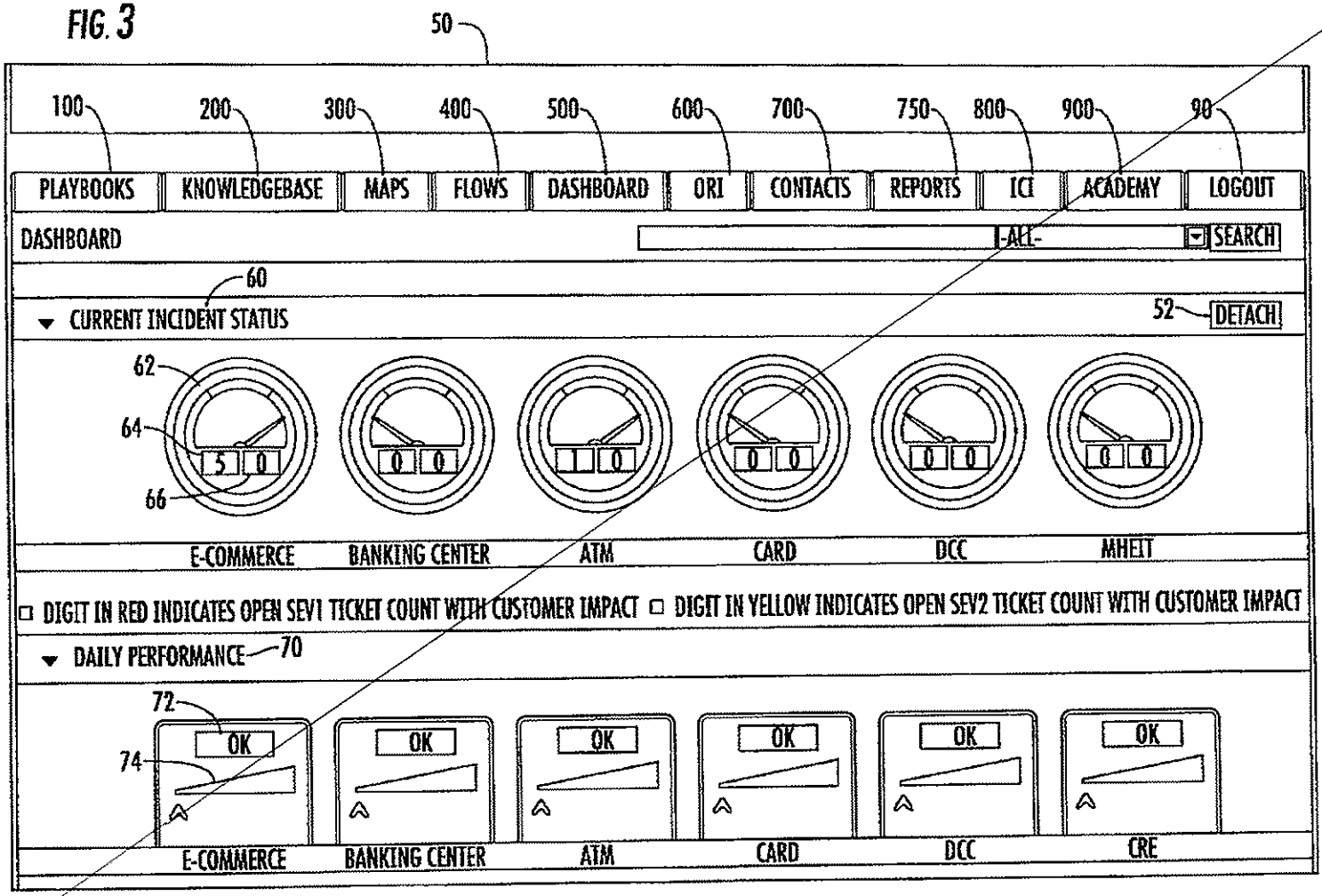
FIG. 3


50
100
200
300
400
500
600
700
750
800
900
90
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LOGOUT
DASHBOARD
-ALL-
SEARCH
60
CURRENT INCIDENT STATUS
52
DETACH
62
64
66
5
0
0
0
1
0
0
0
0
0
0
0
0
E-COMMERCE
BANKING CENTER
ATM
CARD
DCC
MHEIT
DIGIT IN RED INDICATES OPEN SEV1 TICKET COUNT WITH CUSTOMER IMPACT
DIGIT IN YELLOW INDICATES OPEN SEV2 TICKET COUNT WITH CUSTOMER IMPACT
DAILY PERFORMANCE
70
72
74
OK
OK
OK
OK
OK
OK
E-COMMERCE
BANKING CENTER
ATM
CARD
DCC
CRE

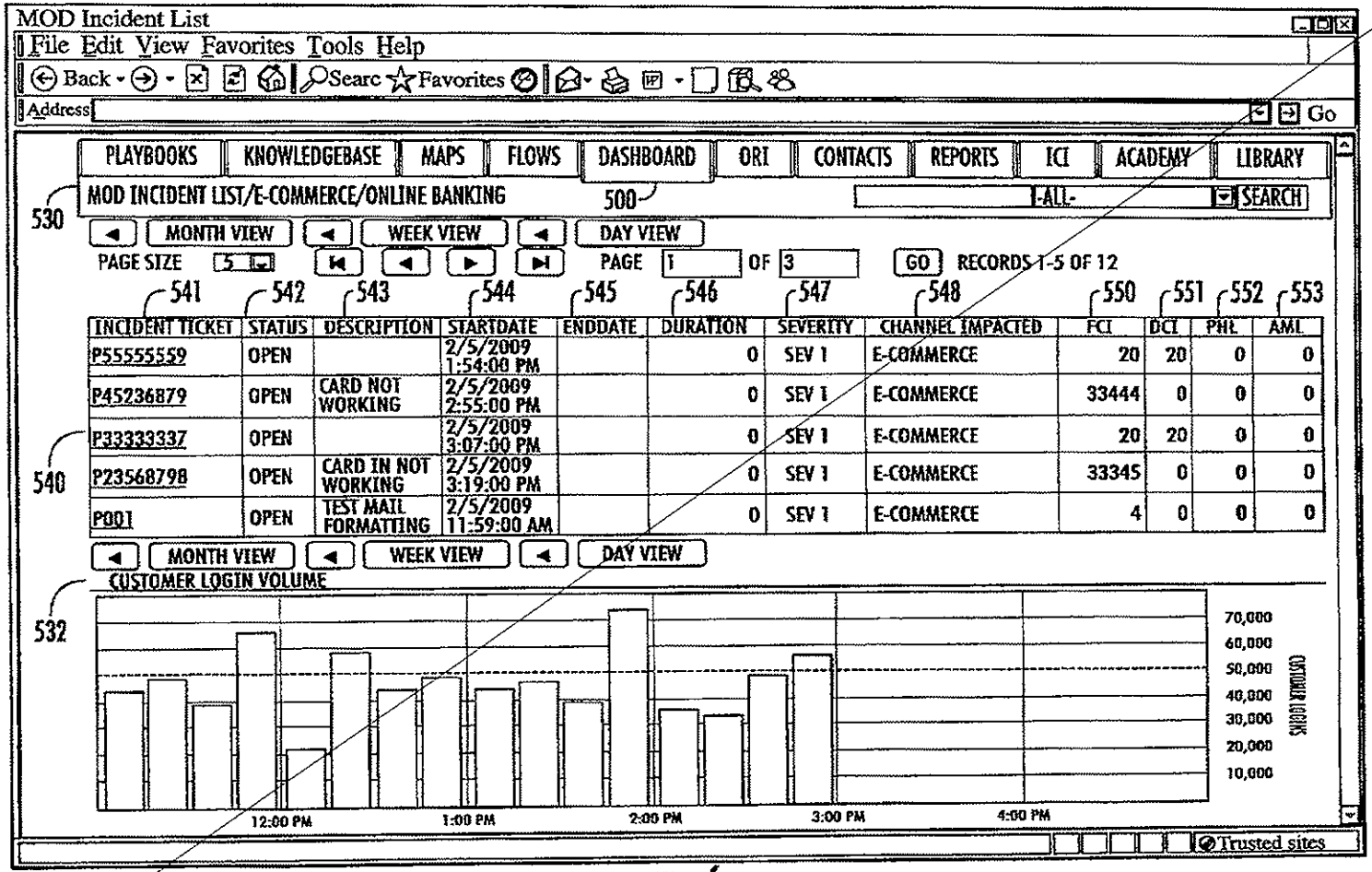

MOD Incident List
File Edit View Favorites Tools Help
Back
Favorites
Address
Go
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LIBRARY
530
MOD INCIDENT LIST/E-COMMERCE/ONLINE BANKING
500
-ALL-
SEARCH
MONTH VIEW
WEEK VIEW
DAY VIEW
PAGE SIZE 5
PAGE 1 OF 3
GO
RECORDS 1-5 OF 12
541
542
543
544
545
546
547
548
550
551
552
553
INCIDENT TICKET
STATUS
DESCRIPTION
STARTDATE
ENDDATE
DURATION
SEVERITY
CHANNEL IMPACTED
FCI
DCI
PHI
AML
P55555559
OPEN
2/5/2009 1:54:00 PM
0
SEV 1
E-COMMERCE
20
20
0
0
P45236879
OPEN
CARD NOT WORKING
2/5/2009 2:55:00 PM
0
SEV 1
E-COMMERCE
33444
0
0
0
P33333337
OPEN
2/5/2009 3:07:00 PM
0
SEV 1
E-COMMERCE
20
20
0
0
540
P23568798
OPEN
CARD IN NOT WORKING
2/5/2009 3:19:00 PM
0
SEV 1
E-COMMERCE
33345
0
0
0
P001
OPEN
TEST MAIL FORMATTING
2/5/2009 11:59:00 AM
0
SEV 1
E-COMMERCE
4
0
0
0
MONTH VIEW
WEEK VIEW
DAY VIEW
CUSTOMER LOGIN VOLUME
532
70,000
60,000
50,000
40,000
30,000
20,000
10,000
CUSTOMER LOGINS
12:00 PM
1:00 PM
2:00 PM
3:00 PM
4:00 PM
Trusted sites

FIG. 6

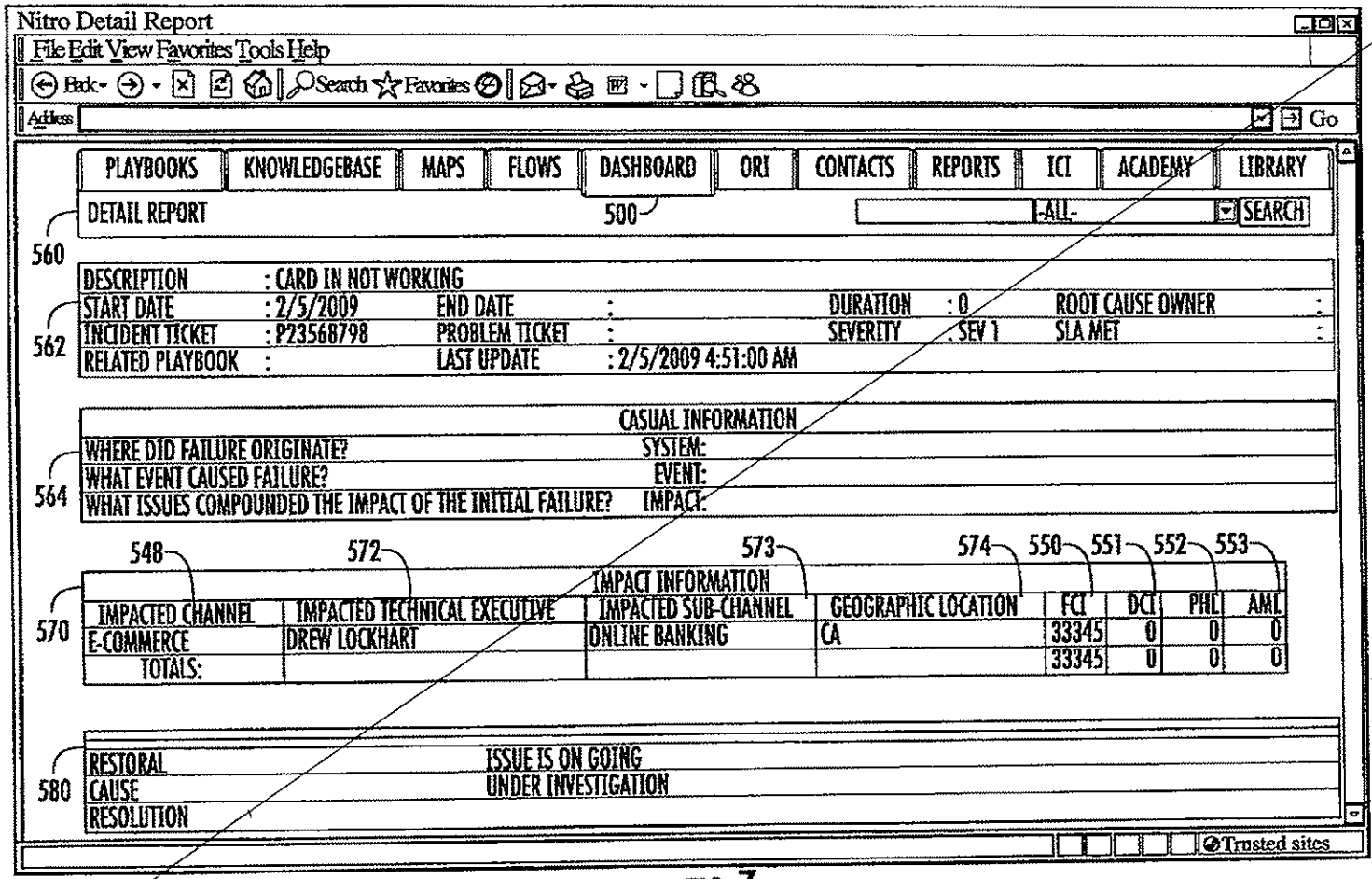

Nitro Detail Report
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LIBRARY
DETAIL REPORT
500
-ALL-
SEARCH
560
DESCRIPTION : CARD IN NOT WORKING
START DATE : 2/5/2009
END DATE :
DURATION : 0
ROOT CAUSE OWNER :
INCIDENT TICKET : P23568798
PROBLEM TICKET :
SEVERITY : SEV 1
SLA MET :
562
RELATED PLAYBOOK :
LAST UPDATE : 2/5/2009 4:51:00 AM
CASUAL INFORMATION
564
WHERE DID FAILURE ORIGINATE?
SYSTEM:
WHAT EVENT CAUSED FAILURE?
EVENT:
WHAT ISSUES COMPOUNDED THE IMPACT OF THE INITIAL FAILURE?
IMPACT:
548
572
573
574
550
551
552
553
IMPACT INFORMATION
570
IMPACTED CHANNEL
IMPACTED TECHNICAL EXECUTIVE
IMPACTED SUB-CHANNEL
GEOGRAPHIC LOCATION
FCI
DCI
PHL
AML
E-COMMERCE
DREW LOCKHART
ONLINE BANKING
CA
33345
0
0
0
TOTALS:
33345
0
0
0
580
RESTORAL
ISSUE IS ON GOING
CAUSE
UNDER INVESTIGATION
RESOLUTION
Trusted sites

FIG. 7

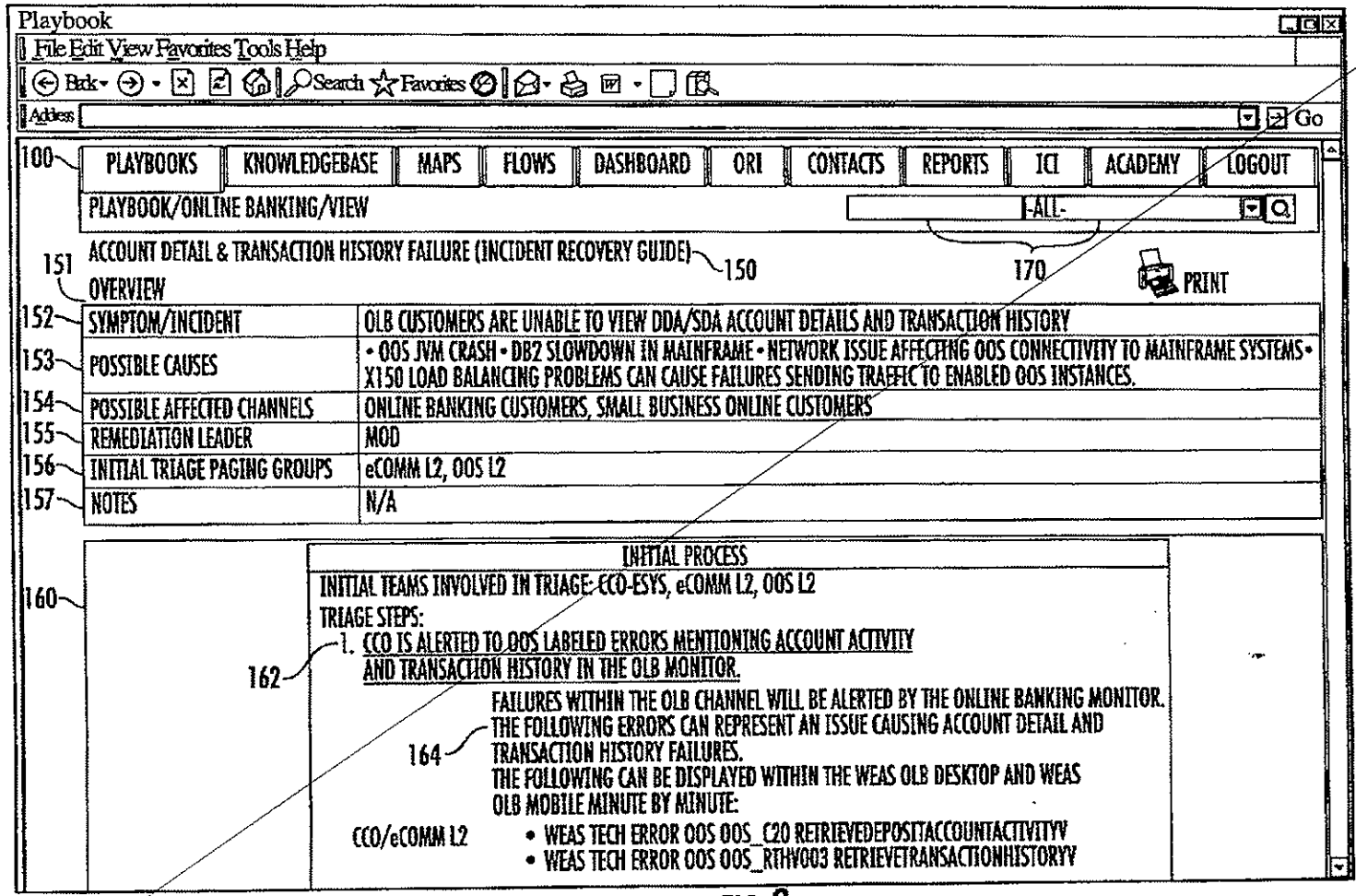

Playbook
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
100
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LOGOUT
PLAYBOOK/ONLINE BANKING/VIEW
-ALL-
170
ACCOUNT DETAIL & TRANSACTION HISTORY FAILURE (INCIDENT RECOVERY GUIDE)
150
PRINT
151
OVERVIEW
152
SYMPTOM/INCIDENT
OLB CUSTOMERS ARE UNABLE TO VIEW DDA/SDA ACCOUNT DETAILS AND TRANSACTION HISTORY
153
POSSIBLE CAUSES
• OOS JVM CRASH • DB2 SLOWDOWN IN MAINFRAME • NETWORK ISSUE AFFECTING OOS CONNECTIVITY TO MAINFRAME SYSTEMS • X150 LOAD BALANCING PROBLEMS CAN CAUSE FAILURES SENDING TRAFFIC TO ENABLED OOS INSTANCES.
154
POSSIBLE AFFECTED CHANNELS
ONLINE BANKING CUSTOMERS, SMALL BUSINESS ONLINE CUSTOMERS
155
REMEDIATION LEADER
MOD
156
INITIAL TRIAGE PAGING GROUPS
eCOMM L2, OOS L2
157
NOTES
N/A
160
INITIAL PROCESS
INITIAL TEAMS INVOLVED IN TRIAGE: CCO-ESYS, eCOMM L2, OOS L2
TRIAGE STEPS:
162
1. CCO IS ALERTED TO OOS LABELED ERRORS MENTIONING ACCOUNT ACTIVITY AND TRANSACTION HISTORY IN THE OLB MONITOR.
164
FAILURES WITHIN THE OLB CHANNEL WILL BE ALERTED BY THE ONLINE BANKING MONITOR. THE FOLLOWING ERRORS CAN REPRESENT AN ISSUE CAUSING ACCOUNT DETAIL AND TRANSACTION HISTORY FAILURES.
THE FOLLOWING CAN BE DISPLAYED WITHIN THE WEAS OLB DESKTOP AND WEAS OLB MOBILE MINUTE BY MINUTE:
CCO/eCOMM L2
• WEAS TECH ERROR OOS OOS_C20 RETRIEVEDEPOSITACCOUNTACTIVITYV
• WEAS TECH ERROR OOS OOS_RTHV003 RETRIEVETRANSACTIONHISTORYV

FIG. 8

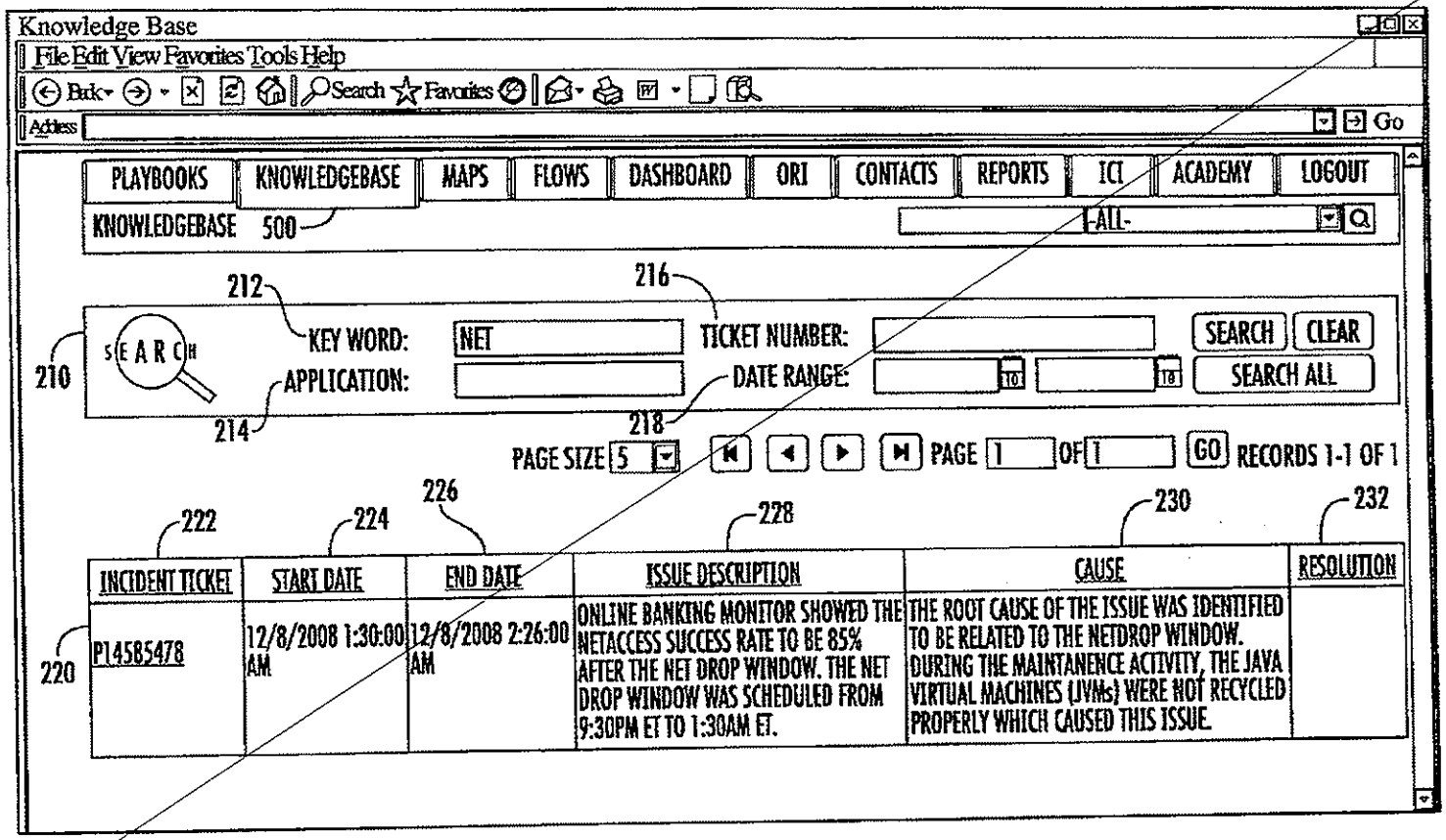

Knowledge Base
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LOGOUT
KNOWLEDGEBASE
500
-ALL-
212
216
210
SEARCH
KEY WORD:
NET
TICKET NUMBER:
SEARCH
CLEAR
APPLICATION:
DATE RANGE:
SEARCH ALL
214
218
PAGE SIZE 5
PAGE 1 OF 1
GO
RECORDS 1-1 OF 1
222
224
226
228
230
232
INCIDENT TICKET
START DATE
END DATE
ISSUE DESCRIPTION
CAUSE
RESOLUTION
220
P14585478
12/8/2008 1:30:00 AM
12/8/2008 2:26:00 AM
ONLINE BANKING MONITOR SHOWED THE NETACCESS SUCCESS RATE TO BE 85% AFTER THE NET DROP WINDOW. THE NET DROP WINDOW WAS SCHEDULED FROM 9:30PM ET TO 1:30AM ET.
THE ROOT CAUSE OF THE ISSUE WAS IDENTIFIED TO BE RELATED TO THE NETDROP WINDOW. DURING THE MAINTANENCE ACTIVITY, THE JAVA VIRTUAL MACHINES (JVMs) WERE NOT RECYCLED PROPERLY WHICH CAUSED THIS ISSUE.

FIG. 9

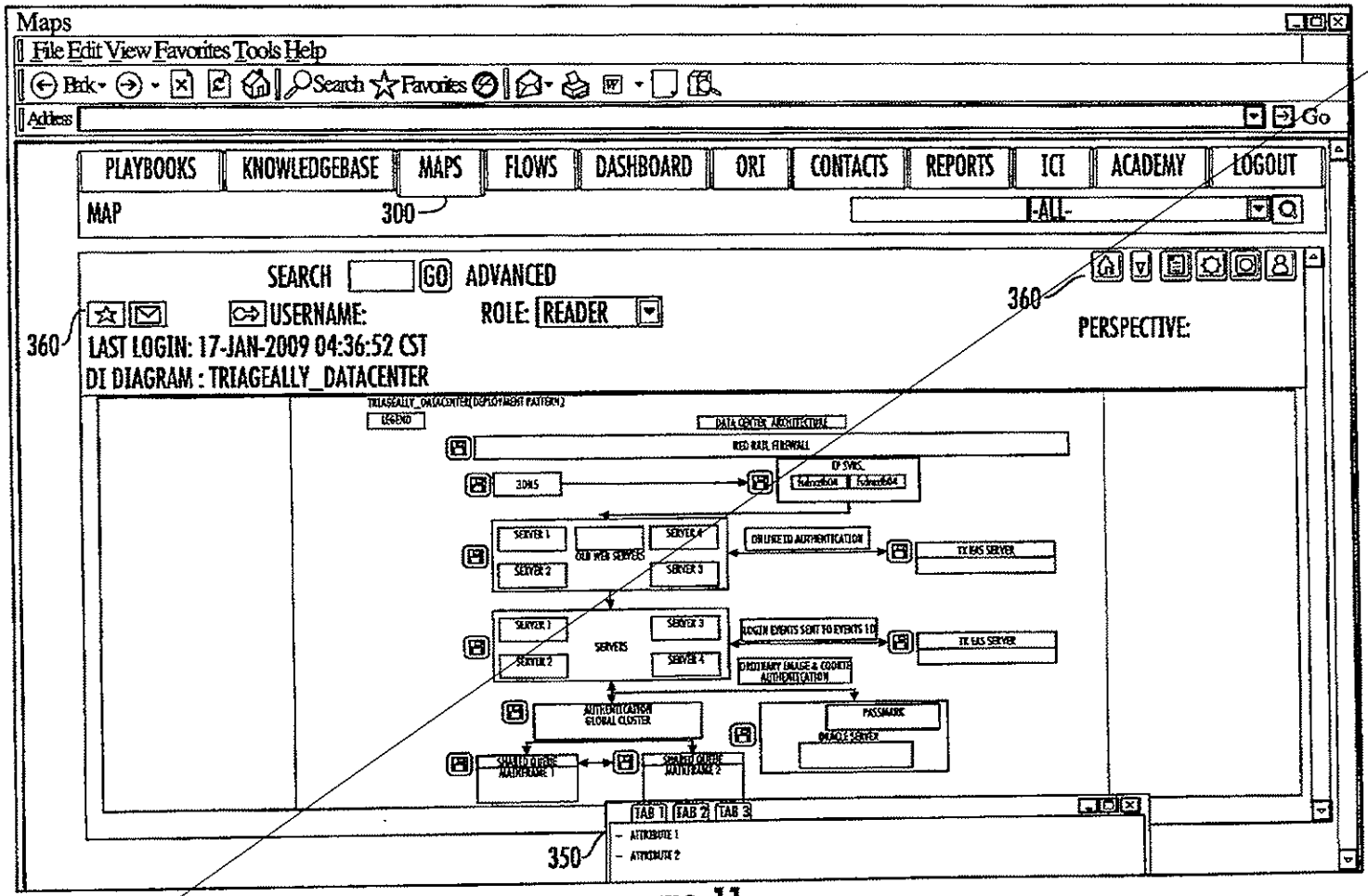

Maps
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LOGOUT
MAP
300
-ALL-
SEARCH
GO
ADVANCED
360
USERNAME:
ROLE: READER
360
PERSPECTIVE:
LAST LOGIN: 17-JAN-2009 04:36:52 CST
DI DIAGRAM : TRIAGEALLY_DATACENTER
TAB 1
TAB 2
TAB 3
ATTRIBUTE 1
ATTRIBUTE 2
350

FIG. 11

FIG. 14b

TRIAGE ALLY - OPERATIONAL RELIABILITY INDEX QUESTIONNAIRE

CATEGORY (622)	FCI PREDICTABILITY FACTORS (624)	ANSWER (626)	SUB CATEGORY WEIGHTING (628)	SOURCE (630)	FORMAT (632)	SCALE (634)	CATEGORY WEIGHTING (636)					
							E-COMM	BCT	DCE	CARD	CRE	ATM
							25%	20%	25%	25%	30%	10%
CHANGE MANAGEMENT 611	ARE THE PROCEDURES FOR INITIATING, APPROVING, VERIFYING AND SCHEDULING CHANGES ALWAYS ADHERED TO?		30%	APPLICATION MANAGER	YES/NO							
	IS THERE A CLEAR DISTINCTION BETWEEN A CHANGE REQUEST (e.g. UPGRADE APPLICATION, CHANGE ROUTER CONFIGURATION, UPDATE FIREWALL POLICIES, ETC) AND A SERVICE REQUEST (e.g. RESETTING A PASSWORD)?		15%	APPLICATION MANAGER	YES/NO	1(LOWEST)-10						
	ARE THERE REGULAR REVIEW (ex. AT LEAST QUARTERLY) ON PERFORMANCE OF CHANGES IMPLEMENTED AGAINST DOCUMENTED KEY PERFORMANCE INDICATORS (KPI's) FOR THIS APPLICATION?		15%	APPLICATION MANAGER	YES/NO							
	ARE MULTIPLE RELATED CHANGES GROUPED AND THEN PROPERLY SCHEDULED AND COMMUNICATED TO MINIMIZE THE IMPACT TO THE BUSINESS USERS INCLUDING LAST MINUTE SCHEDULE CHANGES (FOR EXAMPLE, UNFORSEEN DELAYS DURING IMPLEMENTATION)?		40%	APPLICATION MANAGER	YES/NO							
AVAILABILITY MANAGEMENT 612							15%	20%	15%	15%	10%	20%
	ARE THERE ANY SINGLE POINTS OF FAILURE (IF NOT KNOWN, PLEASE ANSWER YES)?		30%	APPLICATION MANAGER	YES/NO (NO =30%)	NO = 50%						
	IF YES, CRITICALITY TO ENV OF SPOF?			APPLICATION	1 - 5	YES = 5						
	ARE AVAILABILITY IMPACT INFORMATION (INCLUDING THE DETAIL OF THE IMPACT OF PROPOSED CHANGES) COMMUNICATED TO THE CHANGE MANAGEMENT PROCESS AREA?		10%	APPLICATION MANAGER								
	IS THERE A REGULAR (ex. AT LEAST EVERY 6-MONTHS) REVIEW OF CURRENT INFRASTRUCTURE AGAINST REQUIRED AVAILABILITY 1. WITH A VIEW TO IDENTIFY SPOF? 2. WITH A VIEW TO OPTIMIZING EQUIPMENT (LOWERING COST)?		15%	APPLICATION MANAGER								
	DO YOU HAVE PROCEDURES FOR MONITORING, ANALYZING, AND FORECASTING SERVICE AVAILABILITY?		5%	APPLICATION MANAGER								
	ARE THERE AUDIT PROCEDURES IN PLACE TO VALIDATE THE ONGOING ACCURACY AND APPROPRIATENESS OF THE MONITORING AND FORECASTING PROCEDURES?		15%									
	DO YOU HAVE DEFINED TARGETS FOR THE AVAILABILITY, RELIABILITY AND MAINTAINABILITY OF IT INFRASTRUCTURE COMPONENTS (INCLUDING 3RD PARTY VENDORS) RELIED UPON BY THE APPLICATION?		10%	APPLICATION MANAGER								
	DO YOU CARRY OUT MONITORING AND TREND ANALYSIS OF THE AVAILABILITY, RELIABILITY AND MAINTAINABILITY OF IT INFRASTRUCTURE COMPONENTS (INCLUDING 3RD PARTY VENDORS) TO HELP IDENTIFY POTENTIAL FUTURE BOTTLENECKS?		15%	APPLICATION MANAGER								

FIG. 14c

TRIAGE ALLY - OPERATIONAL RELIABILITY INDEX QUESTIONNAIRE

CATEGORY (622)	FCI PREDICTABILITY FACTORS (624)	ANSWER (626)	SUB CATEGORY WEIGHTENG (628)	SOURCE (630)	FORMAT (632)	SCALE (634)	CATEGORY WEIGHTING (636)					
							E-COMM.	BCT	DCC	CARD	MHEFT	ATM
							10%	15%	20%	20%	10%	20%
SERVICE CONTINUITY MANAGEMENT 613	BUSINESS CONTINUITY PLAN IN STANDARD FORMAT?		30%	AIT	YES/NO	NO=0%						
	DATE OF LAST TEST		1-2+YEARS	AIT	>1 YR 0%	<1 YR 30%						
	HAVE ALL OUTSTANDING ISSUES IDENTIFIED AS A RESULT OF TESTING BEEN RESOLVED OR IS AN APPROVED BUSINESS CONTINUITY REMEDIATION PLAN IN PLACE?		15%	AIT	YES/NO							
	IS THERE A DOCUMENTED AND KNOWN RECOVERY PLAN IN PLACE FOR EACH SERVICE AREA, IN THE EVENT OF AN UNFORESEEN ISSUE?		5%	AIT								
	ARE THERE REGULAR BACKUPS OF CRITICAL DATA TAKEN AND STORED SECURELY?		30%									
	ARE CRITICAL BACKUPS OF INFORMATION TESTED ON A REGULAR BASIS?		10%									
	IS TESTING PERFORMED FOR QUALITY ATTRIBUTES SUCH AS RELIABILITY, USABILITY, AND MAINTAINABILITY?		10%									
KNOWLEDGE TRANSFER MANAGEMENT 614							5%	5%	5%	5%	5%	5%
	HAS HANDOVER/TAKEOVER MEETING CONDUCTED WITH INITIAL TEAM?		10%	APPLICATION MANAGER	YES/NO	YES=10% NO=0%						
	HAS NECESSARY DOCUMENTATION BEEN COMPLETED		30%	APPLICATION MANAGER	YES/NO	YES=30% NO=0%						
	RATE THE QUALITY OF ONBOARDING NEW RESOURCES (BANK AND STRATEGIC PARTNER)		20%	APPLICATION MANAGER	1-5 WITH 5 BEING BEST QUALITY	5=20% 4=15% 3=10% 2=5% 1=0%						
	RATE THE QUALITY OF TRAINING DOCUMENTATION AND SCHEDULES		20%	APPLICATION MANAGER	1-5 WITH 5 BEING BEST QUALITY	5=20% 4=15% 3=10% 2=5% 1=0%						
	ARE WORKAROUNDS DOCUMENTED/NEW CHANGES TESTED WITH WORKAROUNDS IN PLACE?		20%	APPLICATION MANAGER								

FIG. 14d

TRIAGE ALLY - OPERATIONAL RELIABILITY INDEX QUESTIONNAIRE

CATEGORY (622)	FCI PREDICTABILITY FACTORS (624)	ANSWER (626)	SUB CATEGORY WEIGHTING (628)	SOURCE (630)	FORMAT (632)	SCALE (634)	CATEGORY WEIGHTING (636)					
							E-COMM	BCT	DCE	CARDNHEIT	ATM	
							15%	15%	15%	15%	15%	15%
INFRASTRUCTURE AND RISK MANAGEMENT 615	DOES APPLICATION USE ANY NON-PERMITTED TECHNOLOGIES? (CONSIDER SOFTWARE LEVEL: NON-PERMITED SCORE BY NCG (CAN BE MORE THAN 1 PER APPLICATION)		50%	RTT	YES/NO	NO=50%						
	IF YES, HOW MANY INSTANCES OF NP TECHNOLOGY EXISTS?			RTT								
	DOES APPLICATION HAVE REMEDIATION PLAN FOR ANY NON-PERMITTED TECHNOLOGIES?			RTT		YES=(1 INSTANCE=30% >1=10%); NO=0%						
	DOES APPLICATION HAVE A CONTROL PLAN?		30%	AIT	YES/NO	NO=0%						
	WAS CONTROL PLAN UPDATED WITHIN PAST CALENDAR YEAR?			AIT	>1 YR, 0%	<1 YR, 30%						
	DOES THE BUSINESS IMPACT ANALYSIS EXIST?		20%	AIT	YES/NO	NO=0%						
							5%	5%	5%	5%	5%	5%
CAPACITY MANAGEMENT 616	ON AVERAGE HOW CLOSE ARE CURRENT PROCESSING VOLUMES TO THE VOLUME CEILING OF THE APPLICATION?		40%	AIT	<40%, 40-80%, >80%	<40%=40%, 40-80%=15%, >80%=0%						
	ARE THERE ANY KNOWN INITIATIVES THAT WILL INCREASE RATE OF GROWTH? (INITIATIVE, SALES CAMPAIGNS, ETC.)		5%	APPLICATION MANAGER	YES/NO	YES/NO						
	ARE THRESHOLD ALARMS IN PLACE FOR INDIVIDUAL SERVICES THAT ALERT STAFF ABOUT APPROACHING MAXIMUM CAPACITY LIMITS?		10%	APPLICATION MANAGER								
	ARE KEY COMPONENTS (RESOURCES) MONITORED FOR CAPACITY LOAD (e.g., HARD DISK, MEMORY, CPU, etc.)		10%	APPLICATION MANAGER								
	IS CAPACITY DATA CONSTANTLY ANALYZED TO HELP IN RESOLUTION OF INCIDENTS AND PROBLEMS?		5%	APPLICATION MANAGER								
	ARE CHANGES TO THE CAPACITY OF THE APPLICATION HANDLED THROUGH A FORMAL CHANGE MANAGEMENT PROCESS?		30%	APPLICATION MANAGER								

FIG. 14e

TRIAGE ALLY - OPERATIONAL RELIABILITY INDEX QUESTIONNAIRE

CATEGORY (622)	FCI PREDICTABILITY FACTORS (624)	ANSWER (626)	SUB CATEGORY WEIGHTING (628)	SOURCE (630)	FORMAT (632)	SCALE (634)	CATEGORY WEIGHTING (636)					
							E-COMM	BCT	DCC	CARD	WHELT	ATM
							10%	10%	5%	5%	10%	10%
SERVICE LEVEL MANAGEMENT 612	DO SERVICE LEVEL AGREEMENTS EXIST BETWEEN LOB AND GTS&F?		20%	ATT	YES/NO	YES=20%;NO=0%						
	IS RISK RATING ASSIGNED BY LOB?		20%	ATT	YES/NO	YES=20%;NO=0%						
	DOES THE CURRENT APPLICATION DESIGN SUPPORT THE LOB RISK RATING/SLA?		20%	APPLICATION MANAGER	YES/NO	YES=20%;NO=0%						
	ARE AGREEMENTS WITH VENDORS DOCUMENTED AND REFLECTED IN THE SLA'S?		20%	APPLICATION MANAGER								
	DOES THE SLA STRUCTURE INCLUDE FEATURES SUCH AS RELIABILITY, SECURITY, SERVICE HOURS, SUPPORT, RESPONSE TIMES, TURNAROUND TIMES, PERFORMANCE CRITERIA?		10%	APPLICATION MANAGER								
	ARE THERE MECHANISMS IN PLACE TO MONITOR AND MEASURE ALL ITEMS IN EXISTING SLAS?		5%	APPLICATION MANAGER								
	DO SLAS HAVE CLEARLY IDENTIFIED KEY TARGETS FOR SERVICE HOURS, AVAILABILITY, RELIABILITY, SUPPORT, RESPONSE TIMES AND CHANGE HANDLING?		5%	APPLICATION MANAGER								
							15%	10%	10%	10%	15%	15%
SYSTEMS MANAGEMENT 618	ARE TOOLS AND PROCESSES IN PLACE TO AUTOMATE OR QUICKLY REACT TO CUSTOMER/AGENT IMPACTING EVENTS TO PROVIDE OPERATIONAL RELIEF?		35%	APPLICATION MANAGER								
	ARE COMPONENT BASED TECHNOLOGY MONITORING TOOLS AVAILABLE? EXAMPLE: INTROSCOPE, PERFMON, NETSCOUT, SITESCOPE, MQUEUE COMMAND CENTER, etc.		15%	APPLICATION MANAGER								
	IS THE OPERATING SYSTEM (ON WHICH THE APPLICATION COMPONENTS ARE INSTALLED) MONITORED ON SERVER AND CLIENT MACHINES (CPU LOAD, MEMORY USAGE, DIRECTORY SPACE)		10%	APPLICATION MANAGER								
	IS THE OPERATING SYSTEM (ON WHICH THE APPLICATION COMPONENTS ARE INSTALLED) MONITORED ON SERVER AND CLIENT MACHINES (PAGING, FILE I/O, NETWORK I/O, LOCAL DISK I/O, REMOTE DISK I/O)		5%	APPLICATION MANAGER								
	ARE APPLICATION PERFORMANCE TRENDS MONITORED AND PROJECTED FORWARD TO INDICATE WHEN THRESHOLDS WILL BREAK AND PROJECTED BREAKS THAT ARE ALERTED WITH SUFFICIENT TIME TO ALTER THE APPLICATION/SYSTEM TO AVOID SERVICE BREAKS FROM HAPPENING?		15%	APPLICATION MANAGER								
	ARE COMPONENT/APPLICATION INTERFACES MONITORED (ALL COMPONENT BOUNDARIES , FRAMEWORK INTERFACES)		5%	APPLICATION MANAGER								
	ARE CUSTOMER EXPERIENCE MONITORING TOOLS AVAILABLE? EXAMPLE: TOPAZ, ONLINE BANKING MONITOR, COMPUWARE VANTAGE AGENTLESS MONITORING (MEASURE TRANSACTION RESPONSE TIME, TRANSACTION VOLUME)		15%	APPLICATION MANAGER								
							100%	100%	100%	100%	100%	100%

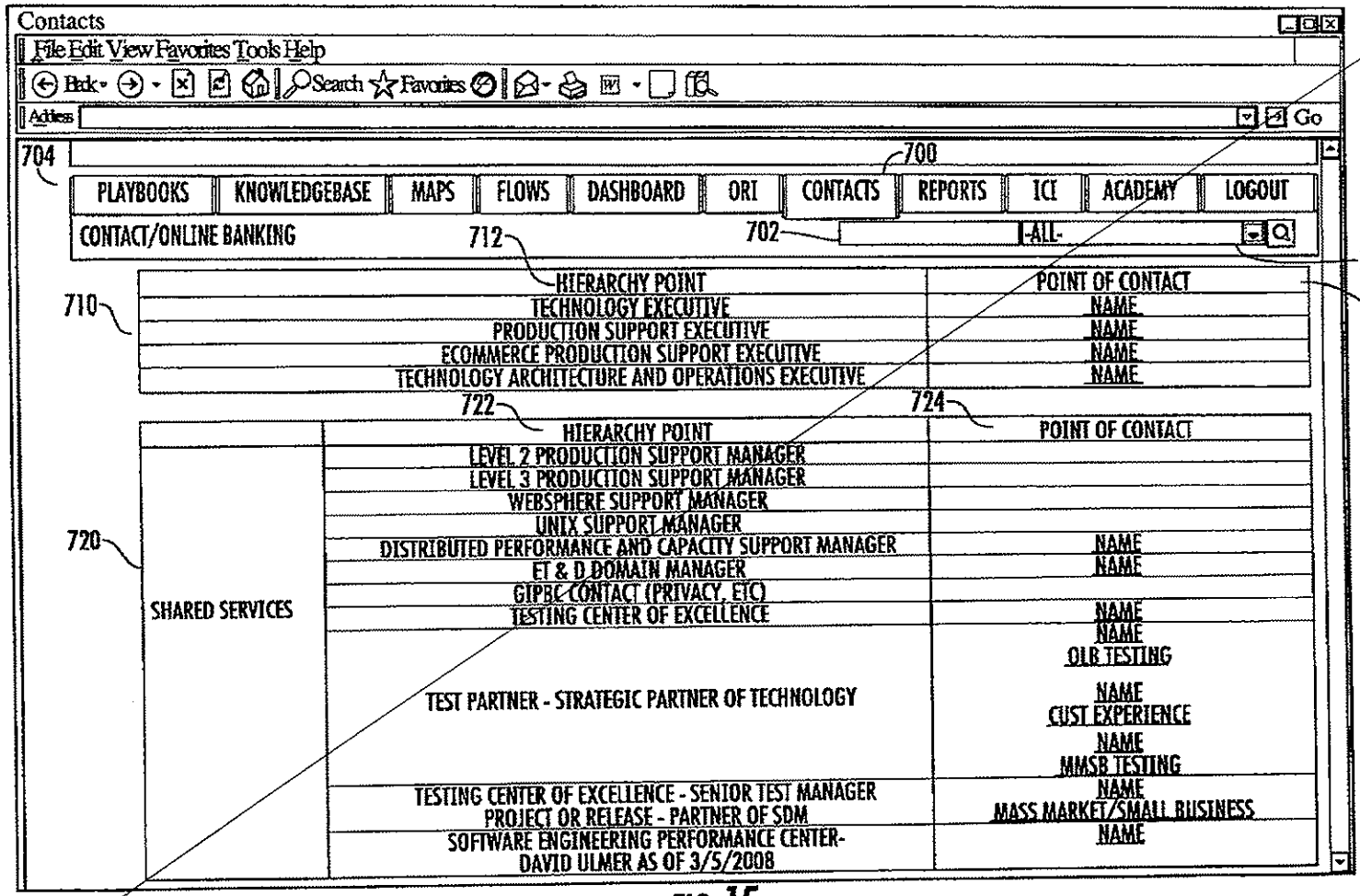

Contacts
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
704
700
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LOGOUT
CONTACT/ONLINE BANKING
712
702
-ALL-
703
710
HIERARCHY POINT
POINT OF CONTACT
714
TECHNOLOGY EXECUTIVE
NAME
PRODUCTION SUPPORT EXECUTIVE
NAME
ECOMMERCE PRODUCTION SUPPORT EXECUTIVE
NAME
TECHNOLOGY ARCHITECTURE AND OPERATIONS EXECUTIVE
NAME
722
724
HIERARCHY POINT
POINT OF CONTACT
720
SHARED SERVICES
LEVEL 2 PRODUCTION SUPPORT MANAGER
LEVEL 3 PRODUCTION SUPPORT MANAGER
WEBSPHERE SUPPORT MANAGER
UNIX SUPPORT MANAGER
DISTRIBUTED PERFORMANCE AND CAPACITY SUPPORT MANAGER
NAME
ET & D DOMAIN MANAGER
NAME
GIPBC CONTACT (PRIVACY, ETC)
TESTING CENTER OF EXCELLENCE
NAME
TEST PARTNER - STRATEGIC PARTNER OF TECHNOLOGY
NAME
OLB TESTING
NAME
CUST EXPERIENCE
NAME
MMSB TESTING
TESTING CENTER OF EXCELLENCE - SENIOR TEST MANAGER
PROJECT OR RELEASE - PARTNER OF SDM
NAME
MASS MARKET/SMALL BUSINESS
SOFTWARE ENGINEERING PERFORMANCE CENTER-
DAVID ULMER AS OF 3/5/2008
NAME

FIG. 15

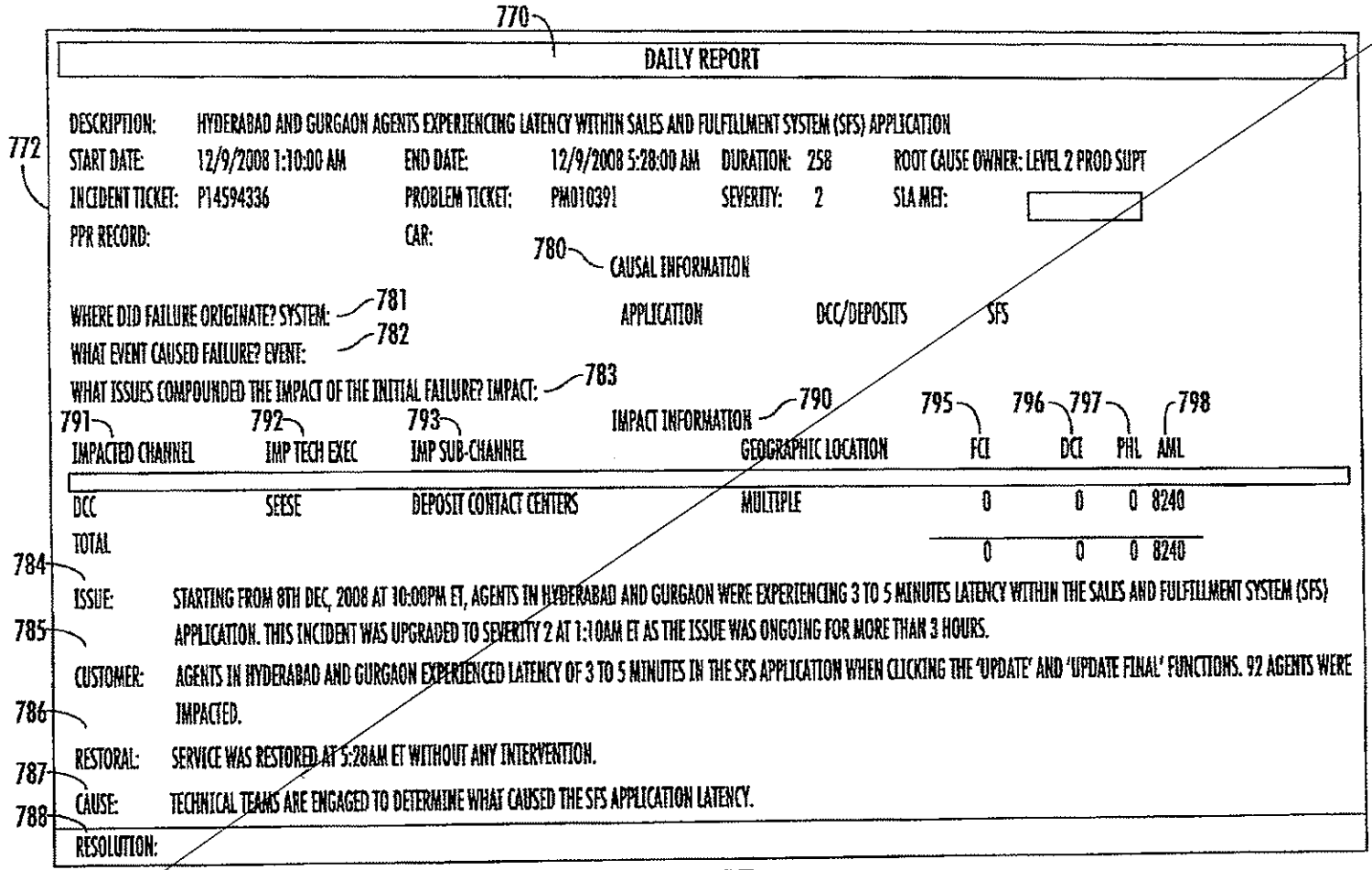

770
DAILY REPORT
772
DESCRIPTION: HYDERABAD AND GURGAON AGENTS EXPERIENCING LATENCY WITHIN SALES AND FULFILLMENT SYSTEM (SFS) APPLICATION
START DATE: 12/9/2008 1:10:00 AM
END DATE: 12/9/2008 5:28:00 AM
DURATION: 258
ROOT CAUSE OWNER: LEVEL 2 PROD SUPT
INCIDENT TICKET: P14594336
PROBLEM TICKET: PM010391
SEVERITY: 2
SLA MET:
PPR RECORD:
CAR:
780
CAUSAL INFORMATION
WHERE DID FAILURE ORIGINATE? SYSTEM:
781
APPLICATION
DCC/DEPOSITS
SFS
WHAT EVENT CAUSED FAILURE? EVENT:
782
WHAT ISSUES COMPOUNDED THE IMPACT OF THE INITIAL FAILURE? IMPACT:
783
IMPACT INFORMATION
790
791 IMPACTED CHANNEL
792 IMP TECH EXEC
793 IMP SUB-CHANNEL
GEOGRAPHIC LOCATION
795 FCI
796 DCI
797 PHL
798 AML
DCC
SEESE
DEPOSIT CONTACT CENTERS
MULTIPLE
0 0 0 8240
TOTAL
0 0 0 8240
784
ISSUE: STARTING FROM 8TH DEC, 2008 AT 10:00PM ET, AGENTS IN HYDERABAD AND GURGAON WERE EXPERIENCING 3 TO 5 MINUTES LATENCY WITHIN THE SALES AND FULFILLMENT SYSTEM (SFS) APPLICATION. THIS INCIDENT WAS UPGRADED TO SEVERITY 2 AT 1:10AM ET AS THE ISSUE WAS ONGOING FOR MORE THAN 3 HOURS.
785
CUSTOMER: AGENTS IN HYDERABAD AND GURGAON EXPERIENCED LATENCY OF 3 TO 5 MINUTES IN THE SFS APPLICATION WHEN CLICKING THE 'UPDATE' AND 'UPDATE FINAL' FUNCTIONS. 92 AGENTS WERE IMPACTED.
786
RESTORAL: SERVICE WAS RESTORED AT 5:28AM ET WITHOUT ANY INTERVENTION.
787
CAUSE: TECHNICAL TEAMS ARE ENGAGED TO DETERMINE WHAT CAUSED THE SFS APPLICATION LATENCY.
788
RESOLUTION:

FIG. 17

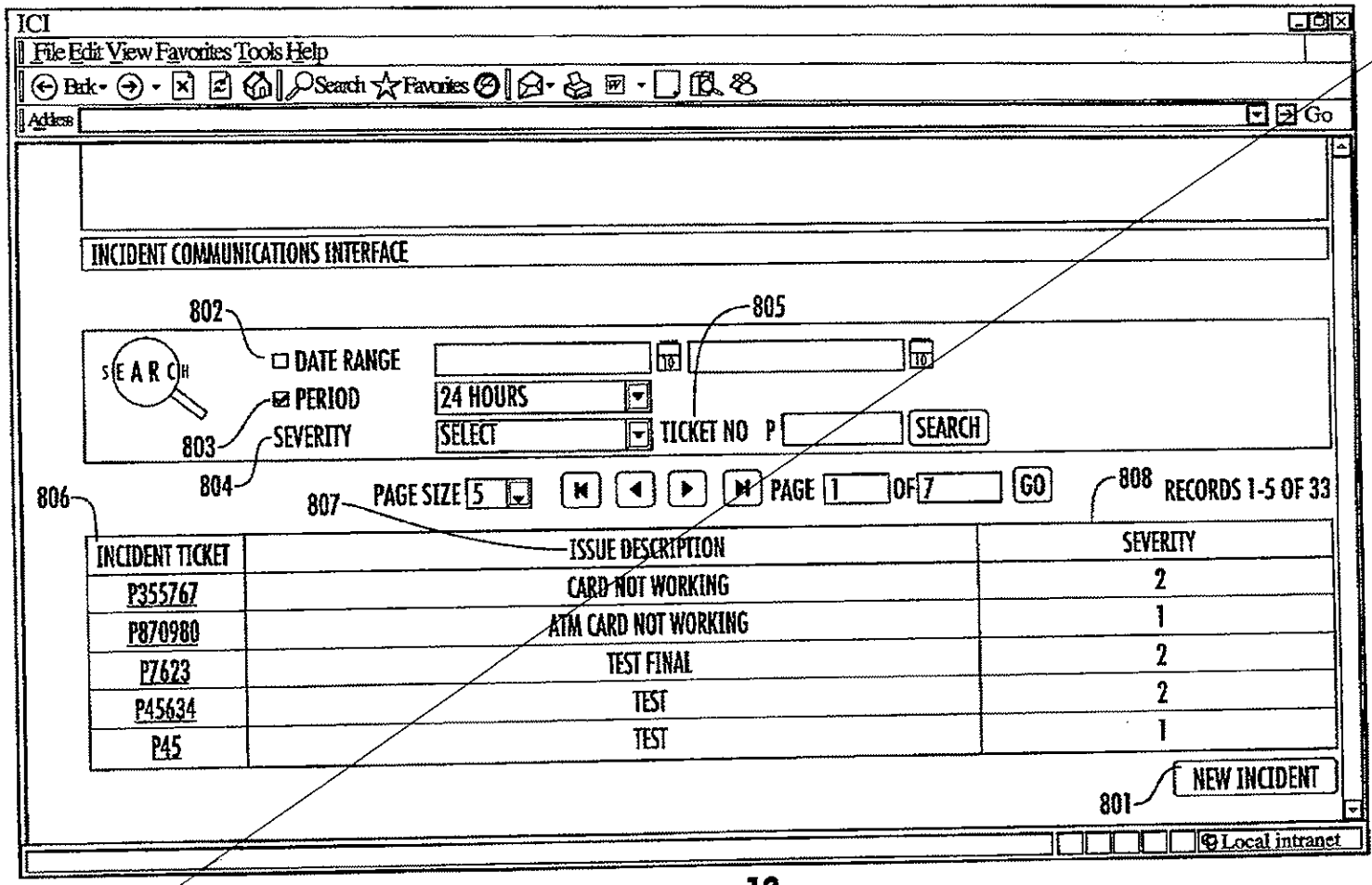

ICI
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
INCIDENT COMMUNICATIONS INTERFACE
802
805
SEARCH
DATE RANGE
PERIOD
24 HOURS
803
SEVERITY
SELECT
804
TICKET NO P
SEARCH
806
807
PAGE SIZE 5
PAGE 1 OF 7
GO
808
RECORDS 1-5 OF 33
INCIDENT TICKET
ISSUE DESCRIPTION
SEVERITY
P355767
CARD NOT WORKING
2
P870980
ATM CARD NOT WORKING
1
P7623
TEST FINAL
2
P45634
TEST
2
P45
TEST
1
801
NEW INCIDENT
Local intranet

FIG. 19

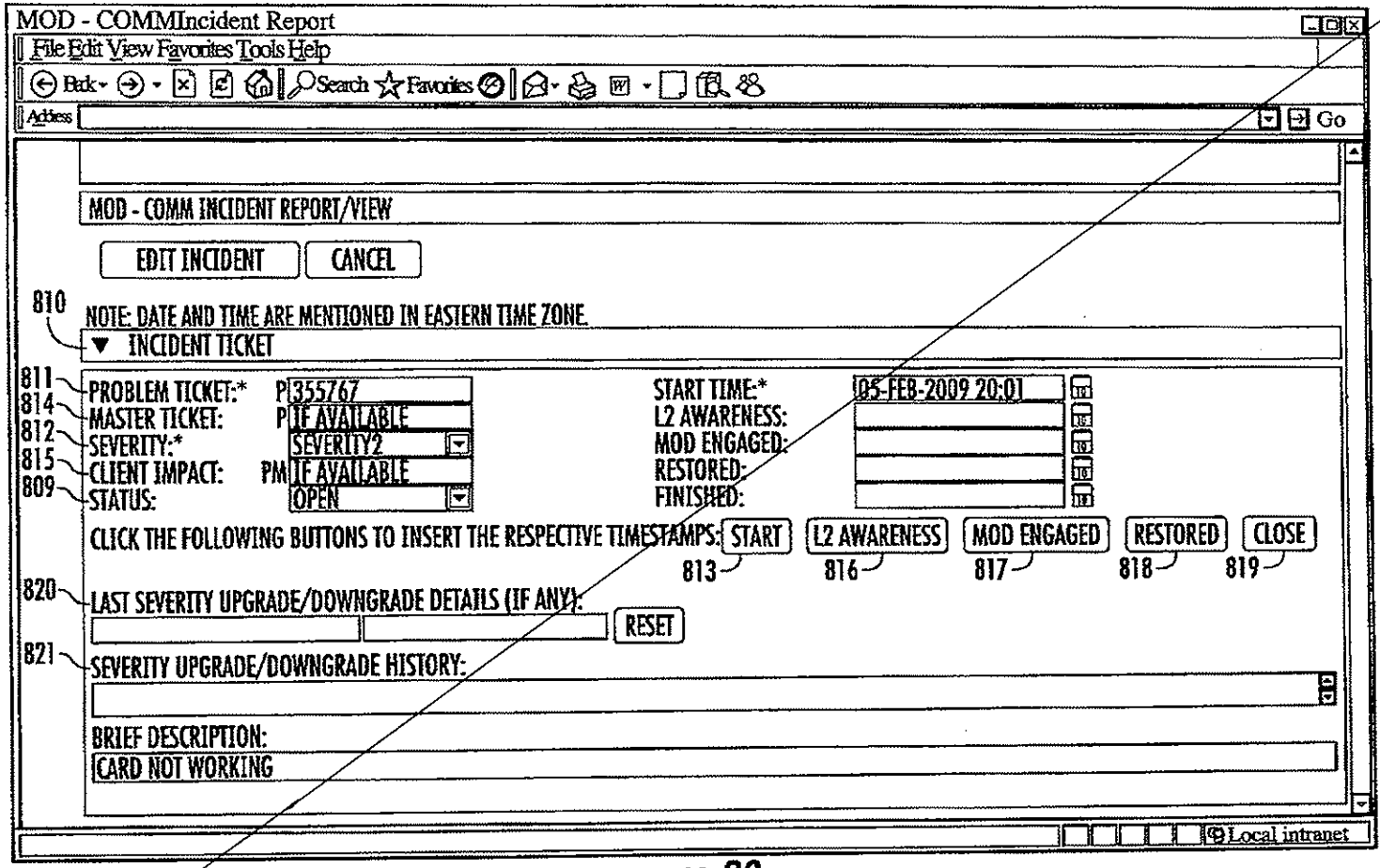

MOD - COMMIncident Report
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
MOD - COMM INCIDENT REPORT/VIEW
EDIT INCIDENT
CANCEL
810
NOTE: DATE AND TIME ARE MENTIONED IN EASTERN TIME ZONE.
INCIDENT TICKET
811
PROBLEM TICKET:*
P 355767
814
MASTER TICKET:
P IF AVAILABLE
812
SEVERITY:*
SEVERITY2
815
CLIENT IMPACT:
PM IF AVAILABLE
809
STATUS:
OPEN
START TIME:*
05-FEB-2009 20:01
L2 AWARENESS:
MOD ENGAGED:
RESTORED:
FINISHED:
CLICK THE FOLLOWING BUTTONS TO INSERT THE RESPECTIVE TIMESTAMPS:
START
L2 AWARENESS
MOD ENGAGED
RESTORED
CLOSE
813
816
817
818
819
820
LAST SEVERITY UPGRADE/DOWNGRADE DETAILS (IF ANY):
RESET
821
SEVERITY UPGRADE/DOWNGRADE HISTORY:
BRIEF DESCRIPTION:
CARD NOT WORKING
Local intranet

FIG. 20

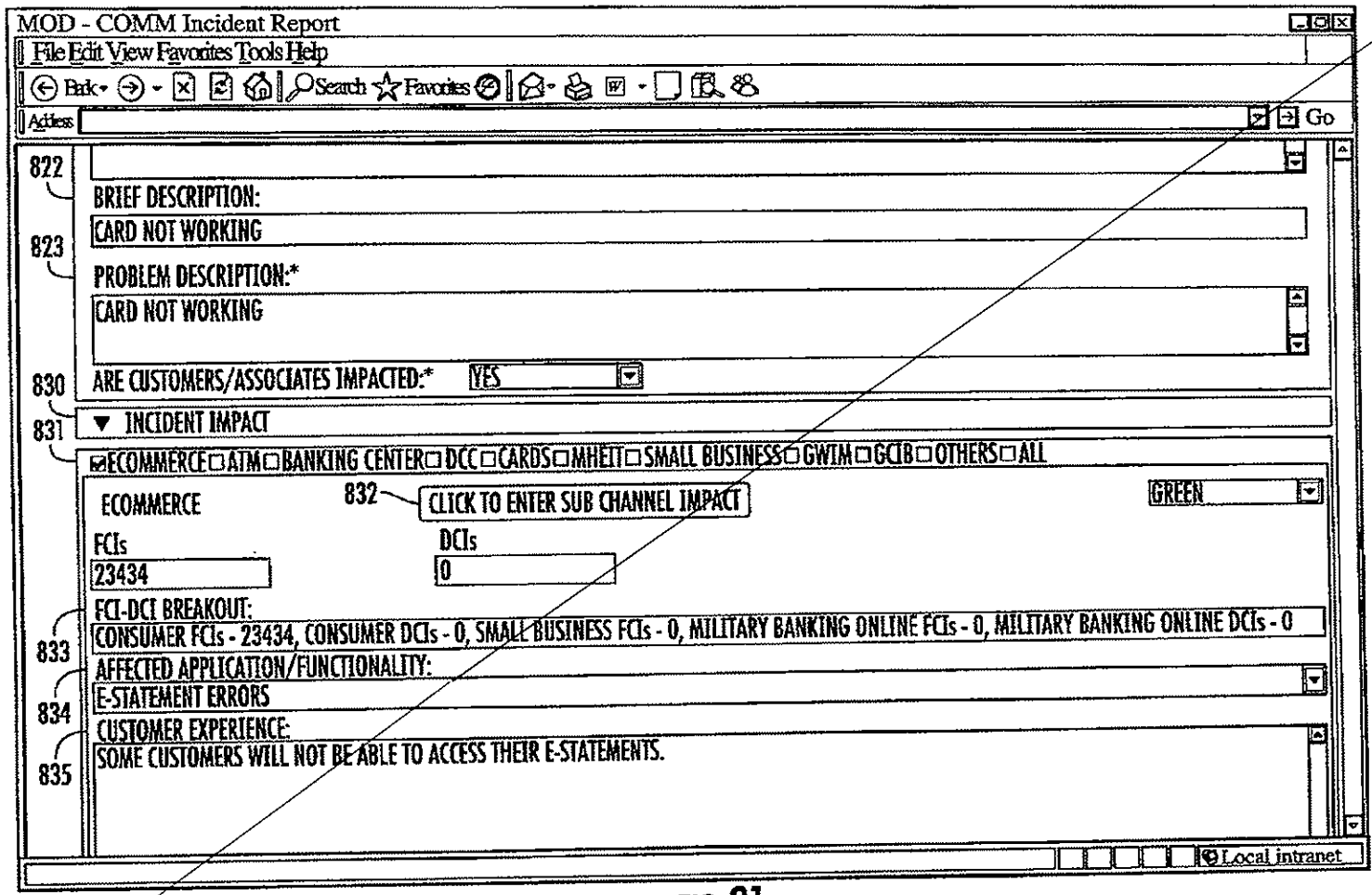

MOD - COMM Incident Report
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
822
BRIEF DESCRIPTION:
CARD NOT WORKING
823
PROBLEM DESCRIPTION:*
CARD NOT WORKING
830
ARE CUSTOMERS/ASSOCIATES IMPACTED:* YES
831
INCIDENT IMPACT
ECOMMERCE ATM BANKING CENTER DCC CARDS MHEIT SMALL BUSINESS GWIM GCIB OTHERS ALL
ECOMMERCE
832
CLICK TO ENTER SUB CHANNEL IMPACT
GREEN
FCIs
23434
DCIs
0
FCI-DCI BREAKOUT:
833
CONSUMER FCIs - 23434, CONSUMER DCIs - 0, SMALL BUSINESS FCIs - 0, MILITARY BANKING ONLINE FCIs - 0, MILITARY BANKING ONLINE DCIs - 0
AFFECTED APPLICATION/FUNCTIONALITY:
834
E-STATEMENT ERRORS
CUSTOMER EXPERIENCE:
835
SOME CUSTOMERS WILL NOT BE ABLE TO ACCESS THEIR E-STATEMENTS.
Local intranet

FIG. 21

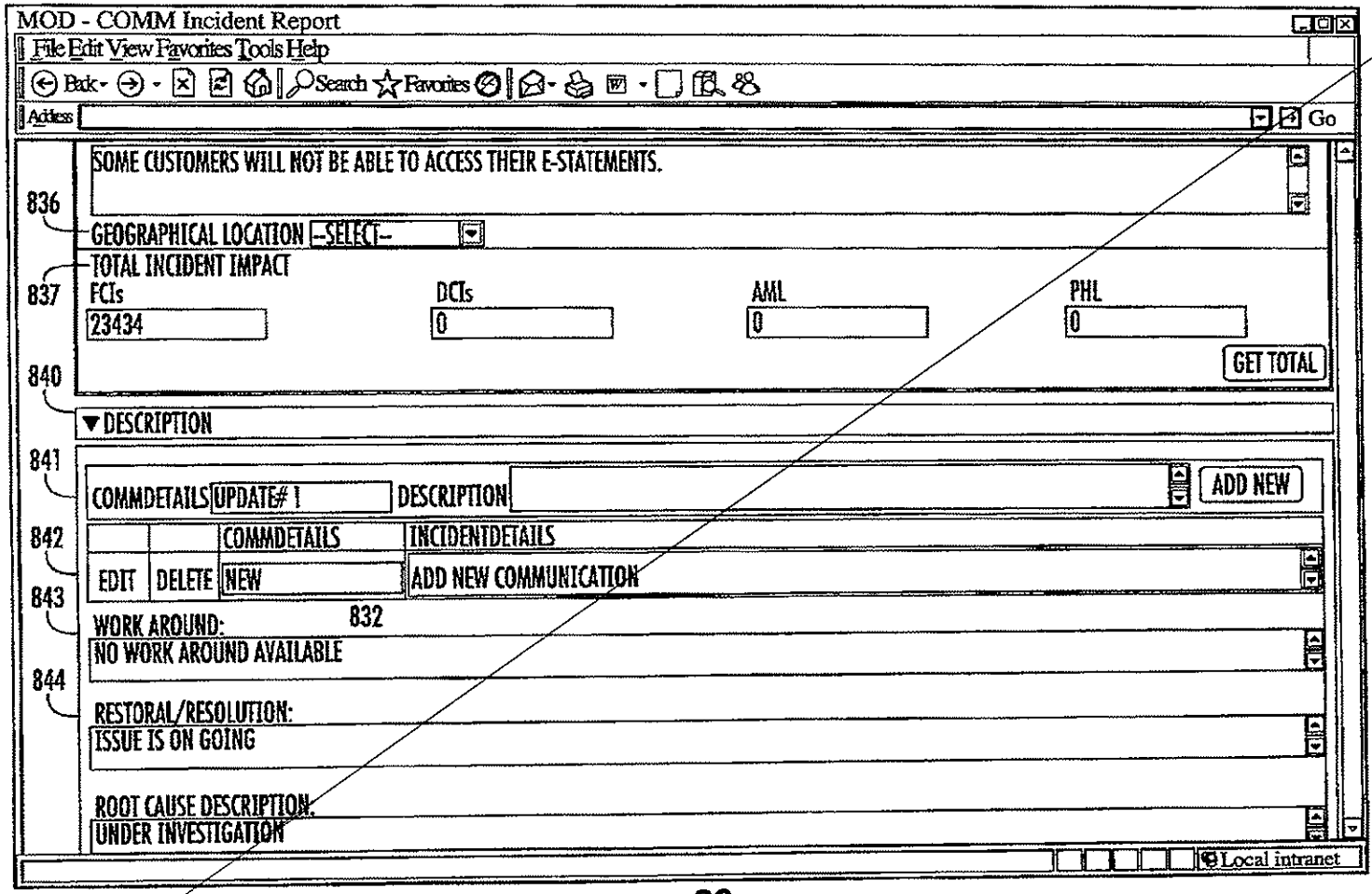

MOD - COMM Incident Report
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
SOME CUSTOMERS WILL NOT BE ABLE TO ACCESS THEIR E-STATEMENTS.
836
GEOGRAPHICAL LOCATION -SELECT-
TOTAL INCIDENT IMPACT
837
FCIs
23434
DCIs
0
AML
0
PHL
0
GET TOTAL
840
DESCRIPTION
841
COMMDETAILS UPDATE# 1
DESCRIPTION
ADD NEW
842
COMMDETAILS
INCIDENTDETAILS
EDIT
DELETE
NEW
ADD NEW COMMUNICATION
843
WORK AROUND:
832
NO WORK AROUND AVAILABLE
844
RESTORAL/RESOLUTION:
ISSUE IS ON GOING
ROOT CAUSE DESCRIPTION:
UNDER INVESTIGATION
Local intranet

FIG. 22

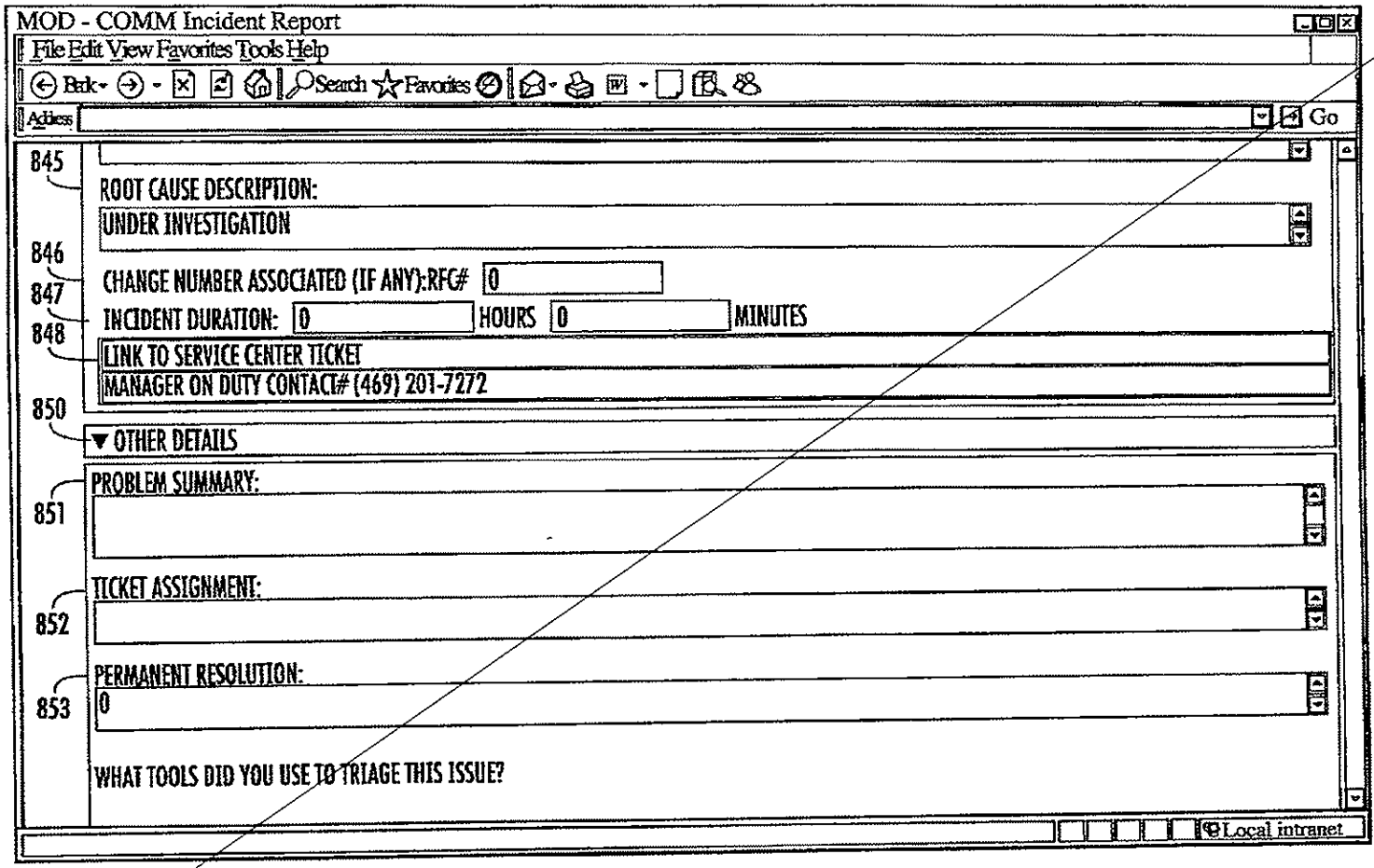

MOD - COMM Incident Report
File Edit View Favorites Tools Help
Back
Search
Favorites
Address
Go
845
ROOT CAUSE DESCRIPTION:
UNDER INVESTIGATION
846
CHANGE NUMBER ASSOCIATED (IF ANY):RFC#
0
847
INCIDENT DURATION:
0
HOURS
0
MINUTES
848
LINK TO SERVICE CENTER TICKET
MANAGER ON DUTY CONTACT# (469) 201-7272
850
OTHER DETAILS
PROBLEM SUMMARY:
851
TICKET ASSIGNMENT:
852
PERMANENT RESOLUTION:
853
0
WHAT TOOLS DID YOU USE TO TRIAGE THIS ISSUE?
Local intranet

FIG. 23

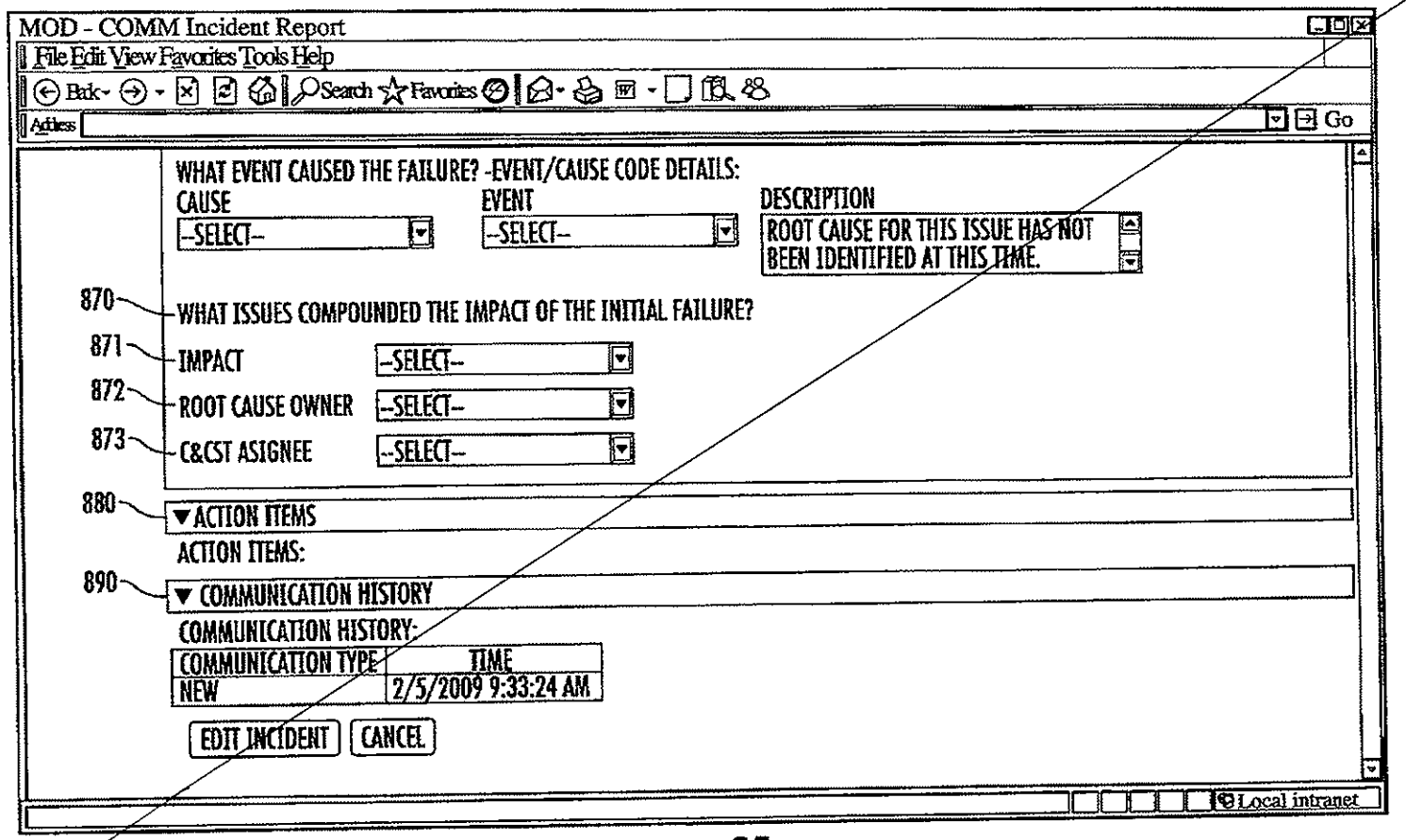

MOD - COMM Incident Report
File Edit View Favorites Tools Help
Back
Search
Favorites
Address
Go
WHAT EVENT CAUSED THE FAILURE? -EVENT/CAUSE CODE DETAILS:
CAUSE
--SELECT--
EVENT
--SELECT--
DESCRIPTION
ROOT CAUSE FOR THIS ISSUE HAS NOT BEEN IDENTIFIED AT THIS TIME.
870
WHAT ISSUES COMPOUNDED THE IMPACT OF THE INITIAL FAILURE?
871
IMPACT
--SELECT--
872
ROOT CAUSE OWNER
--SELECT--
873
C&CST ASIGNEE
--SELECT--
880
ACTION ITEMS
ACTION ITEMS:
890
COMMUNICATION HISTORY
COMMUNICATION HISTORY:
COMMUNICATION TYPE
TIME
NEW
2/5/2009 9:33:24 AM
EDIT INCIDENT
CANCEL
Local intranet

FIG. 25

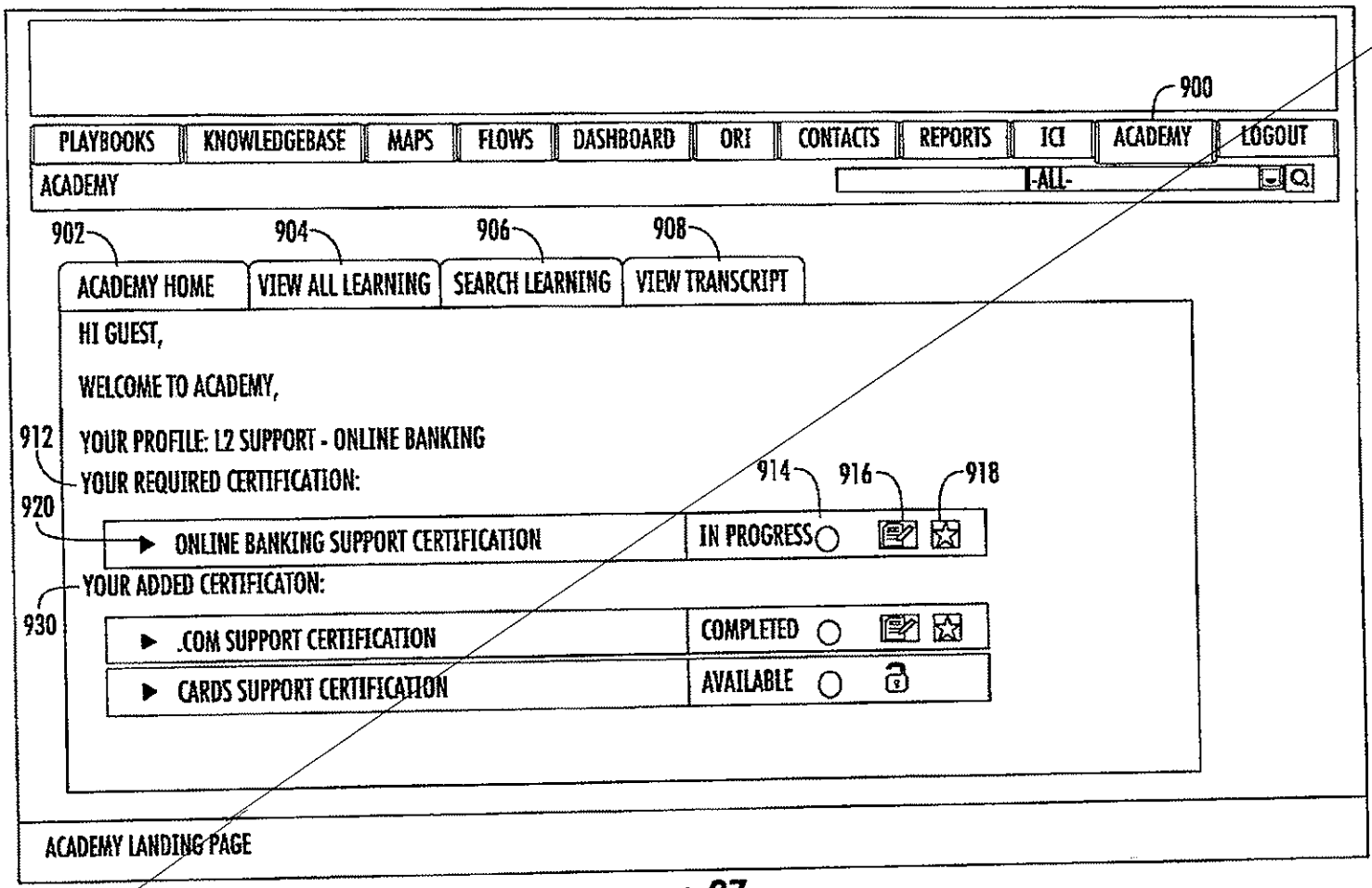
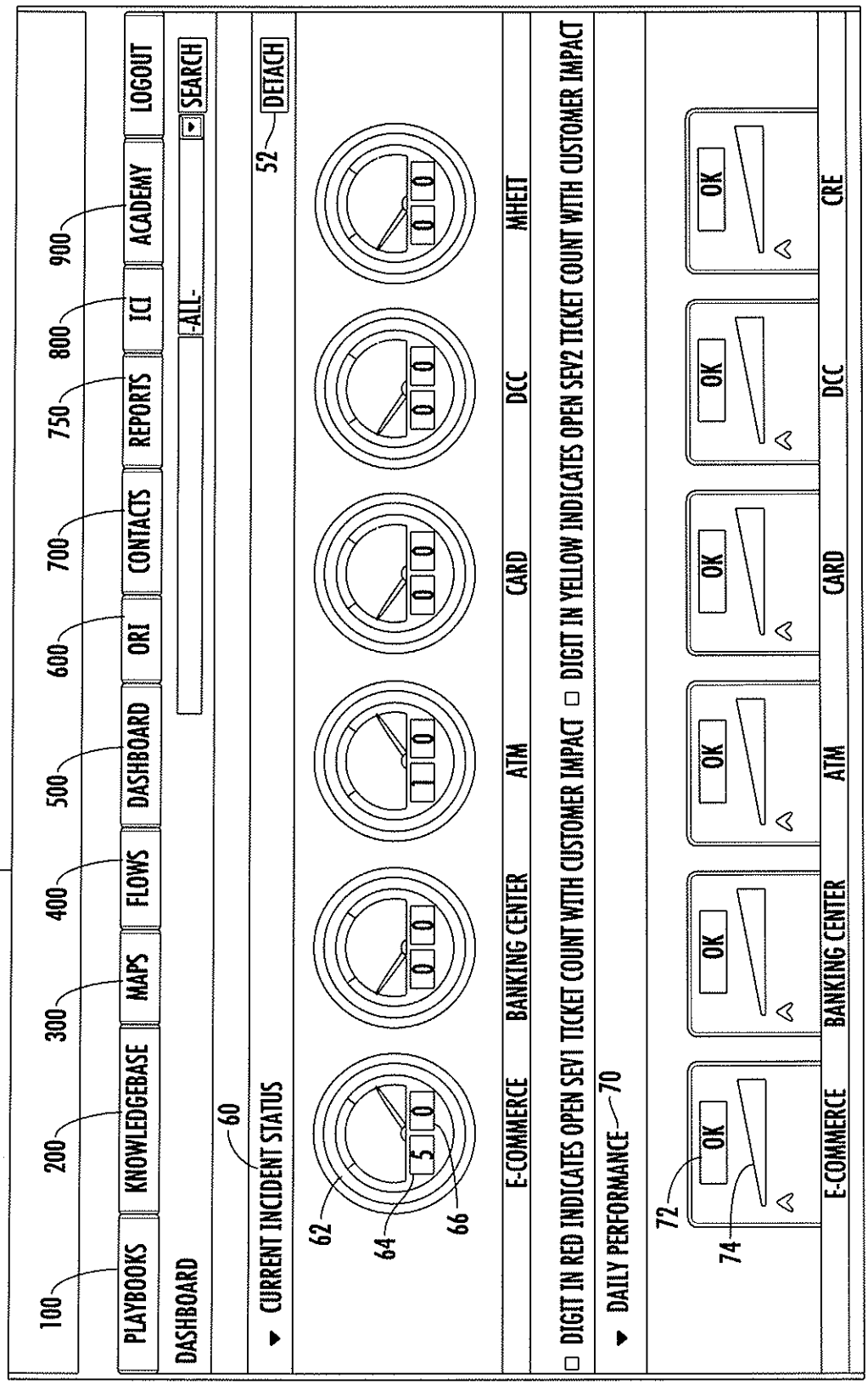

900
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LOGOUT
ACADEMY
-ALL-
902
904
906
908
ACADEMY HOME
VIEW ALL LEARNING
SEARCH LEARNING
VIEW TRANSCRIPT
HI GUEST,
WELCOME TO ACADEMY,
912
YOUR PROFILE: L2 SUPPORT - ONLINE BANKING
YOUR REQUIRED CERTIFICATION:
914
916
918
920
ONLINE BANKING SUPPORT CERTIFICATION
IN PROGRESS
YOUR ADDED CERTIFICATON:
930
.COM SUPPORT CERTIFICATION
COMPLETED
CARDS SUPPORT CERTIFICATION
AVAILABLE
ACADEMY LANDING PAGE

FIG. 27

FIG. 3


50
100
200
300
400
500
600
700
750
800
900
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LOGOUT
DASHBOARD
-ALL-
SEARCH
60
CURRENT INCIDENT STATUS
52
DETACH
62
64
66
E-COMMERCE
BANKING CENTER
ATM
CARD
DCC
MHEIT
DIGIT IN RED INDICATES OPEN SEV1 TICKET COUNT WITH CUSTOMER IMPACT
DIGIT IN YELLOW INDICATES OPEN SEV2 TICKET COUNT WITH CUSTOMER IMPACT
DAILY PERFORMANCE
70
72
74
OK
E-COMMERCE
BANKING CENTER
ATM
CARD
DCC
CRE

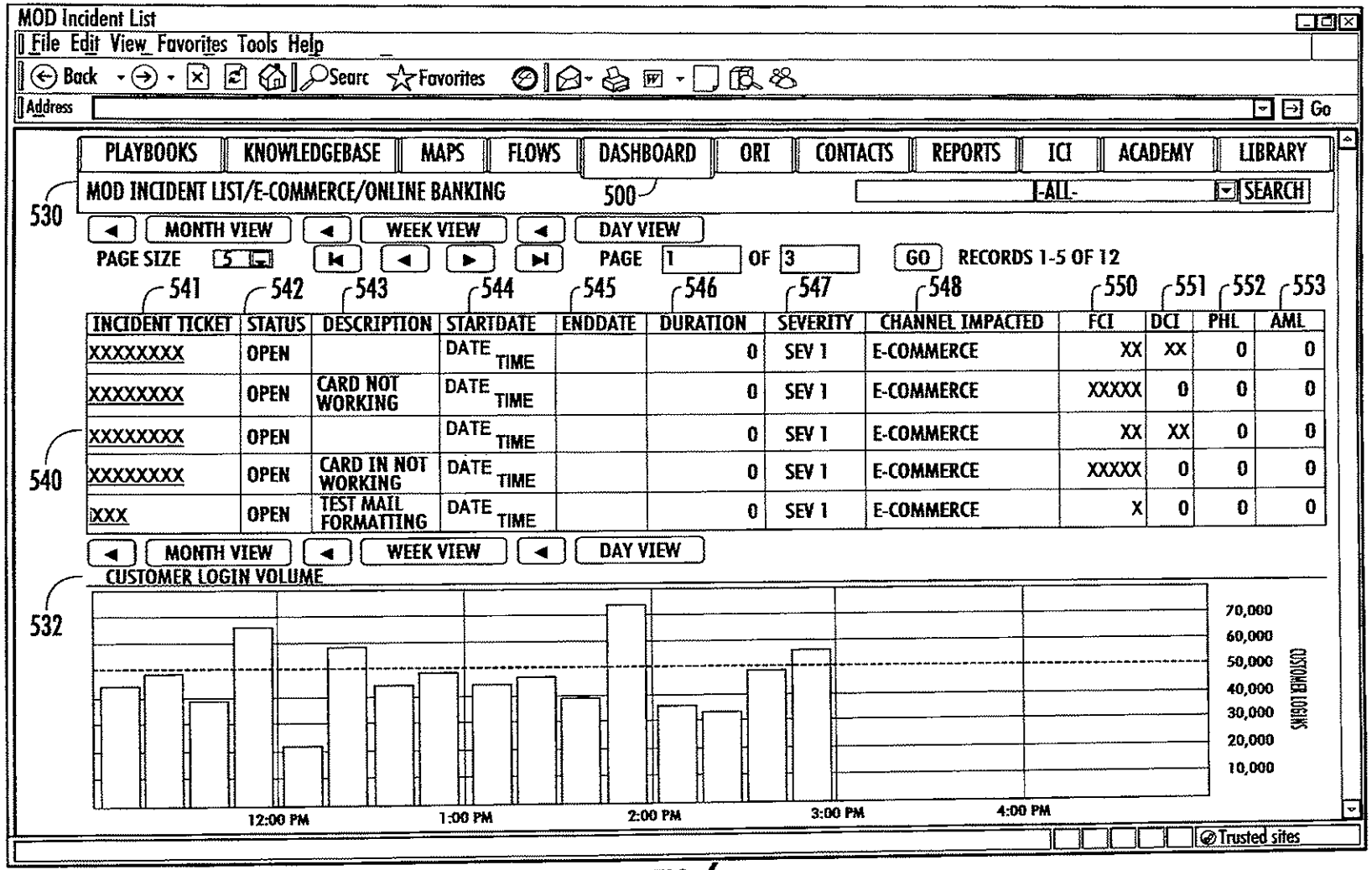

MOD Incident List
File Edit View Favorites Tools Help
Back
Search
Favorites
Address
Go
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LIBRARY
530
MOD INCIDENT LIST/E-COMMERCE/ONLINE BANKING
500
-ALL-
SEARCH
MONTH VIEW
WEEK VIEW
DAY VIEW
PAGE SIZE 5
PAGE 1 OF 3
GO
RECORDS 1-5 OF 12
541
542
543
544
545
546
547
548
550
551
552
553
INCIDENT TICKET
STATUS
DESCRIPTION
STARTDATE
ENDDATE
DURATION
SEVERITY
CHANNEL IMPACTED
FCI
DCI
PHL
AML
XXXXXXXX OPEN DATE TIME 0 SEV 1 E-COMMERCE XX XX 0 0
XXXXXXXX OPEN CARD NOT WORKING DATE TIME 0 SEV 1 E-COMMERCE XXXXX 0 0 0
XXXXXXXX OPEN DATE TIME 0 SEV 1 E-COMMERCE XX XX 0 0
XXXXXXXX OPEN CARD IN NOT WORKING DATE TIME 0 SEV 1 E-COMMERCE XXXXX 0 0 0
XXX OPEN TEST MAIL FORMATTING DATE TIME 0 SEV 1 E-COMMERCE X 0 0 0
540
MONTH VIEW
WEEK VIEW
DAY VIEW
532
CUSTOMER LOGIN VOLUME
70,000
60,000
50,000
40,000
30,000
20,000
10,000
CUSTOMER LOGINS
12:00 PM
1:00 PM
2:00 PM
3:00 PM
4:00 PM
Trusted sites

FIG. 6

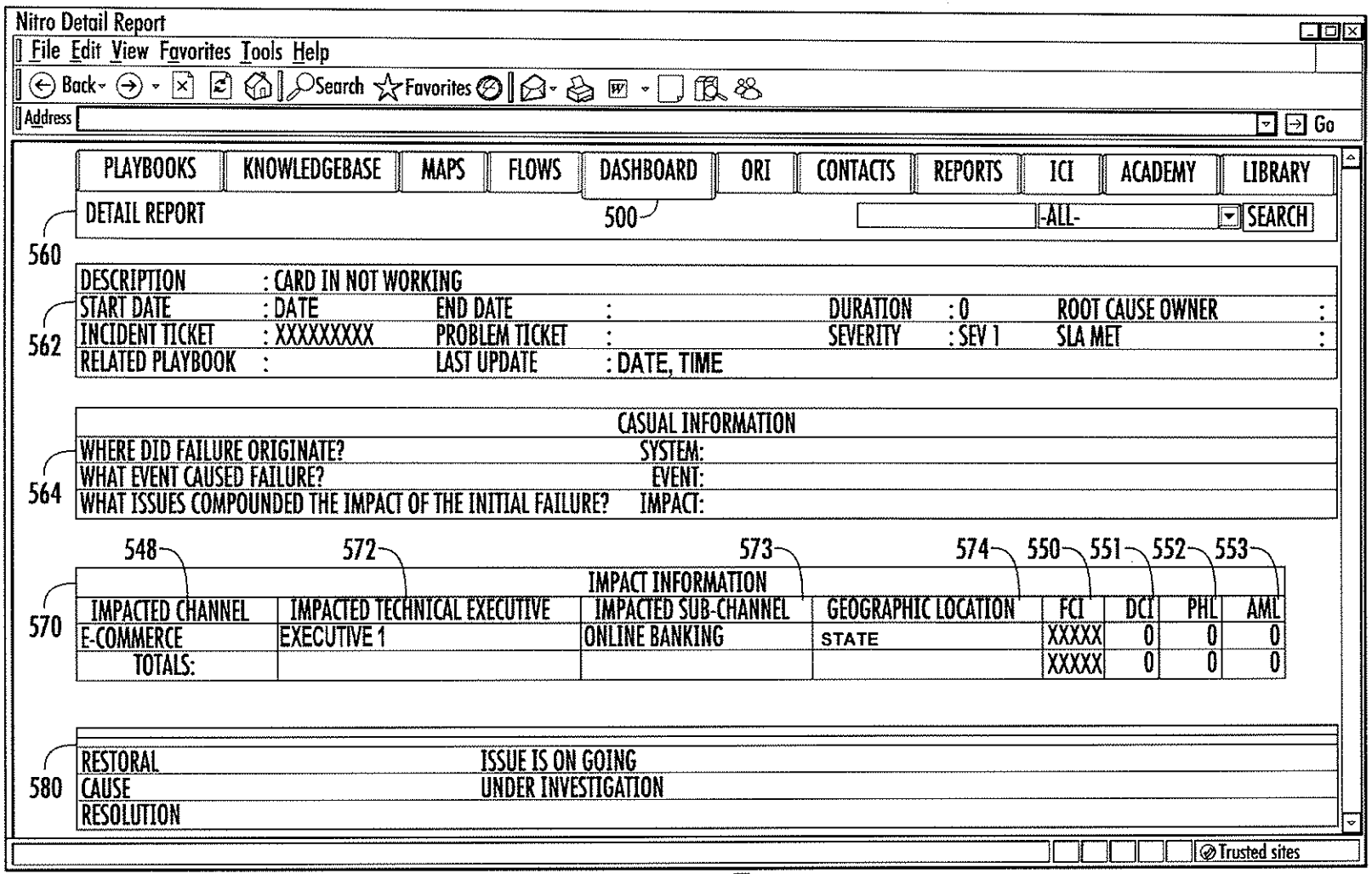

Nitro Detail Report
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LIBRARY
DETAIL REPORT
500
-ALL-
SEARCH
560
DESCRIPTION : CARD IN NOT WORKING
START DATE : DATE
END DATE :
DURATION : 0
ROOT CAUSE OWNER :
562
INCIDENT TICKET : XXXXXXXX
PROBLEM TICKET :
SEVERITY : SEV 1
SLA MET :
RELATED PLAYBOOK :
LAST UPDATE : DATE, TIME
CASUAL INFORMATION
564
WHERE DID FAILURE ORIGINATE?
SYSTEM:
WHAT EVENT CAUSED FAILURE?
EVENT:
WHAT ISSUES COMPOUNDED THE IMPACT OF THE INITIAL FAILURE?
IMPACT:
548
572
573
574
550
551
552
553
IMPACT INFORMATION
570
IMPACTED CHANNEL
IMPACTED TECHNICAL EXECUTIVE
IMPACTED SUB-CHANNEL
GEOGRAPHIC LOCATION
FCI
DCI
PHL
AML
E-COMMERCE
EXECUTIVE 1
ONLINE BANKING
STATE
XXXXX
0
0
0
TOTALS:
XXXXX
0
0
0
580
RESTORAL
ISSUE IS ON GOING
CAUSE
UNDER INVESTIGATION
RESOLUTION
Trusted sites

FIG. 7

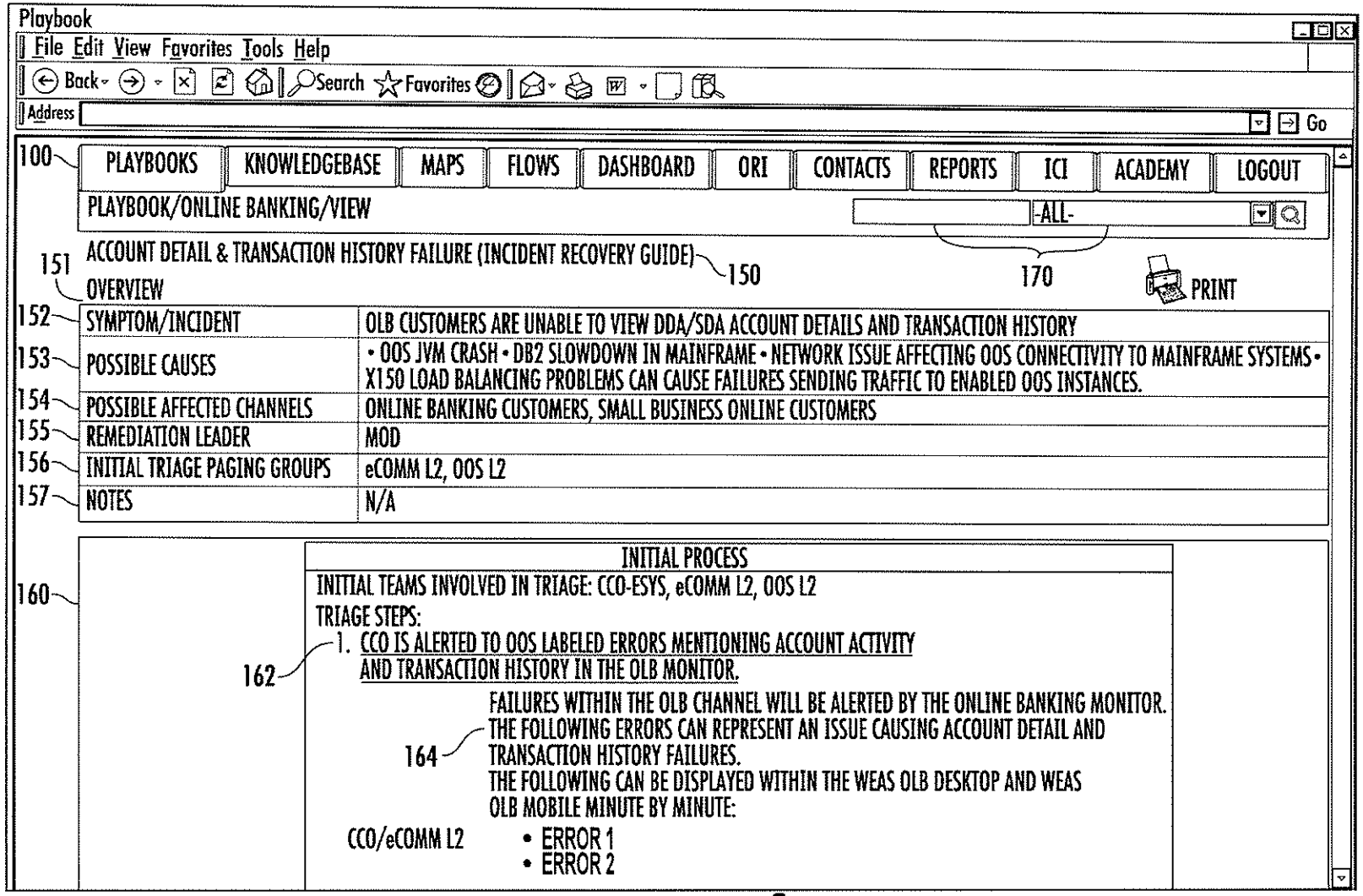

Playbook
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
100
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LOGOUT
PLAYBOOK/ONLINE BANKING/VIEW
-ALL-
ACCOUNT DETAIL & TRANSACTION HISTORY FAILURE (INCIDENT RECOVERY GUIDE)
150
170
PRINT
151
OVERVIEW
152
SYMPTOM/INCIDENT
OLB CUSTOMERS ARE UNABLE TO VIEW DDA/SDA ACCOUNT DETAILS AND TRANSACTION HISTORY
153
POSSIBLE CAUSES
• OOS JVM CRASH • DB2 SLOWDOWN IN MAINFRAME • NETWORK ISSUE AFFECTING OOS CONNECTIVITY TO MAINFRAME SYSTEMS • X150 LOAD BALANCING PROBLEMS CAN CAUSE FAILURES SENDING TRAFFIC TO ENABLED OOS INSTANCES.
154
POSSIBLE AFFECTED CHANNELS
ONLINE BANKING CUSTOMERS, SMALL BUSINESS ONLINE CUSTOMERS
155
REMEDIATION LEADER
MOD
156
INITIAL TRIAGE PAGING GROUPS
eCOMM L2, OOS L2
157
NOTES
N/A
160
INITIAL PROCESS
INITIAL TEAMS INVOLVED IN TRIAGE: CCO-ESYS, eCOMM L2, OOS L2
TRIAGE STEPS:
162
1. CCO IS ALERTED TO OOS LABELED ERRORS MENTIONING ACCOUNT ACTIVITY AND TRANSACTION HISTORY IN THE OLB MONITOR.
FAILURES WITHIN THE OLB CHANNEL WILL BE ALERTED BY THE ONLINE BANKING MONITOR.
164
THE FOLLOWING ERRORS CAN REPRESENT AN ISSUE CAUSING ACCOUNT DETAIL AND TRANSACTION HISTORY FAILURES.
THE FOLLOWING CAN BE DISPLAYED WITHIN THE WEAS OLB DESKTOP AND WEAS OLB MOBILE MINUTE BY MINUTE:
CCO/eCOMM L2
• ERROR 1
• ERROR 2

FIG. 8

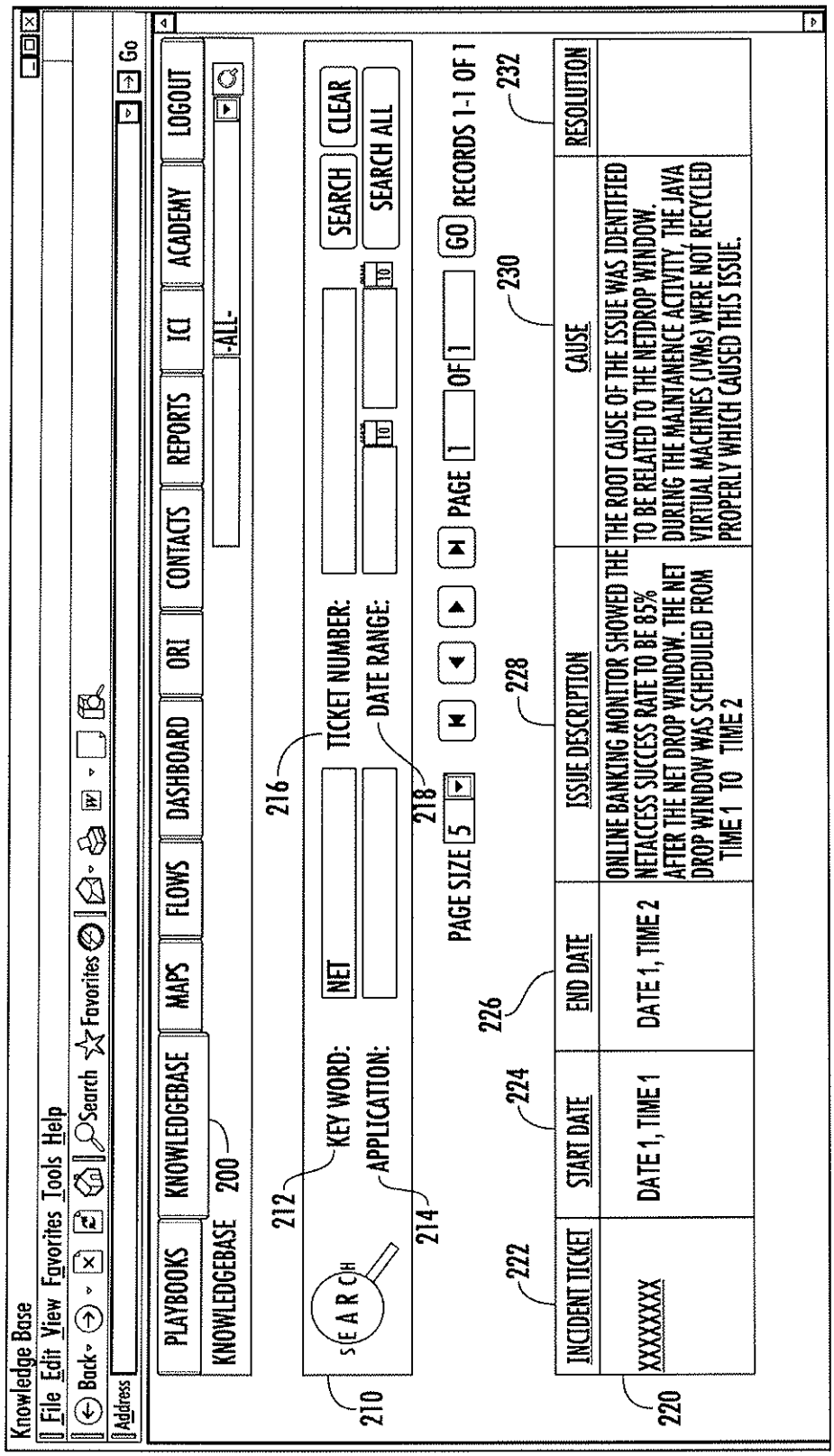

Knowledge Base
File Edit View Favorites Tools Help
Back
Search
Favorites
Address
Go
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LOGOUT
KNOWLEDGEBASE 200
-ALL-
SEARCH
210
212
KEY WORD:
APPLICATION:
214
216
TICKET NUMBER:
DATE RANGE:
218
SEARCH
CLEAR
SEARCH ALL
PAGE SIZE 5
PAGE 1 OF 1
GO
RECORDS 1-1 OF 1
222
224
226
228
230
232
INCIDENT TICKET
START DATE
END DATE
ISSUE DESCRIPTION
CAUSE
RESOLUTION
XXXXXXXX
DATE 1, TIME 1
DATE 1, TIME 2
ONLINE BANKING MONITOR SHOWED THE NETACCESS SUCCESS RATE TO BE 85% AFTER THE NET DROP WINDOW. THE NET DROP WINDOW WAS SCHEDULED FROM TIME 1 TO TIME 2
THE ROOT CAUSE OF THE ISSUE WAS IDENTIFIED TO BE RELATED TO THE NETDROP WINDOW. DURING THE MAINTANENCE ACTIVITY, THE JAVA VIRTUAL MACHINES (JVMs) WERE NOT RECYCLED PROPERLY WHICH CAUSED THIS ISSUE.
220

FIG. 9

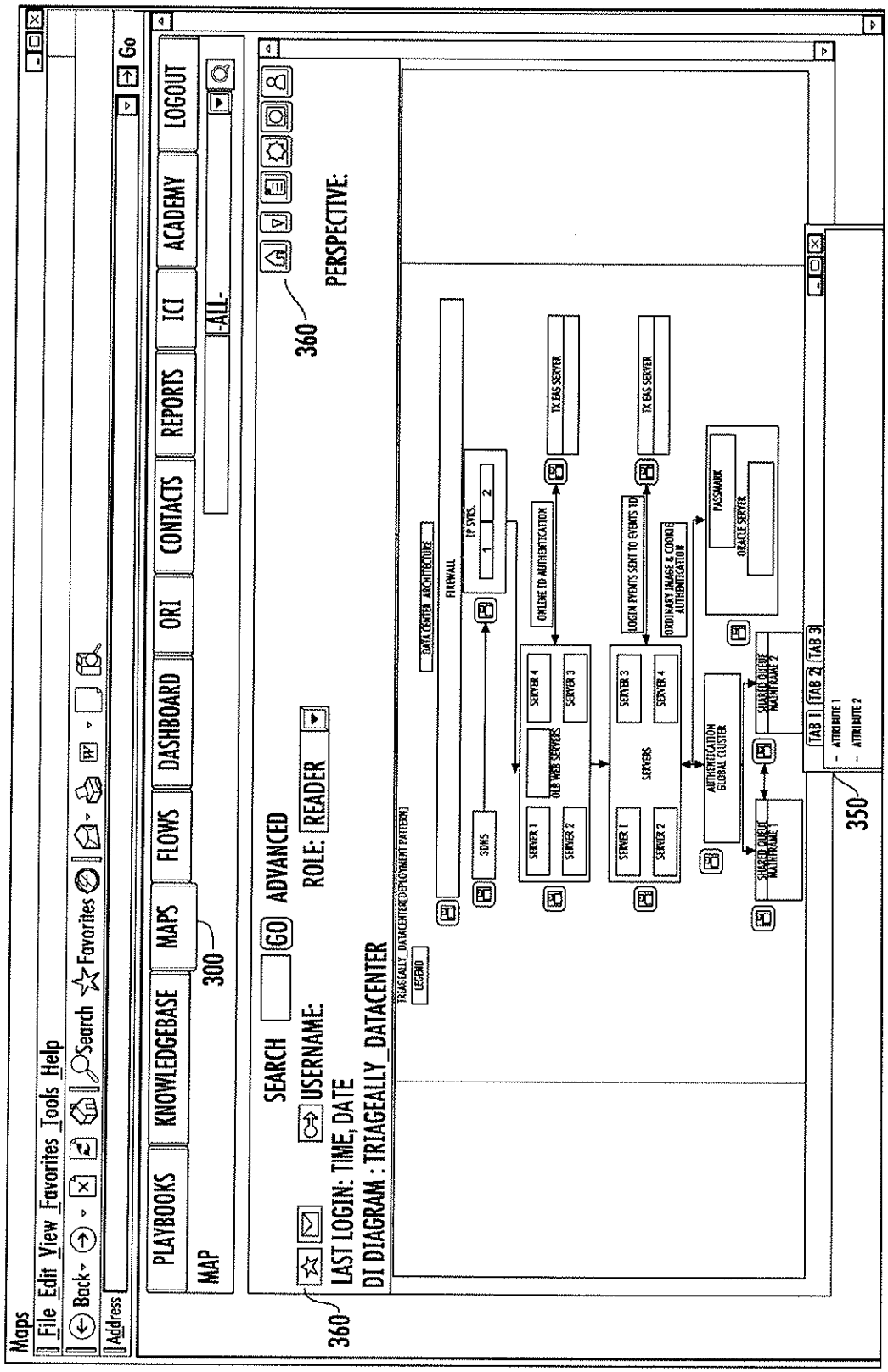

Maps
File Edit View Favorites Tools Help
Back
Search
Favorites
Address
Go
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LOGOUT
MAP
300
SEARCH
GO
ADVANCED
USERNAME:
ROLE: READER
LAST LOGIN: TIME, DATE
DI DIAGRAM : TRIAGEALLY_DATACENTER
360
360
PERSPECTIVE:
-ALL-
TRIAGEALLY_DATACENTER(DEPLOYMENT PATTERN)
LEGEND
DATA CENTER ARCHITECTURE
FIREWALL
IP SYRS.
3DNS
SERVER 1
SERVER 2
SERVER 3
SERVER 4
WEB SERVERS
ONLINE ID AUTHENTICATION
TX EAS SERVER
SERVERS
LOGIN EVENTS SENT TO EVENTS ID
ORDINARY IMAGE & COOKIE AUTHENTICATION
PASSMARK
ORACLE SERVER
AUTHENTICATION GLOBAL CLUSTER
SHARED STORAGE MULTIMODULE 1
SHARED STORAGE MULTIMODULE 2
TAB 1
TAB 2
TAB 3
ATTRIBUTE 1
ATTRIBUTE 2
350

FIG. 11

FIG. 14b

TRIAGE ALLY - OPERATIONAL RELIABILITY INDEX QUESTIONNAIRE

CATEGORY (622)	FCI PREDICTABILITY FACTORS (624)	ANSWER (626)	SUB CATEGORY WEIGHTING (628)	SOURCE (630)	FORMAT (632)	SCALE (634)	CATEGORY WEIGHTING (636)					
							E-COMM.	BCT	DCC	CARD	CRE	ATM
							25%	20%	25%	25%	30%	10%
CHANGE MANAGEMENT 651	ARE THE PROCEDURES FOR INITIATING, APPROVING, VERIFYING AND SCHEDULING CHANGES ALWAYS ADHERED TO?		30%	APPLICATION MANAGER	YES/NO							
	IS THERE A CLEAR DISTINCTION BETWEEN A CHANGE REQUEST (e.g. UPGRADE APPLICATION, CHANGE ROUTER CONFIGURATION, UPDATE FIREWALL POLICIES, ETC) AND A SERVICE REQUEST (e.g. RESETTING A PASSWORD)?		15%	APPLICATION MANAGER	YES/NO	1(LOWEST)-10						
	ARE THERE REGULAR REVIEW (ex. AT LEAST QUARTERLY) ON PERFORMANCE OF CHANGES IMPLEMENTED AGAINST DOCUMENTED KEY PERFORMANCE INDICATORS (KPI's) FOR THIS APPLICATION?		15%	APPLICATION MANAGER	YES/NO							
	ARE MULTIPLE RELATED CHANGES GROUPED AND THEN PROPERLY SCHEDULED AND COMMUNICATED TO MINIMIZE THE IMPACT TO THE BUSINESS USERS INCLUDING LAST MINUTE SCHEDULE CHANGES (FOR EXAMPLE, UNFORSEEN DELAYS DURING IMPLEMENTATION)?		40%	APPLICATION MANAGER	YES/NO							
AVAILABILITY MANAGEMENT 652							15%	20%	15%	15%	10%	20%
	ARE THERE ANY SINGLE POINTS OF FAILURE (IF NOT KNOWN, PLEASE ANSWER YES)?		30%	APPLICATION MANAGER	YES/NO (NO =30%)	NO = 50%						
	IF YES, CRITICALITY TO ENV OF SPOF?			APPLICATION	1 - 5	YES = 5						
	ARE AVAILABILITY IMPACT INFORMATION (INCLUDING THE DETAIL OF THE IMPACT OF PROPOSED CHANGES) COMMUNICATED TO THE CHANGE MANAGEMENT PROCESS AREA?		10%	APPLICATION MANAGER								
	IS THERE A REGULAR (ex. AT LEAST EVERY 6-MONTHS) REVIEW OF CURRENT INFRASTRUCTURE AGAINST REQUIRED AVAILABILITY 1. WITH A VIEW TO IDENTIFY SPOF? 2. WITH A VIEW TO OPTIMIZING EQUIPMENT (LOWERING COST)?		15%	APPLICATION MANAGER								
	DO YOU HAVE PROCEDURES FOR MONITORING, ANALYZING, AND FORECASTING SERVICE AVAILABILITY?		5%	APPLICATION MANAGER								
	ARE THERE AUDIT PROCEDURES IN PLACE TO VALIDATE THE ONGOING ACCURACY AND APPROPRIATENESS OF THE MONITORING AND FORECASTING PROCEDURES?		15%									
	DO YOU HAVE DEFINED TARGETS FOR THE AVAILABILITY, RELIABILITY AND MAINTAINABILITY OF IT INFRASTRUCTURE COMPONENTS (INCLUDING 3RD PARTY VENDORS) RELIED UPON BY THE APPLICATION?		10%	APPLICATION MANAGER								
	DO YOU CARRY OUT MONITORING AND TREND ANALYSIS OF THE AVAILABILITY, RELIABILITY AND MAINTAINABILITY OF IT INFRASTRUCTURE COMPONENTS (INCLUDING 3RD PARTY VENDORS) TO HELP IDENTIFY POTENTIAL FUTURE BOTTLENECKS?		15%	APPLICATION MANAGER								

610, 612

FIG. 14c

TRIAGE ALLY - OPERATIONAL RELIABILITY INDEX QUESTIONNAIRE

CATEGORY (622)	FCI PREDICTABILITY FACTORS (624)	ANSWER (626)	SUB CATEGORY WEIGHTING (628)	SOURCE (630)	FORMAT (632)	SCALE (634)	CATEGORY WEIGHTING (636)					
							E-COMM.	BCT	DCC	CARD	MHEIT	ATM
							10%	15%	20%	20%	10%	20%
SERVICE CONTINUITY MANAGEMENT 653	BUSINESS CONTINUITY PLAN IN STANDARD FORMAT?		30%	AIT	YES/NO	NO=0%						
	DATE OF LAST TEST		1-2+YEARS	AIT	>1 YR 0%	<1 YR 30%						
	HAVE ALL OUTSTANDING ISSUES IDENTIFIED AS A RESULT OF TESTING BEEN RESOLVED OR IS AN APPROVED BUSINESS CONTINUITY REMEDIATION PLAN IN PLACE?		15%	AIT	YES/NO							
	IS THERE A DOCUMENTED AND KNOWN RECOVERY PLAN IN PLACE FOR EACH SERVICE AREA, IN THE EVENT OF AN UNFORESEEN ISSUE?		5%	AIT								
	ARE THERE REGULAR BACKUPS OF CRITICAL DATA TAKEN AND STORED SECURELY?		30%									
	ARE CRITICAL BACKUPS OF INFORMATION TESTED ON A REGULAR BASIS?		10%									
	IS TESTING PERFORMED FOR QUALITY ATTRIBUTES SUCH AS RELIABILITY, USABILITY, AND MAINTAINABILITY?		10%									
KNOWLEDGE TRANSFER MANAGEMENT 654							5%	5%	5%	5%	5%	5%
	HAS HANDOVER/TAKEOVER MEETING CONDUCTED WITH INITIAL TEAM?		10%	APPLICATION MANAGER	YES/NO	YES=10% NO=0%						
	HAS NECESSARY DOCUMENTATION BEEN COMPLETED		30%	APPLICATION MANAGER	YES/NO	YES=30% NO=0%						
	RATE THE QUALITY OF ONBOARDING NEW RESOURCES (BANK AND STRATEGIC PARTNER)		20%	APPLICATION MANAGER	1-5 WITH 5 BEING BEST QUALITY	5=20% 4=15% 3=10% 2=5% 1=0%						
	RATE THE QUALITY OF TRAINING DOCUMENTATION AND SCHEDULES		20%	APPLICATION MANAGER	1-5 WITH 5 BEING BEST QUALITY	5=20% 4=15% 3=10% 2=5% 1=0%						
	ARE WORKAROUNDS DOCUMENTED/NEW CHANGES TESTED WITH WORKAROUNDS IN PLACE?		20%	APPLICATION MANAGER								

FIG. 14d

TRIAGE ALLY - OPERATIONAL RELIABILITY INDEX QUESTIONNAIRE

CATEGORY (622)	FCI PREDICTABILITY FACTORS (624)	ANSWER (626)	SUB CATEGORY WEIGHTING (628)	SOURCE (630)	FORMAT (632)	SCALE (634)	CATEGORY WEIGHTING (636)					
							E-COMM.	BCT	DCC	CARD	MHEIT	ATM
							15%	15%	15%	15%	15%	15%
INFRASTRUCTURE AND RISK MANAGEMENT 655	DOES APPLICATION USE ANY NON-PERMITTED TECHNOLOGIES? (CONSIDER SOFTWARE LEVEL: NON-PERMITED SCORE BY NCG (CAN BE MORE THAN 1 PER APPLICATION)		50%	RTT	YES/NO	NO=50%						
	IF YES, HOW MANY INSTANCES OF NP TECHNOLOGY EXISTS?			RTT								
	DOES APPLICATION HAVE REMEDIATION PLAN FOR ANY NON-PERMITTED TECHNOLOGIES?			RTT		YES={1 INSTANCE= 30% >1=10%); NO=0%						
	DOES APPLICATION HAVE A CONTROL PLAN?		30%	AIT	YES/NO	NO=0%						
	WAS CONTROL PLAN UPDATED WITHIN PAST CALENDAR YEAR?			AIT	>1 YR, 0%	<1 YR, 30%						
	DOES THE BUSINESS IMPACT ANALYSIS EXIST?		20%	AIT	YES/NO	NO=0%						
							5%	5%	5%	5%	5%	5%
CAPACITY MANAGEMENT 656	ON AVERAGE HOW CLOSE ARE CURRENT PROCESSING VOLUMES TO THE VOLUME CEILING OF THE APPLICATION?		40%	AIT	<40%, 40-80%, >80%	<40%= 40%, 40-80%= 15%, >80%= 0%						
	ARE THERE ANY KNOWN INITIATIVES THAT WILL INCREASE RATE OF GROWTH? (INITIATIVE, SALES CAMPAIGNS, ETC.)		5%	APPLICATION MANAGER	YES/NO	YES/NO						
	ARE THRESHOLD ALARMS IN PLACE FOR INDIVIDUAL SERVICES THAT ALERT STAFF ABOUT APPROACHING MAXIMUM CAPACITY LIMITS?		10%	APPLICATION MANAGER								
	ARE KEY COMPONENTS (RESOURCES) MONITORED FOR CAPACITY LOAD (e.g.. HARD DISK, MEMORY, CPU, etc.)		10%	APPLICATION MANAGER								
	IS CAPACITY DATA CONSTANTLY ANALYZED TO HELP IN RESOLUTION OF INCIDENTS AND PROBLEMS?		5%	APPLICATION MANAGER								
	ARE CHANGES TO THE CAPACITY OF THE APPLICATION HANDLED THROUGH A FORMAL CHANGE MANAGEMENT PROCESS?		30%	APPLICATION MANAGER								

FIG. 14e

TRIAGE ALLY - OPERATIONAL RELIABILITY INDEX QUESTIONNAIRE

CATEGORY (622)	FCI PREDICTABILITY FACTORS (624)	ANSWER (626)	SUB CATEGORY WEIGHTING (628)	SOURCE (630)	FORMAT (632)	SCALE (634)	CATEGORY WEIGHTING (636)					
							E-COMM.	BCT	DCC	CARD	MHEIT	ATM
							10%	10%	5%	5%	10%	10%
SERVICE LEVEL MANAGEMENT 657	DO SERVICE LEVEL AGREEMENTS EXIST BETWEEN LOB AND GTS&F?		20%	ATT	YES/NO	YES= 20%;NO= 0%						
	IS RISK RATING ASSIGNED BY LOB?		20%	ATT	YES/NO	YES= 20%;NO= 0%						
	DOES THE CURRENT APPLICATION DESIGN SUPPORT THE LOB RISK RATING/SLA?		20%	APPLICATION MANAGER	YES/NO	YES= 20%;NO= 0%						
	ARE AGREEMENTS WITH VENDORS DOCUMENTED AND REFLECTED IN THE SLA'S?		20%	APPLICATION MANAGER								
	DOES THE SLA STRUCTURE INCLUDE FEATURES SUCH AS RELIABILITY, SECURITY, SERVICE HOURS, SUPPORT, RESPONSE TIMES, TURNAROUND TIMES, PERFORMANCE CRITERIA?		10%	APPLICATION MANAGER								
	ARE THERE MECHANISMS IN PLACE TO MONITOR AND MEASURE ALL ITEMS IN EXISTING SLAS?		5%	APPLICATION MANAGER								
	DO SLAS HAVE CLEARLY IDENTIFIED KEY TARGETS FOR SERVICE HOURS, AVAILABILITY, RELIABILITY, SUPPORT, RESPONSE TIMES AND CHANGE HANDLING?		5%	APPLICATION MANAGER								
SYSTEMS MANAGEMENT 658							15%	10%	10%	10%	15%	15%
	ARE TOOLS AND PROCESSES IN PLACE TO AUTOMATE OR QUICKLY REACT TO CUSTOMER/ AGENT IMPACTING EVENTS TO PROVIDE OPERATIONAL RELIEF?		35%	APPLICATION MANAGER								
	ARE COMPONENT BASED TECHNOLOGY MONITORING TOOLS AVAILABLE? EXAMPLE: INTROSCOPE, PERFMON, NETSCOUT, SITESCOPE, MQUEUE COMMAND CENTER, etc.		15%	APPLICATION MANAGER								
	IS THE OPERATING SYSTEM (ON WHICH THE APPLICATION COMPONENTS ARE INSTALLED) MONITORED ON SERVER AND CLIENT MACHINES (CPU LOAD, MEMORY USAGE, DIRECTORY SPACE)		10%	APPLICATION MANAGER								
	IS THE OPERATING SYSTEM (ON WHICH THE APPLICATION COMPONENTS ARE INSTALLED) MONITORED ON SERVER AND CLIENT MACHINES (PAGING, FILE I/O, NETWORK I/O, LOCAL DISK I/O, REMOTE DISK I/O)		5%	APPLICATION MANAGER								
	ARE APPLICATION PERFORMANCE TRENDS MONITORED AND PROJECTED FORWARD TO INDICATE WHEN THRESHOLDS WILL BREAK AND PROJECTED BREAKS THAT ARE ALERTED WITH SUFFICIENT TIME TO ALTER THE APPLICATION/SYSTEM TO AVOID SERVICE BREAKS FROM HAPPENING?		15%	APPLICATION MANAGER								
	ARE COMPONENT/APPLICATION INTERFACES MONITORED (ALL COMPONENT BOUNDARIES , FRAMEWORK INTERFACES)		5%	APPLICATION MANAGER								
	ARE CUSTOMER EXPERIENCE MONITORING TOOLS AVAILABLE? EXAMPLE: TOPAZ, ONLINE BANKING MONITOR, COMPUWARE VANTAGE AGENTLESS MONITORING (MEASURE TRANSACTION RESPONSE TIME, TRANSACTION VOLUME)		15%	APPLICATION MANAGER								
							100%	100%	100%	100%	100%	100%

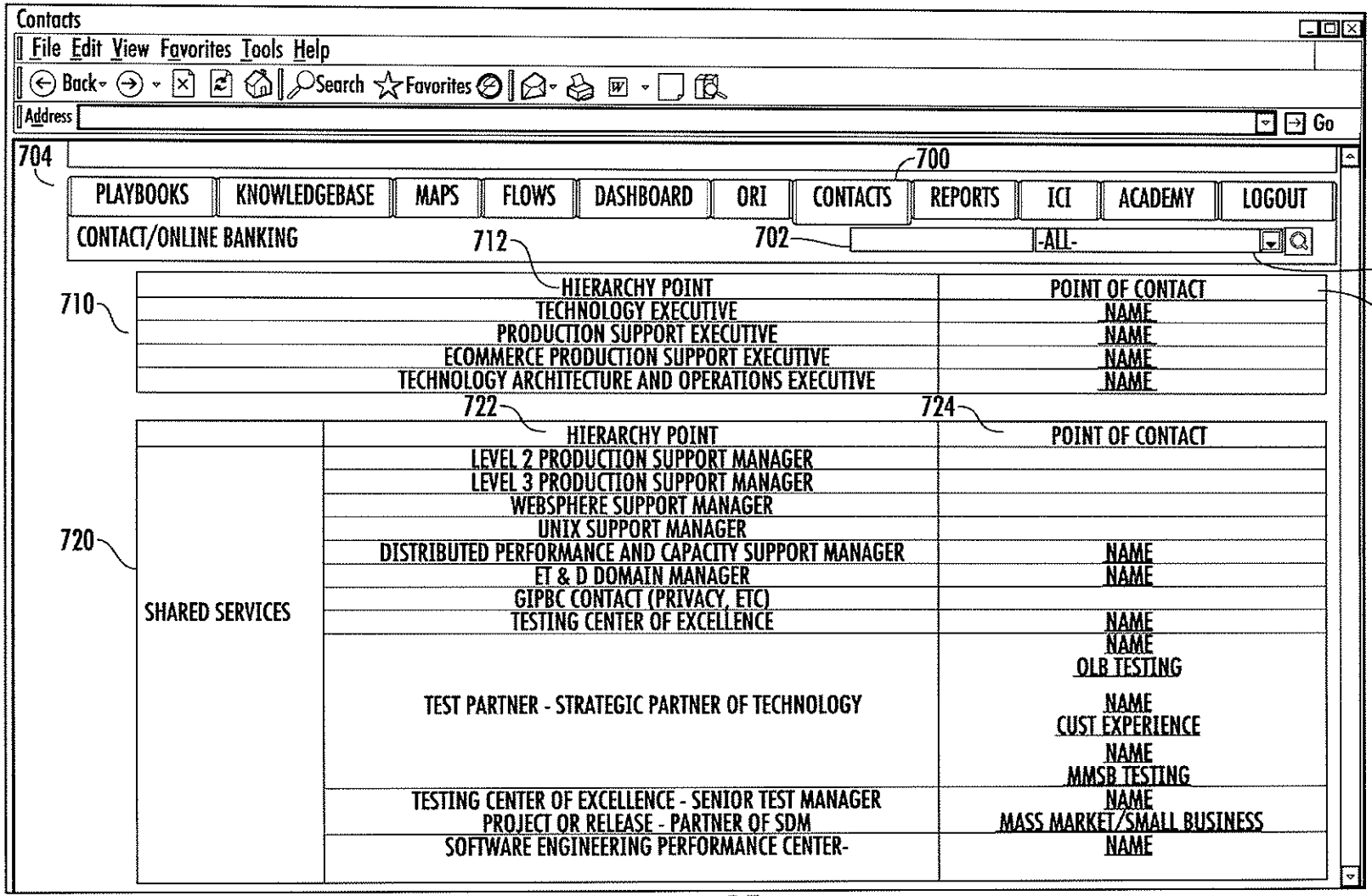

Contacts
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
704
700
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LOGOUT
CONTACT/ONLINE BANKING
712
702
-ALL-
703
710
HIERARCHY POINT
POINT OF CONTACT
714
TECHNOLOGY EXECUTIVE
NAME
PRODUCTION SUPPORT EXECUTIVE
NAME
ECOMMERCE PRODUCTION SUPPORT EXECUTIVE
NAME
TECHNOLOGY ARCHITECTURE AND OPERATIONS EXECUTIVE
NAME
722
724
720
HIERARCHY POINT
POINT OF CONTACT
SHARED SERVICES
LEVEL 2 PRODUCTION SUPPORT MANAGER
LEVEL 3 PRODUCTION SUPPORT MANAGER
WEBSPHERE SUPPORT MANAGER
UNIX SUPPORT MANAGER
DISTRIBUTED PERFORMANCE AND CAPACITY SUPPORT MANAGER
NAME
ET & D DOMAIN MANAGER
NAME
GIPBC CONTACT (PRIVACY, ETC)
TESTING CENTER OF EXCELLENCE
NAME
TEST PARTNER - STRATEGIC PARTNER OF TECHNOLOGY
NAME
OLB TESTING
NAME
CUST EXPERIENCE
NAME
MMSB TESTING
TESTING CENTER OF EXCELLENCE - SENIOR TEST MANAGER
PROJECT OR RELEASE - PARTNER OF SDM
NAME
MASS MARKET/SMALL BUSINESS
SOFTWARE ENGINEERING PERFORMANCE CENTER-
NAME

FIG. 15

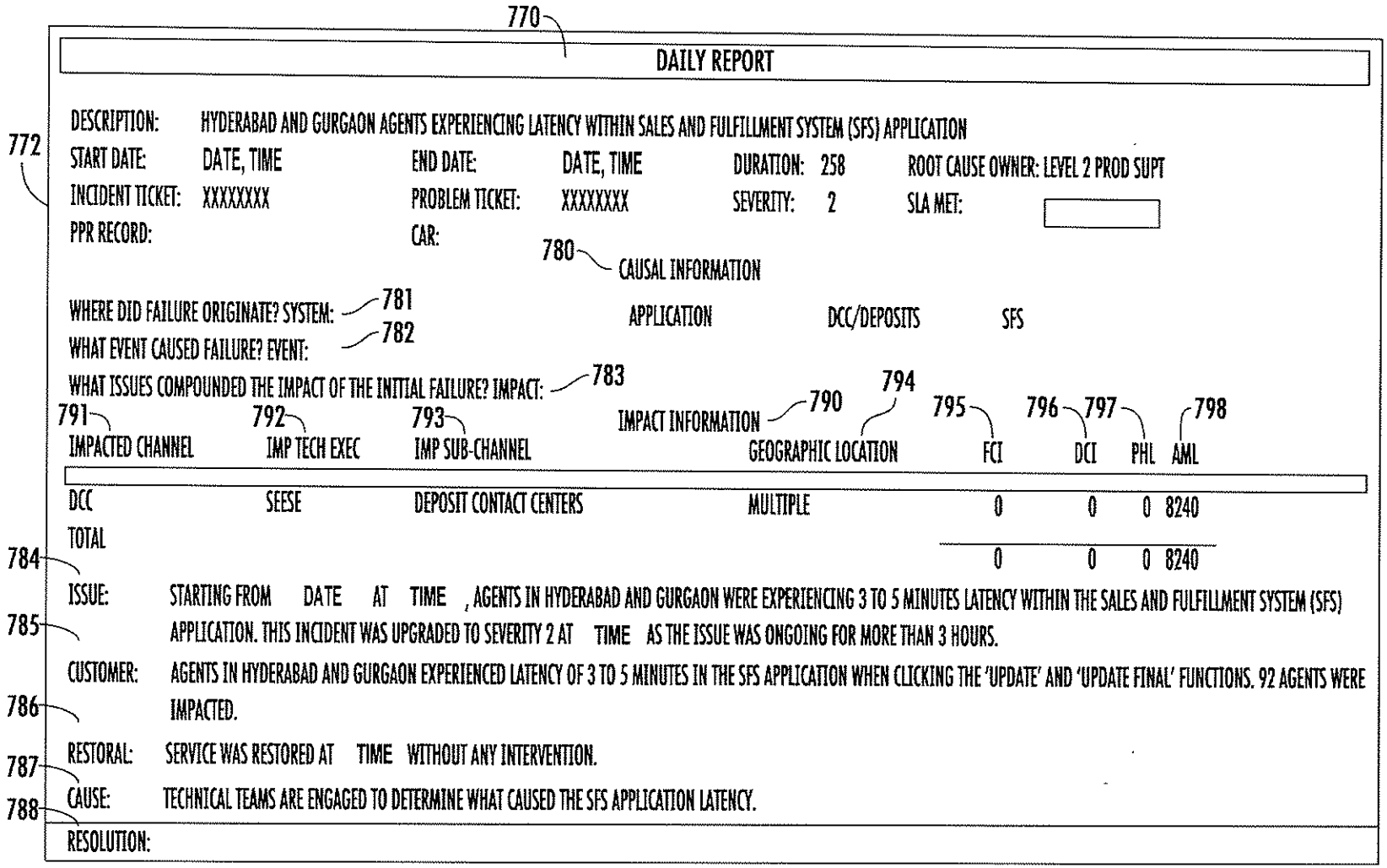

770
DAILY REPORT
772
DESCRIPTION: HYDERABAD AND GURGAON AGENTS EXPERIENCING LATENCY WITHIN SALES AND FULFILLMENT SYSTEM (SFS) APPLICATION
START DATE: DATE, TIME
END DATE: DATE, TIME
DURATION: 258
ROOT CAUSE OWNER: LEVEL 2 PROD SUPT
INCIDENT TICKET: XXXXXXXX
PROBLEM TICKET: XXXXXXXX
SEVERITY: 2
SLA MET:
PPR RECORD:
CAR:
780
CAUSAL INFORMATION
WHERE DID FAILURE ORIGINATE? SYSTEM: 781
APPLICATION
DCC/DEPOSITS
SFS
WHAT EVENT CAUSED FAILURE? EVENT: 782
WHAT ISSUES COMPOUNDED THE IMPACT OF THE INITIAL FAILURE? IMPACT: 783
IMPACT INFORMATION 790
791 IMPACTED CHANNEL
792 IMP TECH EXEC
793 IMP SUB-CHANNEL
794 GEOGRAPHIC LOCATION
795 FCI
796 DCI
797 PHL
798 AML
DCC SEESE DEPOSIT CONTACT CENTERS MULTIPLE 0 0 0 8240
TOTAL 0 0 0 8240
784 ISSUE: STARTING FROM DATE AT TIME , AGENTS IN HYDERABAD AND GURGAON WERE EXPERIENCING 3 TO 5 MINUTES LATENCY WITHIN THE SALES AND FULFILLMENT SYSTEM (SFS) APPLICATION. THIS INCIDENT WAS UPGRADED TO SEVERITY 2 AT TIME AS THE ISSUE WAS ONGOING FOR MORE THAN 3 HOURS.
785 CUSTOMER: AGENTS IN HYDERABAD AND GURGAON EXPERIENCED LATENCY OF 3 TO 5 MINUTES IN THE SFS APPLICATION WHEN CLICKING THE 'UPDATE' AND 'UPDATE FINAL' FUNCTIONS. 92 AGENTS WERE IMPACTED.
786 RESTORAL: SERVICE WAS RESTORED AT TIME WITHOUT ANY INTERVENTION.
787 CAUSE: TECHNICAL TEAMS ARE ENGAGED TO DETERMINE WHAT CAUSED THE SFS APPLICATION LATENCY.
788 RESOLUTION:

FIG. 17

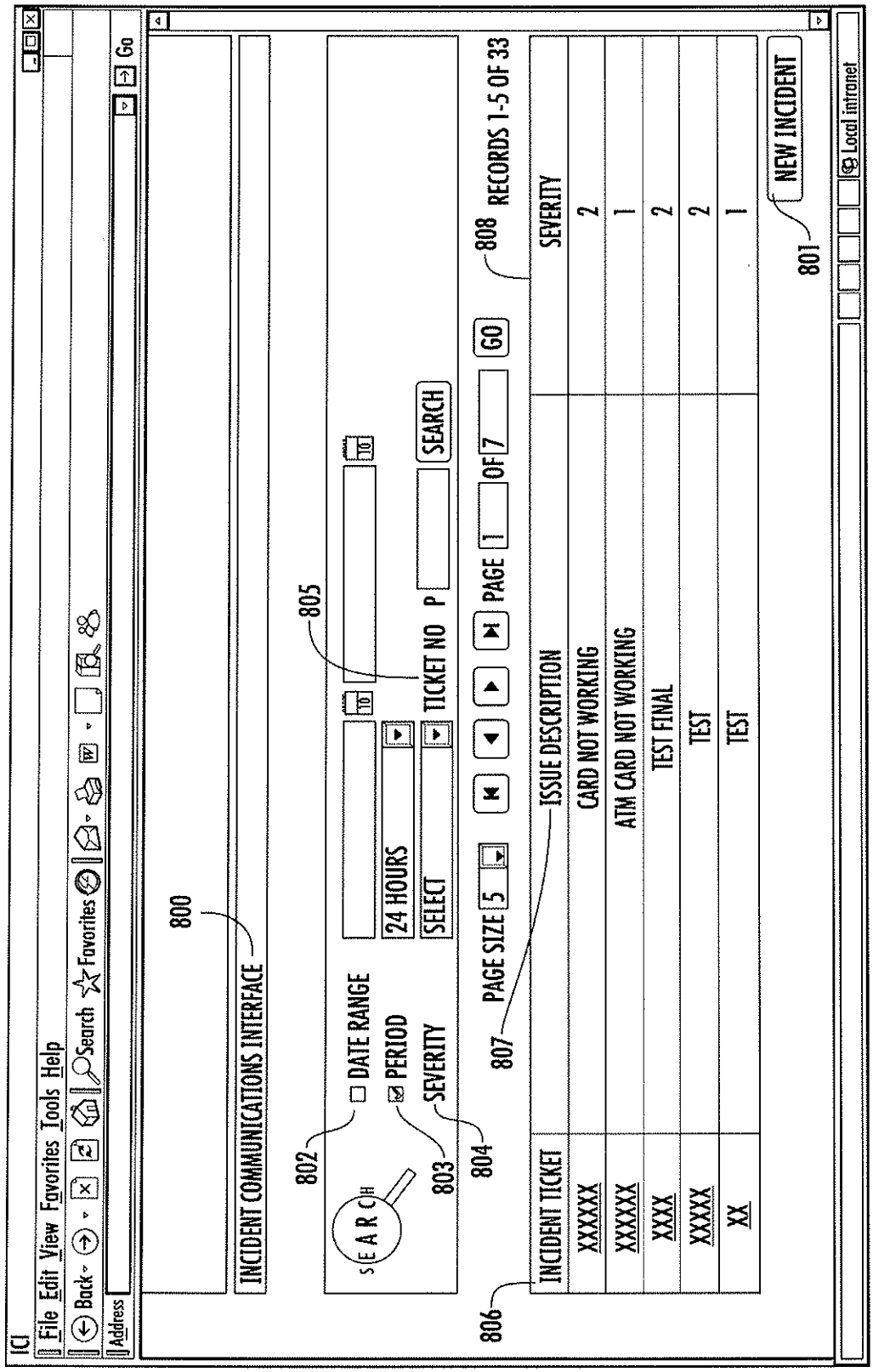

ICI
File Edit View Favorites Tools Help
Back
Search
Favorites
Address
Go
800
INCIDENT COMMUNICATIONS INTERFACE
805
SEARCH
802
DATE RANGE
PERIOD
803
SEVERITY
24 HOURS
SELECT
TICKET NO
P
SEARCH
804
PAGE SIZE 5
PAGE 1 OF 7
GO
808
RECORDS 1-5 OF 33
807
806
INCIDENT TICKET
ISSUE DESCRIPTION
SEVERITY
XXXXXX
CARD NOT WORKING
2
XXXXXX
ATM CARD NOT WORKING
1
XXXX
TEST FINAL
2
XXXXX
TEST
2
XX
TEST
1
801
NEW INCIDENT
Local intranet

FIG. 19

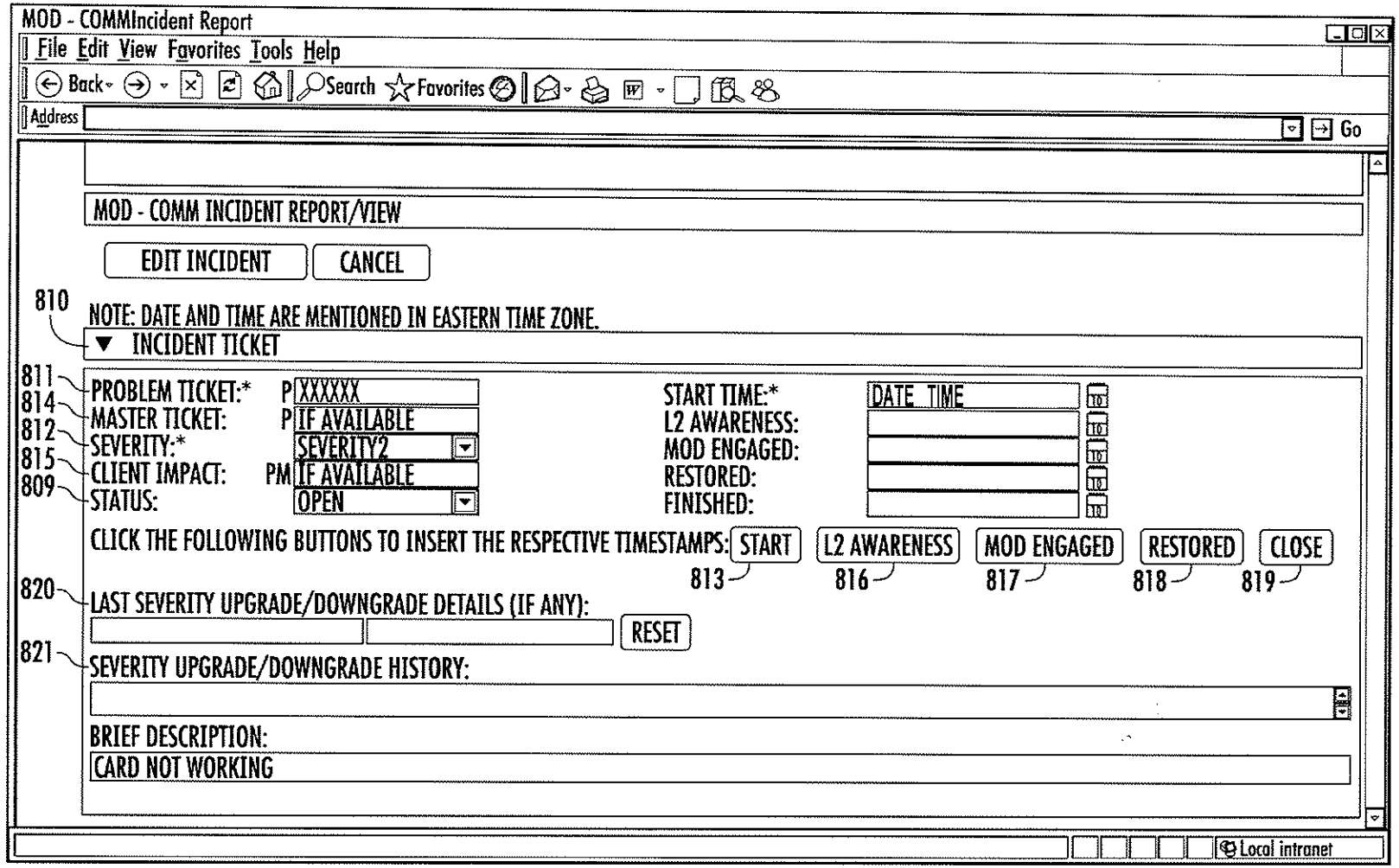

MOD - COMMIncident Report
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
MOD - COMM INCIDENT REPORT/VIEW
EDIT INCIDENT
CANCEL
810
NOTE: DATE AND TIME ARE MENTIONED IN EASTERN TIME ZONE.
INCIDENT TICKET
811
PROBLEM TICKET:*
P XXXXXX
814
MASTER TICKET:
P IF AVAILABLE
812
SEVERITY:*
SEVERITY2
815
CLIENT IMPACT:
PM IF AVAILABLE
809
STATUS:
OPEN
START TIME:*
DATE TIME
L2 AWARENESS:
MOD ENGAGED:
RESTORED:
FINISHED:
CLICK THE FOLLOWING BUTTONS TO INSERT THE RESPECTIVE TIMESTAMPS:
START
L2 AWARENESS
MOD ENGAGED
RESTORED
CLOSE
813
816
817
818
819
820
LAST SEVERITY UPGRADE/DOWNGRADE DETAILS (IF ANY):
RESET
821
SEVERITY UPGRADE/DOWNGRADE HISTORY:
BRIEF DESCRIPTION:
CARD NOT WORKING
Local intranet

FIG. 20

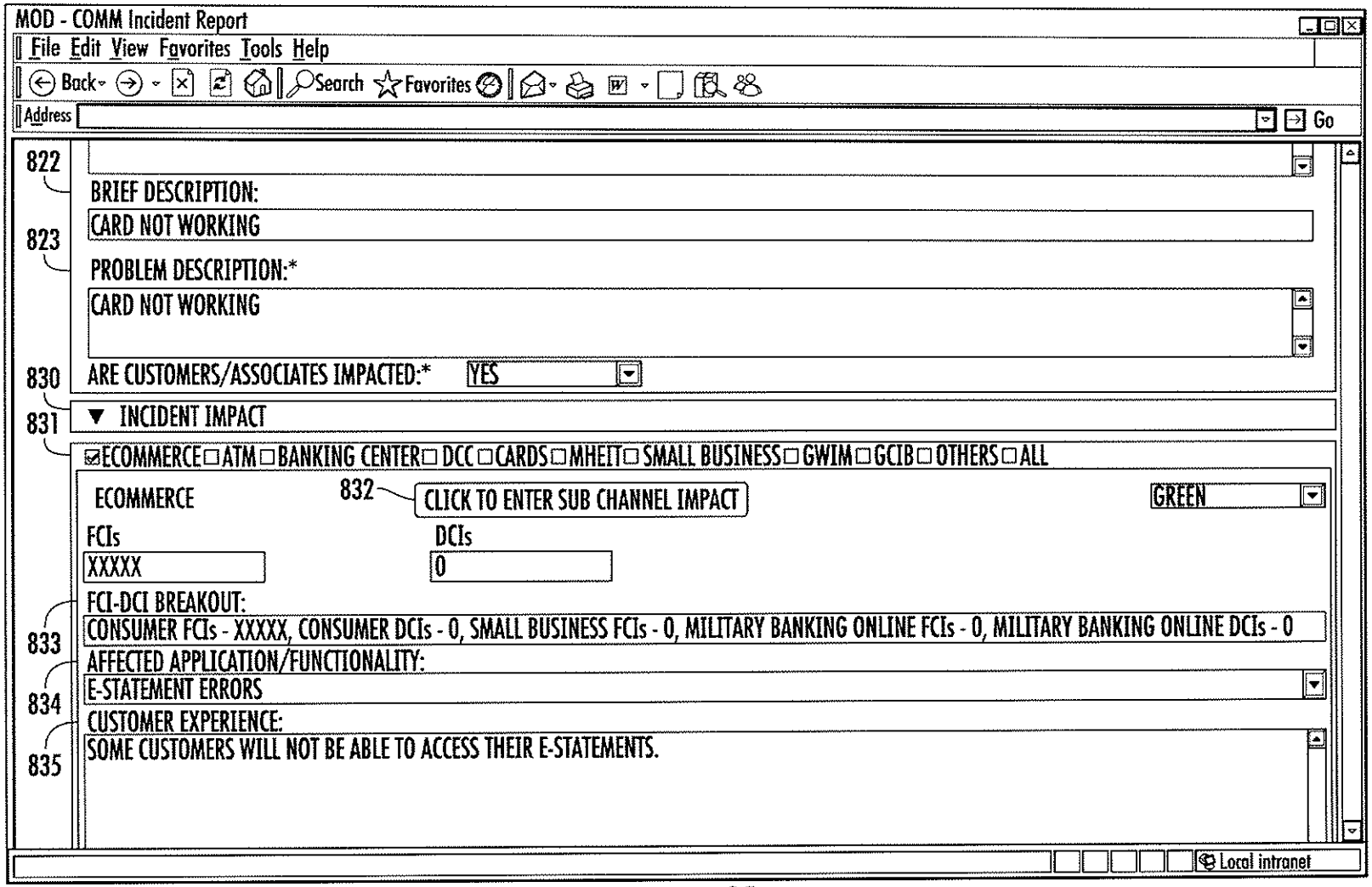

MOD - COMM Incident Report
File Edit View Favorites Tools Help
Back Search Favorites
Address
Go
822
BRIEF DESCRIPTION:
CARD NOT WORKING
823
PROBLEM DESCRIPTION:*
CARD NOT WORKING
830
ARE CUSTOMERS/ASSOCIATES IMPACTED:*
YES
831
INCIDENT IMPACT
ECOMMERCE ATM BANKING CENTER DCC CARDS MHEIT SMALL BUSINESS GWIM GCIB OTHERS ALL
ECOMMERCE
832
CLICK TO ENTER SUB CHANNEL IMPACT
GREEN
FCIs
XXXXX
DCIs
0
FCI-DCI BREAKOUT:
833
CONSUMER FCIs - XXXXX, CONSUMER DCIs - 0, SMALL BUSINESS FCIs - 0, MILITARY BANKING ONLINE FCIs - 0, MILITARY BANKING ONLINE DCIs - 0
AFFECTED APPLICATION/FUNCTIONALITY:
834
E-STATEMENT ERRORS
CUSTOMER EXPERIENCE:
835
SOME CUSTOMERS WILL NOT BE ABLE TO ACCESS THEIR E-STATEMENTS.
Local intranet

FIG. 21

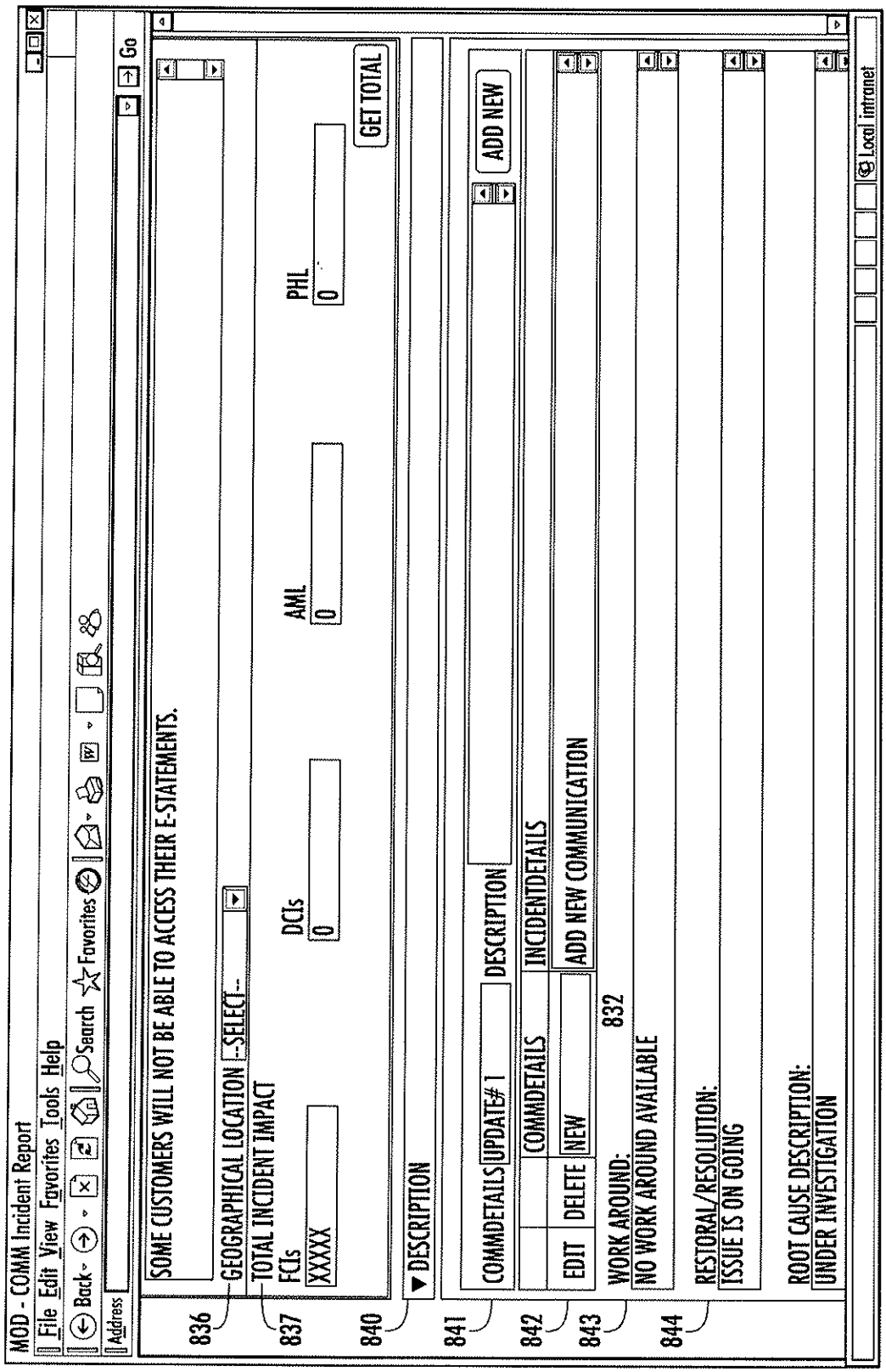

MOD - COMM Incident Report
File Edit View Favorites Tools Help
Back
Search
Favorites
Address
Go
SOME CUSTOMERS WILL NOT BE ABLE TO ACCESS THEIR E-STATEMENTS.
836
GEOGRAPHICAL LOCATION
--SELECT--
TOTAL INCIDENT IMPACT
837
FCIs
XXXXX
DCIs
0
AML
0
PHL
0
GET TOTAL
840
DESCRIPTION
841
COMMDETAILS
UPDATE# 1
DESCRIPTION
ADD NEW
842
COMMDETAILS
INCIDENTDETAILS
EDIT
DELETE
NEW
ADD NEW COMMUNICATION
843
WORK AROUND:
832
NO WORK AROUND AVAILABLE
844
RESTORAL/RESOLUTION:
ISSUE IS ON GOING
ROOT CAUSE DESCRIPTION:
UNDER INVESTIGATION
Local intranet

FIG. 22

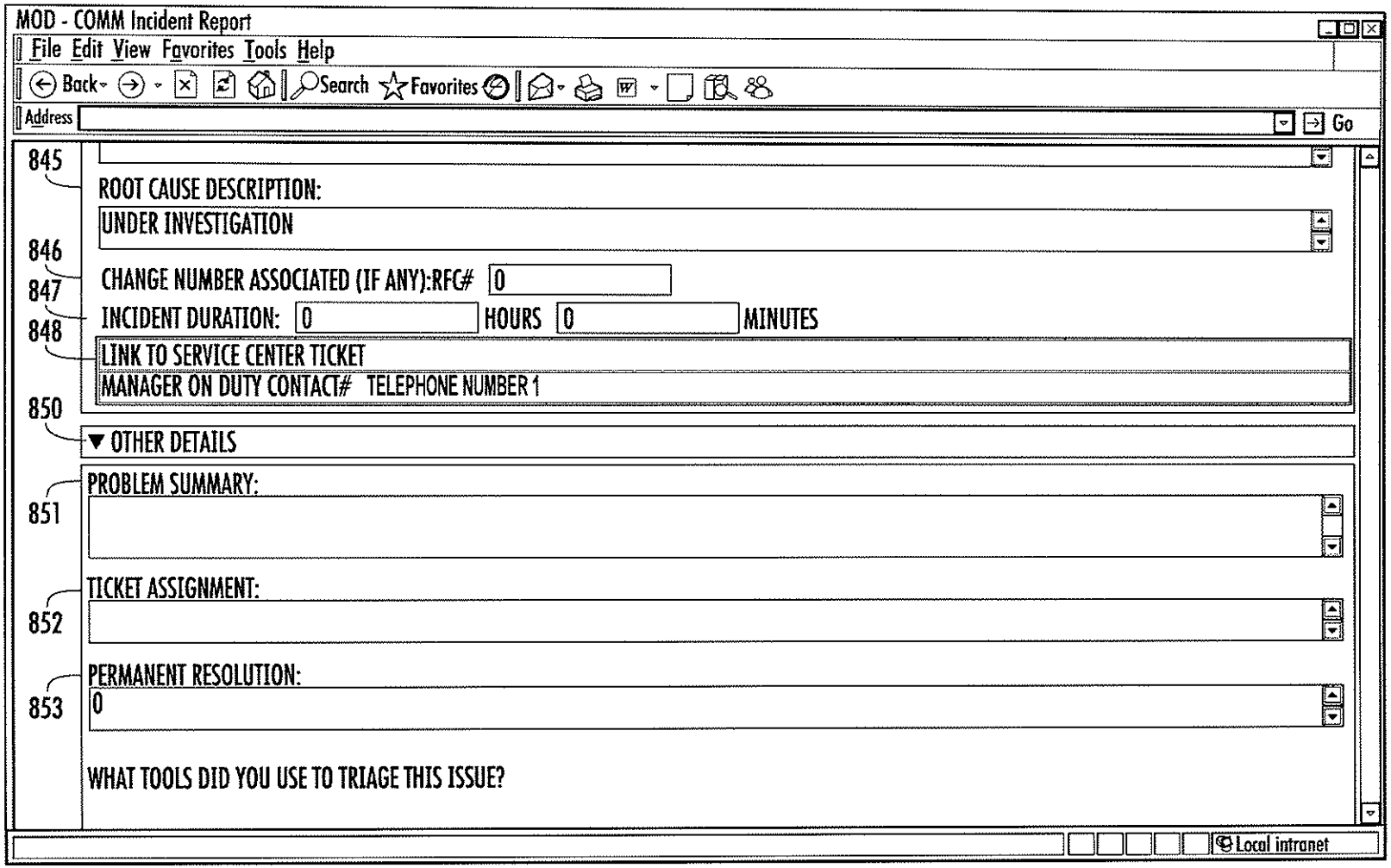

MOD - COMM Incident Report
File Edit View Favorites Tools Help
Back
Search
Favorites
Address
Go
845
ROOT CAUSE DESCRIPTION:
UNDER INVESTIGATION
846
CHANGE NUMBER ASSOCIATED (IF ANY):RFC#
0
847
INCIDENT DURATION:
0
HOURS
0
MINUTES
848
LINK TO SERVICE CENTER TICKET
MANAGER ON DUTY CONTACT# TELEPHONE NUMBER 1
850
▼ OTHER DETAILS
PROBLEM SUMMARY:
851
TICKET ASSIGNMENT:
852
PERMANENT RESOLUTION:
853
0
WHAT TOOLS DID YOU USE TO TRIAGE THIS ISSUE?
Local intranet

FIG. 23

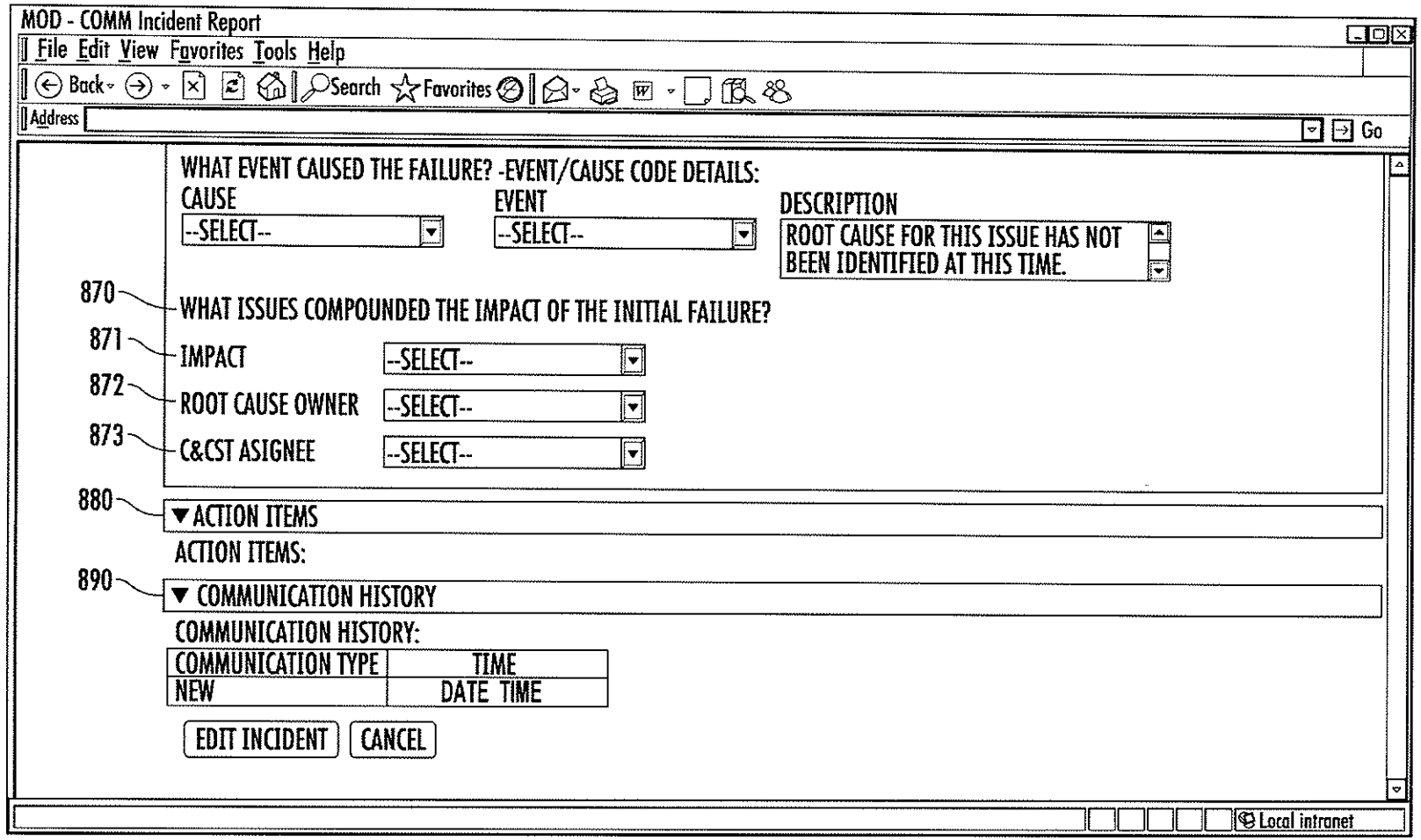

MOD - COMM Incident Report
File Edit View Favorites Tools Help
Back
Search
Favorites
Address
Go
WHAT EVENT CAUSED THE FAILURE? -EVENT/CAUSE CODE DETAILS:
CAUSE
--SELECT--
EVENT
--SELECT--
DESCRIPTION
ROOT CAUSE FOR THIS ISSUE HAS NOT BEEN IDENTIFIED AT THIS TIME.
870
WHAT ISSUES COMPOUNDED THE IMPACT OF THE INITIAL FAILURE?
871
IMPACT
--SELECT--
872
ROOT CAUSE OWNER
--SELECT--
873
C&CST ASIGNEE
--SELECT--
880
ACTION ITEMS
ACTION ITEMS:
890
COMMUNICATION HISTORY
COMMUNICATION HISTORY:
COMMUNICATION TYPE
TIME
NEW
DATE TIME
EDIT INCIDENT
CANCEL
Local intranet

FIG. 25

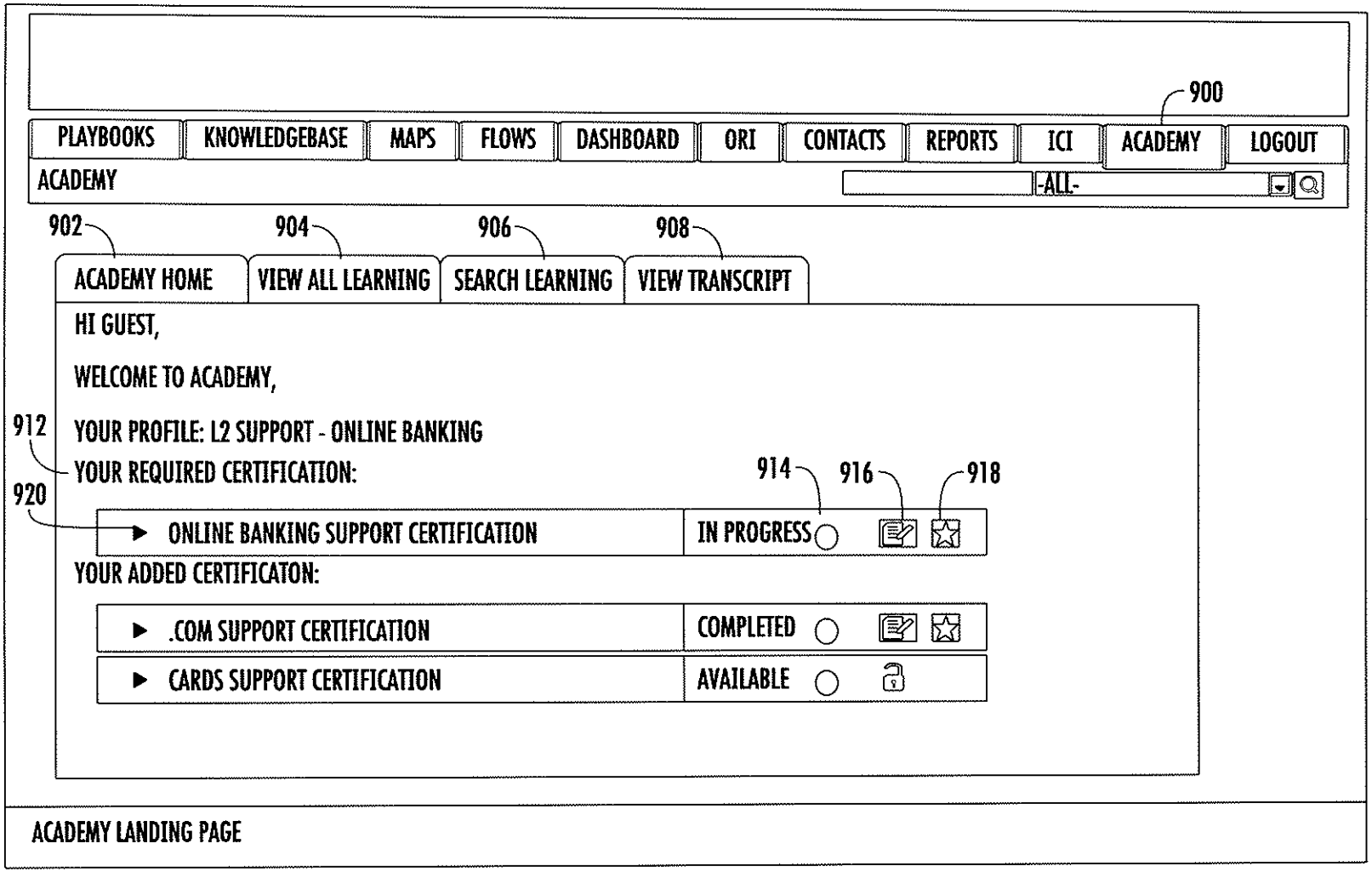

900
PLAYBOOKS
KNOWLEDGEBASE
MAPS
FLOWS
DASHBOARD
ORI
CONTACTS
REPORTS
ICI
ACADEMY
LOGOUT
ACADEMY
-ALL-
902
904
906
908
ACADEMY HOME
VIEW ALL LEARNING
SEARCH LEARNING
VIEW TRANSCRIPT
HI GUEST,
WELCOME TO ACADEMY,
912
YOUR PROFILE: L2 SUPPORT - ONLINE BANKING
YOUR REQUIRED CERTIFICATION:
914
916
918
920
ONLINE BANKING SUPPORT CERTIFICATION
IN PROGRESS
YOUR ADDED CERTIFICATON:
.COM SUPPORT CERTIFICATION
COMPLETED
CARDS SUPPORT CERTIFICATION
AVAILABLE
ACADEMY LANDING PAGE

FIG. 27