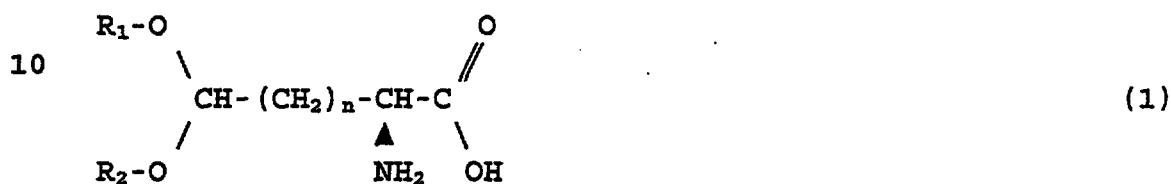


PROCESS FOR PREPARING OPTICALLY ACTIVE
2-AMINO- ω -OXOALKANOIC ACID DERIVATIVES

5 The invention relates to a process for
 preparing an optically active 2-amino- ω -oxoalkanoic
 acid derivative of formula 1,



15 in which n equals 0, 1, 2, 3 or 4 and R₁ and R₂ each
 independently represent an alkyl group with 1-10 C
 atoms or form a ring with 3 or 4 C atoms together with
 20 the O atoms to which they are bound and the C atom to
 which the O atoms are bound.

 The preparation of a racemic mixture of a
 2-amino- ω -oxoalkanoic acid derivative of formula 1 is
 described in Biorg. & Med. Chem. (1995), 1237-1240. The
 25 preparation method described therein however proceeds
 via an 8-step process, starting from 3,4-dihydro-2H-
 pyran, with various protection and de-protection steps
 and is hence very laborious.

 The invention provides a new, simple
 30 concept for preparing 2-amino- ω -oxoalkanoic acid
 derivatives.

 This is achieved according to the invention with a
 process in which the corresponding aldehyde of
 formula 2

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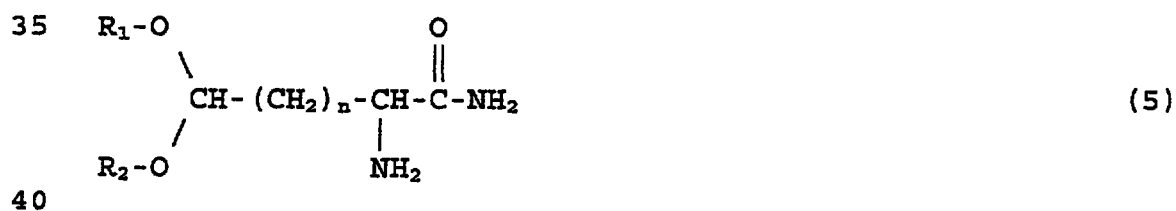
with n as described above is converted into the corresponding acetal-protected aldehyde of formula 3



with n, R₁ and R₂ as described above, the acetal-protected aldehyde is converted into the corresponding aminonitrile of formula 4



30 with n, R₁ and R₂ as described above, the aminonitrile is converted into the corresponding amino acid amide of formula 5



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with n , R_1 and R_2 as described above, the amino acid amide is subjected to an enzymatic, enantioselective hydrolysis in which the R enantiomer of the amino acid amide remains and the S enantiomer is converted into
5 the S amino acid, and the S amino acid is isolated.

It has been found that, in spite of the fact that the selectivity in the 1st step of the process is relatively low, an economically attractive process can nevertheless be obtained.

10 The optically active compounds of formula 1 are new and are particularly suitable for use in the preparation of, for example, allysin, an important crosslinker in proteins, as described in Int. J. Pept. Protein Res. (1988), 307-20, and in the preparation of
15 pharmaceuticals, for example as described in EP-A-629627, which are both incorporated herein by reference.

In the process according to the invention one of the two aldehyde functions in the aldehyde of
20 formula 2 is first protected through conversion, in a manner known per se, into an acetal. This can for example be done with the aid of an alcohol, for example an alcohol with 1-5 C atoms when R_1 and R_2 represent an alkyl group, or with the aid of a diol, in particular a
25 1,2-ethanediol or a 1,3-propanediol, whether or not substituted with for example an alkyl group with 1-5 C atoms, for example 1,2-ethanediol, 1,2-propanediol, 1,3-propanediol or 2,3-butanediol, when R_1 and R_2 form part of a ring structure; or via re-acetalization, for
30 example with the aid of ortho-formate esters.

The acetalization can for example be carried out by bringing the aldehyde of formula 2 into contact with an alcohol or a diol under acid conditions, for example in the presence of a sulphonic

acid, in particular p-toluenesulphonic acid. The acetalization is optionally carried out in the presence of a solvent. In principle, any solvent that does not interfere with the reaction can be used as a solvent, for example aromatic hydrocarbons, in particular benzene, toluene and xylene; halogenated hydrocarbons, for example dichloromethane; esters, preferably hindered esters, in particular isopropyl acetate or isobutyl acetate and ethers, in particular methyl-t-butyl ether (MTBE). The acetalization with an alcohol or diol is preferably carried out at elevated temperature, for example at a temperature of between 50 and 150°C, preferably at reflux temperature.

The acetal-protected aldehyde obtained is subsequently converted into the corresponding aminonitrile, for example via the Strecker chemistry known per se. To this end the acetal-protected aldehyde can for example be converted into the aminonitrile in the presence of ammonia with the aid of a cyanide compound, for example HCN, NaCN or KCN.

The aminonitrile compounds of formula 4 formed as intermediates are new compounds per se. The invention hence also relates to these intermediates.

The aminonitrile is subsequently converted into the corresponding racemic amino acid amine, for example, as described in GB-A-1548032, by converting the aminonitrile at a pH of between 11 and 14, preferably between 12.5 and 13.5, in the presence of a base and a ketone or aldehyde, optionally followed by hydrolysis of the intermediately formed Schiff base in the presence of water. Preferably, KOH or NaOH or a corresponding base is used as the base and an aliphatic ketone, for example acetone, methyl ethyl ketone or

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cyclohexanone, or an aromatic aldehyde, for example benzaldehyde, as the ketone or aldehyde.

After the conversion of the aminonitrile into the amino acid amide, the reaction mixture is preferably first subjected to a treatment with an aldehyde, for example a benzaldehyde, upon which the Schiff base of the amino acid amide is obtained and any racemic amino acid formed remains in solution. Such a treatment presents the advantage that the desired (S) amino acid can be obtained in a higher enantiomeric excess.

Preferably, a benzaldehyde is used in the formation of the Schiff base of the amino acid amide.

An advantage of benzaldehyde is that it is easy to separate the Schiff base and recover the benzaldehyde. Another advantage of benzaldehyde is that it is not miscible with water, as a result of which it is also far more preferable than other extraction means for use as extraction means because the formed Schiff base of the optically active amino acid amide dissolves in the benzaldehyde and the other components of the reaction mixture in the water phase. It has surprisingly been found that the hydrolysis of the Schiff base of the amino acid amide resulting in the salt of the amino acid amide can be carried out without the acetal function deteriorating significantly.

'Benzaldehyde' is also understood to include substituted benzaldehydes such as lower (1-4 C) alkylbenzaldehydes, halogenbenzaldehydes, nitrobenzaldehydes and lower (1-4 C) alkoxybenzaldehydes.

The reaction with benzaldehyde resulting in the formation of a Schiff base can for example be carried at a temperature of between 20 and 60°C,





preferably between 35 and 45°C. If equimolar amounts of benzaldehyde, for example 0.9-2, in particular 0.95-1.1 equivalents relative to the amino acid amide, are used in the formation of the Schiff base without a different solvent for the Schiff base of the amide, a precipitate of the Schiff base of the amino acid amide is obtained. The other components remain dissolved in the mother liquor. If an excess of benzaldehyde is used, the benzaldehyde acts not only as a reaction means, but also as a solvent, and two layers are obtained. It is also possible to use mixtures of benzaldehyde and other solvents, for example mixtures with aromatic hydrocarbons, for example toluene, ketones, for example methyl isobutyl ketone, halogenated hydrocarbons, for example chloroform or dichloromethane; esters, for example ethyl acetate and butyl acetate. The organic phase can subsequently be used as such in the hydrolysis of the Schiff base of the amino acid amide to the amino acid amide or it can be subjected to concentration, upon which the Schiff base of the amino acid amide precipitates as a solid.

The amino acid amide can be recovered from the corresponding Schiff base in a simple manner, through acidification with a strong acid, for example sulphuric acid, until a pH of between 3 and 5, preferably between 3.5 and 4.5 has been obtained, with the Schiff base decomposing to form the aldehyde and the corresponding salt of the amino acid amide.

The free amino acid amide can subsequently be obtained from the salt through treatment with a base, for example with triethylamine. Preferably, the conversion of the salt into the free amino acid amide is carried out with the aid of a (strongly) basic ion exchanger, for example Amberlyst 26 or IRA 900.

$$\begin{array}{c}
 \text{R}_1\text{-O} \\
 \diagdown \\
 \text{CH} - (\text{CH}_2)_n - \text{CH} - \overset{\text{O}}{\parallel} \text{C} - \text{NH}_2 \\
 \diagup \\
 \text{R}_2\text{-O}
 \end{array}
 \begin{array}{c}
 | \\
 \text{N} \\
 || \\
 \text{CH} \\
 | \\
 \text{C}_6\text{H}_4 \\
 | \\
 \text{R}^1
 \end{array}
 \quad (6)$$

The amino acid amide is subsequently subjected to an enantioselective, enzymatic hydrolysis in which the S enantiomer is selectively converted into the corresponding acid and the R enantiomer remains unaffected. The (S)-2-amino- ω -oxoalkanoic acid derivative can then be obtained with an e.e. of more than 90%, in particular more than 95%, preferably more than 98%, in particular more than 99%. The enantioselective enzymatic hydrolysis is preferably carried out in an aqueous environment. It is however also possible to use an organic solvent. The temperature is not particularly critical and lies, for example, between 0 and 60°C, preferably between 20 and 50°C. The pH at which the enzymatic hydrolysis is

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carried out is preferably between 5 and 10.5, in particular between 8.0 and 9.5. An amidase, for example an amidase derived from the genus Aspergillus, Mycobacterium, Aeromonas, Bacillus, Pseudomonas or Ochrobactrum, is suitable for use as the enzyme. Preferably, an amidase derived from Pseudomonas putida or from Ochrobactrum anthropi is used.

After for example the removal of the (R) enantiomer of the amino acid amide as the Schiff base, or concentration and treatment with alcohol, for example isopropanol, the optically active (S)-2-amino- ω -oxoalkanoic acid derivative can be obtained. The Schiff base of the (R) enantiomer of the amino acid amide can optionally be converted into the free amino acid amide, which in turn can optionally be hydrolyzed under mild conditions, for example via a (non-stereoselective) enzymatic hydrolysis as described in EP-A-179523, using Rhodococcus erythropolis or an extract thereof, to obtain the (R)-2-amino- ω -oxoalkanoic acid derivative. The optically active (R)-2-amino- ω -oxoalkanoic acid derivatives are new compounds with various very interesting applications. The compounds of formula 7



are for example very suitable for use in the preparation of D-pipecolic acid derivatives as for example described in J.O.C. (1990), 5551-3, and in Bioorg. & Med. Chem. (1995), 1237-1240, each herein





incorporated by reference which are in turn used per se in the preparation of various pharmaceuticals as for example described in EP-A-672665, DE-A-3702943 and US-A-5409946, each herein incorporated by reference.

5 Another interesting use of the compounds of formula 7 is the use as intermediate in the preparation of D-proline, which is used for example in the preparation of Elitriptan as described in 'Drugs of the Future' (1997), 221-223, herein incorporated by reference. The
10 invention also relates to these new compounds, in particular to the optically active (R)-2-amino- ω -oxoalkanoic acid derivatives having an e.e. greater than 80%, preferably greater than 90%, in particular greater than 95%.

15 The invention will now be elucidated with reference to the examples without being limited thereby.

20 Example I

Preparation of 4-(1,3-dioxolane-2-yl)-1-butanal via the acetalization of glutardialdehyde with ethylene glycol

Toluene (1 litre), ethylene glycol (155 grammes, 2.5 mol) and p-toluenesulphonic acid (1
25 gramme) were successively dosed to a 50% solution of glutardialdehyde in water (500 grammes, 2.5 mol). The mixture was heated to reflux temperature. The water was azeotropically removed with the aid of a Dean-Stark apparatus. As soon as all the water had been removed
30 with the aid of the Dean-Stark apparatus (approx. 6 hours) the solution was cooled to room temperature.

Sodium bicarbonate (2.1 grammes) and water (250 ml) were added to the solution. After half an hour's vigorous stirring the layers were separated.

This washing of the toluene phase was repeated twice. Then the toluene phase was evaporated in a rotary film evaporator to obtain a pale yellow oil. The 4-(1,3-dioxolane-2-yl)-1-butanal content was 50% (G.C.). Yield
5 = 35%.

Example II

Preparation of the Schiff base of 2-amino-5-(1,3-dioxolane-2-yl)-pentanoic acid amide via the Strecker
10 reaction of 4-(1,3-dioxolan-2-yl)-1-butanal

Sodium cyanide (49 grammes, 1 mol) and ammonium acetate (77 grammes, 1 mol) were successively added to a 25% solution of ammonia in water (500 ml). In one hour's time the oil obtained in Example I (288
15 grammes, 1 mol) was added dropwise to this solution. After 5 hours' further stirring, acetone (100 ml) and a 45% solution of potassium hydroxide in water (10 ml) were successively dosed to this solution. After 3 hours glacial acetic acid (7 ml) was added. The solution was
20 concentrated to approx. 400 grammes with the aid of a rotary film evaporator. Water (600 ml) and toluene (150 ml) were added to the concentrate. After half an hour's stirring the layers were separated. Benzaldehyde (74 grammes) was slowly dosed to the water phase with
25 vigorous stirring. The white precipitate formed was removed through filtration and washed with water. After drying, the yield of the Schiff base of 2-amino-5-(1,3-dioxolane-2-yl)-pentanoic acid amide was 195 grammes (yield 70%). Purity >98% (¹H-NMR).

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Example IIIPreparation of (S)-2-amino-5-(1,3-dioxolane-2-yl)-pentanoic acid via the enzymatic resolution on 2-amino-5-(1,3-dioxolane-2-yl)-pentanoic acid amide

5 The Schiff base of 2-amino-5-(1,3-dioxolane-2-yl)-pentanoic acid amide (240 grammes, 0.87 mol) was suspended in toluene (1000 ml) and water (1500 ml). Concentrated sulphuric acid (44.5 grammes, 0.44 mol) was very slowly dosed with vigorous stirring, so
10 that the pH remained above 4.0. After the dosage of the sulphuric acid the layers were separated. The water phase was subsequently passed over a strongly basic ion exchanger (type IRA 900). The sulphate-free water phase was subsequently brought to a pH of 9.0 with acetic
15 acid. Pseudomonas putida (40 grammes) was added to this solution. After 8 hours' stirring at 37°C decalite (30 grammes) and, dropwise, benzaldehyde (51 grammes, 0.48 mol) were successively dosed to the suspension. The precipitate formed was removed with the aid of
20 filtration. The filtrate obtained was concentrated to about 450 grammes with the aid of a rotary film evaporator. After heating to 65°C isopropanol (900 grammes) was added to the solution. After slow cooling to -5°C the white crystals formed were filtered and
25 successively washed with ice water and isopropanol. Yield of (S)-2-amino-5-(1,3-dioxolane-2-yl)-pentanoic acid: 42 grammes (26%).
Melting point = 258°C.
Purity > 99% (titration)
30 E.e. > 99% (HPLC).

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Example IV

Preparation of (S)-2-amino-5-(1,3-dioxolane-2-yl)-pentanoic acid via the enzymatic resolution on 2-amino-5-(1,3-dioxolane-2-yl)-pentanoic acid amide

5 Glacial acetic acid was added to the water phase obtained after the toluene extraction (see Example II) so that the pH became 9.0. At 37°C Pseudomonas putida was added to this water phase. After 8 hours' stirring the water phase was treated as described in Example III.

10 Yield of (S)-2-amino-5-(1,3-dioxolane-2-yl)-pentanoic acid = 11%.
Purity = 95% (HPLC), e.e. > 99% (HPLC).

15 Example V

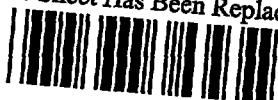
Preparation of (S)-2-amino-5-(1,3-dioxolane-2-yl)-pentanoic acid via the enzymatic resolution on 2-amino-5-(1,3-dioxolane-2-yl)-pentanoic acid amide

 The water phase obtained after the hydrolysis of the Schiff base with sulphuric acid (see Example III) was brought to a pH of 9.0 with the aid of triethylamine. At 37°C Pseudomonas putida was subsequently dosed to this solution and after 8 hours' stirring it was processed further as described in Example III.

20 Yield of (S)-2-amino-5-(1,3-dioxolane-2-yl)-pentanoic acid = 24%.
Purity = 76% (HPLC), e.e. > 99% (HPLC).

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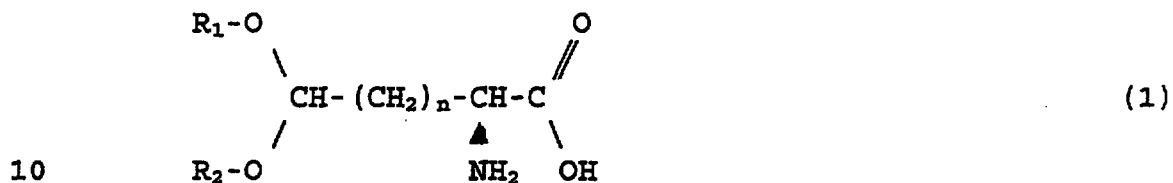
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C L A I M S

1. Process for preparing an (S)-2-amino- ω -oxoalkanoic acid derivative of formula 1,

5



15 in which n equals 0, 1, 2, 3 or 4 and R₁ and R₂ each independently represent an alkyl group with 1-10 C atoms or form a ring with 3 or 4 C atoms together with the O atoms to which they are bound and the C atom to which the O atoms are bound, characterized in that the corresponding aldehyde

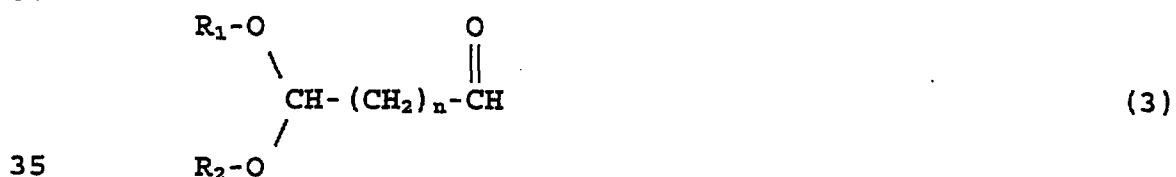
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with n as described above is converted into the corresponding acetal-protected aldehyde of formula 3

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with n , R_1 and R_2 as described above, the acetal-protected aldehyde is converted into the corresponding aminonitrile of formula 4

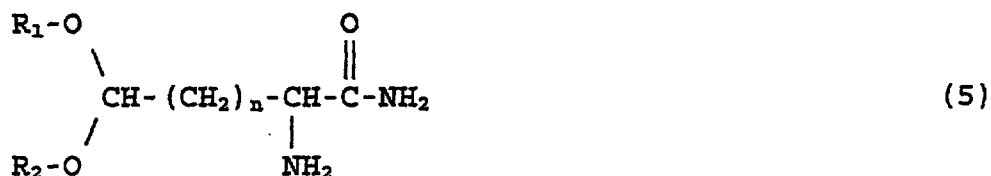
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with n , R_1 and R_2 as described above, the aminonitrile is converted into the corresponding amino acid amide of formula 5

15



20

25 with n , R_1 and R_2 as described above, the amino acid amide is subjected to an enzymatic, enantioselective hydrolysis in which the (R) enantiomer of the amino acid amide remains and the S enantiomer is converted into the (S) amino acid, and the (S) amino acid is isolated.

30

2. Process according to Claim 1, in which the reaction mixture obtained after the conversion of the aminonitrile into the amino acid amide is subjected to treatment with a benzaldehyde, upon which the Schiff base of the amino acid amide is formed, the Schiff base is separated and is converted into the free amino acid amide.

35

3. Process according to Claim 1 or Claim 2, in which the (R)-amino acid amide is hydrolyzed to form

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the (R) amino acid and the (R) amino acid is recovered.

4. Process according to any one of Claims 1-3, in which R_1 and R_2 form a ring with 3 or 4 C atoms together with O atoms to which they are bound and the C atom to which the oxygen atoms are bound.
5. 2-Aminonitrile of formula 4,



in which n equals 0, 1, 2, 3 or 4 and R_1 and R_2 each independently represent an alkyl group with 1-10 C atoms or form a ring with 3 or 4 C atoms together with the O atoms to which they are bound and the C atom to which the O atoms are bound.

6. 2-Amino acid amide of formula 5

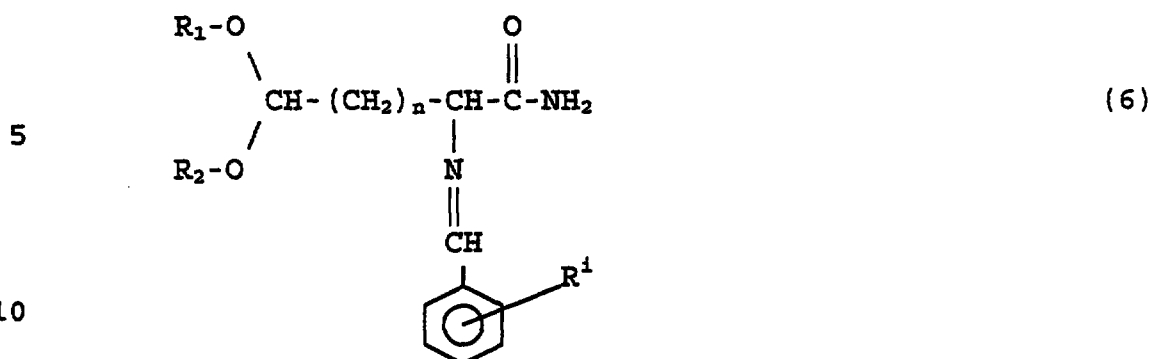


or formula 6,

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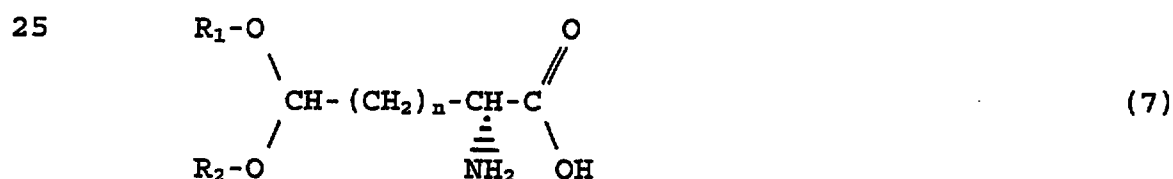


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15 in which n equals 0, 1, 2, 3 or 4 and R₁ and R₂
each independently represent an alkyl group with
1-10 C atoms or form a ring with 3 or 4 C atoms
together with the O atoms to which they are bound
and the C atom to which the O atoms are bound, and
20 R¹ represents 1-4 groups, each independently chosen
from the group comprising halogen, nitro, an alkyl
group or alkoxy group with 1-4 C atoms.

7. (R)-2-amino-ω-oxoalkanoic acid derivative of
formula 7,



35 in which n equals 0, 1, 2, 3 or 4 and R₁ and R₂
each independently represent an alkyl group with
1-10 C atoms or form a ring with 3 or 4 C atoms
together with the O atoms to which they are bound
and the C atom to which the O atoms are bound.

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8. Optically active compound according to Claim 6 or Claim 7 with an e.e. of $> 95\%$, preferably $> 98\%$.
9. Compounds according to any one of Claims 5-8, in which n equals 2 or 3.
- 5 10. Compounds according to any one of Claims 5-9, in which R_1 and R_2 form a ring with 3 or 4 C atoms together with the O atoms to which they are bound and the C atom to which the O atoms are bound.
- 10 11. Use of the compounds according to any one of Claims 5-10 or obtained by the process according to any one of Claims 1-4 in the preparation of pharmaceuticals.

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