

## **Device and Method for Bonding of Substrates**

### **Specification**

This invention relates to a device for bonding of one bond side of a first substrate to one bond side of a second substrate, and a corresponding method.

In particular when bonding metallic or metalized substrates or substrates with metallic surfaces, the oxidation of the bond sides of the substrates which are to be bonded does play an important part by its making the bond process more difficult. The oxide prevents or reduces the formation of a mechanically and/or electrically high-quality contact. Especially as a result of long heat-up and cooling times this is associated with a deterioration of throughput, and the higher the temperature during bonding is or must be, the greater the effects of the expansion due to temperature differences on the alignment or the calibration accuracy of the substrates. Furthermore, for example certain MEMS devices and/or HL devices do not allow high process temperatures.

Embodiments of this invention disclose a device and a method for bonding with which the bond process can be carried out more efficiently and with less effect on the alignment accuracy.

According to a first aspect of the present invention, there is provided an apparatus for bonding a bond side of a first substrate to a bond side of a second substrate, said apparatus being configured to be closed and gastight relative to a surrounding environment, comprising : a module group comprising: at least one central module comprising a movement apparatus arranged therewithin; at least one reduction module comprising a reduction space, the reduction space being connected in a sealed manner to the central module, the reduction module being configured to reduce the bond sides; at least one bond module comprising a bond space, the bond module being connected in a sealed manner to the central module, the bond module being configured to bond the substrates on the bond sides; and at least one process module connected in a sealed manner to the central module, the process module being configured to perform further

processes with respect to the substrates to be bonded in the bond module, wherein the movement apparatus is configured to move the first and second substrate in the apparatus between the modules, wherein the reduction space in the reduction module and/or the bond space in the bond module are configured to be separated from the central module in a sealed manner, wherein the at least one process module comprises an alignment module configured to align the substrates to each other, and wherein the at least one process module further comprises a cleaning module configured to preclean, course clean, and/or completely reduce oxides from respective surfaces of the substrates.

According to a second aspect of the present invention, there is provided a method for bonding one bond side of a first substrate to one bond side of a second substrate in a bonding apparatus including a module group, the module group including a central module, a reduction module, a bond module, and a process module, the central module including a movement apparatus arranged therewithin, the apparatus being configured to be closed and gastight relative to a surrounding environment, the method comprising: processing the substrates to be bonded in the bond module, the processing being performed in the process module, said process module being connected in a sealed manner to the central module; moving the substrates from the process module to the reduction module using the movement apparatus; reducing the bond sides of said substrates in the reduction module, said reduction module being connected in a sealed manner to the central module; moving the from the reduction module to the bond module using the movement apparatus; and bonding the first substrate to the second substrate on the bond sides in the bond module, the bond module being connected in a sealed manner to the central module, wherein a reduction space in the reduction module and/or a bond space in the bond module are configured to be separated from the central module in a sealed manner.

All combinations of at least two of the features given in the specification, the claims and/or the figures also fall within the scope of the invention. At the given value ranges, values within the indicated limits will also be considered to be disclosed as boundary values and will be claimed in any combination.

Embodiments of the invention are based on the idea of ensuring an effective and/or accelerating bond by reduction of oxide layers arising on the bond sides of the first substrate and of the second substrate as uniformly and completely as possible up to making contact and bonding of the substrates. This is achieved by a module group being provided with a common working space which can be sealed relative to the atmosphere as per embodiments of the invention, and in which both a reduction of the bond sides and also the bonding of the substrates take place. Depending on the chemical and/or physical properties of the oxide which is present or forming on the bond sides, a corresponding composition of the medium in the working space can be set by the separation relative to the atmosphere.

Substrates as per embodiments of the invention are especially Si substrates in which Cu-Cu bonds are present on the bond sides which are bonded as the process progresses. Alternatively, as per embodiments of the invention substrates with other metal layers such as for example of Au, W, Ni, Pd, Pt, Sn etc. or a combination of metals can be used. Examples of this would be Si wafers which are coated with Al, Si wafers which are coated with Cu and Sn, Si wafers which are coated with Ti, or Si substrates which are coated with Cu and a blocking layer which is conventional in the industry, which lies under the Cu and which is known to one skilled in the art, for example of Ti, Ta, W, TiN, TaN, TiW, etc. which is intended to prevent the diffusion of the Cu into the Si. These diffusion barriers are known to one skilled in the art in the field.

Accordingly, as per embodiments of the invention it is decisive to provide a working space which can be sealed, preferably hermetically, from the environment, therefore from an oxidizing atmosphere, in which space both a reduction of possible oxide layers on the bond sides, preferably on the entire substrate, as well as bonding can be carried out. Thus, as per embodiments of the invention repeated oxidation of the bond sides between a reduction and the bond process can be prevented. Depending on the composition of the substrates, especially the metal coating which is present on the substrates, different components of an atmosphere can act in an oxidizing manner. But generally oxygen and chemical compounds which contain oxygen have an oxidizing effect. Therefore, in particular in the working space in addition to using a reducing composition of the

medium the concentration of oxygen and water/water vapor will be greatly reduced or will preferably be roughly zero.

It is especially conceivable as per embodiments of the invention to connect additional modules to the working space for further process optimization, especially for pretreatment and/or aftertreatment and/or measurement of the physical and/or chemical properties of the substrates which are to be bonded in the working space. Important method steps can be heating, reducing, alignment, cooling, measuring the layer thickness, etc.

This can be implemented especially advantageously according to the device when other modules as per embodiments of the invention, such as especially the reduction module and the bond module, are located around a central module, especially encompassing the movement apparatus, and these modules can be docked especially on the central module. The movement apparatus is preferably a commercial industrial robot with a corresponding end effector. The modules can be positioned or positionable especially in a star shape or clustered around the central module.

The reduction module is preferably built such that several bond chucks can be accommodated at the same time. In the ideal case the reduction module and the bond module are built such that the throughput of the entire system with respect to these process steps is maximized. In one especially advantageous version, at least two modules are placed upstream of the bond module, of which one is at least the reduction module and the second is a type of storage module. The bond chucks are loaded with the loaded wafers into the reduction module and treated. Afterwards the bond chucks can be buffered in the storage module and are available at any time for immediate bond use. In one special embodiment the storage module can also be built as a reduction module.

According to one advantageous embodiment of the invention it is provided that a reduction space in the reduction module and/or a bond space in the bond module can be separated from the working space, especially in a sealed manner, preferably by subdividing the working space. Thus the reduction and/or bonding can be limited to the corresponding part of the working space, as a result

of which a further increase of efficiency and acceleration are enabled. The separation can take place especially by transfer between the central module and the working space and/or bond space. In addition, it is conceivable to thermally insulate and/or electromagnetically shield the reduction space and/or bonding space.

According to one embodiment, it is advantageous here if the reduction space, especially separately from the working space, can be exposed, especially flushed, with a reducing atmosphere, especially by plasma reduction and/or gas reduction. In particular by repeating a flushing process several times, preferably in alternation with evacuation, the reducing/reduction of the oxide layer is optimized. Ideally the oxide layer is completely removed. Preferably the reduction takes place in the reduction space at least largely isothermally, especially at a temperature between the reduction temperature (RT) and 400°C, preferably between RT and 300°C, even more preferably between RT and 200°C, most preferably between RT and 100°C, most preferably of all at the RT.

The reduction temperature is started by a heat ramp which can be chosen depending on the respective oxide to be reduced in order to obtain optimum results. Thus, as per embodiments of the invention a temperature profile and/or a pressure profile can progress, preferably controlled by the (central) control apparatus, in the reduction space.

To the extent the reduction space and/or the bond space can be heated especially separately from the working space to a reduction temperature (reduction space) or bond temperature (bond space) by especially separate heating means, the temperature control can be controlled on the respective process. This is associated with the advantage that a smaller volume need be heated up, as a result of which the process is accelerated. Advantageously the bond chuck and/or the wafer is brought to temperature in one of the modules and loses its heat during the short transport between the modules. Preferably the bond chuck therefore has a high heat capacity.

Here it is advantageous as per embodiments of the invention if the reduction space can be exposed especially separately to a temperature profile and/or pressure profile, especially coupled with preferably repeated flushing of the reduction space with a reduction medium.

In an embodiment of the invention it is provided that the working space, especially with the

reduction space separated and/or bond space separated, can be exposed to a reducing atmosphere. Thus the working space can be separately influenced when the reduction space or bond space are separated, especially separately controlled.

The handling of the wafers between the reduction module and the bond module by the working space can be carried out with lower pressure than in the vicinity/atmosphere. Due to the low pressure of the medium, especially of the gas or gas mixture, in the working space at this instant the temperature loss of the wafer is greatly reduced during this transfer since convection losses hardly take place. Thus it can be ensured that the substrates are kept at a temperature greater than 100°C; this prevents possible residual moisture located in the working space from leading to repeated oxidation of the wafers. Advantageously the working space even at this low pressure is filled with an inert and/or reducing gas, especially a forming gas.

To the extent features of the device are disclosed here and/or in the following description of the figures, they should also be considered as disclosed as features of the method and vice versa.

Other advantages, features and details of the invention will become apparent from the following description of preferred exemplary embodiments and using the drawings.

Figure 1 shows a schematic aspect of a device as claimed in the invention in a first embodiment,

Figure 2 shows a flow chart of a method step as claimed in the invention for reduction in a reduction space as claimed in the invention and

Figure 3 shows a schematic aspect view of a device as claimed in the invention in a second embodiment.

In the figures advantages and features of the invention are labeled with the reference numbers which identify them according to embodiments of the invention, components or features with the same function or function with the same effect being labeled with identical reference

numbers.

As claimed in the invention the (coarse) oxide removal according to the first embodiment (Figure 1) is implemented such that a first substrate and a second substrate are cleaned especially in sequence, first in an external cleaning module 1. In this external cleaning module 1 coarse reduction or coarse removal can be undertaken by means of etching of a possible oxide. Substrates can be especially wafers.

The substrates are accordingly aligned to one another in an alignment module 2. The alignment takes place as claimed in the invention on a bond chuck which is not shown and which accommodates and fixes the substrates which are aligned to one another. After fixing, the bond chuck and substrates can be loaded into the module group 9, and can be moved between the modules which are described below, especially by a movement apparatus 10.

Preferably in the immediate vicinity there is a module group 9 which is arranged in a cluster or star-shape. The primary task of the device consists in bonding of the substrates. One working space 11 of the module group 9 is hermetically isolated from the environment outside of the module group 9 so that in particular no oxidizing gases can enter the working space 11 from outside of the module group 9. This means that the atmosphere of the module group 9 can be adjusted in a controlled manner.

The cleaning module 1 and/or the alignment module 2 according to the first embodiment are separated from the module group 9 which contains at least one bonding module 5. The bond chuck with the stack aligned and fixed on it which is comprised of two substrates is moved into the module group 9 via a lock 3. To the extent the surfaces (bond sides) to be bonded during the transport to the

module group 9 are exposed to the atmosphere, repeated oxidation of the metal surfaces (bond sides) takes place. The thickness of the oxide at room temperature is dependent mainly on the atmosphere (atmospheric humidity, temperature, etc.) and the residence time of the substrates in the oxidizing atmosphere. Therefore, as claimed in the invention it is advantageous to carry out the transfer of the wafers on the bond chuck between the alignment module 2 and the module group 9 as quickly as possible.

In another embodiment it would be possible, in addition to the cleaning module 1 and/or the alignment module 2, to use a passivation module (not shown) which coats the surface of the wafers which are to be bonded later with a very thin protective layer which slows down, preferably prevents further oxidation of the surfaces. Thus a transfer of the wafers into the module group 9 is possible with little, preferably with no oxidation of the surfaces at all.

After placing the bond chuck with the aligned wafers in the module group 9, in the case of a passivation layer which has been applied beforehand, the removal of the passivation layer takes place in a module using thermal energy and/or plasma and/or gas and/or liquid. In one special embodiment the passivation layer removal module can also be identical to the reduction module 4.

In the case in which the aligned wafers have not been coated with a passive layer, in the module group 9 first a thermal treatment in a reduction space 12 of a reduction module 4 takes place. One idea as claimed in the invention consists in reducing, removing and/or diminishing the oxide which has formed in the meantime by a pump-purge process, in conjunction with any method which is able to break up the oxide in a reducing atmosphere and at elevated temperature in the reduction module 4. In this process the reduction space 12 in which the substrates are located on the bond

chuck, is evacuated at programmable intervals, especially programmable intervals of constant time, and is flushed with reducing gas. Therefore advantageously the atmosphere of the reduction module 4 within the module group 9 can be isolated with respect to the atmosphere of the module group 9. Each flushing process leads to a reduction of the oxide on the surface and each evacuation process to a removal of the reduction product. Repeatedly using this evacuation and flushing process as claimed in the invention makes it possible to remove the largest portion of the oxide, preferably the entire oxide, from the metal surfaces. Since this process as claimed in the invention takes place within the reduction module 4 in the reduction space 12 which is a part of the module group 9 which is hermetically blocked relative to the atmosphere, and which furthermore itself has a reducing atmosphere, preferably a vacuum, after unloading the substrates into another module (5, 6, 7, 8) of the same module group 9 repeated oxidation can no longer take place.

Figure 2 symbolically shows a temperature characteristic and several pump-purge cycles. From an initial temperature  $T_i$  which is chosen such that the substrates when loading into the reduction space 12 of the reduction module 4 are not destroyed by too rapid heating, the temperature after loading of the substrates rises to the value  $T_f$  (reducing temperature). For Cu surfaces the value for  $T_f$  is ideally roughly 195°C. The exact temperatures must be either empirically determined or computed. They can vary greatly for different oxides. The process is carried out at least largely, especially after a heat-up time, completely isothermally. The temperature scale is the left-hand abscissa in Figure 2. The pressure scale for the partial pressure of the reduction gas, shown in the second graph, is the right-hand abscissa. It can be recognized that first a decrease of the pressure takes place, ideally towards 0 Pa. This means that the reduction space 12 is evacuated. Afterwards

the reduction space 12 is flushed with reduction gas and evacuated again. This pump-purge cycle as claimed in the invention is best suited for reducing the remaining oxide of the metal surfaces and for discharging the reduction products from the reduction space 12. Since the entire working space 11 of the module group 9 is flooded at least with an inert gas, or in the ideal case has been even cyclically evacuated, when the substrates are moving within the working space, especially from one module to the next, no oxidation or only a negligible amount of oxidation takes place.

After the pump-purge cycles an isothermal heat treatment step can be carried out. The purpose of this isothermal heat treatment consists in bringing the wafers to the bond temperature before they are loaded into a bond space 13 of the bond module 5. In this way the bond space 13 is not loaded with unnecessary heat-up and cooling cycles; this would adversely affect throughput. For Cu surfaces, as claimed in the invention the isotherm is at less than 200°C, preferably at less than 150°C, more preferably at less than 100°C, most preferably at less than 50°C, most preferably of all at room temperature.

The wafers to be bonded are routed within the module group 9 to the bond module 5 and are bonded to one another there. As claimed in the invention the bond process takes place at temperatures which are as low as possible, best below 200°C, preferably below 150°C, more preferably below 100°C, most preferably below 50°C, most preferably of all at room temperature. In the bond process in the optimum case two metal surfaces from which all oxide has been completely removed are joined to one another. The metal surfaces are preferably Cu surfaces. The diffusion process during bonding is carried out preferably under isothermal conditions. By choosing a relatively long time interval, the corresponding metal bond is produced. Since the previous oxides

have been completely removed, and the temperature during bonding is kept constant, the bond process is predominantly dependent on the chosen time interval. For Cu-Cu bonds the bond time is less than 60 minutes, preferably less than 30 minutes, more preferably less than 10 minutes, most preferably less than 5 minutes, most preferably of all less than 1 minute.

The contribution of the diffusion during the heat-up process and/or cooling process to the bond result is negligible compared to diffusion which occurs in the isothermal bond process.

Afterwards any other process steps can take place, such as for example cooling in a cooling module 6, with subsequent different examinations of the bond in a test module 7 (metrology tool) and optionally another test module 8 (metrology tool). After the successful bond process, the bond chuck with the bonded substrates is removed from the module group 9.

A further conceivable and useful aftertreatment would be modules which allows the healing and diffusion process, stress relaxation or recrystallization processes in the bonded structures, for example a furnace.

The atmosphere within the module group 9 is preferably a forming gas atmosphere, even more preferably an inert gas atmosphere, most preferably a vacuum, most preferably of all an ultrahigh vacuum (UHV), or a combination of the aforementioned atmospheres. A forming gas atmosphere would consist for example of the following gas mixtures:

- $\text{N}_2 + \text{H}_2$
- $\text{Ar} + \text{H}_2$
- $\text{He} + \text{H}_2$
- $\text{Ne} + \text{H}_2$

- Kr + H<sub>2</sub>

For inert gas or forming gas atmospheres (composition of the medium) the working space 11 is for the most part cleansed of oxygen and especially water or water vapor which can adhere especially to the surfaces of the internal housing of the working space 9 by prior pump-purge cleansings. The pressure in the working space 11 is preferably less than 10<sup>5</sup> Pa, preferably less than 10<sup>3</sup> Pa, more preferably less than 10<sup>1</sup> Pa, most preferably roughly 1 Pa.

The atmosphere in the reduction space 12 is chosen according to the chemical and/or physical properties of the oxide which is to be removed. Preferably there is a reducing atmosphere, more preferably plasma reduction takes place, most preferably plasma and gas reduction are combined. Another possibility of oxide removal is sputtering. The sputtering process is defined as a physical sputtering process of removal. The following gases or gas mixtures can be used as reducing atmospheres...

- H<sub>2</sub>
- formic acid vapor
- N<sub>2</sub> + H<sub>2</sub>
- Ar + H<sub>2</sub>
- He + H<sub>2</sub>
- Ne + H<sub>2</sub>
- Kr + H<sub>2</sub>
- N<sub>2</sub> + formic acid vapor
- Ar + formic acid vapor

- He + formic acid vapor
- Any other reducing gases/gas mixtures...

The pump-purge cycles in the reduction space 12 are repeated as often as possible, but only as long as necessary in order to minimize the process time. As claimed in the invention there are especially at least 3 repetitions, preferably at least 6 repetitions, even more preferably at least 9 repetitions, most ideally as many repetitions as are possible in that time interval which is dictated by the process times of the other modules, especially the bond module 5.

The reducing gas which is used in the reduction module is preferably chosen such that in the reaction with the oxide on the wafer surface an increase of the surface roughness does not take place.

In the second exemplary embodiment of the invention the cleaning module 1' and the alignment module 2' are a component of the module group 9', therefore connected to the working space 11. In the cleaning module 1' precleanings or coarse cleanings of the surface, or in the ideal case even a complete or almost complete reduction of the oxide, are carried out. In the ideal case of complete or almost complete oxide reduction a subsequent fine reduction in the module 4 is facilitated. Since in this version the alignment takes place in the alignment module 2' and the latter is already part of the working space 11, it is possible to load wafer boxes via a substrate module port 3' by so-called SMIFs (standard mechanical interface) or FOUPs (front opening unified pod or front opening universal pod). They are standardized wafer boxes with which wafers are transported. The advantage of this version is obvious. Entire wafer batches can be loaded and supplied to the working space 11 in a completely automated manner. Alignment takes place fully automatically in the alignment module 2' which is connected to the working space 11.

#### General features of the invention

- The pretreatment, cleaning, can take place either wet-chemically, by plasma by sputtering or by mechanical forces or by reduction gases.
- After the bond module, the substrate is preferably moved into a heat treatment furnace and then moved optionally into a cooling module 6.
- The sequence and type of modules before and/or after the bond module are optional, in any case preferably first coarse cleaning (cleaning module 1, 1'), then alignment (alignment module 2, 2'), then bonding (bond module 4), then heat treatment and cooling (cooling module 6) are carried out.
- In special cases the cleaning module 1 can also be a furnace. The pretreatment of cleaning is then carried out using forming gas and/or reducing gases.

#### Reference number list

|       |                       |
|-------|-----------------------|
| 1, 1' | cleaning module       |
| 2, 2' | alignment module      |
| 3     | lock                  |
| 3'    | substrate module port |
| 4     | reduction module      |
| 5     | bond module           |
| 6     | cooling module        |
| 7     | test module           |
| 8     | test module           |

|    |                       |
|----|-----------------------|
| 9  | module group          |
| 10 | movement apparatus    |
| 11 | working space         |
| 12 | reduction space       |
| 13 | bond space            |
| Ti | initial temperature   |
| Tf | reduction temperature |

Claims:

1. An apparatus for bonding a bond side of a first substrate to a bond side of a second substrate, said apparatus being configured to be closed and gastight relative to a surrounding environment, comprising:

a module group comprising:

at least one central module comprising a movement apparatus arranged therewithin;

at least one reduction module comprising a reduction space, the reduction space being connected in a sealed manner to the central module, the reduction module being configured to reduce the bond sides;

at least one bond module comprising a bond space, the bond module being connected in a sealed manner to the central module, the bond module being configured to bond the substrates on the bond sides; and

at least one process module connected in a sealed manner to the central module, the process module being configured to perform further processes with respect to the substrates to be bonded in the bond module,

wherein the movement apparatus is configured to move the first and second substrate in the apparatus between the modules,

wherein the reduction space in the reduction module and/or the bond space in the bond module are configured to be separated from the central module in a sealed manner,

wherein the at least one process module comprises an alignment module configured to align the substrates to each other, and

wherein the at least one process module further comprises a cleaning module configured to preclean, course clean, and/or completely reduce oxides from respective surfaces of the substrates.

2. The apparatus as claimed in claim 1, wherein the reduction space is exposed separately from the central module to a reducing atmosphere.
3. The apparatus as claimed in one of claim 1 or 2, wherein the reduction space and/or the bond space are respectively heated separately from the central module by a furnace to a reduction temperature for the reduction space and/or a bond temperature for the bond space.
4. The apparatus as claimed in one of claim 1 or 2, wherein the reduction space is exposed to a temperature profile and/or a pressure profile and repeatedly flushed with a reduction medium.
5. A method for bonding one bond side of a first substrate to one bond side of a second substrate in a bonding apparatus including a module group, the module group including a central module, a reduction module, a bond module, and a process module, the central module including a movement apparatus arranged therewithin, the apparatus being configured to be closed and gastight relative to a surrounding environment, the method comprising:
  - processing the substrates to be bonded in the bond module, the processing being performed in the process module, said process module being connected in a sealed manner to the central module;
  - moving the substrates from the process module to the reduction module using the movement apparatus;
  - reducing the bond sides of said substrates in the reduction module, said reduction module being connected in a sealed manner to the central module;
  - moving the from the reduction module to the bond module using the movement apparatus; and
  - bonding the first substrate to the second substrate on the bond sides in the bond module, the bond module being connected in a sealed manner to the central module,wherein a reduction space in the reduction module and/or a bond space in the bond

module are configured to be separated from the central module in a sealed manner.

6. The method as claimed in claim 5, further comprising:  
    exposing the reduction space separately from the central module to a temperature profile  
and/or a pressure profile; and  
    repeatedly flushing the reduction space with a reduction medium.
7. The method as claimed in claim 5, wherein the reduction space is exposed separately  
from the central module to a reducing atmosphere.