

CANCER IMMUNOTHERAPY BY RADIOFREQUENCY ELECTRICAL MEMBRANE
BREAKDOWN (RF-EMB)

CROSS REFERENCE TO RELATED APPLICATIONS

[001]This patent application claims priority to U.S. Provisional Patent Application No. 61/912,172 filed December 5, 2013 and titled "Cancer Antigen Enhanced Presentation to Antigen Presenting Cells by Radiofrequency Electrical Membrane Breakdown (RF-EMB) as an Adjuvant Mechanism for Immunotherapy," which is here incorporated in its entirety by reference. This patent application also claims priority to U.S. Patent Application No. 14/451,333, filed August 4, 2014 and titled "System And Method For Creating Radio-Frequency Energy Electrical Membrane Breakdown For Tissue Ablation" which is here incorporated in its entirety by reference.

BACKGROUND OF THE INVENTION

[002]1. Field of the Invention

[003]The present invention relates generally to the field of medical ablation of biological tissue for treatment of disease and, more particularly, to the controlled application of radio frequency energy to soft tissue and cancerous tissue in humans and mammals to ablate such tissue through cellular destruction by Electrical Membrane Breakdown.

[004]2. Description of the Background

Cancer is not one single disease but rather a group of diseases with common characteristics that often result in sustained cell proliferation, reduced or delayed cell mortality, cooption of bodily angiogenesis and metabolic processes and evasion of bodily immune response which results in undesirable soft tissue growths called neoplasms or, more commonly, tumors. Removal or destruction of this aberrant tissue is a goal of many cancer treatment methods and modalities.

Surgical tumor excision is one method of accomplishing this goal. Tissue ablation is another, minimally invasive method of destroying undesirable tissue in the body, and has been generally divided into thermal and non-thermal ablation technologies. Thermal ablation encompasses both the addition and removal of heat to destroy undesirable cells. Cryoablation is a well established technique that kills cells by freezing of the extracellular compartment resulting in cell dehydration beginning at -15 C and by intracellular ice formation causing membrane rupture occurring at colder temperatures. Because cryoablative techniques can rupture the cell membrane without denaturing cell proteins under certain conditions, such techniques have the additional ability to stimulate an antitumor immune response in the patient.

[005] Heat based techniques are also well established for ablation of both cancerous and non cancerous tissues and include radio-frequency (RF) thermal, microwave and high intensity focused ultrasound ablation which raise localized tissue temperatures well above the body's normal 37°C. These methods use various techniques to apply energy to the target cells to raise interstitial temperature. For example, RF thermal ablation uses a high frequency electric field to induce vibrations in the cell membrane that are converted to heat by friction. Cell death occurs in as little as 30 second once the cell temperature reaches 50°C and decreases as the temperature rises. At 60°C cell death is instantaneous. If the intracellular temperature rises to between about 60 and 95°C, the mechanisms involved in cell death include cellular desiccation and protein coagulation. When the intracellular temperature reaches 100°C, cellular vaporization occurs as intracellular water boils to steam. In the context of tissue ablation, cell temperatures not exceeding 50°C are not considered clinically significant. Because cellular proteins are denatured by the heat of thermal ablation techniques, they are not available to stimulate a specific immune response as they may be with cryoablation. Both heat based and cryoablation techniques suffer

from the drawback that they have little or no ability to spare normal structures in the treatment zone and so can be contraindicated based on tumor location or lead to complications from collateral injury.

[006]Non thermal ablation techniques include electrochemotherapy and irreversible electroporation which although quite distinct from one another, each rely on the phenomenon of electroporation. With reference to FIG. 1, electroporation refers to the fact that the plasma membrane of a cell exposed to high voltage pulsed electric fields within certain parameters, becomes temporarily permeable due to destabilization of the lipid bilayer and the formation of pores P. The cell plasma membrane consists of a lipid bilayer with a thickness t of approximately 5 nm. With reference to FIG. 2A, the membrane acts as a nonconducting, dielectric barrier forming, in essence, a capacitor. Physiological conditions produce a natural electric potential difference due to charge separation across the membrane between the inside and outside of the cell even in the absence of an applied electric field. This resting transmembrane potential V_m ranges from 40mv for adipose cells to 85mv for skeletal muscle cells and 90mv cardiac muscle cells and can vary by cell size and ion concentration among other things.

[007]With continued reference to FIGS. 2B-2D, exposure of a cell to an externally applied electric field E induces an additional voltage V across the membrane as long as the external field is present. The induced transmembrane voltage is proportional to the strength of the external electric field and the radius of the cell. Formation of transmembrane pores P in the membrane occurs if the cumulative resting and applied transmembrane potential exceeds the threshold voltage which may typically be between 200 mV and 1 V. Poration of the membrane is reversible if the transmembrane potential does not exceed the critical value such that the pore area is small in relation to the total membrane surface. In such reversible electroporation, the cell

membrane recovers after the applied field is removed and the cell remains viable. Above a critical transmembrane potential and with longer exposure times, poration becomes irreversible leading to eventual cell death due an influx of extracellular ions resulting in loss of homeostasis and subsequent apoptosis. Pathology after irreversible electroporation of a cell does not show structural or cellular changes until 24 hours after field exposure except in certain very limited tissue types. However, in all cases the mechanism of cellular destruction and death by IRE is apoptotic which requires considerable time to pass and is not visible pathologically in a time frame to be clinically useful in determining the efficacy of IRE treatment which is an important clinical drawback to the method.

[008]Developed in the early 1990's, electrochemotherapy combines the physical effect of reversible cell membrane poration with administration of chemotherapy drugs such as cisplatin and bleomycin. By temporarily increasing the cell membrane permeability, uptake of non-permeant or poorly permeant chemotherapeutic drugs is greatly enhanced. After the electric field is discontinued, the pores close and the drug molecules are retained inside the target cells without significant damage to the exposed cells. This approach to chemotherapy grew out of earlier research developing electroporation as a technique for transfection of genes and DNA molecules for therapeutic effect. In this context, irreversible electroporation leading to cell death was viewed as a failure in as much as the treated cells did not survive to realize the modification as intended.

[009]Irreversible electroporation (IRE) as an ablation method grew out of the realization that the "failure" to achieve reversible electroporation could be utilized to selectively kill undesired tissue. IRE effectively kills a predictable treatment area without the drawbacks of thermal ablation methods that destroy adjacent vascular and collagen structures. During a typical IRE

treatment, one to three pairs of electrodes are placed in or around the tumor. Electrical pulses carefully chosen to induce an electrical field strength above the critical transmembrane potential are delivered in groups of 10, usually for nine cycles. Each 10-pulse cycle takes about one second, and the electrodes pause briefly before starting the next cycle. As described in U.S. Patent 8,048,067 to Rubinsky, et. al and application number 13/332,133 by Arena, et al. which are incorporated here by reference, the field strength and pulse characteristics are chosen to provide the necessary field strength for IRE but without inducing thermal effects as with RF thermal ablation. However, because cells ablated by IRE methods undergo apoptotic death without membrane rupture their ability to induce a supplemental immune response as observed with cryoablation is impaired. When used as the sole ablative tool in a treatment protocol, IRE's inability to induce a supplemental immune response is a substantial limitation to its therapeutic benefit for patients. On the other hand, cryoablation suffers from the significant clinical disadvantages arising from the extreme cold and its capacity to destroy nearby critical healthy structures. What is needed is a minimally invasive tissue ablation technology that can avoid damaging healthy tissue while exposing cellular contents without denaturing such cellular contents so that they can trigger a clinically useful immune response.

SUMMARY OF THE INVENTION

[010] It is, therefore, an object of the present invention to provide a method of tissue ablation using electrical pulses which causes immediate cell death through the mechanism of complete break down the membrane of the cell.

[011] It is another object of the present invention to provide a method of tissue ablation that causes immediate cell death electrically breaking down the cell membrane such that it can be

monitored by immediate pathologic, chemical or spectroscopic examination of the tissue to evaluate efficacy of the treatment and adjust the same as needed.

[012]It is yet another object of the present invention to provide a method of tissue ablation using electrical pulses that causes immediate cellular membrane breakdown non-thermally so that sensitive tissue structures are spared and the intra-cellular and membrane proteins are spilled into the extracellular space without denaturing to be exposed to the body's immune system in order to illicit a specific tumor immune response.

[013]It is yet another object of the present invention to provide a method of tissue ablation that exposes non-denatured intra-cellular and membrane proteins to the immune system to illicit a specific tumor immune response which can be modulated and enhanced by a variety of additional immune modulators.

[014]According to the present invention, the above described and other objects are accomplished, by applying to undesirable tissue in the body an external electric field specifically configured to directly and completely disintegrate the cell membrane. Referred to as Electrical Membrane Breakdown (EMB), application of an external oscillating electric field causes vibration and flexing of the cell membrane which results in a dramatic and immediate mechanical tearing or rupturing the cell membrane. EMB applies significantly higher energy levels than prior art methods to rupture the cell membrane rather than to electroporate the cell membrane. Unlike prior art methods, EMB expels the entire contents of the cell into the extracellular fluid and exposes internal components of the cell membrane which induces an immunologic response by the subject.

[015]A system for generation of the electric field necessary to induce EMB includes a bipolar pulse generator operatively coupled to a controller for controlling generation and delivery of the

electrical pulses necessary to generate an appropriate electric field. The field is generated by therapeutic probes placed in proximity to the soft tissue or cancerous cells within the body of the subject and the bipolar pulses are shaped, designed and applied to achieve that result in an optimal fashion. A temperature probe may be provided for temperature feedback to the controller which is configured to control the signal output characteristics of the signal generator. The EMB protocol calls for a series of short and intense bi-polar electric to generate an oscillating electric field between the electrodes that induce a similarly rapid and oscillating buildup of transmembrane potential across the cell membrane. The built up charge applies a an oscillating and flexing force to the cellular membrane which upon reaching a critical value causes extensive rupture of the membrane and spillage of the cellular content. In addition to being bi-polar, the electric pulses preferably trace a square wave form and are characterized by instant charge reversal that have substantially no relaxation time between the positive and negative polarities of the bi-polar pulse. Instant charge reversal pulses are significantly more effective in destruction of dielectric cell membranes

[016] Important characteristic of the applied electric field include the field strength (Volts/cm), frequency, polarity, shape, duration, number and spacing. Field strength (Volts/cm) is a function of both the applied voltage and the electrode spacing and is preferably in the range of 1,500 V/cm to 10,000 V/cm absent thermal considerations. RF-EMB ablation is preferably performed by application of a series of not less than 100 electric pulses in a pulse train so as to impart the energy necessary on the target tissue without developing thermal issues in any clinically significant way. The pulse duration is preferably from 100 to 1000 μ s. The relationship between the duration and frequency of each pulse determines the number of instantaneous charge reversals experienced by the cell membrane during each pulse. The duration of each inter pulse

burst interval is determined by the controller 14 based on thermal considerations. Real time temperature feedback of the treatment site may be provided to the controller by which the controller can modulate treatment parameters to eliminate thermal effects as desired. Current flow at the treatment site may also be monitored for this purpose.

[017]The EMB ablation method is carried out by first identifying the location of the soft tissue within the subject to be ablated by medical imaging techniques such as CT or MRI or other means. A preferred position and spacing of the electrodes relative to the target tissue is determined and from 1 to 6 needle electrodes connected to the controller and signal generator are inserted into position in and around the treatment site. Placement and positioning of the electrodes is confirmed by medical imaging and the pulse generator is activated to apply electrical pulses to the electrodes to generate the treatment field thereby causing electrical membrane breakdown of cells in the soft tissue.

[018]Electrical membrane breakdown causes immediate spillage of all intracellular components of the ruptured cells into an extracellular space and exposes the internal constituent parts of the cell and cell membrane including antigens which induce an immunologic response to destroy and remove this and like material in the body of the subject. The immunologic response can be enhanced by administration of agents that increase the immunologic response process including drugs. Electrical membrane breakdown causes immediate, visually observable tissue change, cellular membrane destruction and cell death such that the method may include the biopsy of a portion of the treated target tissue to verify treatment efficacy immediately after completion of the treatment while the patient is still in position for additional treatment. In other embodiments needle probes placed in critical treatment locations could monitor various parameters by means of chemical or spectroscopic means related to the immediate destruction and spillage of the intra-

cellular contents also to verify treatment efficacy. In some situation, the mode of treatment may be switched from EMB to thermal ablation without removal or repositioning of the electrodes by reconfiguring the signal generated by the pulse generator to increase the tissue temperature at the electrodes according to known RF thermal techniques.

BRIEF DESCRIPTION OF THE DRAWINGS

[019]Figure 1 is a diagram of a cell membrane pore.

[020]Figure 2 is a diagram of cell membrane pore formation by a prior art method.

[021]Figure 3 is a comparison of a prior art charge reversal with an instant charge reversal according to the present invention.

[022]Figure 4 is a square wave from instant charge reversal pulse according to the present invention.

[023]Figure 5 is a diagram of the forces imposed on a cell membrane as a function of electric field pulse width according to the present invention.

[024]Figure 6 is a diagram of a prior art failure to deliver prescribed pulses due to excess current.

[025]Figure 7 is a schematic diagram of a feedback loop according to the present invention by which a controller reduces an applied signal voltage to keep the current amperage at or below a maximum.

[026]Figure 8 is a diagram of a reduction in applied signal voltage upon reaching a maximum current level to permit continued signal delivery according to the present invention.

[027]Figure 9 is a schematic diagram of a pulse generation and delivery system for application of the method of the present invention.

[028]Figure 10 is a diagram of the parameters of a partial pulse train according to the present invention.

[029]Figure 11 is a chart of exemplary treatment protocol parameters according to the present invention.

[030]Figure 12 is a diagram of the parameters of exemplary treatment protocol number 1.

[031]Figure 13 is a diagram of the parameters of exemplary treatment protocol number 2.

[032]Figure 14 is a diagram of the parameters of exemplary treatment protocol number 3.

[033]Figure 15 is a diagram of the parameters of exemplary treatment protocol number 4.

DETAILED DESCRIPTION

[034]While the making and using of various embodiments of the present invention are discussed in detail below, it should be appreciated that the present invention provides many applicable inventive concepts that can be embodied in a wide variety of specific contexts. The specific embodiments discussed herein are merely illustrative of specific ways to make and use the invention and do not limit the scope of the invention.

[035]Irreversible electroporation as a tissue ablation method is well developed with commercially manufactured equipment such as the NanoKnife by AngioDynamics (Latham, NY) available on the market. As described, this ablation technique utilizes high electric field strengths, within specific parameters, to induce irreversible electroporation of the cell membrane resulting in eventual cell death due to loss of homeostasis and apoptosis. The present invention also describes methods for ablating cells within the body of a subject utilizing high frequency and high strength electric fields but does so through the entirely different process of Electrical Membrane Breakdown (EMB) using very different energy characteristics. Electrical Membrane Breakdown is the application of an external oscillating electric field to cause vibration and flexing of the cell membrane which results in a dramatic and immediate mechanical tearing, disintegration or rupturing of the cell membrane. Unlike IRE, in which nano-pores are created in

the cell membrane but through which little or no content of the cell is released, EMB completely tears open the cell membrane such that the entire contents of the cell are expelled into the extracellular fluid, and internal components of the cell membrane itself are exposed.

[036]The present invention relies on the interaction of an applied electric field with the transmembrane potential but its similarity to IRE ends there. EMB applies significantly higher energy levels by specifically configured electric field profiles to directly and completely disintegrate the cell membrane rather than to electroporate the cell membrane. Others have demonstrated that the energy levels required for EMB is 100 times greater than for IRE using the same pulse configurations (pulse number and voltage density) delivered by currently available IRE equipment and protocols. The inability of current IRE methods and energy protocols to deliver the energy necessary to cause EMB explains why pathologic examination of IRE treated specimens has never shown the pathologic characteristics of EMB and is a critical reason why EMB had not until now been recognized as an alternative method of cell destruction.

[037]FIG. 9 is a schematic diagram of a system 10 for generation of the electric field necessary to induce EMB of cells 11 within a patient 12. The system 10 includes a bipolar pulse generator 16 operatively coupled to a controller 14 for controlling generation and delivery to the therapeutic probe or probes 20 (two are shown) of the electrical pulses necessary to generate an appropriate electric field to achieve EMB. The therapeutic probes are placed in proximity to the soft tissue or cancerous cells 11 which are intended to be ablated through the process of EMB and the bipolar pulses are shaped, designed and applied to achieve that result in an optimal fashion. A temperature probe 22 may be provided for percutaneous temperature measurement and feedback to the controller of the temperature at or near the electrodes. The controller may preferably include an onboard digital processor and a memory and may be a general purpose

computer system, programmable logic controller or similar digital logic control device. The controller is preferably configured to control the signal output characteristics of the signal generation including the voltage, frequency, shape, polarity and duration of pulses as well as the total number of pulses delivered in a pulse train and the duration of the inter pulse burst interval.

[038]With reference to FIG. 9, the EMB protocol calls for a series of short and intense bi-polar electric pulses delivered from the pulse generator through one or more therapeutic probes 20 (electrodes) inserted directly into, or placed around the target tissue 11. The bi-polar pulses generate an oscillating electric field between the electrodes that induce a similarly rapid and oscillating buildup of transmembrane potential across the cell membrane. The built up charge applies an oscillating and flexing force to the cellular membrane which upon reaching a critical value causes rupture of the membrane and spillage of the cellular content. Bipolar pulses are more lethal than monopolar pulses because the pulsed electric field causes movement of charged molecules in the cell membrane and reversal in the orientation or polarity of the electric field causes a corresponding change in the direction of movement of the charged molecules and of the forces acting on the cell. The added stresses that are placed on the cell membrane by alternating changes in the movement of charged molecules create additional internal and external changes that cause indentations, crevasses, rifts and irregular sudden tears in the cell membrane causing more extensive, diverse and random damage and disintegration of the cell membrane..

[039]With reference to FIG. 4, in addition to being bi-polar, the preferred embodiment of electric pulses is one for which the voltage over time traces a square wave form and is characterized by instant charge reversal pulses (ICR). A square voltage wave form is one that maintains a substantially constant voltage of not less than 80% of peak voltage for the duration of the single polarity portion of the trace, except during the polarity transition. An instant charge reversal

pulse is a pulse that is specifically designed to ensure that substantially no relaxation time is permitted between the positive and negative polarities of the bi-polar pulse. That is, the polarity transition happens virtually instantaneously.

[040]The destruction of dielectric cell membranes through the process of Electrical Membrane Breakdown is significantly more effective if the applied voltage pulse can transition from a positive to a negative polarity without delay in between. Instant charge reversal prevents rearrangement of induced surface charges resulting in a short state of tension and transient mechanical forces in the cells, the effects of which are amplified by large and abrupt force reversals. Alternating stress on the target cell that causes structural fatigue is thought to reduce the critical electric field strength required for EMB. The added structural fatigue inside and along the cell membrane results in or contributes to physical changes in the structure of the cell. These physical changes and defects appear in response to the force applied with the oscillating EMB protocol and approach dielectric membrane breakdown as the membrane position shifts in response to the oscillation, up to the point of total membrane rupture and catastrophic discharge. This can be analogized to fatigue or weakening of a material caused by progressive and localized structural damage that occurs when a material is subjected to cyclic loading, such as for example a metal paper clip that is subjected to repeated bending. The nominal maximum stress values that cause such damage may be much less than the strength of the material under ordinary conditions. The effectiveness of this waveform compared to other pulse waveforms can save up to 1/5 or 1/6 of the total energy requirement.

[041]With reference to FIG. 10, another important characteristic of the applied electric field is the field strength (Volts/cm) which is a function of both the voltage 30 applied to the electrodes by the pulse generator 16 and the electrode spacing. Typical electrode spacing for a bi-polar,

needle type probe might be 1 cm, while spacing between multiple needle probe electrodes can be selected by the surgeon and might typically be from .75 cm to 1.5 cm. A pulse generator for application of the present invention is capable of delivering up to a 10 kV potential. The actual applied field strength will vary over the course of a treatment to control circuit amperage which is the controlling factor in heat generation, and patient safety (preventing large unanticipated current flows as the tissue impedance falls during a treatment). Where voltage and thus field strength is limited by heating concerns the duration of the treatment cycle may be extended to compensate for the diminished charge accumulation. Absent thermal considerations, a preferred field strength for EMB is in the range of 1,500 V/cm to 10,000 V/cm.

[042] With continued reference to FIG. 10, the frequency 31 of the electric signal supplied to the electrodes 20, and thus of the field polarity oscillations of the resulting electric field, influences the total energy imparted on the subject tissue and thus the efficacy of the treatment but are less critical than other characteristics. A preferred signal frequency is from 14.2 kHz to less than 500 kHz. The lower frequency bound imparts the maximum energy per cycle below which no further incremental energy deposition is achieved. With reference to FIG. 5, the upper frequency limit is set based on the observation that above 500 kHz, the polarity oscillations are too short to develop enough motive force on the cell membrane to induce the desired cell membrane distortion and movement. More specifically, at 500 kHz the duration of a single full cycle is 2 μ s of which half is of positive polarity and half negative. When the duration of a single polarity approaches 1 μ s there is insufficient time for charge to accumulate and motive force to develop on the membrane. Consequently, membrane movement is reduced or eliminated and EMB does not occur. In a more preferred embodiment the signal frequency is from 100 kHz to 450 kHz. Here the lower bound is determined by a desire to avoid the need for anesthesia or neuromuscular-blocking

drugs to limit or avoid the muscle contraction stimulating effects of electrical signals applied to the body. The upper bound in this more preferred embodiment is suggested by the frequency of radiofrequency thermal ablation equipment already approved by the FDA, which has been deemed safe for therapeutic use in medical patients

[043] In addition to controlling the pulse amplitude 30, frequency 31, polarity and shape provided by the pulse generator 16, the logic controller 14 controls the number of pulses 32 to be applied in the treatment series or pulse train, the duration of each pulse 32, and the inter pulse burst delay 33. Although only two are depicted in FIG. 10 due to space constraints, RF-EMB ablation is preferably performed by application of a series of not less than 100 electric pulses 32 in a pulse train so as to impart the energy necessary on the target tissue 11 without developing thermal issues in any clinically significant way. The width of each individual pulse 32 is preferably from 100 to 1000 μ s with an inter pulse burst interval 33 during which no voltage is applied in order to facilitate heat dissipation and avoid thermal effects. The relationship between the duration of each pulse 32 and the frequency 31 (period) determines the number of instantaneous charge reversals experienced by the cell membrane during each pulse 32. The duration of each inter pulse burst interval 33 is determined by the controller 14 based on thermal considerations. In an alternate embodiment the system 10 is further provided with a temperature probe 22 inserted proximal to the target tissue 11 to provide a localized temperature reading at the treatment site to the controller 14. The temperature probe 22 may be a separate, needle type probe having a thermocouple tip, or may be integrally formed with or deployed from one or more of the needle electrodes. With temperature feedback in real time, the controller can modulate treatment parameters to eliminate thermal effects as desired by comparing the observed temperature with various temperature set points stored in memory. More specifically, the controller can shorten or

increase the duration of each pulse 32 to maintain a set temperature at the treatment site to, for example, create a heating (high temp) for the needle tract to prevent bleeding or to limit heating (low temp) to prevent any coagulative necrosis. The duration of the inter pulse burst interval can be modulated in the same manner in order to eliminate the need to stop treatment and maximizing the deposition of energy to accomplish RF-EMB. Pulse amplitude 30 and total number of pulses in the pulse train may also be modulated for the same purpose and result.

[044] In yet another embodiment, the controller may monitor or determine current flow through the tissue during treatment for the purpose of avoiding overheating while yet permitting treatment to continue by reducing the applied voltage. Reduction in tissue impedance during treatment due to charge buildup and membrane rupture can cause increased current flow which engenders additional heating at the treatment site. With reference to FIG. 6, prior treatment methods have suffered from a need to cease treatment when the current exceeds a maximum allowable such that treatment goals are not met. As with direct temperature monitoring, the present invention can avoid the need to stop treatment by reducing the applied voltage and thus current through the tissue to control and prevent undesirable clinically significant thermal effects. Modulation of pulse duration and pulse burst interval duration may also be employed by the controller 11 for this purpose as described.

[045] With reference to FIG. 11, four exemplary RF-EMB treatment protocols are detailed. With additional reference to FIG. 12, in protocol 1, a pulse train of 83 pulses 32 each a 10 ms duration is applied at 600 volts to electrodes spaced at 1 cm resulting in a field strength of 600 V/cm between the electrodes. In this example the applied pulses are bipolar with a frequency of 125 kHz with a pulse width of 10 ms, such that the total energy applied over the .83 seconds duration of the pulse train was 10.38mJ. These treatment models and the total energy delivered were

referenced from work describing energy parameters used for membrane breakdown of algae by, Foltz, G., *Algae Lysis With Pulsed Electric Fields*, California State Polytechnic University, San Luis Obispo 2012, downloaded from <http://digitalcommons.calpoly.edu/theses/732/>. Foltz demonstrated this energy requirement using unipolar pulses, without the advantage of instant charge reversal pulses, making this the worst case scenario for energy requirements to produce EMB.

[046]With reference to FIG. 13, in protocol 2 EMB is achieved by a pulse width decreased to 200 μ s and pulse train extended to 2490 pulses in a 10 kV/cm field for a total treatment time of .49 seconds. The total applied energy is again 10.38 mJ. With reference to FIG. 14, in protocol 3 additional pulses above the initially targeted 2490 are added by the controller 11 to compensate for reduction in voltage/field strength during treatment based on feedback from the treatment site. With reference to FIG. 15, in protocol 4 the additional pulses above the initially targeted 2490 are added to compensate for loss of efficiency resulting from the 250 kHz signal as compared to the 125 kHz signal frequency in the previous exemplary protocols.

[047]The method of ablating undesirable soft tissue of the present invention is carried out by first identifying the location of the soft tissue within the subject to be ablated. Tissue identification may be done by known medical imaging techniques such as ultrasound, CT or MRI. The target soft tissue may or may not be a malignancy but rather need only be tissue that is undesirable in its present location for some reason. After identification of the target tissue, the preferred position and spacing of the electrodes relative to target soft tissue is determined based on the location and shape of the tissue to be ablated, the shape and location of adjacent structures, the dielectric constant and the conductivity of the target and surrounding soft tissue. Typically from 1 to 6 needle type probe electrodes are used. The electrodes are introduced into position in and

around the treatment and connected to a controller for controlled delivery of the electric pulses for field generation and treatment. The probe electrodes may include a temperature sensor such as a thermocouple for reading and signaling to the controller the local temperature at or near the electrode. Placement and positioning of the electrodes may preferably be confirmed by medical imaging. The pulse generator is activated by the controller to apply electrical pulses to the electrodes to generate the treatment field as described above thereby causing electrical membrane breakdown of some or all of cells of said soft tissue.

[048]Electrical membrane breakdown, unlike IRE or thermal ablation techniques, causes immediate spillage of all intracellular components of the ruptured cells into an extracellular space and exposes the internal constituent part of the cell membrane to the extracellular space. The intracellular components include cellular antigens and the internal constituent parts of the cell membrane include antigens specific to the cell membrane which induce an immunologic response to destroy and remove this and like material in the body of the subject. Like material may be other material in the body of the subject having the same cellular antigens or cell membrane specific antigens at locations remote from the treatment site including metastatic tissue. However, the human body also has natural defense systems for tumors which prevent destruction and/or removal of the tumor in some cases. One of these operates via an inhibitory signal, which presents itself to the body's cytotoxic T lymphocytes (CTLs), the cells in the body that recognize and destroy cancer cells, and binds to the cytotoxic T lymphocyte-associated antigen 4 (CTLA-4) receptor, turning off the cytotoxic reaction that may otherwise destroy the cancer cell.

[049]Thus, according to another embodiment of the present invention, the immunologic response of RF-EMB is enhanced by administration of drugs that increase the immunologic response

process including drugs which block inhibition of the CTLA-4 inhibitory signal of cytotoxic lymphocytes, or that bind to the S100-A9 protein, which is involved in modulating regulatory myeloid cell functions. An example of the former drug type is Ipilimumab (marketed as Yervoy®). An example of the latter is Tasquinimod. Such drugs can be administered by any means, including without limitation, intravenously, orally or intramuscularly and may further be injected directly into or adjacent to the target soft tissue immediately before or after applying the EMB electric field or a set number of days before or after an RF-EMB treatment, as described in the sample treatment protocols below. Such immunologic response enhancing drug may be comprised also of autologous dendritic cells. For example, Sipuleucel-T (marketed as Provenge®) therapy uses autologous patient dendritic cells activated with prostatic acid phosphatase (PAP) and infused back into the patient's system. Another relevant immunologic drug is pembrolizumab, which works by blocking a protein known as Programmed Death receptor (PD-1), or a related protein known as PD-L1, both of which are used by tumors as a defense to tumor-fighting cells. Yet another relevant immunologic drug is cyclophosphamide, which depresses regulatory T cells and interfere with DNA replication. Many immunologic drugs such as those described herein are effective against one or a small handful of cancer types, but are not effective, in isolation, against all cancer types for which this class of drugs was designed to be used.

[050]Combining RF-EMB treatment with the administration of an immunologic drug such as those described above leaves the target cells' antigens intact and exposed to the external environment, allowing them to react with the patient's immune system, all of which aids the functioning of the immunologic drug. The combination treatment may aid in the treatment of patients with one of two distinct disease pathologies. In a first embodiment, comprising a

method for treating a patient with a primary cancerous tumor and a high likelihood of micrometastatic disease, RF-EMB may be applied to cause direct destruction of the primary tumor preceded or followed by the administration of an immunologic drug regimen designed to interact cooperatively with the intact antigens which have been exposed as a result of the RF-EMB treatment. The immunologic drug chosen may be one that blocks the inhibitory response that may otherwise prevent the patient's body from recognizing and destroying the RF-EMB target cells and others having the same cellular antigens (i.e., micrometastatic growths) as a result of the RF-EMB treatment. In a second embodiment, comprising a method for treating a patient having advanced metastatic disease, RF-EMB treatment may be administered at midpoints of an ongoing treatment plan utilizing an immunologic drug as described above. Under this embodiment, RF-EMB treatments enhance the effectiveness of the immunologic drug by exposing unique cellular antigens to the patient's immune system.

[051] Three sample treatment protocols for the use of RF-EMB in conjunction with the administration of an immunologic drug are now described. In Example 1, 300mg/m² of cyclophosphamide are administered intravenously to the patient on Day 1 of treatment. On Day 3, the patient receives RF-EMB treatment according to one of the four protocols described above with reference to Fig. 11. Beginning two weeks after the RF-EMB treatment and lasting until week 26 following RF-EMB treatment, 25mg of cyclophosphamide is administered to the patient orally for six cycles, each cycle comprising four weeks, wherein the patient receives an oral dose of cyclophosphamide twice daily in cycles of seven days on (wherein the drug is administered), seven days off (wherein no drug is administered). In Example 2, the patient is treated on Day 1 with RF-EMB treatment according to one of the four protocols described above with reference to Fig. 11. Also on Day 1, the patient is given 3mg/kg of ipilimumab intravenously over the course

of 90 minutes. The patient then receives an additional three doses of ipilimumab, 3mg/kg intravenously, each dose separated by a period of three weeks. In Example 3, 300mg/m² of cyclophosphamide are administered intravenously to the patient on Day 1 of treatment. On Day 3 of treatment, the patient receives RF-EMB treatment according to one of the four protocols described above with reference to Fig. 11, with the addition of the injection of autologous dendritic cells directly into the target tumor. Beginning two weeks after the RF-EMB treatment and lasting until week 26 following RF-EMB treatment, 25mg of cyclophosphamide is administered to the patient orally for six cycles, each cycle comprising four weeks, wherein the patient receives an oral dose of cyclophosphamide twice daily in cycles of seven days on (wherein the drug is administered), seven days off (wherein no drug is administered).

[052]Electrical membrane breakdown causes immediate, visually observable tissue change, cellular membrane destruction and cell death. As a result, the method may include the biopsy of a portion of the treated target tissue to verify treatment efficacy immediately after completion of the treatment while the patient is still in position for additional treatment. Additional treatment may be immediately administered based on the biopsy result and visual determination of treatment efficacy.

[053]Alternatively, because the intracellular environment comprises a unique chemical composition, such as high potassium and uric acid concentrations, spillage of the cell contents can now be detected by methods such as placing one or more needle probes into critical locations of the treatment area to measure chemical levels using chemical reagents, electrical impedance or resistance measurements, pH measurements, spectroscopy, or the like. Moreover, a device such as a microneedle sensor, comprising one or more sensors capable of measuring the above qualities integrated into or inserted through the hollow core of a microneedle, may be inserted at

one or more predetermined locations in the treatment area during an RF-EMB procedure to measure cellular spillage via extracellular chemical composition in real time.

[054]According to this method, in a preferred embodiment, a hollow needle having at least one dimension of less than 1 millimeter (known as a microneedle) is outfitted with one or more sensors by inserting the sensor(s) through the hollow center of the needle. The sensor(s) may be one or more of the types described above, including but not limited to a pH sensor, a lactate sensor, a glucose sensor, an electrical impedance sensor, a potassium sensor, and/or a uric acid sensor. Multiple such sensors may be bundled together or a single sensor could be used which measures one or more of the relevant properties. In an alternative embodiment, the sensor may be a spectrometer. Most preferably, one or more sensor-containing microneedles are inserted into the selected treatment area immediately prior to the application of RF-EMB treatment, and remain inserted into the treated tissue for the entire duration of the treatment session. Readings from the sensors may be measured by any means known in the art. Such a method has the added benefit of allowing the treatment provider to observe and quantify the level of target cell destruction, and thereby treatment efficacy, in real time and in vivo. By contrast, prior art, thermal ablation methods or non thermal ablation methods such as IRE lack this capability in that they do not cause a measurable amount of the cellular contents to be spilled into the extracellular area immediately, resulting instead in thermal necrosis or targeted apoptotic cell death which destroys the cell and its contents before any of the cellular contents are exposed for measurement. Thus, prior art ablation methods often required a biopsy of the treated area to determine treatment efficacy, which cannot be completed until the termination of the treatment.

[055]According to this preferred embodiment, treatment parameters and/or location(s) may be monitored and/or adjusted in real time based on the real time measured levels of cellular spillage

during the treatment process. In addition, or alternatively, measurements of the cellular contents as described herein may be taken before, after, or between phases of treatment without the need to subject the patient to a biopsy or other invasive procedure to measure treatment efficacy. Measurement techniques for cellular contents are not limited to those described herein, but may be carried out by any means known in the art of measuring chemical compositions of a targeted treatment area in vivo and/or in real time.

[056] In yet another alternate embodiment of the present invention, with or without intermediate biopsy and visual observation for efficacy, the mode of treatment according to the present invention may be switched from EMB to thermal ablation without removal or repositioning of the electrodes. A switch to thermal ablation may be desirable to control bleeding at the tissue site or for direct destruction of undesirable tissue in concert with the RF-EMB. The switch may occur within a single pulse train by operation of the controller, or may be accomplished by a second or additional pulse train directed to RF thermal ablation only. The switch is accomplished by reconfiguring the signal generated by the pulse generator to increase the tissue temperature at the electrodes according to known RF thermal techniques.

[057] Having now fully set forth the preferred embodiment and certain modifications of the concept underlying the present invention, various other embodiments as well as certain variations and modifications of the embodiments herein shown and described will obviously occur to those skilled in the art upon becoming familiar with said underlying concept. It is to be understood, therefore, that the invention may be practiced otherwise than as specifically set forth in the appended claims.

INDUSTRIAL APPLICABILITY

[058]Studies estimate that cancer kills approximately 20,000 people worldwide per day. Many casualties could be avoided and the quality of life could be improved for many patients with more effective, minimally invasive methods treatment of cancerous tumors and other conditions resulting in unwanted soft tissue. Minimally invasive treatments capable of assisting a patient's own immune system in attacking and removing unwanted or cancerous tissue within the patient's body would further aid in saving lives and improving patient quality of life. What is needed is a minimally invasive method of removal of unwanted soft tissue, such as cancerous tumors. The present invention is an innovative method of ablating unwanted soft tissue within a patient's body that has applicability to many types of cancerous as well as non-cancerous tissue, that significantly improves effectiveness of performing such a procedure, and that further provides a means to directly measure the efficacy of such procedures in vivo and simultaneous with treatment.

Claims

We claim:

The invention claimed is:

1. A method of ablating undesirable soft tissue in a living subject, comprising the steps of:
identifying a location of said soft tissue within said subject;
determining a position of at least one electrode relative to said soft tissue;
introducing said at least one electrode to said position within said subject, said electrode electrically connected to a controller for controlling the delivery of electric pulses to said electrode, said controller comprising an electric pulse generator;
applying to said soft tissue an electric field sufficient to cause electrical membrane breakdown of a cell membrane of a plurality of cells of said soft tissue to cause immediate spillage of all intracellular components into an extracellular space and exposure of an internal constituent part of said cell membrane to said extracellular space, said electric field applied to said soft tissue by delivering from said pulse generator to said at least one electrode at least one bi-polar electric pulse configured to cause said electrical membrane breakdown.
2. The method of claim 1 wherein a voltage of said at least one bi-polar electric pulse is from 0.5kV to 10 kV and a frequency of said electric field is from 14.2 kHz to less than 500 kHz.
3. The method of claim 2 wherein said frequency of said electric field is from 100 kHz to 450 kHz.
4. The method of claim 2 wherein said voltage over time of said bi-polar electric pulse traces a square waveform for a positive and negative component of a polarity oscillation.
5. The method of claim 2 wherein said voltage of said bi-polar electric pulse is characterized by an instant charge reversal, between the positive and negative charge of each cycle.

6. The method of claim 1 wherein the duration of said at least one bi-polar electric pulse is from 100-1000 μ s.
7. The method of claim 1, wherein said determining step further comprises the steps of estimating or measuring the dielectric constant and the conductivity of said soft tissue.
8. The method of claim 1 wherein said at least one bi-polar electric pulse is a series of not less than 100 bipolar pulses, each said bipolar pulse in said series separated by an inter pulse burst interval during which no voltage is applied to said at least one electrode.
9. The method of claim 8, further comprising the step of configuring said series of electric pulses delivered to said at least one electrode from said pulse generator to apply an electric field that causes no clinically significant thermal damage to said soft tissue.
10. The method of claim 9, wherein said series of electric pulses is configured to apply a field that causes a temperature of said soft tissue to rise to not more than 50 degrees Celsius.
11. The method of claim 8, further comprising the step of delivering from said pulse generator to said at least one electrode a second series of electric pulses delivered so as to apply to said soft tissue a second electric field.
12. The method of claim 11, further comprising the step of configuring said second series of electric pulses to apply said second electric field to cause clinically significant thermal damage to said soft tissue.
13. The method of claim 8, further comprising the steps of delivering from said pulse generator to said at least one electrode a second series of electric pulses configured to cause a temperature of at least a portion of said tissue to exceed 50 degrees Celsius.
14. The method of claim 8,

wherein a tissue change, cellular membrane destruction and cell death are visually observable in a sample of said undesirable soft tissue taken immediately after applying said electric field to said soft tissue; and further comprising the steps of, after applying said electric field to said soft tissue by delivering said series of electric pulses, immediately biopsying a portion of said soft tissue to determine an efficacy of said ablation; if said efficacy exceeds a pre-determined threshold, ceasing said ablation of undesirable soft tissue; and if said efficacy does not exceed said pre-determined threshold, delivering from said pulse generator to said at least one electrode a second at least one bi-polar electric pulse so as to apply to said soft tissue a second electric field.

15. The method of claim 14 wherein said second at least one bi-polar electric pulse is a second series of not less than 100 electric pulses configured so that said second electric field is sufficient to cause electrical membrane breakdown of said cell membrane of a plurality of cells of said soft tissue.

16. The method of claim 14 wherein said second at least one bi-polar electric pulse is configured so that said second electric field causes a temperature of at least a portion of said tissue to exceed 50 degrees Celsius.

17. The method of claim 14 wherein said second electric field causes a temperature of at least a portion of said tissue to exceed 60 degrees Celsius but not to exceed 95 degrees Celsius.

18. The method of claim 1, further comprising the step of introducing a temperature probe proximal to said at least one electrode, said probe operatively connected to said controller and reporting a temperature reading to said controller, said controller controlling varying at least one character of said at least one bi-polar electric pulse in response to said reported temperature.

19. The method of claim 18, wherein said temperature probe is a thermocouple.

20. The method of claim 18, wherein said temperature probe is integral to said at least one electrode and introduced therewith.

21. The method of claim 18, wherein said at least one bi-polar electric pulse is a series of not less than 100 bipolar pulses separated by an inter pulse burst interval during which no voltage is applied to said at least one electrode; and further comprising the steps of

storing in a memory of said controller at least one temperature set-point; and

altering by said controller for at least a portion of said series at least one of a pulse duration, said inter pulse burst interval and a total number of pulses in said series in response to said temperature reading reported to said controller from said probe exceeding said set point.

22. The method of claim 21, further comprising the step of initiating said altering step in response to said temperature reported by said probe falling below said set-point.

23. The method of claim 21, wherein said altering step comprises reducing said pulse duration.

24. The method of claim 22, wherein said altering step comprises increasing said inter pulse burst interval.

25. The method of claim 23, wherein said altering step comprises reducing the number of pulses in said series.

26. The method of claim 1, further comprising the steps of

storing in a memory of a controller at least one maximum current set-point, said controller controlling said delivery of at least one bi-polar electric pulse;

determining by said controller a current through said at least one electrode; and

reducing said voltage of said at least one bi-polar electric pulse delivered to said at least one electrode when said current equals said maximum current set-point whereby said current is prevented from exceeding said set point.

27. The method of claim 1 wherein said intracellular components and internal constituent part of said cell membrane are left in said extracellular space of said subject wherein an immunologic process of said subject is activated to remove said intracellular components and internal constituent part of said cell membrane from said extracellular space.

28. The method of claim 27 wherein said intracellular components comprises a cellular antigen and said internal constituent part of said cell membrane further comprises a cell membrane specific antigen; and

wherein said immunologic process further comprises removal of undesirable soft tissue at a second location in said living subject having one or more of said cellular antigen and said cell membrane specific antigen, said undesirable soft tissue at a second location in said living subject not having undergone said method of ablating undesirable soft tissue.

29. The method of claim 27 wherein said intracellular components comprises a cellular antigen and said internal constituent part of said cell membrane further comprises an antigen specific to said cell membrane; and

further comprising the step of administering to said subject an immunologic response enhancing drug to increase said immunologic process of said subject is activated to remove said intracellular components and internal constituent part of said cell membrane from said extracellular space.

30. The method of claim 29 wherein said immunologic response enhancing drug blocks inhibition of the CTLA-4 inhibitory signal of cytotoxic lymphocytes.

31. The method of claim 29 wherein said immunologic response enhancing drug is administered by one of intravenously, orally and intramuscularly.

32. The method of claim 29 wherein said immunologic response enhancing drug is injected directly into or adjacent to undesirable soft tissue before or after said step of applying to said soft tissue an electric field.

33. The method of claim 29 wherein said immunologic response enhancing drug is comprised of autologous dendritic cells.

34. The method of claim 29 wherein said immunologic response enhancing drug binds to S100A9 and modulating regulatory myeloid cell functions.

35. The method of claim 29 wherein said immunologic response enhancing drug is administered by one of intravenously, orally and intramuscularly.

36. The method of claim 29 wherein said immunologic response enhancing drug is injected directly into or adjacent to undesirable soft tissue before or after said step of applying to said soft tissue an electric field.

37. The method of claim 36 wherein said immunologic response enhancing drug is comprised of autologous dendritic cells.

38. The method of claim 1, further comprising the steps of:

- inserting one or more sensors into said soft tissue within said subject;
- obtaining a plurality of measurements from said sensors simultaneously with said step of applying to said soft tissue an electric field sufficient to cause electrical membrane breakdown of a cell membrane of a plurality of cells; and
- determining, based on said measurements, a treatment efficacy of said method of ablating undesirable soft tissue in a living subject.

39. The method of claim 38, wherein at least one of said one or more sensors is chosen from the group comprising a pH sensor, a lactate sensor, a glucose sensor, an electrical impedance sensor, a potassium sensor, a uric acid sensor, and a spectrometer.

40. The method of claim 38, further comprising altering one or more parameters of said electric field based on said treatment efficacy.

41. A method of ablating undesirable soft tissue in a living subject, comprising the steps of:

identifying a location of said soft tissue within said subject;

determining based on a tissue type of said soft tissue a minimum energy profile necessary to be applied to a cell of said soft tissue to cause cell membrane rupture by electrical membrane breakdown;

determining a position of at least one electrode relative to said cell mass;

introducing said at least one electrode to said position within said subject, said electrode electrically connected to a controller for controlling the delivery of electric pulses to said electrode, said controller comprising an electric pulse generator;

determining based on said minimum energy profile and said position of said at least one electrode an electric field strength necessary to apply to said cell mass said minimum energy profile;

determining based on said electric field strength a bi-polar electric pulse train profile having not less than 100 pulses, said pulse train profile characterized by a pulse number, pulse duration and inter pulse burst intervals, said pulses each having a frequency and a voltage, said voltage characterized by an instantaneous reversal of polarity;

delivering from said pulse generator to said at least one electrode by said controller a series of electric pulses according to said electric pulse train profile whereby a pulsed electric field is generated, said field applying sufficient energy to a plurality of said cells of said soft tissue to cause cell death by electrical membrane breakdown.

42. The method of claim 29 wherein said immunologic response enhancing drug blocks a protein selected from the group comprising PD-1 and PD-L1.

43. A method of increasing an immunologic response in a living subject, comprising the steps of:

identifying a location of undesirable soft tissue within said subject;

performing a non-thermal ablation treatment on said undesirable soft tissue; and

administering an immunologic response enhancing drug to said subject.

44. The method of claim 43, wherein said step of performing a non-thermal ablation treatment comprises

determining a position of at least one electrode relative to said undesirable soft tissue;
introducing said at least one electrode to said position within said subject, said electrode electrically connected to a controller for controlling the delivery of electric pulses to said electrode, said controller comprising an electric pulse generator; and
applying to said soft tissue an electric field sufficient to cause electrical membrane breakdown of a cell membrane of a plurality of cells of said soft tissue to cause immediate spillage of all intracellular components into an extracellular space and exposure of an internal constituent part of said cell membrane to said extracellular space, said electric field applied to said soft tissue by delivering from said pulse generator to said at least one electrode at least one bi-polar electric pulse configured to cause said electrical membrane breakdown.

45. The method of claim 43, wherein said immunologic response enhancing drug blocks a protein selected from the group comprising PD-1 and PD-L1.

46. The method of claim 43, wherein said immunologic response enhancing drug blocks inhibition of the CTLA-4 inhibitory signal of cytotoxic lymphocytes.