

## BIOMARKERS FOR CANCERS RESPONSIVE TO MODULATORS OF HEC1 ACTIVITY

[0001] This application claims priority to copending U.S. provisional application with the serial number 61/562,177, filed 21 November 2011.

### 5 **Field of the Invention**

[0002] The field of the invention is identification and use of biomarkers for determining the sensitivity of proliferative diseases to molecularly targeted therapeutic agents, and in particular HEC1 inhibitors in combination with a cytotoxic agent.

### **Background of the Invention**

10 [0003] Over 1.6 million new cancer diagnoses and about 580,000 deaths from cancer are expected to occur in the United States in 2012. Cancer is currently the second most common cause of death in the US, trailing behind the leading cause, heart disease. Although various new cancer treatments have been developed over the past decade, the five-year relative survival rate for all cancers diagnosed between 1999 and 2006 is  
15 68%, with estimated deaths of 28% and 26% for lung cancer in males and females, respectively, 15% for breast cancer in females, and 11% for prostate cancer. Such statistics reflect a critical need for further advances in available treatments.

[0004] Personalized medicine has revolutionized the trial and error process of current medical treatment and improved patient response rates by utilizing biomarker profiles  
20 to more effectively predict a patient's response to a drug and to reduce the time spent in ineffective treatment that permits disease to advance. At least in certain cases such an approach has allowed for a more targeted and thus more effective treatment, highlighting the potential benefit of use of biomarkers in customizing patient treatment regimens to increase therapy success rates.

25 [0005] For example, at the 47th Annual Meeting of the American Society of Clinical Oncology (June, 2011), Tsimberidou *et al* from the MD Anderson Cancer Center presented a study describing the success of treatment regimens targeting PIK3CA, mTOR, BRAF, MEK, multikinases, KIT or EGFR in 175 patients with one genetic aberration. This study showed that the response rate was 27% with matched targeted

therapy versus 5% observed for patients treated with non-matched therapy. Other markers with at least some success for improvement in treatment outcome for use in personalized medicine were described by Duffy and Crown (Clinical Chemistry, 2008, 54(11):1770-1779). This and all other extrinsic materials discussed herein are  
5 incorporated by reference in their entirety. Where a definition or use of a term in an incorporated reference is inconsistent or contrary to the definition of that term provided herein, the definition of that term provided herein applies and the definition of that term in the reference does not apply.

[0006] Faulty chromosomal segregation and uncontrolled mitotic proliferation are  
10 hallmarks of neoplastic disease. However, despite the availability of an increasing number of cancer markers, there remains a lack for markers indicative of susceptibility to drugs that target spindle and kinetochore regulation or mitotic checkpoint control. Hec1, for example, is a critical component in spindle checkpoint signaling that is highly expressed in cancer and helps assure correct segregation of chromosomes during cell  
15 division. Several potentially powerful Hec1 inhibitors have recently been reported (see *e.g.*, WO 2011/115998 to Lau and Huang; Qiu *et al* in J. Med. Chem., 2009, 52(6):1757-1767; Wu *et al* in Cancer Res., 2008 Oct 15, 68(20):8393-9). While at least some of the compounds have shown promising results, there is, however, no guidance as to any biomarkers that would be indicative of increased treatment success  
20 with such compounds.

[0007] Thus, there is still a need for biomarkers for cancers responsive to modulators of Hec1 activity.

### **Summary of The Invention**

[0008] The inventive subject matter is drawn to biomarkers and methods related to  
25 treatment of neoplastic diseases, where the disease is treated with a Hec1 inhibitor. More specifically, the inventors have now discovered that the status and/or expression level of Hec1(HEC), Rb(RB1), and/or p53 (TP53) can be used as predictive biomarkers for treatment of various disease states where the disease is treated with a Hec1 inhibitor, where the status is with respect to wild-type versus mutant genotype and/or  
30 deficient/absent gene expression.

[0009] Therefore, in one embodiment of the inventive subject matter, identification of biomarker profiles is used to determine sensitivity of proliferative diseases such as cancer to Hec1 inhibitors or otherwise Hec1 targeting compounds. Therefore, a method of determining the sensitivity of a proliferative disease and/or a neoplastic cell in a subject to treatment with a Hec1 inhibitor is contemplated that includes a step of determining status and/or expression levels of one or more of Hec1(HEC), Rb(RB1), and p53 (TP53) in a sample derived from the subject. Such determination may include forming a detectable complex that provides a test result. In some embodiments the test result may be compared to reference result related to a status and/or expression levels of one or more of Hec1(HEC), Rb(RB1), and p53 (TP53).

[0010] In another embodiment of the inventive concept a method of selecting a subject and/or evaluating a patient suffering from a proliferative or neoplastic disease for treatment with a Hec1 inhibitor is contemplated that includes a step of determining status and/or expression levels of one or more of Hec1(HEC), Rb(RB1), and p53 (TP53) in a sample derived from the subject. Such determination may include forming a detectable complex, and can provide a test result. In some embodiments the test result may be compared to a reference result related to a status and/or expression levels of one or more of Hec1(HEC), Rb(RB1), and p53 (TP53) obtained from a reference cell to determine sensitivity. Such sensitivity may be used to provide an evaluation or selection result.

[0011] In some embodiments of the inventive concept, expression levels of Hec1 may be determined; in such embodiments an increased expression level may be indicative of sensitivity of a neoplastic or proliferative cell to a Hec1 inhibitor. In other embodiments of the inventive concept the status of at least one of Rb and p53 may be determined; in such embodiments deletion of Rb and/or p53 or presence of a mutated Rb and/or p53 may indicate sensitivity of a neoplastic or proliferative cell to a Hec1 inhibitor. Hec1, Rb, and/or p53 status and/or expression may be characterized by quantification of nucleic acids encoding for Hec1, Rb, and/or p53, by sequencing of nucleic acids encoding for Hec1, Rb, and/or p53, by hybridization of nucleic acids encoding for Hec1, Rb, and/or p53, or by a combination of these. Alternatively, Hec1, Rb, and/or p53 status and/or expression may be characterized by quantification and/or

sequence characterization of Hec1 protein, Rb protein, and p53 protein. In some embodiments of the inventive concept, both nucleic acid and protein related to Hec1, Rb, and/or p53 may be characterized.

[0012] In yet another embodiment of the inventive subject matter, a method of a patient suffering from a neoplastic disease for treatment with a Hec1 inhibitor is contemplated that includes a step of determining a molecular type of the neoplastic disease from a sample derived from the subject and determining for the molecular type an expression level of at least one of Hec1, Rb, and p53. Such determination may include forming a detectable complex, and can provide an evaluation result. In such an embodiment an increased level of Hec1 expression and/or deletion or the presence of a mutated form of Rb and/or p53 relative to a corresponding reference value or result may be indicative of the suitability of the patient's neoplastic disease with a Hec1 inhibitor. Neoplastic diseases of such an embodiment include, but are not limited to, breast cancer, lung cancer, colon cancer, and liver cancer.

[0013] Another embodiment of the inventive subject matter is a method for treating a neoplastic cell that is sensitive to a Hec1 inhibitor by contacting the neoplastic cell with a Hec1 inhibitor and a second chemotherapeutic/cytotoxic agent. Such method may utilize a dosage or dosages that is/are effective in achieving a synergistic result on growth inhibition of such a neoplastic cell. Suitable Hec1 inhibitors include, but are not limited to, N-(4-(4-isopropoxy-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (100951); N-(4-(4-(4-methoxyphenoxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (101001); 2-fluoro-N-(4-(4-(4-methoxyphenoxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (101015); N-(4-(4-(5-(2-methoxyethoxy)pyrazin-2-ylthio)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (Hec1 10091); N-(4-(4-(5-(2-methoxyethoxy)pyrazin-2-ylthio)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (10095); and N-(4-(4-(5-(2-(dimethylamino)ethoxy)pyrazin-2-ylthio)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide. Such Hec1 inhibitors may be the form of free bases or in the form of salts. Such

an embodiment may utilize cytotoxic and/or chemotherapeutic drugs such as taxol, doxorubicin, and topotecan, however use of any suitable cytotoxic and/or chemotherapeutic drug or drugs is contemplated.

[0014] Yet another embodiment of the inventive subject matter is a method for treating a neoplastic cell that is multi-drug resistant (or resistant to treatment with imatinib) by contacting the neoplastic cell with a Hec1 inhibitor at a dose effective to achieve growth inhibition. In some embodiments of the inventive concept the Hec1 inhibitor may be used in combination with a second chemotherapeutic or cytotoxic agent. Suitable Hec1 inhibitors include, but are not limited to,

10 N-(4-(4-isopropoxy-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (100951); N-(4-(4-(4-methoxyphenoxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (101001); 2-fluoro-N-(4-(4-(4-methoxyphenoxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (101015); N-(4-(4-(5-(2-methoxyethoxy)pyrazin-2-yloxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (Hec110091);

15 N-(4-(4-(5-(2-methoxyethoxy)pyrazin-2-ylthio)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (110095); and N-(4-(4-(5-(2-(dimethylamino)ethoxy)pyrazin-2-ylthio)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide. Such Hec1 inhibitors may be the form of free bases or in the form of salts. Such an embodiment may utilize cytotoxic and/or chemotherapeutic drugs such as taxol, doxorubicin, and topotecan, however use of any suitable cytotoxic and/or chemotherapeutic drug or drugs is contemplated.

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[0015] Various objects, features, aspects and advantages of the inventive subject matter will become more apparent from the following detailed description of preferred embodiments, along with the accompanying drawing figures in which like numerals represent like components.

#### **Brief Description of the Drawings**

[0016] FIG. 1 shows the relationship between the GI50s of Hec1 inhibitory compounds and Hec1 expression: FIG. 1A shows Hec1 protein expression levels in

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total protein from asynchronously maintained cell lines. Hec1 protein expression levels were quantitated and expressed in % relative to HeLa expression levels and categorized as very sensitive ( $GI_{50} < 50 \text{ nM}$ ), moderately sensitive ( $50 \text{ nM} < GI_{50} < 100 \text{ nM}$ ), partially sensitive ( $100 \text{ nM} < GI_{50} < 1 \mu\text{M}$ ), or resistant (with  $GI_{50} > 10 \mu\text{M}$  to a Hec1 inhibitor. **FIG. 1B** shows Hec1 RNA expression levels in total RNA. Hec1 RNA expression was quantitated, expressed in % relative to HeLa expression levels, and graphed against protein expression for correlation. **FIG. 1C** shows compiled data for Hec1 protein expression in cancer cell lines categorized as sensitive ( $GI_{50} < 300 \mu\text{M}$ ) or resistant ( $GI_{50} > 300 \mu\text{M}$ ) in response to a different Hec1 inhibitor. **FIG. 1D-1 and FIG. 1D-2** show the  $\log_{10}$  of  $GI_{50}$  data collected from cell line screening assays utilizing four Hec1 compound analogues (100951, 101001, 101015, and 110095) plotted against Hec1 protein expression levels. A 2-tailed T test was utilized to determine significance (P-value for all drugs tested was  $4.3 \times 10^{-17}$ ). **FIG. 1E** shows the correlation between Hec1 RNA expression level in terms of % total RNA and Hec1 protein expression level in terms of % total protein.

[0017] **FIG. 2** shows Hec1 expression in different cancer cell lines and in subtypes of lung cancer and breast cancer from human patient samples. **FIG. 2A** and **FIG. 2B** show Hec1(NDC80) expression data obtained from GEO database GSE8894 and GSE14814, respectively, for human lung cancer tumor expressed as the logarithm of expression intensity; results show high Hec1 expression in squamous cell carcinoma. **FIG. 2C** shows Hec1(NDC80) expression data obtained from GEO database GSE20685 for breast cancer tumor samples expressed as the logarithm of expression intensity; results show high Hec1 expression in type I.

[0018] **FIG. 3** shows the effect of siRNA knockdown of Rb on cancer cell sensitivity to Hec1 inhibitory compounds. **FIG. 3A** shows the effect of knockdown by transfection of wild-type Rb MDA-MB-231 cells transfected with either control siRNA or siRNA targeting Rb (siRb) on sensitivity (in terms of  $GI_{50}$ ) to Hec1 inhibitory compound 101001. Rb RNA expression in transfected cells is also shown. **FIG. 3B** shows cellular sensitivity of selected cell lines with wild type Rb (231, MDA-MB-231, K562, ZR-75-1, T47D, A549, HCT116) or mutated Rb (HeLa) transfected with one of two siRNAs directed to Rb and treated as in **FIG. 3A**, expressed as percent growth

inhibition relative to non-drug-treated cells. Immunoblots of lysates from transfected cells probed for Rb are shown below the corresponding inhibition graphs.

[0019] **FIG. 4** shows the effect of siRNA knockdown of p53 on cancer cell sensitivity to Hec1 inhibitory compounds. **FIG. 4A** shows the effect of knockdown by  
5 transfection of wild-type wild-type p53 cells (A549, HCT116) transfected either control siRNA or siRNA targeting p53 (sip53) on sensitivity (in terms of GI50) to Hec1 inhibitory compound 101001. Cellular sensitivity is expressed in GI50(nM). Expression of p53 RNA from transfected cells is also shown. **FIG. 4B** shows cellular sensitivity of selected cell lines with wild type p53 (A549, HCT116, ZR-75-1, U2OS)  
10 or mutated p53 (HeLa) transfected with one of two different siRNAs directed to p53 and treated as in **FIG. 4A**, expressed as percent growth inhibition relative to non-drug-treated cells. Immunoblots of lysates from transfected cells probed for p53 are shown below the corresponding inhibition graphs.

[0020] **FIG. 5** shows differences in induction of phosphorylation of p73, activation of  
15 apoptotic markers, and downregulation of anti-apoptotic markers by Hec1 inhibitor between drug-responsive cells (GI50 <10uM) and non-drug-responsive cells (GI50 >10uM). Actin is also shown as a control. **FIG. 5A** shows an immunoblot that demonstrates the effect of Hec1 inhibitory compounds (200nM) phosphorylated p73 (P-p73) expression in drug-responsive HeLa cells and non-drug-responsive A549 cells  
20 treated with select Hec1 inhibitory compounds at 200nM at various time points. **FIG. 5B** shows an immunoblot demonstrating the effect of Hec1 inhibitory compounds (1µM) on the expression of apoptotic markers caspase3, PARP and anti-apoptotic markers Mcl-1, XIAP, Bcl-2 in drug-responsive HeLa cells. **FIG. 5C** shows an immunoblot demonstrating the effect of select Hec1 inhibitory compounds and Taxol  
25 on the expression of apoptotic markers caspase3, PARP and anti-apoptotic markers Mcl-1, XIAP, Bcl-2 in non-drug-responsive A549 cells. **FIG. 5D** shows an immunoblot demonstrating the effect of Hec1 inhibitory compound 101001 on the expression of apoptotic markers caspase3, PARP and anti-apoptotic markers Mcl-1, XIAP, Bcl-2 in drug-responsive HeLa and non-drug-responsive A549 cells.

30 [0021] **FIG. 6** shows differential cell cycle regulation of Hec1 and Nek2 protein expression and differential down-regulation of Nek2 protein by Hec1 inhibitor in

drug-responsive cells (GI50 <10 $\mu$ M) and non-drug-responsive cells (GI50 >10 $\mu$ M).

**FIG. 6A** shows immunoblots of Hec1 and Nek2 from synchronized drug-responsive cells (HeLa, MDA-MB-468, HCT116) and non-drug-responsive cells (A549) at various time points. Actin is included as a loading control. **FIG. 6B** shows

5 immunoblots of Nek2 from drug-responsive cells (HeLa, HCT116) and non-drug-responsive cells (A549) treated with a DMSO control and with a Hec1 inhibitory compound at different concentrations. **FIG. 6C** shows immunoblots of cyclin B1 and cyclin D1 for HeLa cells treated with either a DMSO control or a Hec1 inhibitory compound for different periods of time. Actin is included as loading control.

10 **[0022]** The embodiments set forth in the drawings are illustrative in nature and are not intended to be limiting of the invention defined by the claims. Moreover, individual features of the drawings and the invention will be more fully apparent in view of the detailed description.

#### **Detailed Description**

15 **[0023]** Based on the recent discovery of a small molecule that was able to selectively and/or specifically target the Hec1/Nek2 pathway, the inventors have developed various improved Hec1 inhibitors that target the kinetochore component Hec1, which is overexpressed in various human cancers. These compounds led the inventors to investigate the roles of Hec1, Rb and p53 in Hec1 inhibitor drug sensitivity in cancer  
20 cells.

**[0024]** Cells rely on well-regulated cell cycle control throughout mitosis to function properly. The deregulated mitotic processes observed in cancer cells involve processes such as aberrant spindle formation and chromosome segregation. Hec1 is expressed most abundantly in rapidly dividing cells, but not in terminally differentiated cells.

25 Hec1 has been shown to be upregulated in brain, liver, breast, and lung tumor cells in genome-wide expression profiles, overexpressed in cervical, colorectal, breast cancer, and lung cancer cell lines, and colorectal and gastric cancer tissues. Thus differential expression of Hec1 in rapidly differentiated cells, transformed cell lines, and cancerous tissues suggest that Hec1 may be an excellent candidate in targeted therapy of human

cancers. Similarly, the potential of Hec1 as a target for cancer therapy can be supported by statistical analysis correlating Hec1 expression and IC50.

[0025] Hec1 is associated with the retinoblastoma gene (Rb), which plays a significant role in the G2-M phase of the cell cycle. More specifically, in the G2-M phase Rb  
5 interacts with protein phosphatase 1 alpha, a protein shown to be essential for kinetochore function. Phosphorylation of Rb in G1-S phases of the cell cycle is coordinated with the induction and degradation of cyclins in the G2-M phase. Rb also regulates chromosome segregation directly and interacts with Hec1 at G2-M phase. Cells lacking functional Rb cannot finish mitosis properly and mitosis of such cells  
10 results in hyperploidy. These relationships suggest that Rb may have a role in the mitotic steps involving Hec1.

[0026] P53 is a multifunctional protein that includes various structural domains that are involved in specific, complex interactions with DNA, RNA, protein and cell metabolites. The p53 gene is often mutated in cancer, primarily with missense  
15 mutations resulting in single amino acid residue replacements). Such mutated p53 proteins are grouped into different structural groups. For example, p53 proteins with "hotspot" mutations occurring within the DNA binding domain can be characterized as DNA contact mutants or conformational mutants. Mutant p53 is often highly expressed throughout tumor progression (including advanced and distant metastases), implying  
20 that it may possess gain-of-function (GOF) properties. Such GOF properties allow mutant p53 to interact with sites on the chromatin that differ from chromatin sites that interact with wild type p53, and with a variety of transcriptional factors that may up-regulate or repress genes such as multi-drug resistance 1 gene or caspase-3, respectively. Inactivation of the wild type p53 has also been shown to enhance  
25 sensitivity to multiple chemotherapeutic agents including cisplatin, carboplatin, and taxol.

[0027] Based on the above considerations and other factors, the inventors have now discovered that sensitivity of cells to Hec1 inhibitors can be accurately and reliably predicted by the status and/or expression level of Hec1(HEC), Rb(RB1), and/or p53  
30 (TP53). This advantageously permits early identification of cancer patients that may

benefit from Hec1 inhibitor therapy, which in turn may lead to more effective treatment of their disease while it is still in an early stage.

[0028] More specifically, the inventors have discovered that the Hec1 expression level is positively correlated with sensitivity of neoplastic cells to treatment with Hec1 inhibitors, and that deletion, dysregulation, or dysfunction of Rb and/or p53 are also positively correlated with sensitivity of neoplastic cells to treatment with Hec1 inhibitors. It should therefore be appreciated that such correlation may not only allow prediction of treatment success of neoplastic cells and tissue with Hec1 inhibitors, but also that certain cancer types will be *a priori* susceptible or, alternatively, resistant to treatment with Hec1 inhibitors.

[0029] For example, as is also further shown in more detail below, certain neoplastic cells lines and neoplastic cells with relatively high expression of Hec1 (*e.g.*, Hep3B/hepatocellular carcinoma, HeLa/cervical cancer, T47D/metastatic, pleural, invasive, ductal carcinoma) may be highly susceptible to treatment with Hec1 inhibitors, whereas other cells lines with substantially low or no Hec1 expression (*e.g.*, MOLT-4/acute lymphoblastic leukemia, N87/gastric cancer) may be significantly less sensitive (or resistant) to treatment with Hec1 inhibitors.

[0030] Such differential sensitivity may also be useful in the typing and/or treatment of single types or categories of cancer. For example, molecular sub-types of breast cancer are categorized into types I-VI, however only types I and IV exhibit significant levels of Hec1 expression and are thus likely to be sensitive to Hec1 inhibitor treatment. Similarly, identification of a specified type or category of a cancer in a patient may indicate likely sensitivity to Hec1 inhibitors, and therefore may be utilized to optimize treatment.

[0031] Thus, one embodiment of the inventive concept is a method of selecting subjects suffering from a proliferative disease for treatment with a Hec1 inhibitor (optionally in combination with a cytotoxic agent), wherein such selection is based on prior determination of Hec1 expression and/or status. In one especially preferred aspect of the inventive subject matter, Hec1 expression and/or status is determined by quantification of the level of expression of the wild type Hec1 (HEC) gene, presence of

a mutated Hec1 (HEC) gene, or by determination of absence, deficiency (relative to a healthy control) or deletion of the Hec1(HEC) gene. Similarly, it should also be appreciated that such quantification may also include a determination of the level of expression and/or post-translational modification(s) of Hec1 in a sample obtained from the subject. For example, such determination may be performed by determining a level of expression of wild type Hec1 in the subject and comparing it to a level of expression of wild type Hec1 (HEC) gene in a healthy control subject. Additionally, or alternatively, a control subject may also represent a tumor responsive or non-responsive that is responsive to a specific therapy- most typically treatment with a Hec1 inhibitor. In such a case, where the control represents a tumor responsive to the therapy, a higher expression of wild type Hec1 (HEC) gene in the individual as compared with the control may predict likely responsiveness to the therapy. Conversely, where a control represents a tumor resistant to the therapy, a lower level of expression of Hec1 in the individual as compared with the control may predict likely resistance to the therapy.

**[0032]** Another embodiment of the inventive subject matter (and particularly, but not necessarily, in subjects with high Hec1(HEC) expression levels relative to a control), a method is contemplated in which patients are selected for treatment with a Hec1 inhibitor where the patient suffers from a proliferative disease suitable for treatment with a Hec1 inhibitor, and wherein such patient selection relies (at least in part) on a step of characterizing or determining Rb status. Rb status may be characterized by determining the presence of the wild type Rb (RB1) gene, the presence of a mutated Rb (RB1) gene, the absence, deficiency, or deletion of the Rb (RB1) gene, and/or the level of expression and/or post-translational modification(s) of Rb in a sample derived from the subject. As noted above, it should be appreciated that reduced or lacking expression of Rb, or having a dysregulated and/or dysfunctional Rb (RB1), may be indicative of responsiveness of a neoplastic cell to treatment with a Hec1 inhibitor. Thus, a patient population for treatment with a Hec1 inhibitor may be selected on the basis of subjects showing insufficient/mutated/deleted Rb (RB1) status.

**[0033]** Similarly, and in yet another embodiment of the inventive concept, a method of selecting subjects suffering from a proliferative disease for treatment with a Hec1

inhibitor (optionally in combination with a cytotoxic agent) is contemplated, wherein such method includes a step of determining the sensitivity of the proliferative disease in said subjects to treatment using a Hec1 inhibitor is determined by characterizing or determining p53 status. For example, such methods may comprise a step of  
5 determining p53 status via identification and/or quantification of the wild type p53 (TP53) gene, a mutated p53 (TP53) gene, or the absence, deficiency or deletion of the p53 (TP53) gene and/or the level of expression and/or post-translational modification(s) of p53 in a sample derived from the subject. Thus, a patient population for treatment with a Hec1 inhibitor may be selected on the basis of subjects showing  
10 insufficient/mutated/deleted p53 (TP53) status.

**[0034]** It should be appreciated that, beyond their utility as individual indicators, Hec1, Rb, and p53 gene status and expression may be used in combination as a basis for selection of a patient population for treatment with a Hec1 inhibitor. For example, Hec1 genotype, Hec1 expression, Rb genotype, Rb expression, p53 genotype, and/or  
15 p53 expression may be utilized individually or in any combination as an indicator of sensitivity of neoplastic disease or cell lines to a Hec1 inhibitor compound.

**[0035]** It should be appreciated that detection, quantification, and/or characterization of genes and gene products, such as (for example) genes and gene products related to Hec1, Rb, and p53, may include labeling or tagging of a gene or gene product and/or  
20 the formation of a detectable complex. Such labeling can be direct or indirect. For example, a gene or gene product (such as RNA or protein) may directly labeled by modifying its composition so as to render it detectable, for example by affixing a detectable moiety to the gene and/or gene product to be characterized to form a detectable complex. Similarly, a gene or gene product may be indirectly labeled by  
25 interacting with a binding partner (such as, for example, a complementary nucleic acid sequence, complementary nucleic acid analog sequence, aptamer, or antibody) that carries a detectable moiety to form a detectable complex. Alternatively, a gene or gene product (for example, from a patient sample) may be labeled by its ability to displace a gene analog that carries a detectable moiety or a gene product analog that carries a  
30 detectable moiety from a binding partner (such as, for example, a complementary nucleic acid sequence, complementary nucleic acid analog sequence, aptamer or

antibody) and thereby modulate the formation of a detectable complex. Suitable detectable moieties include, but are not limited to, fluorescent molecules, phosphorescent molecules, luminescent molecules, enzymes, metals, biotin and/or biotin analogs, quantum dots, microparticles, radionuclides, nucleic acids and/or  
5 nucleic acid analogs, isotopic mass labels, spin labels, positive or negative charges, or a combination of these.

**[0036]** In this context, it should be noted that all known manners of determination of genotype and/or quantification of Hec1, Rb, and/or p53 nucleic acid and protein products are deemed suitable for use herein. Particularly suitable methods include (but  
10 are not limited to) DNA sequencing, copy number determination, haplotype determination, RNA sequencing, qPCR, RT-PCR, q-RT-PCR, digital PCR, Southern and/or Northern hybridization, FISH, microarray analysis, liquid phase hybridization/quantification, amperometric and/or fluorometric quantification, immunometric determination, and/or any method suitable for characterizing a gene  
15 and/or a gene product. Alternatively, or additionally, where cytological or histopathological analysis is indicative of a particular status for Hec1, Rb, and/or p53, separate quantitative analysis may be entirely omitted.

**[0037]** With respect to the treatment with a Hec1 inhibitor, it should be appreciated that all known Hec1 inhibitors are deemed suitable for use herein, and that preferred Hec1  
20 inhibitors include those described in WO 2011/115998 and co-pending U.S. provisional application with the serial number 64/564,773. Therefore, and among other contemplated compounds, particularly preferred Hec1 inhibitors include  
N-(4-(4-isopropoxy-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (100951),  
N-(4-(4-(4-methoxyphenoxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide  
25 (101001),  
2-fluoro-N-(4-(4-(4-methoxyphenoxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (101015),  
N-(4-(4-(5-(2-methoxyethoxy)pyrazin-2-yloxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (110091),  
30 N-(4-(4-(5-(2-methoxyethoxy)pyrazin-2-ylthio)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (110095), and

N-(4-(4-(5-(2-(dimethylamino)ethoxy)pyrazin-2-ylthio)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (110096).

[0038] It is still further contemplated that the Hec1 inhibitor may be co-administered with one or more cytotoxic agents, for example with an antineoplastic metabolite, a topoisomerase I or II inhibitor, and/or a microtubule active agent. All such known agents are deemed suitable for use herein. With respect to the dosage of such agents, such agents may be administered at the currently known dosages, or somewhat below such known dosages.

[0039] Surprisingly, where contemplated Hec1 inhibitors are co-administered with one or more cytotoxic agents, synergistic activity is observed with selected compounds, as further described in more detail below. Most advantageously, synergistic responses may be observed for a combination of Hec1 inhibitor and taxol, doxorubicin, and topotecan. While not limiting to the inventive subject matter, it is contemplated that synergistic action may be observed in cells and/or tissues where the cells and/or tissue are sensitive to Hec1 inhibitors at concentrations equal to or less than 100nM (**Table 9** to **Table 11**).

[0040] Equally remarkable, contemplated Hec1 inhibitor compounds also exhibit significant activity against various cell lines that are considered to be drug resistant, thus providing an additional venue for treatment of otherwise refractory cells and tissues (**Table 8**). Additionally, the inventors also observed that the Hec1 inhibitors contemplated herein exhibited activity and trigger an apoptotic response(s) in responsive cells ( $GI_{50} < 1\mu M$ ), and do not trigger induction of an apoptotic response(s) in non-responsive cells ( $GI_{50} > 1\mu M$ ) (**FIG. 5**).

### **Examples**

#### 25 **Materials and Methods**

[0041] Cell culture: A549, MDA-MB231, K562, HCT116 cancer cell lines were provided by Dr. Y.S.Lee (Development Center for Biotechnology, New Taipei City, Taiwan). T47D, ZR-75-1 cell lines were obtained from BCRC (Bioresource Collection and Research Center, Taiwan). Cell lines were maintained initially in suggested

medium and adapted to be maintained in medium containing 10% fetal bovine serum, low glucose (1g/L) Dulbecco's Modified Eagle's (DME) Medium at 37° C in air containing 5% CO<sub>2</sub>.

[0042] Drug sensitivity: Cell lines were screened for drug sensitivity by treatment with specified drugs 24 hours after seeding in appropriate seeding numbers into 96 well plates containing low glucose DME with 10% FBS. The drug was added to the plate in triplicate wells, and the cells are incubated in drug treated medium for 96 hours before cell viability was determined by MTS assay using CellTiter 96® Aqueous non-radioactive cell proliferation assay system (Promega, Madison, WI 53711 USA). The MTS assay was performed according to the manufacturer's instructions. Optical density was measured using a Bio-Tek 340 spectrophotometer (Bio-Tek, Winooski, VT 05404) and optical readings were then processed in Excel (Microsoft, Redmond, WA 98052-7329) and GraphPad Prism 5 linear regression software (GraphPad Software, La Jolla, CA 92037 USA) to determine concentration-response curves for calculating relative GI50s. GI50 value refers to a concentration that causes 50% growth inhibition. The % growth inhibition of the test drug on cells were calculated as:  $[1 - (\text{Test Value})/(\text{Control Value})] \times 100$ ; these values were used to plot concentration-response curves, and then analyzed with linear regression software.

[0043] Synergy: GI50s for selected drugs were determined and used to calculate calculate a concentration ratio for use synergy assays with Hec1 inhibitors. Cells were treated with drugs 24 hours after being seeded in appropriate seeding numbers into 96 well plates containing low glucose DME with 10% FBS. Hec1 inhibitor and select drugs were added to the plate in triplicate wells in the determined GI50 concentration ratios, and the cells were incubated in drug treated medium for 96 hours prior to determination of cell viability. Cell viability was determined by MTS assay using a CellTiter 96® Aqueous non-radioactive cell proliferation assay system (Promega, Madison, WI 53711 USA) according to the manufacturer's instructions. Optical density was determined using a Bio-Tek 340 spectrophotometer (Bio-Tek, Winooski, VT 05404) and were then processed using Excel (Microsoft, Redmond, WA 98052-7329) and GraphPad Prism 5 linear regression software (GraphPad Software, La Jolla, CA 92037 USA) to determine concentration-response curves for calculating relative GI50s.

Synergy was determined by calculating a combination index value using the formula CI (combination index) =  $(CA_X/IC_{X,A}) + (CB_X/IC_{X,B})$ , where  $CA_X$  and  $CB_X$  are the concentrations of drug A and drug B used in combination to achieve x% drug effect.  $IC_{X,A}$  and  $IC_{X,B}$  are the concentrations for single agents to achieve the same effect.

5    **[0044]** Gene silencing: Cells were plated into the wells of 96 well plates in appropriate cell numbers and transfected by the siPort NeoFx transfection method (Life Technologies, Carlsbad, California 92008 USA) according to manufacturer instructions, maintained for 24 hours, then treated with drug. Cells were incubated in drug treated medium for 48 hours then analyzed by MTS assay. Control siRNA Life  
10    Technologies, Carlsbad, California 92008; Cell Signaling Technology, Danvers, MA 01923 USA; and Santa Cruz Biotechnology, Santa Cruz, CA. 95060 USA), Rb siRNA (#1: Life Technologies, Carlsbad, California 92008 USA; #2: Santa Cruz Biotechnology, Santa Cruz, CA. 95060 USA) and p53 siRNA (#1: Cell Signaling Technology, Danvers, MA 01923 USA; #2: Cell Signaling Technology, Danvers, MA  
15    01923 USA) were used. Cells were treated with drugs 24 hours after seeding and incubated with the drugs for 48 hours before MTS assay. The MTS assay was performed according to the manufacturer's instructions (Promega, Madison, WI 53711 USA).

**[0045]** Immunoblots: Cell lysates were by incubation of cells in  
20    radioimmunoprecipitation assay (RIPA) buffer (50mM Tris-HCl, pH7.4, 150mM NaCl, 1% NP40, 0.25% DOC, 0.1% SDS, 1mM NaVO<sub>4</sub>, 1mM EDTA, 1µg/ml leupeptin, 1µg/ml pepstatin) or 2.5X sample buffer (50mM Tris-HCl, pH6.8, 1% SDS, 2.5% BME, 7.5% Glycerol, Bromophenol blue). Tissue samples were immersed in RIPA buffer, disrupted with a homogenizer, and centrifuged to clarify. Samples were  
25    then subjected to SDS-PAGE, blotted onto immunolotting membranes, and incubated with primary antibodies in 3% BSA-TBST. Horseradish peroxidase-conjugated secondary antibodies were used for protein detection by enhanced chemiluminescence (Millipore, Billerica, MA 01821 USA). The following antibodies were used for Western blotting: anti-Rb monoclonal antibody 1F8 (Abcam, Cambridge, MA  
30    02139-1517 USA); anti-p53 monoclonal antibody (Santa Cruz Biotechnology, Santa

Cruz, CA. 95060 USA); anti- $\beta$ -actin monoclonal antibody AC-15 (Sigma Aldrich, St. Louis, MO, USA).

[0046] Real-time Quantitative PCR: Total RNA was isolated using a Quick-RNA miniPrep kit (Zymo Research, Irvine, CA 92614 USA). Reverse transcription and  
5 quantitative real-time PCR was performed on an ABI Prism 7500 (Life Technologies, Carlsbad, California 92008 USA) using a One Step SYBR ExTaq qRT-PCR kit (Takara-Bio, Shiga, Japan) following manufacturer's instructions. Primer sequences used for GAPDH were: 5'-GGTTTACATGTTCCAATATGATTCCA-3' (forward) ,  
5'-ATGGGATTTCATTGATGACAAG-3'(reverse). Primers sequences used for Rb  
10 were: 5'-GCAGTATGCTTCCACCAGGC-3'(forward) ,  
5'-AAGGGCTTCGAGGAATGTGAG-3'(reverse). Primers sequences used for p53 were: 5'-GCCCCCAGGGAGCACTA-3'(forward),  
5'-GGGAGAGGAGCTGGTGTG-3' (reverse).

[0047] Gene expression in clinical samples: HEC gene expression data were obtained  
15 from the GSE database, analyzed and expressed as the logarithm of gene expression intensity in base 2.

[0048] The following discussion provides numerous exemplary embodiments of the inventive subject matter. Although each embodiment represents a single combination of inventive elements, the inventive subject matter is considered to include all possible  
20 combinations of the disclosed elements. Thus if one embodiment comprises elements A, B, and C, and a second embodiment comprises elements B and D, then the inventive subject matter is also considered to include other remaining combinations of A, B, C, or D, even if not explicitly disclosed. Moreover, unless the context dictates the contrary, all ranges set forth herein should be interpreted as being inclusive of their endpoints and  
25 open-ended ranges should be interpreted to include only commercially practical values. Similarly, all lists of values should be considered as inclusive of intermediate values unless the context indicates the contrary.

[0049] Correlation between Hec1 expression and cellular sensitivity to Hec1 inhibitors: Results of characterizing a variety of cancer cell lines for their sensitivity to  
30 Hec1 inhibitors are shown in **Table 1**. As is readily apparent, such cells vary in their

sensitivities to Hec1 inhibitor. Here, the cell lines as indicated were treated with Hec1 inhibitor (101001) and analyzed for their proliferative and metabolic activity. Table 1 the lists screened cell lines in order of increasing GI50, and groups them according to their sensitivity to Hec1 inhibitor.

|                                                           | Cell Lines | Cancer Type                                            | pRb         | p53               | TAI-101001<br>GI50 (nM) |
|-----------------------------------------------------------|------------|--------------------------------------------------------|-------------|-------------------|-------------------------|
| Strong sensitivity<br>(GI <sub>50</sub> < 50nM)           | K562       | chronic myeloid leukemia                               | WT          | mut               | 13.48                   |
|                                                           | HeLa       | cervical cancer                                        | mut         | inactivated       | 15.83                   |
|                                                           | T47D       | breast, metastatic-pleural, invasive ductal carcinoma  | W           | mut               | 17.09                   |
|                                                           | U-937      | acute myeloid leukemia                                 | WT          | null              | 22.03                   |
|                                                           | MDA-MB-453 | breast, metastatic-effusion, adenocarcinoma            | WT          | mut               | 23.57                   |
|                                                           | RPMI8226   | acute myeloid leukemia                                 | mut         | mut               | 27.19                   |
|                                                           | KG-1       | myelogenous leukemia                                   | rearranged  | reduced/no        | 28.90                   |
|                                                           | MDA-MB-468 | breast, metastatic-pleural, invasive ductal carcinoma  | mut/no      | mut               | 33.64                   |
|                                                           | HCT116     | colorectal carcinoma                                   | low         | WT                | 38.94                   |
|                                                           | COL-0205   | colorectal carcinoma                                   | WT          | no                | 40.23                   |
| Moderate sensitivity<br>(50nM < GI <sub>50</sub> < 100nM) | MDA-MB-231 | breast, metastatic-pleural, invasive ductal carcinoma  | WT          | mut               | 43.31                   |
|                                                           | PC3        | prostate cancer                                        | WT          | null              | 60.00                   |
|                                                           | MCF7       | breast, metastatic, pleural, invasive ductal carcinoma | WT          | heterogeneous: WT | 63.70                   |
|                                                           | NCI-H520   | non-small cell lung cancer                             | WT          | reduced mRNA      | 67.86                   |
|                                                           | ZR-75-30   | breast, metastatic-ascites, invasive ductal carcinoma  | WT          | WT                | 76.78                   |
|                                                           | ZR-75-1    | breast, metastatic-ascites, invasive ductal carcinoma  | WT          | WT                | 79.62                   |
|                                                           | Huh7       | hepatocellular carcinoma                               | WT          | mut               | 84.32                   |
|                                                           | BT474      | breast, primary, invasive ductal carcinoma             | WT          | mut               | 85.70                   |
|                                                           | PLC/PRF5   | hepatocellular carcinoma                               | WT          | inactivated       | 91.61                   |
|                                                           | Hep3B      | hepatocellular carcinoma                               | no          | deletion          | 96.06                   |
| Low sensitivity<br>(100nM < GI <sub>50</sub> < 1uM)       | U2OS       | osteosarcoma                                           | Less active | WT                | 139.30                  |
|                                                           | Hs578T     | breast, metastatic, invasive ductal carcinoma          | WT          | mut               | 143.03                  |
|                                                           | MV4-11     | acute myeloid leukemia                                 | WT          | mut               | 231.00                  |
|                                                           | RS4;11     | acute myeloid leukemia                                 | WT          | mut               | 254.00                  |
|                                                           | HepG2      | hepatocellular carcinoma                               | WT          | WT                | 272.67                  |
|                                                           | MOLM-13    | acute myeloid leukemia                                 | WT          | mut               | 315.00                  |
| Resistant<br>(GI <sub>50</sub> > 1uM)                     | A549       | non-small cell lung cancer                             | WT          | WT                | >10uM                   |
|                                                           | HCC1954    | breast, invasive ductal carcinoma                      | mut         | WT                | >10uM                   |
|                                                           | MDA-MB-361 | breast, metastatic-brain, adenocarcinoma               | WT          | no                | >10uM                   |
|                                                           | MOLT-4     | acute lymphoblastic leukemia                           | WT          | WT                | >30uM                   |
|                                                           | NK7        | gastric cancer                                         | WT          | WT                | >30uM                   |

5

Table 1

[0050] To characterize possible mechanisms for drug resistance in Hec1 inhibitor resistant cell lines, expression levels of Hec1 protein and RNA in Hec1 inhibitor 101001 sensitive and resistant cell lines were determined. Similar studies were performed to characterize expression levels of Hec1 protein in cell lines identified as

10

sensitive (GI50<300nM) and resistant (GI50>300nM) to a second Hec1 inhibitor, 110095. Asynchronously maintained cell lines were lysed and their total protein immunoblotted for expression levels of Hec1. Hec1 protein expression levels were quantitated and expressed in % relative to HeLa expression levels (**FIG. 1A**).

5 Similarly, asynchronously maintained cell lines were collected and their total RNA analyzed for expression levels of Hec1 relative to HeLa by quantitative real time PCR (**FIG. 1B**). Hec 1 inhibitor 101001 resistant cell lines (GI50 >10uM) A549 and MDA-MB-361 were noted to have low Hec1 expression levels while 7 out of 9 very sensitive cell lines (GI50< 50nM) had Hec1 levels higher than K562, the cell line with  
10 the lowest GI50. Similarly, cell lines sensitive to Hec1 inhibitor 110095 showed a statistically significant (p value <0.0001) elevation of Hec1 protein expression relative to resistant cell lines (**FIG. 1C**). **FIG. 1D-1 and FIG 1D-2** shows similar results from studies with four different Hec1 inhibitors. The log of GI50 data collected from cell line screening assays from four Hec1 compound analogues (100951, 101001, 101015,  
15 and 110095) were plotted against quantitated Hec1 protein expression levels and a 2-tailed t test utilized to determine significance in P values. A P-value of  $4.32914 \times 10^{-17}$  was found, strongly implying that Hec1 expression levels may be used effectively as a biomarker in designs for clinical trials for a Hec1 inhibitor. Overall, expression of Hec1 showed a positive correlation to cellular sensitivity to Hec1  
20 inhibitors. Additional studies in HeLa cells showed that the relationship between Hec1 protein and RNA expression (as a function of total protein and total RNA, respectively), as shown in **FIG. 1E**, is approximately linear. This suggests that, advantageously, either Hec1 protein expression or Hec1 RNA expression may be utilized effectively as a biomarker in designs for clinical trial for a Hec1 inhibitor.

25 **[0051]** Hec1 expression in clinical cancer sample types and subtypes: Genome-wide expression profiles show that Hec1 is up-regulated in breast, lung, liver and brain cells and that Hec1 expression correlates with tumor grade and prognosis. Clinical cancer patient tissue samples were collected and analyzed for their Hec1 expression levels. Data reveals significantly higher Hec1 expression levels in certain cancer types and  
30 subtypes. **FIG. 2A** and **FIG. 2B** depict HEC(NDC80) expression data obtained from GEO databases GSE8894 (**FIG. 2A**) and GSE14814 (**FIG. 2B**) for lung cancer tumor samples representing adenocarcinoma and squamous cell carcinoma, analyzed and

expressed as base 2 logarithms of expression intensity relative to normal tissue. In both datasets, the mean expression of HEC(NDC80) gene is elevated in squamous cell carcinoma cells. A similar elevation of Hec1 expression was found in colon cancer cells (not shown). Similarly, HEC(NDC80) expression data was obtained from GEO  
 5 database GSE 20685 for breast cancer tumor samples of various molecular subtypes, analyzed, and expressed as base 2 logarithms of expression intensity relative to normal tissue. HEC is overexpressed in breast cancer molecular subtype I, as shown in its gene expression profile (**Figure 2C**). Expression of Hec 1 protein in breast cancer tumor samples isolated from patients was also characterized, as was the molecular subtype of  
 10 the breast cancer, and summarized in **Table 2**. Molecular subtype I and IV show elevated Hec 1 protein expression. Properties of different classified breast cancer molecular subtypes are also listed in **Table 1**, which shows retinoblastoma (Rb) and p53 gene and protein status in screened cell lines and lists cell lines in order of increasing GI50. These results strongly indicate that type and/or the molecular subtype  
 15 of a tumor or tumor cell line may be a critical indicator for proper patient selection during clinical trials for Hec1 inhibitor.

| Breast Cancer Molecular Subtype | Hec1 | p84   | actin |
|---------------------------------|------|-------|-------|
| I                               | ++   | +++++ | +++++ |
| I                               | +    | +++   | +++   |
| I                               | .    | ++    | +     |
| II                              | .    | .     | .     |
| II                              | .    | ++    | +++++ |
| II                              | .    | ++++  | ++    |
| III                             | .    | +     | ++++  |
| III                             | .    | ++    | +++++ |
| III                             | .    | +++   | +++++ |
| IV                              | +    | +++   | +++++ |
| IV                              | +    | ++    | +++++ |
| V                               | .    | .     | .     |
| V                               | .    | +     | +     |
| V                               | .    | ++++  | +++   |
| VI                              | .    | +     | +     |
| VI                              | .    | .     | .     |
| VI                              | .    | .     | .     |

Table 2

**[0052] Correlation of Rb and p53 status with cellular sensitivity to Hec1 inhibitors:**

Hec1 was discovered through its interaction with the retinoblastoma protein Rb. This suggests that there may be a relationship between the Rb status of cancer cell lines in the present drug screening system (**Table 1**) and sensitivity to Hec1 inhibitor.

- 5 Surprisingly, the pattern of Rb and p53 status in the present cell lines may indicate a requirement for the presence of a mutated form of Rb and/or a mutated form of p53. As shown in **Table 3**, mutated/aberrant Rb as a single biomarker is less significant than mutated/aberrant p53, with P values of 0.3 and <0.005, respectively.

| Hec1 expression |                |      |     |
|-----------------|----------------|------|-----|
|                 | Total          | High | Low |
| Sensitive       | 17             | 16   | 1   |
| Resistant       | 2              | 0    | 2   |
|                 | P value < 0.01 |      |     |

  

| p53 expression |                 |        |           |
|----------------|-----------------|--------|-----------|
|                | Total           | mutant | wild-type |
| Sensitive      | 25              | 22     | 3         |
| Resistant      | 5               | 1      | 4         |
|                | P value < 0.005 |        |           |

  

| Rb expression |               |        |           |
|---------------|---------------|--------|-----------|
|               | Total         | mutant | wild-type |
| Sensitive     | 25            | 7      | 18        |
| Resistant     | 5             | 0      | 5         |
|               | P value = 0.3 |        |           |

  

| Rb +/- p53 expression |                 |      |     |
|-----------------------|-----------------|------|-----|
|                       | Total           | High | Low |
| Sensitive             | 25              | 23   | 2   |
| Resistant             | 5               | 1    | 4   |
|                       | P value < 0.005 |      |     |

  

| Hec1 +/- p53 expression |                  |      |     |
|-------------------------|------------------|------|-----|
|                         | Total            | High | Low |
| Sensitive               | 25               | 25   | 0   |
| Resistant               | 5                | 1    | 4   |
|                         | P value < 0.0001 |      |     |

  

| Hec1 +/- Rb expression |                 |        |           |
|------------------------|-----------------|--------|-----------|
|                        | Total           | mutant | wild-type |
| Sensitive              | 25              | 18     | 7         |
| Resistant              | 5               | 0      | 5         |
|                        | P value < 0.005 |        |           |

  

| Hec1 +/- Rb +/- p53 expression |                  |        |           |
|--------------------------------|------------------|--------|-----------|
|                                | Total            | mutant | wild-type |
| Sensitive                      | 25               | 25     | 0         |
| Resistant                      | 5                | 1      | 4         |
|                                | P value < 0.0001 |        |           |

Similar results are shown in **Table 4**, where mutant Rb as a single biomarker has a P value of >0.6, whereas mutant p53 has a P value of <0.007.

|                                 | High Hec1/+<br>Mutant p53/+<br>Mutant Rb | Mutant<br>p53/+<br>mutant Rb | Mutant<br>Rb | Mutant<br>p53 | High<br>Hec1 |
|---------------------------------|------------------------------------------|------------------------------|--------------|---------------|--------------|
| Total                           | 31                                       | 31                           | 30           | 30            | 18           |
| Sensitive<br>Mutants            | 23                                       | 22                           | 7            | 20            | 14           |
| Sensitive<br>Nonmutants         | 1                                        | 2                            | 17           | 3             | 2            |
| Insensitive<br>Mutants          | 2                                        | 2                            | 0            | 2             | 0            |
| Insensitive<br>Nonmutants       | 5                                        | 5                            | 5            | 5             | 2            |
| 101001<br>sensitivity<br>cutoff | 270nM                                    | 270nM                        | 270nM        | 270nM         | 270nM        |
| P value                         | 0.000694                                 | 0.00268                      | 0.6550545    | 0.006661      | 0.039216     |

5

**Table 4**

[0053] To further clarify the role of these tumor suppressors in cellular sensitivity to Hec1 inhibitor, siRNA was used to selectively knockdown or reduce expression of Rb and p53 in select cell lines and the effect on their sensitivity to Hec1 inhibitor was characterized. Surprisingly, Rb knockdown induced an increase in Hec1 inhibitor sensitivity in several cancer cells lines carrying wild type Rb (MDA-MB-231, K562, ZR-75-1, T47D, HCT116), but had no effect in cell lines with mutated Rb (HeLa) (Figs. 3A-3B). FIG. 3A shows results of viability studies of wild-type Rb MDA-MB-231 cells transfected with siRNA directed to Rb (siRb) and control siRNA, then treated with the Hec1 inhibitor 101001; cellular sensitivity is expressed in GI50( $\mu$ M). Results of quantitation of Rb RNA from transfected cells by real time PCR are also shown, and show a significant drop in Rb RNA in siRNA treated cells. FIG. 3B shows results of siRb transfection of selected cell lines with wild type Rb (MDA-MB-231, K562, ZR-75-1, T47D, A549, HCT116) or mutated Rb (HeLa) and treated as in FIG. 3A; cellular sensitivity is expressed as percent growth inhibition

relative to non-drug-treated cells. Cell lysates from transfected cells were collected and analyzed for Rb protein by immunoblotting; blots are shown below the corresponding inhibition graphs. Actin was included as a loading control.

[0054] Silencing of p53 induced similar sensitizing effects in cells carrying wild type p53 (A549, HCT116, ZR-75-1, U2OS), but surprisingly had no effect on cells carrying mutated p53 (MDA-MB-231, HeLa) as shown in **FIGS. 4A-4B**. **FIG. 4A** shows results from wild-type p53 cells (A549, HCT116) and mutant (MDA-MB-231) transfected with siRNA directed to p53 (sip53) and then treated with Hec1 inhibitory compound 101001. Cells were analyzed for viability and cellular sensitivity expressed in GI50 (nM). RNA from transfected cells were also analyzed for p53 RNA level by quantitative real time PCR. Increased sensitivity of sip53 treated cells are shown by the decreased GI50 of sip53 treated cells with wild-type p53, which was not observed in cells with mutated p53. Similarly, **FIG. 4B** shows the results of transfection of selected cell lines with wild type p53 (A549, HCT116, ZR-75-1, U2OS) or mutated p53 (HeLa) with siRNA directed to p53 and treated as in **FIG. 4A**. Cellular sensitivity is expressed as percent growth inhibition relative to non-drug-treated cells. Results of immunoblots of cell lysates from transfected cells for p53; corresponding blots are shown below the corresponding inhibition graphs. Overall, these results suggest that cancer cells with impaired Rb and p53 are more sensitive to Hec1 inhibitors through an as yet unknown pathway, which may provide additional biomarkers useful for guidance of patient selection for clinical Hec1 inhibitor therapy.

[0055] It should, therefore, be appreciated that three biomarkers for the selection of sensitive cell lines and clinical patients for sensitivity to Hec1 inhibitors are presented according to the inventive subject matter. Increased expression of Hec1, mutated Rb and/or mutated p53 are indicators of tumors and cell lines that are potentially susceptible to Hec1 inhibitors. These factors may be predictive individually or in any combination. Surprisingly, the combined P value for all three biomarkers is <0.0001 (**Table 3**). These provide a selection guide for the design of clinical studies to select for patients that are more likely responsive to Hec1 inhibitor therapy.

[0056] Effectiveness of Hec1 inhibitors relative to current cytotoxic drugs: The sensitivity of tumor derived cells to Hec1 inhibitor and a selection of cytotoxic drugs

currently used in cancer treatment (in terms of GI50) are shown in **Tables 5-7**. **Table 5** summarizes the GI50s of a number of breast cancer derived cell lines to Hecl inhibitor 101001 and several cytotoxic drugs (paclitaxel, doxorubicin, topotecan, and sorafenib). **Table 6** shows similar data for a number of liver cancer cell derived cell lines.

- 5 Similarly, **Table 7** summarizes the GI50s of other cancer-derived cell lines to Hecl inhibitor 101001 and paclitaxel, doxorubicin, and topotecan. For the most part Hecl inhibitors were more potent (i.e. had a lower GI50) than select cytotoxic agents in select cell lines. Surprisingly, Hecl inhibitors were also effective on several drug resistant cell lines, including taxol-resistant cell lines Mex-SA/Dx5 and NCI/ADR-Res and
- 10 Gleevec-resistant cell line K562R, as shown in **Table 8**. These results provide a basis for the use of Hecl inhibitor as an alternative to or in combination with therapeutic regimens with cytotoxic drugs such as, for example, taxol, doxorubicin, topotecan and Gleevec.

| Breast Cancer Cell Lines | GI50 (nM) |            |             |           |           |
|--------------------------|-----------|------------|-------------|-----------|-----------|
|                          | 101001    | paclitaxel | doxorubicin | topotecan | sorafenib |
| MDA-MB-231               | 43.31     | 3.00       | 213.80      | 346.73    | 3044.00   |
| MDA-MB-468               | 33.64     | 2.38       | 28.27       | 10.71     | 3171.67   |
| MDA-MB-453               | 23.57     | 1.42       | 158.04      | 92.34     | 2521.00   |
| T47D                     | 17.09     | 3.19       | 11.94       | 9.43      | 1730.00   |
| ZR-75-1                  | 79.62     | >10uM      | 92.88       | 36.32     | 4337.00   |
| ZR-75-30                 | 76.78     | N.D.       | N.D.        | N.D.      | N.D.      |
| Hs578T                   | 143.03    | 8.39       | 711.30      | 4955.00   | N.D.      |

15

**Table 5**

| Liver Cancer Cell Lines | GI50 (nM) |            |             |           |           |
|-------------------------|-----------|------------|-------------|-----------|-----------|
|                         | 101001    | paclitaxel | doxorubicin | topotecan | sorafenib |
| Huh-7                   | 84.32     | 93.53      | 182.80      | 50.91     | 4501.00   |
| PLC/PRF/5               | 91.61     | 8.58       | 69.72       | 174.40    | 3762.00   |
| HepG2                   | 272.67    | > 50uM     | 746.30      | 434.90    | 8254.00   |
| Hep3B                   | 96.06     | 9.47       | 247.70      | 422.40    | 3676.00   |

Table 6

| Other Cancer Cell Lines | GI50 (nM) |            |             |           |           |
|-------------------------|-----------|------------|-------------|-----------|-----------|
|                         | 101001    | paclitaxel | doxorubicin | topotecan | sorafenib |
| K562                    | 13.48     | 3.46       | 36.22       | 36.12     | N.D.      |
| HeLa                    | 15.83     | 7.80       | 242.00      | 636.55    | N.D.      |
| HCT116                  | 38.94     | N.D.       | N.D.        | N.D.      | N.D.      |
| COLO205                 | 40.23     | N.D.       | N.D.        | N.D.      | N.D.      |
| U2OS                    | 139.30    | N.D.       | N.D.        | N.D.      | N.D.      |
| U-937                   | 22.03     | N.D.       | N.D.        | N.D.      | N.D.      |

Table 7

| Cell Line   | Origin                                 | 101001<br>GI50<br>(nM) | 110095<br>GI50<br>(nM) | 100951<br>GI50<br>(nM) | 101015<br>GI50<br>(nM) | Paclitaxel<br>GI50<br>(nM) |
|-------------|----------------------------------------|------------------------|------------------------|------------------------|------------------------|----------------------------|
| MEX-SA/Dx5  | Multi-drug resistant uterine sarcoma   | 34.89                  | 7.27                   | 75.29                  | 34.89                  | 6247                       |
| NCI/ADR-RES | Multi-drug resistant uterine sarcoma   | 28.5                   | 19.46                  | 52.58                  | 21.65                  | 2329                       |
| K562R       | Gleevec resistant myelogenous leukemia | 29.57                  | 10.44                  | 74.44                  | 26.01                  | 34.45                      |

5

Table 8

**[0057]** Synergistic effects of Hec1 inhibitor with cytotoxic drugs: Combination therapy is a promising approach to more effective treatment of cancer patients. Drugs that can target pathways unreached by other current anti-cancer drugs have even better clinical potential to be incorporated into current therapeutic regimens. As noted above, results of single drug studies strongly suggest that Hec1 inhibitors may be effective in such combination therapies. To investigate possible clinical combination therapeutic approaches for Hec1 inhibitors, synergistic effects of Hec1 inhibitors with several currently available cytotoxic agents used in cancer therapy were characterized. Hec1 inhibitor-responsive cancer cells used for screening were treated with a mixture of

Hec1 inhibitor and select anti-cancer drug at appropriate concentration ratios and evaluated for cell viability. A combination index (CI) was calculated from the GI50s obtained as described above to represent additive (CI= about 1), synergistic (CI<1), or antagonistic (CI>1) effects. **Table 9** summarizes the results of synergism studies on a number of cancer derived cell lines treated with Hec1 inhibitor 110001 in combination cytotoxic drugs. **Table 10** summarizes results from a similar study performed using Hec1 inhibitor 110095. Similarly, **Table 11** summarizes combination index (CI) values obtained for Hec1 inhibitors 100951(0951), 101001(1001), 101015(1015), and 110095(0095) and cytotoxic drugs for leukemia, cervical cancer, breast cancer, and liver cancer cell lines. Significant synergistic effects of Hec1 inhibitors (i.e. CI<1) were identified with taxol, doxorubicin, and topotecan in many of the cell lines tested. This suggests that Hec1 inhibitors may provide an additional therapeutic modality for treatment of neoplastic disease or inhibition of the growth of cancer cell lines when added to current cytotoxic drug regimes.

**[0058]** Mechanism of Hec1 inhibitor induced cell death: P53 is known as an important regulator of the cell cycle in G1 and G2 phases, and controls apoptosis in response to aberrant proliferative signals and stress. Since most of the Hec1-inhibitor sensitive cell lines the inventors have discovered have mutant p53, it could be speculated that p53-independent apoptotic pathways may be involved in Hec1 inhibitor induced cell death. P73 is a member of the p53-family that mediates apoptosis and replaces p53 function in p53-deficient cells. P73 is therefore a potential candidate for a molecule that mediates apoptosis leading to Hec1 inhibitor induced cell death. To investigate this, drug-responsive (HeLa) and drug-resistant cells (A549) carrying mutant p53 proteins were treated with Hec1 inhibitor 110095 for varying lengths of time. Results of immunoblots for phosphorylated p73 show that Hec1 inhibitors induced time-dependent phosphorylation of p73 in treated cells, peaking at 48 hours after drug treatment (**FIG. 5A**). Such phosphorylation suggests that Hec1 inhibitors may act to induce cell death, at least in part, by modulating p73 activity.

**[0059]** Similarly, HeLa (**FIG. 5B**) cells were treated for 24 or 48 hours with select Hec1 inhibitors 110091 (91), 110093 (93), 110095 (95), at 1 $\mu$ M and lysates immunoblotted for apoptotic markers caspase3 and PARP and anti-apoptotic markers Mcl-1, XIAP, and Bcl-2. Actin is shown as a loading control. A549 cells were

similarly treated with Hec1 inhibitors 100951(0951), 101001(1001), 101015(1015), 110078(0078), and 110079(0079), and the results

| Cell line,<br>(Origin)       | Cytotoxic<br>drug, (GI50) | 110001<br>GI50 | Cytotoxic<br>drug:110095<br>Ratio used | Combination<br>Index | Synergy      |
|------------------------------|---------------------------|----------------|----------------------------------------|----------------------|--------------|
| K562<br>(Leukemia)           | doxorubicin,<br>(36.22nM) | 43.77nM        | 0.83                                   | 0.66                 | Yes          |
| MB-468<br>(breast<br>cancer) | doxorubicin,<br>(27.01nM) | 33.64nM        | 0.80                                   | 0.87                 | Yes          |
| Huh7 (liver<br>cancer)       | doxorubicin,<br>(182.80)  | 84.32nM        | 2.17                                   | 0.73                 | Yes          |
| MB-231<br>(breast<br>cancer) | topotecan,<br>(346.73nM)  | 43.31nM        | 8.01                                   | 0.78                 | Yes          |
| MB-468<br>(breast<br>cancer) | topotecan,<br>(10.71nM)   | 33.64nM        | 0.32                                   | 0.74                 | Yes          |
| Huh7 (liver<br>cancer)       | Paclitaxel,<br>(93.53nM)  | 84.32nM        | 1.109                                  | 0.28                 | Yes          |
| MB-231<br>(breast<br>cancer) | paclitaxel,<br>(4.91nM)   | 42.48nM        | 0.116                                  | 0.68                 | Yes          |
| K562<br>(Leukemia)           | paclitaxel,<br>(9.93nM)   | 40.65nM        | 0.24                                   | 0.73                 | Yes          |
| Huh7 (liver<br>cancer)       | sorafenib,<br>(4501nM)    | 84.32nM        | 53.38                                  | 1.66                 | Antagonistic |
| Hep3B<br>(liver<br>cancer)   | sorafenib,<br>(3676nM)    | 103.55<br>nM   | 35.50                                  | 1.50                 | Antagonistic |
| Huh7 (liver<br>cancer)       | KX01,<br>(27.16nM)        | 84.32nM        | 0.32                                   | 1.31                 | Additive     |

**Table 9**

- 5 summarized in **FIG. 5C**. Similar studies utilizing a different Hec1 inhibitor are shown in **FIG. 5D**, which illustrates the results of treatment of HeLa and A549 cell lines with Hec1 inhibitor 101001. Hec1 inhibitor treatment led to activation of apoptotic caspases in drug-responsive cells (HeLa) (**FIG. 5B, FIG. 5D**). Similar treatment of a resistant cell line (A549) did not show a similar response (**FIG. 5C, FIG. 5D**). This

suggests that in mutant p53 cells (HeLa), Hec1 inhibitors are able to induce p73 activation to trigger p73-dependent apoptosis leading to drug induced cell death.

| Cell line,<br>(Origin)       | Cytotoxic<br>drug, (GI50) | 110095<br>GI50 | Cytotoxic<br>drug:110095<br>Ratio used | Combination<br>Index | Synergy  |
|------------------------------|---------------------------|----------------|----------------------------------------|----------------------|----------|
| K562<br>(Leukemia)           | doxorubicin,<br>(36.22nM) | 28.23nM        | 1.28                                   | 0.49                 | Yes      |
| MB-468<br>(breast<br>cancer) | doxorubicin,<br>(27.01nM) | 21.12nM        | 1.28                                   | 0.87                 | Yes      |
| Huh7 (liver<br>cancer)       | topotecan,<br>(187.20nM)  | 48.9nM         | 3.74                                   | 0.76                 | Yes      |
| MB-231<br>(breast<br>cancer) | topotecan,<br>(346.73nM)  | 16.48nM        | 21.04                                  | 0.71                 | Yes      |
| MB-468<br>(breast<br>cancer) | topotecan,<br>(10.71nM)   | 21.12nM        | 0.51                                   | 0.88                 | Yes      |
| Huh7 (liver<br>cancer)       | topotecan,<br>(50.91nM)   | 34.98nM        | 1.46                                   | 0.75                 | Yes      |
| Huh7 (liver<br>cancer)       | paclitaxel,<br>(30.03nM)  | 34.98nM        | 0.86                                   | 0.65                 | Yes      |
| MEX-<br>SA/Dx5<br>(sarcoma)  | paclitaxel,<br>(6247nM)   | 19.46nM        | 321.02                                 | 1.41                 | No       |
| NCI/ADR-<br>RES<br>(sarcoma) | paclitaxel,<br>(2329nM)   | 10.44nM        | 223.08                                 | 0.82                 | Yes      |
| K562R<br>(leukemia)          | paclitaxel,<br>(34.45nM)  | 7.27nM         | 4.74                                   | 0.65                 | Yes      |
| Huh7 (liver<br>cancer)       | sorafenib,<br>(4501.00nM) | 48.9nM         | 92.04                                  | 1.05                 | Additive |
| Huh7 (liver<br>cancer)       | KX01,<br>(27.16nM)        | 48.9nM         | 0.56                                   | 0.96                 | Additive |

5

Table 10

[0060] Mechanism for differential response to Hec1 inhibitor: Hec1 and Nek2 are cell cycle regulated and are found to reach their highest level of expression during G2/M

phase. Since some cell lines are less sensitive to Hec1 inhibitor treatment, differential regulation of such Hec1/Nek2 pathways may be a factor in differential cell responses to Hec1 inhibitors.

|                   |                            |      |      |      |                 |      |      |      |
|-------------------|----------------------------|------|------|------|-----------------|------|------|------|
| <b>Origin:</b>    | Leukemia                   |      |      |      | Cervical Cancer |      |      |      |
| <b>Cell Line:</b> | K562                       |      |      |      | HeLa            |      |      |      |
| <b>Drug</b>       | 0951                       | 1001 | 1015 | 0095 | 0951            | 1001 | 1015 | 0095 |
| Taxol             | 0.67                       | 0.62 | 0.62 | 1.64 | 1.04            | 1.21 | 1.01 | 1.22 |
| Doxorubicin       | 0.52                       | 0.66 | 0.62 | 0.49 | 3.31            | 4.30 | 6.83 | 1.55 |
| Topotecan         | 0.47                       | 1.65 | 1.12 | 1.38 | 2.15            | 2.18 | 1.58 | 1.90 |
| <b>Origin:</b>    | Breast Cancer              |      |      |      | Breast Cancer   |      |      |      |
| <b>Cell Line:</b> | MB-231                     |      |      |      | MB-468          |      |      |      |
| <b>Drug</b>       | 0951                       | 1001 | 1015 | 0095 | 0951            | 1001 | 1015 | 0095 |
| Taxol             | 0.51                       | 0.68 | 0.98 | 1.21 | 1.36            | 1.01 | 1.16 | 1.08 |
| Doxorubicin       | 1.33                       | 1.25 | 1.75 | 1.26 | 0.77            | 0.87 | 1.23 | 0.87 |
| Topotecan         | 0.75                       | 0.78 | 0.85 | 0.71 | 0.84            | 0.74 | 0.74 | 0.88 |
| <b>Origin:</b>    | Liver Cancer               |      |      |      | Liver Cancer    |      |      |      |
| <b>Cell Line:</b> | Huh7                       |      |      |      | PCL/PRF/5       |      |      |      |
| <b>Drug</b>       | 0951                       | 1001 | 1015 | 0095 | 0951            | 1001 | 1015 | 0095 |
| Taxol             | 0.20                       | 0.31 | 0.32 | 1.05 | 1.19            | 0.85 | 0.99 | 0.81 |
| Doxorubicin       | 0.34                       | 0.73 | 0.54 | 0.76 | 1.92            | 1.27 | 1.65 | 2.31 |
| Topotecan         | 1.08                       | 1.63 | 0.62 | 0.75 | 2.94            | 4.37 | 1.17 | 2.83 |
| KX01              | 0.99                       | 1.31 | 1.08 | 1.36 | 1.69            | 2.19 | 1.31 | 1.08 |
| Sorafenib         | 0.97                       | 1.15 | 1.08 | 0.96 | 0.74            | 0.68 | 1.15 | 1.41 |
| <b>Origin:</b>    | Liver Cancer               |      |      |      | Liver Cancer    |      |      |      |
| <b>Cell Line:</b> | HepG2                      |      |      |      | Hep3B           |      |      |      |
| <b>Drug</b>       | 0951                       | 1001 | 1015 | 0095 | 0951            | 1001 | 1015 | 0095 |
| Taxol             | Taxol-resistant IC50> 10uM |      |      |      | 1.54            | 1.59 | 2.38 | 2.40 |
| Doxorubicin       | 2.58                       | 2.13 | 1.74 | 3.00 | 3.99            | 1.71 | 2.00 | 2.27 |
| Topotecan         | 1.89                       | 2.24 | 1.92 | 3.03 | 1.76            | 1.58 | 1.05 | 1.48 |
| KX01              | 1.71                       | 1.70 | 1.68 | 2.32 | 2.14            | 1.86 | 2.32 | 2.79 |
| Sorafenib         | 2.15                       | 0.78 | 0.89 | 1.88 | 1.45            | 1.24 | 1.91 | 1.20 |

5

Table 11

To investigate this possibility, drug-responsive (HeLa, MDA-MB-468, HCT116) and resistant cells (A549) were synchronized by starvation and expression of Hec1 and

Nek2 characterized at 1(G1), 27(G27), 32(G32), and 48(G48) hours. Differential expression patterns between Hec1 and Nek2 are apparent, indicating differences in regulation of Hec1/Nek2 pathways (**FIG. 6A**). Cells were treated with Hec1 compound 110095 at indicated concentration for 48 hours and Nek2 expression  
5 characterized by immunoblotting (**FIG. 6B**). Hec1 inhibitor treatment led to degradation of Nek2 in sensitive cell lines; however this effect is not seen in the resistant cell line (A549) (**FIG. 6B**). This suggests that Hec1 inhibitor sensitive and Hec1 inhibitor resistant cell lines may regulate (or utilize) Hec1/Nek2 pathways differently. In addition, drug-responsive cells (HeLa) were treated with Hec1 inhibitor  
10 110095 (095) for the different time periods and cyclin B1 and cyclin D1 content characterized by immunoblotting (**FIG. 6C**). Cyclin B1 and cyclin D1 levels were down regulated in drug-responsive cells treated with Hec1 inhibitor. This suggests that cell lines with different cellular context have differential cell cycle pathways that enable select cells to escape Hec1 compound-induced cell death. Identification of  
15 characteristic regulatory or cell cycle pathways (for example, characterization of a Hec1/Nek2 pathway and/or cyclin B1 and cyclin D1 regulation) may provide identification of a neoplastic disease and/or cell line that is sensitive to Hec1 inhibitors.

[0061] Hec1 expression has also been shown to correlate with tumor grade and prognosis. For example, Hec1 is part of breast cancer prognosis predictor of poor  
20 therapeutic outcome, in which the significant prognosis predictors in univariate analysis were Cyclin B1, BUB1, HEC, and the 11-gene signature. This highlights the importance of the use of potent Hec1 small molecular inhibitors in breast cancer patients. Cancer types and subtype may provide a selection guide for the design of clinical studies to select for patients that are more likely responsive to Hec1 inhibitor  
25 therapy, as cancer types and subtypes with elevated Hec1 gene expression may be more susceptible to Hec1 inhibitors (as shown by breast cancer molecular subtypes I and IV, above).

[0062] Functionally, Hec1 is a component of the mitotic kinetochore that is overexpressed in many cancers and leads to a tumor phenotype. Hec1 expression  
30 during the cell cycle is tightly regulated in both normal cells and transformed cells, however kinetochore recruitment of Hec1 is increased in cancer cell lines. As is

known, silencing of the retinoblastoma gene (Rb) increased Hec1 mRNA and protein expression. Knockdown of Rb and deregulation of RB/E2F target genes is also known to increase sensitivity to therapeutic doses of DNA-damaging agents in breast cancer cell lines MCF7, T47D and ZR-75-1. Increased aneuploidy and chromosomal

5 instability in RB-defective cancer cells due to increased Hec1 expression may, therefore, contribute to the observed increase in sensitivity to Hec1 inhibitors in cancer cells with mutant Rb genotypes. Genotyping of Rb and/or related genes may provide a selection guide for the design of clinical studies to select for patients and/or cell lines that are likely to be responsive to Hec1 inhibitor therapy.

10 [0063] While the RB and p53 expression profiles and Hec1 inhibitor GI50s of the drug screened cell lines suggest that a mutated RB or mutated p53 is a potentiating factor in Hec1 inhibitor sensitivity, it is not evident that it is the inherent lack of p53 or a gain-of-function of the mutant p53 that sensitizes cells. For example MDA-MB-361 cells do not express p53 proteins, yet were found to be non-responsive to Hec1

15 inhibitors (i.e. GI50>10uM). Wild type p53 is a tumor suppressor that protects cells against abnormal proliferation. While early studies mistakenly identified cells with mutant p53 forms and misinterpreted p53 as an oncogene, later research showed that vast overproduction of mutant p53 proteins were hallmarks of cancer and were exacerbated with tumor progression. Overexpression of mutant p53 in such cases

20 resulted in highly tumorigenic cells. Similarly, expression of murine equivalents of human hotspot mutant p53 produced tumors with increased genomic instability, accompanied by aneuploidy, aberrant centrosome amplification, and nonreciprocal chromosome translocations. This suggests that mutated p53 forms may themselves have an active role in the inhibitory mechanism of Hec1 inhibitors. Genotyping of p53

25 and/or related genes may provide a selection guide for the design of clinical studies to select for patients and/or cell lines that are likely to be responsive to Hec1 inhibitor therapy.

[0064] As noted above, presence of mutant p53 is associated with tumor progression, a gain-of-function (GOF) effect. Many GOF effects of mutant p53 are associated with its

30 ability to bind and/or inactivate proteins of the p53 family, such as p63 and p73. P73 can replace p53 genome maintenance functions in p53-deficient cells. As noted above,

screening with Hec1 inhibitors to identify sensitive cell lines showed that most sensitive cell lines have mutant p53. This suggests that Hec1 drug induced cell death may occur via one or more p53-independent pathways. It is known that mutant p53 cells have impaired p73/p63-mediated apoptosis. In mutant p53 tumor cells, p73 and p63 are not able to recruit their target genes; the existence of protein complexes of mutant p53, p73 and or p63 can negatively impact the chemosensitivity of cancer cells. The inventors speculate that drug induced cell death in the Hec1 inhibitor context may occur through p73-dependent apoptosis. In this regard, the inventors further speculate that one mechanism in Hec1 inhibitor induced cell death may involve the disruption of one or more interaction(s) between mutant p53 and p73 to promote the activation of p73, leading to p73-dependent apoptosis. Interestingly, treatment of cells with Hec1 inhibitors induced both apoptotic caspase markers and phosphorylation of p73, strongly suggesting activation of a p73-dependent apoptotic pathway. However, the complex functions of p53 may differ in various cellular contexts, and the relationships between Hec1, Hec1 inhibitors, and p53 remain to be fully elucidated. Further compounds, compositions, and experiments are provided in WO 2011/115998, which is incorporated by reference herein.

**[0065]** It should be apparent to those skilled in the art that many more modifications besides those already described are possible without departing from the inventive concepts herein. The inventive subject matter, therefore, is not to be restricted except in the spirit of the appended claims. Moreover, in interpreting both the specification and the claims, all terms should be interpreted in the broadest possible manner consistent with the context. In particular, the terms “comprises” and “comprising” should be interpreted as referring to elements, components, or steps in a non-exclusive manner, indicating that the referenced elements, components, or steps may be present, or utilized, or combined with other elements, components, or steps that are not expressly referenced. Where the specification claims refers to at least one of something selected from the group consisting of A, B, C .... and N, the text should be interpreted as requiring only one element from the group, not A plus N, or B plus N, etc.

30

## CLAIMS

1. A method of determining sensitivity of a neoplastic cell to a Hec1 inhibitor, comprising:

obtaining a patient sample comprising a neoplastic cell or neoplastic cells; forming a detectable complex of Hec1 which can determine expression level of Hec1, and forming a detectable complex of at least one of Rb and p53 which can determine status of at least one of Rb and p53, wherein the Hec1, and the at least one of Rb and p53 are derived from the sample;

using the detectable complexes to determine expression level of Hec1, and to determine status of the at least one of Rb and p53 to obtain a test result; and comparing the test result with a reference result of a non-neoplastic cell that includes an expression level of Hec1, and a status of at least one of Rb and p53 of the non-neoplastic cell to thereby predict sensitivity of the neoplastic cell to the Hec1 inhibitor, wherein an increased expression level of Hec1 relative to the corresponding reference result is indicative of sensitivity of the neoplastic cell to the Hec1 inhibitor, and a mutated or deleted form of the at least one of Rb and p53 relative to the corresponding reference result is indicative of sensitivity of the neoplastic cell to the Hec1 inhibitor;

and wherein the Hec1 inhibitor is selected from the group consisting of:

N-(4-(4-isopropoxy-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (100951),

N-(4-(4-(4-methoxyphenoxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (101001),

2-fluoro-N-(4-(4-(4-methoxyphenoxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (101015),

N-(4-(4-(5-(2-methoxyethoxy)pyrazin-2-yloxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (Hec110091),

N-(4-(4-(5-(2-methoxyethoxy)pyrazin-2-ylthio)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (110095), and

N-(4-(4-(5-(2-(dimethylamino)ethoxy)pyrazin-2-ylthio)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide, and their salt forms.

2. The method of claim 1, wherein the neoplastic cell(s) is cell(s) from breast cancer, lung cancer, colon cancer, or liver cancer.

3. A method of evaluating a patient having a neoplastic disease for potential treatment with a Hec1 inhibitor, comprising:

obtaining a patient sample comprising a neoplastic cell or neoplastic cells; forming a detectable complex of Hec1 and a detectable complex of at least one of Rb and p53; using the detectable complexes to determine expression level of Hec1, and to determine status of the at least one of Rb and p53 to obtain a test result;

comparing the test result with a reference result that includes an expression level of Hec1, and status of at least one of Rb and p53 of a non-neoplastic reference cell to thereby predict sensitivity of the neoplastic cell to the Hec1 inhibitor; and

including the patient into a treatment group that receives treatment with a Hec1 inhibitor if in the sample there is an increased expression level of Hec1 relative to the corresponding reference result, and in the sample there is a mutated or deleted form of the at least one of Rb and p53 relative to the corresponding reference result;

and wherein the Hec1 inhibitor is selected from the group consisting of:

N-(4-(4-isopropoxy-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (100951),

N-(4-(4-(4-methoxyphenoxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (101001),

2-fluoro-N-(4-(4-(4-methoxyphenoxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (101015),

N-(4-(4-(5-(2-methoxyethoxy)pyrazin-2-yloxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (Hec110091),

N-(4-(4-(5-(2-methoxyethoxy)pyrazin-2-ylthio)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (110095), and

N-(4-(4-(5-(2-(dimethylamino)ethoxy)pyrazin-2-ylthio)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide, and their salt forms.

4. The method of claim 3, wherein the neoplastic disease is breast cancer, lung cancer, colon cancer, or liver cancer.
5. The method of claim 1 or claim 2, wherein the step of determining expression level of Hec1 comprises rtPCR or qPCR.
6. The method of claim 1 or claim 2, wherein the step of determining status of the at least one of Rb and p53 comprises determining status of Rb and p53.
7. The method of claim 1 or claim 2, wherein the step of determining comprises quantification of a nucleic acid encoding Hec1, Rb, or p53.
8. The method of claim 1 or claim 2, wherein the step of determining comprises sequencing or hybridization or a combination of both of a nucleic acid encoding Hec1, Rb, or p53.
9. The method of claim 1 or claim 2, wherein the step of determining comprises quantification of Hec1 protein, Rb protein, and p53 protein.
10. A method of evaluating a patient having a neoplastic disease for potential treatment with a Hec1 inhibitor, comprising:
  - determining from a patient sample a molecular type of the neoplastic disease;
  - forming a detectable complex of Hec1 and a detectable complex of at least one of Rb and p53;
  - using the detectable complex to determine for the molecular type expression level of Hec1, and status of at least one of Rb and p53;
  - using the expression level of Hec1, and the status of the at least one of Rb and p53 to thereby provide an evaluation result; and
  - wherein an increased expression level of Hec1 relative to a corresponding reference result and presence of a mutated or deleted form of the at least one of the Rb and the

p53 relative to a corresponding reference result is predictive of suitability of treatment of the neoplastic disease with a Hec1 inhibitor;

and wherein the Hec1 inhibitor is selected from the group consisting of:

N-(4-(4-isopropoxy-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (100951),

N-(4-(4-(4-methoxyphenoxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (101001),

2-fluoro-N-(4-(4-(4-methoxyphenoxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (101015),

N-(4-(4-(5-(2-methoxyethoxy)pyrazin-2-yloxy)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (Hec110091),

N-(4-(4-(5-(2-methoxyethoxy)pyrazin-2-ylthio)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide (110095), and

N-(4-(4-(5-(2-(dimethylamino)ethoxy)pyrazin-2-ylthio)-2,6-dimethylphenyl)thiazol-2-yl)isonicotinamide, and their salt forms.

11. The method of claim 10, wherein the neoplastic disease is breast cancer.

12. The method of claim 10, wherein the neoplastic disease is lung cancer.

13. The method of claim 10, wherein the neoplastic disease is colon cancer.

14. The method of claim 10, wherein the neoplastic disease is liver cancer.