this population.

25 [0117] **Figure 20A** shows the distribution of the diameters of left main renal arteries at the ostium in a Chinese sample population.

[0118] **Figure 20B** shows the distribution of the diameters of left main renal arteries at 1/3 the length of the renal arteries in a Chinese sample population.

30 [0119] **Figure 20C** shows the distribution of the diameters of left main renal arteries at 2/3 the length of the renal arteries in a Chinese sample population.

[0120] **Figure 20D** shows the distribution of the diameters of left main renal arteries at the furcation of the renal arteries in a Chinese sample population.

[0121] **Figure 20E** shows the distribution of the diameters of right main renal arteries at the ostium in a Chinese sample population.

[0122] **Figure 20F** shows the distribution of the diameters of right main renal arteries at 1/3 the length of the renal arteries in a Chinese sample population.

[0123] **Figure 20G** shows the distribution of the diameters of right main renal arteries at 2/3 the length of the renal arteries in a Chinese sample population.

5 [0124] **Figure 20H** shows the distribution of the diameters of right main renal arteries at the furcation of the renal arteries in a Chinese sample population.

[0125] **Figure 21A** shows a catheter tip comprising a one-loop spiral-shaped structure (2100) having a plurality of electrodes (2101) in one embodiment of this invention.

10 [0126] **Figure 21B** shows the same catheter tip in Figure 21A as view from the distal end comprising a one-loop spiral-shaped structure (2100) having a plurality of electrodes (2101).

[0127] **Figure 21C** shows a catheter tip comprising a two-loop spiral pyramid-shaped structure (2110) having a plurality of electrodes (2111) in one embodiment of this invention.

[0128] **Figure 21D** shows the same catheter tip in Figure 21C as view from the distal end comprising a two-loop spiral pyramid-shaped structure (2110) having a plurality of electrodes (2111).

15 [0129] **Figure 21E** shows a strategy for precise mapping and selective ablation of renal nerves using an embodiment of this invention.

[0130] **Figure 21F** shows one embodiment of a one loop catheter tip being retracted into a sheath.

20 [0131] **Figure 21G** shows the one loop catheter tip of Figure 21F extended out of the sheath to form the one-loop structure.

[0132] **Figure 21H** shows one embodiment of a catheter tip having multiple loops being extended out of a sheath. Each of the loops are spaced 2-10mm apart.

[0133] **Figure 21I** shows one embodiment of a catheter tip deployed with a guidewire.

[0134] **Figure 21J** shows another embodiment of a catheter tip deployed with a guidewire.

25 [0135] **Figure 21K** shows one embodiment of a display screen for indicating the stimulation results using the catheter of this invention.

[0136] **Figure 22A** shows a catheter tip in one embodiment of this invention comprising resilient members (2200) having a plurality of electrodes (2201). The resilient members (2200) are attached to a controlling shaft (2203) at their proximal ends. The resilient members (2200) are retracted into a tubular structure (2204).

30 [0137] **Figure 22B** shows the same catheter tip in Figure 22A with the resilient members (2200) and electrodes (2201) pushed out of the tubular structure (2204) by the controlling shaft (2203).

[0138] **Figure 22C** shows a catheter tip with modification to the embodiment in Figure 22A. A controlling ring (2205) sheaths the resilient members (2200) and can be used to control the amount

of expansion of the resilient members (2200) when the resilient members (2200) and electrodes (2201) are pushed out of the tubular structure (2204) by the controlling shaft (2203).

[0139] **Figure 23A** shows a catheter tip in one embodiment of this invention comprising resilient members (2300) having a plurality of electrodes (2301). The resilient members (2300) are attached to a controlling shaft (2303) at their proximal ends while their distal ends are attached to a controlling rod (2305). The resilient members (2300) are retracted into a tubular structure (2304).

[0140] **Figure 23B** shows the same catheter tip in Figure 23A with the resilient members (2300) pushed out of the tubular structure (2304) by the controlling shaft (2303) without pulling back on the controlling rod (2305).

[0141] **Figure 23C** shows the same catheter tip in Figure 23A with the resilient members (2300) pushed out of the tubular structure (2304) by the controlling shaft (2303). The controlling rod (2305) is pulled back to cause bulging of the resilient members (2300).

[0142] **Figure 24** shows an embodiment of the catheter design for proximal ablation where a single catheter has a first set of electrodes (2411) for electrical stimulation and a second set of electrodes (2421) for ablation.

[0143] **Figure 25** shows an embodiment of the catheter design for proximal ablation where one catheter (2510) is used for electrical stimulation and another catheter (2520) is used for ablation.

[0144] **Figure 26** shows the changes in physiological parameters when using the catheter in one embodiment of this invention.

[0145] **Figures 27A-27D** shows different embodiments of the modified waveform of this invention.

[0146] **Figure 28A** shows an elevational view of an embodiment for using the nerve mapping system of this invention where by a catheter (2800) having a set of distal electrodes (2801) and a set of proximal electrodes (2802) at its tip which is inserted into a vessel having nerves on its outer surface.

[0147] **Figure 28B** shows an end-on view of different vessel sections according to the embodiment shown in Figure 28A.

[0148] **Figure 28C** shows the set of distal electrodes (2801) are spaced closely apart in region R1 and the set of proximal electrodes (2802) are spaced closely apart in region R2.

[0149] **Figure 28D** shows a catheter in one embodiment that comprises a set of middle electrodes (2803).

[0150] **Figure 28E** shows an embodiment of this invention whereby a catheter comprises a set of electrodes (2804) covering one third the length of a vessel segment.

[0151] **Figure 29** shows the configuration of electrodes in sections 1-1' to 7-7' on figure 28E as view from distal end.

[0152] **Figure 30A** shows a catheter tip in one embodiment of this invention having at least two segments (3001, 3002), each segment can be controlled to achieve a different diameter..

[0153] **Figure 30B** shows the same catheter tip in figure 30A with the controlling rod (3005) being retracted into the controlling sheath (3007) such that the resilient members (3003) at the distal segment (3001) are forced to bulge out.

[0154] **Figure 30C** shows the same catheter tip in figure 30A with both the controlling rod (3005) and separation rod (3006) being retracted into the controlling sheath (3007) such that the resilient members (3003) at both the distal segment (3001) and proximal segment (3002) are forced to bulge out.

[0155] **Figure 30D** shows a catheter tip from another embodiment of this invention with the resilient members (3003) in the distal segment (3001) and proximal segments (3002) being attached to different separation rods (3006, 3008) so that the distance between the two segments can be controlled.

[0156] **Figure 30E** shows a catheter tip from another embodiment of this invention, comprising an additional middle segment (3009) between the distal and proximal segments.

[0157] **Figure 31A** shows a resilient member (3100) in one embodiment of this invention, comprising a flat part (3101) and a bending part (3102).

[0158] **Figure 31B** shows the same resilient member in figure 31A with the flat part (3101) joining the bending parts (3102) when compressed at the two ends (illustrated by the arrows).

[0159] **Figure 31C** shows a resilient member with the bending parts in the resilient member (3100) made thinner than the flat part.

[0160] **Figure 31D** shows a catheter tip in an embodiment of this invention, comprising multiple resilient members (3100) joined at a connecting ring (3104) which sheaths the controlling rod.

[0161] **Figure 31E** shows the same catheter tip in figure 31D with the control rod being retracted to cause bulging of the resilient members.

[0162] **Figure 31F** shows a catheter tip in another embodiment of this invention, comprising resilient members with wavy preformed shapes (3105).

[0163] **Figure 31G** shows the same catheter tip in Figure 31F resuming their wavy preformed shapes when the controlling rod is retracted.

DETAILED DESCRIPTION OF THE INVENTION

[0164] Please note that as referred to throughout this specification, the term “catheter” references the entire length of a catheter apparatus, from the distal portion intended for introduction into the desired target anatomy for ablation or other action, extending through to the juncture where

the catheter meets the cable linking the catheter to an RF generator. As referenced to through this specification, the term "catheter tip" is used to reference the distal portion of the catheter which carries electrodes, and performs stimulation, ablative, and mapping functions within the body at a targeted site of action. The term "catheter tip" is used interchangeably with terms referencing the
5 "distal portion" of any recited catheter.

[0165] The renal nerve architecture is of paramount consideration before successful ablation can take place; therefore, individual renal nerve architecture must be carefully considered or mapped before catheterization for denervation can be successfully accomplished. The presence of aberrant or unusual renal architecture, as well as normal variation in renal nerve architecture among
10 individuals require mapping of the renal nerves before ablation. In other words, mapping of the renal nerves is required before catheter denervation because the best spots for ablation are "random" in the sense that the best spots for ablation vary from one person to another, and from one artery to another. Optimal ablation thus requires identification or mapping of renal nerves prior to catheter ablation.

[0166] This invention provides a system and method for locating sites innervated with
15 functional nerves in the wall of arteries, particularly the renal artery, though persons skilled in the art will appreciate that nerves innervating other arteries or vessels in the human body may be located using this invention. The system comprises one or more devices capable of delivering a dose of energy to the wall of an artery; one or more sensors to receive inputs of physiological signals; one or more devices for analysis of signals from the sensors; and one or more indicators or panels capable
20 of displaying the results of the analysis.

[0167] **Figure 1** depicts an exemplary system in accordance with an aspect of the invention, namely a renal denervation system using blood pressure and heart rate as the physiological parameters for identifying nerve response. The system comprises one or more of devices (101) for delivery of energy to the arterial wall which is in electrical communication with a power source (102).
25 System further comprises sensors (103) for detecting physiological signals in electrical communication with device (104) for analysis of the physiological signals. The indicator (105) in electrical communication with device (104) displays the result of the analysis from device (104). Device (101), in the form of a dual-function catheter, is shown inserted into the renal artery via minimal invasive interventional procedure in this embodiment. At least one of the electrodes of
30 device (101) contacts the renal arterial wall at a defined location and is capable of delivering a dose of energy from the power source (102) for stimulation or ablation of the nerves that may be innervating the area of the arterial wall for which the electrode is in contact with. Sensors (103) detect changes in blood pressure and/or heart rate as energy sufficient for nerve stimulation or ablation is delivered from an electrode on device (101) to the spot the electrode is contacting on the arterial wall.
35 The signals from sensor (103) will be inputted to device (104) which will determine digitally whether

the signal elicited is due to sympathetic or parasympathetic nerves, or the lack thereof. Indicator (105) will then display the result of the analysis from device (104).

[0168] In one embodiment of the invention, device (101) is an invasive device inserted into an artery capable of delivering energy to a nerve innervating the artery, resulting in nerve stimulation or ablation. In another embodiment, device (101) is made up of two separate entities, one delivering the energy for nerve stimulation, and the other nerve ablation. In a different embodiment, device (101) is a single-electrode catheter or multi-electrode catheter.

[0169] In one embodiment, power source (102) delivers energy to the arterial wall via device (101). In another embodiment, energy is delivered remotely through the human body by power source (102) into the arterial wall without device (101). In a further embodiment, power source (102) is a multi-channel power source capable of delivering separate doses of energy independently to distinct locations on the arterial wall. In other embodiments, power source (102) is a single channel power source capable of delivering only 1 dose of energy each time. In another embodiment, the dosage of energy to be delivered by power source (102) is adjustable to induce different effects on a targeted nerve such as stimulation or ablation. In further embodiments, the energy delivered by power source (102) is one or more of electrical, mechanical, ultrasonic, radiation, optical and thermal energies.

[0170] In one embodiment, sensors (103) detect signals from physiological parameters comprising blood pressure, heart rate, levels of biochemicals such as epinephrine, norepinephrine, renin-angiotensin II and vasopressin, cardiac electrical activity, muscle activity, skeletal nerve activity, action potential of cells and other measurable reactions as a result of the above such as pupil response, electromyogram and vascular constriction. In a further embodiment, sensors (103) detect said signals externally with or without contacting any part of the human body. In another embodiment, sensors (103) detect said signals inside the human body by placing into contact with, or in the vicinity of, the lumen of interest such as the renal artery or femoral artery or any other artery. In yet another embodiment, sensor (103) could be a sensor from part of another equipment that is used in conjunction with this invention during the interventional procedure.

[0171] In an embodiment, device (104) is one or more microcontrollers or computers capable of digital analysis of the signals arising directly or indirectly from sensor (103).

[0172] In one embodiment, indicator (105) is one or more digital viewing panels that display the result from the analysis of device (104). In another embodiment, one or more results of said analysis from multiple locations on the arterial wall are simultaneously displayed on indicator (105). In a further embodiment, indicator (105) also displays one or more the physiological signals from sensor (103); energy related information from power source (102) such as current, frequency, voltage; tissue-electrode interface related information such as impedance; and information related to device (101) such as temperature. In certain embodiments, indicator (105) comprises a set of different

colored lights each distinctly representing sympathetic nerve, parasympathetic nerve or no nerve. In other embodiments, indicator (105) represents the result from analysis of device (104) with texts, symbols, colors, sound or a combination of the above.

[0173] In certain embodiments, device (104) and indicator (105) are integrated as a single device and, in further embodiments, both device (104) and indicator (105) are integrated into power source (102).

[0174] In yet another embodiment, sensor (103), device (104) and indicator (105) exist independently from device (101) and power source (102) such that sensor (103), device (104) and indicator (105) can be used with other external or invasive methods for energy delivery into the vessel wall such as high-intensity focused ultrasound.

[0175] The present invention additionally provides a method for identifying the presence of functional sympathetic or parasympathetic nerves innervating a selected area on the arterial wall based on changes in physiological parameters induced by a dose of energy. The method comprises one or more of the steps of preparing a baseline of the physiological parameters to be measured prior to the delivery of a dose of energy to the arterial wall; delivering a dose of energy to the arterial wall; detecting the physiological changes as a result of the delivered energy; rating the change based on a set of empirically pre-determined values; and, based on the ratings, determining if there are functional sympathetic or parasympathetic nerves in the vicinity of the site of energy delivery.

[0176] **Figure 2** is a flow chart illustrating the steps of the method for determining the presence of any functional sympathetic or parasympathetic nerve innervating a selected area of an arterial wall.

[0177] At step 1, physiological signals from sensor (103) are continuously recorded by device (104) to produce a reliable baseline reflective of any instantaneous changes in the signals.

[0178] Energy is then delivered by one of the electrodes in device (101) to the area on the arterial wall that this electrode is in contact with (step 2). Sensor (103) detects any physiological change caused by the energy delivered, and the change is recorded as signals which are then sent to device (104). (step 3)

[0179] In step 4, device (104) determines the deviation of the physiological signals from the baseline of step 1 and, in step 5, determines the type of nerves innervating the area on the arterial wall based on the deviation from the baseline information.

[0180] In one embodiment, the physiological signals detected by sensor (103) comprises one or more of blood pressure, heart rate, levels of biochemicals such as epinephrine, norepinephrine, renin-angiotensin II and vasopressin, cardiac electrical activity, muscle activity, skeletal nerve activity, action potential of cells and other observable body reactions as a result of the above such as pupil response and vascular constriction.

[0181] In an embodiment, the dosage of energy delivered in step 2 is adjustable to induce different interactions with a targeted nerve such as nerve stimulation or nerve ablation.

[0182] In certain embodiments, the values of the physiological signals are measured using other external devices and inputted into device (104) prior to the energy delivery to replace the
5 baseline formed by device (104).

[0183] In one embodiment, the changes in physiological parameters are detected during or after the energy delivery process in step 2. In another embodiment, the changes in physiological parameters are in the form of numerical values or waveforms. In further embodiments, the deviation from baseline of step 1 is evaluated by subtracting the baseline of step 1 from the signals.

10 [0184] In one embodiment, the empirically pre-determined set of values could be obtained from sets of clinical data or deduced from the experience of clinical physicians. In some embodiments, an area on the arterial wall is considered to be innervated with sympathetic nerves when energy delivered to the area causes an increase in heart rate by at least 10 beats per minute and/or an increase in blood pressure by at least 5 mmHg. In other embodiments, an area on the arterial
15 wall is considered to be innervated with parasympathetic nerves when energy delivered to the area causes a decrease in heart rate by at least 5 beats per minute and/or a decrease in blood pressure by at least 2 mmHg.

[0185] In a further embodiment, the results of step 5 will be displayed on indicator (105).

[0186] In one embodiment, the method is used for identifying the suitable sites for nerve
20 ablation in the arterial wall to disrupt baroreflex via sympathetic and parasympathetic nervous systems. In another embodiment, the method provides indication of whether the ablation energy is delivered accurately to the targeted nerves in the arterial wall. In a further embodiment, the method is used for immediate post-procedural assessment of nerve ablation.

[0187] In one embodiment, a map of the innervated areas in a blood vessel is obtained by
25 repeated application of said method throughout the entire inner wall of a blood vessel. In another embodiment, a catheter having multiple electrodes at defined location is used in said method for delivery of stimulation energy as shown in **Figure 1** whereby a catheter (101) having multiple electrodes is inserted into a renal artery and contacts the inner renal arterial wall at defined locations. In one embodiment, the catheter can be any one of those described in this invention such as **Figures**
30 **3, 4, 5, 6, 7, 21, 22**. In one embodiment, the tip of the catheter is a network having multiple electrodes. In a further embodiment, the electrodes on the catheter are spaced at 0.5 to 5 mm apart such as 0.5, 1, 2, 3, 4 or 5mm.

[0188] In a further embodiment, said map generated can be correlated to the 3 dimensional
35 (3D) structure of the blood vessel as obtained using pre-determined data from tomographic imaging techniques such as magnetic resonance imaging, computed tomography or ultrasound so as to display

a 3D image of the innervated areas to the physicians during an interventional procedure. The instantaneous position of the catheter in a blood vessel could be imaged by means of live imaging techniques such as X-ray or ultrasound during the interventional procedure. In yet another embodiment, advanced image processing techniques correlate the 3D structure of the blood vessel with the instantaneous position of the mapping catheter and hence, establish the relationship between electrical stimulation locations, the nerve innervation, ablation locations and 3D structure of the blood vessel.

[0189] The present invention also provides for specially-designed catheters with a steerable distal end (i.e. the catheter tip) in shapes customized to renal architecture, possessing one or more electrodes to map renal nerve distribution, to perform renal ablations and to perform angiography. In certain embodiments, the electrodes of such catheters are sequentially spaced along the length of the catheter tip, where the electrode faces make contact with segmented portions of the renal artery lumen. In certain embodiments, the shape of the catheter tip is a single helix wherein the coil of the helix is either round or flat in shape (**Figures 3A-J**). In other embodiments, the catheter tip is a double helix wherein the coils of the helices are either round or flat in shape (**Figures 4A-F**). In further embodiments, the catheter tip may comprise a balloon around which is wrapped a helical coil, wherein spaced along the length of the helical coil are electrodes (**Figure 5A**); alternately, the catheter tip may comprise a balloon around which is an umbrella component encapsulating the balloon, and wherein spaced along the umbrella component are electrodes (**Figure 5B**). In variations of both embodiments shown in **Figure 5A** and **5B**, the coil or umbrella component may be either round or flat in shape; consequently the electrodes spaced along the length of the coil or umbrella may be round or flat in shape, depending upon the underlying shape of the coil or umbrella.

[0190] In further embodiments, the catheter tip may comprise an umbrella shape or frame with a closed end (**Figures 6A-B**), or umbrella with an open end (**Figure 6C-D**).

[0191] In another embodiment, the catheter has a steerable catheter tip with a single electrode at its tip (**Figure 7A-B**).

[0192] In certain embodiments, the above catheter tips may be introduced into the arterial architecture to perform the functions of a stent.

[0193] In one embodiment, the diameter of these catheter tips, d , may vary from 0.5mm to 10 mm; the length of the catheter tips, L , may vary from 20 mm to 80mm; the diameters of coil, D , may vary from 3.0 mm to 7.5 mm; the distances between each coil, l , may vary from 4 mm to 6 mm; the numbers of coils may vary from 3.3 to 20; and the fully uncoiled lengths of the coils may vary from 31 mm to 471 mm.

[0194] The electrodes of the catheters may be activated independently of one another or can be activated in any combination to emit electrical stimulation or radiofrequency energy. The

electrodes each have dual functions of delivering electrical stimulation or radiofrequency energy. Electrical stimulation is used to identify and map segments of renal artery lumen beneath which lie renal nerves of importance. Said identification and mapping is accomplished through the monitoring of a physiological response or responses to the applied electrical stimulation, such as changes in blood pressure response and heart rate or muscle sympathetic nerve activity (Schlaich et al., NEJM 2009), or renal norepinephrine spillover (Esler et al. 2009, and Schlaich et al., J HTN. 2009), wherein changes in physiological response indicate the presence of an underlying sympathetic nerve distribution in the vicinity of the activated electrode. In another embodiment, individual electrodes of the catheters may be activated in physician operator-selected combinations in order to assess maximal physiological response, and the consequent locations of underlying renal nerves. The electrodes of the catheters are able to emit not just electrical current of sufficient strength to stimulate renal nerve, but thermal energy such as radiofrequency energy to ablate underlying renal nerve tissue based on renal nerve mapping results. In other embodiments, separate electrodes of the catheters can be selectively activated to emit ablative energy such as high radiofrequency energy wherein the choice of the activated electrodes is based upon the results of the mapping of the nerves. In further embodiments, based on the mapping of the renal nerves, ablative techniques using other types of ablative energy such as laser energy, high intensive focused ultrasound or cryoablative techniques can be utilized on renal artery walls to ablate the sympathetic renal nerves.

[0195] In certain embodiments, these catheters are interchangeably used with existing radiofrequency generators which are presently utilized with existing cardiac catheter systems.

[0196] In one embodiment, the aforementioned catheter systems may be utilized with any variety of acceptable catheter guidewire previously inserted into the patient's body to guide the catheter tip to the desired location. They may also be used with devices and other instruments that may be used to facilitate the passage of like devices within the cardiovascular and renal vascular systems, such as sheaths and dilators. When required, the aforementioned catheter systems may also be utilized with a puller wire to position the catheter tip.

[0197] The present invention also provides methods of using the catheters described herein to map renal nerve distribution, comprising the steps of using electrical stimulation while monitoring changes in physiological responses, such as blood pressure and heart rate, to map renal nerve distribution and identify ablation spots within renal arteries for ideal denervation of renal nerves. These methods comprise activating the independent electrodes of the described catheters to emit an electrical charge to stimulate the underlying renal nerve while monitoring physiological responses such as blood pressure and heart rate; the presence of changes in physiological response indicate the presence of an underlying sympathetic nerve in the vicinity of the activated electrode and a superior

location for ablation. An agglomeration of mapping data may take the form of a clinically useful guide respecting renal nerve distribution to assist clinicians in performing ablation.

[0198] In one embodiment, the tip of said catheter is optionally moved in a blood vessel according to a specified protocol in order to make contact with desired portions of the renal artery lumen. In one embodiment, the optional protocol for moving the catheter tip in the above method comprises moving the stimulatory or ablative section of the catheter tip from the half of the renal artery closer to the interior of the kidney to the half of the renal artery closer to the aorta and applying one or more electrical stimulation to each of the two halves.

[0199] In another embodiment, the optional protocol for moving the catheter tip comprises turning the stimulatory or ablative section of the catheter tip within the renal artery in the following sequence: (a) turning from the anterior wall to the posterior wall of the artery; (b) turning from the posterior wall to the superior wall of the artery; and (c) turning from the superior wall to the inferior wall of the artery, wherein each turn is 90° or less. In one embodiment, one or more electrical stimulations are applied after each turning of the catheter tip within the renal artery.

[0200] In one embodiment, the electrical stimulation applied falls within the following parameters: (a) voltage of between 2 to 30 volts; (b) resistance of between 100 to 1000 ohms; (c) current of between 5 to 40 milliamperes; (d) applied between 0.1 to 20 milliseconds; (e) total applied time is between 1 to 5 minutes.

[0201] The present invention also provides a method of ablating renal nerves to treat disease caused by systemic renal nerve hyperactivity, comprising the steps of: (a) applying the mapping method described herein to map renal nerves; (b) applying radiofrequency energy through the catheter to site-specific portions of the renal artery lumen to ablate the mapped renal nerves; and (c) applying stimulation again to assess the effectiveness of ablation. In further embodiments, based on the mapping of the renal nerves, other ablative techniques generally known in the art can be utilized on renal artery walls to ablate the sympathetic renal nerves, e.g. ablative techniques using other ablative energy such as laser energy, high intensive focused ultrasound or cryoablative techniques.

[0202] The present invention also provides a method for locating or identifying a functional nerve innervating the wall of a blood vessel in a subject, comprising the steps of a) Delivering energy to one or more locations on said vessel wall sufficient to change one or more physiological parameters associated with the innervation of said vessel by a sympathetic or parasympathetic nerve; and b) Measuring said one or more physiological parameters after each delivery of energy, and determining the change from the corresponding parameters obtained without energy delivery to said vessel; wherein a lack of change in said physiological parameters in step b indicates the absence of a functional nerve at the location of energy delivery, a significant change in said physiological parameters in step b indicates the presence of a functional nerve at the location of energy delivery

and the direction of change in said physiological parameters in step b determines the nerve to be sympathetic or parasympathetic at the location of energy delivery. In one embodiment, the blood vessel is an artery, including a renal artery. In one embodiment, the functional nerve is related to baroreflex. In another embodiment, the subject of the method is a human or non-human animal. It is to be understood that a lack of change means that the change would be considered by someone skilled in the art to be negligible or statistically insignificant, and a significant change means that the change would be considered by someone skilled in the art to be meaningful or statistically significant.

[0203] In one embodiment, the method used for locating or identifying a functional nerve innervating the wall of a blood vessel in a subject comprises a step of delivering energy to a location where a nerve has been ablated, wherein a lack of change in said physiological parameters confirms nerve ablation. In one embodiment, the energy delivered is adjustable and consists of electrical, mechanical, ultrasonic, radiation, optical and thermal energies. In another embodiment, the energy delivered causes nerve stimulation or nerve ablation.

[0204] In one embodiment, the physiological parameters described in the method used for locating or identifying a functional nerve innervating the wall of a blood vessel in a subject are selected from blood pressure, heart rate, cardiac electrical activity, muscle activity, skeletal nerve activity, action potential of cells, pupil response, electromyogram, vascular constriction, and levels of biochemicals selected from epinephrine, norepinephrine, renin-angiotensin II and vasopressin. In another embodiment, the functional nerve is a sympathetic or parasympathetic nerve.

[0205] In one embodiment, a system for locating or identifying a functional nerve innervating the wall of a blood vessel in a subject comprises: a) an energy-delivering device configured to deliver energy to one or more locations on said wall sufficient to stimulate a nerve innervating said vessel; b) one or more measuring devices for measuring one or more physiological parameters associated with the innervation of said blood vessel by a sympathetic or parasympathetic nerve, before or after energy is delivered to said nerve by said energy-delivering device; and c) a device configured to couple to the one or more measuring devices for displaying the location and identity of a nerve innervating said vessel wall. In one embodiment, the measuring devices are placed inside the vessel or outside the body. In another embodiment, the measuring devices comprise one or more microcontrollers or computers.

[0206] In one embodiment, said system displays the location and identity of a nerve as numbers, texts, symbols, colors, sound, waveforms, or a combination thereof.

[0207] In one embodiment, said system is used in a method for locating or identifying a functional nerve innervating the wall of a blood vessel in a subject, comprising the steps of a) Delivering energy to one or more locations on said vessel wall sufficient to change one or more physiological parameters associated with the innervation of said vessel by a sympathetic or

parasympathetic nerve; and b) Measuring said one or more physiological parameters after each delivery of energy, and determining the change from the corresponding parameters obtained without energy delivery to said vessel; wherein a lack of change in said physiological parameters in step b indicates the absence of a functional nerve at the location of energy delivery, a significant change in said physiological parameters in step b indicates the presence of a functional nerve at the location of energy delivery, and the direction of change in said physiological parameters in step b determines the nerve to be sympathetic or parasympathetic at the location of energy delivery.

[0208] The present invention provides for a catheter adapted to be used in a method to locate or identify a functional nerve innervating the wall of a blood vessel in a subject, comprising a shaft, wherein the proximal end of said shaft is configured to be connected to an energy source, and the distal end (catheter tip) of said shaft is in the form of a single helix, double helix or multiple prongs having one or more electrodes.

[0209] In one embodiment, said catheter comprises one or more electrodes that are configured to emit energy sufficient to stimulate or ablate a nerve on said vessel. In a further embodiment, said electrodes may be activated independently of one another.

[0210] In one embodiment, said catheter is between 1 and 2 m in length, wherein the catheter tip is between 2 and 8 cm in length, and between 0.5 mm and 10 mm in diameter.

[0211] In one embodiment, said catheter contains helical coils or prongs which are substantially round or flat in shape, and the electrodes are spaced along the length of said coils or prongs, wherein said electrodes are embedded in said coils or prongs, or lie on the surface of said coils or prongs. In one embodiment, the prongs are rejoined at the distal end. In yet another embodiment, the electrodes are evenly spaced along the length of said coils at 90° or 120° from each other.

[0212] In one embodiment, said catheter has a catheter tip that is configured to hold a balloon inflatable to fill the space within the coil of said helix or prongs.

[0213] The present invention also provides a method of using a catheter to locate or identify a functional nerve innervating the wall of a blood vessel in a subject, comprising the steps of: a) inserting said catheter into said blood vessel and activating the electrodes on the catheter to deliver energy to one or more locations on said vessel wall sufficient to change one or more physiological parameters associated with the innervation of said vessel by a sympathetic or parasympathetic nerve; and b) measuring said one or more physiological parameters after each energy delivery, and determining the change from the corresponding parameters obtained without energy delivery to said vessel; wherein a lack of change in said physiological parameters in step b indicates the absence of a functional nerve at the location of energy delivery, a significant change in said physiological parameters in step b indicates the presence of a functional nerve at the location of energy delivery,

and the direction of change in said physiological parameters in step b determines the nerve to be sympathetic or parasympathetic at the location of energy delivery. In one embodiment, said vessel is an artery, including a renal artery. In one embodiment, the functional nerve is related to baroreflex. In one embodiment, the location where energy is delivered is an area where a nerve has been ablated, wherein a lack of change in said physiological parameters in step b confirms nerve ablation. In another embodiment, the subject used is a human or non-human animal. In another embodiment, the physiological parameters described are selected from blood pressure, heart rate, cardiac electrical activity, muscle activity, skeletal nerve activity, action potential of cells, pupil response, electromyogram, vascular constriction, and levels of biochemicals selected from epinephrine, norepinephrine, renin-angiotensin II and vasopressin. In yet another embodiment, said energy is adjustable and consists of one or more of electrical, mechanical, ultrasonic, radiation, optical and thermal energies. In one embodiment, said energy causes nerve stimulation or nerve ablation. In another embodiment, the functional nerve is a sympathetic or parasympathetic nerve. In yet another embodiment, the energy delivered falls within the following ranges: a) voltage of between 2 and 30 volts; b) resistance of between 100 and 1000 ohms; c) current of between 5 and 40 milliamperes; d) time of application between 0.1 and 20 milliseconds; e) total applied time is 1 to 5 minutes.

[0214] In one embodiment, said catheter is moved in the blood vessel in the following sequence: a) turning 90° or less from the anterior wall to the posterior wall of the artery; b) turning 90° or less from the posterior wall to the superior wall of the artery; and c) turning 90° or less from the superior wall to the inferior wall of the artery.

[0215] In one embodiment, this invention provides a method for ablation of renal nerve adjacent to a renal artery of a subject, comprising the steps of: a) determining the presence of a renal nerve by i) contacting a first site on the inner renal artery wall with one or more first electrodes; ii) applying a first electrical stimulation by introducing electrical current to said first site via said first electrodes, wherein said electrical current is controlled to be sufficient to elicit changes in one or more physiological parameters when there is an underlying nerve at said first site, said one or more physiological parameters are selected from the group consisting of systolic blood pressure, diastolic blood pressure, mean arterial pressure, and heart rate; and measuring said one or more physiological parameters after said first electrical stimulation, wherein an increase of said physiological parameters as compared to measurements obtained before said first electrical stimulation would indicate the presence of a renal nerve; b) contacting a second site on the inner renal artery wall with one or more second electrodes, said second site is proximal to the ostium of said renal artery as compared to said first site; c) delivering ablation energy to said second site via said second electrodes; and d) applying a second electrical stimulation to said first site via said first electrodes and measuring said physiological parameters after said second electrical stimulation, wherein no increase of said

physiological parameters after said second electrical stimulation indicates ablation of renal nerve in said subject.

[0216] In one embodiment, the method further comprising repetition of steps (b) to (d) at a new second site if ablation at an original second site did not ablate the renal nerve in said subject.

5 [0217] In one embodiment, prior to delivering ablation energy at step (c), electrical stimulation is applied to said second site by said one or more second electrodes, wherein ablation energy is only delivered when said electrical stimulation elicits an increase of said physiological parameters as compared to measurements obtained before said electrical stimulation.

[0218] In one embodiment, the second site is a site within one-third the length of said renal
10 artery adjacent to the ostium.

[0219] In one embodiment, the first electrodes and second electrodes are located on a single catheter.

[0220] In one embodiment, the first electrodes and second electrodes are located on different catheters.

15 [0221] In one embodiment, the electrical current has one or more of the following parameters:
a) voltage between 2 and 30 volts; b) resistance between 100 and 1000 ohms; c) current between 5 and 40 milliamperes; d) time of application between 0.1 and 20 milliseconds; and e) total applied time between 1 to 5 minutes.

[0222] In one embodiment, the first electrodes or second electrodes are located on a catheter
20 comprising an expandable tip at its distal end. In another embodiment, the expandable tip when viewed from the distal end has a diameter in the range of 3.5mm to 20mm. In a further embodiment, the expandable tip comprises a tubular structure that houses one or more resilient members with pre-formed curvatures, wherein said first or second electrodes are disposed on said one or more resilient members, said one or more resilient members are attached at their proximal ends to a controlling
25 shaft, wherein movement of said controlling shaft causes said one or more resilient members to be pushed out of said tubular structure to resume the pre-formed curvature or retracted into said tubular structure.

[0223] In one embodiment, the first or second electrodes are disposed on a section of a catheter having a configuration comprising a spiral having one or more loops. In another
30 embodiment, the configuration comprises a spiral pyramid with the loops becoming progressively smaller from a proximal end to a distal end.

[0224] In one embodiment, this invention provides a catheter for mapping and ablating renal nerves distributed on the renal artery, comprising: a) a first set of electrodes comprising one or more electrodes configured to deliver one or both of electrical stimulation and ablation energy; b) a second
35 set of electrodes comprising one or more electrode configured to deliver one or both of electrical

stimulation and ablation energy; wherein said first and second sets of electrodes are located at a distal end of said catheter, said first set of electrodes is nearer to the distal end of said catheter in comparison to said second set of electrodes.

[0225] In one embodiment, the relative distance between said first set of electrodes and said second set of electrodes can be adjusted.

[0226] In one embodiment, the distal end of said catheter is in a configuration to enable said first set of electrodes or said second set of electrodes to contact renal artery wall at multiple sites, wherein said configuration when viewed from the distal end has a diameter in the range of 3.5mm to 20mm.

[0227] In one embodiment, the first or second set of electrodes is disposed on said distal end having a configuration comprising a spiral having one or more loops. In another embodiment, the configuration comprises a spiral pyramid with the loops becoming progressively smaller from a proximal end to a distal end.

[0228] In one embodiment, the distal end of said catheter comprises a tubular structure that houses one or more resilient members with pre-formed curvatures, wherein said first or second set of electrodes is disposed on said one or more resilient members, said one or more resilient members are attached at their proximal ends to a controlling shaft, wherein movement of said controlling shaft causes said one or more resilient members to be pushed out of said tubular structure to resume the pre-formed curvature or retracted into said tubular structure. In another embodiment, the catheter further comprises a controlling ring that sheaths said one or more resilient members, wherein movement of said controlling ring along said resilient members controls the extend said one or more resilient members resumes its pre-formed curvature. In a further embodiment, the catheter further comprises a controlling rod within said controlling shaft, wherein the distal end of said controlling rod is attached to the distal ends of said one or more resilient members, wherein retracting said controlling rod after said one or more resilient members are pushed out of said tubular structure will cause said one or more resilient members to bulge out at their middle.

[0229] In one embodiment, this invention provides a catheter for mapping renal nerves distributed on a renal blood vessel, comprising: a catheter tip comprising a plurality of bipolar electrodes; said plurality of bipolar electrodes are disposed along said catheter tip to form at least one loop, wherein each of said plurality of bipolar electrodes comprises an anode and a cathode; wherein an electrical stimulation can be delivered between any anodes and cathodes among said plurality of bipolar electrodes.

[0230] In one embodiment, said catheter further comprises a sheath into which said catheter tip can be retracted.

[0231] In one embodiment, said plurality of electrodes form two or more loops spaced 2 to 10 mm apart.

[0232] In one embodiment, said at least one loop have a diameter of 2 to 15 mm.

[0233] In one embodiment, said plurality of bipolar electrodes further delivers ablation
5 energy.

[0234] In one embodiment, said catheter tip further comprises electrodes for delivering ablative energy. In another embodiment, said ablative energy is selected from the group consisting of radiofrequency, mechanical, ultrasonic, radiation, optical and thermal energies.

[0235] In one embodiment, this invention provides a system for mapping innervated areas in
10 a renal artery based on the position(s) of electrodes on a catheter, comprising: (i) the catheter of this invention; (ii) one or more measuring devices for measuring one or more physiological parameters associated with innervation of said renal artery, wherein said physiological parameters are selected from the group consisting of systolic blood pressure, diastolic blood pressure, mean arterial pressure, and heart rate; (iii) a computing device coupled to said one or more measuring devices and is
15 configured for computing any increase or decrease in the physiological parameters against a baseline; and (iv) a display device for displaying the location or identity of a parasympathetic or sympathetic nerve innervating said renal artery, said location is based on the position(s) of electrodes on said catheter.

[0236] In one embodiment, a plurality of bipolar electrodes on said catheter further delivers
20 ablation energy.

[0237] In one embodiment, said catheter further comprises electrodes for delivering ablative energy. In another embodiment, said ablative energy is selected from the group consisting of radiofrequency, mechanical, ultrasonic, radiation, optical and thermal energies.

[0238] In one embodiment, said computing device is further configured to receive and
25 correlate 3D structure data of said renal artery.

[0239] In one embodiment, said display device comprises a display screen showing results of electrical stimulation applied through each of a plurality of bipolar electrodes disposed on said catheter.

[0240] In one embodiment, this invention provides a method for mapping innervated areas in
30 a renal artery, comprising the steps of: a) introducing the catheter of this invention into said renal artery such that each of said plurality of bipolar electrodes contacts an inner wall of said renal artery; b) measuring one or more physiological parameters to obtain baseline measurements before introducing an electrical stimulation between an anode of a first bipolar electrode and a cathode of a second bipolar electrode, said physiological parameters are selected from the group consisting of
35 systolic blood pressure, diastolic blood pressure, mean arterial pressure, and heart rate; c) applying

an electrical stimulation by introducing an electrical current between said anode and said cathode, a distance between said anode and said cathode is a first stimulation pathway, wherein said electrical current is controlled to be sufficient to elicit changes in said physiological parameters when there is an underlying nerve in said first stimulation pathway; d) measuring said physiological parameters at
5 a specific time interval after the electrical stimulation, wherein an increase of said physiological parameters over the baseline measurements after said electrical stimulation indicates that a sympathetic renal nerve has been mapped between said first bipolar electrode and said second bipolar electrode, wherein a decrease of said physiological parameters over the baseline measurements after said electrical stimulation indicates that a parasympathetic renal nerve has been mapped between said
10 first bipolar electrode and said second bipolar electrode.

[0241] In one embodiment, the method of this invention further comprises repeating steps (b) to (d), wherein the electrical stimulation is applied through another pair of bipolar electrodes, wherein a distance between said another pair of electrodes is shorter than said first stimulation pathway, wherein a sympathetic or parasympathetic renal nerve is mapped and located when the electrical
15 stimulation is applied through an anode and a cathode from a same bipolar electrode.

[0242] In one embodiment, the electrical current delivered falls within the following ranges: voltage of between 2 and 30 volts; resistance of between 100 and 1000 ohms; current of between 5 and 40 milliamperes; time of application between 0.1 and 20 milliseconds.

[0243] In one embodiment, said increase in systolic blood pressure ranges from 4 to 29
20 mmHg.

[0244] In one embodiment, said increase in diastolic blood pressure ranges from 1.5 to 20 mmHg.

[0245] In one embodiment, said increase in mean arterial pressure ranges from 3 to 17 mmHg.

[0246] In one embodiment, said increase in heart rate ranges from 4 to 12 beats/min.

25 [0247] In one embodiment, this invention provides a catheter for mapping renal nerves distributed on a renal blood vessel, comprising: a catheter tip comprising a plurality of electrodes; said plurality of electrodes are disposed along said catheter tip to form at least one loop, wherein an electrical stimulation can be delivered between any pair of electrodes among said plurality of electrodes.

30 [0248] In one embodiment, said plurality of electrodes cover one-third the length of said renal blood vessel.

[0249] In one embodiment, said catheter tip comprises a plurality of resilient members (3003) having said plurality of electrodes, each of said plurality of resilient members (3003) has a distal end and a proximal end, wherein said distal end is attached to a controlling rod (3005) and said proximal
35 end is attached to a separation rod (3006); said separation rod (3006) moves freely from the

controlling rod (3005); or said distal end is attached to a separation rod (3006) and the proximal end is attached to a controlling sheath (3007); said controlling sheath houses said controlling rod (3005) and said separation rod (3006).

In one embodiment, each of said plurality of resilient members (3003) comprises a flat part (3101) and a bending part (3102).

[0250] In one embodiment, said resilient member comprises a mechanism for concentrating bending at the bending parts, said mechanism comprises: having a kink at where the flat part (3101) joins the bending parts (3102); said flat part being stiffer than said bending parts; or said bending parts being thinner than said flat part.

[0251] In one embodiment, said catheter tip comprises a distal segment and a proximal segment, said plurality of electrodes are separated into a set of distal electrodes on said distal segment and a set of proximal electrodes on said proximal segment.

[0252] In one embodiment, said set of distal electrodes are located on a set of distal resilient members and said set of proximal electrodes are located on a set of proximal resilient members, wherein each of said distal resilient members has a distal end connected to a controlling rod and a proximal end connected to a connecting ring which sheaths said controlling rod; each of said proximal resilient members has a distal end connected to said connecting ring and a proximal end connected to a controlling sheath; said connecting ring moves passively along the controlling rod based on the force balance between said distal and proximal set of resilient members.

[0253] In one embodiment, said catheter tip comprises a controlling rod, a controlling sheath and one or more resilient members (3105) with wavy preformed shape, wherein said one or more resilient members comprises a distal end and a proximal end, said distal end is attached to said controlling rod and the proximal end is attached to a controlling sheath.

[0254] In one embodiment, said one or more resilient members (3105) with wavy preformed shape comprises one or more points contacting said controlling rod, wherein at least one of said one or more points contacting said controlling rod comprises a connecting ring.

[0255] In one embodiment, said plurality of electrodes is adapted for delivering ablative energy.

[0256] In one embodiment, said at least one loop has a diameter of 2 to 15 mm.

[0257] In one embodiment, this invention provides a system for mapping innervated areas in a renal artery based on the position(s) of electrodes on a catheter, comprising: (i) the catheter of this invention; (ii) one or more measuring devices for measuring one or more physiological parameters associated with innervation of said renal artery; (iii) a computing device coupled to said one or more measuring devices and is configured for computing any increase or decrease in the physiological parameters against a baseline; and (iv) a display device for displaying the location or identity of a

parasympathetic or sympathetic nerve innervating said renal artery, said location is based on the position(s) of electrodes on said catheter.

[0258] In one embodiment, said display device comprises a display screen showing results of electrical stimulation applied between any pair of electrodes among said plurality of electrodes.

5 [0259] It will be appreciated by persons skilled in the art that the catheter, system and method disclosed herein may be used in nerve ablation of the renal artery to disrupt baroreflex via sympathetic and parasympathetic nervous systems but its application could be extended to any innervated vessels in the body.

[0260] The invention will be better understood by reference to the Experimental Details
10 which follow, but those skilled in the art will readily appreciate that the specific examples are for illustrative purposes only and should not limit the scope of the invention which is defined by the claims which follow thereafter.

[0261] It is to be noted that the transitional term “comprising”, which is synonymous with “including”, “containing” or “characterized by”, is inclusive or open-ended and does not exclude
15 additional, un-recited elements or method steps.

EXAMPLE 1

Locating Nerves Innervating An Arterial Wall

[0262] A method to locate nerves innervating an arterial wall via examination of the changes in physiological parameters after the delivery of a suitable dose of energy was designed and executed
20 in acute pig experiments. The aims of this experiments are:

1. To test currently existing cardiac ablation catheters (7F,B-Type, spacing 2-5-2mm, CELSIUS® RMT Diagnostic/Ablation Steerable Catheter, Biosense Webster, Diamond Bar, CA 91765, USA) and a radiofrequency generator (STOCKERT 70 RF Generator, Model Stockert GmbH EP-SHUTTLE ST-3205, STOCKERT GmbH, Freiburg,
25 Germany) for the purposes of renal nerve mapping and ablation.
2. To test renal nerve mapping via examination of changes in blood pressure and heart rate during emission of electrical stimulation at different sites within the lumen of the left and right renal arteries.
3. To determine the safe range of high radiofrequency energy to be emitted to renal arteries
30 for renal nerve ablation via examination of visual changes of renal arterial walls and histology.
4. To use changes in blood pressure and heart rate as indices of efficient ablation of renal nerves during renal ablation.

[0263] Three pigs (body weight from 50-52 kg) were anesthetized with intravenous injection
35 of sodium pentobarbital at 15 mg/kg. The physiological parameters: systolic blood pressure, diastolic

blood pressure, mean arterial pressure and heart rate were monitored. The experimental design and protocol are illustrated in **Figure 8**.

[0264] The ablation catheter used in this set of experiments was the 7F,B-Type, spacing 2-5-2mm, CELSIUS® RMT Diagnostic/Ablation Steerable Catheter (Biosense Webster, Diamond Bar, CA 91765, USA) and a Celsius radiofrequency generator (STOCKERT 70 RF Generator, Model Stockert GmbH EP-SHUTTLE ST-3205, STOCKERT GmbH, Freiburg, Germany).

[0265] Baselines for systolic, diastolic and mean arterial blood pressure and heart rate were measured before the delivery of electrical energy to different areas of the renal arterial wall. Mean arterial blood pressure and heart rate were then measured 5 seconds to 2 minutes after the delivery of energy to note for any effects. By recognizing that a significant change in blood pressure and heart rate to be associated with nerve stimulation, it was found that, although the segment of the arterial wall that is innervated varies in each animal, the method described herein has correctly located these areas in each of the animals giving a map of the innervated regions in the renal artery.

EXAMPLE 2

Relationship Between Physiological Parameters and the Nerves Innervating an Arterial Wall

[0266] In order to demonstrate that energy delivered to different locations on an arterial wall may result in different effects on physiological parameters such as blood pressure and heart rate, and such characteristics can be capitalized on to identify the type of nerve innervating an arterial wall, electrical energy was delivered to the innervated areas on the renal arterial walls of the pig model according to several strategies. Detailed parameters on the electrical energy delivered to Pig #1, Pig #2 and Pig #3 are shown in **Table 1**, **Table 2** and **Table 3** respectively.

[0267] In Pig #1, four separate stimulations took place in the left renal artery and two separate stimulations were performed in the right renal artery. As preliminary approaches, on the abdominal side of the left renal artery, two separate doses of electrical energy were delivered: one to the anterior wall and one to the posterior wall of the artery. On the kidney side of the left renal artery, two separate doses of electrical energy were delivered: one to the anterior wall and one to the posterior wall of the artery. Different effects of these energies on blood pressure and heart rate were observed. In the right renal artery, one dose of electrical energy was delivered to the renal artery on the abdominal side and the kidney side, respectively. The same stimulation strategy was used for Pig #2 and Pig #3.

[0268] The electrical energy delivered to different locations in the renal artery caused different effects on the systolic blood pressure, diastolic blood pressure, mean blood pressure and heart rate in all of the pigs tested. For instance, in response to the electrical energy delivered to the left kidney, the maximal change in systolic blood pressure was respectively 19.5 mmHg and 29 mmHg in Pig #1 and Pig #3; the minimal change of systolic blood pressure was respectively 2 mmHg and 1 mmHg in Pig #1 and Pig #3. However, in Pig #2, changes in systolic blood pressure were

- consistent when the electrical energy was delivered to either the abdominal aorta side or the kidney side. Furthermore, the stimulation location which caused the maximal effect or minimal effect varied from animal to animal, indicating that the distribution of renal autonomic nerves is not consistent between animals. These phenomena in systolic blood pressure, diastolic blood pressure, mean arterial blood pressure and heart rate during delivery of electrical energy to wall of the left renal artery were observed and further summarized in **Table 4A, 4B, 4C and 4D**, respectively. Similar phenomenon in systolic blood pressure, diastolic blood pressure, mean arterial blood pressure and heart rate during electrical stimulation in the right renal artery were also observed and further summarized in **Table 5A, 5B, 5C and 5D**, respectively.
- [0269] These data provide proof of concept for locating and identifying nerves innervating an arterial wall – specifically, that a substantial physiological response, in this case, the maximal increase or decrease in measured blood pressure, was induced by delivery of electrical energy via a catheter placed at a defined location where renal nerve branches are abundantly distributed. Averaged data (mean $\pm$ SD) calculated from **Table 4A-D** and **Table 5A-D** are graphically represented in **Figure 9** and **Figure 10**, inclusive of all sub-figures.

TABLE 1
Renal Nerve Stimulation for Mapping

Pig #1:

Renal Artery	Stimulation Site	Stimulation Parameters
Left	Kidney side	Anterior Wall
		15 V; 0.4ms; 400 Ohm; 17mA
	Abdominal Aorta Side	Posterior Wall
		15 V; 0.4ms; 400 Ohm; 28mA
Right	Kidney side	Anterior Wall
		15 V; 0.2ms; 400 Ohm; 28mA
	Abdominal Aorta Side	Posterior Wall
		15 V; 0.2ms; 540 Ohm; 28mA
Right	Kidney side	15 V; 0.2ms; 600 Ohm; 25mA
	Abdominal Aorta Side	15 V; 0.2ms; 520 Ohm; 25mA

20

TABLE 2
Renal Nerve Stimulation for Mapping

Pig #2:

Renal Artery	Stimulation Site	Stimulation Parameters
Left	Kidney side	15 V; 0.2ms; 580 Ohm; 26mA
	Abdominal Aorta Side	15 V; 0.2ms; 480 Ohm; 28mA
Right	Kidney side	15 V; 0.2ms; 520 Ohm; 28mA
	Abdominal Aorta Side	15 V; 0.2ms; 500 Ohm; 28mA

TABLE 3
Renal Nerve Stimulation for Mapping

Fig #3:

Renal Artery	Stimulation Site	Stimulation Parameters
Left	Kidney side	15 V; 9.9ms; 800 Ohm; 28mA
	Abdominal Aorta Side	15 V; 9.9ms; 800 Ohm; 28mA
Right	Kidney side	15 V; 9.9ms; 800 Ohm; 28mA
	Abdominal Aorta Side	15 V; 9.9ms; 800 Ohm; 28mA

TABLE 4A
Changes in Systolic Blood Pressure (SBP) During Electrical Stimulation in Left Renal Artery

SBP	Left Renal Stimulation							
	Maximal Responses (mmHg)				Minimal Responses (mmHg)			
Animal No.	Baseline	Maximal	Δ	Stimulation Location	Baseline	Minimal	Δ	Stimulation Location
Pig 1	131.5	151	19.5	AO Side	140	142	2	Renal Side
Pig 2	155	159	4	Renal Side	155	159	4	AO Side
Pig 3	173	202	29	Renal Side	169	170	1	AO Side
Average	153.2	170.7	17.5		154.7	157.0	2.3	
SD	20.8	27.4	12.6		14.5	14.1	1.5	

5 **TABLE 4B**
Changes in Diastolic Blood Pressure (DBP) During Electrical Stimulation in Left Renal Artery

DBP	Left Renal Stimulation							
	Maximal Responses (mmHg)				Minimal Responses (mmHg)			
Animal No.	Baseline	Maximal	Δ	Stimulation Location	Baseline	Minimal	Δ	Stimulation Location
Pig 1	99	108	9	AO Side	116	117	1	Renal Side
Pig 2	112	115	3	Renal Side	114	116	2	AO Side
Pig 3	119	139	20	Renal Side	110	115	5	AO Side
Average	110.0	120.7	10.7		113.3	116.0	2.7	
SD	10.1	16.3	8.6		3.1	1.0	2.1	

TABLE 4C
Changes in Mean Arterial Pressure (MAP) During Electrical Stimulation in Left Renal Artery

MAP	Left Renal Stimulation							
	Maximal Responses (mmHg)				Minimal Responses (mmHg)			
Animal No.	Baseline	Maximal	Δ	Stimulation Location	Baseline	Minimal	Δ	Stimulation Location
Pig 1	112.5	125	12.5	AO Side	123	128	5	Renal Side
Pig 2	130	133	3	Renal Side	131	132	1	AO Side
Pig 3	141	158	17	Renal Side	136	138	2	AO Side
Average	127.8	138.7	10.8		130.0	132.7	2.7	
SD	14.4	17.2	7.1		6.6	5.0	2.1	

10 **TABLE 4D**
Changes in Heart Rate (HR) During Electrical Stimulation in Left Renal Artery

HR	Left Renal Stimulation							
	Maximal Responses (beats/min)				Minimal Responses (beats/min)			
Animal No.	Baseline	Maximal	Δ	Stimulation Location	Baseline	Minimal	Δ	Stimulation Location
Pig 1	150	151	1	Renal Side	140	130	-10	Renal Side
Pig 2	126	132	6	AO Side	132	120	-12	Renal Side
Pig 3	138	142	4	Renal Side	159	150	-9	AO Side
Average	138.0	141.7	3.7		143.7	133.3	-10.3	
SD	12.0	9.5	2.5		13.9	15.3	1.5	

TABLE 5A
Changes in Systolic Blood Pressure (SBP) During Electrical Stimulation in Right Renal Artery

15

SBP	Right Renal Stimulation							
	Maximal Responses (mmHg)				Minimal Responses (mmHg)			
Animal No.	Baseline	Maximal	Δ	Stimulation Location	Baseline	Minimal	Δ	Stimulation Location
Pig 1	151.5	156	4.5	Renal Side	155	158	3	AO Side
Pig 2	153	166	13	Renal Side	157	162	5	AO Side
Pig 3	154	167	13	Renal Side	157	162	5	AO Side
Average	152.8	163.0	10.2		156.3	160.7	4.3	
SD	1.3	6.1	4.9		1.2	2.3	1.2	

TABLE 5B
Changes in Diastolic Blood Pressure (DBP) During Electrical Stimulation in Right Renal Artery

DPB	Right Renal Stimulation							
	Maximal Responses (mmHg)				Minimal Responses (mmHg)			
Animal No.	Baseline	Maximal	Δ	Stimulation Location	Baseline	Minimal	Δ	Stimulation Location
Pig 1	111.5	113	1.5	Renal Side	113	113	0	AO Side
Pig 2	113	119	6	Renal Side	114	117	3	AO Side
Pig 3	110	113	3	Renal Side	112	110	-2	AO Side
Average	111.5	115.0	3.5		113.0	113.3	0.3	
SD	1.5	3.5	2.3		1.0	3.5	2.5	

5

TABLE 5C
Changes in Mean Arterial Pressure (MAP) During Electrical Stimulation in Right Renal Artery

MAP	Right Renal Stimulation							
	Maximal Responses (mmHg)				Minimal Responses (mmHg)			
Animal No.	Baseline	Maximal	Δ	Stimulation Location	Baseline	Minimal	Δ	Stimulation Location
Pig 1	130	130	0	AO Side	131	130	-1	Renal Side
Pig 2	130	141	11	Renal Side	132	135	1	AO Side
Pig 3	127	130	3	Renal Side	130	131	1	AO Side
Average	129.0	133.7	4.7		131.0	132.0	1.0	
SD	1.7	6.4	5.7		1.0	2.6	2.0	

10

TABLE 5D
Changes in Heart Rate (HR) During Electrical Stimulation in Right Renal Artery

HR	Right Renal Stimulation							
	Maximal Responses (beats/min)				Minimal Responses (beats/min)			
Animal No.	Baseline	Maximal	Δ	Stimulation Location	Baseline	Minimal	Δ	Stimulation Location
Pig 1	141	146	5	AO Side	144	135	-9	Renal Side
Pig 2	135	147	12	Renal Side	120	117	-3	AO Side
Pig 3	129	135	6	Renal Side	126	123	-3	AO Side
Average	135.0	142.7	7.7		130.0	125.0	-5.0	
SD	6.0	6.7	3.8		12.5	9.2	3.5	

15

TABLE 6
Possible Effects of Stimulating Renal Nerves

Publication	Animal Model	Change of blood pressure when renal nerve stimulated	Change of heart rate when renal nerve stimulated
Ueda H, Uchida Y and Kamisaka K, “Mechanism of the Reflex Depressor Effect by Kidney in Dog”, Jpn. Heart J., 1967, 8 (6): 597-606	Dog	decrease	N/A
Beacham WS and Kunze DL, “Renal Receptors Evoking a Spinal Vasometer Reflex”, J. Physiol., 1969, 201 (1): 73-85	Cat	decrease	N/A

Aars H and Akre S “Reflex Changes in Sympathetic Activity and Arterial Blood Pressure Evoked by Afferent Stimulation of the Renal Nerve”, Acta Physiol. Scand., 1970, 78 (2): 184-188	Rabbit	decrease	N/A
Ma G and Ho SY, “Hemodynamic Effects of Renal Interoreceptor and Afferent Nerve Stimulation in Rabbit”, Acta Physiol. Sinica, 1990, 42 (3): 262-268	Rabbit	decrease	Decrease
Lu M, Wei SG and Chai XS, “Effect of Electrical Stimulation of Afferent Renal Nerve on Arterial Blood Pressure, Heart Rate and Vasopressin in Rabbits”, Acta Physiol. Sinica, 1995, 47 (5): 471-477	Rabbit	decrease	Decrease

[0270] Among all the stimulation experiments performed in pigs according to the previously described protocol, certain locations in the renal arterial wall led to significant decreases in heart rate without causing changes in the blood pressure or the change in blood pressure is minimal in comparison to the decrease in heart rate (**Figure 11**). Slight decreases in blood pressure, especially, diastolic blood pressure were often recorded. Out of the 56 data points inclusive of all 4 physiological parameters evaluated in the experiments, there were at least 1 data point from each physiological parameter that responded with the dose of energy by a drop or no/insignificant change in value; this accounted for over 23% of the data points in this experiment. These distinctive physiological changes in response to the stimulations appear to indicate that nerves innervating these locations are of parasympathetic nature and are different from those sympathetic nerves innervating the locations that results in increases in blood pressure and heart rate upon stimulation. **Table 6** summarized the effect of delivering a suitable dose of energy to the afferent renal nerve in different studies involving animal models of dogs, cats and rabbits. In conjunction with this invention, the studies in **Table 6** had demonstrated that it is not uncommon to induce effects akin to parasympathetic activity when a suitable dose of energy is delivered to the nerves innervating the kidney. In other words, there is an indication that, in the neural circuitry of the renal artery, there exist nerves that can induce parasympathetic activity rather than sympathetic activity and therefore should not be ablated when treating blood pressure related diseases.

EXAMPLE 3

Ensuring Energy Is Directed To A Target Nerve During Ablation

[0271] Subsequent to the studies for locating and identifying nerves in an arterial wall, energies at dosage suitable for ablations were also delivered to the innervated spots in the renal arterial wall of the same pigs. Four ablations were each delivered to the left and to the right renal arteries starting from the kidney side and moving to the abdominal aorta side in the order of

movement from the anterior, to the posterior, to the superior and then to the inferior wall; each ablation was ≤ 5 mm apart from the location of the previous ablation and the electrode head (catheter tip) of the ablation catheter was turned 90 degrees after each ablation. Based on the literature (Krum 2009, 2010), low energy level (5-8 watts) should be used for renal ablation; therefore, 5 watts and 8 watts were used for renal ablation. For left renal artery ablation, the energy level applied was 5 watts and the time length of ablation was 120 seconds; for the right renal artery, the ablation energy level applied was 8 watts and the time length was 120 seconds. The temperature at the ablation site was measured to be from 40°C to 50°C. The physiological parameters: systolic blood pressure, diastolic blood pressure, mean arterial pressure and heart rate were examined during ablations. The data clearly showed that ablation at different locations within the renal artery resulted in differing changes in blood pressure and heart rate, further demonstrating that changes in physiological parameters such as blood pressure and heart rate can be used as indicators for an accurate delivery of ablation energy to a targeted nerve and provided further evidence that distribution of the nerves in the arterial wall varied case by case.

[0272] Changes in systolic blood pressure, diastolic blood pressure, mean arterial pressure and heart rate during four separate renal ablations in the renal arteries of the left kidney were summarized in **Figures 12A, 12B, 12C and 12D**, respectively. Changes in arterial systolic and diastolic pressure, mean arterial pressure and heart rate during four separate renal ablations in the renal arteries of the right kidney were summarized in **Figures 13A, 13B, 13C and 13D**, respectively.

EXAMPLE 4

Chronic Renal Nerve Ablation Experimental Results

[0273] This set of experiments involves methods to determine the safety profile of the energy levels used in existing cardiac ablation catheters in the denervation of renal nerves. **Figure 14** describes the details of this experiment.

[0274] The ablation catheter used in this set of experiments was the 7F,B-Type, spacing 2-5-2mm, CELSIUS® RMT Diagnostic/Ablation Steerable Catheter (Biosense Webster, Diamond Bar, CA 91765, USA) and a Celsius radiofrequency generator (STOCKERT 70 RF Generator, Model Stockert GmbH EP-SHUTTLE ST-3205, STOCKERT GmbH, Freiburg, Germany). Four pigs were used in the study.

[0275] The energy levels used for the ablations applied were as follows: Right Renal Artery Ablation, 8W, 120s; Left Renal Artery Ablation 16W, 120s (n=3). Right Renal Artery Ablation, 16W, 120s; Left Renal Artery Ablation, 8W, 120s (n=3).

[0276] The pigs were anesthetized, and 4-5 renal ablations were performed for each renal artery (right and left) separately. Renal angiography was performed before and after the ablation to examine the patency of renal arteries. Pigs were allowed to recover from the procedures. In order to

determine the safety levels of ablation energy, one pig (Right renal artery, 16W, 120s; Left renal artery ablation, 8W, 120s) was terminated for assessment of acute lesions due to two different energy levels of ablation. Twelve weeks after the ablation procedure, angiography was performed on the animals for both renal arteries. Thereafter, the animals were sacrificed, and renal arteries and kidneys
5 examined for any visible abnormalities; pictures were taken with renal arteries intact and cut open, with both kidneys cut open longitudinally. Samples from both renal arteries were collected for further histology studies according to the histology maps shown in **Figure 15**.

EXAMPLE 5
Renal Mapping Catheter Designs

10 [0277] New catheters designed with functions of stimulation, mapping, ablation and angiography are hereby disclosed.

[0278] The catheter apparatus comprises an elongated catheter having a catheter tip on the distal end which, once inserted, is intended to remain in a static position within the renal vascular architecture; a proximal end; and a plurality of ablation electrodes. In one embodiment, the ablation
15 electrodes are evenly-spaced down the length of the elongated catheter tip. The plurality of these ablation electrodes are spaced from the proximal end and from the distal end of the elongated catheter tip by electrically nonconductive segments. In one embodiment, the first electrode on the tip side of the catheter or on the end side of the catheter can be used as a stimulation reference for any other electrodes to deliver electrical stimulation; alternatively, any one of these electrodes can be used as
20 a reference for other electrodes.

[0279] In one embodiment, the elongated catheter tip is of a helical shape.

[0280] In another embodiment, one or more conducting wires are coupled with and supplying direct or alternating electrical current to the plurality of electrodes via one or more conducting wires. A controller is configured to control the electrical current to the plurality of electrodes in either an
25 independent manner, or a simultaneous manner while the catheter tip remains in a static position in the renal artery.

[0281] In another embodiment, one or more conducting wires are coupled with and supplying radiofrequency (RF) energy to the plurality of electrodes, the RF energy being either unipolar RF energy or bipolar RF energy. A radiofrequency generator supplies energy via the one or more
30 conducting wires to the plurality of electrodes. A controller is configured to control the energy source to supply energy to the plurality of electrodes in either an independent manner, a sequential manner, or a simultaneous manner while the catheter tip remains in a static position in the renal artery.

[0282] The RF energy sent to the electrodes may be controlled so that only low-level electrical energy impulses are generated by the electrodes in order to merely stimulate underlying
35 nerve tissue, and in particular, renal nerve tissue. Alternately, the RF energy sent to the electrodes

may be controlled so that greater electrical energy impulses are generated by the electrodes in order to ablate underlying nerve tissue, and in particular, renal nerve tissue. The catheter tip, and in particular, the electrodes, are designed to remain in contact with the renal artery lumen, in the same place, throughout stimulation and ablation.

5 **[0283]** In another embodiment, the catheter is capable of being used with radiofrequency generators currently utilized in the practice of cardiac tissue ablation. These radiofrequency generators may include, but are not necessarily limited to those currently produced by Medtronic, Cordis/Johnson & Johnson, St. Jude Medical, and Biotronic.

10 **[0284]** Exemplary embodiments of the invention, as described in greater detail below, provide apparatuses for renal nerve denervation.

15 **[0285]** **Figures 3 to 7** are examples and illustrations of these ablation catheter and electrodes. Shown are elevational, cross-sectional, and end-on views of a distal portion of the ablation catheter tip according to various embodiments of the present invention.

20 **[0286]** In one embodiment, the catheter has an elongated tip of a helical shape. A plurality of electrodes is evenly spaced starting from their placement at the proximal end of the catheter tip through the distal end of the catheter tip by electrically nonconductive segments.

25 **[0287]** In certain embodiments, the catheter tip of the ablation catheter comprises a single helix; in others, it is composed of a double helix. The coil or coils of the helix or helices of the catheter tip may be either round or flat. Electrodes may be placed evenly down the length of the coils; for example, they can be spaced either 60°, 90° or 120° apart, but may be placed in other conformations or separated by different degrees.

30 **[0288]** In one embodiment, the electrodes may be either flat and rectangular or square in shape, if the coil of a helix is itself flattened. Alternately, the electrodes may be round and/or built into the helix if the coil is itself round. In another embodiment, the catheter tip has a length of from 2.0 cm to 8.0 cm and a diameter of 0.5 mm to 10.0 mm; the diameters of coil may vary from 3.0 mm to 7.5 mm; the distances of each coil may vary from 4 mm to 6 mm; and the fully uncoiled lengths of the coils may vary from 31 mm to 471 mm; the catheter's total length is from 1 m to 2 m.

35 **[0289]** In another embodiment, the catheter tip of the ablation catheter comprises a balloon catheter system. In one embodiment, electrodes are evenly spaced at intervals along a helical coil which is either round or flat in shape and wrapped around the balloon; in other embodiments, electrodes are spaced along an umbrella frame apparatus which is either round or flat in shape and wrapped down the length of the balloon. In certain embodiments, the umbrella frame apparatus has an open end and in others, a closed end. The electrodes will come into contact with the renal architecture upon inflation of the balloon apparatus. In one embodiment, the catheter tip has a length of 2.0 cm to 8.0 cm and a diameter from 0.5 mm to 10.0 mm when the balloon is not inflated; the

diameters of coil may vary from 3.0 mm to 8 mm; the distances of each coil may vary from 4 mm to 6 mm; the numbers of coils may vary from 3.3 to 20; and the fully uncoiled lengths of the coils may vary from 31 mm to 471 mm. the catheter's total length is from 1 m to 2.0 m.

[0290] In one embodiment, the diameter of the catheter tip when the balloon is inflated may range from 0.5 mm to 10 mm. The diameter of the coil around the balloon may range from 3 mm to 10 mm and the diameter of a fully inflated balloon is from 3 mm to 10 mm.

[0291] The invention may also comprise a catheter tip which is tube-like, cylindrical, and self-expanding with adjustable sizes. The materials used for these catheter tips may, in certain embodiments, comprise nickel-titanium (nitinol) alloy.

[0292] In one embodiment of this invention, there is provided a renal nerve modulation and ablation processes (on either the left side kidney, right side kidney, or both) comprising insertion of one of the catheters described above into either the left renal artery (LRA) or the right renal artery (RRA) followed by renal nerve mapping as substantially described above, followed by targeted ablation by individual electrodes.

[0293] In one embodiment, nerve stimulation takes place by application of the following parameters: 0.1ms-20ms, 2V-30V, 5mA-40mA, and 100 Ohm-1000 Ohm. In one embodiment, nerve ablation takes place by application of the following parameters: below 12 watts and 30 seconds – 180 seconds.

EXAMPLE 6 Proximal Renal Ablation

[0294] Using the above renal mapping approach that involves renal artery stimulation and changes in blood pressure and/or heart rate, it was found that stimulating proximal portion of renal artery in pig caused more significantly increase in blood pressure as shown in **Figure 16**. The effects of full renal artery ablation (n=21) (**Figure 17A**) vs proximal renal artery ablation (n=19) (**Figure 17B**) on arterial systolic and diastolic pressure were further compared in two groups of patients. Results, as shown in **Table 7**, revealed that by renal sympathetic mapping approach, and using full length renal artery ablation strategy, there were only 6 or 7 ablation sites needed for left renal artery and right renal artery, respectively. Once proximal renal sympathetic mapping approach was applied, the ablation sites were further decreased to approximately 3 for each side of the renal artery; meanwhile, total ablation time, procedure time and impedance were also significantly reduced compared to full length ablation strategy. These patients have been followed for 6 months to monitor their blood pressure. Comparable reductions in blood pressure have been achieved by significantly less renal ablations around proximal portion of renal artery (**Table 8** and **Figure 18A & 18B**). The P values are for the results of repeated-measures ANOVA. Individual groups were compared within each group using a Fisher's least significant difference test. The blood pressure (BP) values between

groups were compared at each time point with an unpaired t-test. Recently, Sakakura et al demonstrated the distribution of sympathetic peri-artery renal nerves in man and showed that the density of peri-artery renal sympathetic nerve fibers is lower in distal and dorsal locations but higher in proximal locations (J Am Coll Cardiol 2014;64:635–643). These results provided anatomy basis for the use of this proximal ablation strategy in clinical practice.

TABLE 7. Full Length vs. Proximal Ablation Parameters

	Full-length Group (n=21)	Proximal Group (n=19)	P value
Ablation No.			
LRA	6±1	3	<0.001
RRA	7±1	3.2±0.2	<0.001
Mean RF time per site (s)	67±4	69±9	0.93
Total RF time (s)	906±130	331±32	<0.001
Power (W)	10±2	11±1	0.87
Temp (°C)	40±1	40±1	0.91
Impedance (Ω)	188±25	169±11	0.047
Procedure time (min)	73±8	45±7	0.013

TABLE 8. Systolic and diastolic BPs at baseline and during 6 months of follow-up

	Baseline	1 month	3 months	6 months	P value
Full-length					
Systolic BP	178.1±13.5	147.7±9.4	143.4±7.0	140.4±7.1	<0.001
Diastolic BP	101.7±9.1	91.0±6.0	85.3±4.6	81.3±3.6	<0.001
Proximal					
Systolic BP	179.8±10.8	149.11±8.6*	144.1±7.6	140.3±7.6	<0.001
Diastolic BP	103.3±9.0	88.21±6.3	84.5±4.7	81.2±4.7	<0.001

[0295] In one embodiment, this invention provides a method for treatment of disease caused by systemic renal nerve hyperactivity by mapping and ablating only on the proximal portion of the renal artery. In one embodiment, the exact same site in the proximal portion of the renal artery is mapped and ablated. In another embodiment, ablation is conducted at one or more sites at the proximal portion of the renal artery while one or more separate sites in the renal artery is mapped and electrical stimulation delivered prior and after the ablation to verify whether ablation is successful.

[0296] In one embodiment, this invention provides a method where mapping is conducted at the distal portion of the renal artery to deliver electrical stimulation prior and after the ablation at the proximal portion of the renal artery to verify whether the ablation is successful. In one embodiment, electrical stimulation at mapped sites at the distal portion of renal artery does not elicit physiological
5 after ablation at the proximal portion indicates that the ablation is successful.

[0297] In one embodiment, this invention provides a method for identifying responders to renal ablation by electrical stimulation at the proximal portion of the renal artery and observing for any physiological changes elicited.

[0298] This invention also provides a strategy for effective renal ablation. In one
10 embodiment, the mapping and ablation procedure begin on the proximal portion of the renal artery and progress towards the distal portion until no response is observed when electrical stimulation is delivered. In one embodiment, one or more sites at the distal portion are mapped and ablation procedure will begin on the proximal portion of the renal artery and progress towards the distal portion until no response is observed when electrical stimulation is delivered at the one or more
15 mapped sites at the distal portion of the renal artery.

[0299] In one embodiment, a first set of one or more electrodes is used for mapping one or more sites innervated with renal nerve at the distal portion of the renal artery such that electrical stimulation delivered at said one or more mapped locations will elicit changes in physiological parameters. A second set of electrodes will then carry out ablation at the proximal portion of the renal
20 artery. In one embodiment, the ablation energy from the second set of electrodes is delivered to random locations at the proximal portion of the renal artery. In another embodiment, the electrodes from the second set of electrodes will also deliver electrical stimulation to map the renal nerve before ablation energy is delivered to the mapped locations. In a further embodiment, after the second set of electrodes has delivered the ablation energies, the first set of electrodes will deliver electrical
25 stimulation at the mapped location at the distal portion of the renal artery to check if any changes in physiological parameters will be elicited so as to confirm the renal nerve has been interrupted by the ablation energy from the second set of electrodes.

EXAMPLE 7

Renal Mapping and Ablation Catheter Designs

30 [0300] Based on the findings in this application, catheters with electrical stimulation and ablation functions are designed specifically for use in ablation of the proximal portion of renal artery.

[0301] In one embodiment, the distal end of the catheter can be designed to be any shapes whereby contact is made with the inner renal arterial wall at one or more locations within the proximal portion of the renal artery. In one embodiment, catheters with two, three, four, five, six, seven or
35 eight electrodes can be designed in a spiral shape but only cover the proximal portion of the renal

artery. In another embodiment, any of the catheters in Example 5 can be modified and adapted to contact only the proximal portion of the renal artery. In one embodiment, the catheter tip of the catheter is adapted to the renal artery having the typical diameter found in Asian populations. In one embodiment, the Asian population comprises the Chinese population. **Figure 19A** shows the distribution of the length of left main renal artery in a Chinese population sample while **Figure 19B** shows the distribution of the length of right main renal artery in the same sample. **Figures 20 A to D** shows respectively the distribution of the diameter of left renal artery measured at the ostium, 1/3 of the length, 2/3 of the length and at bifurcation in a Chinese population sample. **Figures 20 E to H** shows respectively the distribution of the diameter of right renal artery measured at the ostium, 1/3 of the length, 2/3 of the length and at bifurcation in the same sample.

[0302] In one embodiment, the helical, spiral or other structures at the catheter tip of this invention has a diameter between 3.5mm to 20mm. In another embodiment, the catheter tip has a length between 2mm-1.5cm.

[0303] In one embodiment, the catheter tip comprises a spiral-shaped structure. In another embodiment, the catheter tip assumes a spiral shape upon activation. In one embodiment, the catheter tip comprises shape memory alloys or polymers which assume a spiral shape when heated or cooled to a specific transition temperature. In another embodiment, different parts of the catheter tip is made of shape memory alloys or polymers having different transition temperature so that the catheter tip can be activated to assume more than one configuration. In one embodiment, the spiral structure can be activated to assume different diameters based on the size of the renal artery. For example, the spiral structure can have diameters ranging from 3.5mm to 20mm. In one embodiment, the spiral-shaped structure comprises a resilient member that can assume a shape of a spiral pyramid. In another embodiment, the spiral-shaped structure comprises a one-loop spiral. **Figure 21A** shows a catheter tip comprising a one-loop spiral-shaped structure (2100) having a plurality of electrodes (2101) in one embodiment of this invention. **Figure 21B** shows the same catheter tip as view from the distal end. In one embodiment, the spiral structure forms a complete circle when viewed from the distal end. In another embodiment, the diameter of the spiral is selected from 3.5mm to 20 mm. In a further embodiment, there are multi-loops in the spiral structure. For example, there can be one, 1.5 or two loops in the spiral structure. In one embodiment, there are multiple electrodes along the spiral structure. For example, there are 4, 5, 6 or 8 electrodes on the spiral structure.

[0304] In one embodiment, the spiral shape structure is a spiral pyramid as shown in **Figures 21 C and D** where the diameter of the distal loop is smaller than the more proximal loop. When a catheter tip is inserted into a renal artery, the second larger loop will be able to contact the arterial wall if the first loop is too small to do so. In one embodiment, the spiral structure comprises multiple loops with each loop decreasing in diameter from the distal end to the proximal end. In another

embodiment, each smaller loop fits into the inner diameter of the larger loop so that all diameters in that range are covered.

[0305] In one embodiment, one or more of the plurality of electrodes (2121) are bipolar electrodes comprising two closely spaced conductive parts. The pathway taken by an electrical stimulation will be from one of the conductive part to the other. In another embodiment, when there are two or more bipolar electrodes (2121) on the catheter tip, for example, in a one-loop structure of **Figure 21G**, the pathway taken by an electrical stimulation can be across more than two bipolar electrodes. In one embodiment of using such catheter to map the innervated areas in a renal blood vessel, by a first electrical stimulation across two electrodes on two opposite sides on a catheter tip that contacts the entire or part of the circumference of a renal blood vessel the presence of any innervations across that section can be quickly determined. Since a large section of the renal blood vessel is screened per electrical stimulation, the time required to completely screen a renal vessel would be drastically decreased as compared to single point stimulations. For example, as shown in **Figure 21E**, an electrical stimulation that spans half of the circumference of a renal blood vessel will elicit a physiological response if there is any nerve innervations in that section (see top panel, electrical stimulation spanning across five electrodes). If a physiological response is observed, a shorter path can be used to precisely locate the nerve so that ablation energy can be accurately delivered. For example, the path of electrical stimulation can be successively shortened by one electrode. The second and third panels of **Figure 21E** shows a path of electrical stimulation that spans across four and three electrodes respectively. These shortened paths of electrical stimulation would induce a physiological response because those stimulation paths still cover a nerve innervation. Upon further shortening of the path of electrical stimulation such that the stimulation pathway does not cover a nerve innervation, no physiological response would be induced (see forth panel in **Figure 21E**). Eventually, one could precisely locate the nerve innervation via electrical stimulation through a single bipolar electrode (see last panel in **Figure 21E**). As a result, a nerve innervation can be mapped and located precisely, and one could accurately deliver ablation energy to that site to ablate the nerve. In one embodiment, ablative energy is delivered from the bipolar electrodes to ablate the identified nerve. In another embodiment, a separate electrode dedicated for ablative energy is disposed next to the bipolar electrode to ablate the identified nerve.

[0306] In one embodiment, a spiral catheter contains electrodes described as above but separated by a distance of 3-6 mm. In one embodiment, the catheter tip can be retracted into a tubular structure, e.g. a sheath, and extends out to form the loop when required as shown in **Figures 21 F** and **21G**. The loop structures (2120) are confined by the sheath and will resume the loop structure once extended out to show the bipolar electrodes (2121). In another embodiment, there are multiple loops as shown in **Figure 21H**. In one embodiment, the diameter of the loops can be adjusted. In one

embodiment, the distance between each of the loops is 2-10mm. In a further embodiment, the bipolar electrodes can be applied to any of the catheter designs in this application. In one embodiment, the diameter of the loop formed by the bipolar electrodes can be adjusted. For example, the diameter of the loops in the catheter tips as shown in **Figures 21G and 21H** can be adjusted by a controlling wire that is connected to a part of tip distal to where the loop(s) is preformed and the controlling wire runs into a lumen at a part proximal to the loop(s); the diameter of the loop will then depend on the push or pull of the controlling wire which is controlled further proximal to the catheter tip, e.g. at a handle.

[0307] In one embodiment, the catheter of this invention is deployed using a guidewire (2130). A guidewire (2130) is first inserted to a region of interest e.g. (1) in both **Figures 21I and 21J** and then the catheter is directed along this guidewire to arrive at the same region of interest. In one embodiment, such as **Figure 21I**, there is a lumen in the guide catheter (2131) for inserting the guidewire (2130) and the catheter is directed along the guidewire to arrive at the region of interest as shown in (2) and (3) of **Figure 21I**. The catheter tip inside the sheath can then be extended out to form the loop structure (2120) as shown in (4). In another embodiment, there is a lumen inside the catheter tip for inserting the guidewire as shown in **Figure 21J**. The catheter travels along the guidewire as shown in (2) in **Figure 21J** but, because of the guidewire being inside the catheter tip, the loop structure does not form even after extending out of sheath as shown in (4) and only form the loop structure once the guidewire is pulled out (see **Figure 21J**). In a further embodiment, when there is a lumen inside the catheter such as **Figure 21J**, the catheter tip can be deployed at a distance away from the guide catheter (2131) or even without a guide catheter.

[0308] In one embodiment, the diameter of the catheter vertex, which contains electrodes described as above, is adjustable from 2-15mm. In one embodiment, the catheter is 6-8F guiding catheter compatible, 0.014" guiding wire compatible and can be used in the way of over the wire. In one embodiment, the catheter is an over-the-wire catheter. In another embodiment, the catheter is a rapid exchange catheter.

[0309] In one embodiment, a display screen, e.g. an user graphics interface as shown in **Figure 21K** can be used for displaying the results of electrical stimulation, renal nerve mapping and renal nerve ablation. In one embodiment, an indicator on the display screen will show the location of a hot spot, negative spot or cold spot (see further description below) on the wall of the renal blood vessel, such location being corresponding to the position of a bipolar electrode on the catheter. In one embodiment, the hot spot, negative spot and cold spot can be represented by a red, yellow and green light respectively. In one embodiment, the indications of these hot spot, negative spot and cold spot can be reconfirmed or changed to a sign indicating a successful ablation.

[0310] In one embodiment, the catheter tip comprises an expanding structure. In one embodiment, the expanding structure can expand to a diameter between 3.5mm to 20mm. In one

embodiment, the expanding structure comprises one or more resilient members pre-formed with a curvature which is attached to a controlling shaft at its proximal end and can be manipulated to move inside or outside of a tubular structure. Examples of expanding structure in different embodiments of this invention are shown in **Figures 22A to C**. **Figure 22A** shows the resilient members (2200) being
5 confined inside the tubular structure (2204). **Figure 22B** shows the resilient members (2200) resuming their pre-formed curvature when pushed by the controlling shaft (2203) to move out of the tubular structure (2204). **Figure 22C** shows a further embodiment of the present invention where a controlling ring (2205) is found at the proximal end of the resilient members (2200) and sleeves all resilient members (2200). The controlling ring (2205) can be independently pushed up or down along
10 the length of the resilient members (2200) so that the amount of expansion of the resilient members (2200) can be controlled.

[0311] In one embodiment, the distal ends of the one or more resilient members are attached to a controlling rod that can move independently of the controlling shaft. **Figures 23A to C** shows an embodiment of this invention having this feature. As shown in **Figure 23B**, the resilient members
15 (2300) do not resume their pre-formed curvature when pushed outside of the tubular structure because they are attached to the controlling rod (2305) at their distal ends. When the controlling rod (2305) is pulled backwards, the length of the controlling rod (2305) extended out of the controlling shaft is shorter than the resilient members (2300) and this will cause the resilient members to bulge as shown in **Figure 23C**. The more the controlling rod (2305) is pulled backwards, the more will the resilient
20 members (2300) be bulging out. In other words, this will allow this catheter tip to adapt to renal arteries of different sizes.

[0312] In one embodiment, the resilient members of this invention comprises super elastic materials. In one embodiment, the super elastic material comprises shape memory alloys. In one embodiment, the shape memory alloys comprises nickel-titanium, or copper-zinc-aluminum. In
25 another embodiment, the super elastic material comprises super elastic polymers.

[0313] In one embodiment, mapping and ablation of the renal artery is conducted with different portions of the same catheter as shown in **Figure 24**. In one embodiment, the catheter of this invention has two or more sets of electrodes comprising at least a first set of electrodes (2411) adapted to carry out mapping of renal nerve at the distal portion of the renal artery and a second set
30 of electrodes (2421) adapted to carry out ablation at the proximal portion of the renal artery. In one embodiment, the first set of electrodes (2411) are located on an expandable catheter tip so that multiple sites on the distal portion of the renal artery could be contacted and electrical stimulation could be delivered to each of these sites singly or together. In another embodiment, the first set of electrodes (2411) comprises only one electrode for delivering electrical stimulation to a single site in
35 the renal artery. In one embodiment, the first set of electrodes (2411) are located on a steerable

catheter tip wherein the curvature of the catheter tip can be adjusted. In one embodiment, the distance between the first and second sets of electrodes can be adjusted. In one embodiment, the first set of electrodes is located on any one of catheter tips shown in **Figures 3-7, 21-23**.

[0314] In one embodiment, the second set of electrodes (2421) are located on an expandable catheter tip so that multiple sites on the proximal portion of the renal artery could be contacted and ablation energy could be delivered to each of these sites singly or together. In another embodiment, the second set of electrodes (2421) comprises only one electrode for delivering ablation energy to a single site in the renal artery. In one embodiment, the second set of electrodes (2421) is located on any one of catheter tips shown in **Figures 3-7, 21-23**.

[0315] In one embodiment, electrical stimulation and ablation of the renal nerve from within the renal artery is conducted with two separate catheters as shown in **Figure 25**. In one embodiment, a first catheter (2510) is inserted to the distal portion of a renal artery to deliver electrical stimulation. For example, the tip of the first catheter (2510) can be one of those shown in **Figures 3-7, 21-23**. In one embodiment, a second catheter (2520) is inserted to the proximal portion of a renal artery to deliver ablation energy. For example, the tip of the second catheter (2520) can be one of those shown in **Figures 3-7, 21-23**.

[0316] **Figure 26** shows the physiological changes associated with using the catheter in **Figure 24** in one aspect of this invention for ablation of the renal artery. The catheter is first inserted into the renal artery such that the first set of electrodes is brought into contact with the inner wall of the distal portion of the renal artery at multiple sites and the second set of electrodes is brought into contact with the inner wall of the proximal portion of the renal artery as shown in **Figure 24**. Electrical stimulation is delivered from each of these electrodes to the site it contacts. If changes in physiological parameters as a result of the stimulation are observed (time point A), the second set of electrodes will deliver ablation energy to the proximal portion of the renal artery (time point B). If no changes in physiological parameters as a result of the stimulation are observed (time point O), the first set of electrodes will be manipulated such that each electrode contacts a new site and electrical stimulation will again be delivered to these new sites and this process will be repeated until changes in physiological parameters are observed in response to electrical stimulation (time point A). The length between the first set of electrodes and second set of electrodes can be adjusted so that both sets of electrodes can be placed at a location optimal for their functions in the renal artery. After the second set of electrodes delivered ablation energy (time point B), the first set of electrodes which maintained their contact with the multiple sites on the wall of the renal artery will deliver electrical stimulation to check that the renal nerve has been ablated. In case there is still physiological changes in response to electrical stimulation (time point C), ablation energy will be delivered at the same sites to fully ablate the renal nerve (time point D). Complete nerve ablation could be validated by further

electrical stimulation from the first set of electrodes with no resulting physiological changes (time point E). Optionally, even if the first set of electrodes confirmed that the renal nerve has been ablated, these electrodes are manipulated to contact new locations in the renal artery and electrical stimulation delivered to ensure that there are no alternate pathways in the renal nerve that was not ablated by the second set of electrodes (time point F).

[0317] In one embodiment, there are multiple electrodes on the first set of electrodes that are randomly inserted to the distal portion of the renal artery. Since there are multiple electrodes, the chance of having one or more electrodes contacting a site innervated by renal nerve is high and electrical stimulation energy could be delivered without pinpointing which electrode(s) is(are) eliciting the physiological changes. In another embodiment, there are multiple electrodes on the second set of electrodes that are randomly inserted to the proximal portion of the renal artery. Since there are multiple electrodes in the second set of electrodes, the chance of having one or more of these electrodes contacting a site innervated by renal nerve is high and ablation energy could be delivered without pinpointing whether the electrode(s) is(are) contacting a site innervated with renal nerve. In one embodiment, the second set of electrodes is arranged in a helical manner so that a conventional helical ablation pattern could be completed easily. In another embodiment, the electrodes are located on an expandable catheter tip such as those in **Figure 5** and **6** so that the electrodes can be adjusted to contact the inner wall of renal artery of different sizes. In another embodiment, the second set of electrodes comprises only one electrode on a steerable catheter tip as shown in **Figure 7** and **Figure 24**; a helical ablation pattern can be completed in the conventional manner with this set up. In another embodiment, the second set of electrodes comprising only one electrode also delivers electrical stimulation and ablation energy will only be delivered to specific sites where physiological changes are elicited by the electrical stimulation from the same electrode. Electrical stimulations from the first set of electrodes prior to and after the ablation will serve to confirm whether the ablation at the proximal portion of the renal artery is sufficient to achieve the desired effect.

[0318] In one embodiment, a second set of electrode having multiple electrodes is programmed to deliver ablation energy beginning from the electrode at the proximal portion of the renal artery and progress towards those electrodes at the distal portion of the renal artery, whereas electrical stimulation is delivered by a first set of electrode at one or more mapped sites at the distal portion of the renal artery both before and after each delivery of ablation energy. In another embodiment, delivery of ablation energy will be automatically stopped once electrical stimulation energy from the first set of electrodes no longer elicits changes in physiological parameters. In yet another embodiment, electrical stimulation from the first set of electrodes is delivered only before and after all electrodes in the second set of electrodes had delivered ablation energy. If physiological

changes are still observed, the second set of electrodes will be manipulated such that each electrode contacts a new site on the proximal portion of the renal artery for ablation and this process will continue until electrical stimulation energy from the first set of electrodes no longer elicits changes in physiological parameters.

- 5 [0319] In one embodiment, the catheter having a first set of electrode for the distal portion of renal artery and a second set of electrodes for the proximal portion of the renal artery can be used in the system shown in **Figure 1**.

EXAMPLE 8 **Identifying Renal Ablation Responders**

- 10 [0320] The proper candidates for renal sympathetic denervation therapy can be selected via detection of certain blood neural hormone levels. However, catecholamine including epinephrine, norepinephrine and dopamine are not specifically coordinated with the tone of sympathetic nerve system because the levels of catecholamine are influenced by many other factors. For instance, it has been shown that plasma norepinephrine is increased with age (Ziegler MG et al: Plasma
15 noradrenaline in-creases with age. Nature 1976, 261:333), smoking (Cryer PE et al: Norepinephrine and epinephrine release and adrenergic mediation of smoking associated hemodynamic and metabolic events. N Engl J Med 1976, 295:573), caffeine (Robertson D et al: Effects of caffeine on plasma renin activity, catecholamines and blood pressure. N Engl J Med 1978, 298:181), physical activity (Planz G et al: Correlation between increased dopamine- β -hydroxylase activity and
20 catecholamine concentration in plasma: Determination of acute changes in sympathetic activity in man. Eur J Clin Pharmacol 1975, 8:181) and sodium restriction (Robertson D et al: Salt restriction increases serum catecholamines and urinary normetanephrine excretion. Fed Proc 1977, 36:956). Levels of metanephrine and normetanephrine in plasma and urine reflect the activation of sympathetic nervous system, thus, further imply levels of sympathetic tone (Robertson D et al,
25 Hypertension 1979, 1:118-124) because both metanephrine and normetanephrine are less influenced by other factors. However, accurate assessments of metanephrine and normetanephrine in urine cannot be done by regular laboratory methods. In order to measure urine metanephrine and normetanephrine during sympathetic activation, Robertson et al used sodium deprivation diet and exercise to activate the sympathetic system, then used isotope ratio method employing gas
30 chromatography-mass spectrometry to measure urine metanephrine and normetanephrine, and radioenzymatic method to measure plasma epinephrine and norepinephrine. These investigators collected urine and blood samples in a 24-hour period from normal subjects and "borderline" hypertensive subjects who had normal blood pressure interspersed between hypertensive level. It was found that both sodium deprivation and excise evoked increases in levels of plasma norepinephrine
35 and urine normetanephrine in both normal and borderline hypertensive subjects, but in the borderline

hypertensive group, these responses were exaggerated as indicated by significantly higher plasma norepinephrine and urine normetanephrine levels compared to normal subjects. Recently, more sensitive and specific methods have been developed to measure free O-methylated metabolites of catecholamine in plasma and urine, metanephrine and normetanephrine, for the purpose of

5 diagnosing Pheochromocytomas and paragangliomas, for example, liquid chromatography with tandem mass spectrometry (LC-MS/MS) (Lagerstedt SA et al., Clinical Chemistry 2004, 50:(3) 603–611; Gabler et al., J Chromatograph Separat Techniq 2012, 4:7; Marrington R et al: Ann Clin Biochem 2010, 47:467–475; Peitzsch M: Clinica Chimica Acta 2013, 418:50–58). Although these investigators all used LC-MS/MS to measure metanephrine and normetanephrine, however, their

10 methods were different. For instance, Lagerstedt et al. had to use Oasis HLB for solid phase extraction (SPE) to enhance the sensitivity and specificity of their approach. Because Lagerstedt et al did not chromatographically separate epinephrine, thus their method has interference from epinephrine once its level was above 10.0 nmol/L in plasma. In order to further increase the sensitivity and eliminate this interference, Gabler et al separated normetanephrine from epinephrine chromatographically.

15 Marrington group and Peitzsch group believed that measurements of metanephrine and normetanephrine in urine using LC-MS/MS are superior to using blood samples, because “the higher concentrations of the urinary than plasma metabolites make their measurements simpler and more readily and widely available” (Peitzsch M et al., Clinica Chimica Acta 2013: 418, 50–58). Marrington et al measured both total urinary metanephrine and total urinary normetanephrine including free and

20 conjugated forms. Peitzsch et al believed that levels of free forms of metanephrine and normetanephrine in urine reflects the productions of these two hormones within adrenal chromaffin and pheochromocytoma tumor cells. Their approach allowed urinary catecholamines and their free and deconjugated O-methylated metabolites to be measured down to levels of 1.2nmol/L. All these studies demonstrated the use of LC-MS/MS to measure metanephrine and normetanephrine for

25 diagnosis of Pheochromocytomas and paragangliomas. The relationship between levels of normetanephrine and sympathetic nerve tone in primary hypertension patients has been predicted by Foti et al (Foti et al, J Clin Endocrinol Metab 1982, 55:81-85), who measured total and free normetanephrine in plasma by radioenzymatic assay. They found the mean concentrations of free normetanephrine in normotensives and hypertensives were 117 ± 10 and 155 ± 33 ng/liter, respectively; The mean concentrations of conjugated normetanephrine were 1417 ± 109 and $1670 \pm$

30 320 ng/liter in normotensives and primary hypertensives, respectively. The free and conjugated normetanephrine concentrations were 30% and 18% higher in patients with primary hypertension. Taken together, it is believed that using LC-MS/MS to measure metanephrine and normetanephrine in plasma and urine, in particular, the combinations of total and free format of these two hormones,

can assess the tone of sympathetic nervous system and further select proper patient population for renal sympathetic denervation therapy.

[0321] In one embodiment, this invention provides a method to identify a subject with systemic renal nerve hyperactivity as a responder for treatment with renal modulation, comprising the steps of: obtaining a body fluid from said subject; measuring the amount of a metabolite in said body fluid with HPLC-MS, wherein said metabolite comprises one or more of free metanephrine, conjugated metanephrine, free normetanephrine and conjugated normetanephrine; comparing the level of said metabolite against a reference value; and identifying said subject as a responder if the level of said metabolite is higher than the reference value by a specific amount.

[0322] In one embodiment, the body fluid is blood or urine.

[0323] In one embodiment, the reference value is the concentration of the metabolite in a normal population. In one embodiment, the reference value for conjugated normetanephrine is 1417 ± 109 ng/liter. In another embodiment, the reference value for free normetanephrine is 117 ± 10 ng/liter.

[0324] In one embodiment, the measured amount is 30% higher than the reference value of free normetanephrine. In another embodiment, the measured amount is 18% higher than the reference value of conjugated normetanephrine.

[0325] In one embodiment, the baseline is obtained from a reference metabolite in the same body fluid.

[0326] In one embodiment, the above method of identifying a subject with systemic renal nerve hyperactivity as a responder for treatment with renal modulation is followed by the mapping and ablation procedures described in Examples 1 to 7 and other parts of this application.

[0327] As pointed out previously, patients with hypertension resistant to the available anti-hypertensive drugs were selected for renal ablation studies and this interventional procedure demonstrated a 89% clinical success rate in lowering their blood pressure. In this invention, it was demonstrated that electrical stimulation from the renal artery can elicit a physiological response in a subject and, therefore, is an indication whether renal nerve played a role in the hypertension. In one embodiment, the mapping method of this invention therefore serves as a method to identify responders to ablation.

[0328] In one embodiment, this invention provides a method for identifying patients responsive to renal ablation for treatment of disease caused by systemic renal nerve hyperactivity, comprising the steps of: a) introducing a catheter into the lumen of a renal artery of a patient such that a tip of the catheter contacts a site on the inner renal artery wall; b) measuring one or more physiological parameters to obtain baseline measurements before introducing an electrical current to the site, such physiological parameters include systolic blood pressure, diastolic blood pressure, mean

arterial pressure, and/or heart rate; c) applying electrical stimulation by introducing an electrical current to the site via the catheter, wherein the electrical current is controlled to be sufficient to elicit an increase in the physiological parameters when there is an underlying nerve at the site; and d) measuring the above physiological parameters at a desired time interval after each electrical stimulation, wherein an increase of physiological parameters over the baseline measurements after electrical stimulation would indicate that the patient is responsive to renal ablation.

[0329] In one embodiment, the catheter is an ablative catheter designed to treat cardiac arrhythmias. In another embodiment, the catheter is an ablative catheter designed specifically for mapping renal nerves for ablative procedures.

[0330] In one embodiment, the desired time interval in step (d) is from about 5 seconds to about 2 minutes.

[0331] In one embodiment, the one or more physiological parameters include systolic blood pressure, and the increase in systolic blood pressure is in the range of 4 to 29 mmHg.

[0332] In one embodiment, the one or more physiological parameters include diastolic blood pressure, and the increase in diastolic blood pressure is in the range of 1.5 to 20 mmHg.

[0333] In one embodiment, the one or more physiological parameters include mean arterial pressure, and the increase in mean arterial pressure is in the range of 3 to 17 mmHg.

[0334] In one embodiment, the one or more physiological parameters include heart rate, and the increase in heart rate is in the range of 4 to 12 beats/min.

[0335] In one embodiment, the electrical current sufficient to elicit changes in the physiological parameters comprises one or more of the following parameters: a) voltage of between 2 and 30 volts; b) resistance of between 100 and 1000 ohms; c) current of between 5 and 40 milliamperes; d) applied between 0.1 and 20 milliseconds; and e) total applied time is between 1 to 5 minutes.

EXAMPLE 9

Renal Ablation At Locations Other than Renal Artery

[0336] Renal artery stimulation results in respectively increases or decreases in systemic blood pressure/heart rate, thereby indicating the location of renal sympathetic and parasympathetic nerve innervations. Experimentally, it has been shown that electrical stimulation can be delivered from inside of renal artery. Since renal nerves travel around renal artery within vascular adventures, and renal veins are parallel with renal artery, thus electrical stimulation can be achieved via a renal vein approach (Madhavan et al, 2014) or from outside of renal artery, that is, direct renal nerve stimulation. Based on the study by Chinushi et al (Hypertension 2013;61:450-456.) which showed increase in blood pressure by stimulation of renal nerves, Madhavan believed that increase in blood pressure can be achieved by transvenous stimulation of renal sympathetic nerves. Seven dogs and

one baboon were used in their study. A catheter was placed in the vein of the animal and high-frequency stimulation (800–900 pps, 10 V, 30–200 seconds) was delivered. These investigators observed a significant increase in systolic blood pressure from 117 (± 28) to 128(± 33) mmHg, and increase in diastolic blood pressure from 75 [± 19] to 87 [± 29] mmHg. That study confirmed previous findings of increases in blood pressure by stimulation of renal sympathetic nerves (Wang, US2011/0306851). However, the present investigators believe that the effects of renal sympathetic nerve stimulation on blood pressure can be utilized to treat hypotensive conditions such as neurocardiogenic syncope. Thus, the method of renal nerve stimulation described herein can be performed via intra-renal artery approach, extra-renal artery approach such as direct renal artery stimulation, or via intra-renal vein stimulation.

EXAMPLE 10

Human Clinical Data

[0337] A total of 11 patients were subjected to a clinical trial of the renal stimulation and mapping method of this invention. These patients have the following characteristics prior to the clinical study:

Office systolic Blood Pressure	150mmHg to 180mmHg
Resting heart rate	≥ 70 beats/min (resting heart rate not taken into account if beta blocker)

Average ambulatory mean blood pressure ≥ 135 mmHg

History of hypertension	> 6 months
Drug	Poor blood pressure control after 6 months of antihypertensive drug therapy

[0338] Each of the selected patients understood the purpose of the study, and was willing to participate and sign the Informed Consent. Patients were compliant and willing to complete clinical follow-up.

[0339] For each patient, sites from both renal arteries were stimulated and the electrical stimulations had the following parameters: Frequency: 20Hz; Pulse width: 5ms; Stimulation amplitude: 10-20mA; Stimulation duration: < 120 s. Choice of parameters could otherwise be any of the followings: Frequency 2-100 Hz; Pulse width: 1-20 ms; Stimulation amplitude: 2-25mA. Three different kinds of sites were identified based on blood pressure and heart rate responses after applying stimulation energy at these sites. In this invention, use of the terms “parasympathetic nerve”, “hypotensive site”, “vasodilative nerve” or “negative spot” in renal vessels refer to any site in the renal vessel that a stimulation at the site would induce a decrease in one or more of the physiological parameters. The term “sympathetic nerve”, “hypertensive site”, “vasoconstrictive nerve” or “hot

spot” in renal vessels refer to any site in the renal vessel that a stimulation at the site would induce an increase in one or more of the physiological parameters. The term “cold spot” in renal vessels refer to any site whereby a stimulation at the site does not induce significant changes in one or more of the physiological parameters. When a hot spot is identified, ablation energy will be delivered to the hot spot to ablate the underlying nerve. In one embodiment, RF energies were used for ablation and had the following parameters: Energy: 8-10W; Temperature: 50-60°C; Ablation duration: 120s. Choice of parameters could otherwise be any of the followings: Energy 2-20W; Temperature: 42-90 °C; Ablation duration: 20-180s. A second stimulation will then be delivered after the ablation to observe for any remaining response. A second ablation will be delivered if there is still remaining response. Although no third ablation will be delivered even if there is still remaining response after the second ablation, a person of ordinary skills in the art readily knows that further ablations are possible.

[0340] Results from a typical hot spot, cold spot and negative spot are shown in **Tables 9, 10 and 11** respectively.

Table 9. Hot Spot Data

		SBP/mmHg	DBP/mmHg	MAP/mmHg	HR/beats/min
Stimulation	Baseline	185	87	129	68
	Stimulation ¹	212	102	149	77
	Change, Δ	27	15	20	9
Ablation	Baseline	216	101	147	63
	Ablation ²	210	96	145	67
	Change, Δ	-6	-5	-2	4
Post-Ablation	Baseline	193	88	129	67
	Post-Ablation Stimulation ³	190	90	128	68
	Change, Δ	-3	2	1	1

¹Stimulation Parameters: 20Hz,5ms,10mA,40s

²Ablation Parameters: 8W, 120s, 50°C

³Post-Ablation Stimulation parameters: 20Hz,5ms,10mA,35s

Table 10. Cold Spot Data

	SBP/mmHg	DBP/mmHg	MAP/mmHg	HR/beats/min
Baseline	163	108	126	96
Stimulation ¹	162	106	125	96
Change, Δ	-1	-2	-1	0

¹Stimulation Parameters: 20Hz,5ms,10mA,15s

Table 11. Negative Spot Data

	SBP/mmHg	DBP/mmHg	MAP/mmHg	HR/beats/min
--	----------	----------	----------	--------------

Baseline	151	108	122	98
Stimulation ¹	131	103	112	95
Change, Δ	-20	-5	-10	-3

¹Stimulation Parameters: 20Hz, 5ms, 10mA, 15s

- [0341] In this investigation, a hot spot is identified when stimulation causes an increase in heart rate by over 6 beats per minute and/or an increase in blood pressure by over 5 mmHg while a negative spot is identified when stimulation causes a decrease in heart rate by over 5 beats per minute and/or a decrease in blood pressure by over 2 mmHg. The maximum of the physiologic responses are shown in **Table 12**. However, clinical physicians may also conclude if a site is a hot, negative spot or cold spot by interpretation of the resulting physiological response based on their experience.

Table 12. Maximum change due to stimulation

	SBP/mmHg	DBP/mmHg	MAP/mmHg	HR/beats/min
Hot Spot	66	30	23	39
Negative Spot	-44	-14	-17	-12

- [0342] Data obtained from hot spots identified in the clinical trial are shown in **Table 13**. Blood pressure response from these hot spots are presented in **Tables 14** and **15**.

Table 13. Hot Spot Data

	Left Renal A	Right Renal A	Total
Total Mapped Sites	82	75	157
Average Maps/kidney	8.2	7.5	15.7
Total Hot Sites Identified (% of total maps)	41 (50%)	44 (59%)	85 (54%)
Average Hot Sites/kidney (ablated)	4.1	4.4	8.5
2nd Ablation Required (%)	16 (39%)	18 (41%)	34 (40%)
Hot Spots After 2nd Ablation	5 (12%)	5 (11%)	10 (12%)

Table 14. Blood Pressure Response Prior to Ablation at Hot Spots

	SBP (mmHg)	SBP (mmHg)	Δ SBP (mmHg)	DBP (mmHg)	DBP (mmHg)	Δ DBP (mmHg)	MAP (mmHg)	MAP (mmHg)	Δ MAP (mmHg)
	Baseline	Stimulation		Baseline	Stimulation		Baseline	Stimulation	
Mean	172.1	185.9	13.8	87.1	94.9	8.1	116.9	124.6	8.2
SE	4.4	4.5	1.1	2.4	2.4	0.7	2.8	2.7	0.8

Table 15. Blood Pressure Response After Ablation at Hot Spots

	SBP (mmHg)	SBP (mmHg)	Δ SBP (mmHg)	DBP (mmHg)	DBP (mmHg)	Δ DBP (mmHg)	MAP (mmHg)	MAP (mmHg)	Δ MAP(mmHg)
	Baseline	Stimulation		Baseline	Stimulation		Baseline	Stimulation	
Mean	169.8	170.5	0.7	89.4	89.6	1.2	115.4	116.8	0.2
SE	4.8	4.8	1.4	2.9	2.9	0.8	3.8	3.0	0.9

[0343] Data obtained from cold spots identified in the clinical trial are shown in **Table 16**. Blood pressure response from the cold spots are presented in **Table 17**.

Table 16. Cold Spot Data

	Left Renal A.	Right Renal A.	Total
Total Mapped Sites	82	75	157
Average Sites Mapped/kidney	8.2	7.5	15.7
Total Cold Sites (%)	28 (35%)	16 (21%)	44 (29%)
Average Cold Sites/kidney	2.9	1.6	4.5

Table 17. Blood Pressure Response at Cold Spots

	SBP (mmHg)	SBP (mmHg)	Δ SBP (mmHg)	DBP (mmHg)	DBP (mmHg)	Δ DBP (mmHg)	MAP (mmHg)	MAP (mmHg)	Δ MAP (mmHg)
	Baseline	Stimulation		Baseline	Stimulation		Baseline	Stimulation	
Mean	166.6	166.8	0.1	88.2	87.8	-0.4	114.8	114.3	-0.4
SE	4.1	4.1	0.3	2.7	2.7	0.3	2.8	2.9	0.4

5

[0344] In renal denervation, ablation energy is delivered inside a human subject for the destruction of renal nerve to lower blood pressure in selected patients. However, the effect of ablation energy is not specific to nerve tissue and the same energy may cause unnecessary damage to other tissues. As shown in **Table 13**, only 54% of the sites in the renal arteries are hot spots. If ablation is conducted blindly inside the vessel, unnecessary ablations and damage will be inflicted at sites which is undesirable from a clinical point of view. This invention identifies hot spots by stimulation to minimize such unnecessary ablations and damage, and further provides a method to verify that ablation of the underlying nerve is complete. To minimize damage to other tissues apart from the nerve tissue, the amount of ablation energy delivered is usually limited. As such, ablation energy

10

from a standard protocol may not be sufficient to completely ablate a nerve in any condition other than the ideal situation, for example, nerves being further away from the renal vessel or greater in size. Around 40% of the ablated hot spots responded to a second stimulation and required a second ablation. In other words, stimulation prior to ablation not only identifies a hot spot but effectively
 5 measure whether a prior ablation has achieved the intended ablation. The number of hot spots that remained responsive to stimulation drastically decreased to just around 10% after second ablation. No further ablations were conducted to limit excessive damage to the surrounding tissues in this study but a skilled person in the art readily knows that further ablations are possible if physiological response from the hot spot is to be eliminated. Comparison of data in **Tables 14, 15** and **17** shows
 10 that the presence or absence of a physiological response to stimulation can be distinctly identified. In other words, a hot spot can be distinctly identified from a cold spot and a completely ablated hot spot can also be distinctly identified from an incompletely ablated hot spot.

[0345] Data obtained from negative spots identified in the clinical trial are shown in **Table 18**. Blood pressure responses from these negative spots are presented in **Table 19**.

Table 18. Negative Spot Data

	Left Renal A.	Right Renal A.	Total
Total Mapped Sites	82	75	157
Average Sites Mapped/kidney	8.2	7.5	15.7
Total Negative Sites (%)	12 (15%)	13 (17%)	25 (16%)
Average Negative Sites/kidney	1.2	1.3	2.5

Table 19. Stimulation Response at Negative Spots

	SBP (mmHg)	SBP (mmHg)	Δ SBP (mmHg)	DBP (mmHg)	DBP (mmHg)	Δ DBP (mmHg)	MAP (mmHg)	MAP (mmHg)	Δ MAP (mmHg)
	Baseline	Stimulation		Baseline	Stimulation		Baseline	Stimulation	
Mean	167.6	151.5	-16.2	92.2	88.0	-4.2	118.1	109.7	-6.8
SE	6.7	6.4	1.7	3.7	4.1	0.9	4.6	4.5	1.5

[0346] This invention further provides a method for identifying negative spots in the renal vessel whereby as marked by a decrease in physiological parameters in response to stimulation. The number of negative spots in the renal vessel was significant at around 15% . Although the exact
 20 physiology behind this phenomenon has not been elucidated, ablations of these sites are believed to

have the opposite effect as the hot spots. Any ablation blindly conducted in a renal vessel will ablate both hot spots and negative spots. Any blood pressure lowering effect from the ablation of hot spots will be negated by the ablation of the negative spots. Brinkmann et al examined blood pressure before and 3 to 6 months after blindly conducted renal denervation in 12 patients, they found that 3 patients showed clinically relevant reductions in blood pressure, whereas blood pressure remained unchanged or even increased in 7 patients. A very recent report by Townsend et al on behalf of the Spyral HTN-OFF Med trial investigators demonstrated that among 35 patients with blindly conducted renal denervation, 24 hour ambulatory systolic blood pressure was increased in 10 patients. These results showed that once blindly conducted renal ablation was performed and if the physiological effects of ablated negative spots on blood pressure were more dominant than the effects of ablated hot spots, increased in blood pressure would be observed after the procedure. Accordingly, the negative spots should be avoided during renal ablation. Comparison of the data in **Tables 14, 17 and 19** shows that the negative spots can be distinctly identified from hot spots and cold spots using the stimulation approach of this invention.

EXAMPLE 11

Stimulation and Ablation Parameters

[0347] Renal mapping and ablation methods often involve sedation or anesthesia to solve the problem of pain when energy is delivered. This invention further provides protocols or algorithm for reducing or eliminating pain during stimulation or ablation. In one embodiment, the algorithm for delivering the stimulation or ablation energy is modified so that the excitability of receptors for pain will be reduced. Use of the term “waveform” or “algorithm” when applied to pain reduction or elimination refers to the changes in stimulation intensity over time that can be depicted pictorially in a stimulation vs. time graph.

[0348] In one embodiment, said algorithm involve a gradual increase in energy. In another embodiment, the algorithm is a ramp or a curve such as that shown in **Figure 27A**. In another embodiment, the algorithm begins at 1% of the maximum amplitude and ends at a peak.

[0349] In one embodiment, the algorithm has a pre-pulse. In another embodiment, the pre-pulse is held at 1-10% of the maximum amplitude for a certain period of time before the stimulation is delivered (**Figure 27B**). In a further embodiment, the pre-pulse is in the form of a rectangular or ramped pulse. In one embodiment, a combination of pre-pulse and stimulation pulse constitute a single stimulation (**Figure 27C**).

[0350] In one embodiment, the algorithm depends on the response as a result of the stimulation. In another embodiment, the stimulation is delivered as usual and is then decreased once a physiological response is detected and the stimulation is then raised again until the physiologic response is once again detected. This is repeated until a site could be confirmed. In one embodiment,

the stimulation energy is raised until a physiological response is detected and then the stimulation is decreased to a fraction before raising up again to the level that elicits a physiological response. (Figure 27D). In one embodiment, the physiological responses comprise blood pressure, heart rate, levels of biochemicals such as epinephrine, norepinephrine, renin-angiotensin II and vasopressin, cardiac electrical activity, muscle activity, skeletal nerve activity, action potential of cells or other measurable reactions as a result of these physiological responses such as pupil response, electromyogram and vascular constriction. In one embodiment, the measureable reactions as a result of these physiological responses further includes the rate of change of one or more selected from blood pressure, heart rate, levels of biochemicals such as epinephrine, norepinephrine, renin-angiotensin II and vasopressin, cardiac electrical activity, muscle activity, skeletal nerve activity, action potential of cells. In another embodiment, the physiological responses in positive or negative direction can be used as readout to identify a nerve. In a further embodiment, a parameter calculated from a mathematical model using one or more of the physiological responses is used for evaluating whether a site is innervated with a nerve.

[0351] This invention further provides methods for protocols that increase the sensitivity of renal nerve fibers to stimulation or ablation. In order to increase the excitability of renal nerve, the stimulation algorithm is modified so that large physiological responses are elicited upon a stimulation and proper nerves can be identified easily and precisely. In one embodiment, the ablation algorithm can also be adjusted the ablation energy is delivered into the nerve and leads to their destruction more effectively.

EXAMPLE 12

System Design for Precise Nerve Mapping

[0352] This invention relates to a system for mapping of nerve in a vessel. In one embodiment, the vessel is an artery, vein or any other ducts having a lumen. In another embodiment, said nerve is the renal nerve. In a further embodiment, the artery or vein is the renal artery or renal vein. In a further embodiment, the duct is the ureter.

[0353] In one embodiment, the system of this invention comprises a catheter having a set of distal electrodes and a set of proximal electrodes. The set of distal electrodes comprises a plurality of bipolar electrodes and inserted into a distal portion of the vessel. Electrical stimulation is delivered from the bipolar electrodes to a large area of the distal portion of the vessel. The set of proximal electrodes may comprise one or more bipolar or monopolar electrodes. The set of proximal electrodes can provide electrical stimulation and/or ablative energy.

[0354] In one embodiment, the system of this invention comprises a display showing the stimulation results from the distal electrodes and proximal electrodes. The stimulation from the set of distal electrodes covers a large area in the vessel to act as a check for the continuity of the neural

circuitry before and after ablation energy is delivered at the proximal region of the vessel by the set of proximal electrodes. The set of proximal electrodes locates specific sites in the vessel with stimulation and decides the best sites for delivering ablative energy.

[0355] **Figure 28A** illustrates an embodiment for using the system of this invention where
 5 by a catheter (2800) having a set of distal electrodes (2801) and a set of proximal electrodes (2802) at its tip is inserted into a vessel having nerves on its outer surface. The catheter tip can be any one of those described herein or any other tips where the electrodes can be controlled to contact the vessel wall at one or more locations. The set of distal electrodes (2801) contacts the distal part of the vessel at multiple locations. In this embodiment, the distal electrodes are bipolar electrodes which allows
 10 an electrical stimulation to take any pathways across two selected electrodes. A region which elicits a physiologic response upon stimulation will be chosen as a reference site. For example, in **Figure 28B**, a stimulation energy can be delivered across the upper quarter of the section D3-D3' by two selected electrodes and a physiological change in connection with stimulation of a sympathetic nerve, SN1, will be recorded. On the other hand, a stimulation energy delivered across the lower quarter of
 15 D3-D3' by another pair of selected electrodes will elicit a physiological change in connection with the stimulation of parasympathetic nerves, PN3 and PN4. The upper and lower quarters of D3-D3' will be used as reference sites for sympathetic nerves and parasympathetic nerves respectively. In another embodiment, on top of D3-D3', the set of distal electrodes delivers stimulation energy to further sections at the distal part of the vessel e.g. D1-D1' and D2-D2'. Due to the complicated
 20 structure of the neural circuitry, stimulating a single section on a vessel may not sufficiently cover all nerves connecting the vessel. With the largest nerve, SN1, on the upper quarter of D3-D3' ablated by an ablative energy at P3-P3', further stimulation at the upper quarter of D3-D3' will not elicit any physiologic response. This is also the same scenario for D1-D1' because the same nerve, SN1, travels through D1-D1'. However, in D2-D2', the upper quarter will still be eliciting a sympathetic
 25 physiologic response because the nerve, SN2, has not been ablated.

[0356] In one embodiment, physiological changes associated with stimulation of each reference site can be recorded and decided if it is suitable as a reference site. For sites, such as the lower quarter of D1-D1', where there are both sympathetic and parasympathetic responses, the physiological changes as a result of the stimulation may be lower and may not be chosen as a
 30 reference site to confirm ablation. For upper quarters of D1-D1', D2-D2' and D3-D3', D2-D2' may elicit the largest physiologic sympathetic and parasympathetic responses in the upper quarter and lower quarter respectively. Therefore, D2-D2' is chosen as the first reference cite.

[0357] After the set of distal electrodes identified one or more reference sites, the set of proximal electrodes will identify sites innervated with nerves at the proximal region, for example,
 35 using the sequence as shown in **Figure 21E**. Sites innervated with sympathetic nerves will be

identified beginning from the most proximal regions first. In the embodiment shown in **Figure 28B**, the upper quarter of P3-P3' will be identified as a hot spot and ablative energy will be delivered to sympathetic nerve, SN1, while the parasympathetic nerve, PN1, in the lower quarter of P3-P3' will be identified as a negative spot and avoided. After ablation of SN1, the upper quarters of both P1-P1' and P2-P2' should not show any further physiologic response associated with sympathetic nerve so that there will not be any duplicated efforts which will be present if the ablation started from P1-P1'. At the lower quarter of P2-P2', both sympathetic and parasympathetic nerves are found in close proximity. To avoid damage to the parasympathetic nerve in close proximity, the hot spot at P2-P2' may be avoided and further hot spots distal to P2-P2' may be located. In this example, the hotspot in lower quarter of P1-P1' will be identified and ablated to effectively cut off the same sympathetic nerve, SN2, as the hot spot in P2-P2'. After ablation at the proximal sections, stimulation energy will be delivered again to the reference section at D2-D2'. With the ablation of SN1 and SN2, stimulation energy should not elicit any sympathetic response from the distal sites.

[0358] In one embodiment, on top of the stimulation results for a set of electrodes as shown in **Figure 21K**, the stimulation results from both distal and proximal electrodes are shown. When more than one area has been tested as reference sites at the distal region, the physiological change associated with stimulation between each pair of electrodes will be recorded and easily retrieved so that the user can choose between optimal reference sites.

[0359] In one embodiment, the set of proximal electrodes delivers stimulation energy to several sites at the proximal region of the vessel and the physiological response elicited for each site will be recorded for the user to decide the optimal site for delivering ablation energy. In one embodiment, the locations of the hot spots and negative spots will be displayed for the user to decide the optimal sites for ablation. In another embodiment, the user will decide the ablation site based on factors such as the magnitude of the elicited physiological response, the identified site being more proximal along the vessel or the site being further away from negative spots. Ablative energy can then be delivered to the selected ablation sites simultaneously or separately from the proximal electrodes.

[0360] This invention further provides catheters adapted for fast screening of a large region of a vessel for sympathetic nerves and ablation. In one embodiment, multiple selected proximal electrodes delivers stimulation energy and ablation energy as a single unit. The selected proximal electrodes will simultaneously deliver stimulation energy and the elicited physiological response will be recorded. If physiological response associated with sympathetic nerve activity is recorded, ablative energy can be delivered by each of the proximal electrodes simultaneously or separately to ablate the underlying sympathetic nerve. One or more references site will be chosen at the distal region to ensure

the sympathetic nerve downstream is cut off by the ablative energy. In this way, less time is required to precisely map each and every single site.

[0361] In one embodiment, the proximal electrodes are bipolar electrodes which allows an electrical stimulation to take any pathways across two selected electrodes. Stimulation energy
5 between a pair of electrodes that covers a large area on the proximal region of the vessel will be delivered. If physiological response associated with sympathetic nerve is recorded, ablative energy will be delivered by the electrodes between the two selected electrodes to ablate any sympathetic nerve in that region. Since the above fast screening of a large region of a vessel for sympathetic nerves and ablation did not precisely position the proximal electrodes to a hot spot, the set of distal
10 electrodes will need to ensure the sympathetic innervations is effectively cut off by the ablation at the proximal region.

[0362] In one embodiment, the sites for delivery of stimulation energy from the proximal set of electrodes are approximately located using medical imaging techniques, e.g. ultrasound, before deciding where and which proximal electrodes should deliver stimulation energy. In another
15 embodiment, there is real time monitoring of the placement of the electrodes using medical imaging techniques. This will cut down the time required to locate the hot spots and negative spots in a vessel. Stimulation energy will still be used for targeting the ablative energy to the nerve and also differentiating the sympathetic and parasympathetic nerves. A stimulation and ablation pattern can be designed based on the known anatomy of the nerves around the vessel. For example, in **Figure**
20 **28C**, the medical images shows the region R1 being optimal site for ablation due to reason such as the region being separate from other nerves. The set of proximal electrodes (2802) are spaced much more closely apart in that region as determined from the known anatomy and stimulation energy is then delivered from the proximal electrodes (2802) that are most suited for this anatomy, e.g. electrodes at the upper quarter of P1-P1', P2-P2', and P3-P3', to ensure that the nerve is a sympathetic
25 nerve. After confirmation as a sympathetic nerve, ablative energy is delivered from the electrodes closely spaced within the region to ablate the nerve. In one embodiment, a stimulation pattern may also be designed for the set of distal electrodes (2801) based on the anatomy of the nerves to ensure efficiency of the stimulation e.g. R2 shown in **Figure 28C**.

[0363] In one embodiment, the catheter of this invention further comprises a set of middle
30 electrodes (2803) as shown in **Figure 28D**. The set of middle electrodes may comprised one or more bipolar or monopolar electrodes. The set of middle electrodes can provide electrical stimulation and/or ablative energy. In one embodiment, each of the proximal, middle and distal set of electrodes each cover one-third the length of the vessel.

[0364] In one embodiment, the catheter comprises a set of electrodes (2804) to cover a
35 segment of a vessel. In another embodiment, the segment is the area where innervation is most likely

to be located in the vessel or where effective ablation is likely to occur. In a further embodiment, the segment covers one third the length of the vessel as shown in **Figure 28E**. The catheter tip is inserted into the proximal region of the vessel and stimulation energy is delivered from the electrodes as shown in **Figure 28E**. In one embodiment, the electrodes are bipolar electrodes and a configuration where stimulation energy is delivered to a large area is used. For example, electrodes in sections 1-1' to 7-7' in **Figure 28E** adopt a configuration whereby two active electrodes, A and A', are separated by two return electrodes, R and R', as shown in **Figure 29**, so that the entire section will be stimulated if there is innervation in that segment. If there is no physiological response, the user may decide based on his experience whether the subject is a non-responder to the stimulation or proceed to adjust the stimulation parameters or location of the electrodes for further stimulation.

[0365] Once confirmed that the subject is a responder, stimulation energy will be delivered beginning from the proximal electrodes to identify any hot spots or negative spots in that section e.g. section 7-7'. This could be based on a routine such as that shown in **Figure 21E**. Ablative energy will then be delivered from the catheter to the identified hot spots. After ablation of section 7-7', stimulation energy will be delivered to sections 1-1' to 6-6' to examine if the ablation at section 7-7' had effectively cut off the sympathetic nerve innervating these sections. The procedure will then be next most proximal section 6-6' until no physiological response could be elicited from a stimulation. In a further embodiment, the catheter tip can be placed on the next one-third of the vessel for delivery of stimulation energy to confirm all sympathetic nerves had been ablated until all suitable spots had been ablated as shown in **Figures 28E**.

[0366] In one embodiment, this invention provide a catheter comprising a catheter tip having at least two segments (3001, 3002), each segment can be controlled to achieve a different diameter. As shown in **Figure 30A to 30C**, each segment of the catheter tip comprises a plurality of resilient members (3003) having electrodes (3004). Each resilient member (3003) at the distal segment (3001) has a distal end and a proximal end. The distal end is attached to a controlling rod (3005) and the proximal end is attached to a separation rod (3006). The separation rod (3006) separates the distal segment (3001) from the proximal segment (3002) and moves freely from the controlling rod (3005). Each resilient member (3003) at the proximal segment (3002) also has a distal end and a proximal end. The distal end is attached to the separation rod (3006) and the proximal end is attached to a controlling sheath (3007). The controlling sheath houses the controlling rod (3005) and separation rod (3006).

[0367] When the controlling rod (3005) is retracted into the controlling sheath (3007) alone, the resilient members (3003) at the distal segment (3001) is forced to bulge out to a size based on how much of the controlling rod (3005) is retracted into the controlling sheath (3007) (**Figure 29B**). On the other hand, when the controlling rod (3005) and separation rod (3006) are both retracted into

the controlling sheath (3007), the resilient members (3003) at the proximal segment (3002) will bulge out to a size based on how much both the controlling rod (3005) and separation rod (3006) are retracted into the controlling sheath (**Figure 30C**). Accordingly, both the proximal and distal segment can be controlled to attain different sizes based on the user's requirement. In a further embodiment, the resilient members (3003) in the distal segment (3001) and proximal segments (3002) are attached to different separation rods (3006, 3008) so that the distance between the two segments can be controlled (**Figure 30D**). In yet another embodiment, a further middle segment (3009) is found between the distal and proximal segments. The proximal ends and distal ends of each of the resilient members are attached to different separation rods to control the size of the middle segment (**Figure 30E**).

[0368] In one embodiment, there are multiple electrodes on the resilient members. The electrodes can be monopolar or bipolar. In one embodiment, the electrodes on the distal segment are used as the set of distal electrodes in **Figure 28A** while the electrodes on the proximal segment are used as the set of proximal electrodes in **Figure 28A**. In another embodiment, the catheter tip has a third segment and the electrodes on the resilient members can be used as the set of middle electrodes in **Figure 28D**.

[0369] In one embodiment, the resilient members have a preformed shape to maximize the chance of contact of the electrodes with the inner wall of the vessel. In one embodiment, the preformed shape is circular or elliptical so that the electrode located in the middle will be able to contact the inner wall of the vessel. In another embodiment, the resilient members (3100) comprises a flat part (3101) and a bending part (3102) e.g. **Figure 31A**. The flat part (3101) provides more than one electrodes (3103) to contact the inner wall of the vessel while the bending part (3102) enables the changes in diameter. Due to the preformed shape, when the resilient member is compressed at the two ends (illustrated by the arrows in **Figure 31B**), bending will be concentrated at where the flat part (3101) joins the bending parts (3102). This may also be achieved by making kinks at the point where the flat part joins the bending part. In one embodiment, the flat part is much stiffer than the bending part so that, when a resilient member is compressed at the two ends, only the bending parts would be changing shape while the flat part will remain flat relative to the inner wall of the vessel. In one embodiment, the flat part and the sloping part is made of the same material but the bending parts are made thinner than the flat part so that they bend much more readily than the flat part e.g. **Figure 31C**. In another embodiment, the cross section of the flat part and the bending part is designed so that the flat part is more resistant to bending.

[0370] In one embodiment, if a relatively long flat part is required and the support for the flat part is insufficient for the electrodes to establish firm contact with the vessel wall, multiple resilient members may be connected in series e.g. **Figure 31D**. The resilient members join at a

connecting ring (3104) which sheaths the controlling rod. However, this connecting ring is not connected to any separation rods but merely moves passively along the controlling rod based on the force balance between the resilient members. When the control rod is retracted as shown in **Figure 31E**, the resilient members will bulge out with a large portion remaining relatively flat. In another embodiment, the resilient member has a wavy preformed shape (3105) e.g. **Figure 31F** so that there are multiple points which contact the controlling rod as support for establishing firm contact with the inner wall of the vessel. When the controlling rod is retracted, the wavy structure will be resumed as shown in **Figure 31G**. In a further embodiment, there are also connecting rings at the point where the wavy preformed shape touches the controlling rod to provide further support.

10 REFERENCES

1. Aars, H. and Akre, S., Reflex Changes in Sympathetic Activity and Arterial Blood Pressure Evoked by Afferent Stimulation of the Renal Nerve. *Acta Physiol. Scand* 1970; 78 (2): 184-188
2. Beacham, W.S. and Kunze, D.L., Renal Receptors Evoking a Spinal Vasomotor Reflex. *J. Physiol* 1969; 201 (1): 73-85
3. Campese, V.M., Kogosov, E., Renal afferent denervation prevents hypertension in rats with chronic renal failure. *Hypertension* 1995; 25(4 Pt. 2): 878-882.
4. Campese, V.M., and Krol, E., Neurogenic factors in renal hypertension. *Current Hypertension Reports* 2002; 4(3):256-260.
5. Converse, R.L. Jr., Jacobsen, T.N., Toto, R.D., Jost, C.M., Cosentino, F., Fouad-Tarazi, F., Victor, R.G., Sympathetic overactivity in patients with chronic renal failure. *New England Journal of Medicine* 1992; 327(27):1912-1918.
6. DiBona, Gerald F. and Ulla C. Kopp, Neural Control of Renal Function. *Physiological Reviews* 1997; 77(1): 75-197.
7. DiBona, G.F., Neural control of the kidney: past, present and future. *Hypertension* 2003; 41: 621-624.
8. Esler, M., Jennings, G., Lambert, G., Meredith, I., Horne, M., Eisenhofer, G., Overflow of catecholamine neurotransmitters to the circulation: source, fate, and functions. *Physiological Reviews* 1990; 70(4):963-985.
9. Esler, M., Schlaich, M., Sobotka, P. et al., Catheter-based renal denervation reduces total body and renal noradrenaline spillover and blood pressure in resistant hypertension. *Journal of Hypertension* 2009; 27(suppl 4): s167.
10. Esler, M. et al., Renal sympathetic denervation in patients with treatment-resistant hypertension (The Symplicity HTN-2 Trial): a randomized controlled study. *The Lancet* 2010; 376: 1903-1909.
11. Krum, H., Schlaich, M., Whitbourn, R., Sobotka, P.A., Sadowski, J., Krzysztof, Bartus, K., Kapelak, B., Walton, A., Sievert, H., Thambar, S., Abraham, W.T., and Esler, M., Catheter-based renal sympathetic denervation for resistant hypertension: a multicentre safety and proof-of-principle cohort study. *The Lancet* 2009; 373(9671):1275-1281.
12. Lu, M., Wei, S.G. and Chai, X.S., Effect of Electrical Stimulation of Afferent Renal Nerve on Arterial Blood Pressure, Heart Rate and Vasopressin in Rabbits. *Acta Physiol. Sinica* 1995; 47 (5): 471-477

13. Ma, G. and Ho, S.Y., Hemodynamic Effects of Renal Interoreceptor and Afferent Nerve Stimulation in Rabbit. *Acta Physiol. Sinica* 1990; 42 (3): 262-268
14. Mahfoud, F., Schlaich, M., Kindermann, I., Ukena, C., Cremers, B., Brandt, M.C., Hoppe, U.C., Vonend, O., Rump, L.C., Sobotka, P.A., Krum, H., Esler, M., and Böhm, M., , Effect
5 of Renal Sympathetic Denervation on Glucose Metabolism in Patients With Resistant Hypertension: A Pilot Study. *Circulation* 2011; 123(18): 1940-1946.
15. Medical devices: pg 1-2, February 22, 2012
16. Schlaich, M.P., Sobotka, P.A., Krum, H., Lambert, E., and Esler, M., Renal sympathetic-
nerve ablation for uncontrolled hypertension. *New England Journal of Medicine* 2009; 36(9):
10 932-934.
17. Schlaich, M.P., Krum, H., Whitbourn, R. et al., A novel catheter based approach to denervate
the human kidney reduces blood pressure and muscle sympathetic nerve activity in a pateitn
with end stage renal disease and hypertension. *Journal of Hypertension* 2009; 27(suppl
4):s154.
- 15 18. Smithwick, R.H., and Thompson, J.E., Splanchnicectomy for essential hypertension; results
in 1,266 cases. *J Am Med Association* 1953; 152(16):1501-1504.
19. Talenfeld, A.D., Schwoppe, R.B., Alper, H.J., Cohen, E.I., and Lookstein, R.A., MDCT
Angiography of the Renal Arteries in Patients with Atherosclerotic Renal Artery Stenosis:
Implications for Renal Artery Stenting with Distal Projection. *American Journal of*
20 *Roentgenology* 2007; 188: 1652-1658.
20. Ueda, H., Uchida, Y., and Kamisaka, K., Mechanism of the Reflex Depressor Effect by
Kidney in Dog. *Jpn. Heart J.* 1967; 8 (6): 597-606
21. Valente, J.F., Dreyer, D.R., Breda, M.A., Bennett, W.M., Laparoscopic renal denervation for
intractable ADPKD-related pain. *Nephrology Dialysis Transplantation* 2001; 16(1): 160.
- 25 22. Vigilance D.W., Mutrie C.J., Yi G.H., Yu K., Guo A., Gelfand M., Smith C.R., Oz M.C.,
Levin H., Wang J., A novel approach to increase total urine output in acute heart failure:
unilateral renal nerve blockade. *Journal of the American College of Cardiology Supplement*
2005, 45(3):166A.
23. Wang, J., Mapping sympathetic nerve distribution for renal ablation and catheters for the
same, US patent application no. 2011/0306851 A1, filed August 26, 2011.
- 30 24. Ye, S., Zhong, H., Yanamadala, V., Campese, V.M., Renal injury caused by intrarenal
injection of phenol increases afferent and efferent sympathetic nerve activity. *American*
Journal of Hypertension 2002; 15(8): 717-724.
25. Ziegler M.G., Lake C.R., Kopin I.J., Plasma noradrenaline in-creases with age. *Nature* 1976;
35 261: 333
26. Cryer P.E., Haymond M.W., Santiago J.V., Shah S.D., Norepinephrine and epinephrine
release and adrenergic mediation of smoking associated hemodynamic and metabolic events.
N Engl J Med 1976; 295: 573-577
27. Robertson D., Frölich J.C., Carr R.K., Watson J.T., Hollifield J.W., Shand D.G., Oates J.A.,
Effects of caffeine on plasma renin activity, catecholamines and blood pressure. *N Engl J*
40 *Med* 1978; 298:181-186
28. Planz G., Wiethold G., Appel E., Böhmer D., Palm D., Grobecker H., Correlation between
increased dopamine- β -hydroxylase activity and catecholamine concentration in plasma:
Determination of acute changes in sympathetic activity in man. *Eur J Clin Pharmacol* 1975;
45 8: 181-188

29. Robertson D., Johnson G.A., Brilia G.M., Hill R.E., Watson J.T., Oates J.A. Salt restriction increases serum catecholamines and urinary normetanephrine excretion. *Fed Proc* 1977, 36: 956
30. Robertson D., Shand D.G., Hollifield J.W., Nies A.S., Frölich J.C., Oates J.A., Alterations in
5 the responses of the sympathetic nervous system and renin in borderline hypertension. *Hypertension* 1979; 1: 118-124
31. Lagerstedt S.A., O'Kane D.J., Singh R.J., Measurement of plasma free metanephrine and normetanephrine by liquid chromatography-tandem mass spectrometry for diagnosis of pheochromocytoma. *Clinical Chemistry* 2004; 50(3):603-611.
- 10 32. Gabler J., Yuan C., Woroniecki W., Liu H., Wang S., A Sensitive and Interference-Free Liquid Chromatography Tandem Mass Spectrometry Method for Measuring Metanephrines in Plasma. *J Chromatograph Separat Techniq* 2013; 4:195
33. Marrington R, Johnston J, Knowles S, Webster C, Measurement of urinary metadrenaline and normetanrenaline by liquid chromatography tandem mass spectrometry for the diagnosis of
15 phaeochromocytoma. *Ann Clin Biochem* 2010; 47:467-475
34. Peitzsch M., Pelzel D., Glöckner S., Prejbisz A., Fassnacht M., Beuschlein F., Januszewicz A., Siegert G., Eisenhofer G., Simultaneous liquid chromatography tandem mass spectrometric determination of urinary free metanephrines and catecholamines, with comparisons of free and deconjugated metabolites. *Clinica Chimica Acta* 2013; 418, 50-58
- 20 35. Foti et al, The Relationships of Free to Conjugated Normetanephrine in Plasma and Spinal Fluid of Hypertensive Patients. *J Clin Endocrinol Metab* 1982; 55: 81-85
36. Madhavan M. et al, Transvenous Stimulation of the Renal Sympathetic Nerves Increases Systemic Blood Pressure: A Potential New Treatment Option for Neurocardiogenic Syncope. *J Cardiovasc Electrophysiol* 2014; 25: 1115-1118
- 25 37. Chinushi M., Izumi D., Iijima K., Suzuki K., Furushima H., Saitoh O., Furuta Y., Aizawa Y., Iwafuchi M., Blood pressure and autonomic responses to electrical stimulation of the renal arterial nerves before and after ablation of the renal artery. *Hypertension* 2013; 61: 450-456.
38. Brinkmann J., Heusser K., Schmidt B.M., Menne J., Klein G., Bauersachs J., Haller H., Sweep F.C., Diedrich A., Jordan J., Tank J., Catheter-Based Renal Nerve Ablation and Centrally Generated Sympathetic Activity in Difficult-to-Control Hypertensive Patients. *Hypertension* 2012; 60:1485-1490.
- 30 39. Townsend R.R., Mahfoud F., Kandzari D.E., Kario K., Pocock S., Weber M.A., Ewen S., Tsioufis K., Tousoulis D., Sharp A.S.P., Watkinson A.F., Schmieder R.E., Schmid A., Choi J.W., East C., Walton A., Hopper I., Cohen D.L., Wilensky R., Lee D.P., Ma A., Devireddy C.M., Lea J.P., Lurz P.C., Fengler K., Davies J., Chapman N., Cohen S.A., DeBruin V., Fahy M., Jones D.E., Rothman M., Böhm M., on behalf of the SPYRAL HTN-OFF MED trial investigators, Catheter-based renal denervation in patients with uncontrolled hypertension in the absence of antihypertensive medications (SPYRAL HTN-OFF MED): a randomised, sham-controlled, proof-of-concept trial. *Lancet* , August 28, 2017
40 [http://dx.doi.org/10.1016/S0140-6736\(17\)32281-X](http://dx.doi.org/10.1016/S0140-6736(17)32281-X)
40. Mompeo B., Maranillo E., Garcia-touchard A., Larkin T., Sanudo J., The Gross Anatomy of the Renal Sympathetic Nerves Revisited. *Clinical Anatomy* 2016; 29:660-664
41. Wouter A.C., Amsterdam V., Blankestijn P.J., Goldschmeding R., Bleys R.L.A.W., The morphological substrate for Renal Denervation: Nerve distribution patterns and
45 parasympathetic nerves. A post-mortem histological study. *Annals of Anatomy* 2016; 204 : 71-79

1. A catheter for mapping renal nerves distributed on a renal blood vessel, comprising:
a catheter tip comprising a plurality of electrodes;

said plurality of electrodes are disposed along said catheter tip to form at least one loop,
wherein an electrical stimulation can be delivered between any pair of electrodes among
said plurality of electrodes.
2. The catheter of claim 1, wherein said plurality of electrodes cover one-third the length of
said renal blood vessel.
3. The catheter of claim 1, wherein said catheter tip comprises a plurality of resilient members
(3003) having said plurality of electrodes, each of said plurality of resilient members (3003)
has a distal end and a proximal end, wherein
said distal end is attached to a controlling rod (3005) and said proximal end is attached to a
separation rod (3006); said separation rod (3006) moves freely from the controlling rod
(3005); or
said distal end is attached to a separation rod (3006) and the proximal end is attached to a
controlling sheath (3007); said controlling sheath houses said controlling rod (3005) and said
separation rod (3006).
4. The catheter of claim 3, wherein each of said plurality of resilient members (3003) comprises
a flat part (3101) and a bending part (3102).
5. The catheter of claim 4, wherein said resilient member comprises a mechanism for
concentrating bending at the bending parts, said mechanism comprises:
having a kink at where the flat part (3101) joins the bending parts (3102);
said flat part being stiffer than said bending parts; or
said bending parts being thinner than said flat part.

6. The catheter of claim 1, wherein said catheter tip comprises a distal segment and a proximal segment, said plurality of electrodes are separated into a set of distal electrodes on said distal segment and a set of proximal electrodes on said proximal segment.
7. The catheter of claim 6, wherein said set of distal electrodes are located on a set of distal resilient members and said set of proximal electrodes are located on a set of proximal resilient members, wherein
each of said distal resilient members has a distal end connected to a controlling rod and a proximal end connected to a connecting ring which sheaths said controlling rod;
each of said proximal resilient members has a distal end connected to said connecting ring and a proximal end connected to a controlling sheath;
said connecting ring moves passively along the controlling rod based on the force balance between said distal and proximal set of resilient members.
8. The catheter of claim 1, said catheter tip comprises a controlling rod, a controlling sheath and one or more resilient members (3105) with wavy preformed shape, wherein said one or more resilient members comprises a distal end and a proximal end, said distal end is attached to said controlling rod and the proximal end is attached to a controlling sheath.
9. The catheter of claim 8, wherein said one or more resilient members (3105) with wavy preformed shape comprises one or more points contacting said controlling rod, wherein at least one of said one or more points contacting said controlling rod comprises a connecting ring.
10. The catheter of claim 1, wherein said plurality of electrodes is adapted for delivering ablative energy.
11. The catheter of claim 1, wherein said at least one loop has a diameter of 2 to 15 mm.
12. A system for mapping innervated areas in a renal artery based on the position(s) of electrodes on a catheter, comprising:

- (i) the catheter of claim 1;
 - (ii) one or more measuring devices for measuring one or more physiological parameters associated with innervation of said renal artery;
 - (iii) a computing device coupled to said one or more measuring devices and is configured for computing any increase or decrease in the physiological parameters against a baseline; and
 - (iv) a display device for displaying the location or identity of a parasympathetic or sympathetic nerve innervating said renal artery, said location is based on the position(s) of electrodes on said catheter.
13. The system of claim 12, wherein said display device comprises a display screen showing results of electrical stimulation applied between any pair of electrodes among said plurality of electrodes.