

METHODS OF BIOMASS PRETREATMENT

Background of the Invention

1. Field of the Invention

The invention relates to methods for the pretreatment of a lignin
5 containing biomass to render the biomass amenable to digestion. Pretreatment
comprises the addition of calcium hydroxide and water to the biomass to form
a mixture, and maintaining the mixture at a relatively high temperature.
Alternatively, an oxidizing agent, selected from the group consisting of oxygen
and oxygen-containing gasses, may be added under pressure to the mixture.
10 The invention also relates to the digested products of the pretreated biomass
which includes useful feedstocks, fuels, and chemicals such as sugars, ketones,
fatty acids and alcohols, and to a method for the recovery of calcium from the
pretreated biomass.

2. Description of the Background

15 Biomass can be classified in three main categories: sugar-, starch-
and cellulose-containing plants. Sugar-containing plants (e.g. sweet sorghum,
sugarcane) and starch-containing plants (e.g. corn, rice, wheat, sweet potatoes)
are primarily used as food sources. Cellulose-containing plants and waste
products (e.g. grasses, wood, bagasse, straws) are the most abundant forms of
20 biomass. Although they are not easily converted to useful products, a well
engineered process to convert them to feedstock may potentially be economical
since the costs of feedstock are much less than those of sugar- and starch-
containing biomass.

Cellulose-containing materials are generally referred to as
25 lignocellulosics because they contain cellulose (40% - 60%), hemicellulose
(20% - 40%) and lignin (10% -25%). Non-woody biomass generally contains
less than about 15-20% lignin. Cellulose, a glucose polymer, can be hydrolyzed

This Sheet Has Been Replaced



REPLACED SHEETS		SG
☒	Description	: paged 1 to paged 57
☒	Claim(s)	: paged 58 to paged 63
☒	Drawing(s)	: paged 64 to paged 94
☐	Abstract	: paged to paged
DATE OF RECEIPT		

to glucose using acid, enzymes or microbes. Glucose can serve as a feedstock for fuel alcohol and single-cell protein production. Microbial hydrolysis produces cellular biomass (single-cell protein) and metabolic waste products such as organic acids. Acid hydrolysis, although simple, produces many
5 undesirable degradation products. Enzymatic hydrolysis is the cleanest and most preferred approach. However, production of enzymes, mainly cellulase and cellobiase, can be an expensive step. Apart from alcohol production, lignocellulose can be used as inexpensive cattle feed. Since raw lignocellulose cannot be easily digested by cattle, it must be processed to improve its
10 digestibility before it can be fed to ruminants. Also, anaerobic fermentation using rumen microorganisms can produce low molecular weight volatile fatty acids.

Cellulose is the world's most abundant biological material. Approximately 40% to 45% of the dry weight of wood species is cellulose. The
15 degree of polymerization ranges from 500 to 20,000. Cellulose molecules are completely linear, unbranched and have a strong tendency to form inter- and intra-molecular hydrogen bonds. Bundles of cellulose molecules are thus aggregated together to form microfibrils in which highly ordered (crystalline) regions alternate with less ordered (amorphous) regions. Microfibrils make
20 fibrils and finally cellulose fibers. As a consequence of its fibrous structure and strong hydrogen bonds, cellulose has a very high tensile strength and is insoluble in most solvents.

Hemicellulose is the world's second most abundant carbohydrate and comprises about 20% to 30% of wood dry weight. Hemicelluloses,
25 although originally believed to be intermediates in cellulose biosynthesis, are formed through biosynthetic routes different from cellulose. Hemicellulose are heteropolysaccharides and are formed by a variety of monomers. The most

This Sheet Has Been Replaced



common monomers are glucose, galactose and mannose (the hexoses) and xylose and arabinose (the pentoses). Most hemicelluloses have a degree of polymerization of only 200. Hemicelluloses can be classified in three families, xylans, mannans and galactans, named for the backbone polymer.

5 Lignin is the world's most abundant non-carbohydrate biomaterial. It is a three dimensional macromolecule of enormously high molecular weight. Since its units are extensively cross-linked, it is difficult to define an individual molecule. Lignin provides strength by binding cellulose fibrils together. Being hydrophobic in nature, it prevents water loss from the
10 vascular system and, being highly resistant to enzymatic degradation, it protects plants from insects and microbial attack.

Phenylpropane, an aromatic compound, is the basic structural unit of lignin. The monomers not only cross-link with each other, but also covalently bond to hemicellulose. A great constraint to cellulose and
15 hemicellulose accessibility is the presence of lignin. It has been shown that decreased lignin content causes increased digestibility. Lignin can be removed by physical, chemical, or enzymatic treatments. It must be decomposed to smaller units that can be dissolved out of the cellulose matrix. There are several well developed pulping methods that disintegrate and remove lignin,
20 leaving the cellulose fairly intact. Conventional pulping processes, such as Kraft and sulfite pulping, are too costly as bioconversion pretreatments. Also, economical use of the removed lignin is difficult because its chemical structure and size distribution are highly heterogeneous.

Another major deterrent to enzymatic cellulosic hydrolysis is the
25 highly ordered molecular packing of its crystalline regions. Cellulolytic enzymes readily degrade the more accessible amorphous portions of cellulose, but are unable to attack the less accessible crystalline material. Thus,

This Sheet Has Been Replaced



enzymatic hydrolysis rates increase with decreasing crystallinity index measured by X-ray diffraction methods.

The moisture content of cellulose fibers influences enzymatic degradation. Cellulosic materials are effectively protected from deterioration by enzymes or microbes provided the moisture content is maintained below a critical level characteristic of the material and the organism involved. In general, this critical level is slightly above the fiber saturation point, approximately 40% of dry weight. Moisture plays three major roles: (1) it swells the fibers by hydrating cellulose molecules, thus opening up the fine structure and increasing enzyme access, (2) it provides a diffusion medium for enzymes and for partial degradation products, and (3) it is added to cellulose during hydrolytic cleavage of the glycosidic links of each molecule.

The surface area of lignocellulose is another important factor that determines susceptibility to enzymatic degradation. It is important because contact between enzyme molecules and the cellulose surface is a prerequisite for hydrolysis to proceed. A few other factors that also influence susceptibility include size and diffusibility of enzyme molecules in relation to size and surface properties of capillaries, unit cell dimensions of cellulose molecules, and conformation and steric rigidity of hydro-glucose units.

To enhance susceptibility to enzymatic hydrolysis, lignocellulose pretreatment is an essential requirement. The heterogeneous enzymatic degradation of lignocellulosics is primarily governed by its structural features because (1) cellulose possesses a highly resistant crystalline structure, (2) the lignin surrounding the cellulose forms a physical barrier and (3) the sites available for enzymatic attack are limited. An ideal pretreatment, therefore, would reduce lignin content, with a concomitant reduction in crystallinity and increase in surface area. Pretreatment methods can be classified into physical,



chemical, physicochemical, and biological, depending on the mode of action. The literature available on this subject is voluminous. The various pretreatment methods that have been used to increase cellulose digestibility are summarized in Table 1.

- 5 Biological pretreatments employ fungi for microbial de-
lignification to make cellulose more accessible. Major biological lignin
degraders are the higher fungi, *Ascomycetes* and *Basidiomycetes*. Fungal
degradation is a slow process and most fungi attack not only lignin, but
cellulose also, thus resulting in a mixture of lignin fragments and sugars.
10 Improvements may require developing more specific and efficient microbes.

Physical Pretreatments

- Physical pretreatments can be classified in two general categories:
mechanical (involving all types of milling) and nonmechanical (involving high-
pressure steaming, high energy radiation and pyrolysis). During mechanical
15 pretreatments, physical forces, (e.g. shearing, compressive forces) subdivide
lignocellulose into finer particles. These physical forces reduce crystallinity,
particle size and degree of polymerization and increase bulk density. These
structural changes result in a material more susceptible to acid and enzymatic
hydrolysis. However, due to enormously high operating costs associated with
20 the high energy requirements, low yields and large time requirements, these
mechanical pretreatments are not practical. Nonmechanical physical
pretreatment methods also increase digestibility, but have similar disadvantages
and thus are not economical for real processes.

This Sheet Has Been Replaced



Physicochemical Pretreatments

Steam explosion and Ammonia Fiber Explosion (AFEX) are the main physicochemical pretreatments. Steam explosion heats wetted lignocellulose to high temperatures (about 25°C) and releases the pressure
5 instantly. Due to rapid decompression, which flashes the water trapped in fibers, physical size reduction occurs. The high temperatures remove acetic acid from hemicellulose, so this process results in some autohydrolysis of the biomass. These changes result in better digestibilities, but the severe conditions also produce degradation products that inhibit hydrolysis and
10 fermentation. These products are removed by washing with water which results in a loss of water soluble hemicellulose. Thus, although digestibilities are improved, biomass degradation and protein denaturation limits the use of steam explosion.

The AFEX pretreatment process soaks lignocellulose in liquid
15 ammonia at high pressure and then explosively releases the pressure. Pretreatment conditions (30°C - 100°C) are less severe than steam explosion. An increase in accessible surface area coupled with reduced cellulose crystallinity (caused by ammonia contacting) result in increased enzymatic digestibility. However, use of ammonia (a hazardous chemical) and the high
20 pressure release makes the process quite complex and energy intensive.

Chemical Pretreatments

Many chemical treatments have been used for lignin removal and destruction of the lignin crystalline structure. Of these chemicals, acids, gases, oxidizing agents, cellulose solvents, and solvent extraction agents, are all able
25 to increase digestibility, but are not as popular as alkalis. Economics, simpler processes and less degradation favor alkalis as chemical pretreatment agents. However, most of these are process for paper pulping and involves the

This Sheet Has Been Replaced



WO 94/03646

7

complete or nearly complete destruction of lignin, and a corresponding destruction of cellulose. Although unimportant in pulping, these pulping process are quite severe and not useful as pretreatments for biomass. Furthermore, the traditional pulping processes used by the paper industry are too expensive as lignocellulose pretreatment methods.

U.S. Patent No. 4,644,060 to Chou is directed to the use of super-critical ammonia to increase lignocellulose digestibility.

U.S. Patents Nos. 4,353,713 and 4,448,588 to Cheng are directed to the gasification of biomass or coal which is an endothermic process. These patents also relate to a method for adding the required thermal energy by reacting lime with carbon dioxide which is an exothermic reaction.

U.S. Patent No. 4,391,671 to Azarniouch is directed to a method for calcining calcium carbonate in a rotary kiln. The reference relates to the paper/pulp industry where the calcium carbonate would be contaminated with waste biomass. The waste biomass is burned to provide the needed heat of reaction.

U.S. Patent No. 4,356,196 to Hulquist is directed to treating biomass with ammonia.

U.S. Patent No. 4,227,964 to Kerr is directed to the use of ammonia to promote the kinking of pulp fiber to increase paper strength, not to break down the fibers.

U.S. Patent No. 4,087,317 to Roberts is directed to the use of lime and mechanical beating to convert pulp into a hydrated gel. There is no mention of lime recovery or enzymatically hydrolyzing the hydrated gel.

U.S. Patent No. 4,064,276 to Conradsen directed to a process where biomass is covered with a tarp and then ammoniated with ammonia, which is allowed to dissipate into the atmosphere.

This Sheet Has Been Replaced



U.S. Patent No. 3,939,286 to Jelks is directed to oxidizing biomass with high-pressure oxygen under elevated temperature and pressure in the presence of an acid catalyst, and a metal catalyst, ferric chloride, to break lignin bonds and to increase digestibility. The catalysts are described as essential to the process and calcium hydroxide is utilized as a neutralizing agent to adjust the resulting pH of the hydrolyzed biomass.

U.S. Patent No. 3,878,304 to Moore is directed to production of slow-release nonprotein nitrogen in ruminant feeds. An amide, urea, is reacted with waste carbohydrates in the presence of an acid catalyst. The resulting material is pelleted and used as animal feed. Since the nitrogen is released slowly in the rumen, it is nontoxic to the animal.

U.S. Patent No. 3,944,463 to Samuelson et al. is directed to a process for producing cellulose pulp of high brightness. The cellulose is pretreated with an alkaline compound at a temperature of between about 60°C to about 200°C so as to dissolve between 1 and 30% of the dry weight of the material in the pretreatment liquor. The pretreatment liquor preferably contains sodium carbonate, sodium bicarbonate or mixtures thereof, or possible sodium hydroxide.

U.S. Patent No. 3,639,206 to Spruill is directed to the treatment of waste water effluent derived from a pulping process with calcium oxide or hydroxide to reduce the fiber and color content of the effluent.

U.S. Patent No. 4,048,341 to Lagerstrom et al. is directed to a process for increasing the feed value of lignocellulosic material by contacting the material with an alkaline liquid, specifically, sodium hydroxide. The alkaline liquid, supplied in excess, is allowed to run off the material before any essential alkalization effect has been reached. After the liquid absorbed in the material has provided its effect, an acid solution is added to the material to

This Sheet Has Been Replaced



neutralize the excess alkali. The reference does not disclose the interrelationship of temperature and time of alkali treatment, nor does it disclose the optimal amounts of the sodium hydroxide and water.

U.S. Patent No. 4,182,780 to Lagerstrom et al. is directed to a process for increasing the feed value of lignocellulosic materials by alkali treatment and subsequent neutralization of the materials with an acid in a closed system under circulation of the treating agents.

U.S. Patent No. 4,515,816 to Anthony is directed to a process in which lignocellulose is treated with dilute acid in an amount of about 1.5 to 2.5% of the dry weight of lignocellulose. The mixture is then stored at ambient conditions for 5 to 21 days in an air-free environment.

U.S. Patent No. 4,842,877 to Tyson is directed to a process for the delignification of non-woody biomass (<20% lignin). In this process, non-woody biomass is treated with a chelating agent, to prevent unnecessary oxidation, and maintained at high pH and high temperatures (150°F to 315°F) in the presence of hydrogen peroxide and pressurized oxygen. Hydrogen peroxide is stated to cause a reaction on the cell walls to allow the hemicellulose and lignin to solubilize and be removed through a subsequent hydrolysis process. Oxygen is added to initiate and accelerate the activation of hydrogen peroxide.

The conditions and results of studies reported in the literature using ammonia (gaseous, anhydrous liquid, or NH_4OH) and sodium hydroxide as pretreatment agents are listed in Table 2 and Table 3, respectively. The literature available on the use of these two chemicals to enhance lignocellulose digestibility of ruminant feeds, as well as for hydrolysis to glucose, is extensive. The literature on calcium hydroxide pretreatment processes is considerably less compared to that for sodium hydroxide and ammonia. The conditions and



results of studies reported in the literature using calcium hydroxide are shown in Table 4.

The references cited in the Tables and below are:

- Anderson, D.C.; Ralston, A.T. *J. Anim. Sci.* 1973; 37, 148.
- 5 Baker, A.J.; Millett, M.A.; Satter, L.D. *ACS Symposium Series* 1975; 10, 75.
- Brown, W.F.; Phillips, J.D.; Jones, D.B. *J. Anim. Sci.* 1987; 64, 1205.
- Dawish, A.; Galal, A.G. *In Proc. Conf. Anim. Feeds Trop. Subtrop. Origin* 1975.
- 10 Felix, A.; Hill, R.A.; Diarra, B. *Anim. Prod.* 1990; 51, 47.
- Feist, W.C.; Baker, A.J.; Tarkow, H. *J. Anim. Sci.* 1970; 30, 832.
- Gharib, F.H.; Meiske, J.C.; Goodrich, R.D.; El Serafy, A.M. *J. Anim. Sci.* 1975; 40(4), 734.
- Hulquist, J.H. *U.S. Patent No.* 4,356,296; 1982.
- 15 Kellens, R.D.; Herrera-Saldana, R.; Church, D.C. *J. Anim. Sci.* 1983; 56(4), 938.
- Mandels, M.; Hontz, J.R.; Kystrom, J. *Biotech. Bioeng.* 1974; 16, 1471.
- Millet, M.A. et al., *J. Anim. Sci.* 1970; 31(4), 781.
- Millet, M.A.; Baker, A.J.; Satter, L.D. *In Biotech. Bioeng. Symp.* 1975; 5, 193.
- 20 Moore, W.E.; Effland, M.J.; Medeiros, J.E. *J. Agr. Food Chem.* 1972; 20(6), 1173.
- Morris, P.J.; Movat, D.N. *Can. J. Anim. Sci.* 1980; 60, 327.
- Playne, M. *J. Biotech. Bioeng.*, 1984; 26, 426.
- 25 Rounds, W.; Klopfenstein, T. *J. Anim. Sci.* 1974; 39, 251 (abst.).
- Turner, N.D.; Schelling, G.T.; Greene, L.W.; Byers, P.M. *J. Prod. Agric.* 1990; 3(1), 83.



Villareal, E.R. *Ph.D. Thesis* Texas A&M Univ. College Station, TX 1988.

Waiss, A.C. et al., *J. Anim. Sci.* 1972; 35(1). 109.

Waller, J.C.; Klopfenstein, T. *J. Anim. Sci.* 1975, 41 424 (abstract).

- 5 Playne (1984) investigated the effects of alkali treatment and steam explosion on bagasse digestibility. The digestibility of untreated bagasse was 190 g organic matter (OM)/kg bagasse dry matter. It was raised to: 733 g organic matter by using NaOH (and also by using $\text{Ca}(\text{OH})_2$ with Na_2CO_3); to 430 g OM using NH_3 ; and to 724 g OM using $\text{Ca}(\text{OH})_2$. When $\text{Ca}(\text{OH})_2$ alone was used, a high loading (about 180 - 300 g $\text{Ca}(\text{OH})_2$ kg bagasse) was used. Gharib et al. (1975) used calcium oxide for in vitro evaluation of chemically treated poplar bark. They reported that calcium oxide increased in vitro true digestibility from 38% to 52% for a 150-day treatment, although little improvement was found for a 1-day treatment. Rounds and Klopfenstein 15 (1974) studied the effects of NaOH, KOH, NH_4OH and $\text{Ca}(\text{OH})_2$ on in vivo digestibility of corn cobs by feeding to lambs and on in vitro digestibility using an artificial rumen. $\text{Ca}(\text{OH})_2$ alone was unable to increase the in vitro digestibility, although rations treated with $\text{Ca}(\text{OH})_2$ + NaOH resulted in higher daily gain and feed efficiency for lambs. Waller and Riopfenstein (1975) used 20 various combinations of NaOH, $\text{Ca}(\text{OH})_2$ and NH_4OH for treating feed for lambs and heifers and reported that the highest daily gain and lowest feed/gain was obtained for the 3% NaOH + 1% $\text{Ca}(\text{OH})_2$ rations. Darwish and Galal (1975) used maize cobs treated with 1.5% $\text{Ca}(\text{OH})_2$ in a milk production ration and found no significant change in milk output. Felix et al. (1990) evaluated 25 the effects of ensiling and treating soya-bean straw with NaOH, $\text{Ca}(\text{OH})_2$ and NH_4OH on ruminant digestibility. Results indicate that there was no significant



improvement due to alkali treatment of dry and unensiled straw, although alkali treatment improved digestibility of ensiled straw.

Although the use of calcium hydroxide as a pretreatment agent has been demonstrated, considerably less work has been done employing this chemical compared to other alkalis. Most of the previous work has been performed by animal scientists trying to develop a very simple process to increase the lignocellulose digestibility of animal feed. All these studies were done at room temperature or below, at lower water loadings, for very long periods and without any mixing. These processes required very long treatment times which is very expensive since the reactors must be very large. There is thus a need to improve the currently existing methods of pretreating lignocellulose containing material to render it amenable to enzymatic digestion.

Summary of the Invention

One embodiment of the invention is directed to an economical process for pretreating a lignocellulose-containing biomass to render the biomass amenable to digestion. Pretreatment comprises adding calcium hydroxide and water to the biomass, and subjecting the biomass to relatively high temperatures for a period of time. The pretreated biomass is digested to produce a useful product.

Another embodiment of the invention is directed to an economical pretreatment method comprising adding calcium hydroxide and water to the biomass to form a mixture, adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure, and subjecting the biomass to relatively high temperatures for a period of time. The pretreated biomass is digested to produce a useful product.

This Sheet Has Been Replaced



WO 94/03646

13

Another embodiment of the invention is directed to a process for recovering calcium from a biomass. After pretreatment, the biomass is carbonated with a carbonating agent to form calcium carbonate. Calcium carbonate is recovered from the pretreated mixture or recovered after digestion and can be reconverted into calcium hydroxide.

Brief Description of the Drawings

Figure 1 is a schematic diagram of Reactor System 3.

Figure 2 is a glucose calibration curve for the filter paper assay.

Figure 3 is an enzyme calibration curve for the filter paper assay.

10 Figure 4 is a calibration curve for the DNS assay.

Figure 5 is a flow diagram for continuous calcium hydroxide recovery.

Figure 6 is a techematic diagram of one embodiment of using a hydroclone to separate lime solids from lime solution.

15 Figure 7 is a schematic diagram of another embodiment of using a hydroclone to separate lime solids from lime solution.

Figure 8 is a schematic diagram showing different possible permutations of pretreating biomass with lime.

Figure 9 shows the lime treatment process for ruminant animal feed production.

20 Figure 10 is a graph of oxygen pressure verses yield to show the effect of oxygen on the hydrolysis of newspaper.

Figure 11 is a graph of pretreatment time verses yield to show the effect of treatment time on the hydrolysis of newspaper.

This Sheet Has Been Replaced



WO 94/03646

14

Description of the Invention

The present invention comprises economical methods for the pretreatment of a biomass. Pretreatment comprises the addition of calcium hydroxide and water to a biomass to render the biomass susceptible to degradation. Calcium hydroxide is inexpensive and much cheaper than other alkalis. It is safe to handle and, unlike the sodium residue, the calcium residue is little or no problem for animal feed. In an artificial rumen, calcium hydroxide produces calcium acetate which is also safe and nontoxic. Calcium hydroxide ($\text{Ca}(\text{OH})_2$) as the lignocellulose pretreatment agent is therefore very economical. Further, there was also no significant difference in digestibility between $\text{Ca}(\text{OH})_2$ pretreated material and NH_3 or NaOH pretreated material in animals.

The operating conditions of the pretreatment methods of the invention are a significant improvement over the existing literature. Previous researchers restricted their operating temperature to ambient and below in order to create a very simple process without heaters. These simple processes required extremely long treatment times typically ranging from 8 to 150 days. Raising the treatment temperature could decrease the treatment time, but runs the risk of degrading the lignocellulose. High-temperature treatment conditions have been identified that did not degrade the lignocellulose and resulted in treatment times that were orders of magnitude shorter. The economic impact is significant since the reactor can be orders of magnitude smaller.

Further, previous calcium hydroxide (lime) pretreatment methods used very low water loadings. Since their processes operated at room temperature, good heat transfer was not an issue. They could operate with very little water since the thermal insulating properties of air were not

DC01:781.1 06/06/93 10:15am

This Sheet Has Been Replaced



WO 94/03646

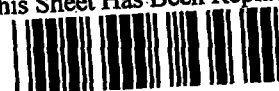
15

detrimental. However, when operating at a higher temperature, the process benefits by including about ten times more water since its high heat capacity and heat transfer coefficient ensure a uniform temperature. Higher water loadings also provides a medium into which the lime can more uniformly
5 disperse, but are unattainable using conventional procedures. Consequently, most of the previous research in lime treatment used relatively low lime loadings whereas we were able to realistically consider higher loadings can be realistically considered because a lime recovery process is also incorporated into the invention.

10 In one embodiment, the invention is directed to a method for pretreating a lignocellulose-containing biomass to render the biomass amenable to digestion and comprises providing a lignocellulose-containing biomass, adding calcium hydroxide and water to the biomass to form a mixture, and
15 maintaining the mixture at an elevated temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion. Types of useful biomass include grass, wood, bagasse, straw, paper, plant material, and combinations thereof. Lignocellulose-containing biomass to which the process of the invention is directed is preferably biomass containing greater than 15% lignin and more preferable biomass containing greater than 20%
20 lignin.

Preferably, biomass to be pretreated is fed into a chipper, grinder, chopper, shredder or the like, to be reduced in size. Resulting biomass chips or particles are preferable about one-half inch or smaller. The biomass particles are then combined with calcium hydroxide and water to form
25 an alkaline biomass mixture. The mixture contains between about 6 to about 19 grams of water per gram of dry biomass and preferably about 16 grams of water per gram of dry biomass. The mixture also contains between about 2 to

This Sheet Has Been Replaced



WO 94/03646

16

about 50 grams of calcium hydroxide per 100 grams of dry biomass and preferably contains about 30 grams of calcium hydroxide per 100 grams of dry biomass. Depending on the type of biomass, the preferable amount may be more or less. Calcium hydroxide may be added before or after the water or
5 as an aqueous solution or dispersion.

The aqueous calcium hydroxide/biomass mixture is maintained in reaction chambers, which are preferably stainless steel, at between about 40°C to about 150°C, preferably between about 100°C to about 140°C, and more preferably at about 120°C. Depending on the type of biomass, the
10 temperature range may be between about 70°C to about 110°C, between about 110°C to about 150°C, or between about 50°C to about 65°C. The temperature is maintained for between about 1 to about 36, preferably between about 1 to about 20 hours, more preferably about 3 hours. Again, depending on the type of biomass, the time period may be longer or shorter such as
15 between about 15 to about 25 hours.

Another embodiment of the invention is directed to a method for converting a lignocellulose-containing biomass into a useful product and comprises providing a lignocellulose-containing biomass, adding calcium hydroxide and water to the biomass to form a mixture, oxygenating the mixture
20 with pressurized oxygen, maintaining the mixture at an elevated temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion, and digesting the biomass of the mixture to convert the biomass into the useful product. Oxygen is relatively inexpensive and readily available as pressurized oxygen gas, pressurized air, and other pressurized
25 oxygen-containing gasses. Oxygen is also non-toxin and non-polluting to the environment. Calcium hydroxide is added to a biomass as described above to form a mixture. To the mixture is added an oxidizing agent under pressure

This Sheet Has Been Replaced



selected from the group consisting of oxygen and oxygen-rich gasses. Preferably, the added oxygen-containing gas has a pressure of between about 20 to about 500 psig (pounds per square inch gauge), preferably greater than about 50 psig, and more preferably greater than about 100 psig.

- 5 After either of the above-described embodiments, the pretreated biomass is digested by hydrolysis such as acid hydrolysis, enzymatic action, fermentation, or a combination of digestion methods. The digested biomass comprises material which are useful products such as alcohols, acids such as organic acids, sugars, ketones, starches, fatty acids, or combinations thereof.
- 10 These products can be made into feedstocks such as chemical feedstocks, fuels, and other useful products. Due to the relatively gentle pretreatment conditions, the useful products are obtained in higher quantities and are of a higher quality than products obtained after other pretreatment methods. The maximum amount of material is converted into useful product with as little
- 15 waste as possible. Further, no toxins or harmful chemicals are introduced into the biomass therefore none need to be removed or even tested for in the final product.

- Another embodiment of the invention is directed to a method for recovering calcium from a biomass pretreatment process comprising pretreating
- 20 the biomass with calcium hydroxide and water to form a mixture, optionally adding an oxidizing agent selected from the group consisting of oxygen and oxygen-containing gasses to the mixture under pressure, and maintaining the mixture at an elevated temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion, carbonating the
- 25 mixture or the liquid portion thereof to precipitate calcium carbonate, and recovering the precipitated calcium carbonate. The pH of the carbonated mixture is between about 8.5 and about 10.5, and preferably between about 9.0

This Sheet Has Been Replaced



and about 10. The calcium carbonate is precipitated in the mixture and can be recovered by filtration, hydroclone separation, sedimentation, centrifugation, or by combinations of these methods. The calcium carbonate may also be heated and converted into carbon dioxide and calcium oxide, and the calcium
5 recovered as calcium oxide.

Alternatively, the pretreated mixture is treated with a carbonating agent, which is preferably carbon dioxide gas which is bubbled into the mixture, forming calcium carbonate. The pretreated and carbonated biomass is digested and the useful product separated from the remaining mixture or residual
10 mixture. The residual mixture, comprising lignin and calcium carbonate, is heated, for example in a kiln, preferably a lime kiln, to convert the calcium carbonate into calcium hydroxide. The heat supplied to the kiln may be derived from the burning of the lignin, making for a highly economical overall process.

15 The following examples are offered to illustrate embodiments of the invention, but should not be viewed as limiting the scope of the invention.

Examples

Example 1 Sample Preparation

Raw bagasse was collected from the Animal Science Department
20 of Texas A&M University. For three years, it was lying in the open, covered only by a plastic sheet. Thus, it was first thoroughly washed with water and then dried in an oven (at 80°C) for about 8 hours. Also, because bagasse deteriorates with storage time, the bagasse used in the present study was more recalcitrant than that used by Holtzapple et al., Appl. Biochem. Biotech. 28/29,
25 59 (1991). The wheat straw was already clean and did not require washing. Softwood newspaper was the Bryan/College Station, Texas, Eagle newspaper. It was first shredded in a paper shredder. All materials were ground by a



WO 94/03646

19

Wiley mill to 1 x 1 mm particle size and then passed through a 40 mesh sieve. Dry weight analysis was done by placing a small sample in an oven, at 80°C for 24 hr and measuring the weight loss due to water evaporation.

Example 2 Calcium Hydroxide Pretreatment

5 Calcium hydroxide pretreatment involves reacting biomass with calcium hydroxide in the presence of water at a relatively high temperature. The effectiveness of the pretreatment process was studied for several different reaction conditions. Process variables studied were lime loading (2 to 30 g $\text{Ca}(\text{OH})_2$ /100 g dry biomass), water loading (6 to 19 g water/g dry biomass),
10 treatment temperature (50°C to 145°C) and treatment time (1 hour to 36 hours). The following three types of reactor systems were employed.

Reactor System 1: For initial pretreatment experiments, 500-mL glass Erlenmeyer flasks were used as reactors. The flasks were sealed with rubber stoppers and placed in a 100-rpm shaking water-bath. This method was limited
15 to 65°C, the highest temperature attainable by the water bath.

Reactor System 2: Erlenmeyer flasks were placed in an oven, with periodic manual shaking. Only one experiment, at 65°C, was performed by this method. This method resulted in lower yields, thus demonstrating that continuous shaking was required for effective mass transfer.

20 Reactor System 3: Steel reactors were used for experiments in the 65°C and 145°C temperature range. To resist the corrosive nature of calcium hydroxide solutions, the reactors were constructed of 304 stainless steel. The reactors were, 1.5 "LD. x 5" long, cylindrical nipples, with end caps on both ends. To provide mixing inside the reactors, a rotating device was fabricated
25 in the Texas A & M Chemical Engineering Department's machine shop. A schematic diagram of this reactor system is shown in Figure 1. This device holds the reactors (R) inside the oven (O) and, by rotating them continuously,

This Sheet Has Been Replaced



provides tumble mixing of the contents. It has a steel rod (R1) supported from both ends on two ball bearings (B). The bearings are bolted on a steel frame (SF) that can be placed inside the oven. Six holes are drilled through this rod to hold the clamps (C) that hold the reactors. Set screws hold the clamps in place during rotation. Through the back side of the oven, a 1" hole is drilled. A small rod (R2) supported by a ball bearing (bolted on the oven wall) passes through this hole. A pulley (P) is mounted on the end that is outside the oven. A variable speed AC/DC motor (M) mounted on the top of the oven rotates R2. The steel rod that holds the reactors (R1) has a splined end (a small nut (N)) that couples with the pulley (via a socket (S)). This coupling arrangement allow the reactors to be placed in, and removed from, the oven with ease.

To perform the pretreatment experiments, the reactors were prepared by winding at least four layers of Teflon tape on both ends. One end was closed by placing the nipple in a vice and tightening the end cap by a pipe wrench. The reaction mixture was prepared by placing the measured quantities of biomass (7.5 g dry weight) and $\text{Ca}(\text{OH})_2$ (according the lime loading) inside the reactors. The material was thoroughly mixed inside the reactors using a spatula. Measured amounts of water were then added to this dry mixed sample. The end cap was placed on the other end of the nipple and tightened. The reactors were then placed in boiling water for 5 to 15 minutes (depending on the pretreatment temperature) to pre-warm them. Prewarming the reactors is necessary to rapidly bring them to higher temperatures. They were then clamped and fixed on the rotating device and placed in the oven maintained at the desired pretreatment temperature. The motor was turned on and the system was left for the desired pretreatment time. After the treatment time elapsed, the reactors were removed from the oven and transferred to a water bath to rapidly lower the temperature to ambient temperature. Samples were



them removed from the reactors for hydrolysis. A complete step-by-step procedure is given below.

Optionally, oxygen-containing gas is introduced into the reactor from a high-pressure gas container or tank attached to the system. The gas
5 may be pure pressurized oxygen, compressed air (which is very economical) or any oxygen-containing gas under pressure. The pressure of the oxygen-containing gas can be determined from a pressure gauge mounted on the gas supply container or on the reactor.

Example 3 Calcium Hydroxide Pretreatment -- Reactor System 3

- 10 1. Remove the old Teflon tape and clean the threads at both ends. Wrap (clockwise) at least four layers of fresh Teflon tape.
2. Label and number all the reactors. 2 or 4 or 6 reactors can be run each time.
3. Close the reactors by placing the cap on one end. Hold the
15 nipple in the vice and tighten the cap using a pipe wrench.
4. Weigh ground and sieved material that has 7.5 g dry weight. Using a funnel, pour it in the labeled reactors.
5. Weigh calcium hydroxide, according to the desired lime loading, and pour into the reactors with the biomass.
- 20 6. Using a spatula, mix $\text{Ca}(\text{OH})_2$ and biomass thoroughly. This dry mixing is essential to ensure a uniform reaction.
7. Pour water according to desired water loading.
- 7A. (Optionally) Open the valve connecting the reactor to a container of oxygen gas under pressure. Close the valve when a specified gauge pressure
25 within the reactor has been achieved.
8. Close the ends of the reactors.



WO 94/03646

22

9. Place the reactors in boiling water for about 5 min, for a 50°C run, to about 15 min, for a 135°C run. The water boiler takes about 30 min to heat up, so it must be turned on before hand.

10. Heat the oven to the desired pretreatment temperature. The oven takes about 1 hour to reach a stable temperature. Keep the rotating device inside the oven during heating so that it gets prewarmed.

11. Clamp the reactors, making sure that the clamps are in the center of the reactors so that there is no blocking during rotation.

12. Place the clamps in the slots of the rotating rod and tighten the set screws.

13. Place the device in the oven and couple it with the motor using the coupling arrangement.

14. Turn on the motor and keep the rotation speed at the minimum possible. Make sure that the motor does not stall.

15. 15. Observe the temperature of the oven.

16. After the pretreatment time has elapsed, take out the reactors and place them in a cold tap water bath. Let them cool for about 10 min.

17. Perform enzymatic hydrolysis.

Example 4 Filter Paper Assay

20 The filter paper assay is commonly used to quantitatively study cellulose hydrolysis and measure cellulose activity. Filter paper is used since it is a readily available and reproducible substrate and is neither too susceptible nor too resistant to cellulase enzymes. The filter paper is incubated with various amounts of cellulose enzymes for 1 hour at 50°C and pH of 4.8.

25 The amount of reducing sugars released in 1 hour is measured by the Dinitrosalicylic Acid (DNS) assay. (Also see below). The amount of enzyme

This Sheet Has Been Replaced



WO 94/03646

23

that produces 2 mg of reducing sugar (expressed as glucose) in 1 hour is equal to 0.185 International Units (1 IU = 1 mmole glucose/min).

Calibrate DNS reagent using glucose (along with filter paper):

1. Using a 500mg/dl (5mg/mL) glucose standard, prepare 0.5 mL
- 5 samples in pairs of test tubes according to Table 5.
2. Add 1.0 mL of pH 4.8, 0.05 M citrate buffer.
3. Add 1 x 6 cm filter paper strip (Whatman #1, rolled in a curl).

Vortex.

4. Incubate at 50°C for 1 hour (use capped test tubes). Prevent any
- 10 shaking
5. Add 3.0 mL of DNS to each test tube.
6. Boil samples for 15 minutes in water bath.
7. Add 10 mL water and vortex.
8. Filter through 0.45 um nylon membrane filter.
- 15 9. Measure the absorbance at 550 nm and prepare a calibration curve of absorbance vs. glucose concentration as shown in Figure 2.

Measure enzyme activity:

1. Add 0, 5, 10, 15, 20 mg enzyme to 10 mL, 0.05 M citrate buffer, pH 4.8, and vortex.
- 20 2. Pipet 0.5 mL of prepared enzyme samples into pairs of test tubes.
3. Repeat steps 2 to 8 performed during calibration curve preparation.
4. Measure the absorbance at 550 nm.

Measure sugars in the enzyme:

1. Pipet 0.5 mL of 20 mg/mL enzyme sample into pairs of test tubes A.
- 25 2. Pipet 0.5 mL of distilled water into pairs of test tubes B.
3. Add 1.0 mL of pH 4.8, 0.05 M citrate buffer to test tubes A and B.
4. Repeat steps 5 to 7 performed during calibration curve preparation.

This Sheet Has Been Replaced



24

5. Measure absorbance at 550 nm.
6. Calculate absorbance correction factor (ACF) as follows.

$$\text{ACF} = \frac{(\text{Abs A} - \text{Abs B})}{(20 \text{ mg enzyme}/10 \text{ mL}) \times 0.5 \text{ mL}}$$

5

Calculate specific enzyme activity:

1. Using the glucose calibration curve, calculate absorbance, the absorbance for 2 mg glucose weight (see Figure 2).
2. Apply absorbance correction factor (AC) to absorbance data from the enzyme results.

10

$$\text{Abs}_{\text{cor}} = \text{Abs} - \text{ACF} \times E \text{ (where } E = \text{mg enzyme in } 0.5 \text{ mL)}$$

3. Plot Abs_{cor} vs. E to get Figure 3.
4. Find E' corresponding to Abs' using Figure 3.
5. Calculate specific activity:

15

$$\text{Activity (IU/mg)} = \frac{2 \text{ mg glucose}}{E' \text{ mg h}} \times \frac{1 \text{ hour}}{60 \text{ min}} \times \frac{\text{mmole}}{0.18 \text{ mg glucose}}$$

Example 5 Enzymatic Hydrolysis Procedure

The pretreated material (7.5 g dry weight) was transferred from the reactors to 500-mL Erlenmeyer glass flasks. The operating pH and temperature for the enzyme system were kept at 4.8 and 50°C respectively. The pH was reduced from about 11.5 to 4.8 by adding acetic acid. The total liquid volume was increased to 150 mL by adding distilled water to obtain a 50 g/L slurry of biomass. The required amounts of cellulase and cellobiose were added to the mixture, and the flasks were stoppered and kept in a 100-rpm shaking air bath at 50°C for 3 days. The enzymatic hydrolysis of control materials was performed using 150 mL of 0.05 M, pH 4.8 citrate buffer.

25

After 3 days, 1 mL liquid samples were withdrawn from each flask using a 1000-mL Eppendorf pipet. The samples were boiled in capped



WO 94/03646

25

test tubes for 30 minutes to denature the enzyme, thus avoiding further hydrolysis. The boiled samples were filtered through 0.45-um nylon membrane filters. The reducing sugar concentration was measured using the DNS assay (Miller, G.L., Anal. Chem. 1959, 31, 462) with glucose as the calibration
5 standard. Thus, the sugar yields are reported as equivalent glucose/g dry biomass. Both cellulase and cellobiose contain sugars. To measure these sugars, enzymes were added to 150 mL of water in the same concentration as used previously, but without any biomass. One mL samples were taken to measure the sugar concentration. This measured sugar in the enzyme amounts
10 to a correction of 45 mg eq. glucose/g dry biomass that was subtracted from the 3-day reducing sugar yields from the pretreated biomass. The hydrolysis samples were diluted from 13 to 33 times to bring the concentration within the assay range (0.1 - 1.0 mg/mL).

A detailed hydrolysis procedure is given below:

- 15 1. Open one end of the reactors and empty the contents (as much as possible) into the labeled 500-mL Erlenmeyer flasks.
2. To completely transfer the biomass, use water to wash the reactors. Pour this water and biomass mixture into the flasks. Add enough water such that the total liquid volume (water added during the wash + water
20 added during pretreatment) is 140 mL.
3. Add glacial acetic acid to the mixture until the pH reaches 4.8. During acetic acid addition, continuously monitor the pH and stir using a magnetic bar. Note the volume of acetic acid added. If the pH goes below 4.8, use $\text{Ca}(\text{OH})_2$ to raise it to 4.8.
- 25 4. Add more water to bring the total liquid volume to 150 mL.
5. Add 0.259 g cellulose powder "Cytolase 300 P" (filter paper activity, 215 IU/g powder) and 0.652 mL cellobiose "Novozyme" (activity 250

This Sheet Has Been Replaced



WO 94/03646

26

CBU/mL). Cytolase 300 P was supplied by Genecor, Inc. (South San Francisco, CA) and cellobiose was supplied by Novo Laboratories (Wilton, CT). The cellulase loading was 7.4 IU/g dry pretreated lignocellulose and the cellobiose loading was 22 CBU/g dry lignocellulose.

- 5 6. Place the flasks inside the 100-rpm shaking air bath at 50°C.
7. Close flasks with rubber stoppers after flasks have been warmed
 for 10 min.
8. Keep flasks in the bath for 3 days.
9. Withdraw 1 mL of sample and boil them for 30 min. in capped
10 test tubes.
10. Filter samples through 0.45 um nylon membrane filter. Perform
 DNS assay to measure reducing sugars as explained below.

Example 6 Dinitrosalicylic Acid (DNS) Assay

15 The DNS assay is the most commonly used technique for
 measuring reducing sugars released by cellulose hydrolysis. A glucose standard
 is used for the calibration, thus the reducing sugars are measured as
 "equivalent glucose."

Prepare DNS reagent:

- 20 1. Dissolve 10.6 g of 3,5-dinitrosalicylic acid crystals and 19.8 g
 NaOH in 1416 mL of distilled water.
2. Add 306 g Na-K-tartrate (Rochelle salts).
3. Melt phenol crystals under a fume hood at 50°C using a water
 bath. Add 7.6 mL of phenol to above mixture.
4. Add 8.3 g sodium meta-bisulfite.
- 25 5. Add NaOH, if required, to the solution obtained to adjust pH to
 12.6.

This Sheet Has Been Replaced



WO 94/03646

27

Calibrate DNS reagent:

1. Using a 200 mg/dL (2mg/mL) glucose standard, prepare 1 mL samples in pairs of test tubes according to Table 5.
2. Take 0.5 mL of each sample.
- 5 3. Dispense 1.5 mL of DNS reagent into each test tube using a 5-mL Brinckmann dispensette.
4. Place the caps on the tubes and vortex.
5. Boil samples in a water bath for 15 minutes.
6. Cool the test tubes for a few minutes. Add 8 mL of distilled
10 water and vortex.
7. Zero the spectrophotometer at 550 nm with distilled water (Note: to stabilize the spectrophotometer it should be turned on for at least 1 hour before using).
8. Measure the absorbance.
- 15 9. Prepare a calibration curve as shown in Figure 4.

Measure reducing sugars of samples:

1. Dilute the filtered sample into a pair of test tubes such that the sugar concentration lies between 0.1 to 1.0 mg/mL.
2. Vortex the diluted sample.
- 20 3. Pipette 0.5 mL of each diluted sample.
4. Dispense 1.5 mL DNS reagent into each test tube.
5. Repeat steps 4 to 8 used in preparation of calibration curve.
6. Calculate sugar concentration from the absorbance of the samples using the calibration curve.
- 25 7. Calculate the reducing sugar yield by the following expression:

$$Y = S \times D \times 20 \quad Y = \text{reducing sugar yield (mg eq. glucose/g dry biomass)}$$
$$S = \text{sugar concentration in sample (mg eq. glucose/mL)}$$

This Sheet Has Been Replaced



28

D = dilution factor

20 = 150 mL liquid volume/7.5 g dry biomass

Example 7 Calcium Hydroxide Recovery

Two factors motivate recovery of calcium hydroxide from the pretreated biomass. First, an inexpensive recovery and recycle process will reduce the pretreatment costs. Second, high calcium residues have a detrimental effect on its use as cattle feed. Thus, reducing the calcium content results in a more utilizable material. The method for recovering $\text{Ca}(\text{OH})_2$ is to wash the pretreated material with water, and to contact or react this wash water containing lime with carbon dioxide. This converts soluble $\text{Ca}(\text{OH})_2$ to insoluble CaCO_3 that can be removed by precipitation. The CaCO_3 can then be heated to produce CaO and CO_2 . The CaO is hydrated to $\text{Ca}(\text{OH})_2$, which can be reused as the lignocellulose treatment agent. Carbon dioxide can, in turn, be reused for lime recovery. Thus, ideally, it is a system capable of total recycling.

The carbonate concentration is quite low when the pH is below 9.5. Thus, to form and precipitate more CaCO_3 , the pH was maintained above 9.5.

All the recovery experiments were done using bagasse. The experiments to study the recovery process were conducted by two different approaches: Continuous Recovery and Batch Recovery.

1. Continuous Recovery

A systematic flow diagram of the continuous recovery experimental apparatus is shown in Figure 5. The pretreated bagasse was packed in a 1" LD. x 8.5" high glass column. Rubber stoppers at both ends had connections for the inlet (bottom) and the outlet (top). Filters (nylon cloth) were glued on both stoppers. A peristaltic pump (Watson-Marlow, 502S)

This Sheet Has Been Replaced



WO 94/03646

29

pumped water through the column. The average volumetric flow rate was 20 ml/min. The outlet from the column went to a 300-mL flask. Carbon dioxide was bubbled through the lime-saturated liquid in this flask to produce CaCO_3 . A pH probe was placed in this flask to continuously monitor the pH. The pH was maintained near 9.5 by bubbling only as much CO_2 as was required to lower the pH from about 12.0 to 9.5. However, because good pH control was lacking, when the pH dropped below 9.5, the required amount of NH_4OH (about 1 or 2 mL) was added to bring the pH back to 9.5. The overflow from the flask went to a filter assembly. Although most of the CaCO_3 remained in the flask, filtration was required to remove the CaCO_3 present in the overflow. A glass fiber filter (G6, Fisher Scientific, Inc.) was placed between the fritted glass filter and glass jar. A clamp was used to hold it and provide a good seal. The pump suction was the driving force for filtration. The filters were replaced periodically when they clogged with the CaCO_3 paste. The filtered water was then pumped back to the column for washing, thus completing the cycle.

The washing was stopped after about one hour. The wash water left in the glass jar clamped to the filter, was transferred to the flask and left for 24 hours to let CaCO_3 settle. Clear liquid (1 mL) was taken from the top of the flask. The clear liquid was decanted slowly and collected in another beaker. The bottom portion containing much higher amounts of CaCO_3 was discarded after measuring its volume. The same volume of fresh water was added to the system so that the liquid volume before and after precipitation and decantation remained the same. Further recovery of the lime remaining in the biomass was performed with this batch of decanted water for about 45 minutes. After the wash, the CaCO_3 -saturated water was again left for

This Sheet Has Been Replaced



WO 94/03646

30

precipitation and a sample of clear liquid was taken after this second precipitation.

To measure the calcium concentration in the water during washing, 1-mL samples were periodically taken from the column inlet and
5 outlet. The calcium concentration was measured by the atomic absorption apparatus available in the Kinetics Group of the Texas A&M Chemical Engineering Department. Depending on the calcium concentration, the samples were diluted from 11 to 135°C. since the best yields were obtained
10 between 65°C and 100°C, this wide range ensured that the optimal temperature was found. The pretreatment times of 1 to 36 hours were logical choices since longer times were difficult to justify economically. Since mixing would ensure uniformity of the reaction mixture and probably result in a better pretreatment, continuous shaking was always employed except in one study that used periodic shaking.

15 In all the experiments, the 3-day reducing sugar yields were used as the measure of enzymatic susceptibility of lime-treated bagasse. The reducing sugar yields were calculated as mg equivalent glucose/g dry bagasse. Typically, 50% and 85% of the 3-day sugars were released in 6 hours and 24 hours, respectively.

20 Table 7 summarizes the conditions and the reactor systems used in the various bagasse experiments.

The experiment at 50°C (Bagasse Experiment, Example 2), lime loading = 5 g Ca(OH)_2 /100 g dry bagasse, water loading = 10 g water/g dry bagasse, and treatment times of 1 and 24 hours was repeated thrice to find the
25 error involved in measuring the 3-day reducing sugar yields. For the 1 hour run, the yields were 112, 125, 111 mg eq. glucose/g dry bagasse, showing a standard deviation of 7.8 mg eq. glucose/g dry bagasse. For the 24 hour run,

This Sheet Has Been Replaced



WO 94/03646

31

the yields were 273, 256, 268 mg eq. glucose/g dry bagasse, showing a standard deviation of 8.7 mg eq. glucose/g dry bagasse. These standard deviations can be generalized to apply to the rest of the experiments, and thus other experiments were not repeated.

5 Example 8 Calcium Acetate Inhibition Experiment

High calcium acetate concentrations are present in the hydrolysis mixture since acetic acid (about 5 mL glacial acetic acid for a sample treated with a lime loading of 30 g $\text{Ca}(\text{OH})_2$ /100 g dry bagasse) is used to neutralize the lime for pH adjustment. To measure the calcium acetate inhibition of enzymes, and experiment was performed in which group bagasse was ammoniated at the reported optimum conditions. These ammoniation conditions were: temperature = 93°C; treatment time = 30 min., water loading = 0.25 g water/g dry bagasse, ammonia loading = 1.5 g NH_3 /g dry bagasse, particle size = 40 mesh.

15 Also, there was no explosion (as used in Ammonia Fiber Explosion process) since the pressure was slowly released. This pretreated material was hydrolyzed in enzyme solutions containing various calcium acetate concentrations. The calcium acetate solutions were prepared by adding various amounts of $\text{Ca}(\text{OH})_2$ (according to the lime loadings used for the pretreatment) to 150 mL water, and then adding acetic acid to reduce the pH to 4.8. Thus, the calcium acetate concentration in these solutions was the same as for lime-pretreated materials. The enzymes were added to solutions only after the pH was brought to 4.8, thus there was no loss of enzyme acidity due to high pH. The 3-day sugar yield obtained from this ammonia-treated material
20 clearly shows that increased calcium hydroxide loadings decrease sugar yields due to calcium acetate inhibition of the enzyme. For the sample hydrolyzed
25 without addition of $\text{Ca}(\text{OH})_2$ to the saccharifications flask, the sugar yield was

This Sheet Has Been Replaced



WO 94/03646

32

390 mg eq. glucose/g dry bagasse. This yield is 1.16, 1.14, 1.16, 1.15, 1.25 and 1.22 of that obtained from samples hydrolyzed in the solutions with 2, 5, 10, 15, 20 and 30 g $\text{Ca}(\text{OH})_2$ /100 g dry bagasse, respectively. In the subsequent experiments using lime pretreatments, these factors were used to correct the
5 sugar yields. Since this approach is simplified and only approximately corrects for calcium acetate inhibition, the original data (without the correction factor) are reported in addition to the corrected data.

Example 9 Overview of Data (Bagasse)

The 3-day reducing sugar yield for untreated bagasse sample
10 (used as a control) was 40 mg eq. glucose/g dry bagasse which is only about 6% of the theoretical yield. Many different conditions as listed in Table 7 produced high sugar yields. Six high yielding conditions are tabulated in Table 8. The choice of conditions to be used industrially will depend not only on the sugar yields, but also on the expense associated with conditions.

15 Example 10 Softwood Newspaper Study

Softwood newspaper is the largest fraction of most residential municipal solid waste. Profitable utilization of softwood newspaper could help solve the ever-growing trash disposal problem. The composition of softwood newspaper is about 70% polysaccharides and 30% lignin, thus, the theoretical
20 yield is about 750 mg eq. glucose/g dry newspaper. The purpose of this study was to check the feasibility of using $\text{Ca}(\text{OH})_2$ to pretreat newspaper. The conditions used for pretreating softwood newspaper are summarized in Table 9.

Example 11 Overview of Data (Newspaper)

25 The 3-day reducing sugar yield from an untreated softwood newspaper sample, used as control, was 240 mg eq. glucose/g dry newspaper. Pretreatment processes that work well for many lignocellulosics do not work

This Sheet Has Been Replaced



WO 94/03646

33

well for softwood newspaper. This probably results from its high lignin content. The yield improvements with $\text{Ca}(\text{OH})_2$ pretreatment found in this present study are comparable to other pretreatments.

Of all the conditions tested, the best yield was obtained for
5 120°C, 24 hours, 30 g $\text{Ca}(\text{OH})_2$ /100 g dry newspaper and 16 g water/g dry newspaper. This yield was 344 mg eq. glucose/g dry newspaper (corrected: 430 mg/g). An interesting observation is that the pretreatment works better for either very severe conditions (120°C, 24 hours) or very mild conditions (65°C, 1 hour).

10 Example 12 Wheat Straw Study

Wheat straw is one of the most abundant agricultural cop residues. In the United States, about 20% of cropland produces wheat, thus large quantities of wheat straw are generated. Typically, based on dry weight, wheat straw is 39% cellulose, 36% hemicellulose, and 10% lignin. According
15 to his composition, the maximum theoretical yield is about 800 mg eq. glucose/g dry wheat straw.

The results for bagasse were used to guide the selection of treatment conditions. The lime loadings were 5, 10, 15 and 20 g $\text{Ca}(\text{OH})_2$ /100 g dry wheat straw. Only two water loadings were used. Treatment
20 temperatures of 50, 65, 85 and 125°C, and treatment times of 1, 3 and 24 hours, were studied. A summary of the treatment conditions is tabulated in Table 10.

Example 13 Overview of Data

As in the case of bagasse, several different conditions produced
25 good yields. Since treatment time and temperature play the most important role in process economics, the selection of conditions will not depend strictly

This Sheet Has Been Replaced



WO 94/03646.

33

well for softwood newspaper. This probably results from its high lignin content. The yield improvements with $\text{Ca}(\text{OH})_2$ pretreatment found in this present study are comparable to other pretreatments.

Of all the conditions tested, the best yield was obtained for
5 120°C, 24 hours, 30 g $\text{Ca}(\text{OH})_2$ /100 g dry newspaper and 16 g water/g dry newspaper. This yield was 344 mg eq. glucose/g dry newspaper (corrected: 430 mg/g). An interesting observation is that the pretreatment works better for either very severe conditions (120°C, 24 hours) or very mild conditions (65°C, 1 hour).

10 Example 12 Wheat Straw Study

Wheat straw is one of the most abundant agricultural crop residues. In the United States, about 20% of cropland produces wheat, thus large quantities of wheat straw are generated. Typically, based on dry weight, wheat straw is 39% cellulose, 36% hemicellulose, and 10% lignin. According
15 to his composition, the maximum theoretical yield is about 800 mg eq. glucose/g dry wheat straw.

The results for bagasse were used to guide the selection of treatment conditions. The lime loadings were 5, 10, 15 and 20 g $\text{Ca}(\text{OH})_2$ /100 g dry wheat straw. Only two water loadings were used. Treatment
20 temperatures of 50, 65, 85 and 125°C, and treatment times of 1, 3 and 24 hours, were studied. A summary of the treatment conditions is tabulated in Table 10.

Example 13 Overview of Data

As in the case of bagasse, several different conditions produced
25 good yields. Since treatment time and temperature play the most important role in process economics, the selection of conditions will not depend strictly

This Sheet Has Been Replaced



WO 94/03646

34

on sugar yields. Eight conditions that produced good yields are tabulated in Table 11.

Example 14 Calcium Recovery Study

The best yields for both bagasse and wheat straw were obtained or a lime loading of 10 to 20 g Ca(OH)_2 /100 g dry material. Thus, an industrial process using such loadings would need significant amounts of lime. A process to recover and recycle lime can reduce the total of lime requirement. Since calcium hydroxide is quite cheap, one main requirement for a recovery process is that it should be simple and inexpensive.

A new recovery process was developed in this invention. Ca(OH)_2 was leached or washed out of the pretreated biomass. The lime-saturated wash water was carbonated to convert Ca(OH)_2 to insoluble CaCO_3 that was subsequently settled. The recovered CaCO_3 can be calcinated to form CaO , which can be hydrated to Ca(OH)_2 and thus reused. In this study, the washing, carbonation and precipitating steps were performed. The experiments were conducted by two different approaches, namely, continuous and batch recovery processes.

Example 15 Continuous Recovery Process

Three runs were performed to recover Ca(OH)_2 from bagasse by the continuous recovery method. The lime treatment conditions used in the continuous lime recovery study are tabulated in Table 12. The recovery results for the corresponding samples are tabulated in Table 13.

The calcium content in the raw bagasse sample was 0.4 g Ca/100 g dry bagasse. The residual calcium content in the bagasse sample was brought down to about 2 g Ca/100 g dry bagasse from 5.4 g Ca/100 g dry bagasse (Samples A and C) and to 2.3 g Ca/100 g dry bagasse from 8.1 g Ca/100 g dry bagasse (Sample B). This shows that 68% of the added calcium was removed

This Sheet Has Been Replaced



WO 94/03646

35

from Samples A and C and 75% of added calcium was removed from Sample B. The reduction in a content showed that the recovery process is working fairly well. However, if the pretreated lignocellulosics are to be used as cattle feed, this residual calcium concentration might be slightly high (1 to 2 g
5 Ca/100 g dry biomass is desired).

One of the main drawbacks observed with the continuous recovery experiment was that there was some channeling inside the bagasse-filled column. Thus, the wash water was not contacting all of pretreated material. This definitely would lower the process effectiveness. With the use
10 of some packing material and an efficient column design, it might be possible to increase the continuous recovery process efficiency. However, this invention used a second approach (i.e. batch recovery) that provides good contact by mixing the wash water and biomass.

Example 16 Batch Recovery Process

15 In this experiment, instead of packing the pretreated material in a column, a glass beaker was used to mix the biomass with wash water. This wash water was saturated with CaCO_3 and had a pH of 8.7. The lime-saturated wash water obtained after filtration was contacted with CO_2 and the resulting CaCO_3 -containing solution was allowed to settle. The pretreatment
20 conditions and the corresponding calcium contents are given in Table 14 and Table 15, respectively.

The first three samples (D, E, and F) used six washings whereas the other samples used ten washings. For a lime loading of 10 g $\text{Ca}(\text{OH})_2$ /100 g dry bagasse (Samples D and E), with six washings, the calcium concentration
25 was reduced to about 1.7 g Ca/100 g dry bagasse, and for a lime loading of 15 g $\text{Ca}(\text{OH})_2$ /100 g dry bagasse (sample P) with six washings, the calcium

This Sheet Has Been Replaced



WO 94/03646

36

concentration was reduced to about 2.2 g Ca/100 g dry bagasse. Thus about 75% of added calcium was removed.

Sample F and G received the same lime treatment. Whereas sample F was washed six times Sample G received four additional washings.

- 5 These additional washings reduced the residual calcium content from 2.2 to 1.5 g Ca/100 g dry bagasse. Thus ten washings were able to remove 86% of the added calcium.

- 10 Since it is possible that the calcium atoms are chemically bound to the cellulose and other macromolecules of bagasse, simple washing with water may not work beyond a certain limit. To explore the possibility that bound calcium ions (+2), could be replaced by NH_4^+ ions, the lime-treated bagasse was washed with an ammonium hydroxide solution. The ammonium hydroxide concentration varied from 0.2 to 8.7 g NH_4OH /100 g water. The KH_2OH was a 30% (w/w) solution of ammonia in water.

- 15 These experiments showed that the ammoniated wash water was not able to further recover calcium.

- 20 In all the previous samples, ammonia was added to the carbonated water to adjust the pH to 9.5. This ensured that the carbonate ions dominated, thus enhancing the precipitation of CaCO_3 . For Samples R and I, samples for calcium analysis were drawn from settled wash water that was carbonated to pH 6.7, and also from settled carbonated wash water that has been raised to pH 9.5 by adding ammonia. It was found that it was essential to have the pH about 9.5 in order to effectively recover the CaCO_3 . Although this experiment used ammonia to adjust the pH to 9.5, industrially this would
25 be achieved by having a good control on the CO_2 addition. After lime treatment, the pH is about 11.5. Only the amount of CO_2 needed to reduce the pH to 9.5 would be added.

This Sheet Has Been Replaced



WO 94/03646

37

All the recovery experiments show that except for lime loading, the other pretreatment conditions (i.e. treatment time, temperature and water loading) do not affect the recovery process. To determine if there are pretreatment reactions that hinder the recovery process, lime was recovered from untreated material, i.e. a physical mixture of bagasse and $\text{Ca}(\text{OH})_2$. The calcium concentration in the wash water had a pattern similar to the other samples. The residual calcium content was 1.3 g Ca/100 g dry bagasse which is the lowest obtained from all the recovery experiments. Thus, there seems to be greater calcium binding to the biomass resulting from pretreatment process. However, the effect is small since ten washings of pretreated material had residuals of about 1.5 to 1.7 g Ca/100 g dry bagasse. Thus, there is not much difference in the recovery process for the pretreated material and for the untreated material.

An important question is how far can one atmosphere CO_2 lower the pH. *Trichoderma reesei* cellulase operates at pH 4.8. The pH of pretreated biomass is about 11.5, so an acid must be added to lower the pH. During alcohol fermentation processes, much CO_2 is generated, so it will be the cheapest acid for pH adjustment. To answer this question, a simple experiment was performed in which a few lime-saturated wash water samples were bubbled with 1 atmosphere CO_2 for about 15 min. The minimum pH that was reached was about 6.5. Thus a stronger acid than CO_2 will be required to lower the pH to 4.8. It would be more desirable to use a cellulase system that operates at pH 7 such as those in bacteria (e.g. *Clostridium thermocellum*).

Thus it is seen that calcium hydroxide is an excellent pretreatment agent. Many different conditions produced high sugar yields. There was no significant effect of water loading on sugar yields, although 10 g water/g dry material produced slightly higher yields. Lime loadings of 10

This Sheet Has Been Replaced



WO 94/03646

38

and 15 g $\text{Ca}(\text{OH})_2/100$ g dry material worked well. It was generally found that the lower temperatures (50°C, 65°C) required longer times (24 hours), whereas higher temperatures (135°C) needed shorter times (1 hour) to produce high yields.

- 5 The recovery process was able to reduce the calcium content in biomass from 8.1 to about 1.5 g Ca/100 g dry material, thus recovering 86% of the added calcium.

Example 17 Circulation Methods

- 10 To prevent the addition of excess lime, the biomass may be contacted with a solution of hot lime water. This may be accomplished in a number of ways:

- 15 Method 1: A solution of hot (temperature range of from about 40°C to about 150°C) saturated lime water is circulated through a packed bed of biomass for a time period of from about 1 hour to about 36 hours. As the solution exits the bed, it is heated to replace heat loss in the packed bed. The solution of saturated lime water can be prepared by mixing excess lime with water and separating the excess solid lime from the water phase by filtration, hydroclone separation (Figure 6), settlement, or centrifugation.

- 20 Method 2: A solution of hot (temperature range of from about 40°C to about 150°C), saturated lime water is circulated through a stirred slurry of biomass for a time period of from about 1 hour to about 36 hours. The biomass is separated from the solution by a filter, hydroclone (Figure 7), settler, or centrifuge. As the solution exits the hydroclone, it is heated to replace heat loss in the stirred slurry of biomass. The solution of saturated
25 lime water can be prepared by mixing excess lime with water and separating the excess solid lime from the water phase by filtration, hydroclone separation (Figure 7), settlement, or centrifugation.

This Sheet Has Been Replaced



WO 94/03646

39

In either Method 1 or Method 2, the lime solids must always be kept in contact with hot water at the highest temperature in the loop, such as between 40°C and 150°C. This is required because lime is relatively more soluble in cold water than in hot water. If the water contacting the lime solids were cold, it would dissolve too much lime. Then, if the lime solution were heated later, lime would either precipitate out and foul the heat exchanger or deposit on the biomass. This explains why the water is heated before it contacts lime solids rather than after.

Example 18 Different Permutations

The materials needed for this invention include: Biomass, lime, or calcium hydroxide, and water. The manipulative procedures for this invention include: Mixing, heating, and simultaneous mixing and heating. Thus, these materials and procedures are capable of a number of permutations, as diagrammatically shown in Figure 8. The notations used in Figure 8 are: L - lime; W - water; B - biomass; M - mixing; H - heating; and M & H - simultaneous mixing and heating. An arrow in Figure 8 signifies adding, introducing, or a step to be performed.

Example 19 Lime Treatment Process for Ruminant Animal Feed Production

Figure 9 shows the lime treatment process for ruminant animal feed production. The lime may be added directly to the biomass, as shown. Alternatively, the biomass may be contacted with a circulating solution of saturated lime water to avoid excess lime addition. Regardless of the addition method, the raw biomass is soaked in hot (ca. 65°C) lime water for about 24 hours. Then, after the reaction is complete, the lime water is circulated through an adsorption column where it is contacted with carbon dioxide. The carbon dioxide reacts with the lime to form insoluble calcium carbonate which is filtered out and sent to a lime kiln. The calcium carbonate is heated to

This Sheet Has Been Replaced



about 1200°C in the lime kiln which drives off the carbon dioxide. The hot exit gases (primarily carbon dioxide with some nitrogen from the combustion air) are cooled in a countercurrent heat exchanger recovering high-pressure steam that may be used for electricity production and/or process heat. The cooled
5 carbon dioxide is recycled to the absorption column where the carbon dioxide again reacts with lime water. Inert, such as nitrogen, will exit the absorption column. Make-up carbon dioxide must be added to replace any losses.

The circulating lime water will contain free sugars and protein extracted from the biomass. In addition, there will be some calcium acetate
10 produced from the acetyl groups on the hemicellulose. A bleed stream will be taken off from the circulating loop that will be acidified with sulfuric acid so the calcium ions are precipitated as gypsum. The free sugars, protein, and acetic acid (HAc) will be concentrated by an appropriate technology (e.g. reverse osmosis or multi-effect evaporation). It may be sold as monogastric
15 (e.g. chickens, pigs) animal feed.

The treated biomass will emerge from the process in a wet state. If the ruminant animals are located close to the processing plant, they may eat it directly in the wet state. If it must be stored for awhile before it is consumed, it may be dried in the steam driers we have previously described in
20 past disclosures.

Example 20 Pretreatments with Calcium Hydroxide and Pressurized Oxygen

Oxygen is believed to partially oxidizes the lignin which opens the biomass structure making it more enzymatically digestible. Oxygen from high-pressure oxygen tanks was added to samples of newspaper under increasing
25 pressure (Figure 10). Treatment conditions were consistent with those previously described. Temperature of the biomass was 120°C for 24 hours with 30 g calcium hydroxide/100 g dry newspaper, and water at 16 g/g dry



newspaper. Increasing amounts of oxygen-containing gas was introduced to samples of newspaper from high-pressure tanks. After pretreatment, samples were digested enzymatically and the yield of glucose determined after three days. Newspaper treated with only lime resulted in a yield of 418 mg eq. glucose/g dry newspaper. For comparison purposes, untreated raw newspaper yields 240 mg eq. glucose/g dry newspaper. With 20 pounds/square inch gauge (psig), glucose yield increased over 10%. With increasing oxygen pressure up to 100 psig, glucose yield increased to over 500 mg eq.

Useful oxygen pressures are between about 20 psig to about 500 psig and preferable are between about 100 to about 400 psig. Oxygen is supplied to the biomass from high-pressure sources such as pure oxygen gas, oxygen-containing gas, or compressed air.

In a second series of experiments (Figure 11), samples of newspaper were pretreated with lime at 30 g/100 g dry newspaper, water at 6 g/g dry newspaper, and oxygen at 100 psig. These sample were pretreated for up to 24 hours. The pretreated samples were enzymatically digested and the sugar content determined. Maximal yield with oxygen pressures of 100 psig was observed at three hours of pretreatment - 580 mg eq. of glucose/g of dry newspaper.

Other embodiments and uses of the invention will be apparent to those skilled in the art from consideration of the specification and practice of the invention disclosed therein. It is intended that the specification and examples be considered exemplary only, with the true scope and spirit of the invention being indicated by the following claims.



WO 94/03646

-42-

Table 1. Methods Used for the Pretreatment of Lignocelluloses.

<u>Physical</u>	<u>Chemical</u>	<u>Chemical (cont'd)</u>	<u>Physicochemical</u>	<u>Biological</u>
Ball-milling	<u>Alkali</u>	<u>Oxidizing agents</u>	Steam explosion	Fungi
Two-roll milling	Sodium hydroxide	Hydrogen peroxide	Ammonia Fiber Explosion	
5 Hammer milling	Calcium hydroxide	Ozone		
Colloid milling	Ammonia	<u>Cellulose solvents</u>		
High pressure steaming	<u>Acid</u>	<u>Solvent extraction</u>		
High energy radiation	Sulfuric acid	Ethanol-water extraction		
10 Pyrolysis	Hydrochloric acid	Benzene-ethanol extraction		
	Hydrofluoric acid			
	<u>Gas</u>			
	Chlorine dioxide			
	Nitrogen dioxide			

This Sheet Has Been Replaced



WO 94/03646

-43-

Biological Pretreatments

Table 2. Reported Ammoniation Conditions

Reference	Type of Biomass	Ammonia State	Temp. (°C)	Time	Pressure	Particle Size	gNH ₃ /kg dry biomass	Effect on Digestibility
Villareal, 1988	Coastal Bermuda grass	Gaseous	ambient	--	atmosp.	--	40	Increase in DIT, Cp ¹
Waiss et al., 1972	Rice straws	NH ₃ OH	160	1 h	--	0.64 cm	26/52	Increased ²
Waiss et al., 1972	Rice straws	NH ₃ OH	ambient	30 d	atmosp.	0.64 cm	50	Increased ¹
Millet et al., 1970	Aspen sawdust	Liquid	30/60/90	1 h	155/360/725 psi	--	--	Increased by 51% ²
Millet et al., 1970	Aspen sawdust	Gaseous	30	1/2 to 74 h	155 psi	--	--	Increased by 47% ²
Brown et al., 1987	Limp grass	Gaseous	ambient	30 d	atmosp.	2.5 cm	20/30/40	Increased ¹
Kelley et al., 1983	Wheat straw	NH ₃ OH	29	21 d	atmosp.	2 mm	50	Increased from 13% to 33% ²

5

10

15

¹ -In vivo.

² -In vitro

This Sheet Has Been Replaced



-44-

Reference	Type of Biomass	Ammonia State	Temp. (°C)	Time	Pressure	Particle Size	gNH ₃ /kg dry biomass	Effect on Digestibility
Kellens et al., 1983	Wheat straw	Gaseous	6	44 d	atmosp.	2.5 cm	50	Increased ¹
Hultquist, 1982	Alfalfa	Liq./Gas.	20-30	30 m	70-165 psig	1/16-1/2 in.	5/20	Increased by 50% ²
Millet et al., 1975	Aspen sawdust	Gaseous	--	2 h	70 psi	--	--	Increased by 46% ¹
Morris et al., 1980	Corn stover	--	ambient	--	atmosp.	1.3 cm	30	Increase in DIT, DE ¹

5

10

This Sheet Has Been Replaced



-45-

Table 3. Reported NaOH Treatment Conditions

Reference	Type of Biomass	Temp. (°C)	Time	Particle Size	g NaOH/100 g solution	g NaOH/100 g biomass	Effect on Digestibility
Moore et al., 1972	Cotton linters	30	1 h	40 mesh	1	20	No effect ²
Moore et al., 1972	Aspen	30	1 h	40 mesh	1	20	Increased from 10% to 50% ²
Millet et al., 1970	Different wood samples	25	1 h	--	1	20	Increased ²
Fieft et al., 1970	Different wood samples	25	1 h, 2 h	40 mesh	0.5, 1	2-20	Increased ²
Baker et al., 1975/70	Aspen sawdust	ambient	2 h	0.16 cm	0.5	5	Increased from 41% to 52% ¹
Anderson et al., 1973	Ryegrass straw	ambient	24 h	2.54 cm	0.5-8	7.5-120	Increased from 33% to 90% ²
Mandels et al., 1974	Bagasse	72	1 h	1/8" mesh	2	--	Increased ²
Mandels et al., 1974	Newspaper	70	90 m	1/8" mesh	2	100	Increased ²
Turner et al., 1990	Different grass samples	--	48 m	4 mm	3	--	Increased ²

Notation used; ¹. In vivo, ². In vitro

This Sheet Has Been Replaced



-46-

Table 4. Reported $\text{Ca}(\text{OH})_2$ Treatment Conditions

Reference	Type of Biomass	Temp. (°C)	Time	Particle Size	g water/g solution	$\text{Ca}(\text{OH})_2/100$ g biomass	Effect on Digestibility
Playac, 1984 1972	Bagasse	20	8 d	2.25	0.87	12 to 30	Increased from 19% to 72% ²
Waller et al., 1975	Corn cobs	ambient	14 d	Ground	0.6	4	Improved digestibility ¹
Rounds et al., 1974	Corn cobs	--	--	--	--	4	No effect ²
Gharib et al., 1975	Poplar bark	ambient	1 or 150 d	9.5 mm	0.6	4 to 16	Increased from 30% to 52% ²
Felix et al., 1990	Soyabean straw	ambient/froz cn	30 d	Chopped	0.65	2 to 5	No effect ¹

Notation used; ¹. In vivo, ². In vitro

5

10

This Sheet Has Been Replaced



-47-

Table 5. Prepare standard solutions for glucose calibration (for filter Paper Assay).

Glucose Conc. (mg/mL)	Glucose Weight (mg)	Standard (mL)	Distilled Water (mL)
1.0	0.5	0.10	0.40
2.0	1.0	0.20	0.30
3.0	1.5	0.30	0.20
4.0	2.0	0.40	0.10
5.0	2.5	0.50	0.00

5

10

This Sheet Has Been Replaced



-48-

Table 6. Prepare standard solutions for glucose calibration (for DNS Assay.)

Glucose Concentration (mg/mL)	Standard (mL)	Distilled Water (mL)
0.2	0.10	0.90
0.4	0.20	0.80
0.6	0.30	0.70
0.8	0.40	0.60
1.0	0.50	0.50

5

This Sheet Has Been Replaced



-49-

Table 7. A summary of conditions used during various bagasse experiments.

Exp. No.	Reactor System	Temp. (°C)	Time (h)	Lime Loading (g Ca(OH) ₂ /100g)	Water Loading (g water/g)	Particle Size
1	1	65	1,3,6,12,24, 26	30	10,15,19	-40 mesh
2	2	65	24	30	6,8,10,13,15,17, 19	-40 mesh
3	3	65,125	12,6	30	6,8,10,13,15,17, 19	-40 mesh
4	3	135	1,3,6,24	10,20,30	10,15	-40 mesh
5	3	100	1,3,24	10,20,30	10,15	-40 mesh
6	1	50	1,3,6,24	2,5,10,15,20,30	10	-40 mesh
7	3	85	3,24	5,10,15,20	10	-40 mesh
8	3	65	3,6,24	5,10,15,20	10	-40 mesh
9	3	65	24	10,15	10	-1x1 mm + 40 mesh

5

10

This Sheet Has Been Replaced



-50-

Table 8. Conditions resulting in high yields.

Sample Number	Temp. (°C)	Time (h)	Calcium Loading (g/100 g)	Water Loading (g/g)	Original Yield (mg/g)	Corrected Yield (mg/g)
1	65	24	30	10	597	728
2	100	24	10	10	580	673
3	65	24	15	10	555	640
4	65	24	10	10	533	618
5	100	1	10	15	525	609
6	135	1	10	10	517	600

5

10

This Sheet Has Been Replaced



WO 94/03646.

-51-

Table 9. Summary of conditions used during various newspaper experiments.

Experiment Number	Reactor system	Temp. (°C)	Time (h)	Lime Loading g Ca(OH) ₂ /100g	Water Loading g water/g
1	3	120	1,3,6,24	30	6,8,10,12,14, 16
2	3	60	1,6,24	5,10,20,30	10
3	3	100	24,3	5,10,15,20,30	10,15

5

This Sheet Has Been Replaced



-52-

Table 10. Summary of conditions used during various wheat straw experiments.

Experiment Number	Reactor System	Temp. (°C)	Time (hours)	Lime Loading (g Ca(OH) ₂ /100g)	Water Loading (gH ₂ O/g)
1	3	65	3,24	10	6,10,15,19
2	3	65	1,3	5,10,15,20	10
3	1	50	3,24	5,10,15,20	10,15
4	3	85	1,3,24	5,10,15,20	10
5	3	125	1,3,12,24	5,10,15,20	10

5

10

This Sheet Has Been Replaced



-53-

Table 11. Conditions resulting in high yields from lime treated wheat straw.

Sample Number	Temp. (°C)	Time (hours)	Calcium Loading (g/100g)	Water Loading (g/g)	Original Yield (mg/g)	Corrected Yield (mg/g)
1	50	24	10	15	585	679
2	85	3	10	10	579	672
3	50	24	20	10	575	667
4	85	3	20	10	559	698
5	85	24	20	10	559	698
6	50	3	10	10	555	639
7	65	24	10	10	543	630
8	125	1	10	10	533	618

5

10

This Sheet Has Been Replaced



Table 12. Conditions used for treating bagasse for continuous recovery.

Sample	Temp. (°C)	Time (h)	Ca Loading (g/100 g)	Water Loading (g/g)
A	85	3	10	10
B	85	3	15	10
C	100	1	10	10

-54-

5

This Sheet Has Been Replaced



WO 94/03646

-55-

Table 13. Change in calcium concentration during continuous recovery.

Calcium Conc. in Wash Water		(ppm)		Calcium Conc. in Bagasse g/100 g (ppm)		(ppm)
Sample		Initial	Final	Initial	Final	
A		1075	33	5.4	2.1	
B		1392	10	8.1	2.3	
C		947	19	5.4	2.0	

5

10

This Sheet Has Been Replaced



Table 14. Conditions used for treating bagasse for batch recovery.

Sample	Temp. (°C)	Time (h)	Ca Loading (g/100 g)	Water Loading (g/g)
D, E	65	24	10	10
F, G, H, I, J, K	65	24	15	10

5

-56-

This Sheet Has Been Replaced



WO 94/03646

-57-

57

Table 15. Change in calcium concentration during batch recovery.

Sample	Number of Washings	(gNH ₄ OH/100g) Wash Water	Calcium Conc. in Wash (ppm)		Calcium Conc. in Bagasse (ppm)	
			Initial	Final	Initial	Final
D	6	0	955	7	5.4	1.7
E	6	0	892	16	5.4	1.7
F	6	0	1109	14	8.1	2.2
G	10	0	1192	13	8.1	1.5
H	10	0.2	1154	6	8.1	1.5
I	10	0.4	1056	6	8.1	1.4
J	10	1.6	1306	12	8.1	1.6
K	10	8.7	1070	4	8.1	1.7
Untreated	10	0	988	8	8.1	1.3

5

10

15

This Sheet Has Been Replaced





CLAIMS

1. A method for pretreating a lignocellulose-containing biomass to render the biomass amenable to digestion comprising:
 - a) providing the lignocellulose-containing biomass,
 - b) adding calcium hydroxide and water to the biomass to form a mixture:
 - c) adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure; and
 - d) maintaining the mixture at an elevated temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion.
2. The method of claim 1 wherein the lignocellulose-containing biomass contains greater than 20% lignin.
3. The method of claim 1 or 2 wherein the oxidizing agent is added to the mixture at a pressure of between about 20 to about 500 psig.
4. The method of any one of the preceding claims wherein the mixture contains between about 6 to about 19 grams of water per gram of dry biomass.
5. The method of any one of the preceding claims wherein the mixture contains between about 2 to about 30 grams of calcium hydroxide per 100 grams of dry biomass.
6. The method of any one of the preceding claims wherein the lignocellulose-



containing biomass is selected from the group consisting of grass, wood, bagasse, straw, paper, plant material, and combinations thereof.

7. The method of any one of the preceding claims wherein the mixture is maintained at the temperature of between about 40°C to about 150°C.

8. The method of any one of the preceding claims wherein the period of time is between about 1 and about 36 hours.

9. The method of any one of the preceding claims wherein the mixture is maintained at a temperature of about 120°C, for about 3 hours, and the oxidizing agent pressure is about 100 psig.

10. A method for converting a lignocellulose-containing biomass into a useful product comprising:

- a) providing the lignocellulose-containing biomass;
- b) adding calcium hydroxide and water to the biomass to form a mixture;
- c) adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure;
- d) maintaining the oxidized mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion; and
- e) digesting the pretreated biomass of the mixture to convert the biomass into the useful product.

This Sheet Has Been Replaced



* C L N S *

11. The method of claim 10 wherein the biomass is digested by acid hydrolysis, enzymatic action, fermentation, or a combination thereof.
12. A useful product made by the method of claim 10 or 11.
13. The useful product of claim 12 which comprises a chemical feedstock.
14. The useful product of claim 12 which comprises a fuel.
15. The useful product of claim 12 which comprises an alcohol, an organic acid, a sugar, a ketone, a fatty acid, or a combination thereof.
16. A method for recovering calcium from a biomass pretreatment process comprising:
 - a) pretreating the biomass with calcium hydroxide and water to the biomass form a mixture, adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure, and maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion;
 - b) carbonating the pretreated mixture or a liquid portion thereof to precipitate calcium carbonate; and
 - c) recovering the precipitated calcium carbonate.
17. The method of claim 16 wherein the pH of the carbonated mixture is between

This Sheet Has Been Replaced



* C L H S *



about 8.5 and about 10.5.

18. The method of claim 16 or 17 further comprising heating the carbonated mixture to form carbon dioxide and calcium oxide, and recovering the calcium oxide.

19. The method of any one of claims 16 to 18 wherein the precipitated calcium carbonate is recovered by filtration, hydroclone separation, sedimentation, centrifugation, or a combination thereof.

20. A method for recovering calcium from a biomass pretreatment process comprising:

- a) pretreating the biomass with calcium hydroxide and water to the biomass form a mixture; adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure; and maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion;
- b) adding a carbonating agent to the pretreated mixture to form calcium carbonate;
- c) digesting the carbonated mixture;
- d) separating the digested mixture into a useful product and a residual mixture;
- e) heating the residual mixture to convert the calcium carbonate into calcium hydroxide; and
- f) recovering the calcium as calcium hydroxide.



21. The method of claim 20 wherein the carbonating agent is carbon dioxide gas.
22. The method of claim 20 or 21 wherein the heat is provided by burning the remaining lignin of the digested biomass.
23. A method for recovering calcium from a biomass pretreatment process comprising:
- a) pretreating the biomass with calcium hydroxide and water to form a mixture and maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion;
 - b) carbonating the mixture or a liquid portion thereof to precipitate calcium carbonate; and
 - c) recovering the precipitated calcium carbonate.
24. The method of claim 23 wherein the ph of the carbonated mixture is between about 8.5 and about 10.5.
25. The method of claim 23 or 24 further comprising heating the carbonated mixture to form carbon dioxide and calcium oxide and recovering the calcium oxide.
26. The method of any one of claims 23 to 25 wherein the precipitated calcium carbonate is recovered by filtration, hydroclone separation, sedimentation, centrifugation, or a combination thereof.

This Sheet Has Been Replaced



* C L H S *

27. A method for recovering calcium from a biomass pretreatment process comprising:

- a) pretreating the biomass with calcium hydroxide and water to the biomass form a mixture, and maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion;
- b) adding a carbonating agent to the pretreated mixture to precipitate calcium carbonate;
- c) digesting the carbonated mixture;
- d) separating the digested mixture into a useful product and a residual mixture;
- e) heating the residual mixture to convert the calcium carbonate into calcium hydroxide; and
- f) recovering the calcium as calcium hydroxide.

28. The method of claim 27 wherein the carbonate agent is carbon dioxide gas.

29. The method of claim 27 or 28 wherein the heat is provided by burning the remaining lignin of the digested biomass.

This Sheet Has Been Replaced

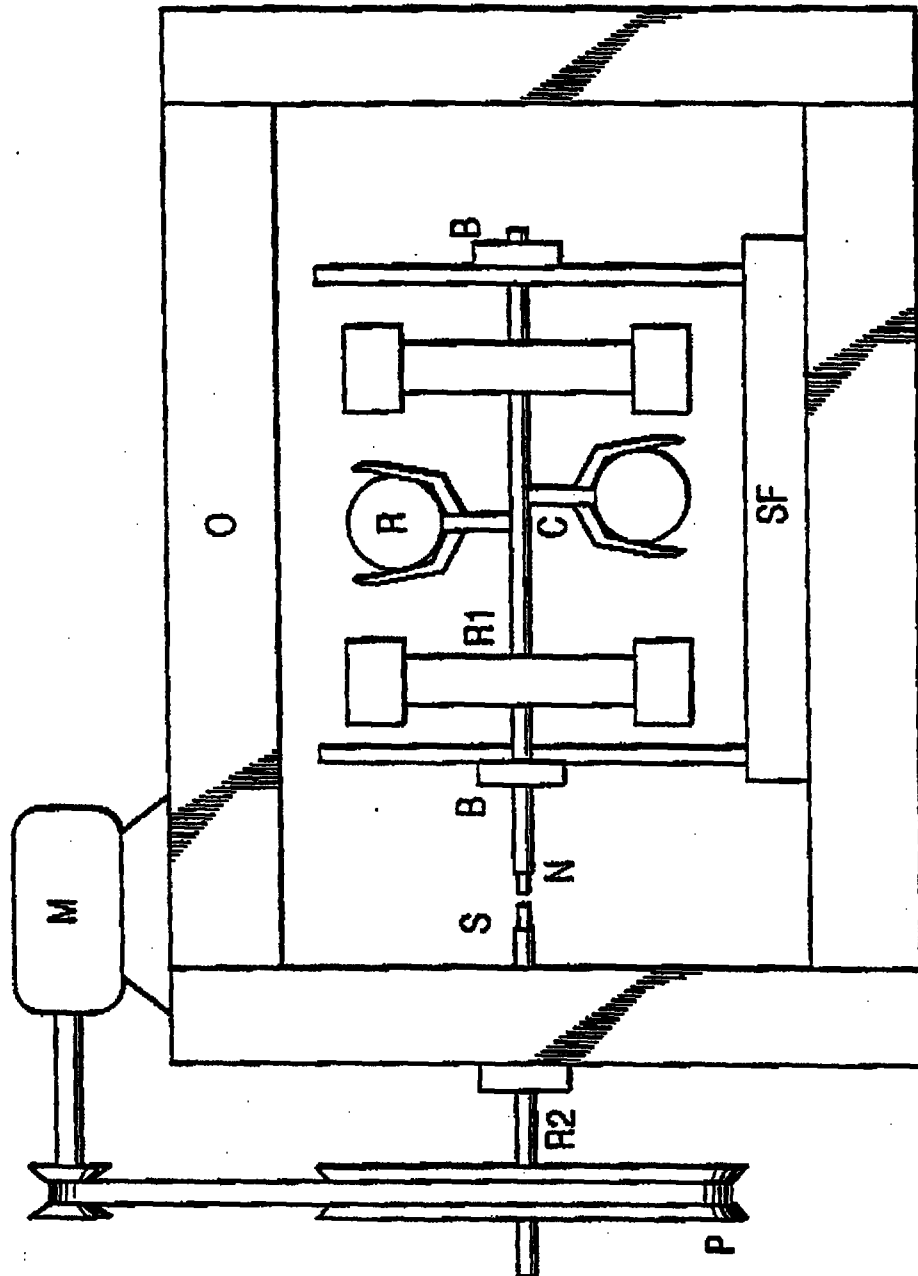


* C L M S *

WO 94/03646

1/8

FIG. 1



This Sheet Has Been Replaced



SUBSTITUTE SHEET

2/8

FIG. 2

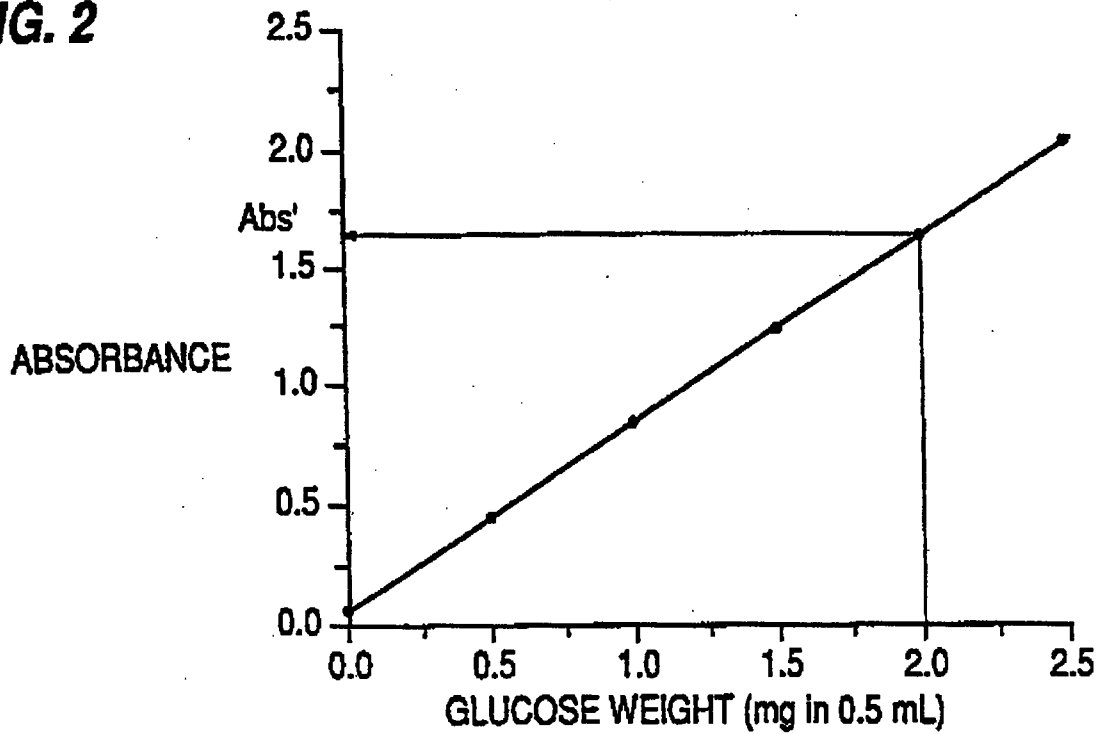
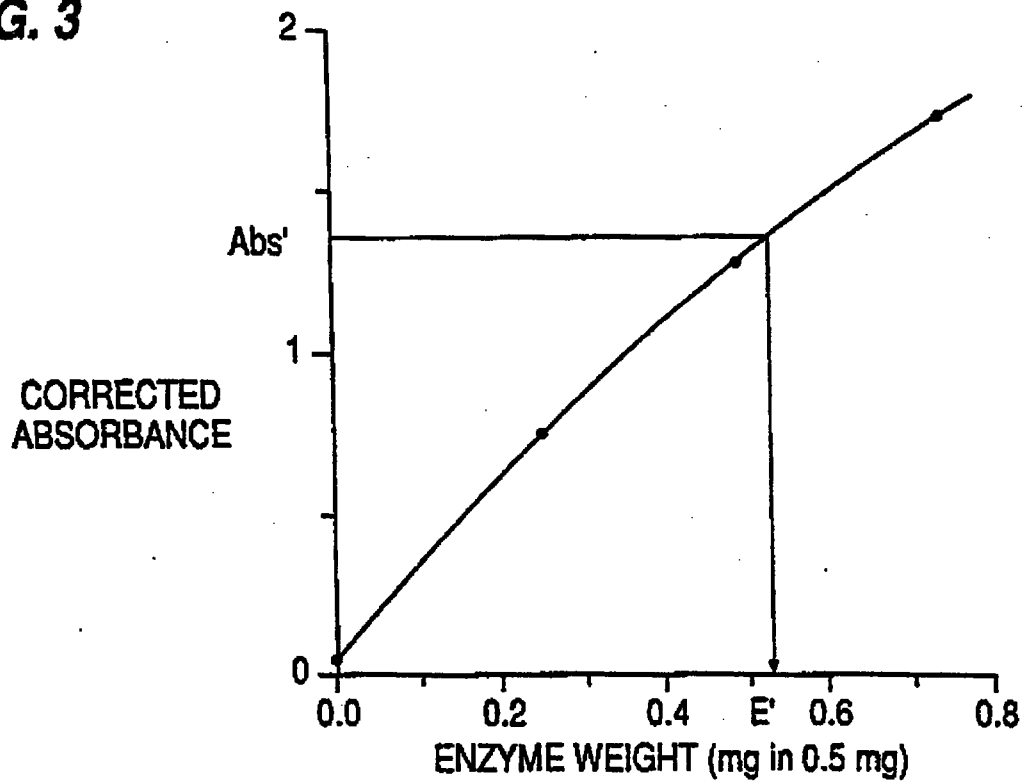


FIG. 3

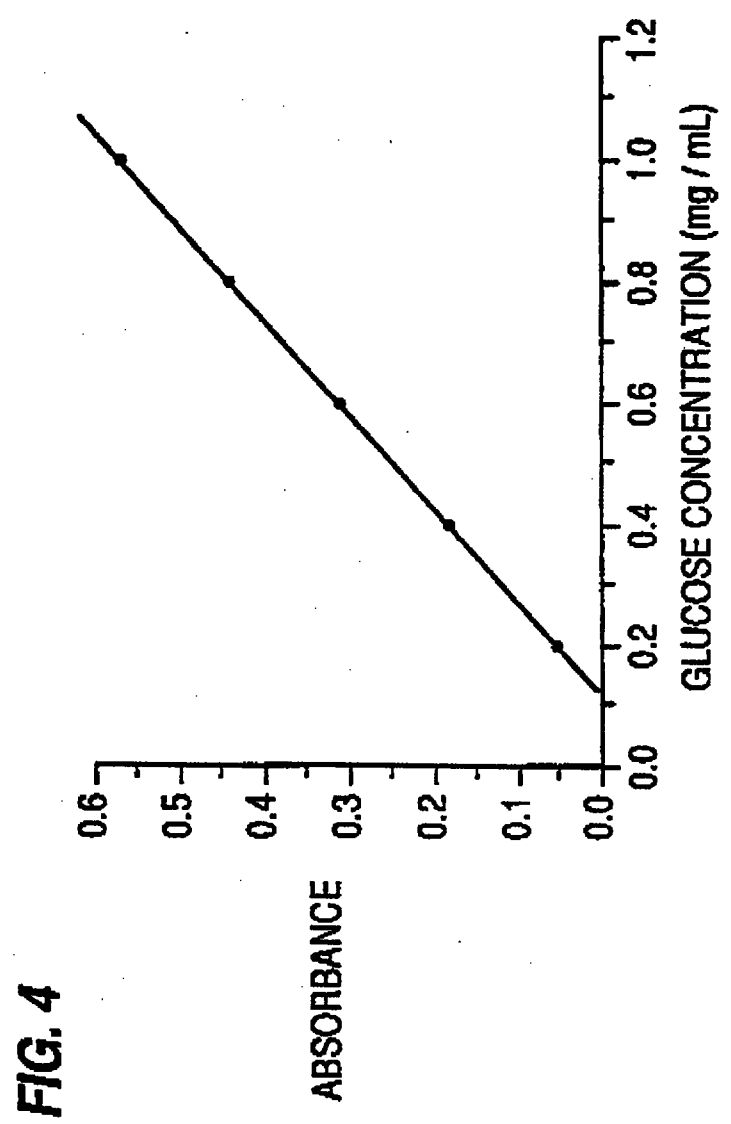


SUBSTITUTE SHEET

This Sheet Has Been Replaced



3/8



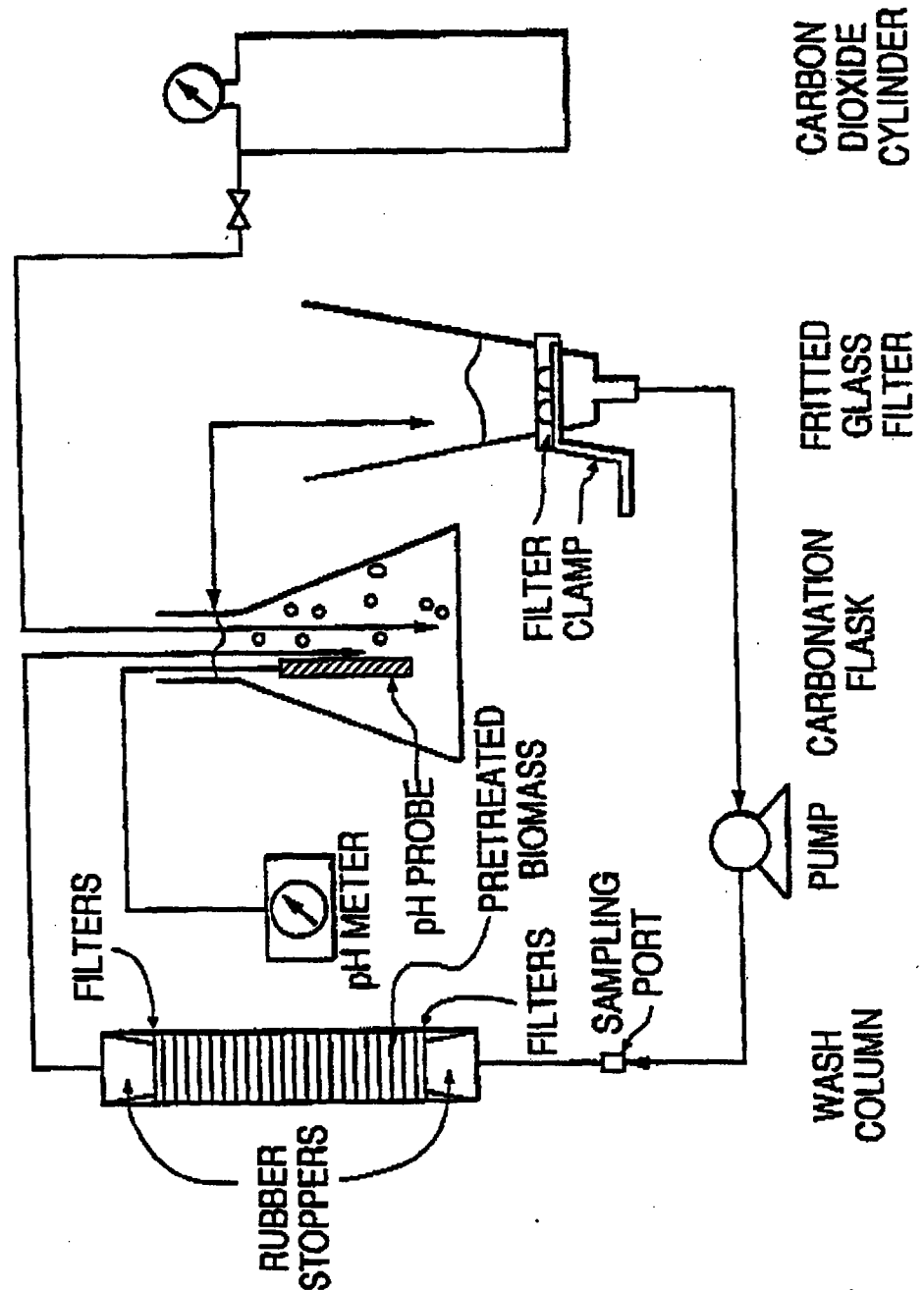
This Sheet Has Been Replaced



SUBSTITUTE SHEET

4/8

FIG. 5



This Sheet Has Been Replaced



SUBSTITUTE SHEET

5/8

FIG. 6

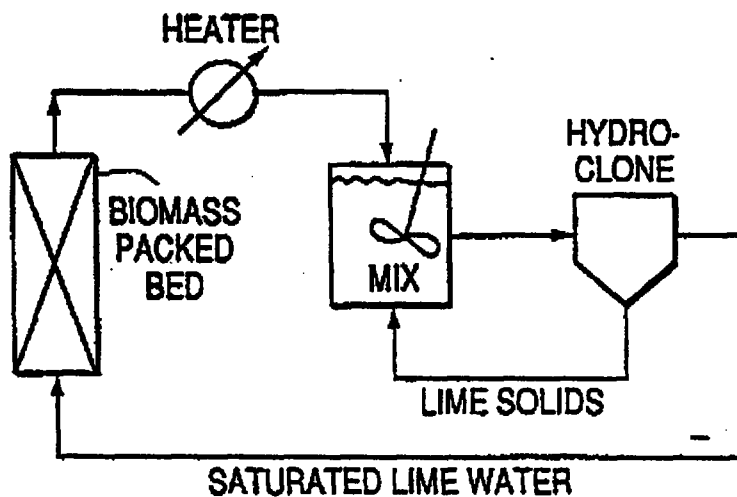
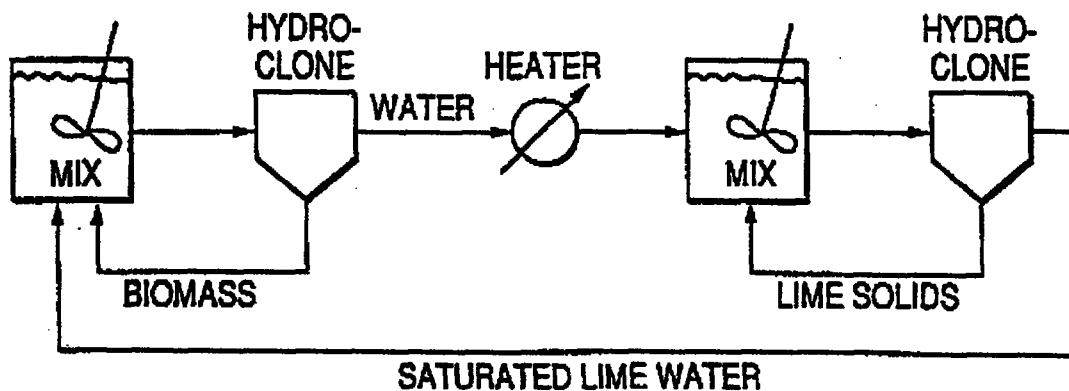


FIG. 7



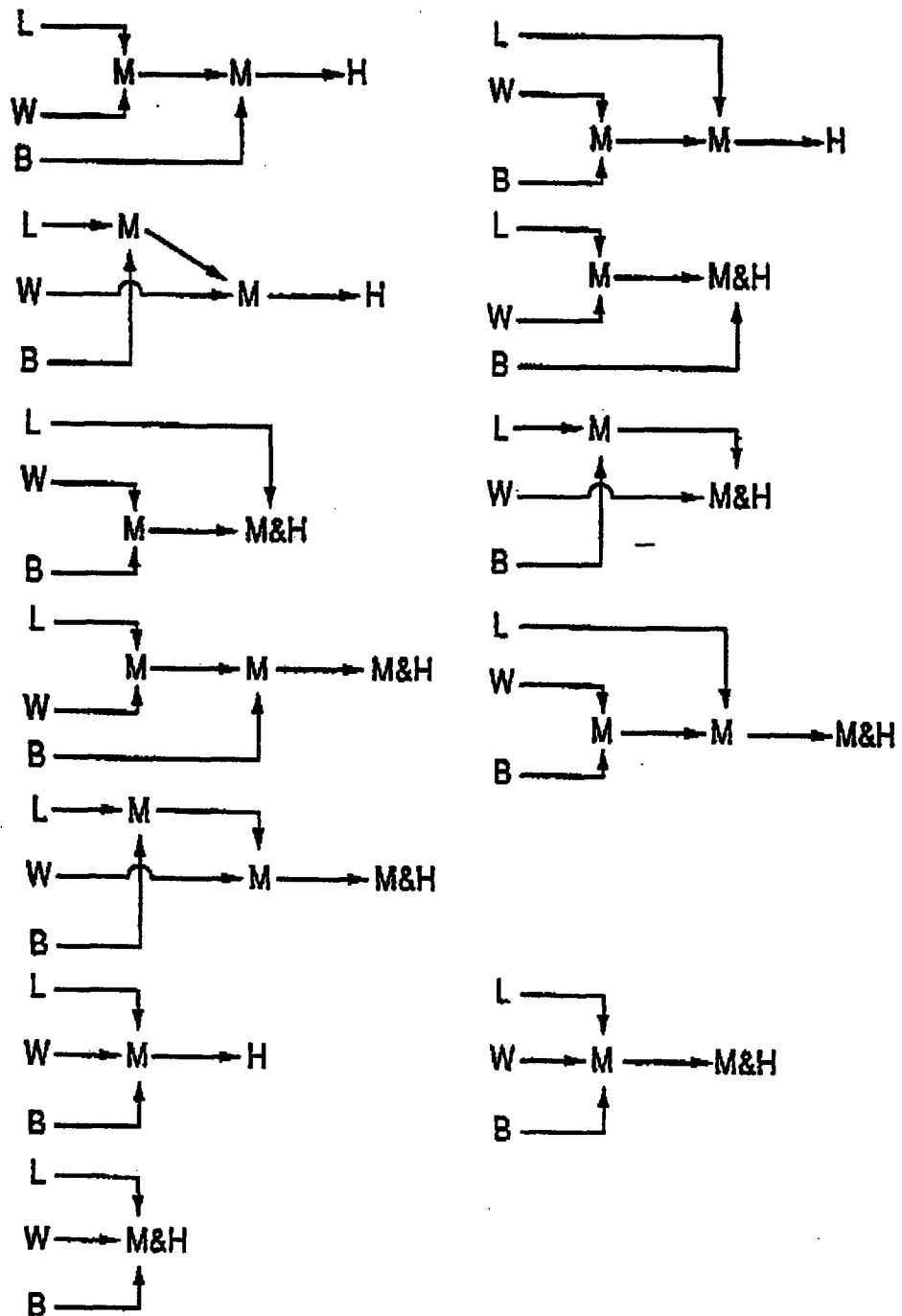
SUBSTITUTE SHEET

This Sheet Has Been Replaced



6/8

FIG. 8



SUBSTITUTE SHEET

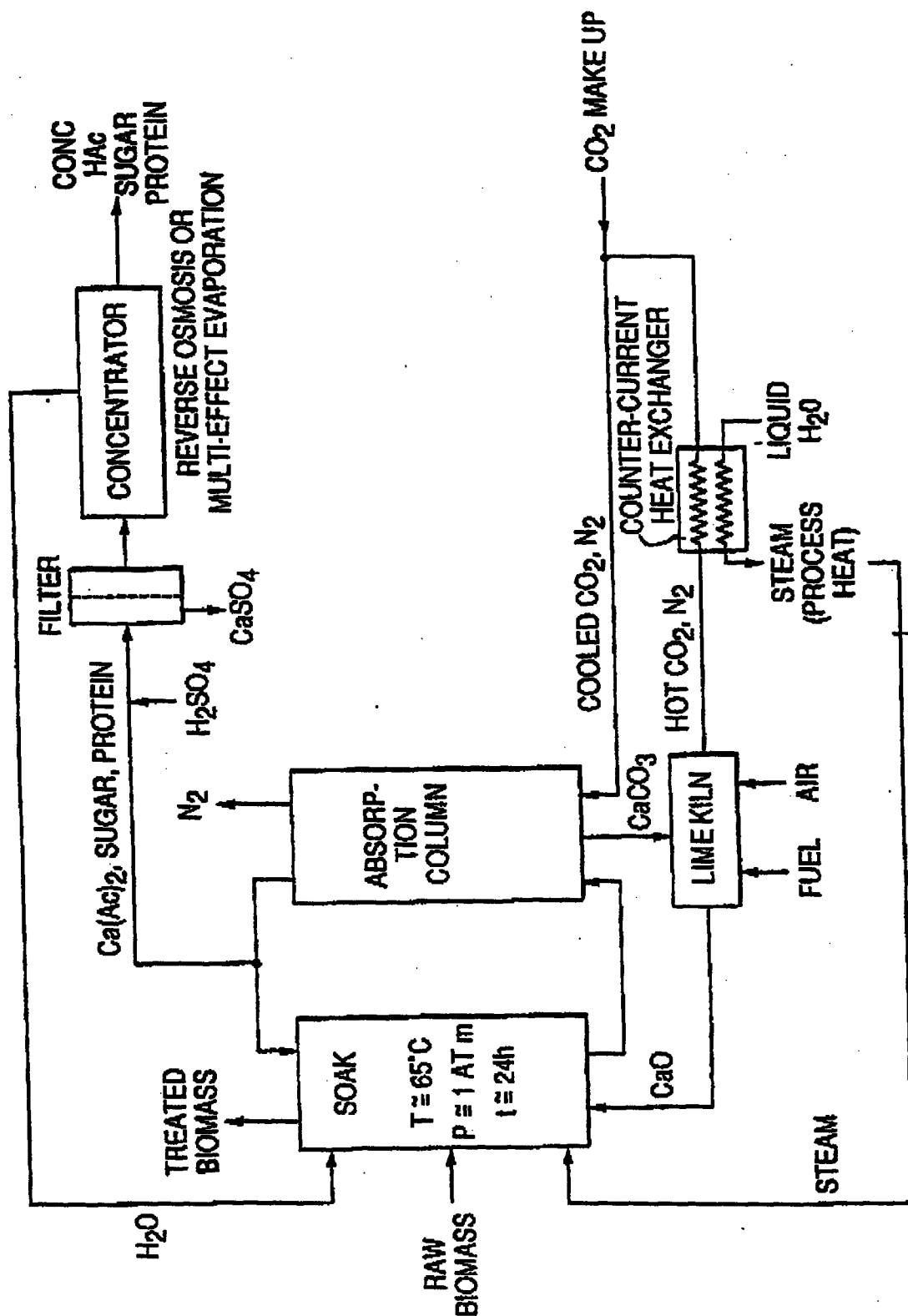
This Sheet Has Been Replaced



WO 94/03646

7/8

FIG. 9



SUBSTITUTE SHEET

This Sheet Has Been Replaced



8/8
FIG. 10

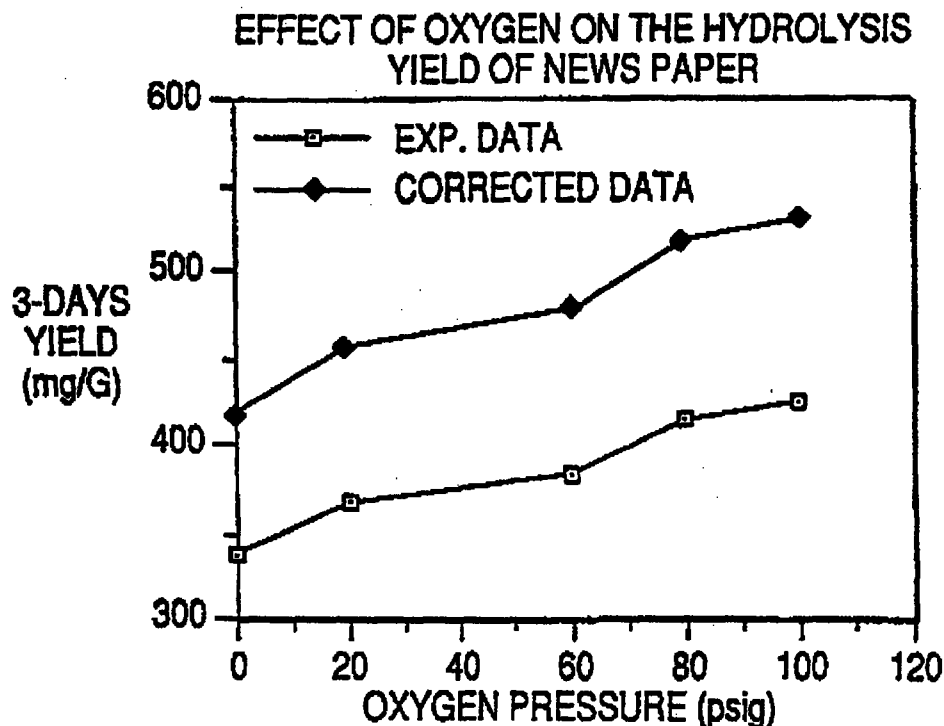
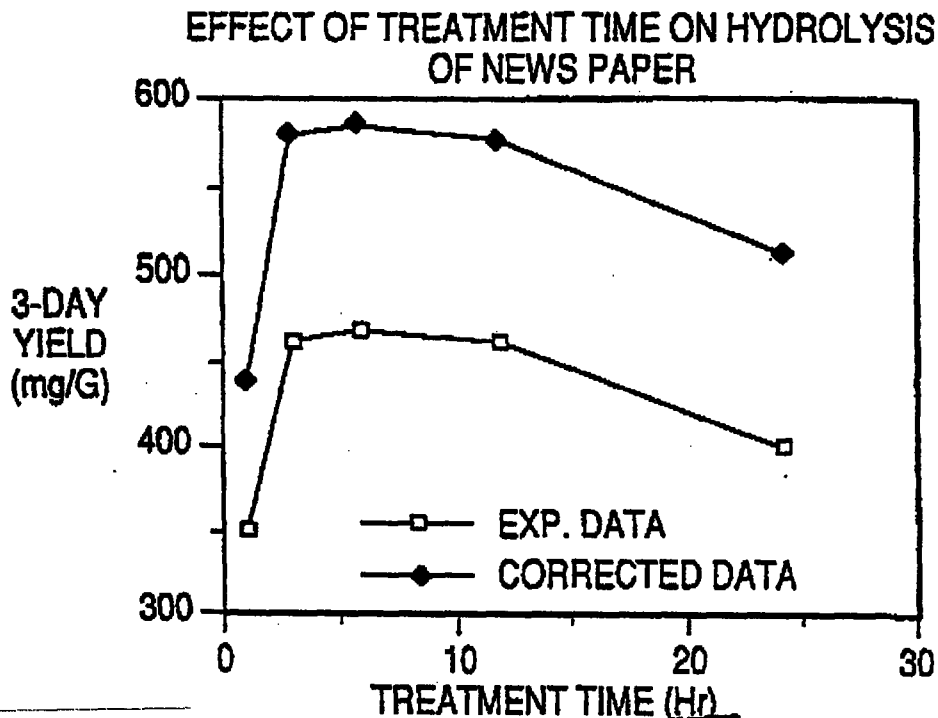


FIG. 11



This Sheet Has Been Replaced



SUBSTITUTE SHEET



58

REPLACEMENT SHEETS SG
☐ Description : paged to paged
☒ Claim(s) : paged ~~58~~ to paged ~~64~~
☐ Drawing(s) : paged to paged
☐ Abstract : paged to paged

DATE OF RECEIPT 26/10/96

We Claim:

1. A method for pretreating a lignocellulose-containing biomass to render the biomass amenable to digestion comprising:
 - a) providing the lignocellulose-containing biomass,
 - 5 b) adding calcium hydroxide and water to the biomass to form a mixture;
 - c) adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure; and
 - d) maintaining the mixture at an elevated temperature and for a
- 10 period of time sufficient to render the biomass of the mixture amenable to digestion.
2. The method of claim 1 wherein the lignocellulose-containing biomass contains greater than 20% lignin.
3. The method of claim 1 wherein the oxidizing agent is added to the
- 15 mixture at a pressure of between about 20 to about 500 psig.
4. The method of claim 1 wherein the oxidizing agent is added to the mixture at a pressure of about 100 psig.
5. The method of claim 1 wherein the mixture contains between about 6 to about 19 grams of water per gram of dry biomass.
- 20 6. The method of claim 1 wherein the mixture contains about 16 grams of water per gram of dry biomass.
7. The method of claim 1 wherein the mixture contains between about 2 to about 30 grams of calcium hydroxide per 100 grams of dry biomass.
8. The method of claim 1 wherein the mixture contains about 30 grams
- 25 of calcium hydroxide per 100 grams of dry biomass.
9. The method of claim 1 wherein the lignocellulose-containing biomass is selected from the group consisting of grass, wood, bagasse, straw, paper, plant material, and combinations thereof.



REPLACED SHEETS SG
☐ Description : paged to paged
☒ Claim(s) : paged ~~58~~ to paged ~~64~~
☐ Drawing(s) : paged to paged
☐ Abstract : paged to paged
 DATE OF RECEIPT

This is the replacement sheet

Date: 26 OCT 1996



10. The method of claim 1 wherein the mixture is maintained at the temperature of between about 40°C to about 150°C.
11. The method of claim 1 wherein the mixture is maintained at the temperature of between about 100°C and about 140°C.
- 5 12. The method of claim 1 wherein the mixture is maintained at the temperature of about 120°C.
13. The method of claim 1 wherein the period of time is between about 1 and about 36 hours.
14. The method of claim 1 wherein the period of time is about 3 hours.
- 10 15. The method of claim 1 wherein the mixture is maintained at a temperature of about 120°C, for about 3 hours, and the oxidizing agent pressure is about 100 psig.
16. A method for converting a lignocellulose-containing biomass into a useful product comprising:
- 15 a) providing the lignocellulose-containing biomass;
- b) adding calcium hydroxide and water to the biomass to form a mixture;
- c) adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure;
- 20 d) maintaining the oxidized mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion; and
- e) digesting the pretreated biomass of the mixture to convert the biomass into the useful product.
- 25 17. The method of claim 16 wherein the biomass is digested by acid hydrolysis, enzymatic action, fermentation, or a combination thereof.
18. A useful product made by the method of claim 16.



This is the replacement sheet

Date: 26 OCT 1996



19. The useful product of claim 18 which comprises a chemical feedstock.
20. The useful product of claim 18 which comprises a fuel.
21. The useful product of claim 18 which comprises an alcohol, an organic acid, a sugar, a ketone, a fatty acid, or a combination thereof.
22. A method for recovering calcium from a biomass pretreatment process comprising:
- a) pretreating the biomass with calcium hydroxide and water to the biomass form a mixture, adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure, and maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion;
 - b) carbonating the pretreated mixture or a liquid portion thereof to precipitate calcium carbonate; and
 - c) recovering the precipitated calcium carbonate.
23. The method of claim 22 wherein the pH of the carbonated mixture is between about 8.5 and about 10.5.
24. The method of claim 22 wherein the pH of the carbonated mixture is between about 9.0 and about 10.
25. The method of claim 22 further comprising heating the carbonated mixture to form carbon dioxide and calcium oxide, and recovering the calcium oxide.
26. The method of claim 22 wherein the precipitated calcium carbonate is recovered by filtration, hydroclone separation, sedimentation, centrifugation, or a combination thereof.
27. A method for recovering calcium from a biomass pretreatment process comprising:



* C L H S *

This is the replacement sheet

Date: 26 OCT 1996



- 5 a) pretreating the biomass with calcium hydroxide and water to the biomass form a mixture; adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure; and
maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion;
- b) adding a carbonating agent to the pretreated mixture to form calcium carbonate;
- 10 c) digesting the carbonated mixture;
- d) separating the digested mixture into a useful product and a residual mixture;
- e) heating the residual mixture to convert the calcium carbonate into calcium hydroxide; and
- 15 f) recovering the calcium as calcium hydroxide.
28. The method of claim 27 wherein the carbonating agent is carbon dioxide gas.
29. The method of claim 27 wherein the heat is provided by burning the remaining lignin of the digested biomass.
- 20 30. A method for pretreating a lignocellulose-containing biomass to render the biomass amendable to digestion comprising:
- a) providing the lignocellulose-containing biomass;
- b) adding calcium hydroxide and water to the biomass to form a mixture; and
- 25 c) maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion.
31. The method of claim 30 wherein the mixture contains between about 6 and about 19 grams of water per gram of dry biomass.





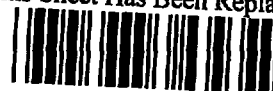
32. The method of claim 30 wherein the mixture contains between about 9 and about 11 grams of water per gram of dry biomass.
33. The method of claim 30 wherein the mixture contains between about 2 and about 30 grams of calcium hydroxide per 100 grams of dry biomass.
- 5 34. The method of claim 30 wherein the mixture contains between about 10 and about 15 grams of calcium hydroxide per 100 grams of dry biomass.
35. The method of claim 30 wherein the lignocellulose-containing biomass is selected from the group consisting of grass, wood, bagasse, straw, paper, plant material, and combinations thereof.
- 10 36. The method of claim 30 wherein the mixture is maintained at the temperature of between about 40°C and about 150°C.
37. The method of claim 30 wherein the mixture is maintained at the temperature of between about 40°C and about 70°C.
38. The method of claim 30 wherein the mixture is maintained at the
- 15 temperature of between about 70°C and about 110°C.
39. The method of claim 30 wherein the mixture is maintained at the temperature of between about 110°C and about 150°C.
40. The method of claim 30 wherein the period of time is between about 1 and about 36 hours.
- 20 41. The method of claim 30 wherein the period of time is between about 15 and about 25 hours.
42. The method of claim 30 wherein the period of time is between about 1 and about 2 hours.
43. The method of claim 30 wherein the mixture is maintained at a
- 25 temperature of between about 40°C and about 150°C, and the period of time is between about 1 and about 36 hours.
44. A method for converting a lignocellulose-containing biomass into a useful product comprising:
- a) providing a lignocellulose-containing biomass;

This is the replacement sheet



Date: 26 OCT 1996

This Sheet Has Been Replaced



63

- 5
- b) adding calcium hydroxide and water to the biomass to form a mixture;
 - c) maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion; and
 - d) digesting the biomass of the mixture to convert the biomass into the useful product.

45. The method of claim 44 wherein the biomass is digested by acid hydrolysis, enzymatic action, fermentation, or a combination thereof.

10 46. A useful product made by the method of claim 44.

47. The useful product of claim 46 which comprises a chemical feedstock.

48. The useful product of claim 46 which comprises a fuel.

15 49. The useful product of claim 46 which comprises an alcohol, an organic acid, a sugar, a ketone, a fatty acid, or a combination thereof.

50. A method for recovering calcium from a biomass pretreatment process comprising:

- 20
- a) pretreating the biomass with calcium hydroxide and water to form a mixture and maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion;
 - b) carbonating the mixture or a liquid portion thereof to precipitate calcium carbonate; and
 - c) recovering the precipitated calcium carbonate.

25 51. The method of claim 50 wherein the pH of the carbonated mixture is between about 8.5 and about 10.5.

52. The method of claim 50 wherein the pH of the carbonated mixture is between about 9.0 and about 10.



This is the replacement sheet

Date: 26 OCT 1996



53. The method of claim 50 further comprising heating the carbonated mixture to form carbon dioxide and calcium oxide and recovering the calcium oxide.

54. The method of claim 50 wherein the precipitated calcium carbonate is recovered by filtration, hydroclone separation, sedimentation, centrifugation, or a combination thereof.

55. A method for recovering calcium from a biomass pretreatment process comprising:

- 10 a) pretreating the biomass with calcium hydroxide and water to the biomass form a mixture, and maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion;
- b) adding a carbonating agent to the pretreated mixture to precipitate calcium carbonate;
- 15 c) digesting the carbonated mixture;
- d) separating the digested mixture into a useful product and a residual mixture;
- e) heating the residual mixture to convert the calcium carbonate into calcium hydroxide; and
- 20 f) recovering the calcium as calcium hydroxide.

56. The method of claim 55 wherein the carbonating agent is carbon dioxide gas.

57. The method of claim 55 wherein the heat is provided by burning the remaining lignin of the digested biomass.



METHODS OF BIOMASS PRETREATMENT

Background of the Invention

1. Field of the Invention

The invention relates to methods for the pretreatment of a lignin containing biomass to render the biomass amenable to digestion. Pretreatment comprises the addition of calcium hydroxide and water to the biomass to form a mixture, and maintaining the mixture at a relatively high temperature. Alternatively, an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, may be added under pressure to the mixture. The invention also relates to the digested products of the pretreated biomass which includes useful feedstocks, fuels, and chemicals such as sugars, ketones, fatty acids and alcohols, and to a method for the recovery of calcium from the pretreated biomass.

2. Description of the Background

Biomass can be classified in three main categories: sugar-, starch- and cellulose-containing plants. Sugar-containing plants (e.g. sweet sorghum, sugarcane) and starch-containing plants (e.g. corn, rice, wheat, sweet potatoes) are primarily used as food sources. Cellulose-containing plants and waste products (e.g. grasses, wood, bagasse, straws) are the most abundant forms of biomass. Although they are not easily converted to useful products, a well engineered process to convert them to feedstock may potentially be economical since the costs of feedstock are much less than those of sugar- and starch-containing biomass.

Cellulose-containing materials are generally referred to as lignocellulosics because they contain cellulose (40% - 60%), hemicellulose (20% - 40%) and lignin (10% - 25%). Non-woody biomass generally contains less than about 15-20% lignin. Cellulose, a glucose polymer, can be hydrolyzed

REPLACEMENT SHEETS		SG
☒	Description	: paged 1... to paged 57... This is the replacement sheet
☒	Claim(s)	: paged 1... to paged 1...
☒	Drawing(s)	: paged 1... to paged 1...
☒	Abstract	: paged 1... to paged 1...
DATE OF RECEIPT 3/17/97		

Date: 13 MAR 1997

to glucose using acid, enzymes or microbes. Glucose can serve as a feedstock for fuel alcohol and single-cell protein production. Microbial hydrolysis produces cellular biomass (single-cell protein) and metabolic waste products such as organic acids. Acid hydrolysis, although simple, produces many undesirable degradation products. Enzymatic hydrolysis is the cleanest and most preferred approach. However, production of enzymes, mainly cellulase and cellobiase, can be an expensive step. Apart from alcohol production, lignocellulose can be used as inexpensive cattle feed. Since raw lignocellulose cannot be easily digested by cattle, it must be processed to improve its digestibility before it can be fed to ruminants. Also, anaerobic fermentation using rumen microorganisms can produce low molecular weight volatile fatty acids.

Cellulose is the world's most abundant biological material. Approximately 40% to 45% of the dry weight of wood species is cellulose. The degree of polymerization ranges from 500 to 20,000. Cellulose molecules are completely linear, unbranched and have a strong tendency to form inter- and intra-molecular hydrogen bonds. Bundles of cellulose molecules are thus aggregated together to form microfibrils in which highly ordered (crystalline) regions alternate with less ordered (amorphous) regions. Microfibrils make fibrils and finally cellulose fibers. As a consequence of its fibrous structure and strong hydrogen bonds, cellulose has a very high tensile strength and is insoluble in most solvents.

Hemicellulose is the world's second most abundant carbohydrate and comprises about 20% to 30% of wood dry weight. Hemicelluloses, although originally believed to be intermediates in cellulose biosynthesis, are formed through biosynthetic routes different from cellulose. Hemicellulose are heteropolysaccharides and are formed by a variety of monomers. The most

This is the replacement sheet

Date: 13 MAR 1997

common monomers are glucose, galactose and mannose (the hexoses) and xylose and arabinose (the pentoses). Most hemicelluloses have a degree of polymerization of only 200. Hemicelluloses can be classified in three families, xylans, mannans and galactans, named for the backbone polymer.

Lignin is the world's most abundant non-carbohydrate biomaterial. It is a three dimensional macromolecule of enormously high molecular weight. Since its units are extensively cross-linked, it is difficult to define an individual molecule. Lignin provides strength by binding cellulose fibrils together. Being hydrophobic in nature, it prevents water loss from the vascular system and, being highly resistant to enzymatic degradation, it protects plants from insects and microbial attack.

Phenylpropane, an aromatic compound, is the basic structural unit of lignin. The monomers not only cross-link with each other, but also covalently bond to hemicellulose. A great constraint to cellulose and hemicellulose accessibility is the presence of lignin. It has been shown that decreased lignin content causes increased digestibility. Lignin can be removed by physical, chemical, or enzymatic treatments. It must be decomposed to smaller units that can be dissolved out of the cellulose matrix. There are several well developed pulping methods that disintegrate and remove lignin, leaving the cellulose fairly intact. Conventional pulping processes, such as Kraft and sulfite pulping, are too costly as bioconversion pretreatments. Also, economical use of the removed lignin is difficult because its chemical structure and size distribution are highly heterogeneous.

Another major deterrent to enzymatic cellulosic hydrolysis is the highly ordered molecular packing of its crystalline regions. Cellulolytic enzymes readily degrade the more accessible amorphous portions of cellulose, but are unable to attack the less accessible crystalline material. Thus,

This is the replacement sheet

Date: 13 MAR 1997

enzymatic hydrolysis rates increase with decreasing crystallinity index measured by X-ray diffraction methods.

The moisture content of cellulose fibers influences enzymatic degradation. Cellulosic materials are effectively protected from deterioration by enzymes or microbes provided the moisture content is maintained below a critical level characteristic of the material and the organism involved. In general, this critical level is slightly above the fiber saturation point, approximately 40% of dry weight. Moisture plays three major roles: (1) it swells the fibers by hydrating cellulose molecules, thus opening up the fine structure and increasing enzyme access, (2) it provides a diffusion medium for enzymes and for partial degradation products, and (3) it is added to cellulose during hydrolytic cleavage of the glycosidic links of each molecule.

The surface area of lignocellulose is another important factor that determines susceptibility to enzymatic degradation. It is important because contact between enzyme molecules and the cellulose surface is a prerequisite for hydrolysis to proceed. A few other factors that also influence susceptibility include size and diffusibility of enzyme molecules in relation to size and surface properties of capillaries, unit cell dimensions of cellulose molecules, and conformation and steric rigidity of hydro-glucose units.

To enhance susceptibility to enzymatic hydrolysis, lignocellulose pretreatment is an essential requirement. The heterogeneous enzymatic degradation of lignocellulosics is primarily governed by its structural features because (1) cellulose possesses a highly resistant crystalline structure, (2) the lignin surrounding the cellulose forms a physical barrier and (3) the sites available for enzymatic attack are limited. An ideal pretreatment, therefore, would reduce lignin content, with a concomitant reduction in crystallinity and increase in surface area. Pretreatment methods can be classified into physical,

This is the replacement sheet

Date: 13 MAR 1997

chemical, physicochemical, and biological, depending on the mode of action. The literature available on this subject is voluminous. The various pretreatment methods that have been used to increase cellulose digestibility are summarized in Table 1.

Biological pretreatments employ fungi for microbial delignification to make cellulose more accessible. Major biological lignin degraders are the higher fungi, *Ascomycetes* and *Basidiomycetes*. Fungal degradation is a slow process and most fungi attack not only lignin, but cellulose also, thus resulting in a mixture of lignin fragments and sugars. Improvements may require developing more specific and efficient microbes.

Physical Pretreatments

Physical pretreatments can be classified in two general categories: mechanical (involving all types of milling) and nonmechanical (involving high-pressure steaming, high energy radiation and pyrolysis). During mechanical pretreatments, physical forces, (e.g. shearing, compressive forces) subdivide lignocellulose into finer particles. These physical forces reduce crystallinity, particle size and degree of polymerization and increase bulk density. These structural changes result in a material more susceptible to acid and enzymatic hydrolysis. However, due to enormously high operating costs associated with the high energy requirements, low yields and large time requirements, these mechanical pretreatments are not practical. Nonmechanical physical pretreatment methods also increase digestibility, but have similar disadvantages and thus are not economical for real processes.

This is the replacement sheet

Date: 13 MAR 1997

Physicochemical Pretreatments

Steam explosion and Ammonia Fiber Explosion (AFEX) are the main physicochemical pretreatments. Steam explosion heats wetted lignocellulose to high temperatures (about 25°C) and releases the pressure instantly. Due to rapid decompression, which flashes the water trapped in fibers, physical size reduction occurs. The high temperatures remove acetic acid from hemicellulose, so this process results in some autohydrolysis of the biomass. These changes result in better digestibilities, but the severe conditions also produce degradation products that inhibit hydrolysis and fermentation. These products are removed by washing with water which results in a loss of water soluble hemicellulose. Thus, although digestibilities are improved, biomass degradation and protein denaturization limits the use of steam explosion.

The AFEX pretreatment process soaks lignocellulose in liquid ammonia at high pressure and then explosively releases the pressure. Pretreatment conditions (30°C - 100°C) are less severe than steam explosion. An increase in accessible surface area coupled with reduced cellulose crystallinity (caused by ammonia contacting) result in increased enzymatic digestibility. However, use of ammonia (a hazardous chemical) and the high pressure release makes the process quite complex and energy intensive.

Chemical Pretreatments

Many chemical treatments have been used for lignin removal and destruction of the lignin crystalline structure. Of these chemicals, acids, gases, oxidizing agents, cellulose solvents, and solvent extraction agents, are all able to increase digestibility, but are not as popular as alkalis. Economics, simpler processes and less degradation favor alkalis as chemical pretreatment agents. However, most of these are process for paper pulping and involves the

This is the replacement sheet

Date: 13 MAR 1997

complete or nearly complete destruction of lignin, and a corresponding destruction of cellulose. Although unimportant in pulping, these pulping process are quite severe and not useful as pretreatments for biomass. Furthermore, the traditional pulping processes used by the paper industry are too expensive as lignocellulose pretreatment methods.

U.S. Patent No. 4,644,060 to Chou is directed to the use of super-critical ammonia to increase lignocellulose digestibility.

U.S. Patents Nos. 4,353,713 and 4,448,588 to Cheng are directed to the gasification of biomass or coal which is an endothermic process. These patents also relate to a method for adding the required thermal energy by reacting lime with carbon dioxide which is an exothermic reaction.

U.S. Patent No. 4,391,671 to Azarniouch is directed to a method for calcining calcium carbonate in a rotary kiln. The reference relates to the paper/pulp industry where the calcium carbonate would be contaminated with waste biomass. The waste biomass is burned to provide the needed heat of reaction.

U.S. Patent No. 4,356,196 to Hulquist is directed to treating biomass with ammonia.

U.S. Patent No. 4,227,964 to Kerr is directed to the use of ammonia to promote the kinking of pulp fiber to increase paper strength, not to break down the fibers.

U.S. Patent No. 4,087,317 to Roberts is directed to the use of lime and mechanical beating to convert pulp into a hydrated gel. There is no mention of lime recovery or enzymatically hydrolyzing the hydrated gel.

U.S. Patent No. 4,064,276 to Conradsen directed to a process where biomass is covered with a tarp and then ammoniated with ammonia, which is allowed to dissipate into the atmosphere.

This is the replacement sheet

Date: 13 MAR 1997

U.S. Patent No. 3,939,286 to Jelks is directed to oxidizing biomass with high-pressure oxygen under elevated temperature and pressure in the presence of an acid catalyst, and a metal catalyst, ferric chloride, to break lignin bonds and to increase digestibility. The catalysts are described as essential to the process and calcium hydroxide is utilized as a neutralizing agent to adjust the resulting pH of the hydrolyzed biomass.

U.S. Patent No. 3,878,304 to Moore is directed to production of slow-release nonprotein nitrogen in ruminant feeds. An amide, urea, is reacted with waste carbohydrates in the presence of an acid catalyst. The resulting material is pelleted and used as animal feed. Since the nitrogen is released slowly in the rumen, it is nontoxic to the animal.

U.S. Patent No. 3,944,463 to Samuelson et al. is directed to a process for producing cellulose pulp of high brightness. The cellulose is pretreated with an alkaline compound at a temperature of between about 60°C to about 200°C so as to dissolve between 1 and 30% of the dry weight of the material in the pretreatment liquor. The pretreatment liquor preferably contains sodium carbonate, sodium bicarbonate or mixtures thereof, or possible sodium hydroxide.

U.S. Patent No. 3,639,206 to Spruill is directed to the treatment of waste water effluent derived from a pulping process with calcium oxide or hydroxide to reduce the fiber and color content of the effluent.

U.S. Patent No. 4,048,341 to Lagerstrom et al. is directed to a process for increasing the feed value of lignocellulosic material by contacting the material with an alkaline liquid, specifically, sodium hydroxide. The alkaline liquid, supplied in excess, is allowed to run off the material before any essential alkalization effect has been reached. After the liquid absorbed in the material has provided its effect, an acid solution is added to the material to

This is the replacement sheet

Date: 13 MAR 1997

neutralize the excess alkali. The reference does not disclose the interrelationship of temperature and time of alkali treatment, nor does it disclose the optimal amounts of the sodium hydroxide and water.

U.S. Patent No. 4,182,780 to Lagerstrom et al. is directed to a process for increasing the feed value of lignocellulosic materials by alkali treatment and subsequent neutralization of the materials with an acid in a closed system under circulation of the treating agents.

U.S. Patent No. 4,515,816 to Anthony is directed to a process in which lignocellulose is treated with dilute acid in an amount of about 1.5 to 2.5% of the dry weight of lignocellulose. The mixture is then stored at ambient conditions for 5 to 21 days in an air-free environment.

U.S. Patent No. 4,842,877 to Tyson is directed to a process for the delignification of non-woody biomass (<20% lignin). In this process, non-woody biomass is treated with a chelating agent, to prevent unnecessary oxidation, and maintained at high pH and high temperatures (150°F to 315°F) in the presence of hydrogen peroxide and pressurized oxygen. Hydrogen peroxide is stated to cause a reaction on the cell walls to allow the hemicellulose and lignin to solubilize and be removed through a subsequent hydrolysis process. Oxygen is added to initiate and accelerate the activation of hydrogen peroxide.

The conditions and results of studies reported in the literature using ammonia (gaseous, anhydrous liquid, or NH_4OH) and sodium hydroxide as pretreatment agents are listed in Table 2 and Table 3, respectively. The literature available on the use of these two chemicals to enhance lignocellulose digestibility of ruminant feeds, as well as for hydrolysis to glucose, is extensive. The literature on calcium hydroxide pretreatment processes is considerably less compared to that for sodium hydroxide and ammonia. The conditions and

This is the replacement sheet

Date:

13 MAR 1997

results of studies reported in the literature using calcium hydroxide are shown in Table 4.

The references cited in the Tables and below are:

- Anderson, D.C.; Ralston, A.T. *J. Anim. Sci.* 1973; 37, 148.
- Baker, A.J.; Millett, M.A.; Satter, L.D. *ACS Symposium Series* 1975; 10, 75.
- Brown, W.F.; Phillips, J.D.; Jones, D.B. *J. Anim. Sci.* 1987; 64, 1205.
- Dawish, A.; Galal, A.G. *In Proc. Conf. Anim. Feeds Trop. Subtrop. Origin* 1975.
- Felix, A.; Hill, R.A.; Diarra, B. *Anim. Prod.* 1990; 51, 47.
- Feist, W.C.; Baker, A.J.; Tarkow, H. *J. Anim. Sci.* 1970; 30, 832.
- Gharib, F.H.; Meiske, J.C.; Goodrich, R.D.; El Serafy, A.M. *J. Anim. Sci.* 1975; 40(4), 734.
- Hulquist, J.H. *U.S. Patent No.* 4,356,296; 1982.
- Kellens, R.D.; Herrera-Saldana, R.; Church, D.C. *J. Anim. Sci.* 1983; 56(4), 938.
- Mandels, M.; Hontz, J.R.; Kystrom, J. *Biotech. Bioeng.* 1974; 16, 1471.
- Millet, M.A. et al., *J. Anim. Sci.* 1970; 31(4), 781.
- Millet, M.A.; Baker, A.J.; Satter, L.D. *In Biotech. Bioeng. Symp.* 1975; 5, 193.
- Moore, W.E.; Effland, M.J.; Medeiros, J.E. *J. Agr. Food Chem.* 1972; 20(6), 1173.
- Morris, P.J.; Movat, D.N. *Can. J. Anim. Sci.* 1980; 60, 327.
- Playne, M. *J. Biotech. Bioeng.*, 1984; 26, 426.
- Rounds, W.; Klopfenstein, T. *J. Anim. Sci.* 1974; 39, 251 (abst.).
- Turner, N.D.; Schelling, G.T.; Greene, L.W.; Byers, P.M. *J. Prod. Agric.* 1990; 3(1), 83.

This is the replacement sheet

Date: 13 MAR 1997

Villareal, E.R. *Ph.D. Thesis* Texas A&M Univ. College Station, TX 1988.

Waiss, A.C. et al., *J. Anim. Sci.* 1972; 35(1). 109.

Waller, J.C.; Klopfenstein, T. *J. Anim. Sci.* 1975, 41 424 (abstract).

Playne (1984) investigated the effects of alkali treatment and steam explosion on bagasse digestibility. The digestibility of untreated bagasse was 190 g organic matter (OM)/kg bagasse dry matter. It was raised to: 733 g organic matter by using NaOH (and also by using $\text{Ca}(\text{OH})_2$ with Na_2CO_3); to 430 g OM using NH_3 ; and to 724 g OM using $\text{Ca}(\text{OH})_2$. When $\text{Ca}(\text{OH})_2$ alone was used, a high loading (about 180 - 300 g $\text{Ca}(\text{OH})_2$ kg bagasse) was used. Gharib et al. (1975) used calcium oxide for in vitro evaluation of chemically treated poplar bark. They reported that calcium oxide increased in vitro true digestibility from 38% to 52% for a 150-day treatment, although little improvement was found for a 1-day treatment. Rounds and Klopfenstein (1974) studied the effects of NaOH, KOH, NH_4OH and $\text{Ca}(\text{OH})_2$ on in vivo digestibility of corn cobs by feeding to lambs and on in vitro digestibility using an artificial rumen. $\text{Ca}(\text{OH})_2$ alone was unable to increase the in vitro digestibility, although rations treated with $\text{Ca}(\text{OH})_2$ + NaOH resulted in higher daily gain and feed efficiency for lambs. Waller and Riopfenstein (1975) used various combinations of NaOH, $\text{Ca}(\text{OH})_2$ and NH_4OH for treating feed for lambs and heifers and reported that the highest daily gain and lowest feed/gain was obtained for the 3% NaOH + 1% $\text{Ca}(\text{OH})_2$ rations. Darwish and Galal (1975) used maize cobs treated with 1.5% $\text{Ca}(\text{OH})_2$ in a milk production ration and found no significant change in milk output. Felix et al. (1990) evaluated the effects of ensiling and treating soya-bean straw with NaOH, $\text{Ca}(\text{OH})_2$ and NH_4OH on ruminant digestibility. Results indicate that there was no significant

This is the replacement sheet

Date: 13 MAR 1997

improvement due to alkali treatment of dry and unensiled straw, although alkali treatment improved digestibility of ensiled straw.

Although the use of calcium hydroxide as a pretreatment agent has been demonstrated, considerably less work has been done employing this chemical compared to other alkalis. Most of the previous work has been performed by animal scientists trying to develop a very simple process to increase the lignocellulose digestibility of animal feed. All these studies were done at room temperature or below, at lower water loadings, for very long periods and without any mixing. These processes required very long treatment times which is very expensive since the reactors must be very large. There is thus a need to improve the currently existing methods of pretreating lignocellulose containing material to render it amenable to enzymatic digestion.

Summary of the Invention

One embodiment of the invention is directed to an economical process for pretreating a lignocellulose-containing biomass to render the biomass amenable to digestion. Pretreatment comprises adding calcium hydroxide and water to the biomass, and subjecting the biomass to relatively high temperatures for a period of time. The pretreated biomass is digested to produce a useful product.

Another embodiment of the invention is directed to an economical pretreatment method comprising adding calcium hydroxide and water to the biomass to form a mixture, adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure, and subjecting the biomass to relatively high temperatures for a period of time. The pretreated biomass is digested to produce a useful product.

This is the replacement sheet

Date: 13 MAR 1997

Another embodiment of the invention is directed to a process for recovering calcium from a biomass. After pretreatment, the biomass is carbonated with a carbonating agent to form calcium carbonate. Calcium carbonate is recovered from the pretreated mixture or recovered after digestion and can be reconverted into calcium hydroxide.

Brief Description of the Drawings

Figure 1 is a schematic diagram of Reactor System 3.

Figure 2 is a glucose calibration curve for the filter paper assay.

Figure 3 is an enzyme calibration curve for the filter paper assay.

Figure 4 is a calibration curve for the DNS assay.

Figure 5 is a flow diagram for continuous calcium hydroxide recovery.

Figure 6 is a techematic diagram of one embodiment of using a hydroclone to separate lime solids from lime solution.

Figure 7 is a schematic diagram of another embodiment of using a hydroclone to separate lime solids from lime solution.

Figure 8 is a schematic diagram showing different possible permutations of pretreating biomass with lime.

Figure 9 shows the lime treatment process for ruminant animal feed production.

Figure 10 is a graph of oxygen pressure verses yield to show the effect of oxygen on the hydrolysis of newspaper.

Figure 11 is a graph of pretreatment time verses yield to show the effect of treatment time on the hydrolysis of newspaper.

This is the replacement sheet

Date: 13 MAR 1997

Description of the Invention

The present invention comprises economical methods for the pretreatment of a biomass. Pretreatment comprises the addition of calcium hydroxide and water to a biomass to render the biomass susceptible to degradation. Calcium hydroxide is inexpensive and much cheaper than other alkalis. It is safe to handle and, unlike the sodium residue, the calcium residue is little or no problem for animal feed. In an artificial rumen, calcium hydroxide produces calcium acetate which is also safe and nontoxic. Calcium hydroxide ($\text{Ca}(\text{OH})_2$) as the lignocellulose pretreatment agent is therefore very economical. Further, there was also no significant difference in digestibility between $\text{Ca}(\text{OH})_2$ pretreated material and NH_3 or NaOH pretreated material in animals.

The operating conditions of the pretreatment methods of the invention are a significant improvement over the existing literature. Previous researchers restricted their operating temperature to ambient and below in order to create a very simple process without heaters. These simple processes required extremely long treatment times typically ranging from 8 to 150 days. Raising the treatment temperature could decrease the treatment time, but runs the risk of degrading the lignocellulose. High-temperature treatment conditions have been identified that did not degrade the lignocellulose and resulted in treatment times that were orders of magnitude shorter. The economic impact is significant since the reactor can be orders of magnitude smaller.

Further, previous calcium hydroxide (lime) pretreatment methods used very low water loadings. Since their processes operated at room temperature, good heat transfer was not an issue. They could operate with very little water since the thermal insulating properties of air were not

detrimental. However, when operating at a higher temperature, the process benefits by including about ten times more water since its high heat capacity and heat transfer coefficient ensure a uniform temperature. Higher water loadings also provides a medium into which the lime can more uniformly disperse, but are unattainable using conventional procedures. Consequently, most of the previous research in lime treatment used relatively low lime loadings whereas we were able to realistically consider higher loadings can be realistically considered because a lime recovery process is also incorporated into the invention.

In one embodiment, the invention is directed to a method for pretreating a lignocellulose-containing biomass to render the biomass amenable to digestion and comprises providing a lignocellulose-containing biomass, adding calcium hydroxide and water to the biomass to form a mixture, and maintaining the mixture at an elevated temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion. Types of useful biomass include grass, wood, bagasse, straw, paper, plant material, and combinations thereof. Lignocellulose-containing biomass to which the process of the invention is directed is preferably biomass containing greater than 15% lignin and more preferable biomass containing greater than 20% lignin.

Preferably, biomass to be pretreated is fed into a chipper, grinder, chopper, shredder or the like, to be reduced in size. Resulting biomass chips or particles are preferable about one-half inch or smaller. The biomass particles are then combined with calcium hydroxide and water to form an alkaline biomass mixture. The mixture contains between about 6 to about 19 grams of water per gram of dry biomass and preferably about 16 grams of water per gram of dry biomass. The mixture also contains between about 2 to

This is the replacement sheet

Date: 13 MAR 1997

about 50 grams of calcium hydroxide per 100 grams of dry biomass and preferably contains about 30 grams of calcium hydroxide per 100 grams of dry biomass. Depending on the type of biomass, the preferable amount may be more or less. Calcium hydroxide may be added before or after the water or as an aqueous solution or dispersion.

The aqueous calcium hydroxide/biomass mixture is maintained in reaction chambers, which are preferably stainless steel, at between about 40°C to about 150°C, preferably between about 100°C to about 140°C, and more preferably at about 120°C. Depending on the type of biomass, the temperature range may be between about 70°C to about 110°C, between about 110°C to about 150°C, or between about 50°C to about 65°C. The temperature is maintained for between about 1 to about 36, preferably between about 1 to about 20 hours, more preferably about 3 hours. Again, depending on the type of biomass, the time period may be longer or shorter such as between about 15 to about 25 hours.

Another embodiment of the invention is directed to a method for converting a lignocellulose-containing biomass into a useful product and comprises providing a lignocellulose-containing biomass, adding calcium hydroxide and water to the biomass to form a mixture, oxygenating the mixture with pressurized oxygen, maintaining the mixture at an elevated temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion, and digesting the biomass of the mixture to convert the biomass into the useful product. Oxygen is relatively inexpensive and readily available as pressurized oxygen gas, pressurized air, and other pressurized oxygen-containing gasses. Oxygen is also non-toxin and non-polluting to the environment. Calcium hydroxide is added to a biomass as described above to form a mixture. To the mixture is added an oxidizing agent under pressure

This is the replacement sheet

Date: 13 MAR 1997

selected from the group consisting of oxygen and oxygen-rich gasses. Preferably, the added oxygen-containing gas has a pressure of between about 20 to about 500 psig (pounds per square inch gauge), preferably greater than about 50 psig, and more preferably greater than about 100 psig.

After either of the above-described embodiments, the pretreated biomass is digested by hydrolysis such as acid hydrolysis, enzymatic action, fermentation, or a combination of digestion methods. The digested biomass comprises material which are useful products such as alcohols, acids such as organic acids, sugars, ketones, starches, fatty acids, or combinations thereof. These products can be made into feedstocks such as chemical feedstocks, fuels, and other useful products. Due to the relatively gentle pretreatment conditions, the useful products are obtained in higher quantities and are of a higher quality than products obtained after other pretreatment methods. The maximum amount of material is converted into useful product with as little waste as possible. Further, no toxins or harmful chemicals are introduced into the biomass therefore none need to be removed or even tested for in the final product.

Another embodiment of the invention is directed to a method for recovering calcium from a biomass pretreatment process comprising pretreating the biomass with calcium hydroxide and water to form a mixture, optionally adding an oxidizing agent selected from the group consisting of oxygen and oxygen-containing gasses to the mixture under pressure, and maintaining the mixture at an elevated temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion, carbonating the mixture or the liquid portion thereof to precipitate calcium carbonate, and recovering the precipitated calcium carbonate. The pH of the carbonated mixture is between about 8.5 and about 10.5, and preferably between about 9.0

This is the replacement sheet

Date: 13 MAR 1997

and about 10. The calcium carbonate is precipitated in the mixture and can be recovered by filtration, hydroclone separation, sedimentation, centrifugation, or by combinations of these methods. The calcium carbonate may also be heated and converted into carbon dioxide and calcium oxide, and the calcium recovered as calcium oxide.

Alternatively, the pretreated mixture is treated with a carbonating agent, which is preferably carbon dioxide gas which is bubbled into the mixture, forming calcium carbonate. The pretreated and carbonated biomass is digested and the useful product separated from the remaining mixture or residual mixture. The residual mixture, comprising lignin and calcium carbonate, is heated, for example in a kiln, preferably a lime kiln, to convert the calcium carbonate into calcium hydroxide. The heat supplied to the kiln may be derived from the burning of the lignin, making for a highly economical overall process.

The following examples are offered to illustrate embodiments of the invention, but should not be viewed as limiting the scope of the invention.

Examples

Example 1 Sample Preparation

Raw bagasse was collected from the Animal Science Department of Texas A&M University. For three years, it was lying in the open, covered only by a plastic sheet. Thus, it was first thoroughly washed with water and then dried in an oven (at 80°C) for about 8 hours. Also, because bagasse deteriorates with storage time, the bagasse used in the present study was more recalcitrant than that used by Holtzapple et al., Appl. Biochem. Biotech. 28/29, 59 (1991). The wheat straw was already clean and did not require washing. Softwood newspaper was the Bryan/College Station, Texas, Eagle newspaper. It was first shredded in a paper shredder. All materials were ground by a

This is the replacement sheet

Date: 13 MAR 1997

Wiley mill to 1 x 1 mm particle size and then passed through a 40 mesh sieve. Dry weight analysis was done by placing a small sample in an oven, at 80°C for 24 hr and measuring the weight loss due to water evaporation.

Example 2 Calcium Hydroxide Pretreatment

Calcium hydroxide pretreatment involves reacting biomass with calcium hydroxide in the presence of water at a relatively high temperature. The effectiveness of the pretreatment process was studied for several different reaction conditions. Process variables studied were lime loading (2 to 30 g Ca(OH)_2 /100 g dry biomass), water loading (6 to 19 g water/g dry biomass), treatment temperature (50°C to 145°C) and treatment time (1 hour to 36 hours). The following three types of reactor systems were employed.

Reactor System 1: For initial pretreatment experiments, 500-mL glass Erlenmeyer flasks were used as reactors. The flasks were sealed with rubber stoppers and placed in a 100-rpm shaking water-bath. This method was limited to 65°C, the highest temperature attainable by the water bath.

Reactor System 2: Erlenmeyer flasks were placed in an oven, with periodic manual shaking. Only one experiment, at 65°C, was performed by this method. This method resulted in lower yields, thus demonstrating that continuous shaking was required for effective mass transfer.

Reactor System 3: Steel reactors were used for experiments in the 65°C and 145°C temperature range. To resist the corrosive nature of calcium hydroxide solutions, the reactors were constructed of 304 stainless steel. The reactors were, 1.5 "I.D. x 5" long, cylindrical nipples, with end caps on both ends. To provide mixing inside the reactors, a rotating device was fabricated in the Texas A & M Chemical Engineering Department's machine shop. A schematic diagram of this reactor system is shown in Figure 1. This device holds the reactors (R) inside the oven (O) and, by rotating them continuously,

This is the replacement sheet

Date: 13 MAR 1997

provides tumble mixing of the contents. It has a steel rod (R1) supported from both ends on two ball bearings (B). The bearings are bolted on a steel frame (SF) that can be placed inside the oven. Six holes are drilled through this rod to hold the clamps (C) that hold the reactors. Set screws hold the clamps in place during rotation. Through the back side of the oven, a 1" hole is drilled. A small rod (R2) supported by a ball bearing (bolted on the oven wall) passes through this hole. A pulley (P) is mounted on the end that is outside the oven. A variable speed AC/DC motor (M) mounted on the top of the oven rotates R2. The steel rod that holds the reactors (R1) has a splined end (a small nut (N)) that couples with the pulley (via a socket (S)). This coupling arrangement allow the reactors to be placed in, and removed from, the oven with ease.

To perform the pretreatment experiments, the reactors were prepared by winding at least four layers of Teflon tape on both ends. One end was closed by placing the nipple in a vice and tightening the end cap by a pipe wrench. The reaction mixture was prepared by placing the measured quantities of biomass (7.5 g dry weight) and Ca(OH)_2 (according the lime loading) inside the reactors. The material was thoroughly mixed inside the reactors using a spatula. Measured amounts of water were then added to this dry mixed sample. The end cap was placed on the other end of the nipple and tightened. The reactors were then placed in boiling water for 5 to 15 minutes (depending on the pretreatment temperature) to pre-warm them. Prewarming the reactors is necessary to rapidly bring them to higher temperatures. They were then clamped and fixed on the rotating device and placed in the oven maintained at the desired pretreatment temperature. The motor was turned on and the system was left for the desired pretreatment time. After the treatment time elapsed, the reactors were removed from the oven and transferred to a water bath to rapidly lower the temperature to ambient temperature. Samples were

This is the replacement sheet

Date: 13 MAR 1997

them removed from the reactors for hydrolysis. A complete step-by-step procedure is given below.

Optionally, oxygen-containing gas is introduced into the reactor from a high-pressure gas container or tank attached to the system. The gas may be pure pressurized oxygen, compressed air (which is very economical) or any oxygen-containing gas under pressure. The pressure of the oxygen-containing gas can be determined from a pressure gauge mounted on the gas supply container or on the reactor.

Example 3 Calcium Hydroxide Pretreatment – Reactor System 3

1. Remove the old Teflon tape and clean the threads at both ends. Wrap (clockwise) at least four layers of fresh Teflon tape.
2. Label and number all the reactors. 2 or 4 or 6 reactors can be run each time.
3. Close the reactors by placing the cap on one end. Hold the nipple in the vice and tighten the cap using a pipe wrench.
4. Weigh ground and sieved material that has 7.5 g dry weight. Using a funnel, pour it in the labeled reactors.
5. Weigh calcium hydroxide, according to the desired lime loading, and pour into the reactors with the biomass.
6. Using a spatula, mix $\text{Ca}(\text{OH})_2$ and biomass thoroughly. This dry mixing is essential to ensure a uniform reaction.
7. Pour water according to desired water loading.
- 7A. (Optionally) Open the valve connecting the reactor to a container of oxygen gas under pressure. Close the valve when a specified gauge pressure within the reactor has been achieved.
8. Close the ends of the reactors.

This is the replacement sheet

Date: 13 MAR 1997

9. Place the reactors in boiling water for about 5 min, for a 50°C run, to about 15 min, for a 135°C run. The water boiler takes about 30 min to heat up, so it must be turned on before hand.

10. Heat the oven to the desired pretreatment temperature. The oven takes about 1 hour to reach a stable temperature. Keep the rotating device inside the oven during heating so that it gets prewarmed.

11. Clamp the reactors, making sure that the clamps are in the center of the reactors so that there is no blocking during rotation.

12. Place the clamps in the slots of the rotating rod and tighten the set screws.

13. Place the device in the oven and couple it with the motor using the coupling arrangement.

14. Turn on the motor and keep the rotation speed at the minimum possible. Make sure that the motor does not stall.

15. Observe the temperature of the oven.

16. After the pretreatment time has elapsed, take out the reactors and place them in a cold tap water bath. Let them cool for about 10 min.

17. Perform enzymatic hydrolysis.

Example 4 Filter Paper Assay

The filter paper assay is commonly used to quantitatively study cellulose hydrolysis and measure cellulose activity. Filter paper is used since it is a readily available and reproducible substrate and is neither too susceptible nor too resistant to cellulase enzymes. The filter paper is incubated with various amounts of cellulose enzyme for 1 hour at 50°C and pH of 4.8. The amount of reducing sugars released in 1 hour is measured by the Dinitrosalicylic Acid (DNS) assay. (Also see below). The amount of enzyme

This is the replacement sheet

Date: 13 MAR 1997

that produces 2 mg of reducing sugar (expressed as glucose) in 1 hour is equal to 0.185 International Units (1 IU = 1 mmole glucose/min).

Calibrate DNS reagent using glucose (along with filter paper):

1. Using a 500mg/dl (5mg/mL) glucose standard, prepare 0.5 mL samples in pairs of test tubes according to Table 5.
2. Add 1.0 mL of pH 4.8, 0.05 M citrate buffer.
3. Add 1 x 6 cm filter paper strip (Whitman #1, rolled in a curl).

Vortex.

4. Incubate at 50°C for 1 hour (use capped test tubes). Prevent any shaking
5. Add 3.0 mL of DNS to each test tube.
6. Boil samples for 15 minutes in water bath.
7. Add 10 mL water and vortex.
8. Filter through 0.45 μ m nylon membrane filter.
9. Measure the absorbance at 550 nm and prepare a calibration curve of absorbance vs. glucose concentration as shown in Figure 2.

Measure enzyme activity:

1. Add 0, 5, 10, 15, 20 mg enzyme to 10 mL, 0.05 M citrate buffer, pH 4.8, and vortex.
2. Pipet 0.5 mL of prepared enzyme samples into pairs of test tubes.
3. Repeat steps 2 to 8 performed during calibration curve preparation.
4. Measure the absorbance at 550 nm.

Measure sugars in the enzyme:

1. Pipet 0.5 mL of 20 mg/mL enzyme sample into pairs of test tubes A.
2. Pipet 0.5 mL of distilled water into pairs of test tubes B.
3. Add 1.0 mL of pH 4.8, 0.05 M citrate buffer to test tubes A and B.
4. Repeat steps 5 to 7 performed during calibration curve preparation.

This is the replacement sheet

Date: 13 MAR 1997

5. Measure absorbance at 550 nm.
6. Calculate absorbance correction factor (ACF) as follows.

$$\text{ACF} = \frac{(\text{Abs A} - \text{Abs B})}{(20 \text{ mg enzyme}/10 \text{ mL}) \times 0.5 \text{ mL}}$$

Calculate specific enzyme activity:

1. Using the glucose calibration curve, calculate absorbance, the absorbance for 2 mg glucose weight (see Figure 2).
2. Apply absorbance correction factor (AC) to absorbance data from the enzyme results.

$$\text{Abs}_{\text{cor}} = \text{Abs} - \text{ACF} \times E \text{ (where } E = \text{mg enzyme in } 0.5 \text{ mL)}$$

3. Plot Abs_{cor} vs. E to get Figure 3.
4. Find E' corresponding to Abs' using Figure 3.
5. Calculate specific activity:

$$\text{Activity (IU/mg)} = \frac{2 \text{ mg glucose}}{E' \text{ mg h}} \times \frac{1 \text{ hour}}{60 \text{ min}} \times \frac{\text{mmole}}{0.18 \text{ mg glucose}}$$

Example 5 Enzymatic Hydrolysis Procedure

The pretreated material (7.5 g dry weight) was transferred from the reactors to 500-mL Erlenmeyer glass flasks. The operating pH and temperature for the enzyme system were kept at 4.8 and 50°C respectively. The pH was reduced from about 11.5 to 4.8 by adding acetic acid. The total liquid volume was increased to 150 mL by adding distilled water to obtain a 50 g/L slurry of biomass. The required amounts of cellulase and cellobiose were added to the mixture, and the flasks were stoppered and kept in a 100-rpm shaking air bath at 50°C for 3 days. The enzymatic hydrolysis of control materials was performed using 150 mL of 0.05 M, pH 4.8 citrate buffer.

After 3 days, 1 mL liquid samples were withdrawn from each flask using a 1000-mL Eppendorf pipet. The samples were boiled in capped

This is the replacement sheet

Date: 13 MAR 1997

test tubes for 30 minutes to denature the enzyme, thus avoiding further hydrolysis. The boiled samples were filtered through 0.45- μ m nylon membrane filters. The reducing sugar concentration was measured using the DNS assay (Miller, G.L., Anal. Chem. 1959, 31, 462) with glucose as the calibration standard. Thus, the sugar yields are reported as equivalent glucose/g dry biomass. Both cellulase and cellobiose contain sugars. To measure these sugars, enzymes were added to 150 mL of water in the same concentration as used previously, but without any biomass. One mL samples were taken to measure the sugar concentration. This measured sugar in the enzyme amounts to a correction of 45 mg eq. glucose/g dry biomass that was subtracted from the 3-day reducing sugar yields from the pretreated biomass. The hydrolysis samples were diluted from 13 to 33 times to bring the concentration within the assay range (0.1 - 1.0 mg/mL).

A detailed hydrolysis procedure is given below:

1. Open one end of the reactors and empty the contents (as much as possible) into the labeled 500-mL Erlenmeyer flasks.
2. To completely transfer the biomass, use water to wash the reactors. Pour this water and biomass mixture into the flasks. Add enough water such that the total liquid volume (water added during the wash + water added during pretreatment) is 140 mL.
3. Add glacial acetic acid to the mixture until the pH reaches 4.8. During acetic acid addition, continuously monitor the pH and stir using a magnetic bar. Note the volume of acetic acid added. If the pH goes below 4.8, use $\text{Ca}(\text{OH})_2$ to raise it to 4.8.
4. Add more water to bring the total liquid volume to 150 mL.
5. Add 0.259 g cellulose powder "Cytolase 300 P" (filter paper activity, 215 IU/g powder) and 0.652 mL cellobiose "Novozyme" (activity 250

This is the replacement sheet

Date: 13 MAR 1997

CBU/mL). Cytolase 300 P was supplied by Genecor, Inc. (South San Francisco, CA) and cellobiose was supplied by Novo Laboratories (Wilton, CT). The cellulase loading was 7.4 IU/g dry pretreated lignocellulose and the cellobiose loading was 22 CBU/g dry lignocellulose.

6. Place the flasks inside the 100-rpm shaking air bath at 50°C.
7. Close flasks with rubber stoppers after flasks have been warmed for 10 min.
8. Keep flasks in the bath for 3 days.
9. Withdraw 1 mL of sample and boil them for 30 min. in capped test tubes.
10. Filter samples through 0.45 um nylon membrane filter. Perform DNS assay to measure reducing sugars as explained below.

Example 6 Dinitrosalicylic Acid (DNS) Assay

The DNS assay is the most commonly used technique for measuring reducing sugars released by cellulose hydrolysis. A glucose standard is used for the calibration, thus the reducing sugars are measured as "equivalent glucose."

Prepare DNS reagent:

1. Dissolve 10.6 g of 3,5-dinitrosalicylic acid crystals and 19.8 g NaOH in 1416 mL of distilled water.
2. Add 306 g Na-K-tartrate (Rochelle salts).
3. Melt phenol crystals under a fume hood at 50°C using a water bath. Add 7.6 mL of phenol to above mixture.
4. Add 8.3 g sodium meta-bisulfite.
5. Add NaOH, if required, to the solution obtained to adjust pH to 12.6.

This is the replacement sheet

Date: 13 MAR 1997

Calibrate DNS reagent:

1. Using a 200 mg/dL (2mg/mL) glucose standard, prepare 1 mL samples in pairs of test tubes according to Table 5.
2. Take 0.5 mL of each sample.
3. Dispense 1.5 mL of DNS reagent into each test tube using a 5-mL Brinckmann dispensette.
4. Place the caps on the tubes and vortex.
5. Boil samples in a water bath for 15 minutes.
6. Cool the test tubes for a few minutes. Add 8 mL of distilled water and vortex.
7. Zero the spectrophotometer at 550 nm with distilled water (Note: to stabilize the spectrophotometer it should be turned on for at least 1 hour before using).
8. Measure the absorbance.
9. Prepare a calibration curve as shown in Figure 4.

Measure reducing sugars of samples:

1. Dilute the filtered sample into a pair of test tubes such that the sugar concentration lies between 0.1 to 1.0 mg/mL.
2. Vortex the diluted sample.
3. Pipette 0.5 mL of each diluted sample.
4. Dispense 1.5 mL DNS reagent into each test tube.
5. Repeat steps 4 to 8 used in preparation of calibration curve.
6. Calculate sugar concentration from the absorbance of the samples using the calibration curve.
7. Calculate the reducing sugar yield by the following expression:

$$Y = S \times D \times 20$$

Y = reducing sugar yield (mg eq. glucose/g dry biomass)
S = sugar concentration in sample (mg eq. glucose/mL)

This is the replacement sheet

Date: 13 MAR 1997

D = dilution factor

20 = 150 mL liquid volume/7.5 g dry biomass

Example 7 Calcium Hydroxide Recovery

Two factors motivate recovery of calcium hydroxide from the pretreated biomass. First, an inexpensive recovery and recycle process will reduce the pretreatment costs. Second, high calcium residues have a detrimental effect on its use as cattle feed. Thus, reducing the calcium content results in a more utilizable material. The method for recovering $\text{Ca}(\text{OH})_2$ is to wash the pretreated material with water, and to contact or react this wash water containing lime with carbon dioxide. This converts soluble $\text{Ca}(\text{OH})_2$ to insoluble CaCO_3 that can be removed by precipitation. The CaCO_3 can then be heated to produce CaO and CO_2 . The CaO is hydrated to $\text{Ca}(\text{OH})_2$ which can be reused as the lignocellulose treatment agent. Carbon dioxide can, in turn, be reused for lime recovery. Thus, ideally, it is a system capable of total recycling.

The carbonate concentration is quite low when the pH is below 9.5. Thus, to form and precipitate more CaCO_3 , the pH was maintained above 9.5.

All the recovery experiments were done using bagasse. The experiments to study the recovery process were conducted by two different approaches: Continuous Recovery and Batch Recovery.

1. Continuous Recovery

A systematic flow diagram of the continuous recovery experimental apparatus is shown in Figure 5. The pretreated bagasse was packed in a 1" I.D. x 8.5" high glass column. Rubber stoppers at both ends had connections for the inlet (bottom) and the outlet (top). Filters (nylon cloth) were glued on both stoppers. A peristaltic pump (Watson-Marlow, 502S)

This is the replacement sheet

Date: 13 MAR 1997

pumped water through the column. The average volumetric flow rate was 20 ml/min. The outlet from the column went to a 300-mL flask. Carbon dioxide was bubbled through the lime-saturated liquid in this flask to produce CaCO_3 . A pH probe was placed in this flask to continuously monitor the pH. The pH was maintained near 9.5 by bubbling only as much CO_2 as was required to lower the pH from about 12.0 to 9.5. However, because good pH control was lacking, when the pH dropped below 9.5, the required amount of NH_4OH (about 1 or 2 mL) was added to bring the pH back to 9.5. The overflow from the flask went to a filter assembly. Although most of the CaCO_3 remained in the flask, filtration was required to remove the CaCO_3 present in the overflow. A glass fiber filter (G6, Fisher Scientific, Inc.) was placed between the fritted glass filter and glass jar. A clamp was used to hold it and provide a good seal. The pump suction was the driving force for filtration. The filters were replaced periodically when they clogged with the CaCO_3 paste. The filtered water was then pumped back to the column for washing, thus completing the cycle.

The washing was stopped after about one hour. The wash water left in the glass jar clamped to the filter, was transferred to the flask and left for 24 hour to let CaCO_3 settle. Clear liquid (1 mL) was taken from the top of the flask. The clear liquid was decanted slowly and collected in another beaker. The bottom portion containing much higher amounts of CaCO_3 was discarded after measuring its volume. The same volume of fresh water was added to the system so that the liquid volume before and after precipitation and decantation remained the same. Further recovery of the lime remaining in the biomass was performed with this batch of decanted water for about 45 minutes. After the wash, the CaCO_3 -saturated water was again left for

This is the replacement sheet

Date: 13 MAR 1997

precipitation and a sample of clear liquid was taken after this second precipitation.

To measure the calcium concentration in the water during washing, 1-mL samples were periodically taken from the column inlet and outlet. The calcium concentration was measured by the atomic absorption apparatus available in the Kinetics Group of the Texas A&M Chemical Engineering Department. Depending on the calcium concentration, the samples were diluted from 11 to 135°C. since the best yields were obtained between 65°C and 100°C, this wide range ensured that the optimal temperature was found. The pretreatment times of 1 to 36 hours were logical choices since longer times were difficult to justify economically. Since mixing would ensure uniformity of the reaction mixture and probably result in a better pretreatment, continuous shaking was always employed except in one study that used periodic shaking.

In all the experiments, the 3-day reducing sugar yields were used as the measure of enzymatic susceptibility of lime-treated bagasse. The reducing sugar yields were calculated as mg equivalent glucose/g dry bagasse. Typically, 50% and 85% of the 3-day sugars were released in 6 hours and 24 hours, respectively.

Table 7 summarizes the conditions and the reactor systems used in the various bagasse experiments.

The experiment at 50°C (Bagasse Experiment, Example 2), lime loading = 5 g $\text{Ca}(\text{OH})_2$ /100 g dry bagasse, water loading = 10 g water/g dry bagasse, and treatment times of 1 and 24 hours was repeated thrice to find the error involved in measuring the 3-day reducing sugar yields. For the 1 hour run, the yields were 112, 125, 111 mg eq. glucose/g dry bagasse, showing a standard deviation of 7.8 mg eq. glucose/g dry bagasse. For the 24 hour run,

This is the replacement sheet

Date:

13 MAR 1997

the yields were 273, 256, 268 mg eq. glucose/g dry bagasse, showing a standard deviation of 8.7 mg eq. glucose/g dry bagasse. These standard deviations can be generalized to apply to the rest of the experiments, and thus other experiments were not repeated.

Example 8 Calcium Acetate Inhibition Experiment

High calcium acetate concentrations are present in the hydrolysis mixture since acetic acid (about 5 mL glacial acetic acid for a sample treated with a lime loading of 30 g $\text{Ca}(\text{OH})_2$ /100 g dry bagasse) is used to neutralize the lime for pH adjustment. To measure the calcium acetate inhibition of enzymes, an experiment was performed in which group bagasse was ammoniated at the reported optimum conditions. These ammoniation conditions were: temperature = 93°C; treatment time = 30 min., water loading = 0.25 g water/g dry bagasse, ammonia loading = 1.5 g NH_3 /g dry bagasse, particle size = 40 mesh.

Also, there was no explosion (as used in Ammonia Fiber Explosion process) since the pressure was slowly released. This pretreated material was hydrolyzed in enzyme solutions containing various calcium acetate concentrations. The calcium acetate solutions were prepared by adding various amounts of $\text{Ca}(\text{OH})_2$ (according to the lime loadings used for the pretreatment) to 150 mL water, and then adding acetic acid to reduce the pH to 4.8. Thus, the calcium acetate concentration in these solutions was the same as for lime-pretreated materials. The enzymes were added to solutions only after the pH was brought to 4.8, thus there was no loss of enzyme acidity due to high pH. The 3-day sugar yield obtained from this ammonia-treated material clearly shows that increased calcium hydroxide loadings decrease sugar yields due to calcium acetate inhibition of the enzyme. For the sample hydrolyzed without addition of $\text{Ca}(\text{OH})_2$ to the saccharification flask, the sugar yield was

This is the replacement sheet

Date: 13 MAR 1997

390 mg eq. glucose/g dry bagasse. This yield is 1.16, 1.14, 1.16, 1.15, 1.25 and 1.22 of that obtained from samples hydrolyzed in the solutions with 2, 5, 10, 15, 20 and 30 g $\text{Ca}(\text{OH})_2$ /100 g dry bagasse, respectively. In the subsequent experiments using lime pretreatments, these factors were used to correct the sugar yields. Since this approach is simplified and only approximately corrects for calcium acetate inhibition, the original data (without the correction factor) are reported in addition to the corrected data.

Example 9 Overview of Data (Bagasse)

The 3-day reducing sugar yield for untreated bagasse sample (used as a control) was 40 mg eq. glucose/g dry bagasse which is only about 6% of the theoretical yield. Many different conditions as listed in Table 7 produced high sugar yields. Six high yielding conditions are tabulated in Table 8. The choice of conditions to be used industrially will depend not only on the sugar yields, but also on the expense associated with conditions.

Example 10 Softwood Newspaper Study

Softwood newspaper is the largest fraction of most residential municipal solid waste. Profitable utilization of softwood newspaper could help solve the ever-growing trash disposal problem. The composition of softwood newspaper is about 70% polysaccharides and 30% lignin, thus, the theoretical yield is about 750 mg eq. glucose/g dry newspaper. The purpose of this study was to check the feasibility of using $\text{Ca}(\text{OH})_2$ to pretreat newspaper. The conditions used for pretreating softwood newspaper are summarized in Table 9.

Example 11 Overview of Data (Newspaper)

The 3-day reducing sugar yield from an untreated softwood newspaper sample, used as control, was 240 mg eq. glucose/g dry newspaper. Pretreatment processes that work well for many lignocellulosics do not work

This is the replacement sheet

Date: 13 MAR 1997

well for softwood newspaper. This probably results from its high lignin content. The yield improvements with Ca(OH)_2 pretreatment found in this present study are comparable to other pretreatments.

Of all the conditions tested, the best yield was obtained for 120°C, 24 hours, 30 g Ca(OH)_2 /100 g dry newspaper and 16 g water/g dry newspaper. This yield was 344 mg eq. glucose/g dry newspaper (corrected: 430 mg/g). An interesting observation is that the pretreatment works better for either very severe conditions (120°C, 24 hours) or very mild conditions (65°C, 1 hour).

Example 12 Wheat Straw Study

Wheat straw is one of the most abundant agricultural crop residues. In the United States, about 20% of cropland produces wheat, thus large quantities of wheat straw are generated. Typically, based on dry weight, wheat straw is 39% cellulose, 36% hemicellulose, and 10% lignin. According to this composition, the maximum theoretical yield is about 800 mg eq. glucose/g dry wheat straw.

The results for bagasse were used to guide the selection of treatment conditions. The lime loadings were 5, 10, 15 and 20 g Ca(OH)_2 /100 g dry wheat straw. Only two water loadings were used. Treatment temperatures of 50, 65, 85 and 125°C, and treatment times of 1, 3 and 24 hours, were studied. A summary of the treatment conditions is tabulated in Table 10.

Example 13 Overview of Data

As in the case of bagasse, several different conditions produced good yields. Since treatment time and temperature play the most important role in process economics, the selection of conditions will not depend strictly

This is the replacement sheet

Date: 13 MAR 1997

on sugar yields. Eight conditions that produced good yields are tabulated in Table 11.

Example 14 Calcium Recovery Study

The best yields for both bagasse and wheat straw were obtained at a lime loading of 10 to 20 g $\text{Ca}(\text{OH})_2$ /100 g dry material. Thus, an industrial process using such loadings would need significant amounts of lime. A process to recover and recycle lime can reduce the total of lime requirement. Since calcium hydroxide is quite cheap, one main requirement for a recovery process is that it should be simple and inexpensive.

A new recovery process was developed in this invention. $\text{Ca}(\text{OH})_2$ was leached or washed out of the pretreated biomass. The lime-saturated wash water was carbonated to convert $\text{Ca}(\text{OH})_2$ to insoluble CaCO_3 that was subsequently settled. The recovered CaCO_3 can be calcinated to form CaO , which can be hydrated to $\text{Ca}(\text{OH})_2$, and thus reused. In this study, the washing, carbonation and precipitating steps were performed. The experiments were conducted by two different approaches, namely, continuous and batch recovery processes.

Example 15 Continuous Recovery Process

Three runs were performed to recover $\text{Ca}(\text{OH})_2$ from bagasse by the continuous recovery method. The lime treatment conditions used in the continuous lime recovery study are tabulated in Table 12. The recovery results for the corresponding samples are tabulated in Table 13.

The calcium content in the raw bagasse sample was 0.4 g Ca/100 g dry bagasse. The residual calcium content in the bagasse sample was brought down to about 2 g Ca/100 g dry bagasse from 5.4 g Ca/100 g dry bagasse (Samples A and C) and to 2.3 g Ca/100 g dry bagasse from 8.1 g Ca/100 g dry bagasse (Sample B). This shows that 68% of the added calcium was removed

This is the replacement sheet

Date: 13 MAR 1997

from Samples A and C and 75% of added calcium was removed from Sample B. The reduction in a content showed that the recovery process is working fairly well. However, if the pretreated lignocellulosics are to be used as cattle feed, this residual calcium concentration might be slightly high (1 to 2 g Ca/100 g dry biomass is desired).

One of the main drawbacks observed with the continuous recovery experiment was that there was some channeling inside the bagasse-filled column. Thus, the wash water was not contacting all of pretreated material. This definitely would lower the process effectiveness. With the use of some packing material and an efficient column design, it might be possible to increase the continuous recovery process efficiency. However, this invention used a second approach (i.e. batch recovery) that provides good contact by mixing the wash water and biomass.

Example 16 Batch Recovery Process

In this experiment, instead of packing the pretreated material in a column, a glass beaker was used to mix the biomass with wash water. This wash water was saturated with CaCO_3 and had a pH of 8.7. The lime-saturated wash water obtained after filtration was contacted with CO_2 and the resulting CaCO_3 -containing solution was allowed to settle. The pretreatment conditions and the corresponding calcium contents are given in Table 14 and Table 15, respectively.

The first three samples (D, E, and F) used six washings whereas the other samples used ten washings. For a lime loading of 10 g $\text{Ca}(\text{OH})_2$ /100 g dry bagasse (Samples D and E), with six washings, the calcium concentration was reduced to about 1.7 g Ca/100 g dry bagasse, and for a lime loading of 15 g $\text{Ca}(\text{OH})_2$ /100 g dry bagasse (sample P) with six washings, the calcium

This is the replacement sheet

Date: 13 MAR 1997

concentration was reduced to about 2.2 g Ca/100 g dry bagasse. Thus about 75% of added calcium was removed.

Sample F and G received the same lime treatment. Whereas sample F was washed six times Sample G received four additional washings. These additional washings reduced the residual calcium content from 2.2 to 1.5 g Ca/100 g dry bagasse. Thus ten washings were able to remove 86% of the added calcium.

Since it is possible that the calcium atoms are chemically bound to the cellulose and other macromolecules of bagasse, simple washing with water may not work beyond a certain limit. To explore the possibility that bound calcium ions (+2), could be replaced by NH_4^+ ions, the lime-treated bagasse was washed with an ammonium hydroxide solution. The ammonium hydroxide concentration varied from 0.2 to 8.7 g NH_4OH /100 g water. The KH_4OH was a 30% (w/w) solution of ammonia in water.

These experiments showed that the ammoniated wash water was not able to further recover calcium.

In all the previous samples, ammonia was added to the carbonated water to adjust the pH to 9.5. This ensured that the carbonate ions dominated, thus enhancing the precipitation of CaCO_3 . For Samples R and I, samples for calcium analysis were drawn from settled wash water that was carbonated to pH 6.7, and also from settled carbonated wash water that has been raised to pH 9.5 by adding ammonia. It was found that it was essential to have the pH about 9.5 in order to effectively recover the CaCO_3 . Although this experiment used ammonia to adjust the pH to 9.5, industrially this would be achieved by having a good control on the CO_2 addition. After lime treatment, the pH is about 11.5. Only the amount of CO_2 needed to reduce the pH to 9.5 would be added.

This is the replacement sheet

Date: 13 MAR 1997

All the recovery experiments show that except for lime loading, the other pretreatment conditions (i.e. treatment time, temperature and water loading) do not affect the recovery process. To determine if there are pretreatment reactions that hinder the recovery process, lime was recovered from untreated material, i.e. a physical mixture of bagasse and $\text{Ca}(\text{OH})_2$. The calcium concentration in the wash water had a pattern similar to the other samples. The residual calcium content was 1.3 g Ca/100 g dry bagasse which is the lowest obtained from all the recovery experiments. Thus, there seems to be greater calcium binding to the biomass resulting from pretreatment process. However, the effect is small since ten washings of pretreated material had residuals of about 1.5 to 1.7 g Ca/100 g dry bagasse. Thus, there is not much difference in the recovery process for the pretreated material and for the untreated material.

An important question is how far can one atmosphere CO_2 lower the pH. *Trichoderma reesei* cellulase operates at pH 4.8. The pH of pretreated biomass is about 11.5, so an acid must be added to lower the pH. During alcohol fermentation processes, much CO_2 is generated, so it will be the cheapest acid for pH adjustment. To answer this question, a simple experiment was performed in which a few lime-saturated wash water samples were bubbled with 1 atmosphere CO_2 for about 15 min. The minimum pH that was reached was about 6.5. Thus a stronger acid than CO_2 will be required to lower the pH to 4.8. It would be more desirable to use a cellulase system that operates at pH 7 such as those in bacteria (e.g. *Clostridium thermocellum*).

Thus it is seen that calcium hydroxide is an excellent pretreatment agent. Many different conditions produced high sugar yields. There was no significant effect of water loading on sugar yields, although 10 g water/g dry material produced slightly higher yields. Lime loadings of 10

This is the replacement sheet

Date: 13 MAR 1997

and 15 g $\text{Ca}(\text{OH})_2/100$ g dry material worked well. It was generally found that the lower temperatures (50°C, 65°C) required longer times (24 hours), whereas higher temperatures (135°C) needed shorter times (1 hour) to produce high yields.

The recovery process was able to reduce the calcium content in biomass from 8.1 to about 1.5 g Ca/100 g dry material, thus recovering 86% of the added calcium.

Example 17 Circulation Methods

To prevent the addition of excess lime, the biomass may be contacted with a solution of hot lime water. This may be accomplished in a number of ways:

Method 1: A solution of hot (temperature range of from about 40°C to about 150°C) saturated lime water is circulated through a packed bed of biomass for a time period of from about 1 hour to about 36 hours. As the solution exits the bed, it is heated to replace heat loss in the packed bed. The solution of saturated lime water can be prepared by mixing excess lime with water and separating the excess solid lime from the water phase by filtration, hydroclone separation (Figure 6), settlement, or centrifugation.

Method 2: A solution of hot (temperature range of from about 40°C to about 150°C), saturated lime water is circulated through a stirred slurry of biomass for a time period of from about 1 hour to about 36 hours. The biomass is separated from the solution by a filter, hydroclone (Figure 7), settler, or centrifuge. As the solution exits the hydroclone, it is heated to replace heat loss in the stirred slurry of biomass. The solution of saturated lime water can be prepared by mixing excess lime with water and separating the excess solid lime from the water phase by filtration, hydroclone separation (Figure 7), settlement, or centrifugation.

This is the replacement sheet

Date: 13 MAR 1997

In either Method 1 or Method 2, the lime solids must always be kept in contact with hot water at the highest temperature in the loop, such as between 40°C and 150°C. This is required because lime is relatively more soluble in cold water than in hot water. If the water contacting the lime solids were cold, it would dissolve too much lime. Then, if the lime solution were heated later, lime would either precipitate out and foul the heat exchanger or deposit on the biomass. This explains why the water is heated before it contacts lime solids rather than after.

Example 18 Different Permutations

The materials needed for this invention include: Biomass, lime, or calcium hydroxide, and water. The manipulative procedures for this invention include: Mixing, heating, and simultaneous mixing and heating. Thus, these materials and procedures are capable of a number of permutations, as diagrammatically shown in Figure 8. The notations used in Figure 8 are: L - lime; W - water; B - biomass; M - mixing; H - heating; and M & H - simultaneous mixing and heating. An arrow in Figure 8 signifies adding, introducing, or a step to be performed.

Example 19 Lime Treatment Process for Ruminant Animal Feed Production

Figure 9 shows the lime treatment process for ruminant animal feed production. The lime may be added directly to the biomass, as shown. Alternatively, the biomass may be contacted with a circulating solution of saturated lime water to avoid excess lime addition. Regardless of the addition method, the raw biomass is soaked in hot (ca. 65°C) lime water for about 24 hours. Then, after the reaction is complete, the lime water is circulated through an adsorption column where it is contacted with carbon dioxide. The carbon dioxide reacts with the lime to form insoluble calcium carbonate which is filtered out and sent to a lime kiln. The calcium carbonate is heated to

This is the replacement sheet

Date: 13 MAR 1997

about 1200°C in the lime kiln which drives off the carbon dioxide. The hot exit gases (primarily carbon dioxide with some nitrogen from the combustion air) are cooled in a countercurrent heat exchanger recovering high-pressure steam that may be used for electricity production and/or process heat. The cooled carbon dioxide is recycled to the absorption column where the carbon dioxide again reacts with lime water. Inert, such as nitrogen, will exit the absorption column. Make-up carbon dioxide must be added to replace any losses.

The circulating lime water will contain free sugars and protein extracted from the biomass. In addition, there will be some calcium acetate produced from the acetyl groups on the hemicellulose. A bleed stream will be taken off from the circulating loop that will be acidified with sulfuric acid so the calcium ions are precipitated as gypsum. The free sugars, protein, and acetic acid (HAc) will be concentrated by an appropriate technology (e.g. reverse osmosis or multi-effect evaporation). It may be sold as monogastric (e.g. chickens, pigs) animal feed.

The treated biomass will emerge from the process in a wet state. If the ruminant animals are located close to the processing plant, they may eat it directly in the wet state. If it must be stored for awhile before it is consumed, it may be dried in the steam driers we have previously described in past disclosures.

Example 20 Pretreatments with Calcium Hydroxide and Pressurized Oxygen

Oxygen is believed to partially oxidizes the lignin which opens the biomass structure making it more enzymatically digestible. Oxygen from high-pressure oxygen tanks was added to samples of newspaper under increasing pressure (Figure 10). Treatment conditions were consistent with those previously described. Temperature of the biomass was 120°C for 24 hours with 30 g calcium hydroxide/100 g dry newspaper, and water at 16 g/g dry

This is the replacement sheet

Date: 13 MAR 1997

newspaper. Increasing amounts of oxygen-containing gas was introduced to samples of newspaper from high-pressure tanks. After pretreatment, samples were digested enzymatically and the yield of glucose determined after three days. Newspaper treated with only lime resulted in a yield of 418 mg eq. glucose/g dry newspaper. For comparison purposes, untreated raw newspaper yields 240 mg eq. glucose/g dry newspaper. With 20 pounds/square inch gauge (psig), glucose yield increased over 10%. With increasing oxygen pressure up to 100 psig, glucose yield increased to over 500 mg eq.

Useful oxygen pressures are between about 20 psig to about 500 psig and preferable are between about 100 to about 400 psig. Oxygen is supplied to the biomass from high-pressure sources such as pure oxygen gas, oxygen-containing gas, or compressed air.

In a second series of experiments (Figure 11), samples of newspaper were pretreated with lime at 30 g/100 g dry newspaper, water at 6 g/g dry newspaper, and oxygen at 100 psig. These sample were pretreated for up to 24 hours. The pretreated samples were enzymatically digested and the sugar content determined. Maximal yield with oxygen pressures of 100 psig was observed at three hours of pretreatment - 580 mg eq. of glucose/g of dry newspaper.

Other embodiments and uses of the invention will be apparent to those skilled in the art from consideration of the specification and practice of the invention disclosed therein. It is intended that the specification and examples be considered exemplary only, with the true scope and spirit of the invention being indicated by the following claims.

This is the replacement sheet

Date: 13 MAR 1997

Table 1. Methods Used for the Pretreatment of Lignocellulosics.

<u>Physical</u>	<u>Chemical</u>	<u>Chemical (cont'd)</u>	<u>Physicochemical</u>	<u>Biological</u>
Ball-milling	<u>Alkali</u>	<u>Oxidizing agents</u>	Steam explosion	Fungi
Two-roll milling	Sodium hydroxide	Hydrogen peroxide	Ammonia Fiber Explosion	
Hammer milling	Calcium hydroxide	Ozone		
Colloid milling	Ammonia	<u>Cellulose solvents</u>		
High pressure steaming	<u>Acid</u>	<u>Solvent extraction</u>		
High energy radiation	Sulfuric acid	Ethanol-water extraction		
Pyrolysis	Hydrochloric acid	Benzene-ethanol extraction		
	Hydrofluoric acid			
	<u>Gas</u>			
	Chlorine dioxide			
	Nitrogen dioxide			

This is the replacement sheet

Date: 13 MAR 1992

Biological Pretreatments

Table 2. Reported Ammoniation Conditions

Reference	Type of Biomass	Ammonia State	Temp. (°C)	Time	Pressure	Particle Size	gNH ₃ /kg dry biomass	Effect on Digestibility
Villareal, 1988	Coastal Bermuda grass	Gaseous	ambient	--	atmosp.	--	40	Increase in DIT, CP ¹
Waiss et al., 1972	Rice straws	NH ₄ OH	160	1 h	--	0.64 cm	26/52	Increased ²
Waiss et al., 1972	Rice straws	NH ₄ OH	ambient	30 d	atmosp.	0.64 cm	50	Increased ¹
Millet et al., 1970	Aspen sawdust	Liquid	30/60/90	1 h	155/360/72 5 psi	--	--	Increased by 51% ²
Millet et al., 1970	Aspen sawdust	Gaseous	30	1/2 to 74 h	155 psi	--	--	Increased by 47% ²
Brown et al., 1987	Limpo grass	Gaseous	ambient	30 d	atmosp.	2.5 cm	20/30/40	Increased ¹
Kellens et al., 1983	Wheat straw	NH ₄ OH	29	21 d	atmosp.	2 mm	50	Increased from 13% to 33% ²

¹ -In vivo.

² -In vitro

This is the replacement sheet

Date: 13 MAR 1997

Reference	Type of Biomass	Ammonia State	Temp. (°C)	Time	Pressure	Particle Size	gNH ₃ /kg dry biomass	Effect on Digestibility
Kellens et al., 1983	Wheat straw	Gaseous	6	44 d	atmosp.	2.5 cm	50	Increased ¹
Hultquist, 1982	Alfalfa	Liq./Gas.	20-30	30 m	70-165 psig	1/16-1/2 in.	5/20	Increased by 50% ²
Millet et al., 1975	Aspen sawdust	Gaseous	--	2 h	70 psi	--	--	Increased by 46% ¹
Morris et al., 1980	Corn stover	--	ambient	--	atmosp.	1.3 cm	30	Increase in DIT, DE ¹

This is the replacement sheet

Date: 13 MAR 1997

Table 3. Reported NaOH Treatment Conditions

Reference	Type of Biomass	Temp. (°C)	Time	Particle Size	g NaOH/100 g solution	g NaOH/100 g biomass	Effect on Digestibility
Moore et al., 1972	Cotton linters	30	1 h	40 mesh	1	20	No effect ²
Moore et al., 1972	Aspen	30	1 h	40 mesh	1	20	Increased from 10% to 50% ²
Millet et al., 1970	Different wood samples	25	1 h	--	1	20	Increased ²
Fient et al., 1970	Different wood samples	25	1 h, 2 h	40 mesh	0.5, 1	2-20	Increased ²
Baker et al., 1975 ⁷⁰	Aspen sawdust	ambient	2 h	0.16 cm	0.5	5	Increased from 41% to 52% ¹
Anderson et al., 1973	Ryegrass straw	ambient	24 h	2.54 cm	0.5-8	7.5-120	Increased from 33% to 90% ²
Mandels et al., 1974	Bagasse	72	1 h	1/8" mesh	2	--	Increased ²
Mandels et al., 1974	Newspaper	70	90 m	1/8" mesh	2	100	Increased ²
Turner et al., 1990	Different grass samples	--	48 m	4 mm	3	--	Increased ²

Notation used; ¹. In vivo, ². In vitro

This is the replacement sheet

Date: 17 3 MAR 1997

Table 4. Reported $\text{Ca}(\text{OH})_2$ Treatment Conditions

Reference	Type of Biomass	Temp. (°C)	Time	Particle Size	g water/g solution	g $\text{Ca}(\text{OH})_2$ /100 g biomass	Effect on Digestibility
Playne, 1984 1972	Bagasse	20	8 d	2.25	0.87	12 to 30	Increased from 19% to 72% ²
Waller et al., 1975	Corn cobs	ambient	14 d	Ground	0.6	4	Improved digestibility ¹
Rounds et al., 1974	Corn cobs	--	--	--	--	4	No effect ²
Gharib et al., 1975	Poplar bark	ambient	1 or 150 d	9.5 mm	0.6	4 to 16	Increased from 30% to 52% ²
Felix et al., 1990	Soyabean straw	ambient/froz cn	30 d	Chopped	0.65	2 to 5	No effect ¹

Notation used; ¹ - In vivo, ² - In vitro

Table 5. Prepare standard solutions for glucose calibration (for filter Paper Assay).

Glucose Conc. (mg/mL)	Glucose Weight (mg)	Standard (mL)	Distilled Water (mL)
1.0	0.5	0.10	0.40
2.0	1.0	0.20	0.30
3.0	1.5	0.30	0.20
4.0	2.0	0.40	0.10
5.0	2.5	0.50	0.00

This is the replacement sheet

Date: 13 MAR 1997

Table 6. Prepare standard solutions for glucose calibration (for DNS Assay.)

Glucose Concentration (mg/mL)	Standard (mL)	Distilled Water (mL)
0.2	0.10	0.90
0.4	0.20	0.80
0.6	0.30	0.70
0.8	0.40	0.60
1.0	0.50	0.50

This is the replacement sheet

Date: 13 MAR 1997

Table 7. A summary of conditions used during various bagasse experiments.

Exp. No.	Reactor System	Temp. (°C)	Time (h)	Lime Loading (g Ca(OH) ₂ /100g)	Water Loading (g water/g)	Particle Size
1	1	65	1,3,6,12,24, 26	30	10,15,19	-40 mesh
2	2	65	24	30	6,8,10,13,15,17, 19	-40 mesh
3	3	65,125	12,6	30	6,8,10,13,15,17, 19	-40 mesh
4	3	135	1,3,6,24	10,20,30	10,15	-40 mesh
5	3	100	1,3,24	10,20,30	10,15	-40 mesh
6	1	50	1,3,6,24	2,5,10,15,20,30	10	-40 mesh
7	3	85	3,24	5,10,15,20	10	-40 mesh
8	3	65	3,6,24	5,10,15,20	10	-40 mesh
9	3	65	24	10,15	10	-1x1 mm + 40 mesh

This is the replacement sheet

Date: 13 MAR 1997

Table 8. Conditions resulting in high yields.

Sample Number	Temp. (°C)	Time (h)	Calcium Loading (g/100 g)	Water Loading (g/g)	Original Yield (mg/g)	Corrected Yield (mg/g)
1	65	24	30	10	597	728
2	100	24	10	10	580	673
3	65	24	15	10	555	640
4	65	24	10	10	533	618
5	100	1	10	15	525	609
6	135	1	10	10	517	600

This is the replacement sheet

Date: 13 MAR 1997

Table 9. Summary of conditions used during various newspaper experiments.

Experiment Number	Reactor system	Temp. (°C)	Time (h)	Lime Loading g Ca(OH) ₂ /100g	Water Loading g water/g
1	3	120	1,3,6,24	30	6,8,10,12,14, 16
2	3	60	1,6,24	5,10,20,30	10
3	3	100	24,3	5,10,15,20,30	10,15

This is the replacement sheet

Date: 13 MAR 1997

Table 10. Summary of conditions used during various wheat straw experiments.

Experiment Number	Reactor System	Temp. (°C)	Time (hours)	Lime Loading (g Ca(OH) ₂ /100g)	Water Loading (gH ₂ O/g)
1	3	65	3,24	10	6,10,15,19
2	3	65	1,3	5,10,15,20	10
3	1	50	3,24	5,10,15,20	10,15
4	3	85	1,3,24	5,10,15,20	10
5	3	125	1,3,12,24	5,10,15,20	10

This is the replacement sheet

Date: 13 MAR 1997

Table 11. Conditions resulting in high yields from lime treated wheat straw.

Sample Number	Temp. (°C)	Time (hours)	Calcium Loading (g/100g)	Water Loading (g/g)	Original Yield (mg/g)	Corrected Yield (mg/g)
1	50	24	10	15	585	679
2	85	3	10	10	579	672
3	50	24	20	10	575	667
4	85	3	20	10	559	698
5	85	24	20	10	559	698
6	50	3	10	10	555	639
7	65	24	10	10	543	630
8	125	1	10	10	533	618

This is the replacement sheet

Date: 13 MAR 1997

Table 12. Conditions used for treating bagasse for continuous recovery.

Sample	Temp. (°C)	Time (h)	Ca Loading (g/100 g)	Water Loading (g/g)
A	85	3	10	10
B	85	3	15	10
C	100	1	10	10

This is the replacement sheet

13 MAR 1997

Date:

Table 13. Change in calcium concentration during continuous recovery.

Sample	Calcium Conc. in Wash Water (ppm)		Calcium Conc. in Bagasse g/100 g (ppm)	
	Initial	Final	Initial	Final
A	1075	33	5.4	2.1
B	1392	10	8.1	2.3
C	947	19	5.4	2.0

This is the replacement sheet

Date: 13 MAR 1997

Table 14. Conditions used for treating bagasse for batch recovery.

Sample	Temp. (°C)	Time (h)	Ca Loading (g/100 g)	Water Loading (g/g)
D, E	65	24	10	10
F, G, H, I, J, K	65	24	15	10

This is the replacement sheet

Date: 13 MAR 1997

Table 15. Change in calcium concentration during batch recovery.

Sample	Number of Washings	(gNH ₄ OH/100g) Wash Water	Calcium Conc. in Wash		Calcium Conc. in Bagasse	
			(ppm) Initial	(ppm) Final	(ppm) Initial	(ppm) Final
D	6	0	955	7	5.4	1.7
E	6	0	892	16	5.4	1.7
F	6	0	1109	14	8.1	2.2
G	10	0	1192	13	8.1	1.5
H	10	0.2	1154	6	8.1	1.5
I	10	0.4	1056	6	8.1	1.4
J	10	1.6	1306	12	8.1	1.6
K	10	8.7	1070	4	8.1	1.7
Untreated	10	0	988	8	8.1	1.3

This is the replacement sheet

Date: 13 MAR 1997

CLAIMS

1. A method for pretreating a lignocellulose-containing biomass to render the biomass amenable to digestion comprising:
 - a) providing the lignocellulose-containing biomass,
 - b) adding calcium hydroxide and water to the biomass to form a mixture:
 - c) adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure; and
 - d) maintaining the mixture at an elevated temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion.
2. The method of claim 1 wherein the lignocellulose-containing biomass contains greater than 20% lignin.
3. The method of claim 1 or 2 wherein the oxidizing agent is added to the mixture at a pressure of between about 20 to about 500 psig.
4. The method of any one of the preceding claims wherein the mixture contains between about 6 to about 19 grams of water per gram of dry biomass.
5. The method of any one of the preceding claims wherein the mixture contains between about 2 to about 30 grams of calcium hydroxide per 100 grams of dry biomass.
6. The method of any one of the preceding claims wherein the lignocellulose-



This is the replacement sheet

Date: 13 MAR 1997

containing biomass is selected from the group consisting of grass, wood, bagasse, straw, paper, plant material, and combinations thereof.

7. The method of any one of the preceding claims wherein the mixture is maintained at the temperature of between about 40°C to about 150°C.

8. The method of any one of the preceding claims wherein the period of time is between about 1 and about 36 hours.

9. The method of any one of the preceding claims wherein the mixture is maintained at a temperature of about 120°C, for about 3 hours, and the oxidizing agent pressure is about 100 psig.

10. A method for converting a lignocellulose-containing biomass into a useful product comprising:

- a) providing the lignocellulose-containing biomass;
- b) adding calcium hydroxide and water to the biomass to form a mixture;
- c) adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure;
- d) maintaining the oxidized mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion; and
- e) digesting the pretreated biomass of the mixture to convert the biomass into the useful product.

This is the replacement sheet

Date:

13 MAR 1997



11. The method of claim 10 wherein the biomass is digested by acid hydrolysis, enzymatic action, fermentation, or a combination thereof.
12. A useful product made by the method of claim 10 or 11.
13. The useful product of claim 12 which comprises a chemical feedstock.
14. The useful product of claim 12 which comprises a fuel.
15. The useful product of claim 12 which comprises an alcohol, an organic acid, a sugar, a ketone, a fatty acid, or a combination thereof.
16. A method for recovering calcium from a biomass pretreatment process comprising:
 - a) pretreating the biomass with calcium hydroxide and water to the biomass form a mixture, adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure, and maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion;
 - b) carbonating the pretreated mixture or a liquid portion thereof to precipitate calcium carbonate; and
 - c) recovering the precipitated calcium carbonate.
17. The method of claim 16 wherein the pH of the carbonated mixture is between

This is the replacement sheet

Date: 13 MAR 1997



about 8.5 and about 10.5.

18. The method of claim 16 or 17 further comprising heating the carbonated mixture to form carbon dioxide and calcium oxide, and recovering the calcium oxide.

19. The method of any one of claims 16 to 18 wherein the precipitated calcium carbonate is recovered by filtration, hydroclone separation, sedimentation, centrifugation, or a combination thereof.

20. A method for recovering calcium from a biomass pretreatment process comprising:

- a) pretreating the biomass with calcium hydroxide and water to the biomass form a mixture; adding an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure; and maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion;
- b) adding a carbonating agent to the pretreated mixture to form calcium carbonate;
- c) digesting the carbonated mixture;
- d) separating the digested mixture into a useful product and a residual mixture;
- e) heating the residual mixture to convert the calcium carbonate into calcium hydroxide; and
- f) recovering the calcium as calcium hydroxide.



This is the replacement sheet

Date: 13 MAR 1997

21. The method of claim 20 wherein the carbonating agent is carbon dioxide gas.
22. The method of claim 20 or 21 wherein the heat is provided by burning the remaining lignin of the digested biomass.
23. A method for recovering calcium from a biomass pretreatment process comprising:
- a) pretreating the biomass with calcium hydroxide and water to form a mixture and maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion;
 - b) carbonating the mixture or a liquid portion thereof to precipitate calcium carbonate; and
 - c) recovering the precipitated calcium carbonate.
24. The method of claim 23 wherein the ph of the carbonated mixture is between about 8.5 and about 10.5.
25. The method of claim 23 or 24 further comprising heating the carbonated mixture to form carbon dioxide and calcium oxide and recovering the calcium oxide.
26. The method of any one of claims 23 to 25 wherein the precipitated calcium carbonate is recovered by filtration, hydroclone separation, sedimentation, centrifugation, or a combination thereof.



This is the replacement sheet

Date: 13 MAR 1997

27. A method for recovering calcium from a biomass pretreatment process comprising:

- a) pretreating the biomass with calcium hydroxide and water to the biomass form a mixture, and maintaining the mixture at a temperature and for a period of time sufficient to render the biomass of the mixture amenable to digestion;
- b) adding a carbonating agent to the pretreated mixture to precipitate calcium carbonate;
- c) digesting the carbonated mixture;
- d) separating the digested mixture into a useful product and a residual mixture;
- e) heating the residual mixture to convert the calcium carbonate into calcium hydroxide; and
- f) recovering the calcium as calcium hydroxide.

28. The method of claim 27 wherein the carbonate agent is carbon dioxide gas.

29. The method of claim 27 or 28 wherein the heat is provided by burning the remaining lignin of the digested biomass.



This is the replacement sheet

Date: 13 MAR 1997

THIS SHEET HAS BEEN
REPLACED

METHODS OF BIOMASS PRETREATMENT

ABSTRACT

The invention is directed to methods for the pretreatment of a lignocellulose-containing biomass. Pretreatment comprises the addition of calcium hydroxide and water to the biomass to form a mixture, and subjecting the mixture to relatively high temperatures for a period of time sufficient to render the biomass amenable to digestion. The pretreated biomass is digested to produce useful products such as feedstocks, fuels, and compounds including fatty acids, sugars, ketones and alcohols. Alternatively, the pretreatment process includes the addition of an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure. The invention is also directed to a method for the recovery of calcium from the pretreated biomass.

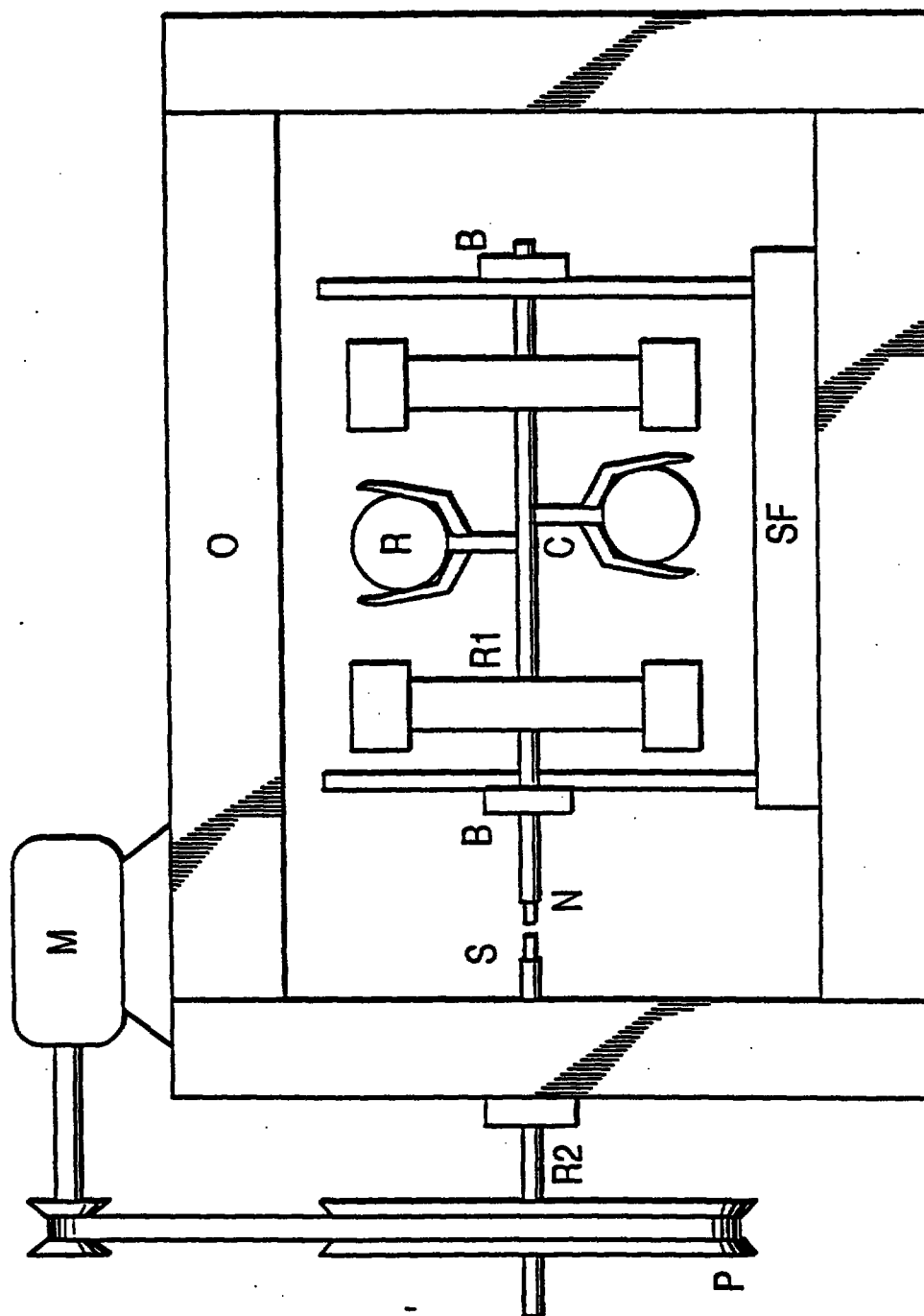
This Sheet Has Been Replaced



This is the replacement sheet

Date: 13 MAR 1997

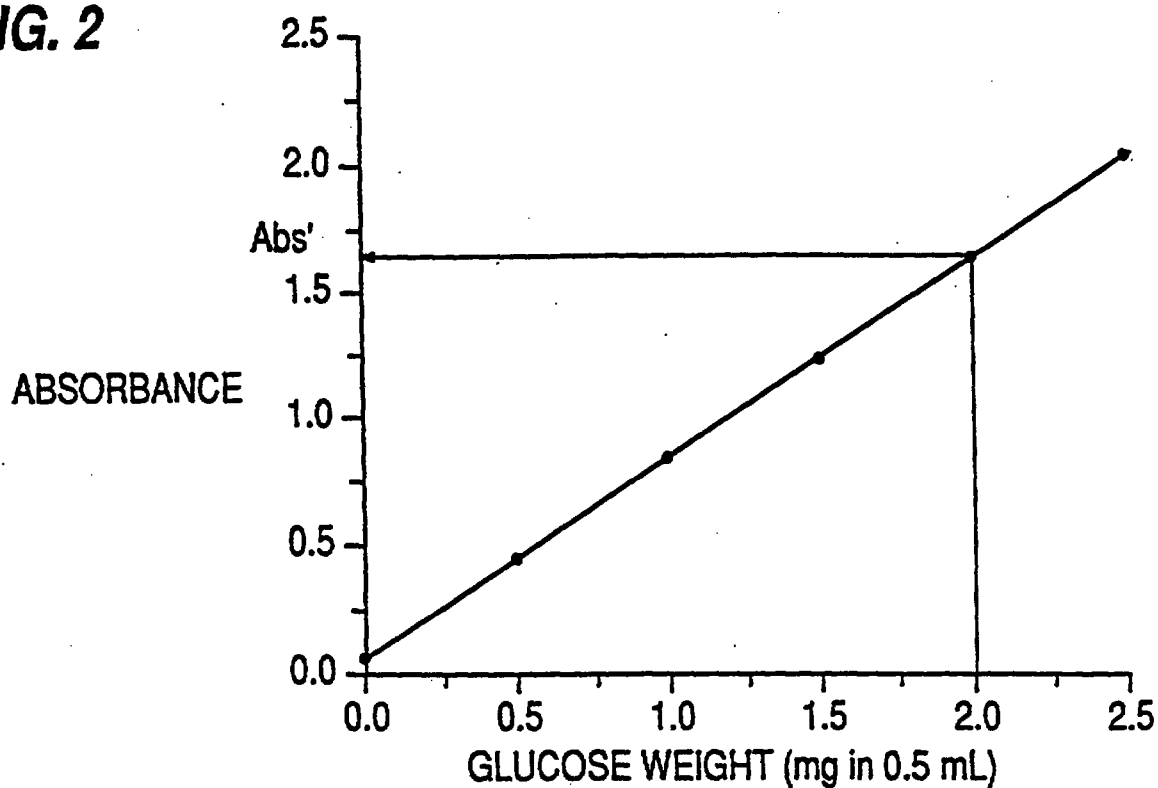
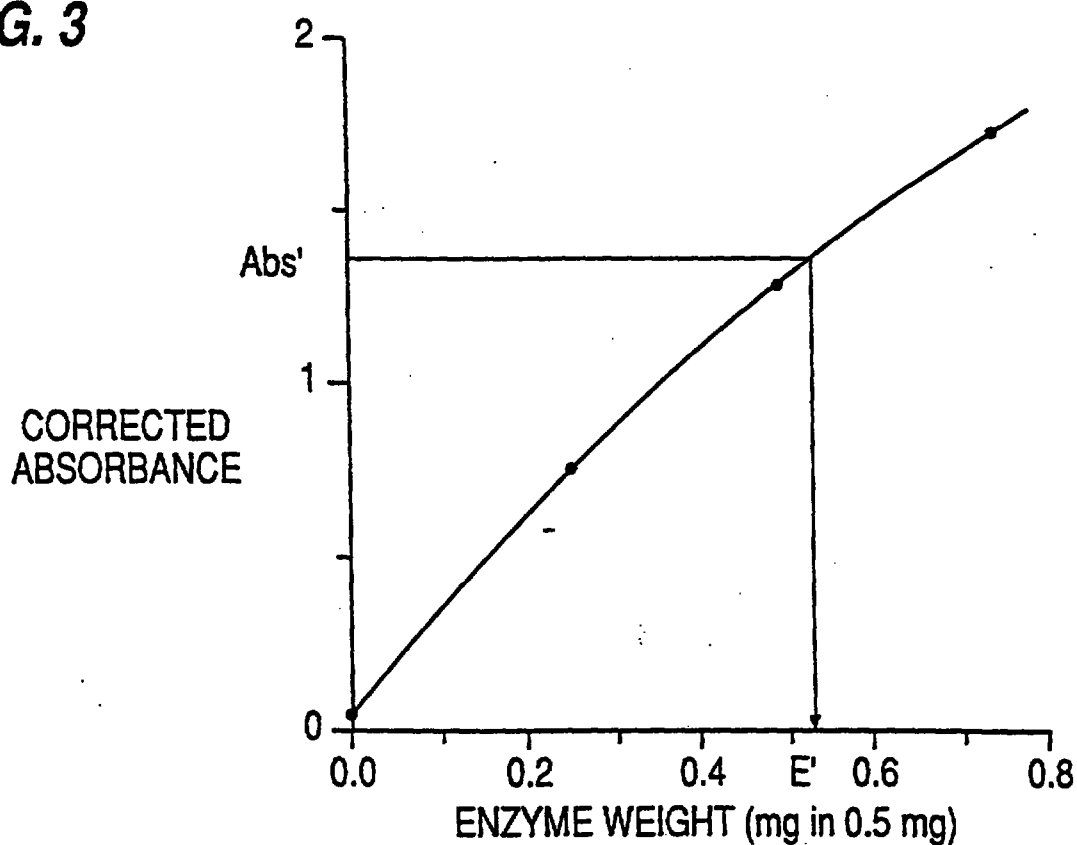
FIG. 1

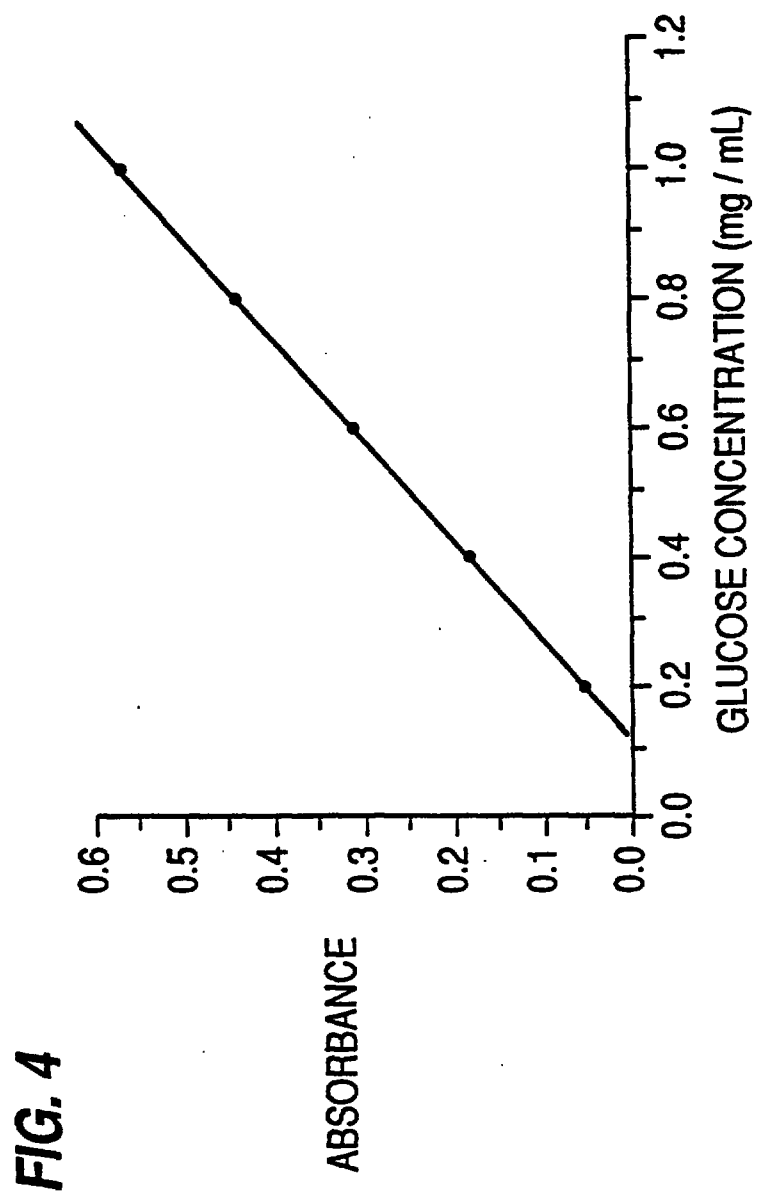


This is the replacement sheet

Date: 13 MAR 1997

8-0067080

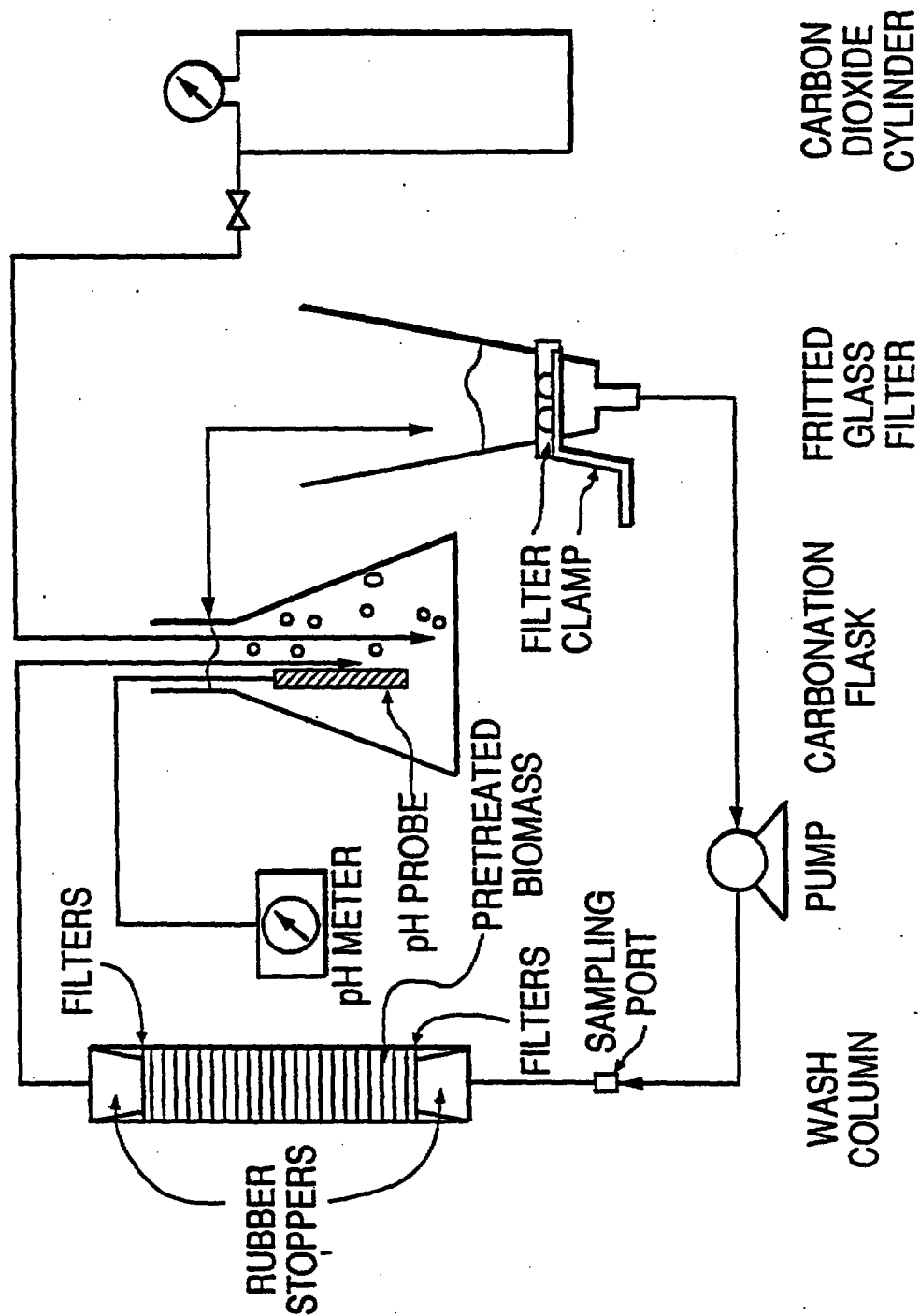
FIG. 2**FIG. 3**



This is the replacement sheet

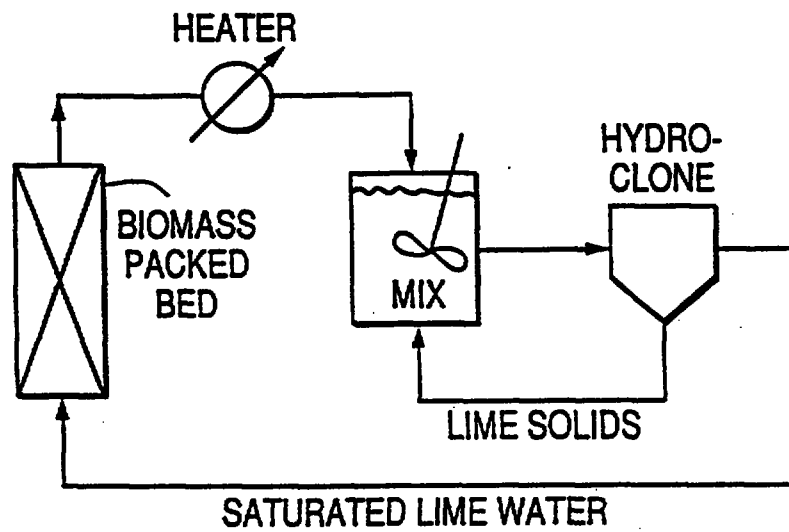
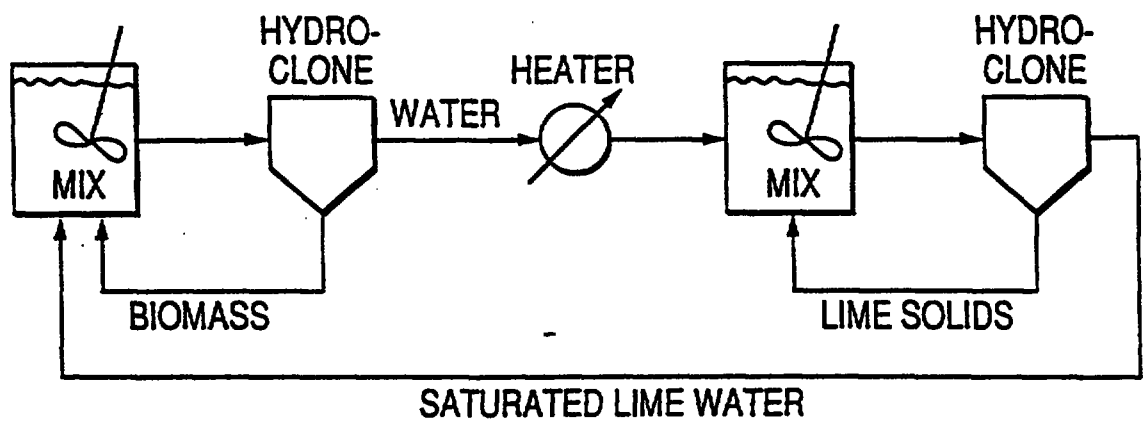
Date: 13 MAR 1997

FIG. 5



This is the replacement sheet

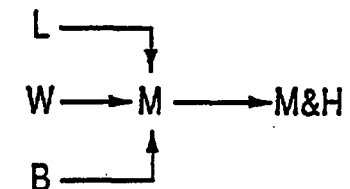
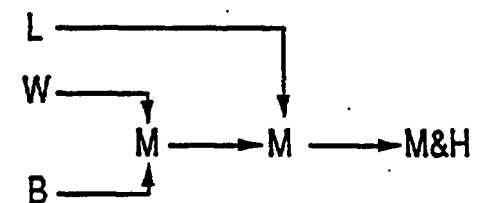
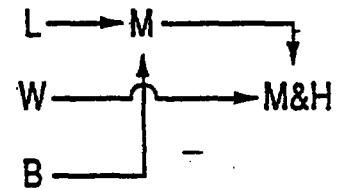
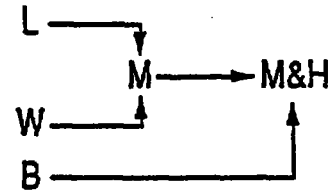
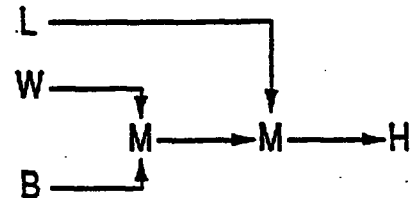
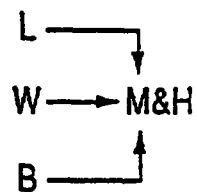
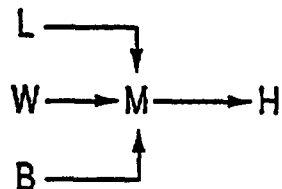
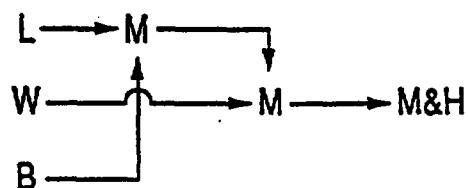
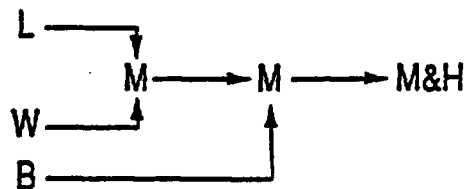
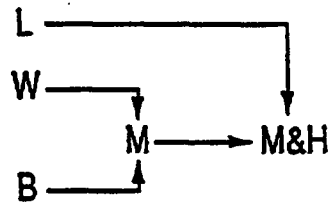
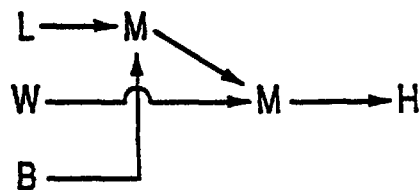
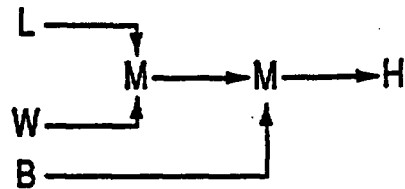
Date: 13 MAR 1997

FIG. 6**FIG. 7**

This is the replacement sheet

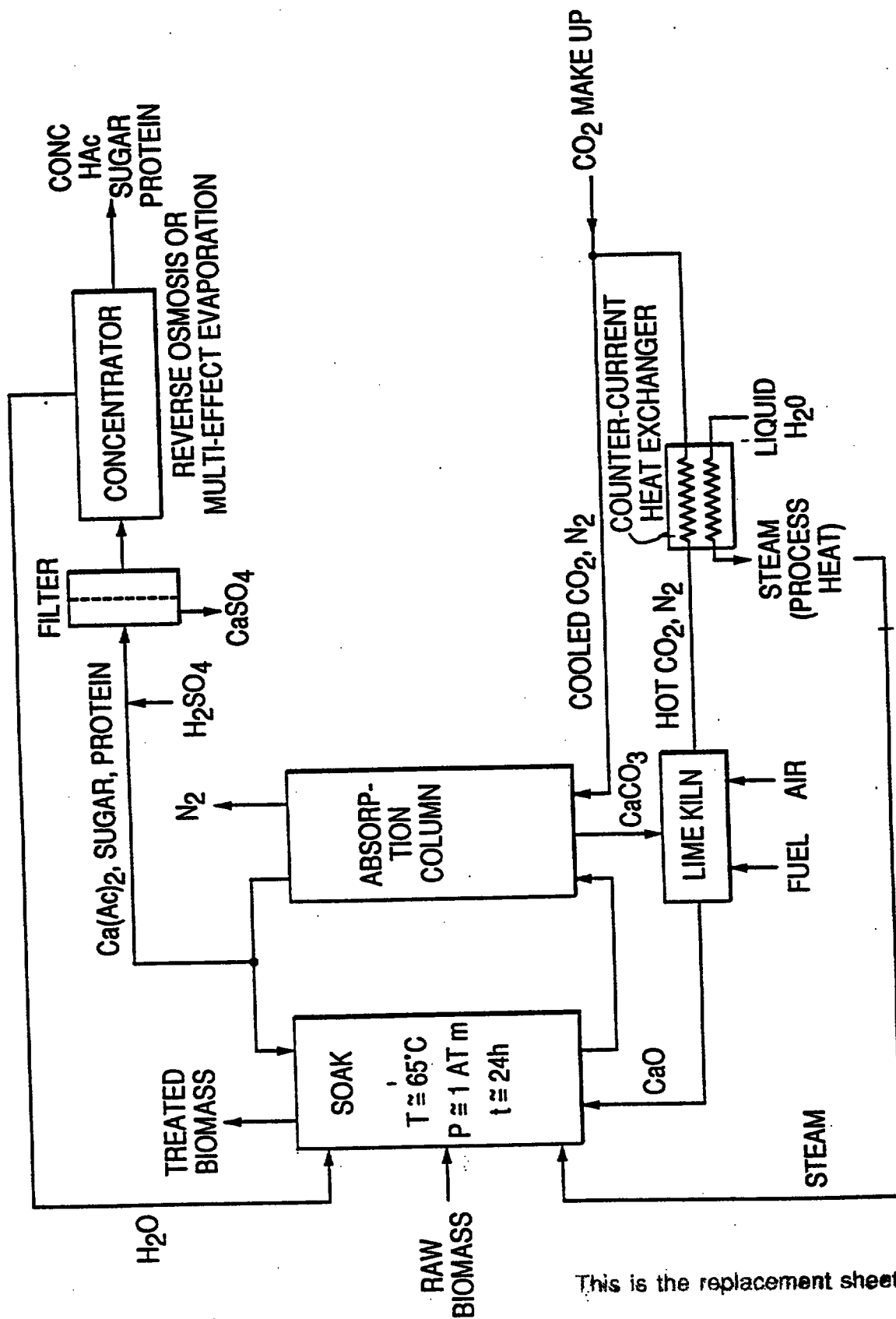
Date: 13 MAR 1997

FIG. 8



This is the replacement sheet

Date: 13 MAR 1997



This is the replacement sheet

Date: 3 MAR 1997

⁸
FIG. 10

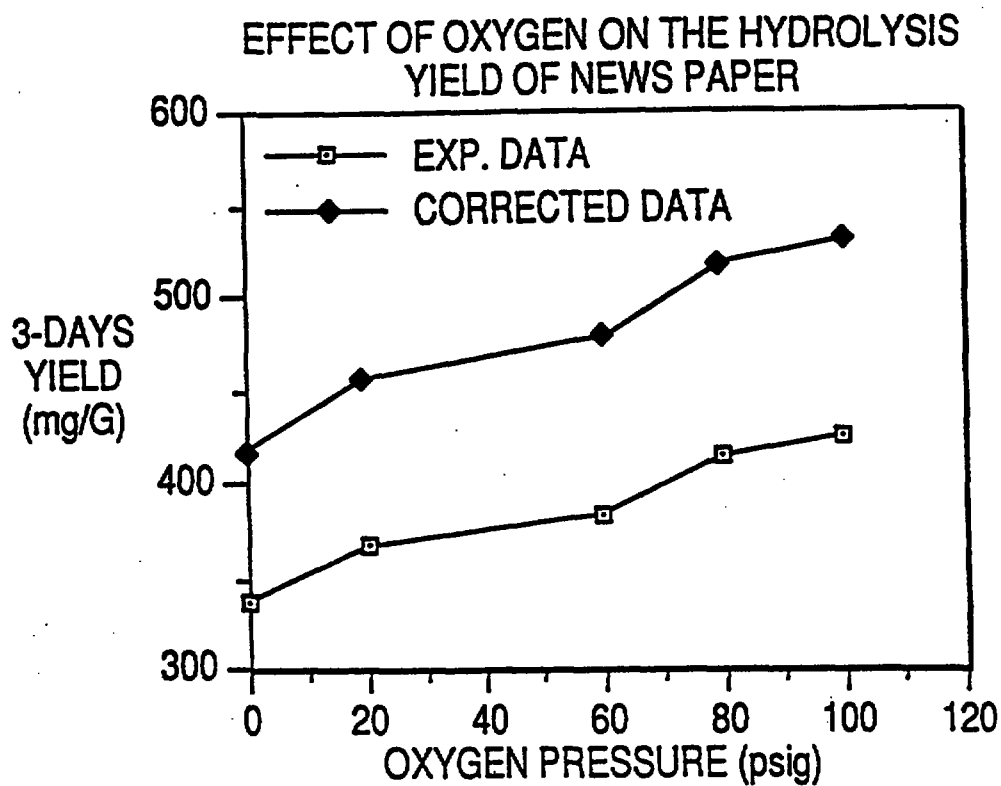
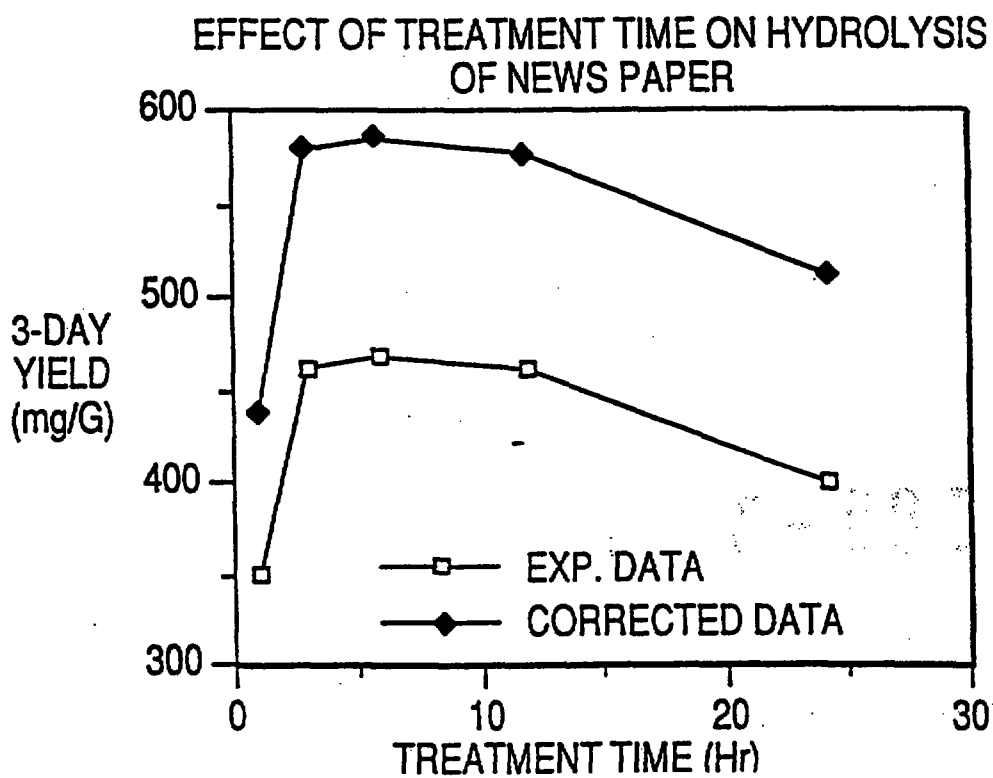


FIG. 11



- 64 -

METHODS OF BIOMASS PRETREATMENT

ABSTRACT

The invention is directed to methods for the pretreatment of a lignocellulose-containing biomass. Pretreatment comprises the addition of calcium hydroxide and water to the biomass to form a mixture, and subjecting the mixture to relatively high temperatures for a period of time sufficient to render the biomass amenable to digestion. The pretreated biomass is digested to produce useful products such as feedstocks, fuels, and compounds including fatty acids, sugars, ketones and alcohols. Alternatively, the pretreatment process includes the addition of an oxidizing agent, selected from the group consisting of oxygen and oxygen-containing gasses, to the mixture under pressure. The invention is also directed to a method for the recovery of calcium from the pretreated biomass.

No figure suggested

REPLACEMENT SHEET

02 MAY 1997