

SPECIFICATION
for
Non-Sealed Vacuum Pump With Supersonically
Rotatable Bladeless Gas Impingement Surface

Cross-Reference to Related Applications

[01] This application claims the benefit of U.S. patent application 16/849,467, filed April 15, 2020.

Background

[02] **1. Field of the Invention**

[03] The invention relates generally to the field of pumps and more specifically mechanical vacuum pumps for pumping various gases to lower pressures. More particularly the invention relates to a mechanical vacuum pump with a gas impingement surface that is rotatable at supersonic tangential velocity to pump impinging gas molecules without the use of seals or protruding or angled blades or vanes.

[04] **2. Description of Related Art**

[05] Any discussion of the related art throughout the specification is not intended as and should in no way be considered as an admission that such related art is in fact prior art, or is widely known, or forms any part of common general knowledge in the field.

[06] There are a number of different types of mechanical pumps adapted for pumping various gases and gas mixtures, including gases such as water vapor, nitrogen, hydrogen, oxygen, chlorine, carbon dioxide, methane, etc., and gas mixtures such as air, hydride gases, halogen gases, perfluorocarbon gases mixed with oil, water, oxidant gases or inert gases, etc. Such pumps are used for a variety of purposes including among others to transfer gases from one space or location to another and to evacuate gas from a space to reduce the pressure in the space. Such pumps find use in diverse applications including household vacuum cleaners, oil and gas production, distribution, and storage, low pressure drying applications,

semiconductor fabrication, coating applications, chemical manufacturing processes, scientific research where low pressure is required.

[07] Pumps used to evacuate gas molecules from a space to reduce the pressure in the space are sometimes referred to as vacuum pumps because by operating to reduce the pressure in the space relative to the surrounding environment the pumps are able to create a partial vacuum. The highest level of vacuum, i.e., the lowest pressure, these types of pumps are able to produce typically depends on their particular designs and operations. Various applications require different values and ranges of reduced pressure. For example some applications may operate at pressures in the range of about 20 to 50 percent of atmospheric pressure (atm), i.e., down to about 0.5 atm. Other applications, including many semiconductor fabrication applications, may require much lower pressures in the mid-high vacuum range, e.g., 10^{-4} to 10^{-6} atm. In some applications, even lower pressures into the ultra-high vacuum range are sometimes required, such as for particle accelerators and surface physics research. Various types of vacuum pumps are used to produce such levels of low pressure. Such pumps include positive displacement pumps, such as rotary vane pumps, piston pumps, diaphragm pumps, screw pumps, dry pumps, and roots blowers; and momentum transfer pumps, which include turbo-molecular and molecular drag pumps. All of the above-mentioned pumps are mechanical pumps in contrast with the example embodiments described in the present application

[08] Positive displacement vacuum pumps are generally designed and operate to move a constant displacement of gas during each pumping cycle at a substantially constant volume compared to typical momentum transfer pumps. Accordingly, as the pressure of the gas being pumped drops substantially below atmospheric pressure such pumps generally become less and less efficient at evacuating additional gas molecules and eventually are unable to further reduce the pressure. Positive displacement vacuum pumps commonly are only capable of reducing pressure from about 1 atm to the 10^{-4} atm range without the use of additional pumps or pumping stages in combination. A pumping stage refers to a unit set of pumping components with gas flow paths that lead to other vacuum components or a similar unit set of pumping components.

[09] In contrast, turbo-molecular and molecular drag pumps typically employ blade structures that protrude or are angled upwardly and/or downwardly with respect to a plane of rotation. This increases the intercepting cross-section and surface area in contact with molecules and to actively intercept and increase the number of molecules to be impacted and have the rotational momentum of the blades transferred to them. These types of pumps also

operate at much higher rates of rotational speed than typical positive displacement pumps and are thus capable of pumping gases at lower pressure more efficiently than typical positive displacement pumps including at pressures below about 10^{-4} atm. However, turbo-molecular and molecular drag pumps are not effective or efficient at pumping gases at relatively higher pressures closer to ambient atmospheric pressure at least in part due to the substantial effects of drag due to the transfer of momentum and kinetic energy loads of the impacted gas molecules on the high speed rotating blades and other rotational components. In practical use, turbo-molecular and molecular drag pumps are not practically effective until the gas being pumped is already in a reduced pressure range below about 10^{-3} to 10^{-4} atm. Further, such pumps are sensitive to even quite small back-pressure gradients, which can cause them to stall when attempting to pump gases into exhaust spaces having higher pressures.

Accordingly, such pumps are not effective or efficient on their own either to pump down gases from higher pressures closer to atmospheric pressure or to pump gases out directly to the ambient atmosphere. Accordingly, turbo-molecular and molecular drag pumps are typically employed in combination with one or more outlet-side (foreline) pumps that first reduce the pressure of an exhaust space to a relatively low pressure into which the turbo-molecular or molecular drag pump can pump gas effectively without stalling.

[10] Thus, one deficiency of conventional mechanical vacuum pumps is that commonly a single conventional pump is not capable of effectively and efficiently pumping the pressure down over a relatively wide range from about 1 atm to about 10^{-4} atm, 10^{-6} atm, or lower. Instead multiple pumps and pumping stages are required, which entails substantial additional cost, increased maintenance, increased use of valuable space, and increased risk of failure of multiple components and breakdowns.

[11] Another deficiency is that many conventional mechanical vacuum pumps employ some form of interconnected or intermeshed rotors and stators of various shapes, such as rotors with blades, vanes, pitches, gears, claws, impellers, or similar protruding surfaces to actively physically contact and push the molecules of gas being pumped toward another pumping stage or an outlet. In addition, such pumps generally require various seals, sealants, lubricants, etc. The use of such structures to actively physically contact and push the gas molecules generates substantial drag which, together with the heavy mass of the rotating components, produces mechanical friction and wear, and physical and chemical deterioration of seals, sealants and lubricants. This in turn limits the range of rotational speeds of the rotating components and hence the range of pressures over which such pumps can operate effectively and efficiently. Further, to the extent that the gases or gas mixtures being pumped

are caustic, corrosive, or contain abrasive particles or powders, repeated active high-speed collisions with such chemical and abrasive particles can accelerate and increase wear and damage to the moving and non-moving components of the pump. Still further, rapidly repeated high-speed collisions with gas molecules and other particles can generate a significant amount of adiabatic compression heat which can further exacerbate the wear and damage to pump components as well as adversely affect the efficiency and effective pressure range of the pump.

[12] Still other problems and shortcomings of conventional mechanical vacuum pumps are that they commonly have complicated designs with numerous interconnected or intermeshed moving and non-moving components, require lengthy and very fine dimensional tolerances between such moving and non-moving components in order to reduce the conductance of the gas flow path and to increase gas leak back flow path resistance, and typically require the use of one or more stages and seals between high and low pressure sides and/or between pumping stages to prevent gas leak back and loss of pumping efficiency. Even in certain vacuum pumps where a low pressure side or inlet is not sealed from a high pressure side or outlet, sealing is still typically required between the low pressure sides of subsequent pumping stages either within the same pump housing or between successive pumps to prevent gas ultimately leaking back.

[13] Tesla and Gaede about a century ago experimented with vacuum pump designs that used bladeless disks or cylinders. However, in the Tesla pump designs the rotating surfaces of the disks or cylinders only rotated at relatively low subsonic velocities. The Tesla experiments were not particularly successful and did not produce vacuum pumps that could effectively and efficiently pump gas from a low pressure side of a pump over a wide range of pressures from about 1 atm down to the mid-high vacuum range, e.g., about 10^{-6} atm or even lower without the use of additional pumps or multiple pumping stages. Further, the Tesla experiments did not result in pumps capable of pumping gas over such a wide range of pressures without the need to use one or more seals to prevent gas leak-back to the low pressure side of the pump or between pumping stages. Still further, the Tesla pump designs did not address how to maintain pumping efficiency with dropping pressure over a wide range of pressures and as a result the pump designs were practically capable of effective operation over only a fairly limited range of pressures and at relatively high pressure ranges. Accordingly, the Tesla pumps have not been widely adopted for practical uses over the last century but have largely remained technical curiosities. In contrast, the Gaede pumps have

evolved into the present-day turbo-molecular and molecular drag pumps with protruding angled blades and with all the limitations of such pumps as described above.

[14] There remains a need for a vacuum pump that addresses the various deficiencies, problems, and shortcomings of the conventional mechanical vacuum pumps noted above as well as others. The several example embodiments of a non-sealed vacuum pump with supersonically rotatable bladeless gas impingement surface shown and described in detail herein provide such a pump.

Brief Summary of the Invention

[15] A non-sealed vacuum pump with supersonically rotatable bladeless gas impingement surface comprises a low pressure portion and a high pressure portion separated by a stationary substantially gas impermeable partition. A gas flow path for a gas to flow from the low pressure portion to the high pressure portion extends through the partition. No seals and no pressure differential pumping stages present to prevent the gas from leaking back from the high pressure portion to the low pressure portion through the gas flow path. A rotatable surface, which may be substantially planar, tapered, or another shape, but without blades, vanes, impellers or other substantial protrusions is positioned within a space in the high pressure portion. The rotatable surface is featureless in order to minimize drag due to impact with gas molecules as it rotates. The rotatable surface is adapted to be passively impinged on by molecules of the gas entering the space. A drive is coupled to the rotatable surface and is adapted to rotationally drive the rotatable surface so that at least a portion of the rotatable surface rotates with a tangential velocity in a supersonic speed range of approximately 1 to 6 times the most probable velocity of the molecules of the gas impinging on the rotatable surface. In that tangential velocity range, the rotatable surface redirects and ejects impinging gas molecules substantially directly outwardly from its periphery at a high velocity and at a rate and volume to reduce the pressure of the gas in the low pressure portion down to a selected target minimum pressure before randomly moving low velocity gas molecules can leak back from the high pressure portion to the low pressure portion at a rate and volume to limit further reducing the pressure of the gas in the low pressure portion. One target minimum pressure may be approximately 0.5 atm. Another target minimum pressure may be about 10^{-6} atm.

[16] According to one aspect, the partition has a stationary surface that is exposed to the high pressure portion and the rotatable surface has a rotatable surface that faces the stationary

surface of the partition. The facing surfaces are separated by a gap, space or distance having a dimension between approximately 0.5 mm and approximately 100 mm, which may and preferably does continue around substantially the entire peripheral edge of the rotatable surface.

[17] According to another aspect, the rotatable surface may comprise a thin planar or tapered disk and according to another aspect the rotatable surface may comprise a thin planar or tapered ring with open interior portions. The rotatable surface also may comprise another shape, such as a conical-shape or crown-shape disk or ring, however regardless of the shape selected it is preferred that the rotatable surface be free of any features that protrude outwardly from the surface. The rotatable surface has a periphery with a peripheral surface portion that extends around the periphery, an axis of rotation, and a first width dimension between the axis of rotation and the periphery. The peripheral surface portion preferably has a second width dimension that is approximately 0.05 to 0.5 times the first width dimension according to one aspect of the invention, and up to 1 times the first width dimension according to another aspect of the invention.

[18] According to another aspect, a plurality of substantially parallel rotatable surfaces are arranged in a stacked configuration and can be rotated together as a unitary structure or separately and independently of each other.

[19] According to yet another aspect, the rotatable surface is positioned within an interior space defined by an open outer housing, chamber, or enclosure with a wall that is stationary and substantially gas impermeable. The rotatable surface is positioned within the interior space to divide the interior space into a low pressure portion and a high pressure portion. The low pressure and high pressure portions are in gaseous communication and no seal is present to prevent gas from leaking from the high pressure portion to the low pressure portion. The rotatable surface is adapted to be impinged on by molecules of the gas in both the low pressure portion and the high pressure portion. The drive is adapted to rotationally drive the rotatable surface so that at least a portion rotates with tangential velocity in the supersonic speed range of approximately 1 to 6 times the most probable velocity of the molecules of the gas impinging on the rotatable surface. In that tangential velocity range, the rotatable surface redirects and ejects impinging gas molecules outwardly from its periphery at high velocity and at a rate and volume to reduce the pressure of the gas in the low pressure portion down to a selected target minimum pressure before randomly moving low velocity gas molecules can leak back from the high pressure portion to the low pressure portion at a rate and volume to limit further reducing the pressure of the gas in the low pressure portion. One target

minimum pressure may be approximately 0.5 atm. Another target minimum pressure may be about 10^{-6} atm.

[20] According to another aspect, the wall of the housing, chamber, or enclosure has an interior surface that extends around the rotatable surface and that with the rotatable surface defines the low pressure portion. The interior surface is sloped outwardly in the vicinity of the peripheral edge of the rotatable surface to direct gas molecules ejected outwardly from the rotatable surface away from the peripheral surface. The peripheral edge of the rotatable surface is separated from the interior surface by a gap, space, or distance having a dimension between approximately 0.5 mm and approximately 100 mm, which may and preferably does continue around substantially the entire peripheral edge of the rotatable surface.

[21] According to yet another aspect, the rotatable surface has a first rotatable surface exposed to the low pressure portion and a second rotatable surface exposed to the low pressure portion. A substantially gas impermeable enclosure in the high pressure portion encloses a region of space around the rotatable surface and has an opening that is adjacent to and that is separated from the second surface by a small gap in order to create a region of low pressure adjacent to the second rotatable surface.

Brief Description of the Drawings

[22] Figure 1 is a top perspective view partially in cross section and partially transparent of a non-sealed single stage vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed according to an example embodiment.

[23] Figure 2 is a cross-sectional view of the non-sealed vacuum pump with bladeless gas impingement surface rotatable at supersonic speed of Figure 1.

[24] Figure 3 is a top perspective view partially in cross section and partially transparent of a non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed according to another example embodiment.

[25] Figure 4 is a cross-sectional view of the non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed of Figure 3.

[26] Figure 5 is a top perspective view partially in cross section and partially transparent of a non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed according to yet another example embodiment.

- [27] Figure 6 is a cross-sectional view of the non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed of Figure 5 with optional components in a low pressure portion of the pump.
- [28] Figure 7 is a top perspective view partially in cross section and partially transparent of a non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed according to variation of the example embodiment of Figure 5.
- [29] Figure 8 is a cross-sectional view of the non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed of Figure 7.
- [30] Figure 9 is a top perspective view partially in cross section and partially transparent of a non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed and an open frame according to still another example embodiment.
- [31] Figure 10 is a cross-sectional view of the non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed with an open frame of Figure 9.
- [32] Figure 11 is a top plan view partially transparent of the non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed of Figure 9 omitting the open frame.
- [33] Figure 12A is a top plan view of one variation of a rotatable disk of a non-sealed single stage vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed according to an example embodiment.
- [34] Figure 12B is a top perspective view of the rotatable disk of Figure 12A.
- [35] Figure 12C is a side view of another variation of a rotatable disk of a non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed according to an example embodiment.
- [36] Figure 12D is a top plan view of one variation of a rotatable ring of a non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed according to an example embodiment.
- [37] Figure 12E is a top perspective view of another variation of a rotatable ring of a non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed according to an example embodiment.
- [38] Figure 12F is a side view of rotatable ring of Figure 12E.
- [39] Figure 12G is a top plan view of yet another variation of a rotatable disk of a non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed according to an example embodiment.
- [40] Figure 12H is a top perspective view of the rotatable disk of Figure 12G.

- [41] Figure 12I is a top plan view of still another variation of a rotatable disk of a non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed according to an example embodiment.
- [42] Figure 12J is a top perspective view of the rotatable disk of Figure 12I.
- [43] Figure 12K is a top perspective view of one variation of a plurality of rotatable rings of a non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed in a stacked arrangement according to an example embodiment.
- [44] Figure 12L is a cross-sectional side view of the plurality of rotatable rings in a stacked arrangement of Figure 12K.
- [45] Figure 12M is a top perspective view of another variation of a plurality of rotatable rings of a non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed in a stacked arrangement according to an example embodiment.
- [46] Figure 12N is a cross-sectional side view of the plurality of rotatable rings in a stacked arrangement of Figure 12M.
- [47] Figure 12O is a top perspective view of still another variation of a rotatable ring of a non-sealed vacuum pump with a bladeless gas impingement surface rotatable at supersonic speed according to an example embodiment.
- [48] Figure 12P is a cross-sectional side view of the rotatable ring of Figure 12O.

Detailed Description of Preferred Embodiments

[49] A detailed description of example embodiments is given below with reference to Figures 1-12 of the attached drawing wherein like reference numerals refer to like parts throughout the various views unless otherwise specified. The detailed description is given by way of illustration only and is not intended to limit the scope of the invention, which is defined by the appended claims. Further, the detailed description is not intended to be limiting or exhaustive with regard to example embodiments that may be possible in accordance with the invention. Rather, it is contemplated that various modifications to the example embodiments as described will be appreciated by those skilled in the art in accordance with the invention. It is also contemplated that those skilled in the art will appreciate that various features and elements of the example embodiments as described may be combined with various features and elements of other example embodiments, thus resulting in additional example embodiments also in accordance with the invention.

[50] Certain definitions and conventions have been adopted and are used in connection with the detailed description herein. Unless otherwise specified, the term “vacuum” as used herein to refer to example embodiments of a “vacuum” pump is not intended to and does not necessarily mean that the pump is intended to be used or must be capable of pumping to a complete vacuum. Rather, “vacuum” is merely used as a shorthand descriptor for a pump that is intended to be used and has the capability to reduce the gas pressure in a low pressure portion of the pump to a pressure sufficiently less than the starting or ambient pressure to generate a partial vacuum. For example, the starting or ambient pressure may but need not be atmospheric pressure (atm) and the pump may be capable of pumping down to a pressure less than atm, e.g., 0.5 atm, 10^{-4} atm, 10^{-6} atm or lower. It should be understood that the lowest pressure value that the “vacuum” pump is intended and able to produce will depend on the details of construction and operation of a particular pump according to the detailed description herein. Unless otherwise specified, all references to pressure, temperature, and other physical parameters, e.g., most probable velocity, mean free path, impinging rate, etc. herein are in relation to and/or with reference to temperature at 20°C and pressure at 1 atm (760 Torr, 101,325 Pa., 1013.25 mbar) where the gas is air. Further, by way of example in the following description, air will be used as the gas being pumped. It will be appreciated however, that the example embodiments of the vacuum pump **10** are intended and suitable for use not only with air, but also with other gases and mixtures of gases including by way of example and without limitation, water vapor, nitrogen, hydrogen, oxygen, chlorine, carbon dioxide, methane, etc., and various gas mixtures such as air, hydride gases, halogen gases, perfluorocarbon gases mixing with oil, water, oxidant gases or inert gases, etc. This invention find use in diverse applications including household vacuum cleaners, oil and gas production, distribution, and storage, low pressure drying applications, semiconductor fabrication, coating applications, chemical manufacturing processes, and scientific research where low pressure is required.

[51] One example embodiment of a vacuum pump **10** according to the invention is illustrated in Figures 1-2. In general, the vacuum pump **10** comprises a low pressure portion **11**, a high pressure portion **12**, a partition **13** that separates the low pressure portion **11** from the high pressure portion **12**, a gas flow path **14** through the partition **13**, a substantially planar rotatable surface **15**, and a drive **16** adapted to cause at least a portion of the rotatable surface **15** to rotate at a very high rate of tangential velocity as described in further detail below. The vacuum pump **10** may be portable or may be mounted in a permanent or semi-permanent position, for example to a stationary fixed base or surface of a structure **17**.

[52] As indicated by the dashed outline in Figures 1-2, the low pressure portion **11** may comprise an enclosed, partially enclosed/partially open, or open region or space **18**. The low pressure portion **11** may have any desired geometric shape. For example, the low pressure portion **11** and the region or space **18** can be partially or completely dome-shaped, or can be cylindrical, rectangular, conical, frusto-conical or any other suitable geometric shape.

[53] The low pressure portion **11** may comprise an enclosed interior space **18** of a closed housing, chamber, or other enclosure. As indicated above, the housing or chamber and the interior space may have any desired geometric shape and configuration. The low pressure portion **11** also may comprise a partially enclosed/partially open space **18** of any desired geometric shape or even an open region or space. In the case of a partially enclosed/partially open space **18**, the low pressure portion **11** may comprise the portion of the partially enclosed/partially open space **18** that is enclosed as well as a region or space **19** that is exterior to the enclosed space **18** and closely adjacent to one or more openings **20**. For example, the low pressure portion **11** may comprise the interior space **18** enclosed within a housing or chamber that has one or more openings **20**, as well as a relatively narrow portion or region of the space **19** that is exterior and closely adjacent to the openings **20**. One or more openings **20** may comprise a gas inlet **21** that is in gaseous communication with the low pressure portion **11** and that may be coupled to or in gaseous communication with another housing or chamber, a gas conduit, or even the external ambient environment.

[54] In the case of an open space **18**, the low pressure portion **11** may comprise a relatively narrow portion or region of the open space **18** that is external and closely adjacent to an opening **22** of the gas flow path **14** through the partition **13** that separates the low pressure portion **11** and the high pressure portion **12**. As a result and as will become apparent from the description herein, the low pressure region exerts a pulling force on the rotatable surface **15**. Therefore, the rotatable surface **15**, central opening **24**, drive shaft **25**, coupler **40**, drive motor **37**, and base **17** are preferably designed and assembled to be structurally resistant to the pulling force and to maintain a substantially fixed and constant position of the rotatable surface **15** in relation to the partition **13** during operation of the vacuum pump **10**.

[55] The partition **13** is substantially gas impermeable and is stationary in relation to the rotatable surface **15**. The partition has one side with a surface **13a** that is exposed to the high pressure portion **12** and another side opposite the one side with a surface **13b** that is exposed to the low pressure portion **11**. The partition **13** may comprise a substantially planar structure as illustrated in Figures 1-2, or may be curved or formed in other geometric shapes. The partition **13** functions to effectively separate the low pressure portion **11** and the high

pressure portion **12** at least in the vicinity of the rotatable surface **15**. The partition **13** may but need not be incorporated as part of a housing, chamber or other enclosure that encloses the low pressure portion **11**, the high pressure portion **12**, or both. In some embodiments, either or both of the low pressure portion **11** and the high pressure portion **12** can be partially or completely open to the external environment save for the partition **13** between them. The partition **13** should extend adjacent to the preferably substantially planar rotatable surface **15** between the low pressure portion **11** and the high pressure portion **12** for a distance relative to the peripheral dimension of the rotatable surface **15** that is sufficient to effectively separate the high pressure portion **12** from the low pressure portion **11** at least in the vicinity of the rotatable surface **15**. Although for illustrative purposes, Figures 1 and 2 show the partition **13** extending well past the peripheral edge **26a** of the rotatable surface **15**, in most practical applications the partition **13** will preferably have a dimension that is approximately the same as or slightly greater than the dimension of the diameter of the rotatable surface **15** so that the partition **13** extends to or slightly past the peripheral edge **26a** of the rotatable surface **15**. Further, in the vicinity of the gas flow path **14** through the partition **13**, the rotatable surface **15** will preferably have sufficient structural rigidity and integrity to effectively separate the high pressure portion **12** from the low pressure portion **11** without substantial deformation or damage.

[56] The gas flow path **14** extends through the partition **13** and provides a path for gas to flow between the low pressure portion **11** and the high pressure portion **12**. The gas flow path **14** may comprise one or more openings **22** in the partition **13**, one or more pipes or conduits, and/or any other structure or combination that enables gas to flow in a confined path from one point to another, or any combination of these. The gas flow path **14** is preferably located and configured so that molecules of gas flow from the low pressure portion **11** through the gas flow path **14** to the high pressure portion **12** and impinge on the rotatable surface **15**. The gas flow path **14** may comprise an opening **22** into the high pressure portion **12** that is adjacent to a central portion **23** of the rotatable surface **15** as in the example embodiment illustrated in Figures 1-2. The central portion **23** includes a central opening **24** that along with a drive shaft **25** of the drive **16** described in further detail below defines an axis of rotation of the rotatable surface **15**. The opening **22** in the partition **13** may but need not have a center point or axis that is coaxial with the axis of rotation of the rotatable surface **15**. The opening **22** in the partition **13** may also be located offset from the central opening **24**, central portion **23**, and/or axis of rotation of the rotatable surface **15** by a selected radial distance toward an outer periphery **26** of the rotatable surface **15**. The gas flow path **14** may

also comprise multiple spaced openings **22** that are interspersed or distributed in the partition **13**. The multiple openings **22** may include an opening that is located adjacent to the central portion **23**, central opening **24**, and/or the axis of rotation of the rotatable surface **15**, and/or one or more openings that are located at the same radial distance or a plurality of different radial distances from the axis of rotation of the rotatable surface **15** toward the outer periphery **26** of the rotatable surface **15**.

[57] The gas flow path **14** and/or the one or more openings **22** through the partition **13** into the high pressure portion **12** may but need not have an axis that is substantially perpendicular to a plane of rotation of the rotatable surface **15**, which is described further below. The gas flow path **14** and/or the one or more openings **22** also may have the same or different axes at one or more angles in relation to the plane of rotation of the rotatable surface **15**. The one or more angles may be one or more acute angles in relation to the plane of rotation of the rotatable surface **15** and may slope or extend outwardly toward the outer periphery **26** of the rotatable surface **15**.

[58] It will be appreciated from the foregoing description that the gas flow path **14** and the openings **22** can be arranged in relation to the plane of rotation of the rotatable surface **15** to impart to at least some extent a directional bias to at least some portion of the gas molecules entering the high pressure portion **12** so that they are at least somewhat more likely to impinge on the rotatable surface **15** at one or more selected locations between the axis of rotation and the periphery **26**, for example locations rotating with higher tangential velocity, and are at least somewhat more likely to impinge on the rotatable surface **15** at angles in relation to the plane of rotation that are sloped toward the periphery **26** of the rotatable surface **15**. Such arrangements can thus contribute positively to the efficiency of the vacuum pump **10**.

[59] Like the low pressure portion **11**, the high pressure portion **12** may comprise a partially enclosed/partially open or open region or space **27**. The high pressure portion **12** may have any desired geometric shape. For example, the high pressure portion **12** can be cylindrical, cubic, rectangular, conical, frusto-conical or any other desired geometric shape.

[60] Also for example, the high pressure portion **12** may comprise the enclosed interior space **27** of a housing, chamber, or other enclosure having one or more openings **28**. As indicated above, the housing or chamber and the interior space **27** may have any desired geometric shape and configuration. One or more of the openings **28** may comprise a gas outlet in gaseous communication with the high pressure portion **12**. The gas outlet also may be coupled to or in gaseous communication with another chamber, a gas conduit, or the

external ambient environment. The high pressure portion **12** also may comprise an open region or space **27** that is unbounded by a housing, chamber or other structure except the partition **13** as described above that separates the high pressure portion **12** from the low pressure portion **11**. The open region or space **27** may be the external ambient environment. In that case, the tangentially outward flow of impinging gas molecules from the outer periphery **26** of the rotatable surface **15** as indicated by the arrows in Figure 2 may be thought of as comprising a gas outlet.

[61] It is a unique characteristic of the example embodiments described herein that there is no need for a seal or seals to prevent gas molecules from leaking back from the high pressure portion **12** to the low pressure portion **11** through the gas flow path **14** and it is preferred that no seals are used for that purpose. The reasons for this will become apparent from the additional descriptions below. Because no leak-back seals are employed, the example embodiments of the vacuum pump **10** described herein can be constructed with fewer moving parts, fewer parts requiring inspection, maintenance, repair or replacement, and less demanding tolerances. Accordingly, the example embodiments of the vacuum pump **10** are less costly to construct, assemble, and operate and are more reliable than conventional vacuum pumps.

[62] The rotatable surface **15** has a first side with a rotatable first surface **15a**, a second side with a rotatable second surface **15b** that is opposite the first surface **15a** and a peripheral edge **26a** that extends between the first surface **15a** and the second surface **15b** around the periphery **26** of the rotatable surface **15**. The rotatable surface **15** is preferably positioned in the region or space **27** of the high pressure portion **12** adjacent and in relatively close proximity to the partition **13** and the gas flow path **14** and opening or openings **22** through the partition **13**. More specifically, the rotatable surface **15** is preferably positioned with the first surface **15a** facing, adjacent to and in relatively close proximity to the surface **13a** of the partition **13** that is exposed to the high pressure portion **12** and the gas flow path **14** and openings **22** in the partition **13**. Preferably, but not necessary in all embodiments, the rotatable surface **15** is positioned so that the first surface **15a** is substantially parallel with the surface **13a** of the partition **13** that is exposed to the high pressure portion **12** and is substantially perpendicular or at a selected angle with respect to the axes of the gas flow path **14** and/or openings **22** in the partition **13**. The first surface **15a** of the rotatable surface **15** and the surface **13a** of the partition **13** that is exposed to the high pressure portion **12** are separated by a small space or gap **29** so that a very large portion of gas molecules entering through the openings **22** into the high pressure portion **12** are likely to impinge on the first

surface **15a**. For reasons that will become apparent from further description below, the space or gap **29** is preferably in the range of approximately 0.5 mm to approximately 100 mm to facilitate operation of the vacuum pump **10** with a wide variety of gases and minimum target pressure values.

[63] In some example embodiments, such as those illustrated in Figures 1-8, the rotatable surface **15** is substantially planar with the first surface **15a** being substantially planar, the second surface **15b** being substantially planar, and the first surface **15a** and the second surface **15b** being substantially parallel and co-extensive, and terminating in the peripheral edge **26a** that extends around the periphery **26** of rotatable surface **15**. The peripheral edge **26a** may but need not be substantially perpendicular to the first and second substantially planar surfaces **15a, 15b**. The first and second surfaces **15a, 15b** are preferably relatively smooth, not necessarily to the microscopic level, but at least to the eye and touch. The smoothness of the first and second surfaces **15a, 15b** helps to limit drag on the rotatable surface **15** as it rotates and thus contributes positively to efficient operation of the vacuum pump.

[64] The central opening **24** of the rotatable surface **15** extends between and through the first and second substantially planar surfaces **15a, 15b**. The central opening **24** is adapted to receive the drive shaft **25** of the drive **16** for rotationally coupling the rotatable surface **15** to the drive **16** which is described further below. The central opening **24** together with the drive shaft **25** defines an axis of rotation of the rotational surface **15**.

[65] In one example embodiment of the substantially planar rotatable surface **15**, the rotatable surface **15** comprises a substantially circular disk **15**, which is best shown in Figures 1-8, 12A-12C, and 12G-12J. The disk **15** may be solid, partially solid/partially hollow, or hollow. In this example embodiment, the first and second substantially planar surfaces **15a, 15b** can each extend substantially continuously from the central opening **24** of the disk **15** to the peripheral edge **26a**. The disk **15** preferably will be as thin as possible without compromising its structural integrity during operation in order to minimize its weight. For the same reason, various slots **30** or other openings may extend through the body of the disk **15** between the first and second substantially planar surfaces **15a, 15b** as best seen in Figures 12G-12J. The substantially circular disk embodiment of the rotatable surface **15** with substantially continuous surfaces is particularly suitable for use in the example embodiments of the vacuum pump **10** illustrated in Figures 1-4 and similar embodiments wherein the structure of the rotatable surface **15** in whole or in part provides separation between the high pressure portion **12** and the low pressure portion **11** of the vacuum pump **10**.

[66] In another example embodiment of the substantially planar rotatable surface **15** as described above, the rotatable surface **15** comprises a substantially circular planar ring which is best shown in Figures 12D-12F and 12K-12N. The ring may be solid, partially solid/partially hollow, or hollow and preferably will be as thin as possible without compromising its structural integrity during operation in order to minimize its weight.

[67] In this example embodiment, the outer periphery **26** of the ring is substantially circular. The first substantially planar surface **15a** comprises a first substantially planar peripheral surface portion **31** and the second substantially planar surface **15b** comprises a second substantially planar peripheral surface portion **32**. The first and second peripheral surface portions **31, 32** are substantially parallel and co-extensive. The first and second peripheral surface portions **31, 32** each extend substantially continuously around the outer periphery **26** of the ring and terminate in the outer peripheral edge **26a** of the ring. The outer peripheral edge **26a** may but need not be substantially perpendicular to the first and second peripheral surface portions **31, 32**. The first and second peripheral surface portions **31, 32** each extend radially inward from the outer peripheral edge **26a** for a selected distance and terminate in an inner peripheral edge **33**.

[68] The ring has a central hub portion **34** that contains the central opening **24**. The central opening **24** extends through the central hub portion **34** and is adapted to receive the drive shaft **25** of the drive **16** as previously noted. The central opening **24** together with the drive shaft **25** defines an axis of rotation of the ring. A plurality of radially spaced apart spokes **35** extend radially outward between the central hub portion **34** and the inner peripheral edge **33** of the first and second peripheral surface portions **31, 32** and rigidly connect the first and second peripheral surface portions **31, 32** to the central hub portion **34**. Although the spokes **35** are illustrated as extending linearly and as having square edges, those skilled in the art will appreciate that the spokes **35** can have various shapes including curved, sloped, serpentine, and other shapes consistent with providing a rigid connection, and can have various edge shapes, such as rounded or beveled, for aerodynamic streamlining.

[69] The distance between the outer peripheral edge **26a** and the inner peripheral edge **33** comprises the width of the first and second peripheral surface portions **31, 32**. The distance between the central opening **24** and the outer peripheral edge **26a** comprises the width (radius) of the ring. Persons skilled in the art will appreciate that the selection of ring width presents a trade-off. Smaller ring widths have less drag at higher pressure values. However, larger ring widths provide more surface area for the impingement of molecules with relatively longer mean free paths at lower pressure values. Similar considerations apply to

the dimensions of the gap **29** between the rotatable surface **15** and the partition **13** in relation to mean free path and pressure, i.e., a larger gap may be suitable for use in relatively higher pressure regimes, whereas for lower pressure regimes a relatively smaller gap may be needed to limit leak-back of gas molecules having relatively high values of mean free path and high velocity. For reasons that will become more apparent from the additional description below and that relate to the surface area present for impingement by gas molecules, the width of the first and second peripheral surface portions **31**, **32** is preferably in the range of approximately 0.05 to 0.5 times the width of the radius of the ring **15**. This range accommodates use of the vacuum pump **10** with a wide variety of different gases and minimum target pressure values. For a target minimum pressure of about 0.5 atm, the width can be about 0.05 to about 0.2 times the width of the radius. For a target minimum pressure of about 10^{-4} atm, the width can be about 0.1 to about 0.3 times the width of the radius. For a target minimum pressure of about 10^{-6} atm, the width can be greater than about 0.3 times the width of the radius.

[70] Like the example disk embodiment of the rotatable surface **15**, the elements of the example ring embodiment of the rotatable surface **15** of Figures 12D-12F preferably will be as thin as possible without compromising the structural integrity of the ring **15** during operation in order to minimize weight. In addition, the ring comprises interior portions **36** that are enclosed or bounded by the central hub portion **34**, the inner peripheral edge **33** of the first and second peripheral surface portions **31**, **32**, and adjacent spokes **35**. The interior portions **36** have no material and comprise open space, which further reduces the weight of the ring **15**. Because of the open spaces, the ring embodiment of the rotatable surface **15** is particularly suitable for use in the example embodiments of the vacuum pump **10** illustrated in Figures 5-10 and similar embodiments in which the pressure on the opposite first and second peripheral surface portions **31**, **32** (corresponding to the first and second surfaces **15a**, **15b** of the rotatable surface **15**) can be approximately equal. In other words, the ring embodiment is most suitable for use in embodiments in which the structure comprising the ring is not used or required to provide separation between the high pressure portion **12** and the low pressure portion **11** of the vacuum pump **10**.

[71] Regardless of the form of the rotatable surface **15**, it is preferred to minimize the weight to the extent possible in order to improve the operational efficiency of the vacuum pump **10**. It will be appreciated from the description that follows that the combination of the amount of surface area of the rotatable surface **15** on which the gas molecules can impinge and the tangential velocity of that surface area relative to the most probable velocity of the impinging gas molecules substantially determines the rate and efficiency at which the

vacuum pump **10** can reduce the gas pressure in the low pressure portion **11** from a starting or ambient value to a target minimum pressure value. Minimizing the weight of the rotatable surface **15** without substantially reducing the surface area present for impingement enables the drive **16** to more readily and efficiently rotate the rotatable surface **15**, especially at higher gas pressures, and to rotate the rotatable surface **15** at greater tangential velocities, both of which enable the vacuum pump **10** to more efficiently and rapidly achieve target minimum pressure values.

[72] In yet another example embodiment of the rotatable surface **15** best seen in Figures 9-10, the rotatable surface **15** may have a non-uniform thickness dimension gradient between the central opening **24** and the outer peripheral edge **26a**. The thickness dimension may vary continuously or discretely. In one version, the thickness dimension may vary substantially continuously so that the first surface **15a**, the first peripheral surface portion **31**, the second surface **15b**, the second peripheral surface portion **32**, or any combination of them have a taper as they extend outwardly away from the central portion **23**, central opening **24**, and/or central hub portion **34** toward the outer periphery **26**. The taper is preferably but not necessarily substantially continuous and linear. A non-uniform thickness gradient can help maintain the strength and rigidity of the rotatable surface **15** at and near its axis of rotation while reducing weight and potential drag near the outer periphery **26** where the rotatable surface is intended to rotate with very high rates of tangential velocity in the supersonic range.

[73] The rotatable surface **15** may have a maximum thickness dimension at or near the central opening **24** which decreases to a minimum thickness dimension at or near the peripheral edge **26a**. With this configuration, the first and second surfaces **15a**, **15b** will remain almost but not quite parallel with each other as they slope outwardly at an angle from the central opening **24** to the peripheral edge **26a**. Also in this configuration, when the rotatable surface **15** is positioned in the high pressure portion **12** in relation to the partition **13**, gas flow path **14**, and openings **22** as described above, the first surface **15a** will extend almost but not quite parallel with the surface **13a** of the partition **13** that is exposed to the high pressure portion **12**.

[74] Configuring the rotatable surface **15** to be hollow or partially-hollow can remove additional weight. Either embodiment of the rotatable surface **15**, e.g., circular disk and ring, may be constructed in this way. The materials used to construct the rotatable surface **15** can be selected to maintain the structural integrity, strength, and stiffness of the rotatable surface **15**. Additional measures also may be taken to ensure structural integrity, strength, and

stiffness. Internal supports may be provided in the hollow space between the first and second surfaces **15a**, **15b** and/or the first and second peripheral surface portions **31**, **32**, and may extend internally between the first and second surfaces **15a**, **15b** and/or the first and second peripheral surface portions **31**, **32** to provide support and help maintain rigidity of the rotatable surface **15**. If the spokes **35** also are hollow or partially-hollow, internal supports can also be provided internally in the spokes **35**. The internal supports may comprise for example one or more discrete structures, such as pillars or posts, and/or one or more continuous structures, such as short circumferentially-extending cylinders, or short radially-extending fins or walls. If the thickness dimension of a hollow or partially-hollow rotatable surface **15** is substantially uniform as it will be when the rotatable surface **15** is substantially planar as described above, the internal supports also can be of substantially uniform dimension. If the thickness dimension of the rotatable surface **15** varies, as will when the rotatable surface **15** is tapered as described above, the internal supports will have dimensions that vary or taper accordingly.

[75] It should be noted that while the several example embodiments of the rotatable surface **15** described above all have an outer periphery **26** that is substantially circular-shaped, other peripheral shapes may be used if desired.

[76] The rotatable surface **15** may be constructed as a single monolithic structure or as a composite or assembly of components. The rotatable surface **15** may be constructed using suitable machining, molding, solid printing or other techniques. It is preferred that the rotatable surface **15** be constructed of materials that are light in weight, rigid, have relatively high tensile and breaking strengths, and have high resistance to thermal stress. These characteristics are preferred for the rotatable surface **15** to withstand the substantial forces and heat that may be generated when the rotatable surface **15** is rotated at the very high rates of rotational and tangential velocity described herein without damage. Various materials and constructs already in use for very high speed rotational machinery are suitable. For example, various materials presently used for very high rotational velocity turbines and certain existing vacuum pumps, such as turbo-molecular pumps, are suitable. Suitable materials may include but are not limited to various titanium alloys, magnesium alloys, aluminum alloys, carbon fiber and carbon fiber composites, fiberglass and fiberglass composites, carbon graphite, Kevlar®, and various composites and combinations of the foregoing.

[77] In addition, it is preferred that the rotatable surface **15** (and any other components of the vacuum pump **10**) that may cause or be subject to vibration be precision-balanced and suitably dampened to minimize the vibrations and the effects of such vibrations that may

occur when the rotatable surface is rotated at the very high rates of rotational velocity described herein. Precision-balancing and vibration damping elements and techniques already in use in connection with existing very high rotational velocity machinery such as high rotational velocity turbines, hard disks, computer numerical control (CNC) cutting machines, and certain existing vacuum pumps, such as turbo-molecular pumps, are suitable for that purpose.

[78] The rotatable surface **15** is adapted to be rotatable in a plane of rotation and around an axis of rotation. Accordingly, the first surface **15a** and the second surface **15b** of the rotatable surface **15** are adapted to be rotatable in the plane of rotation around the axis of rotation. Preferably, but not necessarily, the plane of rotation is substantially perpendicular to the axis of rotation. In the example embodiments as illustrated in Figures 1-2 and described above, the rotatable surface **15** and more specifically the first surface **15a** of the rotatable surface **15** is preferably positioned in the high pressure portion **12** adjacent to, in close proximity to, and facing the surface **13a** of the partition exposed to the high pressure portion **12** and the openings **22** in the partition **13**. In this position, the plane of rotation of the rotatable surface **15** and more specifically the first surface **15a** is substantially parallel to the surface **13a** of the partition **13** and is substantially perpendicular to (or at one or more selected angles to) the axis of the gas flow path **14** and/or the openings **22**.

[79] Generally speaking, as the first surface **15a** of the rotatable surface **15** rotates in the plane of rotation, each point or location on the first surface **15a** has a tangential velocity and a related centrifugal force associated with it. As gas molecules entering the high pressure portion **12** through the openings **22** in the partition **13** impinge on the first surface **15a** at various points or locations, the tangential velocity and centrifugal force associated with those points or locations are transferred to the impinging gas molecules. If the tangential velocity and centrifugal force are sufficiently great, they can overcome the directional force of the impinging molecules, redirect the impinging molecules toward the periphery **26** of the first surface **15a**, and ultimately eject the impinging molecules at the vectoral combination of the reflected incoming velocity and the tangential velocity of direction and speed of the rotatable surface **15** outwardly from the periphery **26** into the high pressure portion **12**, where they can be ultimately directed toward a gas outlet. If a sufficient number of impinging molecules are ejected outwardly from the periphery **26** at a sufficient rate, then a net outward flow of gas molecules from the low pressure portion **11** to the high pressure portion **12** is generated as indicated by the arrows in Figures 2, 4-8 and others. The outward flow of gas molecules is at

least partially guided by the surface **13a** of the partition **13** that is adjacent to the first surface **15a** of the rotatable surface **15**.

[80] In order to redirect a sufficient volume of impinging molecules at a sufficient rate to generate a substantial net outflow of gas over a wide range of pressure conditions, the present inventor has discovered to rotate the rotatable surface **15** and more specifically the first surface **15a** at very high rates of rotational and tangential velocity heretofore not envisioned by practitioners in the art. More specifically, the inventor has discovered to rotate the rotatable surface **15** and more specifically the first surface **15a** at a rate of rotational velocity sufficient to impart to at least a portion of the rotatable surface **15** and more specifically the first surface **15a** an associated tangential velocity that is multiple times the most probable velocity of the gas molecules impinging on the rotatable surface **15** and more specifically the first surface **15a**. Even more specifically, the present inventor has discovered to rotate the rotatable surface **15** and more specifically the first surface **15a** with a rotational velocity such that at least a portion of the rotatable surface **15** and more specifically the first surface **15a** rotates with a tangential velocity that is preferably in a range of approximately 1-6 times the most probable velocity of the impinging gas molecules according to the Maxwell-Boltzmann velocity distribution for the impinging gas molecules. Using air molecules at 20°C at 1 atm as an example representative gas with which the vacuum pump **10** is intended to be used, the most probable velocity is approximately 410 m/sec, and the speed of sound in dry air at 1 atm and 20°C is approximately 343 m/sec. This equates to a range of tangential velocities that are generally supersonic and in a range from approximately 1.2 to 7.2 times the speed of sound (approximately Mach 1.2 to Mach 7.2). Operating with at least a portion of the rotatable surface **15** rotating within the preferred range of tangential velocities, the example embodiments of the vacuum pump **10** can provide excellent pumping results with a wide variety of different gases and over a wide range of pressures and temperatures without the need to employ multiple pumps or pumping stages.

[81] The present inventor has further discovered that when rotated with sufficient rotational velocity to produce tangential velocity values in the described preferred range, the rotatable surface **15** and more specifically the first surface **15a** impart sufficient outward tangential momentums to a sufficient number of impinging gas molecules at a sufficient rate to establish a substantial rate and volume of net outward flow of gas from the periphery **26** of the rotatable surface **15** and more specifically the first surface **15a** from the low pressure portion **11** into the high pressure portion **12** and does so without needing to use seals to prevent gas leaking back to the low pressure portion **11**. Further, the impinging gas

molecules that exit the low pressure portion **11** into the high pressure portion **12** and impinge on the first surface **15a** are ejected outwardly from the first surface **15a** at a rate and volume that substantially exceeds the rate at which gas molecules in the low pressure portion **11** can be replenished by returning slower velocity molecules. Accordingly, when constructed and operated as described, the example embodiments of the vacuum pump **10** are able to rapidly and efficiently lower or reduce the pressure in the low pressure portion **11** from a starting or ambient pressure to a target minimum pressure value.

[82] The present inventor has also discovered that when constructed and operated as described, the example embodiments of the vacuum pump **10** are able to rapidly and efficiently reduce the pressure in the low pressure portion **11** from a starting or ambient pressure to a target minimum pressure over a wide range using a single pump and in a single pumping stage without the need to use multiple different pumps and/or multiple pumping stages as is typically required with conventional vacuum pumps. For example, the inventor has discovered that an example embodiment of the vacuum pump **10** constructed and operated as described can rapidly and efficiently reduce the pressure in the low pressure portion **11** from a starting or ambient pressure of approximately 1 atm to a target minimum pressure of 0.5 atm, for general roughing vacuum applications, and even to the mid-high vacuum range, e.g., 10^{-4} to 10^{-6} atm in a single stage using the same pump. Still further, and as described above, the present inventor has discovered that when constructed and operated as described, the example embodiments of the vacuum pump **10** can reduce the pressure in the low pressure portion **11** down to the indicated target minimum pressure value ranges without the need to use seals to prevent the gas from leaking back from the high pressure portion **12** to the low pressure portion **11** through the gas flow path **14**.

[83] As will be appreciated, it is a unique characteristic of the example embodiments described herein that the rotatable surface **15** and more specifically the first and second surfaces of the rotatable surface **15a**, **15b** are substantially smooth and preferably planar surfaces with no outwardly extending blades, vanes, impellers, or other protrusions or features. In addition, the rotatable surface **15** is not itself arranged or configured as a blade or impeller like the angled or curved sets of blades found in conventional turbo-molecular and other conventional vacuum pumps. Such blades and/or vanes are a major source of drag especially at higher gas pressures and are a substantial reason why multiple pump stages using different types of pumps are generally required to pump down from approximately atmospheric ambient or starting pressure to target minimum pressure values in the high to mid-vacuum range, i.e., 10^{-4} to 10^{-6} atm, or below.

[84] It is a fundamental difference between the example embodiments of the vacuum pump **10** described herein and conventional turbo-molecular pumps that in the latter sets of blades or vanes are intentionally rotated through a gas to actively contact the gas molecules and physically push them ahead of the blades or vanes, and are actually arranged at an angle to increase the cross-sectional area of contact in order to actively impact more molecules. The gas molecules are successively pushed from one set of blades or vanes at one level/story to another set of blades or vane at another level/story, with each successive set of blades or vanes being arranged at a different angle in order to rotate at higher speed and further compress the gas to higher pressures in multiple levels/stories. The action by the angled blades to push the molecules in one direction also creates a reaction force in the opposite direction, and the reaction force exerts a load against rotation of the blades or vanes particularly at higher pressure operation. Such an arrangement is also subject to substantial drag effects especially at higher starting or ambient pressures. Accordingly, such pumps are not suitable or even capable to pump down from relatively higher pressures such as atmospheric pressure to near vacuum pressure levels, e.g., 10^{-4} to 10^{-6} atm alone and without the use of multiple pump stages, for example foreline and backing pumps. In contrast, the rotatable surface **15** of the example embodiments is not angled or otherwise arranged to actively contact gas molecules as it rotates. Rather, the rotatable surface **15** of the example embodiments operates in a passive sense in that is impinged on by gas molecules. It does not create the action and reaction forces or the load against rotation that the angled blades create. Further, whether the rotatable surface **15** is impinged on by gas molecules depends on the natural (random) direction of the gas molecules velocity distribution, not on the direction or angle of rotation of the rotatable surface **15** relative to the gas molecules. Still further, the rotatable surface **15** of the example embodiments is arranged in a way to minimize drag rather than maximize it.

[85] Contrary to the conventional mechanical pump designs such as molecular drag pumps, turbo molecular pumps, vane pumps, dry pump, screw pumps, roots blowers, piston and diaphragm pumps, all of which are designed with components that actively drag and push molecules, the present invention does the opposite by trying to construct all rotating components, e.g., rotatable surface **15** (rotatable disk or spoked ring), to have aerodynamically streamlined profiles and to minimize drag. The fundamental difference of this invention is that the moving surfaces, e.g., rotatable surface **15**, passively await impingement by the randomly free moving molecules and eject them upon impact. For each impinging impact, a molecule collides with a few closely-spaced surface-bounded solid

atoms of the surface **15a** or **15b** of the rotatable surface **15** and experiences a recoil reaction at the atomic monolayer level. The surface atoms transfer their rotating velocity to the outgoing molecule upon the impact. At a given pressure, the total number of molecules that impinge on the rotatable surface **15** is a multiple of the surface impingement rate with the projected surface area of the physical surface **15a**, **15b** and is independent of whether the surface is moving or stationary. Another aspect is that even at atmospheric pressure (atm), for example, the mean free paths of air molecules is 6.58×10^{-6} cm, which is two orders of magnitude larger than the solid lattice spacing between atoms on the surfaces **15a**, **15b** of the rotatable surface **15**, which is about 0.2 nm. Therefore, independent of whether the topologies of the surfaces **15a**, **15b** are macroscopically rough or microscopically smooth, the projected surface area that an impinged molecule essentially “sees” is the same. Each impinging molecule receives a tangential moving velocity (from the point of impact with the surface **15a** or **15b**) that is 1 to 6 times the most probable velocity of the molecules, which either adds to or subtracts from the original speed and changes the direction of the impinging molecule. The resulting outgoing angle of the impinging molecule is substantially a grazing angle with respect to the plane of rotation of the rotatable surface **15** and the direction of the impinging molecule is in a direction tangential to the rotational velocity of the surface. Thus, when a substantially planar surface with no protrusions or other outwardly extending features, such as the surfaces **15a**, **15b** of the rotatable surface **15**, rotate in a plane of rotation substantially perpendicular with respect to the axis of rotation, impinging molecules impinge only on the projected physical area of the surface depending on the random directions of the molecules themselves. However, when a rotating surface has an angle that is not perpendicular with respect to the plane and axis of rotation, or has protrusions or other features that extend outwardly from the plane of rotation, such as the angled blades of a turbine, some molecules will naturally impinge on the projected physical surface area of the blades based on the random directions of motion of the molecules, but in addition many molecules which are not moving in a direction to naturally impinge on the projected area are also actively impacted by the sweeping angled blades as they rotate and intercept the paths of motion of the otherwise non-impinging molecules. Therefore, greater numbers of molecules are impacted by the angled turbine blades as compared to the same total physical area of the non-angled substantially planar physical surface area of the surfaces **15a**, **15b** of the rotational surface **15**. The result is that any rotating protruded or angled surfaces, blades, impeller and vanes will encounter more impacts, more momentum transfers to molecules, and thus more drag and more power consumption as compared to a substantially planar, non-

angled and featureless surface rotating in a plane substantially perpendicular to the axis of rotation. Accordingly, the substantially planar and featureless rotating surface, such as the surfaces **15a**, **15b** of the rotatable surface **15**, thus intrinsically encounter less drag. The present invention is thus characterized in part by minimizing the drag encountered by the surface area present for impingement for a desired pumping speed and within the power and torque that a drive can provide while optimizing the number of molecules being ejected outwardly from impinging on the rotating surface area.

[86] The drive **16** may comprise a drive motor **37** and the drive shaft **25**. The drive motor **37** operates to rotationally drive the drive shaft **25**. The drive motor **37** and drive shaft **25** may be arranged so that the drive motor **37** directly or indirectly rotationally drives the drive shaft **25**. The drive motor **37** may be positioned in the region or space **27** of the high pressure portion **12** of the vacuum pump **10** or external to the high pressure portion **12**. The drive motor **37** may be removably or permanently mounted using suitable mounts and connectors to a component of the vacuum pump **10**, such as the base **17**, or to a surface or structure separate from and exterior to the vacuum pump **10**. Suitable electrical lines, cooling feed and return lines and conduits, etc. **38** may be connected to the drive **16** directly or indirectly. If the drive **16** is partially or completely enclosed within the region or space **27** of the high pressure portion **11** by an inner enclosure **51**, which is described below, the electrical or other feed lines **38** can be fed through a wall or walls **52** of the inner enclosure **51** via one or more suitably vacuum sealed feed-throughs or passages. Similarly, if the drive is located externally to the high pressure portion **12** but the drive shaft **25** extends into an inner enclosure **51** within the high pressure portion **12**, the drive shaft **25** can pass through a wall **52** of the inner enclosure **51** via a suitably sealed bearing or the like.

[87] In an arrangement wherein the drive motor **37** directly drives the drive shaft **25**, the drive shaft **25** may comprise the rotor of the drive motor **37** or may be directly coupled to the rotor. In that arrangement, the drive shaft **25** extends outwardly from the drive motor **37** and is rotatable with respect to the drive motor **37**. In an arrangement wherein the drive motor **37** indirectly drives the drive shaft **25**, a set or series of gears, belts, pulleys or other apparatus may be employed between the drive motor **37** and the drive shaft **25** to transfer the rotational motion of the rotor of the drive motor **37** to the drive shaft **25**. The drive shaft **25** may be coupled to the vacuum pump **10** and rotatably supported with respect to vacuum pump **10** by suitable bearings or the like.

[88] The drive **16** and more specifically the drive motor **37** is rotatably coupled to the rotatable surface **15** through the rotatable drive shaft **25** and a coupler **40**. The drive shaft **25**

is received in the central opening **24** of the rotatable surface **15**. As described above, the central opening **24** together with the drive shaft **25** defines the axis of rotation of the rotatable surface **15**. Also as described above, the drive shaft **25** is preferably but not necessarily coupled to the rotatable surface **15** such that the plane of rotation of the rotatable surface **15** is substantially perpendicular to the axis of rotation. The drive shaft **25** is preferably removably but fixedly coupled to the rotatable surface **15** at the central opening **24** by the coupler **40** so that the rotation of the drive shaft **25** is transferred to the rotatable surface **15** and the rotatable surface **15** rotates with the drive shaft **25**. The coupler **40** may be any suitable high rotational speed coupler. The coupler **40** may comprise a flexible or rigid coupler and may comprise a vibration damping element. The coupler **40** may be a separate component or may be part of the rotatable surface **15** or part of the drive shaft **25**. Preferably, the coupler **40** is sufficiently strong to withstand the values of torque that may arise as the drive shaft **25** imparts rotational motion to the rotatable surface **15** over at least the range of rotational velocity values and the range of pressure values described herein without slippage or damage. In an example embodiment, the coupler **40** can comprise one or more threaded nuts and the drive shaft **25** can be threaded so that the coupler and drive shaft can be threadedly engaged. The coupler **40** also preferably serves as a substantially gas impermeable barrier such that no gas can pass or leak-back through it from the high pressure portion **12** to the low pressure portion **11**.

[89] The drive motor **37** may be any type of drive motor that is capable of rotating the rotatable surface **15** over a range of rotational velocities sufficient to cause at least a portion of the rotatable surface **15** to rotate with a tangential velocity in the range of approximately 1-6 times the most probable velocity of the gas molecules impinging on the rotatable surface **15**. As described briefly above and in more detail below, depending on the gas to be pumped this generally equates to a tangential velocity in the supersonic range of about 1.2 to about 7.2 times the speed of sound (approximately Mach 1.2 to Mach 7.2). The drive motor **37** may comprise a suitable electric motor drive, such as an AC, DC, or induction motor, or a suitable magnetic drive. For example, the drive motor **37** may suitably comprise a drive motor of the same type as the high rotational speed and high torque motors used as spindle motors in computer numerical control (CNC) machines, or a drive motor of the same type used in connection with conventional high rotational speed turbo-molecular vacuum pumps. Various CNC spindle drive motors are available commercially at various ratings including 2.2kW, 24000 rpm; 9.5kW, 24000 rpm; 13.5kW, 18000 rpm; 20kW, 24000 rpm; and 37kW, 20000 rpm, and are suitable to drive rotatable disks of aluminum, carbon fiber, and other materials

with diameters of 12, 24, 36, 47 inches and even larger diameters in the ranges of rotational and tangential velocity described herein.

[90] As described above, the drive motor **37** may be but need not necessarily be capable of directly driving the drive shaft **25** with sufficient rotational velocity to generate tangential velocities in the described preferred range. Conventional gears, pulleys, or the like can be used between drive motor **37** and the drive shaft **25** to increase the rotational velocity of the drive shaft **25** as necessary to achieve tangential velocities in the preferred range. It is also contemplated that the drive motor **37** can be off-axis and drive the rotatable surface **15** not by a center drive shaft **25** but with a drive member that is near, next to, or within the inner or outer peripheral edges **26a**, **33** or on top or below the first and second surfaces **15a**, **15b** or the first and second peripheral surface portions **31**, **32** of the rotatable surface **15** via suitable rotational transmission mechanism couplings. It is also contemplated that the rotatable surface **15** can be constructed as a magnetic levitation ring and part of the driving motor **37** components.

[91] Before proceeding further, it is noted and will be understood that the example embodiments of the vacuum pump **10** may be constructed, installed, and operated essentially without regard to orientation and this applies to all example embodiments described herein. Thus, for example, the embodiments illustrated in Figures 1-10 are shown in an “upright” or “vertical” orientation with the low pressure portion **11** vertically above the high pressure portion **12** and the partition **13** and rotatable surface **15** extending laterally below the low pressure portion **11**. However, the vacuum pump **10** may be oriented with a “side” or “lateral” orientation wherein the low pressure and high pressure portions **11**, **12** are side by side with the partition **13** and rotatable surface **15** extending vertically adjacent to the low pressure portion **11**, or in a “flipped vertical” orientation wherein the high pressure portion **12** is vertically above the low pressure portion **11** with the partition **13** and rotatable surface **15** extending laterally beneath the high pressure portion **12**, or in any other orientation in between. It is further understood that when gas inlets and outlets are included, they also can be positioned at various locations and with various orientations.

[92] As described above, the rotatable surface **15** is adapted to be rotatable in a plane of rotation and around an axis of rotation with at least a portion of the rotatable surface **15** preferably being rotatable at a very high rate of tangential velocity in the range of approximately 1 to 6 times the most probable velocity of the gas molecules impinging on the rotatable surface **15**. The basis for this is described in further detail below.

[93] The most probable velocity of the gas molecules can be derived from the Maxwell-Boltzmann distribution function and can be expressed as follows:

$$v_m = \sqrt{\frac{2kT}{m}} \quad [m/sec] \quad (1)$$

where m is molecular mass, which is $m = M/N_{AV}$ and N_{AV} is Avogadro's number, M is the molar mass of the molecular mass per mole, k is the Boltzmann constant, and T is temperature.

[94] The most probable velocity represents the peak of the Maxwell-Boltzmann distribution curve and indicates that of the total number of gas molecules in the given volume the greatest number of molecules are most likely to have the velocity v_m . For example, at 1 atm and 20°C, the v_m in dry air is 410 m/sec and in nitrogen (N₂) is 417 m/sec. In contrast, the speed of sound in dry air at 1 atm and 20°C is approximately 343 m/sec. Therefore, the v_m in dry air at 1 atm and 20°C approximately 1.2 times the speed of sound or Mach 1.2. In other words, the most probable velocity v_m in either dry air or nitrogen under these conditions is supersonic.

[95] It should be noted that the most probable velocity v_m only depends on T/m . Accordingly, different gas molecules or mixtures of molecules of different mass m will have different most probable velocities v_m at the same temperature. Also, where there are statistically enough molecules, the velocities are independent of the number of molecules N , the volume size V , and the molecular volume density n with $n = N/V$.

[96] The gas molecules in a given volume of space at a given pressure (P) also exhibit a mean free path (λ) or average distance between collisions. The pressure P and the mean free path λ are inversely proportional and $P\lambda = C^*$, where C^* is a gas molecular property parameter which characterizes the molecular cross-section and mass, and is temperature dependent. The value of C^* for various different gases can be obtained from various sources, including the Fundamentals of Vacuum Technology, published by Leybold Vacuum. The value of C^* at 20°C as reported in Table III of the foregoing reference for various gases with which the example embodiments of the vacuum pump 10 may be used is as follows:

[97] TABLE 1

Gas at 20°C		$C^* = \lambda P$ [cm.mbar]
H ₂	Hydrogen	12.00x10 ⁻³
He	Helium	18.00x10 ⁻³
Ne	Neon	12.30x10 ⁻³
Ar	Argon	6.40x10 ⁻³
Kr	Krypton	4.80x10 ⁻³
Xe	Xenon	3.60x10 ⁻³
Hg	Mercury	3.05x10 ⁻³
O ₂	Oxygen	6.50x10 ⁻³
N ₂	Nitrogen	6.10x10 ⁻³
HCl	Hydrochloric acid	4.35x10 ⁻³
CO ₂	Carbon dioxide	3.95x10 ⁻³
H ₂ O	Water vapor	3.95x10 ⁻³
NH ₃	Ammonia	4.60x10 ⁻³
C ₂ H ₅ OH	Ethyl alcohol	2.10x10 ⁻³
Cl ₂	Chlorine	3.05x10 ⁻³
Air	Air	6.67x10 ⁻³

[98] The well-known ideal gas equation is:

$$P = nkT = \frac{N}{V} kT \quad (2)$$

where n is the particle density of the total number molecules N within the volume V .

[99] For a surface within a volume, the gas molecules also exhibit a surface impingement rate (Z_A) indicating the number of molecules that impinge on a unit area (cm²) of the surface per second. The impingement rate Z_A is also given by the previous reference of the equation:

$$Z_A = \sqrt{\frac{NAV}{2\pi MkT}} P = 2.63 \times 10^{22} \frac{P}{\sqrt{MT}} = 2.85 \times 10^{20} P \quad [\text{cm}^{-2}\text{sec}^{-1}] \quad (3)$$

Similarly, the volume collision rate (Z_V), i.e., the collision frequency of gas molecules with other gas molecules in a unit volume (cm³) per second, varies with the pressure P^2 according to the relationship expressed as follows:

$$Z_V = \frac{1}{c_*} \sqrt{\frac{2NAV}{\pi M k T}} P^2 = 5.27 \times 10^{22} P^2 \frac{1}{c_* \sqrt{MT}} = 8.6 \times 10^{22} P^2 [cm^{-3} sec^{-1}] \quad (4)$$

It is noted that the foregoing solutions of Equations 3 and 4, i.e., $Z_A = 2.85 \times 10^{20} P$ and $Z_V = 8.6 \times 10^{22} P^2$, are specific for air molecules at $20^\circ C$ and P is measured in units of mbar, and other gas molecules and other conditions will produce different solutions.

[100] From the foregoing, it is apparent that as the number of gas molecules, e.g., air molecules, in a given volume of space decreases and the pressure P accordingly decreases, the mean free path λ of the remaining air molecules increases and both the surface impingement rate Z_A and volume collision rate Z_V decrease. The same relationship applies to other gas molecules in the same way, although the values of mean free path λ , surface impingement rate Z_A , and volume collision rate Z_V will be different from air and may be greater or less at the same pressure values. As indicated in Table 1, generally larger gas molecules, e.g., chlorine (Cl_2), will exhibit proportionally lower values of mean free path λ at the same temperature over the same range of pressure values, e.g., approx. 3.05 cm for Cl_2 at 10^{-3} mbar to 6.67 cm for air at 10^{-3} mbar, while smaller gas molecules, e.g., helium (He), will exhibit proportionally higher values of mean free path, e.g., approx. 18 cm at 10^{-3} mbar.

[101] Gas molecules in a given volume of space under given conditions of temperature and pressure move randomly in all directions and with different velocities (v). The Maxwell-Boltzmann distribution function can be used to determine the distribution of the velocities (v) of the gas molecules under such conditions. One way the Maxwell-Boltzmann distribution function may be expressed can be found from the college textbook Statistical Thermodynamics, by John F Lee; Francis Weston Sears; Donald L Turcotte, Addison-Wesley, 1963 and is as follows:

$$N_{0 \rightarrow x} = N [erf(x) - \frac{2}{\sqrt{\pi}} x e^{-x^2}] \quad (5)$$

where $x = v/v_m$ is a velocity ratio and v_m is the most probable velocity, N is the total number of molecules in a given volume, and $N_{0 \rightarrow x}$ is the number of molecules having velocity from 0 to v . The $erf(x)$ is the error function of x . And the complementary equation to equation (5) is:

$$N_{x \rightarrow \infty} = N - N_{0 \rightarrow x} = N [1 - erf(x) + \frac{2}{\sqrt{\pi}} x e^{-x^2}] \quad (6)$$

where $N_{x \rightarrow \infty}$ is the number of molecules having velocity from v to ∞ .

From the foregoing, it is apparent that when molecules within the given volume are continuously being ejected from the volume at a velocity (v), then only those molecules outside the volume with velocity $v \rightarrow \infty$ have a chance to return to within the volume. Therefore, the number of molecules that ultimately can remain inside the volume is the number of returning molecules of velocity $v \rightarrow \infty$ as stated by Equation (6).

[102] In a given volume, the portion of the pressure attributable to a given number of molecules N that is less than the entire number of molecules in the volume is directly proportional to the fraction the given number of molecules N represents to the total number of molecules. Thus, the pressure for a number of molecules N in a given volume is directly proportional to the fraction of the given number of molecules to the total number of molecules within the volume. For example, assuming N molecules in a given volume at 1 atm, the pressure attributable to all molecules in the volume is represented by the fraction $N/N = 1$ and therefore the fraction of the pressure exerted by all molecules is 1, or the initial pressure, 1 atm. Similarly, the pressure attributable to the fraction of the molecules having velocity $0 \rightarrow v$ is:

$$N_{0 \rightarrow x} / N = \text{erf}(x) - \frac{2}{\sqrt{\pi}} x e^{-x^2} \quad (7)$$

and the pressure attributable to the fraction of the molecules having velocity $v \rightarrow \infty$ is:

$$N_{x \rightarrow \infty} / N = 1 - \text{erf}(x) + \frac{2}{\sqrt{\pi}} x e^{-x^2} \quad (8)$$

Since the pressure within a given volume attributable to a number of molecules N is directly proportional to the fraction that number of molecules represents to the total number of molecules in the volume, Equations 7 and 8 thus also represent the partial pressure within the given volume attributable to molecules having velocities $0 \rightarrow v$ and $v \rightarrow \infty$ respectively. The case where $v = 0$ and thus $x = 0$ accounts for all molecules having all velocities in the volume. In this particular case, the fraction of molecules with respect to all molecules is 1 and the pressure in the volume is the initial pressure of 1 atm. Similarly, equations 5-8 represent numerical values which only depend on the ratio of $x = v/v_m$ where v represents molecular velocity and v_m represents the most probable velocity. Further, the ratio x only

depends on the gas molecular mass and temperature encompassed in the ratio x via v_m . For any gas, at any ordinary temperature range, and with the same velocity ratio x , the results of equations 5-8 are universal within the assumptions for ideal gases and the Maxwell-Boltzmann distribution function. Based on equations 5-8, Table 2 illustrates the lowest residual pressures that can be theoretically achieved in a given volume for various ratios of x and molecular velocity $v = xv_m$.

[103] TABLE 2

$N_{x \rightarrow \infty} / N = 1 - \operatorname{erf}(x) + \frac{2}{\sqrt{\pi}} x e^{-x^2}$			Air at 20°C		
$x = v/v_m$	Fraction of Molecules and Pressure P [atm]	Pressure P [mbar]	Mean Free Path λ [cm]	Surface ImpingeRate Z_A [# / cm ² -sec]	Volume Collision Rate Z_V [# / cm ³ -sec]
0	1	1013	6.58×10^{-6}	2.89×10^{23}	8.83×10^{28}
0.5	0.919	931	7.16×10^{-6}	2.65×10^{23}	7.45×10^{28}
1	0.572	579	1.15×10^{-5}	1.65×10^{23}	2.88×10^{28}
1.09	0.5	507	1.20×10^{-5}	1.44×10^{23}	2.21×10^{28}
2	0.046	46.6	1.43×10^{-4}	1.32×10^{22}	1.87×10^{26}
2.853	10^{-3}	1.013	6.58×10^{-3}	2.89×10^{20}	8.83×10^{22}
3	4.4×10^{-4}	0.446	1.49×10^{-2}	1.27×10^{20}	1.71×10^{22}
3.250	10^{-4}	0.1013	0.0658	2.89×10^{19}	8.83×10^{20}
3.917	10^{-6}	0.001013	6.58	2.89×10^{17}	8.83×10^{16}
4	5.23×10^{-7}	5.30×10^{-4}	12.6	1.51×10^{17}	2.41×10^{16}
5	7.99×10^{-11}	8.09×10^{-8}	82,400	2.31×10^{13}	5.62×10^8
6	1.55×10^{-16}	1.57×10^{-13}	Not applicable		

[104] Equations 1-8 are derived from the Maxwell-Boltzmann Distribution Model which is a statistical model that depends on a large number of sampled molecules. Equations 1-8 are thus valid for a very wide range of molecules and pressures, including the entire practical range of molecules and pressures with respect to which the example embodiments of the vacuum pump 10 are intended for use.

[105] Turning specifically to the rotatable surface 15 of the example embodiments of the vacuum pump 10, assuming the peripheral shape of the rotatable surface 15 is round, the tangential velocity v_t of each point or area on the first surface 15a of the rotatable surface 15 is expressed by the following equation:

$$v_t = 2\pi r \omega$$

where r is the distance from the axis of rotation of the rotatable surface and ω is the rotational velocity of the rotatable surface at the axis of rotation. Relatedly, at each point, the tangential or centrifugal force (F) is expressed by the following equation:

$$F = \frac{mv_t^2}{r} = 4\pi^2 m \omega^2 r$$

where m is the mass at the point and r and v_t are as given above.

[106] From the foregoing, it is apparent that at the periphery **26** of the first surface **15a**, the distance r is equal to the radius of the circle and the tangential velocity v_t is at its maximum value for a given rotational velocity ω . Conversely, at the axis of rotation the tangential velocity v_t is at its minimum value. Between these two extremes, the tangential velocity v_t of each point on the first surface **15a** increases linearly with incremental change in the distance r .

[107] It is also apparent that for a given rotational velocity ω each point on the first surface **15a** has a centrifugal force F that is related to the tangential velocity v_t and the distance r from the axis of rotation. Like the tangential velocity v_t the centrifugal force F also increases with the distance r from the axis of rotation, is at a maximum value at the periphery **26** and is at a minimum value at the axis of rotation. It is further apparent that the range and the maximum values of tangential velocity v_t and centrifugal force F that can be achieved with the rotatable surface **15** can be adjusted by adjusting the value of the distance r from the axis of rotation, i.e., the radius of the rotatable surface **15**, or the rotational velocity ω at which the rotatable surface **15** is rotated, or a combination of both.

[108] Continuing with air as an example for purposes of description and turning now to operation of the example embodiments of the vacuum pump **10**, at a starting or ambient pressure of approximately 1 atm, molecules of air exiting the low pressure portion **11** through the gas flow path **14** and openings **22** impinge on the first surface **15a** of the rotatable surface **15** at random angles and with a distribution of velocities. The most probable velocity of the impinging air molecules is approximately Mach 1.2, i.e., 1.2 times the speed of sound.

[109] The rotatable surface **15** of the example embodiments has a radius r and preferably rotates with a rotational velocity ω such that at least a portion of the first surface **15a** of the rotatable surface **15** has a tangential velocity v_t that is in the range of about 1 to 6 times the

most probably velocity of the impinging air molecules. In this example, that corresponds to a range of v_t of about Mach 1.2 to Mach 7.2, i.e., about 1.2 – 7.2 times the speed of sound (approx. 412 – 2,470 m/s).

[110] However, it is to be understood that the rotatable surface **15** need not rotate and need not even be able to rotate with tangential velocity v_t over the entire preferred range of about 1 to 6 times the most probable velocity with respect to every single gas to be pumped using the example embodiments of the vacuum pump **10**. Rather, the preferred range of 1 to 6 times the most probable velocity represents a range of tangential velocities v_t with which the example embodiments of the vacuum pump **10** can achieve target minimum pressure values ranging from approximately 0.5 atm to the mid-high vacuum range, e.g., 10^{-4} to 10^{-6} atm or even lower with a large variety of gases having a large range of molecular masses and most probable velocities.

[111] In the specific case of air for example, given a starting or ambient pressure of about 1 atm and a target minimum pressure of about 0.5 atm to be reached, excellent pumping performance can be obtained with a v_t as low about 1.1 times the most probable velocity, i.e., approx. 451 m/sec. Lower target minimum pressures in the mid-high vacuum range, e.g., 10^{-4} to 10^{-6} atm can similarly be obtained rapidly and efficiently with v_t in the range of about 3.3 to 4 times the most probable velocity, i.e., approx. 1,353 to 1,640 m/sec. Of course higher v_t is preferable to compensate for the lower tangential velocities of the inner portions of the rotatable surface **15** inward of the outer periphery **26** and nearer to the axis of rotation, particularly at lower pressures where the mean free path of the molecules is larger and many molecules may not impinge on the peripheral edge **26** of the rotatable surface **15**.

[112] As noted previously, tangential velocity v_t in the preferred range can be achieved with various combinations of rotatable surface **15** radius r (or diameter d) and rotational velocity ω . Generally, rotatable surfaces **15** having smaller values of diameter d can be rotated with higher values of rotational velocity ω to achieve tangential velocity v_t in the preferred range, and rotatable surfaces **15** with larger values of diameter d can be rotated with lower rotational velocity ω to achieve tangential velocity v_t in the preferred range. It is contemplated that rotatable surfaces **15** with greater diameters d will impose lesser demands on the drive **16** to produce higher values of rotational velocity ω to achieve the preferred range of tangential velocities v_t . Accordingly, the example embodiments of the vacuum pump **10** can be scaled up using larger diameter rotatable surfaces **15** to provide greater pumping speeds than conventional vacuum pumps can be scaled to achieve. Table 3 below illustrates some of the many possible combinations of rotatable surface **15** diameter d and rotational velocity ω that

can produce tangential velocities v_t in the preferred range for various gases, including air, nitrogen, chlorine, and helium at a temperature condition of 20°C.

[113] TABLE 3

Diameter (meters)	Rotational Velocity (ω) (rpm)	Tangential Velocity (v_t) (meters/sec.)	Air at 20°C Mach Value	Times Most Probable Velocity at 20°C of Air/Nitrogen/Chlorine/Helium
0.25	80K-100K	1047 - 1309	3.1 – 3.8	2.6-3.2 / 2.5-3.1 / 2.8-3.5 / 0.9-1.2
0.5	40K – 80K	1047 - 2094	3.1 – 6.1	2.6-5.1 / 2.5-5.0 / 2.8-5.7 / 0.9-1.9
1	20K – 30K	1047 - 1571	3.1 – 4.6	2.6-3.8 / 2.5-3.8 / 2.8-4.2 / 0.9-1.4
2	10K – 20K	1047 - 2094	3.1 – 6.1	2.6-5.1 / 2.5-5.0 / 2.8-5.7 / 0.9-1.9
5	4K – 10K	1047 - 2618	3.1 – 7.6	2.6-6.4 / 2.5-6.3 / 2.8-7.1 / 0.9-2.4
10	2000 - 3600	1047 - 1885	3.1 – 5.5	2.6-4.6 / 2.5-4.5 / 2.8-5.1 / 0.9-1.7

[114] It will be apparent from the last column of Table 3 and from Table 1 that molecules with light mass, such as molecules of the inert gases Helium and Neon and molecules of Hydrogen, have 2 to 3 times longer mean free paths λ than Nitrogen. In addition, the most probable velocities v_m of Neon and Hydrogen are 1.2 and 3.7 times higher respectively than the v_m of Nitrogen, and the most probable velocities v_m for other heavier gases are even greater. Both longer λ and higher v_m compound the deterioration of the effectiveness of the pumping speed and the ultimate pressure that can be achieved by the conventional mechanical pumps. This is because the conventional mechanical pumps depend on restricting the leak back path in order to maintain the pressure differential for their pump down mechanism. Because they have long mean free path λ and high v_m velocity, the light mass gas molecules are inherently more likely to leak back to cause the loss of vacuum. Conventionally, the light mass molecules are pumped using cryopumps and reactive sputtering pumps, otherwise the vacuum system must contend with the consequences of oil

vapor contamination if oil sealed pumps are used. Even scaled-up versions of the conventional mechanical pumps cannot overcome the inherent nature of the properties of the light mass gases. In contrast, a scaled-up version of the current example embodiments can be used to pump down gases having molecules with long mean free path and high velocity mechanically. The example embodiments can be scaled-up by a combination of larger diameter d , higher rotational velocity ω , and/or a wider width of the radius of the ring/disk for the rotatable surface **15**, which is described below, and/or a smaller space gap **29** to meet the preferred 1 to 6 times most probable velocity v_m range requirement, to thus increase multiple number of impingements and to discriminate the chance of molecules to leak back through the gap.

[115] It is contemplated that depending on the specific needs for a particular application for the example embodiments of the vacuum pump **10**, the diameter of the rotatable surface **15** could be quite large as compared to the diameter of the sets of rotating blades or vanes of conventional vacuum pumps. However, it will be appreciated that due to the unique arrangement of the rotatable surface **15** described herein in comparison to conventional vacuum pumps the example embodiments of the vacuum pump **10** can be constructed with a significantly lower profile than conventional vacuum pumps. Further, the example embodiments of the vacuum pump **10** described herein are capable of operating across a much wider range of pressures than conventional vacuum pumps and therefore a single vacuum pump **10** comprising a single pumping stage as described herein can be used in place of multiple conventional vacuum pumps and pumping stages and achieve comparable or better pumping results.

[116] It will be further appreciated that the rotatable surface **15** need not be rotated at the same rotational velocity ω over the entire pressure range from the starting or ambient pressure down to the target minimum pressure. For example, so long as the rotatable surface **15** maintains a rotational velocity ω sufficient for at least part of the first surface **15a** to have a tangential velocity v_t within the preferred range described herein, the rotatable surface **15** can be rotated at one rotational velocity ω when the pressure is at and near the starting or ambient pressure, and at another higher rotational velocity $\Delta\omega$ as the pressure drops toward the target minimum pressure. Thus, it can be rotated with one rotational velocity ω to produce a first tangential velocity v_t nearer the lower end of the preferred v_t range when the pressure is relatively high and the gas molecules exert more drag on the rotatable surface **15**, and at a second rotational velocity $\Delta\omega$ to produce a second tangential velocity v_t nearer the upper end of the preferred range when the pressure is relatively low and the remaining gas

molecules exert less drag on the rotatable surface **15**. Such operation can be more efficient than rotating the rotatable surface **15** continuously at a single value of rotational velocity. The rotatable surface **15** also can be rotated at a plurality of different rotational velocities v_t over a range of pressure values as gas is pumped out and the rotational velocity can be changed in discrete steps or even continuously if desired.

[117] It also is to be understood that the entire surface area of the first surface **15a** of the rotatable surface **15** need not rotate with tangential velocity v_t in the preferred 1 to 6 times most probable velocity range. Rather, excellent pumping performance can be achieved with only a portion of the surface rotating within the preferred tangential velocity v_t range. For example, in the case of the example disk embodiments of the rotatable surface **15**, the portion may comprise just the outer periphery **26**, or the outer periphery **26** and all or part of the surface area of the first peripheral surface portion **31** of the first surface **15a** extending inward from the outer peripheral edge **26a**, or the outer periphery **26** and any portion of the surface area of the first surface **15a** extending inwardly from the peripheral edge **26a** up to and including the entire surface area of the first surface **15a**. In the case of the example ring embodiments of the rotatable surface **15**, the portion may comprise just the outer periphery **26**, or the outer periphery **26** and a portion of the surface area of the first peripheral surface portion **31** of the first surface **15a** extending inward from the outer peripheral edge **26a** up to and including the entire surface area of the first peripheral surface portion **31**. It will be appreciated that the greater the surface area that rotates with tangential velocity v_t in the preferred range, the greater the number and volume of impinging gas molecules that can be pumped out per unit of time and thus the more rapidly and efficiently the example embodiments of the vacuum pump **10** can reduce the pressure in the low pressure portion **11** from a starting or ambient pressure to a selected target minimum pressure.

[118] With respect to the example ring embodiment of the rotatable surface **15** specifically, a preferred range of widths of the first peripheral surface portion **31** can be expressed in relation to the width of the rotatable surface **15**, where the width of the rotatable surface **15** corresponds to the distance from the axis of rotation to the outer peripheral edge **26a** and the width of the first peripheral surface portion **31** corresponds to the distance between the outer peripheral edge **26a** and the inner peripheral edge **33** as best seen in Figures 11 and 12D. In the case where the rotatable surface **15** is substantially circular, the width of the rotatable surface **15** corresponds to its radius r . The width of the first peripheral surface portion **31** will preferably be in a range of about 0.05 to 0.5 times the radius of the rotatable surface **15** but may extend to the entire radius. Expressed differently, the width of the first peripheral

surface portion **31** preferably is in a range between about 5 to 50 percent up to about 100 percent of the width of the radius of the rotatable surface **15**.

[119] With the first surface **15a** rotating within the described preferred range of tangential velocity v_t , molecules impinging on the first surface **15a** at an angle of incidence and with a velocity v first receive a mirror reflection angle change component in the same forward direction of the angle of incidence, for example, a clockwise incident angle of $307 = 270 + 37$ degrees with respect to the normal will have $53 = 90 - 37$ degree reflected angle by itself alone. The velocity vector v flips its angle of direction by the total mirror reflection and is now designated velocity vector v' . Then the velocity vector v' is vectorially added to the tangential velocity v_t using the vectors addition triangle combination of $(v_t + v')$. For a v_t larger than the v_m of the rotatable surface **15**, all impinging molecules with any incident velocity v , independent of the initial magnitude and direction of v , will be eventually redirected and ejected outwardly with speed larger than the magnitude of v_t from the outer periphery **26** of the rotatable surface **15** to the high pressure portion **12** after one or multiple impingements of those molecules on the rotatable surface **15**. The molecules that remain in the low pressure portion **11** and are not pumped out are thus those with velocity v larger than v_t , which leak-back and return from the high pressure portion **12** to the low pressure portion **11**. Table 1 shows that the fraction of such high velocity molecules, for example with v at and larger than 4 times v_m is less than 5×10^{-7} , which corresponds to the theoretical lowest pressure that can be achieved in the low pressure portion **11**.

[120] Accordingly, when the rotatable surface **15** is rotated with tangential velocity v_t within the preferred range described, the gas molecules exiting from the low pressure portion **11** and impinging on the first surface **15a** of the rotatable surface **15** are ejected outward from the periphery **26** of the rotatable surface **15** at a rate and volume that is substantially greater than the high velocity gas molecules can leak-back and fill the resulting void in the low pressure portion **11**. As a result, the pressure in the low pressure portion **11** is rapidly and efficiently reduced. The greater the multiple by which the tangential velocity v_t exceeds the most probable velocity v_m of the impinging molecules and the greater the surface area of the first surface **15a** of the rotatable surface **15** that rotates with such tangential velocity v_t , the greater the number and volume at which the impinging molecules are redirected outward and the more rapidly the pressure in the low pressure portion **11** is reduced to a target minimum value.

[121] Because the outward directional momentum the first surface **15a** of the rotatable surface **15** imparts to the impinging molecules is very substantial and because the net rate of

outward flow of the impinging gas molecules substantially exceeds the rate at which gas molecules are able to leak-back and re-enter the low pressure portion **11** through the gas flow path **14** to fill the resulting void, there is no need for a seal to prevent gas molecules from leaking back from the high pressure portion **12** to the low pressure portion **11** through the gas flow path **14**. Even if some gas molecules are able to leak back, the percentage is so small compared to the number and volume of gas molecules flowing outward that even without a seal continued operation of the vacuum pump **10** progressively reduces the number of molecules in the low pressure portion **11** until the target minimum pressure, for example 10^{-6} atm, is reached.

[122] As the pressure in the low pressure portion **11** continues to drop, the mean free path of the air molecules continues to increase and the rate of impingement of the air molecules on the first surface **15a** of the rotatable surface **15** continues to decrease. As described above, the mean free path of air molecules at 20°C increases from about 6.58×10^{-6} cm at 1 atm to approximately 13.2×10^{-6} cm at 0.5 atm, approximately 6.58×10^{-2} cm at 10^{-4} atm, and approximately 6.58 cm at 10^{-6} atm. The mean free paths of molecules of other gases will similarly increase with decreasing pressure with some being greater than air and some less.

[123] The gap or space **29** between the first surface **15a** of the rotatable surface **15** and the surface **13a** of the partition **13** that is exposed to the high pressure portion **12** acts as a sort of conduit for the flow of the gas molecules outward from the periphery **26** of the rotatable surface **15**. It is preferred that the dimension of the gap **29** be small in order to physically minimize and discriminate the high velocity molecules that may possibly back-flow from the high pressure portion **12** through and near the gap **29** and into the low pressure region **11**. At the same time, making the dimension of the gap **29** too small has a tendency to inhibit the net outward flow of gas molecules and thus reduce pumping efficiency.

[124] In addition, the dimension of the gap **29** has an effect on the lowest target minimum pressure the example embodiments of the vacuum pump **10** can practically achieve. As the pressure of the gas in the low pressure portion **11** drops, the mean free path λ of the gas molecules increases, the impingement rate of the molecules on the first surface **15a** of the rotatable surface **15** decreases, and pumping efficiency is reduced. However, any slower velocity molecules with shorter mean free paths that leak back from the high pressure portion **12** near the periphery edge **26a** are subject to being re-ejected again by multiple impingements on the first surface **15a** before the molecules can penetrate deeper into the low pressure portion **11**. The re-ejections of the slower returning molecules keeps/protects the low pressure portion at low pressure. If the drive **16** has capacity to further increase the rotational

velocity of the rotatable surface **15** as the pressure drops, the pumping efficiency can be maintained to an extent even as the pressure continues to drop. However, at some point the maximum rotational velocity the drive **16** can generate is reached and the pressure drops to a point where due to the combination of the long mean free path and the low impingement rate of the gas molecules on the first surface **15a** the rotatable surface **15** is no longer able to eject impinging gas molecules outwardly at a rate and volume that is sufficient to substantially overcome the leak-back of gas molecules from the high pressure portion **12** to the low pressure portion **11** through the gap **29** and the gas flow path **14**. In other words, the vacuum pump **10** is no longer able to produce a sufficient pressure differential between the high pressure portion **12** and the low pressure portion **11** to substantially prevent the leak-back of gas. This point corresponds to the lowest target minimum pressure value the vacuum pump **10** is practically able to achieve. In light of the above-described trade-offs and as indicated previously, the space or gap **29** preferably has a dimension in the range of approximately 0.5 mm to approximately 100 mm, which enables the example embodiments of the vacuum pump **10** to operate with a variety of gases and to achieve minimum target pressure values down to the mid-high vacuum range, e.g., 10^{-4} to 10^{-6} atm, and even lower pressures in the high to ultra-high vacuum range depending on the particular construction, dimensions, and operating parameters employed.

[125] Another consideration is that with the small dimensions contemplated for the gap **29** the viscosity of gas molecules along the surface **13a** of the partition **13** can produce a drag against rotation of the rotatable surface **15**. This is due to the fact that the surface **13a** of the partition **13** is stationary. As a result, the gas molecules adjacent to the stationary surface **13a** encounter a resistance to flow, i.e., viscosity. The resulting drag is proportional to the gradient of the velocity and is greatest at the smallest distance between the first surface **15a** of the rotatable surface **15** and the surface **13a** of the partition **13**. This resistance is communicated to the rotatable surface **15** through the gas molecules between the stationary surface **13a** and the rotating first surface **15a** and manifests as a drag against rotation of the rotatable surface **15**. To counter this effect, the rotatable surface **15** optionally can be provided with a thin cylinder **41** that extends around the peripheral edge **26a** as illustrated in Figures 12O-12P.

[126] The cylinder **41** comprises a cylinder wall **42** with a cylinder rim **43**. The cylinder wall **42** extends around the peripheral edge **26a** of the rotatable surface **15** and outwardly from the rotatable surface **15** in a direction substantially perpendicular to the first surface **15a** and the second surface **15b**. The cylinder wall **42** can extend outwardly from either or both

of the first surface **15a** and the opposite second surface **15b**, whichever is in proximity to a stationary surface and subject to viscosity-induced drag regardless whether the stationary surface comprises the partition **13**, the interior surface of a housing, chamber, or other enclosure, or both. When the rotatable surface **15** is positioned adjacent and in close proximity to the partition **13** as described above, the cylinder rim **43** is in closer proximity to the stationary surface **13a** of the partition **13** than the rotatable first surface **15a**. The surface area of the cylinder rim **43** that faces and is in proximity to the stationary surface **13a** is a very small fraction of the surface area of the first surface **15a** and thus encounters a very small fraction of the drag from the gas molecules adjacent to the stationary surface **13a** compared to the first surface **15a**. The same would apply if the rotatable surface **15** was positioned so that the first surface **15a** and/or second surface **15b** was in close proximity to the stationary interior surface of a housing, chamber, or other enclosure **45** such as in the example embodiment illustrated in Figures 3-10 and described below.

[127] To prevent the outward ejection of gas molecules from the periphery **26** of the rotatable surface **15** being blocked by the cylinder wall **42**, a slope, incline, or ramp **44** can be provided and can extend inwardly from the cylinder wall **42** toward the axis of rotation of the rotatable surface **15**. The slope, incline or ramp **44** may but need not extend inwardly from the cylinder rim **43**. In addition, the slope, incline, or ramp **44** can extend from the cylinder wall **42** to either or both of the first surface **15a** and the second surface **15b** of the rotatable surface **15** depending on the orientation and positioning of the rotatable surface **15** with respect to interior stationary surfaces of the vacuum pump **10**. As impinging gas molecules are redirected by the rotatable surface **15** outwardly toward the periphery **26**, they collide with the ramp **44** and are deflected outwardly from the periphery **26**, away from the first and/or second surface **15a**, **15b**, and beyond the cylinder rim **43** at an angle approximately corresponding to the angle of the slope, incline or ramp **44**.

[128] An alternative example embodiment of the vacuum pump **10** and several variations are illustrated in Figures 3-10. Except as otherwise described below and illustrated, the alternative embodiment comprises substantially the same rotatable surface **15** and drive **16** as the example embodiment of Figures 1-2. The alternative example embodiment of the vacuum pump **10** comprises an outer housing, chamber, or other enclosure **45** ("outer enclosure") having a wall **46** that is substantially gas impermeable and that defines an interior space **47**. The interior space **47** may be partially enclosed by the outer enclosure **45**. In some configurations, the wall **46** of the outer enclosure **45** may be truncated and terminate at or slightly past the peripheral edge **26a** of the rotatable surface **15a** such that the interior space

47 comprises only the low pressure **11** portion. In other configurations, the wall **46** may extend past the peripheral edge **26a** for some distance and the interior space **47** may comprise at least some of the high pressure portion **12**. In that case, the interior space **47** may be partially open to the ambient environment and partially enclosed by the outer enclosure **45**. The outer enclosure **45** and the wall **46** can be constructed of a suitably strong material such as a metal or carbon composite. In the alternative embodiment, there is no partition **13** in the interior space **47** as in the example embodiment of Figures 1-2. Instead, the rotatable surface **15** is arranged and positioned within the interior space **47** to divide the interior space **47** into a low pressure portion **11** and a high pressure portion **12**. The wall **46** has an interior surface **46a** and extends around the periphery **26** of the rotatable surface **15** with at least a portion of the interior surface **46a** being adjacent and in close proximity to the peripheral edge **26a** of the rotatable surface **15**. The peripheral edge **26a** and the interior surface **46a** are separated by a small gap or space **29**.

[129] The portion of the interior space **47** that is bounded by the wall **46** and the first surface **15a** of the rotatable surface **15** (except for the small gap or space **29**) comprises the low pressure portion **11**. The portion of the interior space **47** on the opposite side of the rotatable surface **15** comprises the high pressure portion **12**. Accordingly, the first surface **15a** of the rotatable surface **15** faces and is exposed to the low pressure portion **11** and the second surface **15b** of the rotatable surface **15** faces and is exposed to the high pressure portion **12**.

[130] The high pressure portion **12** can be open or partially open to the ambient environment in the same manner as described above with respect to the example embodiment of Figures 1-2. The high pressure portion **12** also may be at least partially enclosed within the interior space **47** defined by the outer enclosure **45** and/or may be substantially closed to the ambient environment except for one or more gas outlets in gaseous communication with the high pressure portion **12**.

[131] The small gap or space **29** between the peripheral edge **26a** of the rotatable surface **15** and the interior surface **46a** of the wall **46** comprises a sort of conduit for the outward flow of gas molecules from the low pressure **11** to the high pressure portion **12** in the same manner described above with respect to the example embodiment of Figures 1-2. The outward flow of gas molecules from the periphery **26** of the rotatable surface **15** is thus at least partially directed by the stationary interior surface **46a** of the wall **46**. The low pressure portion **11** and the high pressure portion **12** are in direct gaseous communication through the gap or space **29**. However, for the same reasons described above with respect to the example

embodiment of Figures 1-2, there is no need for a seal between the low pressure portion **11** and the high pressure portion **12** to prevent gas from leaking back from the high pressure portion **12** to the low pressure portion **11** and it is preferred that no such seal is used for that purpose.

[132] The outer enclosure **45** can have any desired geometric shape including the conical shape illustrated in Figures 3-10. Examples include a dome shape, cylindrical shape, rectangular or square shape, or any other suitable shape. Regardless of the interior or exterior shape of the outer enclosure **45** and the interior space **47**, it is preferred that at least a portion of the interior surface **46a** of the wall **46** that is adjacent to the peripheral edge **26a** of the rotatable surface **15** extend at an angle outwardly and away from the periphery **26** of the rotatable surface **15** in order to deflect and guide gas molecules ejected outwardly from the periphery **26** away from the rotatable surface **15** and into the high pressure portion **12** in the direction of the arrows shown in Figures 4-8 and others. It is preferred that the portion of the interior surface **46a** that is adjacent to the peripheral edge **26a** of the rotatable surface **15** have an angle in the range of between about 10 to 80 degrees in relation to the first and second surfaces **15a**, **15b** of the rotatable surface **15** for this purpose. The angled relationship between the stationary interior surface **46a** of the wall **46** and the first and second surfaces **15a**, **15b** of the rotatable surface **15** also functions to reduce the gradient of the velocity and thus to reduce the drag on the rotatable surface **15** due to the viscosity of the gas molecules adjacent to the stationary interior surface **46a** even at atmospheric pressure by directing the impinging gas molecules ejected outwardly from the periphery **26** of the rotatable surface **15** away from the small gap or space **29** between the rotating peripheral edge **26a** of the rotatable surface **15** and the stationary interior surface **46a** of the wall **46**.

[133] In one variation illustrated in Figure 6, various items **48** may be positioned in the low pressure portion **11** of the interior space **47**. Items **48** may include but are not limited to instruments, gauges, reactors or other vacuum components, and items to be depressurized. Such items **48** can be permanently or temporarily located in the low pressure portion **11** and can for example be mounted, affixed, or attached to the interior surface **46a** of the wall **46**. To the extent that electrical wires **49** or the like are required for the items **48**, they may be passed through the wall **46** via suitably sealed feedthroughs or passages.

[134] In another variation illustrated in Figures 7-10, the outer enclosure **45** may have one or more gas inlets **21** and openings **20** in gaseous communication with the low pressure portion **11**. One or more of the gas inlets **21** may have a connector such as a flange **49** for

coupling with a gas line or conduit **50** to bring the low pressure portion **11** into gaseous communication with another housing or chamber or even the external ambient environment.

[135] The alternative embodiments illustrated in Figures 3-10 operate in essentially the same manner and achieve substantially the same results as described above with respect to the example embodiment of Figures 1-2. In addition, all of the characteristics relating to the various elements that are common between the example embodiments, including all of the preferred ranges of dimensional and operational values described above, are the same.

[136] With the arrangement of the alternative example embodiment as described, the first surface **15a** of the rotatable surface **15** is impinged on by molecules of the gas in the low pressure portion **11** and are ejected outwardly from the outer periphery **26** of the rotatable surface **15** and directed into the high pressure portion **12** in the same manner as described previously with respect to the example embodiments illustrated in Figures 1-2. In addition, the second surface **15b** of the rotatable surface **15** is impinged on by molecules of the gas in the high pressure portion **12**.

[137] Because the rotatable surface **15** rather than a fixed partition or other structure divides or separates the low pressure portion **11** and the high pressure portion **12** (except for the small gap **29** shown in Figures 3-4) as the pressure in the low pressure portion **11** drops, the pressure differential between the second side and surface **15b** of the rotatable surface **15** that is exposed to the high pressure portion **12** and the first side and surface **15a** of the rotatable surface **15** that is exposed to the low pressure portion **11** increases. When the alternative example embodiment of the vacuum pump **10** is used to achieve a target minimum pressure in the mid-high vacuum range, e.g., 10^{-4} to 10^{-6} atm, the maximum pressure differential between the first and second sides can reach many orders of magnitude.

[138] Such large magnitudes of pressure differential can potentially result in temporary or permanent deformation, such as warping or bending, of the rotatable surface **15**, or even permanent damage or destruction, particularly if the rotatable surface **15** is constructed to be very thin and lightweight as is preferred. In addition, as the rotatable surface **15** rotates, the second surface **15b** that is exposed to the high pressure portion **12** is impinged on by gas molecules in the high pressure portion **12**. This impingement results in an undesirable source of extra drag against the rotation of the rotatable surface **15** and can reduce pumping efficiency.

[139] To mitigate against these effects, according to another variation an additional enclosure **51** can be provided in the high pressure portion **12** around the second surface **15b** of the rotatable surface **15** as illustrated in Figures 5-10. The additional enclosure **51** includes

a wall **52** with an interior surface **52a** and an exterior surface **52b**. The wall **52** is constructed of a gas impermeable material and is shaped to define an interior space **53** with an opening **54**. The additional enclosure **51** has an edge **55** that extends around the opening **54** between the interior and exterior surfaces **52a**, **52b**. The additional enclosure **51** is positioned within the high pressure portion **12** so that the interior space **53** of the additional enclosure **51** encloses a space or region in the high pressure portion **12** that is adjacent to the second surface **15b** of the rotatable surface **15** and to which the second surface **15b** is exposed. The additional enclosure **51** is also positioned so that the opening **54** is located adjacent to the second surface **15b** with the edge **55** around the opening **54** being separated from the second surface **15b** by a small gap or space **56**. The gap or space **56** preferably has a dimension that is slightly less than the gap **29** between the peripheral edge **26a** of the rotatable surface **15** and the interior surface **46a** of the wall **46** of the outer enclosure **45**. The opening **54** preferably has substantially the same peripheral shape as the second surface **15b**, e.g., round, and an outer peripheral dimension, e.g., diameter, that is very slightly less than the outer peripheral dimension of the second surface **15b** so that the peripheral edge **26a** of the rotatable surface **15** and a small portion of the second surface **15b** immediately inward from the peripheral edge **26a** remain exposed to the high pressure portion **12** outside the additional enclosure **51**.

[140] With the additional enclosure **51** arranged in relation to the second surface **15b** of the rotatable surface **15** as described, the interior space **53** of the inner enclosure **51** defines a space or region of low pressure adjacent to the second surface **15b**. This is because as the rotatable surface **15** rotates with at least the outer periphery **26** having tangential velocity v_t in the described preferred range, the gas molecules impinging on the second surface **15b** are rapidly ejected outwardly from the periphery **26** of the second surface **15b** in the direction of arrows shown in Figures 5-10 through the small gap **56** between the second surface **15b** and the edge **55** of the additional enclosure **51**. The molecules are ejected outwardly at a rate and volume substantially greater than they can be replaced by molecules leaking back through the gap **56** and thus the pressure in the interior space **53** of the inner enclosure **51** is reduced in the same manner as the pressure in the low pressure portion **11**. The reduction of pressure in the space or region adjacent to the second surface **15b** and to which the second surface **15b** is exposed substantially reduces the pressure differential between the first and second sides and surfaces **15a**, **15b** of the rotatable surface **15** over substantially the entire pressure range from the starting or ambient pressure to the intended target minimum pressure. The reduction of pressure in the space or region adjacent to the second surface **15b** also substantially reduces

the drag against rotation of the rotatable surface **15** from gas molecules impinging on the second surface **15b**.

[141] As indicated above with respect to the outer enclosure **45**, the additional enclosure **51** also may be constructed in various shapes. In a preferred embodiment, the outer enclosure will be constructed in a cone shape and the additional enclosure **51** will be constructed as an inverted cone as illustrated in Figures 6-10. With this arrangement, the interior surface **46a** of the wall **46** of the outer enclosure **45** extends outwardly from a central apex or truncated apex **57** at a slope around and past the peripheral edge **46a** of the rotatable surface **15** and the wall **52** of the additional enclosure **51** extends outwardly from a central apex or truncated apex **58** at a slope toward the sloping interior surface **46a** of the outer enclosure **45** and terminates at the edge **55** of the opening **54** of the additional enclosure **51** adjacent to the second surface **15b** of the rotatable surface **15**. In a preferred arrangement, the angles or slopes of the interior surface **46a** of the outer enclosure **45** and the wall **52** of the additional enclosure **51** are not symmetrical with respect to the first and second surfaces **15a**, **15b** of the rotatable surface **15**. In a preferred arrangement, the gap **56** between the edge **55** of the additional enclosure **51** and the second surface **15b** of the rotatable surface **15** is slightly smaller than the gap **29** between the peripheral edge **26a** of first surface **15a** of the rotatable surface **15** and the interior surface **46a** of the wall **46** of the outer enclosure **45**.

[142] In the preferred arrangements, the outward flow of gas molecules from the first and second surfaces **15a**, **15b** of the rotatable surface **15** are facilitated by separating the flows to at least some extent so that they do not interfere, which could congest the net outward flow of gas and reduce pumping efficiency. The difference in gap dimensions may result in a small pressure differential remaining between the first and second sides and surfaces **15a**, **15b** of the rotatable surface **15** as pressure is reduced toward the intended target minimum pressure. However, the differential is small enough that there is no risk of deformation of the rotatable surface **15**.

[143] In another variation illustrated in Figures 9-10, the additional enclosure **51** and the outer enclosure **45** can be connected together in a frame **59**. The frame **59** can be open or partially open to the ambient environment. The frame **59** can comprise a single continuous peripheral member **60** or a plurality of discrete spaced apart peripheral members **60** and a plurality of cross-members **61**. The peripheral members **60** and cross-members **61** can be arranged to form a frame **59** with a peripheral footprint that is substantially circular, square, rectangular, polygonal, an irregular geometric shape, or any other shape desired. The peripheral members **60** and cross-members **61** can be constructed of a rigid material such as a

metal and can be interconnected to form a frame **59** that is substantially rigid. The cross-members **61** can be arranged to interconnect the outer enclosure **45** and the inner enclosure **51** containing the drive **16** and rotatable surface **15** with the peripheral members **60** at multiple locations to produce a single unit. The single unit can be portable, or can be permanently or temporarily fixed in place to a mounting base **17** or a surface of a larger structure such as the floor or a wall of a facility.

[144] If the drive motor **37** is enclosed within the inner enclosure **51**, electrical lines and cooling feeds and returns **38** can be fed to the drive motor **37** through the wall **52** of the inner enclosure **51** via suitably sealed vacuum feed-throughs or passages. If the drive motor **37** is located external to the inner enclosure **51**, the drive shaft **25** can pass through the wall **52** of the inner enclosure **51** through a suitably sealed bearing or the like.

[145] Another variation is illustrated in Figures 12K-12N. In this variation, a plurality of rotatable surfaces **15** are arranged spaced apart and substantially parallel in a substantially stacked configuration. Arranging a plurality of rotatable surfaces **15** in a stack is one approach for providing additional surface area for impingement by molecules of a gas being pumped.

[146] The plurality of rotatable surfaces **15** can be interconnected to form a single unitary structure as illustrated in Figures 12K-12N or can be separate structures. Configured as a unitary structure, the plurality of rotatable surfaces **15** can be interconnected by one or more interconnection bridges **62**. The interconnection bridge or bridges **62** can extend between and interconnect adjacent surfaces of the rotatable surfaces **15** in the stack. The adjacent surfaces can comprise the first and second surfaces **15a**, **15b** of adjacent rotatable surfaces **15** in the stack on which gas molecules are intended to impinge, and can include the adjacent first and second peripheral surface portions **31**, **32** of the adjacent rotatable surfaces **15**. The adjacent surfaces can also include adjacent surfaces of the spokes **35** that extend between the central hub portion **34** and the first and second peripheral surface portions **31**, **32** in the example ring embodiments of the rotatable surfaces **15**. The interconnection bridge or bridges **62** can but need not necessarily extend between the adjacent surfaces substantially perpendicular to the planes of the adjacent surfaces.

[147] In one variation illustrated in Figures 12K-12L, a plurality of separate and discrete interconnection bridges **62** in the form of a plurality of columns or pillars can extend between the adjacent surfaces of the stacked rotatable surfaces **15**. The interconnection bridges **62** may be spaced apart around the central openings **24** of the stacked rotatable surfaces **15** at a plurality of locations and at various distances radially outward between the central openings

24 and the peripheral edges **26a** of the stacked rotatable surfaces **15**, including between the adjacent surfaces of the spokes **35** in the case of the example ring embodiments of the rotatable surfaces **15**.

[148] In another variation illustrated in Figures 12M-12N, an interconnection bridge **62** may comprise a monolithic structure such as a cylinder with a wall that extends between the adjacent surfaces of the stacked rotatable surfaces **15**. The cylinder wall can extend circumferentially around the central portion **23** and/or central hub portion **34** and can be positioned at a location spaced radially outward from the central opening **24** of the rotatable surface **15** between the central opening **24** and the outer peripheral edge **26a** of the rotatable surface **15**. Additional cylinders can also be employed, including being located at or near the outer peripheral edges **26a** of the adjacent rotatable surfaces **15** if needed or desired for support. The cylinders may but need not be concentric or the same size with each other and/or with the stacked rotatable surfaces **15**. The monolithic form of the interconnection bridge **62** need not be in the shape of a cylinder, but can have other geometric shapes. In the case of both the discrete and monolithic forms of the interconnection bridges **62**, preferably the interconnection bridges **62** will be numbered and located to maintain the balance of the unitary structure of stacked rotatable surfaces **15** as the unitary structure is rotated with rotational and tangential velocity in the preferred supersonic range as described herein.

[149] Each rotatable surface **15** in a stack can have the same configuration or can have a different configuration. For example, one rotatable surface **15** in the stack can be configured according to the example disk embodiment described above while another rotatable surface **15** in the stack can be configured according to the example ring embodiment as described above. The different configurations of rotatable surfaces **15** can be intermixed in the stack in any desired arrangement and order. In one example arrangement, rotatable surfaces **15** configured as rings are alternated with rotatable surfaces **15** configured as disks.

Additionally, each rotatable surface **15** in a stack can have the same shape and dimensions or various rotatable surfaces **15** can have different shapes and/or different dimensions.

[150] Each rotatable surface **15** in a stack is connected to the drive shaft **25** of the drive **16** by a coupler **40** as described above. A plurality of rotatable surfaces **15** in the stack can be connected to the drive shaft **25** together with one or more common couplers **40** as illustrated in Figures 12K-12N. Alternatively, one or more rotatable surfaces **15** in the stack can be individually connected to the drive shaft **25** via one or more separate individual couplers **40**.

[151] Further, all of the plurality of rotatable surfaces **15** in a stack can be rotated together with the drive shaft **25** and one or more individual rotatable surfaces **15** in a stack can be

individually and selectively rotated as desired. For example, one or more rotatable surfaces **15** can each be individually connected to the drive shaft by a coupler **40** that is adapted to be remotely controlled. For example, the coupler **40** may comprise a clutch that is adapted to be remotely controlled by a linkage or other mechanism, to selectively and individually connect each rotatable surface **15** to the drive shaft **25**. With this arrangement, one or more of the rotatable surfaces **15** in the stack can be selectively rotated at various times in order to achieve desired pumping characteristics, for example to increase efficiency or to increase flow rate and volume. As another example, an example embodiment of the vacuum pump **10** could be controlled to select one or more rotatable surfaces **15** to rotate with tangential velocity v_t in the preferred range described herein when pressure in the low pressure portion **11** is at and near the starting or ambient pressure, and as the pressure drops to select one or more additional or different rotatable surfaces **15** to rotate with the same or different tangential velocity v_t in the preferred range to alter the pumping characteristics. For example, as the pressure drops, additional or different rotatable surfaces **15** could be selectively rotated to increase the surface area for impingement of the gas molecules to try to maintain a substantially uniform flow rate and volume.

[152] In an embodiment, a vacuum pump for pumping a gas includes: an outer enclosure that is gas impermeable or substantially gas impermeable, where the outer enclosure defines an interior space with an interior surface; a rotatable surface in the interior space, where the rotatable surface has a first surface, a second surface opposite of the first surface, and a peripheral edge between the first surface and the second surface, and where the first surface and the second surface are substantially flat; where the rotatable surface is arranged to separate the interior space into a low pressure portion and a high pressure portion with the first surface facing the low pressure portion and the second surface facing the high pressure portion; where the interior surface slopes outwardly around the peripheral edge of the rotatable surface in the low pressure portion of the interior space; where the peripheral edge of the rotatable surface and the interior surface of the outer enclosure define a first gap, where the gas is able to flow from the low pressure portion to the high pressure portion through the first gap while the vacuum pump is pumping the gas, where there is no seal to prevent the gas from leaking back from the high pressure portion to the low pressure portion through the first gap, where the first gap has a first dimension, and where the first dimension is selected in relation to the length of the mean free path of the gas at a predetermined target minimum pressure in the low pressure portion so that the gas leaking back from the high pressure portion to the low pressure portion through the first gap does not prevent a net outflow of the

gas from the low pressure portion to the high pressure portion while the vacuum pump is pumping the gas until the pressure in the low pressure portion reaches the target minimum pressure; a drive connected or coupled to the rotatable surface, where the drive is operable to rotate the rotatable surface with at least a portion of the rotatable surface having tangential velocity in the range of approximately 1 to 6 times the most probable velocity of the molecules of the gas over a range of pressures in the low pressure portion from a starting pressure of about 1 atm to the target minimum pressure to cause the molecules of the gas to flow outwardly from the peripheral edge of the rotatable surface through the gap to reduce the pressure in the low pressure portion to the predetermined target minimum pressure in a single pumping stage, where the target minimum pressure is at least as low as approximately 10^{-4} atm; a second enclosure in the high pressure portion, where the second enclosure is substantially gas impermeable, defines a second interior space with an opening adjacent to the second surface of the rotatable surface, and has a surface that slopes outwardly toward the peripheral edge of the rotatable surface in the high pressure portion; where the peripheral edge of the rotatable surface and the surface of the second enclosure define a second gap having a second dimension where the second interior space and the high pressure portion are in gaseous communication via the second gap; where the second dimension of the second gap is selected to be less than the first dimension of the first gap to reduce a pressure differential between the first surface of the rotatable surface and the second surface of the rotatable surface while the pump is pumping the gas.

[153] In various embodiments, for the vacuum pump, the target minimum pressure is in the range of approximately 10^{-4} to 10^{-6} atm. The outer enclosure includes an inlet in gaseous communication with the low pressure portion and an outlet in gaseous communication with the high pressure portion. The first dimension of the first gap is in the range of approximately 0.5 mm to approximately 100 mm. The rotatable surface includes a circular ring or substantially circular ring with a central opening, a radius dimension between the central opening and the peripheral edge, an interior open portion and a peripheral surface portion with a dimension in the range of approximately 0.05 to less than 0.5 times the radius dimension. A number of substantially parallel planar rotatable surfaces is arranged in a stacked configuration. The drive is operable to rotate the rotatable surface with at least a portion of the rotatable surface having a tangential velocity having a first velocity value when the pressure in the low pressure portion is approximately the starting pressure and having one or more second velocity values that are progressively greater than the first velocity value as the pressure in the low pressure portion is reduced toward the target minimum pressure.

[154] In an embodiment, a vacuum pump for pumping a gas includes: an outer enclosure that is substantially gas impermeable, where the outer enclosure defines an interior space with an interior surface; a rotatable surface in the interior space, where the rotatable surface has a first surface, a second surface opposite of the first surface, and a peripheral edge between the first surface and the second surface, and where the first surface and the second surface are substantially flat; where the rotatable surface is arranged to separate the interior space into a low pressure portion and a high pressure portion with the first surface facing the low pressure portion and the second surface facing the high pressure portion; where the interior surface slopes outwardly around the peripheral edge of the rotatable surface in the low pressure portion of the interior space; where the peripheral edge of the rotatable surface and the interior surface of the outer enclosure define a first gap, where the gas is able to flow from the low pressure portion to the high pressure portion through the first gap while the vacuum pump is pumping the gas, where there is no seal to prevent the gas from leaking back from the high pressure portion to the low pressure portion through the first gap, where the first gap has a first dimension, and where the first dimension is selected in relation to the length of the mean free path of the gas at a predetermined target minimum pressure in the low pressure portion so that the gas leaking back from the high pressure portion to the low pressure portion through the first gap does not prevent a net outflow of the gas from the low pressure portion to the high pressure portion while the vacuum pump is pumping the gas until the pressure in the low pressure portion reaches the target minimum pressure; a drive connected to the rotatable surface, where the drive is operable to rotate the rotatable surface with at least a portion of the rotatable surface having a tangential velocity in the range of approximately 1 to 6 times the most probable velocity of the molecules of the gas over a range of pressures in the low pressure portion from a starting pressure of about 1 atm to the target minimum pressure to cause the molecules of the gas to flow outwardly from the peripheral edge of the rotatable surface through the gap to reduce the pressure in the low pressure portion to the predetermined target minimum pressure, where the target minimum pressure is at least as low as approximately 10^{-4} atm.

[155] In various embodiments, for the vacuum pump, the target minimum pressure is in the range of approximately 10^{-4} to 10^{-6} atm. The first dimension of the first gap is in the range of approximately 0.5 mm to approximately 100 mm. The rotatable surface includes a circular ring with a central opening, a radius dimension between the central opening and the peripheral edge, an interior open portion and a peripheral surface portion with a dimension in the range of approximately 0.05 to less than 0.5 times the radius dimension. The vacuum

pump can include a number of substantially parallel planar rotatable surfaces arranged in a stacked configuration. The drive is operable to rotate the rotatable surface with at least a portion of the rotatable surface having a tangential velocity having a first velocity value when the pressure in the low pressure portion is approximately the starting pressure and having one or more second velocity values that are progressively greater than the first velocity value as the pressure in the low pressure portion is reduced toward the target minimum pressure.

[156] In an embodiment, a vacuum pump for pumping a gas includes: an outer enclosure that is substantially gas impermeable, where the outer enclosure defines an interior space with an interior surface; a number of rotatable rings arranged in a stack in the interior space, where the stack has a top ring and a bottom ring, where each ring of the number of rings is substantially circular and has an interior open portion, an axis of rotation, a peripheral edge, a first peripheral surface around the peripheral edge, and a second peripheral surface around the peripheral edge opposite of the first peripheral surface, where the first peripheral surface and the second peripheral surface are substantially flat; where the stack of rotatable rings separates the interior space into a low pressure portion and a high pressure portion with the first peripheral surface of the top ring facing the low pressure portion and the second peripheral surface of the bottom ring facing the high pressure portion; where the interior surface slopes outwardly around the peripheral edges of the stack of rotatable rings in the low pressure portion of the interior space; where the peripheral edge of the top ring and the interior surface of the outer enclosure define a first gap, where the gas is able to flow from the low pressure portion to the high pressure portion through the first gap while the vacuum pump is pumping the gas, where there is no seal to prevent the gas from leaking back from the high pressure portion to the low pressure portion through the first gap, where the first gap has a first dimension, and where the first dimension is determined by the length of the mean free path of the gas at a predetermined target minimum pressure in the low pressure portion to prevent the gas leaking back from the high pressure portion to the low pressure portion from limiting a net outflow of the gas from the low pressure portion to the high pressure portion while the vacuum pump is pumping the gas until the pressure in the low pressure portion reaches the target minimum pressure; a drive connected to the stack of rotatable rings, where the drive is operable when the vacuum pump is pumping the gas to rotate the stack of rotatable rings with the first peripheral surface and the second peripheral surface of each ring having a tangential velocity in the range of approximately 1 to 6 times the most probable velocity of the molecules of the gas to cause the molecules of the gas in the low pressure portion to flow outwardly through the gap to reduce the pressure in the low pressure portion.

[157] In various embodiments, for the vacuum pump, the target minimum pressure is at least as low as approximately 10^{-4} atm. The drive is operable when the vacuum pump is pumping the gas to rotate the stack of rotatable rings with the first peripheral surface and the second peripheral surface of each ring having a tangential velocity in the range of approximately 1 to 6 times the most probable velocity of the molecules of the gas over a range of pressures in the low pressure portion from a starting pressure of about 1 atm to the target minimum pressure. The target minimum pressure is in the range of approximately 10^{-4} to 10^{-6} atm.

[158] The drive is operable when the vacuum pump is pumping the gas to rotate the stack of rotatable rings with the first peripheral surface and the second peripheral surface of each ring having a tangential velocity in the range of approximately 1 to 6 times the most probable velocity of the molecules of the gas over a range of pressures in the low pressure portion from a starting pressure of about 1 atm to the target minimum pressure. The drive is operable when the vacuum pump is pumping the gas to rotate the stack of rotatable rings with the first peripheral surface and the second peripheral surface of each ring having a tangential velocity with a first velocity value when the pressure in the low pressure portion has a predetermined starting value and with one or more second velocity values that are progressively greater than the first velocity value as the pressure in the low pressure portion is reduced toward the target minimum pressure.

[159] The foregoing description of several specific example embodiments of a non-sealed vacuum pump with supersonically rotatable bladeless gas impingement surface and various components and elements thereof are given for illustrative purposes only and are not intended and should not be interpreted as limiting or precluding other embodiments that may be possible. Persons of ordinary skill in the art will appreciate that a wide variety of modifications and alterations may be made to and/or substituted for the specific example embodiments, components, and elements shown and described herein without departing from the spirit or scope of the present disclosure or of the present invention, and that various aspects of the specific example embodiments shown and described can be combined in various ways to achieve still further embodiments. The scope of the invention that is the subject of this application, including any adaptations or variations of the embodiments whether or not specifically discussed herein, is therefore intended to be defined by the appended claims.